

P.S.C. KY. NO. _____

CANCELLING P.S.C. KY. NO. _____

EASTERN
ROCKCASTLE WATER ASSOCIATION, INC.

OF
Climax, Kentucky

RATES & CHARGES
AND
RULES & REGULATIONS
FOR FURNISHING
WATER SERVICE
AT

ORLANDO, CLIMAX, THREE LINKS, SAND HILL, AND SAND SPRINGS SECTIONS OF
ROCKCASTLE COUNTY, KENTUCKY AND RICE HILL ROAD, ROBINSON ROAD AND WOLF
PEN ROAD IN JACKSON COUNTY, KENTUCKY

FILED WITH THE
PUBLIC SERVICE COMMISSION
OF
KENTUCKY

CANCELLED
DEC 10 2012
KENTUCKY PUBLIC
SERVICE COMMISSION

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____
Month / Date / Year

ISSUED BY Dell F. Ponder
(Signature of Officer)

TITLE President

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn. Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 2 _____

Eastern Rockcastle Water Association Inc.
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

CONTENTS

RATES AND CHARGES

SECTION 1. MONTHLY WATER RATES

SECTION 2. SPECIAL NON RECURRING CHARGES

RULES AND REGULATIONS

SECTION 3. RIGHTS ON PAYMENT OF CONNECTION CHARGES

SECTION 4. SPECIAL NON RECURRING CHARGES

SECTION 5. RATES ARE EXCLUSIVE OF SALES TAX

SECTION 6. ALL CUSTOMERS METERED; NO FREE SERVICE

SECTION 7. METERS READ MONTHLY

SECTION 8. OWNERS PAY CONNECTION CHARGES/OCCUPANTS PAY MONTHLY RATES

SECTION 9. BILLING; COLLECTIONS; PENALTIES

SECTION 10. DELINQUENCY, DISCONNECTION OF WATER SERVICE; RECONNECTION

SECTION 11. DEPOSIT ON SERVICE HOOK-UP

SECTION 12. SAMPLE BILL

CANCELLED
DEC 10 2012
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ISSUED BY Dell Z. Ponder
(Signature of Officer)

TITLE President

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE
JUN 19 2004
PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc

P.S.C. KY. NO. _____

SHEET NO. 4

CANCELLING P.S.C. KY. NO. _____

SHEET NO. _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

RATES AND CHARGES

SECTION 2. SPECIAL NON RECURRING CHARGES

Connection Charge/Turn-on Charge	\$25.00	
Late Payment Penalty	10%	
Meter Relocation Charge	Actual Cost	
Meter Test Charge	Actual Cost	
Returned Check Charge	\$25.00	(N)
Service Charge	\$25.00	(N)
Meter Read Charge	\$ 5.00	(N)

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DATE OF ISSUE 11/30/2004
Month / Date / Year

DATE EFFECTIVE 8/12/2004
Month / Date / Year

ISSUED BY *Randall E. G...*
(Signature of Officer)

TITLE General Manager

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. 2004-00262 DATED 8/12/2004

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE
8/12/2004
PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

[Signature]
Executive Director

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 5 _____

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

RULES AND REGULATIONS

SECTION 3. RIGHTS ON PAYMENT OF CONNECTION CHARGES.

The payment of a connection charge by a property owner entitles the owner of such property to have the water line brought to a point in the edge of his property line or easement.

The payment of the applicable water connection charge entitles the applicant to the following:

- a. A tap at the water main.
- b. A sufficient amount of 3/4-inch tubing to extend from the water main to a point at least two feet inside the property line or utility easement of the Applicant.
- c. A complete meter installation to be installed at the point of ending of the tubing, which meter installation will consist of a meter, yoke, or other setting used, a meter box and a meter box cover.

Said connection charge is a tap-in or service charge for the privilege of connecting to the water Association, and the payment of such amount does not constitute the purchase of a water meter. Title to water meters shall at all times remain vested in the Association, and, if a meter is not used by an applicant, such meter may be removed by Association.

An additional charge shall be made for meter connections where rock is encountered, such rock condition being defined as limestone or other hard stratified material in a continuous volume of at least one cubic yard or more and which cannot be removed using ordinary excavation equipment. The charge shall be applied per linear trench foot and shall not exceed the actual cost of excavation.

SECTION 4. SPECIAL NON-RECURRING CHARGES:

A. The utility will collect for special nonrecurring charges to recover customer-specific costs incurred which would otherwise result in monetary loss to the utility or increased rates to other customers to

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Month / Date / Year

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(Signature of Officer)

TITLE President

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO 807 KAR 0011
SECTION 9 (1)

BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 6 _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

whom no benefits accrue from the service provided or action taken. The utility may establish or change any special nonrecurring charge by applying for Public Service Commission approval of such charge in accordance with the provisions of 807 KAR 5:011, Section 10.

- B. Special nonrecurring charges will be applied uniformly throughout the area served by the utility. Such charges will relate directly to the service performed or action taken and only yield enough revenue to pay the expenses incurred in rendering the service.
- C. The utility will assess a charge for the following non-recurring services:
- 1) Connection/Turn-on Charge: Will be assessed for new service turn-ons, seasonal turn-ons, temporary service, or transfer of service. The charge will not be made for initial installation of service where a meter connection/tap-on charge is applicable.
 - 2) Late Payment Penalty: Will be assessed on the delinquent amount of the bill, less taxes.
 - 3) Meter Relocation Charge: Will be assessed when a customer or other authorized person requests that a meter be relocated, changed, or modified. Those requesting a change must reimburse the utility for the actual costs incurred, including but not limited to appropriate legal, administrative, engineering, overhead, or other related costs.
 - 4) Meter Test Charge: Will be assessed when a customer requests the utility perform a test on the customer's meter to check for accuracy, and the test shows the customer's meter is not more than two percent (2%) fast.
 - 5) Returned Check Charge: Will be assessed when a customer's check is returned, either due to insufficient funds or other reason due to customer fault.
 - 6) Service Call/Investigation Charge: Will be assessed when a customer requests the onsite presence of utility personnel to investigate a service problem and the problem is a result of the customer's own plumbing facilities, beyond the utility's delivery point, or not caused by failure of utility facilities. Any maintenance and repair of facilities beyond the utility's delivery point is the responsibility of the customer.

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Month / Date / Year

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(Signature of Officer)

TITLE President

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IN CASE NO. _____ DATED _____

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DEC 10 2012 OF KENTUCKY
EFFECTIVE
KENTUCKY PUBLIC JUN 19 2004
SERVICE COMMISSION

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 7 _____

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

RULES AND REGULATIONS

- 7) Meter Read Charge. Will be assessed when a customer fails to read their own meter and the Association has to send an employee out to read it for the customer.

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TITLE President

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JUN 19 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 8 _____

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

RULES AND REGULATIONS

SECTION 5. RATES ARE EXCLUSIVE OF SALES TAX.

The foregoing rates are rates to be charged by the Association and do not include sales taxes, and any applicable state sales tax shall be added to each bill as a separate charge.

SECTION 6. ALL CUSTOMERS METERED; NO FREE SERVICE.

It shall be mandatory for all customers of the Association to be metered. No one shall receive or be entitled to free water without paying the full rates herein specified.

SECTION 7. METERS READ MONTHLY BY CUSTOMER.

Water meters shall be read each month by the customer. The readings will be recorded on a meter card and returned to the Association for billing purposes. A Meter Read Charge will be assessed when the customer does not return the meter card. The amount of this charge is set forth in Section 2 of this tariff.

Each meter is read at least one time per year by the Association.

In the event that upon the occasion of a monthly meter reading, it is found that the meter of a customer is defective or non-operative, the water bill of such customer shall be computed on the basis of the average monthly water consumption of such customer shown by the three preceding monthly meter readings, provided that if no such readings are then available, the applicable water bill may be computed on the basis of an estimate of water consumption, estimated by the Superintendent of the waterworks system, subject to the adjustment upward or downward after testing the meter.

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TITLE President

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 9 _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

If test results on a customer's meter show an average error greater than two (2) percent fast or slow, or if a customer has been incorrectly billed for any other reason, except in an instance where a utility has filed a verified complaint with the appropriate law enforcement agency alleging fraud or theft by a customer, the utility shall immediately determine the period during which the error has existed, and shall recompute and adjust the customer's bill to either provide a refund to the customer or collect an additional amount of revenue from the underbilled customer. The utility shall readjust the account based upon the period during which the error is known to have existed. If the period during which the error existed cannot be determined with reasonable precision, the time period shall be estimated using such data as elapsed time since the last meter test, if applicable, and historical usage data for the customer. If that data is not available the average usage of similar customer loads shall be used for comparison purposes in calculating the time period. If the customer and the utility are unable to agree on an estimate of the time period during which the error existed, the commission shall determine the issue. In all instances of customer overbilling, the customer's account shall be credited or the overbilled amount refunded at the discretion of the customer within thirty (30) days after the final meter test results. A utility shall not require customer repayment of any underbilling to be made over a period shorter than a period coextensive with the underbilling.

SECTION 8. LEAK ADJUSTMENT POLICY

A customer may make a request for a bill adjustment in the event of a leak under the following conditions:

1. The customer must request a leak adjustment in writing to the utility.
2. The customer's bill will be based on two components. The first step will be to calculate the customer's average monthly usage over a twelve-month period. The second step will be to deduct the customers average monthly usage (as calculated in the above) from the total amount of water that passed through the meter. The usage calculated in step one will be billed at the utility's regular rates, while the remaining usage will be charged at the per

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Month / Date / Year

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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JUN 19 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 10 _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

thousand gallon leak adjustment rate, as set forth in the rates and charges portion of the utility's approved tariff. All water passing through the meter must be accounted and paid for by the customer. So the customer will owe the amount of his/her average bill plus the per thousand gallon leak adjustment rate for the remainder of the water that passed through the meter.

- 3. If meter readings are not available for an entire twelve-month period, the water bill will be estimated by the utility, subject to an upward or downward adjustment once a twelve-month average of actual meter readings can be calculated.
- 4. Only one (1) leak adjustment will be made per twelve-month period.

SECTION 9. OWNERS PAY CONNECTION CHARGES; OCCUPANTS PAY MONTHLY RATES.

Connection charges shall be the personal obligation of the owners of the property. All water charges, other than connection charges, shall be the primary obligation of the occupant of the lot, property or building receiving the benefits of such use and service.

SECTION 10. BILLING; COLLECTIONS; PENALTIES.

The rates or charges aforesaid shall be billed monthly on or about the first of each month, and all bills for such service shall be considered due and payable twenty (20) days after rendition. If a bill is not paid as aforesaid within such 20 day period, such bill shall be deemed delinquent, and there shall be imposed a penalty on each bill not so paid, in an amount equal to ten percent (10%) of the charges (other than sales tax) shown on the face amount of said bill not so paid, for each period of thirty (30) days thereafter that same remains unpaid. The penalty may be assessed only on any bill for rendered service. If a bill is not paid within the first thirty days after becoming delinquent (50 days after rendition), legal action will be taken.

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Month / Date / Year

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TITLE President

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 11 _____

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

RULES AND REGULATIONS

SECTION 11. DELINQUENCY; DISCONNECTION OF WATER SERVICE; RECONNECTION.

If a bill is not paid after remaining delinquent for thirty days (50 days after rendition), the Association Manager shall mail or deliver to the customer five (5) days written notice of the Associations attempt to terminate service and if payment is not made within these five days, the manager shall thereupon turn off the water of such delinquent customer and may further enjoin the use of the water facilities of the Association until payment in full is made. If any deadline date specified falls on a Sunday or on a legal holiday, such deadline shall not expire until the end of the next secular day. If such water service is disconnected by the Association, reconnection of such service shall not be made until the customer or user pays all charges and penalties owed plus the amount of reconnection charges.

SECTION 12. DEPOSIT ON SERVICE HOOK-UP.

The Eastern Rockcastle Water Association reserved the right to charge two-twelfths (2/12) of the estimated annual water bill as a deposit. If service has been terminated for nonpayment there shall be a reconnect charge and deposit paid before service is reconnected. Deposits will be collected and refunded in accordance with 807 KAR 5:006, Section 7(f).



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TITLE President

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IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

_____ SHEET NO. 12 _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

SECTION 13. LEGAL DISCLAIMERS

1. No person shall maliciously, willfully, or negligently break, damage, destroy, uncover, deface, or tamper with any structure appurtenance or equipment which is a part of the utility's water system. Any person violating this provision will be subject to immediate arrest and/or discontinuance of water service and shall pay the cost of repairing or replacing the utility's facilities.
2. If any loss or damage to the property of the utility or any accident or other injury to persons or property is caused by or results from the negligence or wrongful action of a customer, members of his/her household, his/her agent or employee, the cost of necessary repairs or replacements shall be paid by the customer of the utility and any liability otherwise resulting shall be that of the customer.

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KENTUCKY PUBLIC
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Month / Date / Year

DATE EFFECTIVE _____
Month / Date / Year

ISSUED BY Dell F. Borden
(Signature of Officer)

TITLE President

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO 807 KAR 001
SECTION 9(1)
BY [Signature]
EXECUTIVE DIRECTOR

FOR _____
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Eastern Rockcastle Water Association Inc.
(Name of Utility)

RULES & REGULATIONS

FIRE DEPARTMENTS

Any city, county, urban-county, charter county, fire protection district, or volunteer fire protection district ("User") may withdraw water from the utility's water distribution system for the purpose of fighting fires or training firefighters at no charge on the condition that it maintains estimates of the amount of water used for fire protection and training during the calendar month and reports the amount of this water usage to the utility no later than the 15th day of the following calendar month.

Any city, county, urban-county, charter county, fire protection district, or volunteer fire protection district that withdraws water from the utility's water distribution system for fire protection or training purposes and fails to submit the required report on water usage in a timely manner shall be assessed the cost of this water.

A non-reporting user's usage shall be presumed to 0.3 percent of the utility's total water sales for the calendar month. A non-reporting user may present evidence of its actual usage to rebut the presumed usage. The utility shall consider this evidence and may adjust the presumed usage amount accordingly.

The non-reporting user shall be billed for this usage at the lowest usage block rate regardless of customer classification that the utility charges.

A non-reporting user shall also be assessed a penalty of \$ 50.00 for each failure to submit a report in a timely manner.

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Month / Date / Year

DATE EFFECTIVE _____
Month / Date / Year

ISSUED BY Dell F. Ponder
(Signature of Officer)

TITLE President

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE
12/19/2007
PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

By [Signature]
Executive Director

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

SHEET NO. 13

CANCELLING P.S.C. KY. NO. _____

SHEET NO. _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

RULES AND REGULATIONS

SECTION 14. SAMPLE BILL

ENCLOSE THIS STUB
WHEN PAYING BY MAIL
FOR PROPER CREDIT

EASTERN ROCKCASTLE WATER ASSOCIATION
P.O. BOX 540
MT. VERNON, KY 40456
Phone: (606) 256-5480

EASTERN ROCKCASTLE WATER ASSOCIATION
P.O. BOX 540
MT. VERNON, KY 40456
Phone: (606) 256-5480

Payment due at the above address by the 10th of each month. If payment is not received in office by the 20th of the month, a 10% late charge will be added. If service is disconnected, a \$25.00 reconnection fee will be added.

Payment due at the above address by the 10th of each month. If payment is not received in office by the 20th of the month, a 10% late charge will be added. If service is disconnected, a \$25.00 reconnection fee will be added.

OFFICE HOURS:
8:00 A.M. TO 4:30 P.M.
MONDAY - FRIDAY

OFFICE HOURS:
8:00 A.M. TO 4:30 P.M.
MONDAY - FRIDAY

SERVICE
CODES: WT = WATER

CODES:
E = ESTIMATED
M = METER CHANGE
F = FINAL BILL

NOT RESPONSIBLE FOR MAIL DELIVERY

APPROVED BY STATE BOARD OF ACCOUNTS NC503-AI
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(Signature of Officer)

TITLE President

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY [Signature]
EXECUTIVE DIRECTOR

FOR Areas of Rockcastle County/Jackson County
Served by Eastern Rockcastle Water Assn., Inc.

P.S.C. KY. NO. _____

SHEET NO. 14

CANCELLING P.S.C. KY. NO. _____

SHEET NO. _____

Eastern Rockcastle Water Association, Inc.
(Name of Utility)

RULES AND REGULATIONS

SECTION 14. SAMPLE BILL

FIRST CLASS MAIL
U.S. POSTAGE PAID

PERMIT NO. :

RETURN SERVICE REQUESTED

ACCOUNT
SERVICE AT
CLASS
DATES

BILL DATE
NET BILL

SVC.	PREVIOUS	CURRENT	USAGE	CODE
------	----------	---------	-------	------

GROSS BILL
DUE AFTER

RETURN STUB WITH PAYMENT

GROSS
DUE
AFTER

NET
DUE
NOW

CANCELLED
DEC 10 2012
KENTUCKY PUBLIC
SERVICE COMMISSION

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ISSUED BY Dell Z. Fonder
(Signature of Officer)

TITLE President

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 19 2004

PURSUANT TO KRS 192.011
SECTION 9(1)
BY [Signature]
EXECUTIVE DIRECTOR

For _____
Community, Town or City
P.S.C. KY. NO. _____
2ND REVISED SHEET NO. 2

ROCKCASTLE WATER ASSOC. INC.
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____
1ST REVISED SHEET NO. 2

RULES AND REGULATIONS

SECTION 1. Connection and Connection Charges.

New customers of the association shall pay a water connection charge of five hundred seventeen (\$517) dollars for a three-fourth (3/4) inch meter. Customers requiring larger meters shall pay the connection charge for three-fourth (3/4) inch meters plus the costs to the Association of the larger meter.

An additional charge shall be made for meter connections where rock is encountered, such rock condition being defined as limestone or other hard stratified material in a continuous volume of at least one cubic yard or more and which cannot be removed using ordinary excavation equipment. The charge shall be applied per linear trench foot and shall not exceed the actual cost of excavation.

SECTION 2. Rights on Payment of Connection Charges.

*Section 2
Cancelled
June 2004*

The payment of a connection charge by a property owner entitles the owner of such property to have the water line brought to a point in the edge of his property line easement. The payment of the applicable water connection charge entitles the applicant to the following:

- a. A tap at the water main.
- b. A sufficient amount of 3/4- inch tubing to extend
from the water main to a point at least two feet

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KENTUCKY PUBLIC
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JUL 09 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9(1)

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Month Date Year

DATE EFFECTIVE _____
Month Date Year

ISSUED BY _____
(Signature of Officer)

TITLE _____

Issued by authority of an Order of the public Service Commission of Kentucky
in Case No. _____ dated _____.

BY: *Andrew J. Neal*
FOR THE PUBLIC SERVICE COMMISSION
JUL 10 2004