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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of July 15, 2025***2016-00290**

RECEIVED: 08/08/16

FILED: 01/18/17

FINAL: 03/14/17

REOPENED:

SUSPENSION DATE:

CASE CODE

Transfer / Sale / Purchase / Merger

UTILITIES:

Evergreen Sewage Disposal System, Inc.

HEARINGS:

01/11/17 Formal Hearing

12/19/16 Informal Conference

INDEX OF EVENTS:

05/23/17 POST CASE: Evergreen Sewage Disposal Inc. Response to Order

03/14/17 Final Order Entered: 1. The proposed transfer of ownership and control of the Evergreen System, pursuant to the terms of the Agreement, is approved, subject to the conditions set forth in ordering paragraphs 2 through 9, as of the date of this Order. 2. Evergreen shall notify the Commission in writing of the closing of the transaction within ten days of the closing. 3. If the transaction does not close within 90 days of the date of this Order, Evergreen shall file with the Commission a report on the status of the efforts to complete the transfer. 4. Any material revision to the proposed transaction shall be approved by the Commission in order for the amendment to be effective. 5. Evergreen shall continue to operate the WWTP serving the Evergreen System until Farmdale provides notice that it has a certified operator ready to continue operations. 6. Evergreen shall file with the Commission the copy of any notice provided by Farmdale concerning Farmdale's certified operator. 7. No later than March 31, 2017, Evergreen shall submit its Gross Report and an Annual Report for the calendar year ending December 31, 2016. 8. No later than June 30, 2017, Evergreen shall submit its Gross Report and an Annual Report for the period January 1, 2017, through the date of the transfer. 9. Any documents filed pursuant to ordering paragraphs 2, 3, and 6 shall reference this case number and shall be retained in Evergreen's general correspondence file.

03/01/17 Evergreen Sewage Disposal Inc. Response to Request for Information

02/01/17 Commission Staff's First Request for Information to Evergreen Sewage Disposal, Inc.

01/20/17 Order Entered: 1. Evergreen's application for approval of the transfer of Evergreen's sewage disposal system to Farmdale is considered filed as of January 18, 2017. 2. Consideration of Evergreen's application for approval of the transfer is no longer in abeyance.

01/18/17 Revised motion to amend this case to a petition for a certificate of convenience and necessity to transfer ownership

01/10/17 Order Entered: 1. The formal hearing in this matter scheduled for Wednesday, January 11, 2017, at 9:00 a.m. Eastern Standard Time, is cancelled, to be rescheduled at a later date if necessary. 2. Evergreen's request to amend its request to abandon its wastewater treatment plant is granted, and Evergreen's request is now a request for approval to transfer ownership of the Evergreen system to Farmdale. 3. Evergreen and any party to this proceeding shall use the following case style on each subsequent filing in this case. "APPLICATION OF EVERGREEN SEWAGE DISPOSAL, INC. FOR APPROVAL OF TRANSFER OF EVERGREEN SEWAGE DISPOSAL SYSTEM TO FARMDALE SANITATION DISTRICT." 4. Evergreen's request for approval to transfer ownership will be held in abeyance and not considered as filed with the Commission until Evergreen files a verification of its application by oath or affirmation into the record.

01/06/17 Evergreen Sewage Disposal System, Inc. motion to Continue and to Amend

12/28/16 Memorandum dated 12/27/2016 for Informal Conference of 12/19/2016; Comments, if any, due within five days of receipt of letter

12/15/16 Commission Staff's Notice of Informal Conference

11/22/16 Evergreen Sewage Disposal System, Inc. Response to Appendix

11/09/16 Order Entered: 1. A formal hearing in this matter shall be held on Wednesday, January 11, 2017, at 9:00 a.m. Eastern Standard Time, in the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky. 2. Evergreen shall appear at the formal hearing scheduled on January 11, 2017. 3. Evergreen shall mail a copy of the notice of hearing to each customer and shall give notice of the hearing in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of hearing shall

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include the following statement: "This hearing will be streamed live and may be viewed on the PSG website, psc.ky.gov." At the time the notice is mailed, Evergreen shall forward a duplicate of the notice and request to the

Commission. 4. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9)(a), the record of the formal hearing in this matter shall be by digital video recording. 5. The information requested in the Appendix to this Order is due within 14 days of the date of this Order. Pursuant to 807 KAR 5:001, Evergreen is to file with the Commission the original and seven copies of the information, with a copy to all parties of record. a. Responses to requests for information shall be appropriately bound, tabbed and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided.

09/19/16 Evergreen Sewage Disposal System Inc. Response to Order

09/06/16 Order Entered: 1. This case is initiated to investigate the request by Evergreen to abandon its utility services and facilities. 2. Evergreen shall continue to operate its utility facilities during the pendency of this investigation and shall continue operating until the Commission issues an Order adjudicating Evergreen's request to abandon its facilities. 3. The information requested in the Appendix of this Order is due within 14 days of the date of this Order. Pursuant to 807 KAR 5:001, Evergreen is to file with the Commission the original and eight copies of the following information, with a copy to all parties of record. a. Responses to requests for information shall be appropriately bound, tabbed and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with copies to all parties of record, and the original and eight copies to the Commission. b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or the person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

08/18/16 Deficiency Cured Letter

08/12/16 Evergreen Sewage Disposal Inc. Amended Application

08/10/16 Deficiency letter, information due 8/20

08/08/16 Acknowledge Receipt of Filing

08/08/16 Evergreen Sewage Disposal System Notice of Surrender and Abandonment of Utility Property Consisting of a Franklin County Wastewater Treatment Plant

Total Number of Cases: 1