P.S.C. No. 15, Original Sheet No. 86

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Adjustment Clause

DSM

Demand-Side Management Cost Recovery Mechanism

APPLICABLE

In all territory served.

AVAILABILITY OF SERVICE

This schedule is mandatory to Residential Rate RS, Volunteer Fire Department Service Rate VFD, General Service Rate GS, All Electric School Rate AES, Power Rate PS, and Time-of-Day Secondary Service Rate TODS, Time-of-Day Primary Service Rate TODP, and Low Emission Vehicle Service Rider LEV. Industrial customers who elect not to participate in a demand-side management program hereunder shall not be assessed a charge pursuant to this mechanism. For purposes of rate application hereunder, non-residential customers will be considered "industrial" if they are primarily engaged in a process or processes which create or change raw or unfinished materials into another form or product, and/or in accordance with the North American Industry Classification System, Sections 21, 22, 31, 32, and 33. All other non-residential customers will be defined as "commercial."

RATE

The monthly amount computed under each of the rate schedules to which this Demand-Side Management Cost Recovery Mechanism is applicable shall be increased or decreased by the DSM Cost Recovery Component (DSMRC) at a rate per kilowatt hour of monthly consumption in accordance with the following formula:

DSMRC = DCR + DRLS + DSMI + DBA

Where:

DCR = DSM COST RECOVERY

The DCR shall include all expected costs which have been approved by the Commission for each twelve-month period for demand-side management programs which have been developed through a collaborative advisory process ("approved programs"). Such program costs shall include the cost of planning, developing, implementing, monitoring, and evaluating DSM programs. Program costs will be assigned for recovery purposes to the rate classes whose customers are directly participating in the program. In addition, all costs incurred by or on behalf of the collaborative process, including but not limited to costs for consultants, employees and administrative expenses, will be recovered through the DCR. Administrative costs that are allocable to more than one rate class will be recovered from those classes and allocated by rate class on the basis of the estimated budget from each program. The cost of approved programs shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DCR for such rate class.

DRLS = DSM REVENUE FROM LOST SALES



Revenues from lost sales due to DSM programs implemented on and after the effective date of this tariff and will be recovered as follows:

1) For each upcoming twelve-month period, the estimated reduction in customer usage (in kWh) as determined for the approved programs shall be multiplied by the non-variable revenue requirement per kWh for purposes of determining the KSNTEVEKOE to be recovered hereunder from each customer cass. PUBEICromRedicableONEVERCEON requirement for the Residential, Volunteer Fire Department JEGENER All Electric School, and Low Emission Vehicle customer classes is classified as the metricited average price per kWh of expected billings under the energy charges for the second of the second of the energy charges for the second of the second of the energy charges for the second of the second of the energy charges for the second of the s

Date of Issue: August 6, 2010 Date Effective: August 1, 2010 Issued By: Lonnie E. Bellar, Vice President, State Regulation and Rates, Lexington, Kentucky

8/1/2010 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

Issued by Authority of an Order of the KPSC in Case No. 2009-00548 dated July 30, 2010

P.S.C. No. 15, First Revision of Original Sheet No. 86 Canceling P.S.C. No. 15, Original Sheet No. 86

DOM

Adjustment Clause	DSM	
	Demand-Side Management Cost Recovery Mechanism	

APPLICABLE

In all territory served.

AVAILABILITY OF SERVICE

This schedule is mandatory to Residential Rate RS, Volunteer Fire Department Service Rate VFD, General Service Rate GS, All Electric School Rate AES, Power Service Rate PS, Time-of-Day Secondary Service Rate TODS, Time-of-Day Primary Service Rate TODP, and Low Emission Vehicle Service Rider LEV. Industrial customers who elect not to participate in a demand-side management program hereunder shall not be assessed a charge pursuant to this mechanism. For purposes of rate application hereunder, non-residential customers will be considered "industrial" if they are primarily engaged in a process or processes that create or change raw or unfinished materials into another form or product, and/or in accordance with the North American Industry Classification System, Sections 21, 22, 31, 32, and 33. All other nonresidential customers will be defined as "commercial."

RATE

The monthly amount computed under each of the rate schedules to which this Demand-Side Management Cost Recovery Mechanism is applicable shall be increased or decreased by the DSM Cost Recovery Component (DSMRC) at a rate per kilowatt hour of monthly consumption in accordance with the following formula:

DSMRC = DCR + DRLS + DSMI + DBA + DCCR

Where:

DCR = DSM COST RECOVERY

The DCR shall include all expected costs that have been approved by the Commission for each twelve-month period for demand-side management programs that have been developed through a collaborative advisory process ("approved programs"). Such program costs shall include the cost of planning, developing, implementing, monitoring, and evaluating DSM programs. Program costs will be assigned for recovery purposes to the rate classes whose customers are directly participating in the program. In addition, all costs incurred by or on behalf of the collaborative process, including but not limited to costs for consultants, employees, and administrative expenses, will be recovered through the DCR. Administrative costs that are allocable to more than one rate class will be recovered from those classes and allocated by rate class on the basis of the estimated budget from each program. The cost of approved programs shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DCR for each such rate class.

DRLS = DSM REVENUE FROM LOST SALES

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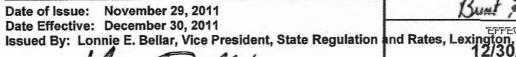
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Revenues from lost sales due to DSM programs implemented on and alice the effective date of this tariff will be recovered as follows:

 For each upcoming twelve-month period, the estimated reduction in customer usage (in kWh) as determined for the approved programs shall be multiplied by the non varia revenue requirement per kWh for purposes of determining the KSNTWEKVe to be recovered hereunder from each customer cass. PUBALC SERVICES OM VESSION requirement for the Residential, Volunteer Fire Department EGENERDE Services All Electric School, and Low Emission Vehicle customer classes is a the weighted as the weighted average price per kWh of expected billings under the energy charges roughained in the



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P.S.C. No. 15, Original Sheet No. 86.1

ustment Clau	DSM Demand-Side Management Cost Reco	overy Mechanism
dec rev and ave res	nued) , VFD, GS, AES, and LEV rate schedules in the ducting the variable costs included in such renue requirement for each of the customer of d energy rates (rate schedules PS, TODS, an erage price per kWh represented by the compo- pective demand and energy charges in the ducting the variable costs included in the energy	energy charges. The non-variable classes that are billed under demand ad TODP) is defined as the weighted site of the expected billings under the upcoming twelve-month period, after
sal sur sha pur be	e lost revenues for each customer class shall the es (in kWh) for the upcoming twelve-month per charge. Recovery of revenue from lost sales all be included in the DRLS for 36 months of suant to a general rate case, whichever come assigned for recovery purposes to the rate clast t sales.	riod to determine the applicable DRLS calculated for a twelve-month period or until implementation of new rates as first. Revenues from lost sales will
expects At the e hereun estimat	ues collected hereunder are based on engined program participation and estimated sales for and of each such period, any difference betweet der and the lost revenues determined after tes and actual program participation are account under the DSM Balance Adjustment (DBA) cor	or the upcoming twelve-month period. en the lost revenues actually collected or any revisions of the engineering unted for shall be reconciled in future
energy implem both (a prospe	ram evaluation vendor will be selected to pro- savings will be estimated for that program. If entation and any revision of the original engi) the retroactive true-up provided for under the ctive future lost revenues collected hereunder.	Each program will be evaluated after neering estimates will be reflected in
For all shall b program (15) pe are de program costs o savings amount	DSM INCENTIVE Energy Impact Programs except Direct Load e computed by multiplying the net resource ans which are to be installed during the upcom rcent, not to exceed five (5) percent of program fined as program benefits less utility program n benefits will be calculated on the basis of the over the expected life of the program, and w as. For Energy Education and Direct Load Ca t shall be computed by multiplying the annual be installed during the upcoming twelve-month	Control, the DSM incentive amount savings expected from the approved ing twelve-month period times fifteen in expenditures. Net resource savings in costs and participant costs where e present value of Company's avoided rill include both capacity and energy ontrol Programs, the DSM incentive cost of the approved programs which
Departr PS, Tin Emissio the upo	SM incentive amount related to programs for ment Rate VFD, General Service Rate GS, All & ne-of-day Secondary Service Rate TODS, Time on Vehicle Service Rider LEV shall be divided to coming twelve-month period to determine the DS to will be assigned for recovery purposes to the entive.	ectric School Rate AFS, Power Rate of-Day Primary Rate 1000 and Low of the expected knowait-hour sales for MI for such rate 53, DSROHEN tive
Effective: A	ugust 6, 2010 ugust 1, 2010 E. Bellar, Vice President, State Regulation	Bunt Kirlley and Rates, Lexington, Kentucky 8/1/2010 PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

P.S.C. No. 15, First Revision of Original Sheet No. 86.1 Canceling P.S.C. No. 15, Original Sheet No. 86.1

Aujusunen	t Clause DSM	
	Demand-Side Management Cost Re	covery Mechanism
RATE (d	continued) RS, VFD, GS, AES, and LEV rate schedules in deducting the variable costs included in suc revenue requirement for each of the custome and energy rates (rate schedules PS, TODS, average price per kWh represented by the com respective demand and energy charges in th deducting the variable costs included in the energy	ch energy charges. The non-variable r classes that are billed under demand and TODP) is defined as the weighted posite of the expected billings under the e upcoming twelve-month period, after
2)) The lost revenues for each customer class sha sales (in kWh) for the upcoming twelve-month p surcharge. Recovery of revenue from lost sal shall be included in the DRLS for 36 month pursuant to a general rate case, whichever co be assigned for recovery purposes to the rate lost sales.	beriod to determine the applicable DRLS es calculated for a twelve-month period s or until implementation of new rates mes first. Revenues from lost sales will
ex At he	evenues collected hereunder are based on eng xpected program participation, and estimated sale t the end of each such period, any difference betw ereunder and the lost revenues determined a stimates and actual program participation are acc illings under the DSM Balance Adjustment (DBA) of	s for the upcoming twelve-month period. veen the lost revenues actually collected fter any revisions of the engineering counted for shall be reconciled in future
er im bo	program evaluation vendor will be selected to p nergy savings will be estimated for that program nplementation and any revision of the original er oth (a) the retroactive true-up provided for under the rospective future lost revenues collected hereunde	b. Each program will be evaluated after ngineering estimates will be reflected in the DSM Balance Adjustment and (b) the
Fo sh pr (1 ar pr co sa	SMI = DSM INCENTIVE or all Energy Impact Programs except Direct Lo hall be computed by multiplying the net resource rograms that are to be installed during the upco (5) percent, not to exceed five (5) percent of program re defined as program benefits less utility program rogram benefits will be calculated on the basis of to osts over the expected life of the program, and avings. For the Energy Education Program, the DS y multiplying the annual cost of the approved program	e savings expected from the approved ming twelve-month period times fifteen am expenditures. Net resource savings ram costs and participant costs where the present value of Company's avoided will include both capacity and energy SM incentive amount shall be computed
De PS	he DSM incentive amount related to programs f epartment Rate VFD, General Service Rate GS, A S, Time-of-day Secondary Service Rate TODS, Tir	or Residential Rate RS, Volunteer Fire Il Electric School Rate AES, Power Rate ne-bt-Day Primary Rate, LODR, and Low
the an	mission Vehicle Service Rider LEV shall be divided e upcoming twelve-month period to determine the mounts will be assigned for recovery purposes to the incentive.	DS vil for such rate class. DSiv incentive
uk	o moonave.	TARIFF BRANCH
te of Issue:		Bunt Kirtley
te Effective ued By: Lo	e: December 30, 2011 onnie E. Bellar, Vice President, State Regulatio	on and Rates, Lexington, Kentucky
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P.S.C. No. 15, Original Sheet No. 86.2

	stment Clause DSM Demand-Side Management Cost Recovery Mechanism	
	DBA = DSM BALANCE ADJUSTMENT	
	The DBA shall be calculated on a calendar year basis and is used to rec difference between the amount of revenues actually billed through the DCR, DF and previous application of the DBA and the revenues which should have beer follows:	RLS, DSMI
	 For the DCR, the balance adjustment amount will be the difference be amount billed in a twelve-month period from the application of the DCR unit of the actual cost of the approved programs during the same twelve-month period For the DRLS the balance adjustment amount will be the difference be amount billed during the twelve-month period from application of the DRLS u and the amount of lost revenues determined for the actual DSM implemented during the twelve-month period. For the DSMI, the balance adjustment amount will be the difference be amount billed during the twelve-month period. For the DSMI, the balance adjustment amount will be the difference be amount billed during the twelve-month period from application of the DSMI u and the incentive amount determined for the actual DSM measures im 	harge and od. tween the init charge measures tween the init charge
	 during the twelve-month period. 4) For the DBA, the balance adjustment amount will be the difference be amount billed during the twelve-month period from application of the DB balance adjustment amount established for the same twelve-month period. 	
	The balance adjustment amounts determined on the basis of the above paragras shall include interest applied to the monthly amounts, such interest to be calcu- rate equal to the average of the "Three-month Commercial Paper Rate" for the in preceding twelve-month period. The total of the balance adjustment amount divided by the expected kilowatt-hour sales for the upcoming twelve-month determine the DBA for such rate class. DSM balance adjustment amounts will be for recovery purposes to the rate classes to which over- or under-recoverie amounts were realized.	lated at a nmediately s shall be period to e assigned
	The filing of modifications to the DSMRC which require changes in the DCR of shall be made at least two months prior to the beginning of the effective period for	
	 Modifications to other components of the DSMRC shall be made at least thirty dat the effective period for billing. Each filing shall include the following infor applicable: 1) A detailed description of each DSM program developed by the collaborative the total cost of each program over the twelve-month period, an analysis or resource savings, information concerning the specific DSM or efficiency me be installed, and any applicable studies which have been performed, as avail 2) A statement setting forth the detailed calculation of the DCR, DRLS, DSM, DSMRC. 	mation as e process, f expected easures to able. DBA and C1230
	Each change in the DSMRC shall be placed into effect with bills rendered on an effective date of such change. KENTUC PUBLIC SERVICE (KY
	JEFF R. DEF EXECUTIVE DI	ROUEN
	TARIFF BRA	
	Issue: August 6, 2010 Bunt Kin	they
sued E	fective: February 6, 2009 By: Lonnie E. Bellar, Vice President, State Regulation and Rates, Lexington, Ke 2/6/20	09 011 SECTION 9 (1

P.S.C. No. 15, First Revision of Original Sheet No. 86.2 Canceling P.S.C. No. 15, Original Sheet No. 86.2

djustment Clause DSM	Passan Basebautan
Demand-Side Management Cost	Recovery Mechanism
DBA = DSM BALANCE ADJUSTMENT	
The DBA shall be calculated on a calendar- difference between the amount of revenues act DCCR, and previous application of the DBA and as follows:	ually billed through the DCR, DRLS, DSMI,
 For the DCR, the balance adjustment an amount billed in a twelve-month period from the actual cost of the approved programs du For the DRLS the balance adjustment ar amount billed during the twelve-month period 	the application of the DCR unit charge and ring the same twelve-month period. nount will be the difference between the
and the amount of lost revenues deter implemented during the twelve-month period	i .
 For the DSMI, the balance adjustment ar amount billed during the twelve-month perio and the incentive amount determined for during the twelve-month period. 	d from application of the DSMI unit charge
 4) For the DBA, the balance adjustment an amount billed during the twelve-month per balance adjustment amount established for the balance adjustment established for the ba	riod from application of the DBA and the
The balance adjustment amounts determined or shall include interest applied to the monthly an rate equal to the average of the "Three-Month C preceding twelve-month period. The total of t divided by the expected kilowatt-hour sales f determine the DBA for such rate class. DSM ba for recovery purposes to the rate classes for amounts were realized.	nounts, such interest to be calculated at a ommercial Paper Rate" for the immediately he balance adjustment amounts shall be for the upcoming twelve-month period to lance adjustment amounts will be assigned
DOOD - DOM CADITAL COST DECOVERY	
DCCR = DSM CAPITAL COST RECOVERY The DCCR component is the means by which the investments made for DSM programs, as well as a investments. The Company calculates the DCCR	in approved rate of return on such capital
DCCR = [(RB) (ROR + (ROR – D	
 a) RB is the total rate base for DCCR proje b) ROR is the overall rate of return on DSM 	1 Rate Base (RB).
c) DR is the composite debt rate (i.e., the embedded in ROR.d) TR is the composite federal and state	
equity return component of ROR. e) OE is the sum of the capital-related or	peratir g expenses (i.e.KEAGUGAGO
DSM projects to which DCCR applies.	Kes, and institute of the state
	TARIFF BRANCH
e of Issue: November 29, 2011	Bunt Kirtley
e Effective: December 30, 2011 ued By: Longie E. Bellar, Vice President, State Regula	ation and Rates, Lexington, Kentucky
	12/30/2011

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DSM **Adjustment Clause Demand-Side Management Cost Recovery Mechanism Monthly Adjustment Factors** Residential Service Rate RS, Volunteer Fire Department Service Rate VFD, and Low Emission Vehicle Service LEV Energy Charge \$ 0.00184 per kWh DSM Cost Recovery Component (DCR) DSM Revenues from Lost Sales (DRLS) \$ 0.00070 per kWh **DSM** Incentive (DSMI) \$ 0.00008 per kWh DSM Balance Adjustment (DBA) \$(0.00074) per kWh Total DSMRC for Rates RS, VFD and LEV \$ 0.00188 per kWh **General Service Rate GS Energy Charge** DSM Cost Recovery Component (DCR) \$ 0.00079 per kWh DSM Revenues from Lost Sales (DRLS) \$ 0.00086 per kWh DSM Incentive (DSMI) \$ 0.00004 per kWh DSM Balance Adjustment (DBA) \$ 0.00000 per kWh Total DSMRC for Rates GS \$ 0.00169 per kWh All Electric School Rate AES Energy Charge \$ 0.00024 per kWh DSM Cost Recovery Component (DCR) DSM Revenues from Lost Sales (DRLS) \$ 0.00014 per kWh DSM Incentive (DSMI) \$ 0.00001 per kWh **DSM Balance Adjustment (DBA)** \$(0.00014) per kWh Total DSMRC for Rate AES \$ 0.00025 per kWh Commercial Customers Served Under Power Service Rate PS, Time of Day Secondary Service Rate TODS and Time-of-Day Primary Service Rate TODP **Energy Charge** DSM Cost Recovery Component (DCR) \$ 0.00028 per kWh \$ 0.00024 per kWh DSM Revenues from Lost Sales (DRLS) DSM Incentive (DSMI) \$ 0.00001 per kWh DSM Balance Adjustment (DBA) \$(0.00030) per kWh Total DSMRC for Rates PS, TODS and TODP \$ 0.00023 per kWh (12/30/11 Industrial Customers Served Under Time-of-Day Secondary Service Rate TODS, Time-of-Day Primary Service Rate TODP, and Retail Transmission Rate RTS DSM Cost Recovery Component (DCR) PUBLIC S ON DSM Revenues from Lost Sales (DRLS) JERFOR DEROL DSM Incentive (DSMI) EXE DSM Balance Adjustment (DBA) BIDDBOONGber kWh Total DSMRC for Rates TODS, TODP, and RTS Date of Issue: April 21, 2011 Date Effective: May 31, 2011 Issued By: Lonnig E. Bellar, Vice President, State Regulation and Rates, Lexington, Kentucky 5/31/2011 mEbille PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

P.S.C. No. 15, Third Revision of Original Sheet No. 86.3 Canceling P.S.C. No. 15, Second Revision of Original Sheet No. 86.3



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P.S.C. No. 15, Fourth Revision of Original Sheet No. 86.3 Canceling P.S.C. No. 15, Third Revision of Original Sheet No. 86.3

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	Demand-Side Management Cost Rec	
	he Company then allocates the DCCR component the Company's various DSM-related capital investment	
. (HANGES TO DSMRC	
	Modifications to other components of the DSMRC sh the effective period for billing. Each filing shall applicable:	
	 A detailed description of each DSM program de the total cost of each program over the twelve- resource savings, information concerning the s be installed, and any applicable studies that have A statement setting forth the detailed calculat DCCR, and DSMRC. 	month period, an analysis of expected pecific DSM or efficiency measures to e been performed, as available.
	Each change in the DSMRC shall be placed into effective date of such change.	fect with bills rendered on and after the
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		C12/30/11
		KENTUCKY
		PUBLIC SERVICE COMMISSION
		JEFF R. DEROUEN EXECUTIVE DIRECTOR
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te of Iss	tive: December 30, 2011	Sunt Kirtley
ued By:	Lonnje E. Bellar, Vice President, State Regulation	12/30/2011
		PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

Issued by Authority of an Order of the KPSC in Case No. 2011-00134 dated November 9, 2011

P.S.C. No. 15, Original Sheet No. 86.4

djustment Clause DSM Demand-Side Management Cost Recover	erv Mechanism
Demand-olde Management oost Necon	
Monthly Adjustment Factors	
Residential Service Rate RS, Volunteer Fire Department Service	rvice
Rate VFD, and Low Emission Vehicle Service Rate LEV	Energy Charge
DSM Cost Recovery Component (DCR)	\$ 0.00144 per kWh
DSM Revenues from Lost Sales (DRLS)	\$ 0.00088 per kWh
DSM Incentive (DSMI)	\$ 0.00006 per kWh
DSM Capital Cost Recovery Component (DCCR)	\$ 0.00048 per kWh
DSM Balance Adjustment (DBA)	\$ <u>(0.00045</u>) per kWh
Total DSMRC for Rates RS, VFD and LEV	\$ 0.00241 per kWh
General Service Rate GS	Energy Charge
DSM Cost Recovery Component (DCR)	\$ 0.00077 per kWh
DSM Revenues from Lost Sales (DRLS)	\$ 0.00083 per kWh
DSM Incentive (DSMI)	\$ 0.00004 per kWh
DSM Capital Cost Recovery Component (DCCR)	\$ 0.00007 per kWh
DSM Balance Adjustment (DBA)	\$ <u>0.00006</u> per kWh
Total DSMRC for Rates GS	\$ 0.00177 per kWh
All Electric School Rate AES	Energy Charge
DSM Cost Recovery Component (DCR)	\$ 0.00024 per kWh
DSM Revenues from Lost Sales (DRLS)	\$ 0.00014 per kWh
DSM Incentive (DSMI)	\$ 0.00001 per kWh
DSM Capital Cost Recovery Component (DCCR)	\$ 0.00000 per kWh
DSM Balance Adjustment (DBA)	\$(0.0001-1) per kWh
Total DSMRC for Rate AES	\$ 0.00025 per kWh
Commercial Customers Served Under Power Service	
Rate PS, Time of Day Secondary Service Rate TODS,	
and Time-of-Day Primary Service Rate TODP	Energy Charge
DSM Cost Recovery Component (DCR)	\$ 0.00021 per kWh
DSM Revenues from Lost Sales (DRLS)	\$ 0.00023 per kWh
DSM Incentive (DSMI)	\$ 0.00001 per kWh
DSM Capital Cost Recovery Component (DCCR)	\$ 0.00000 per kWh
DSM Balance Adjustment (DBA)	\$(0.00029) per kWh
Total DSMRC for Rates PS, TODS and TODP	\$ 0.00016 per kWh
Industrial Customers Served Under Time-of-Day Secondary Service Rate TODS, Time-of-Day Primary	C12/30/11
Service Rate TODP, and Retail Transmission Rate RTS	Energy Charge
DSM Cost Recovery Component (DCR)	\$ 0.00000 per kWh
DSM Revenues from Lost Sales (DRLS)	\$ 0.0000 per kWh
DSM Incentive (DSMI)	PUBLIC SERVICEDED ANNISSION
DSM Capital Cost Recovery Component (DCCR)	SUUCCOUNTINGSTON
DSM Balance Adjustment (DBA)	JEFF RODEROUEN Wh
Total DSMRC for Rates TODS, TODP, and RTS	TARIFF BRANCH
te of Issue: November 29, 2011 te Effective: December 30, 2011	EFFECTIVE
ued By: Lonnie E. Bellar, Vice President, State Regulation an	nd Rates, Lexington, Kentucky 1/2/30/2011
March & Kaller)	PURSUANI TO 807 KAR 5:011 SECTION 9 (1)