

P.S.C. No. 7

Cancels P.S.C. No. _____

HENDERSON-UNION RURAL ELECTRIC COOPERATIVE CORPORATION

of

HENDERSON, KENTUCKY

Rates, Rules and Regulations for Furnishing

Electricity

at

Counties of Henderson, Union, Webster, Crittenden,
Caldwell, Lyon and Hopkins

Filed with the PUBLIC SERVICE COMMISSION OF KENTUCKY

ISSUED January 8, 1990 19 91 EFFECTIVE January 1, 19 91

**PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE**

JAN 1 1991

ISSUED BY

HENDERSON-UNION RURAL ELECTRIC
COOPERATIVE CORPORATION

(Name of Utility)

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY

John West
Manager

BY: *[Signature]*
PUBLIC SERVICE COMMISSION MANAGER

C10-92

FOR All-Territory Served

P.S.C. Ky. No. 6

First Revised Sheet No. 1

Cancelling P.S.C. Ky. No. 5

Original Sheet No. 1

HENDERSON-UNION RURAL ELECTRIC
COOPERATIVE CORPORATION
Name of Issuing Corporation

RULES AND REGULATIONS

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

General

JUL 15 1985

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY J. Stoghegan

1. SCOPE

This schedule of rules and regulations is a part of all contracts for receiving electric service from the cooperative and applies to all service received from the cooperative whether the service received is based upon a contract, agreement, signed application or otherwise. No employee or individual director of the cooperative is permitted to make an exception to rates or rules and regulations. Copies of rates and rules and regulations are on file in the cooperative office and can be obtained there.

2. REVISIONS

These rules and regulations may be revised, amended, supplemented or otherwise changed from time to time without notice, by action of the board of directors. Such changes, when effective, shall have the same force as the present rules and regulations.

3. CONSUMER'S RESPONSIBILITY FOR COOPERATIVE'S PROPERTY

All meters, service connections, and other equipment furnished by the cooperative shall be, and remain, the property of the cooperative. The member shall exercise proper care to protect the property of the cooperative on its premises and in the event of loss or damage to the cooperative's property arising from neglect of member to care for same, the cost of necessary repairs or replacement shall be paid by the member.

4. TAMPERING

If the meters or other property belonging to the cooperative are tampered or interfered with, the consumer being supplied through such equipment shall pay the amount which the cooperative may estimate is due for service rendered but not registered on the cooperative's meter, and for such replacements and repairs as are necessary as well as for costs of inspection, investigation, and protective installations.

5. CONTINUITY

The cooperative shall diligently try to provide constant and uninterrupted supply

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	Name of Officer		Title	Address			

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FOR All-Territory Served

P.S.C. Ky. No. 6

Second Revised Sheet No. 2

Cancelling P.S.C. Ky. No. 6

First Revised Sheet No. 2

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of electric energy, but should supply fail or be interrupted through acts of God, the public enemy, by accident, strikes, labor troubles, by action of the elements, or by any other cause beyond the reasonable control of the cooperative, the cooperative shall not be liable therefor.

6. RELOCATION OF LINES BY REQUEST OF MEMBERS

The cooperative established lines will not be relocated unless the expense for moving and relocating is paid by the member, except in instances where it would be to the advantage of the cooperative to make such relocation.

7. SERVICES PERFORMED FOR MEMBERS

The cooperative's personnel is prohibited from making repairs performing services to the members' equipment or property except in cases of emergency or to protect the public or member's person, or property. When such emergency services are performed the member shall be charged for such service at the rate of time and material.

Services Procedures

APPLICATION FOR SERVICE

Each prospective member desiring electric service will be required to sign the cooperative's form "Application for Membership and for Electric Service" before service is supplied by the cooperative and provide the cooperative with necessary easements or right-of-way easements or right-of-way permits.

9. The cooperative will not connect any service until the required membership fee plus any required deposits are paid. Requirements are as follows:

(a) Industrial accounts will be handled on an individual basis.

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(b) Commercial accounts - the consumer will pay a \$25.00 membership fee.

(c) Farm, Non-farm & Residential - it will be necessary that all members of this classification pay a \$25.00 membership fee. In the event the person occupying the premises is a tenant, a \$75.00 security deposit will be required in addition to the membership fee. Interest will be paid on all sums held on deposit at the rate of 6 percent annually. The interest will be applied as a credit to the customer's bill or will be paid to the customer on an annual basis. If the deposit is refunded or credited to the customer's bill prior to the deposit anniversary date, interest will be paid or credited to the customer's bill on a pro-rated basis. If interest is not credited to the customer's bill or paid to the customer annually, interest will be computed by a method which will result in an amount no less than that obtained by using a middle course method between simple and compound interest in compliance with Commission Order dated October 31, 1989 in Case No. 89-057. Interest on deposits computed in this manner will accrue until credited to the customer's bill or paid to the customer.

(N)

(d) In case of mobile homes, trailers, the consumer will in all cases pay a \$25.00 membership fee.

For those mobile home applications requesting service the following policy shall apply:

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BY: Shay Helms
PUBLIC SERVICE COMMISSION MANAGER

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FOR -All Territory Served

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE P.S.C. Ky. No. 6

HENDERSON-UNION RURAL ELECTRIC COOPERATIVE CORPORATION

JUL 15 1985

First Revised Sheet No. 3

Cancelling P.S.C. Ky. No. 5

PURSUANT TO 807 KAR 5:011, SECTION 9 (1), Original Sheet No. 3

BY: *J. Droghegan* RULES AND REGULATIONS

- (1) All extensions of up to 150 feet from the nearest facility shall be made without charge.
- (2) Extensions greater than 150 feet from the nearest facility and up to 300 feet shall be made provided the customer shall pay the utility a "customer advance for construction" of fifty dollars (\$50) in addition to any other charges required by the utility for all customers. This advance shall be refunded at the end of one (1) year if the service to the mobile home continues for that length of time.
- (3) For extensions greater than 300 feet and less than 1,000 feet from the nearest facility, the utility may charge an advance equal to the reasonable costs incurred by it for that portion of the service beyond 300 feet plus fifty dollars (\$50). Beyond 1,000 feet, the extension policies set forth in Section II apply. (T)

 - (a) This advance shall be refunded to the customer over a four (4) year period in equal amounts for each year the service is continued.
 - (b) If the service is discontinued for a period of sixty (60) days, or should the mobile home be removed and another not take its place within sixty (60) days, or be replaced by a permanent structure, the remainder of the advance shall be forfeited.
 - (c) No refunds shall be made to any customer who did not make the advance originally.
 - (d) Sign -- in cases of sign lighting, if it is an established firm, no deposit of any nature will be required except membership fee. (T)

Only one membership shall be required for each service. The membership fee or security deposit shall be refunded if all financial obligations are paid, or shall be applied against any unpaid bills of the member at the time service is discontinued. This will automatically terminate the membership.

10. RIGHT OF ACCESS

The cooperative's identified employee shall at all reasonable hours have access to meters, service connections and other property owned by the Cooperative for the purpose of reading meter, testing, repairing, removing or exchanging any and all equipment belonging to the Cooperative. (T)

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- (3) Mobile home owners have the option of being supplied a standard 80 amp. Plug-In Type Service for \$90.00, including material and labor. This is being done in order to facilitate mobile home owners in a quick hook-up, but this will not be done until the \$90 is paid. Inspection is required on all wiring done by applicant or his electrician. A regular hook-up can be used in lieu of the quick hook-up. (D)

COST OF MATERIAL FOR MOBILE HOME SERVICE

Weather Head 1 - 1½"	-	\$ 2.11
Conduit 1½ - 10'	-	4.09
Nipple 1" x 4"	-	1.03
Power Outlet Box 1	-	44.47
#4 THHN for 80 Amp	-	11.72
#6 S.D. Copper	-	.31
Miscellaneous Material and Labor		21.98
Tax		4.29
Total		\$ 90.00

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BY: *George J. Geoghegan*

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PURSUANT TO 807 KAR 5:011,
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BY *[Signature]* RULES AND REGULATIONS

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First Revised Sheet No. 4

11. NOTICE OF TROUBLE

Consumer shall give immediate notice at the office of the cooperative of any interruptions, or irregularities, or unsatisfactory service, and of any defects known to consumer. Cooperative may at any time it deems necessary, suspend supply of electrical energy to any consumer or consumers for the purpose of making repairs, changes, or improvements upon any part of its system. Cooperative shall make reasonable notice of such discontinuance to consumer.

12. MEMBER'S DISCONTINUANCE OF SERVICE

Any member desiring service discontinued or changed from one location to another shall give the Cooperative three (3) working days notice in person or in writing (T) provided such service does not violate contractual obligations.

13. RECONNECTION CHARGES

The Cooperative will make no charge for connecting service to the member's premises for the initial installation of service, or to the member's premises if the service has been destroyed by fire. When service has been terminated, or service is transferred to a new member, the Cooperative's representative shall read the meter at such premises and secure the necessary application for service from the new occupant. A service charge of \$10.00 (ten dollars) will be made to new occupant for the reconnecting or transferring of such service. Service charge will be due and payable at time of connection or transfer, or upon notice of said charge. No meters shall be installed or reinstalled after working hours unless in the judgement of the Manager there exists circumstances that will justify the additional expense. In these cases, a service charge of \$35.00 (thirty-five dollars) will apply.

14. RESALE OF POWER BY MEMBERS

All purchased electric service used on the premises of the member shall be supplied exclusively by the Cooperative and the member shall not directly or indirectly sell, sublet, or otherwise dispose of the electric service of any part thereof.

15. SERVICE CHARGE

All service calls made by the Cooperative pertaining to the consumer's premises shall be charged at the rate of \$10.00 (ten dollars) per call during normal working hours.

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First Revised Sheet No. 5

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16. SERVICE CHARGE FOR TEMPORARY SERVICE

Consumers requiring temporary service may be required to pay all costs of connecting and disconnecting incidental to the supplying and removing of service. Consumers will be billed for electric service as any other consumer during the connected period.

Effective July 1, 1974, the Cooperative will furnish a temporary service board for temporary services. There will be a \$20.00 service charge for this service, for a period of 6 months, with a \$5.00 per month charge for each additional month, up to a maximum of 12 months. This service charge of \$20.00 must be paid before the temporary service is installed.

METERS

17. METER TESTS

All new meters shall be checked for accuracy before installation. The Cooperative will, at its own expense, make periodic tests and inspections of its meters in order to maintain a high standard of accuracy and to conform with the regulations of the Public Service Commission. The Cooperative will make additional tests of meters at the request of the member upon payment of a \$10.00 fee, if the meter has been tested within the past eight years. If the meter has not been tested within the past eight years, there will be no charge for the meter test. When the test is made at the member's request shows that the meter is accurate, within 2% slow or fast, no adjustment will be made to the member's bill and the fee paid will be forfeited to help cover cost of the requested test. When the test shows the meter to be in excess of 2% slow or fast appropriate adjustments will be made to the member's bill. Refunds will be made in accordance with Energy Regulatory Commission's Rules and Regulations (807 KAR 5 :006 Section 9 (5)). If the test shows the meter to be more than 2% fast (T the \$10.00 fee paid by the member shall be refunded.

18. FAILURE OF METER TO REGISTER

In the event a member's meter should fail to register, the member shall be billed from the date of such failure at the average consumption of the member based on the three-month period immediately preceding the failure.

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19. DISCONTINUANCE OF SERVICE BY COOPERATIVE

The cooperative will discontinue or refuse service without notice to a customer or an applicant when a dangerous condition is found to exist on the customer's or applicant's premises. The cooperative may refuse or discontinue service to

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BY: J. Leoghegan

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an applicant or customer, after proper notice for failure to comply with its rules and regulations, when a customer or applicant refuses or neglects to provide reasonable access to the premises, for fraudulent or illegal use of service, or for non-payment of bills. If discontinuance is for nonpayment of bills, the customer shall be given at least ten (10) days written notice separate from the original bill, and cut-off shall be effected not less than twenty-seven (27) days after the mailing date of the original bill unless prior to discontinuance, a residential customer presents to the cooperative a written certificate, signed by a physician, registered nurse, or public health officer, that such discontinuance will aggravate an existing illness or infirmity on the affected premises, in which case discontinuance may be effected not less than thirty (30) days from the date the utility notifies the customer in writing of state and federal programs which may be available to aid in payment of bills and the office to contact for such possible assistance. The discontinuance of service by the cooperative for any cause stated in this rule does not release the member of his obligation of all bills due.

20. THREE PHASE SERVICE

Consumers are required to negotiate a contract for all three-phase service except as otherwise provided herein. Term of contract is determined by amount of investment required.

The consumer will sign a contract providing necessary surety that they will purchase an annual amount of electricity equal to three percent per month of investment incurred in extending this service, this guaranteed usage of power to extend for a (T) period of three years, after which the cooperative's regular monthly minimum bill be based on KVA capacity of transformer.

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BY: J. Geoghegan

ELECTRIC MOTORS

Any single-phase motor larger than 7½ h.p. will not be permitted except by written permission of the cooperative. Larger motors must be three-phase.

21. PRIMARY METERING

At any time the cooperative finds it more desirable, it may at its own option and expense install primary metering equipment. The consumer will own and operate all facilities past the metering point.

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22. EXTENSION TO UNDERGROUND SERVICE

Henderson-Union RECC will extend underground facilities to areas which physically and economically lend themselves to this type of service under the following terms and conditions which insure adequate service and safety to all persons engaged in the construction, maintenance, operations, or use of underground facilities and to the public in general. The terms and conditions also reflect and protect the rate payers who are served with overhead facilities from subsidizing those served with higher cost underground facilities and in general requires the reimbursement of the cost difference between overhead and underground facilities necessary to serve a given load requirement.

1. Definitions

The following words and terms when used in these rules and regulations have the meaning indicated:

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(a) Applicant

The developer, builder or other person, partnership, association, corporation or governmental agency applying for the installation of an underground electric distribution system.

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SECTION 9 (C)

BY: *J. Deaghegan*

(b) Building

A structure enclosed within exterior walls or fire walls, built, erected, and framed of component structural parts and designed for less than five (5) family occupancy.

(c) Multiple-Occupancy Building

A structure enclosed within exterior walls or fire walls, built, erected and framed of component structural parts and designed to contain five (5) or more individual dwelling units.

(d) Plant, Store, Warehouse, Commercial, School, Church, Community Building, Industrial, Etc.

A structure (or structures) other than residential occupancy where power is used for any type of service classification other than residential.

(e) Distribution System

Electric service facilities consisting of primary and secondary conductors, transformers, and necessary accessories and appurtenances for the furnishings of electric power at utilization voltage.

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HENDERSON-UNION RURAL ELECTRIC
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(f) Subdivisions

The tract of land which is divided into ten (10) or more lots for the construction of new residential buildings, or the land on which is constructed two (2) or more new multiple occupancy buildings.

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(g) Individual Service

Any service resulting in only one metering point on a permanent type building used as a residence.

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(h) Indeterminate Service

Includes service to mines, quarries, oil wells, industrial and commercial enterprises of speculative purposes, seasonal use of any type, real estate subdivision, development of property for sale, enterprises where the applicant will not be the user of service, where there is little or no demand for service, tenant houses, seasonal cabins, rental property and to barns, wells, and other service where the amount of permanency of service can not be reasonably assured.

BY: J. Geoghegan

(i) Trenching & Backfilling

Opening and preparing the ditch for the installation of conductors including placing of raceways under roadways, driveways, or paved areas; providing a sand bedding below and above conductors when required; and backfill of trench to ground level. Minimum depth 48" primary, 24" secondary.

2. Rights-of-Way & Easements

(a) The Cooperative shall construct, own, operate, and maintain distribution lines only along easements, public streets, roads, and highways which are legal right accessible to the utility's equipment and which the utility has the legal right to occupy, and on the public lands and private property across which rights-of-way and easements satisfactory to the Cooperative are provided without cost or condemnation by the Cooperative.

(b) Rights-of-way and easements suitable to the Cooperative at the underground distribution facilities must be furnished by the Applicant in reasonable time to meet service requirements. The Applicant shall make the area in which the underground distribution facilities are to be located accessible to the Cooperative's equipment, remove all obstructions from such area, stake to show the property lines and final grade, and maintain clearing and grading during construction by the Cooperative. Suitable land rights shall be granted to the Cooperative obligating the Applicant and subsequent property owners to provide continuing access to the utility for operation,

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BY: J. Geoghegan
RULES AND REGULATIONS

maintenance or replacement of its facilities, and to prevent any encroachment in the utility's easement or substantial changes in grade or elevation thereof.

- (c) Where not feasible to trench under roads, highway, railroads, lakes, streams, etc., the Cooperative shall have the right to place this portion overhead with the granting of easements (at no cost to the Cooperative) for such overhead construction.

3. Installation of Underground Distribution System - Subdivisions

- (a) Where appropriate contractual arrangements have been made, the Cooperative shall install within the subdivision an underground electric distribution system of sufficient capacity and suitable materials which, in its judgment, will assure that the property owner (s) will receive safe and adequate electric service for the foreseeable future.
- (b) All single phase conductors installed by the utility shall be underground. Appurtenances such as transformers, pedestal-mounted terminals, switching equipment and meter cabinets may be placed above ground.
- (c) Multi-phase primary mains or feeders required within a subdivision to supply local distribution or to service individual multi-phase loads may be overhead unless underground is required by governmental authority or chosen by the Applicant, in either of which case the differential cost of underground shall be borne by the Applicant.
- (d) If the Applicant has complied with the requirements herein and have given the Cooperative not less than 120 day's written notice prior to the anticipated date of the completion (i.e., ready for occupancy of the first building) in the subdivision, the Cooperative shall complete the installation 30 days prior to the estimated completion date. (Subject to weather and ground conditions and availability of materials and barring extraordinary or emergency circumstances beyond the reasonable control of the Cooperative.) However, nothing in this policy shall be interpreted to require the Cooperative to extend service to portions of the subdivision not under active development.
- (e) A non-refundable payment shall be made by the Applicant equal to the difference between the cost of providing underground facilities and that of providing overhead facilities. The payment to be made by the Applicant shall be determined from the total footage of single-phase primary, secondary,

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BY: *J. Deoghegan*
RULES AND REGULATIONS

- (i) Plans for the location of all facilities to be installed shall be approved by the Cooperative and the Applicant prior to construction. Alterations in plans by the Applicant will require additional cost of installation or construction shall be at the sole expense of the Applicant.
- (j) The Cooperative shall not be obligated to install any facility within a subdivision until satisfactory arrangements for the payment of charges have been completed by the Applicant.
- (k) The charges specified in these rules are based on the promise that each Applicant will cooperate with the utility in an effort to keep the cost of construction and installation of the underground electric distribution system as low as possible and make satisfactory arrangements for the payment of the above charges prior to the installation of the facilities.
- (l) All electrical facilities shall be installed and constructed to comply with the rules and regulations of the Public Service Commission, National (T) Electric Safety Code, Henderson-Union RECC specifications, or other rules and regulations which may be applicable.
- (m) Service pedestals and method of installation shall be approved by Henderson-Union RECC prior to installation.
- (n) The Cooperative shall backfill only once and in the event of further settling or washing, the Applicant shall be responsible for all necessary additional backfilling.
- (o) An additional \$20.00 per linear trench foot shall be charged where extremely rocky conditions are encountered, such conditions being defined as limestone or other hard stratified material in a continuous volume of at least one cubic yard or more which can not be removed using ordinary excavation equipment.
- (p) In the event of a grade change which results in the Cooperative reburying or setting deeper any underground facility to maintain safety limits, the entire cost of such reburying or relocation shall be borne by Applicant.
- (q) In unusual circumstances, when the applicant of these rules appears impracticable or unjust to either party, or discriminatory to other customers, the Cooperative or Applicant shall refer the matter to the Commission for a special ruling or for the approval of special conditions which may be mutually agreed upon, prior to commencing construction.

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4. Installation of Underground Facilities to Individual Service Delivery Points

- (a) Where primary and secondary conductors are involved, the Cooperative shall estimate the cost to provide adequate service both overhead and underground, and the Applicant shall pay such difference in cost as a non-refundable contribution prior to the commencement of such construction. Where an indeterminate consumer is the Applicant, the Cooperative may require an advance deposit in addition to the contribution and which deposit shall be returned to the Applicant as provided in the Indeterminate Extension Policy and in accordance with Kentucky ERC Rules governing such construction deposits. All other terms and conditions set forth in Section "E", 12, 2 and 3 which are not in conflict this Section "E", 4, shall also apply.
- (b) Where only secondary conductors are involved, the Cooperative shall install underground conductors as follows:
 - 1. The Cooperative will trench and backfill and install the secondary conductor, the Applicant shall pay one dollar and fifty cents (\$1.50) per underground cable foot (pole to meter) prior to the commencement of such construction.

5. Change from Overhead to Underground Facilities

Where an existing customer requests underground facilities and is presently being served with adequate overhead facilities, then the entire cost of such change shall be borne by the Applicant as a non-refundable contribution prior to the commencement of such construction. The cost includes:

- (a) Labor, material, and overhead charges for the new installation. \$2.69 per underground cable foot. (Service only, pole to meter.)

All other terms and conditions set forth in Sections "E", 1, 2 and 3 not in conflict with this section shall also apply.

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PURSUANT TO 807 KAR 5-011,
SECTION 9 (4)

BY: Geoghegan

6. Three Phase Requirements - Underground

Any consumer requiring three-phase loads which are to be served by URD cables shall be encouraged to install all 3Ø equipment rated for 120/208 or 277/480 volts. The transformer (s) are to be connected grd. wye - grd. wye to minimize the possibility of ferroresonance.

DATE OF ISSUE	January	11	1980	DATE EFFECTIVE	January	31	1980
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ISSUED BY	<u>John West</u>		Manager	P. O. Box 18, Henderson, KY 42420		Address	
	Name of Officer		Title				

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FOR All Territory Served

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If the consumer insists on a voltage requiring a delta connected transformer, the consumer will be required to pay for the equipment required to avoid ferroresonance, such as (1) 3Ø OCT, (2) gang operated air break switch at riser pole, or (3) dummy loads.

23. WIRING - INSPECTION STANDARDS AND FEES

Standards. All wiring of consumers' premises must conform with the requirements of the National Electric Code, ANSI/NFPA 70 and Henderson-Union Rural Electric Cooperative Corporation. T

Inspections. All wiring installations shall be inspected prior to meter installations. Additions and/or changes to consumers wiring after original inspection shall be inspected. It shall be the consumers' responsibility to report such additions and/or changes to the Cooperative. T

Fees. Inspection fees shall be paid prior to inspection and meter installation.

(1) Time of Payment

- (a) New service inspection fee shall be paid with application for service. T
- (b) Additional wiring inspection fee shall be paid at the time of consumer's report to the Cooperative of the proposed addition. PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

(2) Fee Schedule

Residential and Farm:

- (a) Roughin inspection fee for new construction, remodeling and/or additions \$10.00 N
- (b) 100 amp, or less, service complete with branch circuits \$20.00
- (c) 200 amp service complete with branch circuits \$30.00
- (d) 400 amp service complete with branch circuits \$35.00
- (e) Services above 400 amp \$.15 per amp

If it is necessary to change out service entrance equipment, the above schedule shall apply.

In determining the fee for an installation involving a meter pole, the rating of the service on the meter pole shall govern the inspection fee. (Initial installation only.)

DATE OF ISSUE April 11 1986 DATE EFFECTIVE May 1 1986
Month Day Year Month Day Year

ISSUED BY John West mgr. Address _____
Name of Officer Title

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3. Re-inspection:

- (a) Re-inspection fee of wiring installations previously turned down will be \$10.00.

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Waiving of Inspection. Cooperative inspection requirements for the following will be waived:

PURNAPOLITEKAR5-011,
SECTION 9 (C)
BY: *J. Geophagan*

- 1. Any wiring installation coming under the jurisdiction of the Fire Marshall's office. The Cooperative requires certification from the Fire Marshall's office that the job has passed inspection before it will be connected permanently.
- 2. An industrial installation wired under supervision of a licensed engineer.

24. BILLING

Notices of amounts due and payable are sent to consumers of Henderson-Union Rural Electric Cooperative Corporation using four cycle billing periods based on map. Location on consumer's account. Date of current billing, penalty, late notice, and disconnect are as follows:

Billing Cycle	Billing Date	Penalty Date	Late Notice	Disconnect For Nonpayment
1	1st	15th	20th	11 days after late notice
2	8th	23rd	28th	11 days after late notice
3	15th	30th	5th following month	11 days after late notice
4	20th	5th following month	10th following month	11 days after late notice

Should it become necessary for a representative of the Cooperative to call at the consumer's premises or other locations for the purpose of collecting a delinquent account, a charge of \$10.00 will be made to the member's account for the extra service rendered, due and payable at such time delinquent account is collected. If service is discontinued for nonpayment, an additional charge of \$10.00 will be made for reconnecting service, due and payable at time of such reconnection, plus security deposit.

When a check is received in payment of a consumer account and returned unpaid by a bank for any reason, such account, together with all others owed by the consumer shall be due and payable upon demand, and such consumer subject to discontinuance of service without further notice.

DATE OF ISSUE November 30 1981 DATE EFFECTIVE December 20 1981
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ISSUED BY J. Geophagan Manager P. O. Box 18, Henderson, KY 42420
Name of Officer Title Address

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Henderson-Union will assess a \$10.00 handling fee for any check that is returned to the Cooperative from the consumer's bank for insufficient funds or any reason of nonpayment. (I)

- 25. All meters with demand devices are read by a representative of the cooperative.

Meter cards are mailed to all other consumers together with the bill, using the one-card system. Upon failure of a consumer to return the meter reading card for three consecutive months the Cooperative shall have its representative read the member's meter and a service charge of \$10.00 will be made for the extra service rendered. The service charge will be made to the member's account and will be due and payable upon notice of said charge. In the event that an error in meter reading should be made, then the member shall pay for that month an equal to approximately his average bill. The following month his bill shall be computed on the regular schedule prorated for two months, and the amount paid shall be credited.

- 26. MONITORING USAGE

The following procedure has been established for monitoring customer usage so as to detect any unusual deviations in individual customer usage and the reasons for such deviations:

The computerized billing system is programmed to automatically alert H-U RECC to any consumer provided meter reading which would cause KWH usage to be significantly higher or lower than usual.

The criteria employed in the computer program to determine "High usage is the current month's KWH usage is 200% higher than the prior month's KWH usage.

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- 27. RATES

Billing shall be prepared on basis of rates approved by the Board of Directors and Public Service Commission. JAN 1 1991

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

DATE OF ISSUE January 8, 1991 DATE EFFECTIVE BY Jan 1 1991
PUBLIC SERVICE COMMISSION MANAGER

ISSUED BY John West TITLE Manager
Name of Officer

Issue by authority of an Order of the Public Service Commission of Kentucky in Case No. 90-133 Date December 21, 1990

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28. DELINQUENTS

In case a delinquent bill is uncollected, and service disconnected by reason thereof, then payment may be required of all amounts due by such consumer before reconnection.

Classification of Consumers

29. SCHEDULE "A" - RESIDENTIAL (Single Phase)

This rate shall apply to electric service to single family dwellings, schools, churches, civic organizations and like customers of a noncommercial nature.

30. SCHEDULE "B" - FARM, GOVERNMENT, OR COMMERCIAL (50 KVA INSTALLED CAPACITY OR UNDER)

This rate shall apply to electric service accounts for commercial, farm and government customers.

31. SCHEDULE "B-1" - FARM OR COMMERCIAL OVER 50 KVA INSTALLED CAPACITY BUT NOT TO EXCEED 500 KVA

This rate shall apply to any farm or commercial service located on or near three-phase service.

32. SCHEDULE "LP-3" - LARGE POWER (501 KW to 2000 KW)

This rate shall apply to industrial, or any consumer requiring service at 350 KW to 2000 KW.

33. SCHEDULE "LP-4" - LARGE POWER (Over 2000 KW)

This rate shall apply for large power consumers contracting for demand of not less than 2001 KW.

34. SCHEDULE "SL" - STREET LIGHTS

This rate shall apply to any consumer or organization within the service area requiring "SL".

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35. SCHEDULE "D" - SECURITY LAMP

This rate shall apply to any consumer within the service area.

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36. TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of

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	Name of Officer			Title	Address		

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service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate shall apply.

37. NO PREJUDICE OF RIGHTS

Failure by the Cooperative to enforce any of the terms of this tariff shall not be deemed as a waiver of the right to do so.

38. FUEL ADJUSTMENT CHARGE

In case for any month the rate under which Seller (Henderson-Union Rural Electric Cooperative Corporation) purchases power at wholesale from its supplier (Big Rivers Electric Corporation) is adjusted in accordance with any present or future fuel cost or surcharge provided for in Seller's wholesale power contract or by order of the Public Service Commission, then the foregoing monthly energy charges shall be adjusted for each kilowatt hour sold by dividing the total dollar amount of such fuel and surcharge cost adjustment to Seller attributable to each class of service by the total number of kilowatt hours sold by Seller for such class of service for the billing month.

(The above Fuel Adjustment Charge is subject to the provisions of and may be amended from time to time to conform to 807 KAR 5:056 and to any adjustment in (T) the fuel clause or other increments which may be made in Big Rivers Electric Corporation's Fuel charges under 807 KAR 5:056 by the Public Service Commission.)(T)

*The monthly kilowatt hour usage shall be subject to plus or minus an adjustment per KWH determined in accordance with the "Fuel Adjustment Charge".

39. BUDGET BILLING

Each member will be sent an application for Budget Billing in residential classification.

Budget Billing will be established once each year, October through August, with September as annual adjustment month.

The following are the conditions for contract of Budget Billing:

1. Should it become evident that the amount set up is substantially over or under the actual usage, the budget payment may be adjusted only by the Cooperative. Member will be notified.

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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: *J. Geoghegan*

DATE OF ISSUE	June	12	1985	DATE EFFECTIVE	July	1	1985
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ISSUED BY	<i>John West</i>	Manager	P. O. Box 18, Henderson, KY 42420
	Name of Officer	Title	Address

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2. Any consumer being billed by budget payment contract agrees to all rules, regulations and policies as all other consumers receiving service from Henderson-Union RECC with the exception of the budget payment being acceptable.
3. In addition to making the budget payment monthly, the consumer agrees to render a meter reading by the due date or if the account is estimated for three consecutive months, Cooperative personnel will read the meter which will result in an additional service charge to be added to the bill.
4. This agreement may be cancelled by either party giving a 30-day notice or will automatically cancel upon failure of the member to make the scheduled monthly payment, or by disconnection of service. Upon termination of the contract, the final billing will be adjusted to actual usage.

40. ENERGY EMERGENCY CONTROL PROGRAM

Purpose - To provide a plan for reducing the consumption of electric energy on the Electric Distribution Utility Company (Company) system in the event of a severe coal shortage, such as might result from a general strike in the coal mines.

For the purpose of this program, the following priority levels have been established:

- I. Essential Health and Safety Uses-as defined in Appendix A
- II. Residential Use-as defined in Appendix B
- III. Commercial Uses-as defined in Appendix B
- IV. Industrial Uses-as defined in Appendix B
- V. Nonessential Uses-as defined in Appendix C

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PURSUANT TO 807 KAR 5-011,
SECTION 9 (1)

BY: *J. Deoghegan*

Procedures -- In the event of a potential severe coal shortage, such as one resulting from a general coal strike the following steps will be implemented. These steps will be carried out to the extent not prohibited by contractual commitments or by order of the regulatory authorities having jurisdiction. The "days' operation" referred to below will be furnished by the generation and transmission utility which supplied the wholesale power to the company. After each curtailment of electric service, the generation levels will

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be adjusted to the new, reduced level in the calculation by the generation and transmission utility of the "days' operation" of remaining coal inventory.

I. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 50 days' operation of coal-fired generation and a continued downward trend in coal stocks is anticipated:

1) Curtail the use of energy in all company offices, plants, etc.

II. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 40 days' operation of coal-fired generation and a continued downward trend in coal stocks is anticipated:

1) Curtail electric energy consumption by customers on interruptible contracts to a maximum number of hours of use per week as negotiated within the context to the contract provisions.

2) Through use of the news media and direct customer contact, appeal to all customers, both retail and wholesale, to voluntarily reduce their use of electric energy as much as possible, and in any case endeavor to reduce the nonessential use of electricity (Priority Level V) by at least 25%.

3) The Company shall advise customers of the nature of the mandatory program to be introduced in Section III below through direct contact and mass media, and establish an effective means of answering specific customer inquiries concerning the impact of the mandatory program on his electricity availability.

III. To be initiated-in the order indicated below-when Big Rivers Electric Corporation's fuel supplies are decreased to 30 day's operation of coal-fired plants and continued downward trend in coal stocks is anticipated:

1) Implement mandatory curtailment of electric service to all customers as indicated below:

(a) Priority Level V - 100%

(b) Priority Level IV-25% (based on the "monthly base period use" as defined in Appendix D)

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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY J. D. Ghegan

DATE OF ISSUE April 7 1981 DATE EFFECTIVE April 3 1981
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Name of Officer Title Address

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- (c) Priority Level II and Priority Level III-15%
 - 2) Utilize voltage reduction as a means of reducing KWH consumption if this is deemed a feasible and viable measure in the Company's Service area.
 - 3) The Company shall advise all customers of the mandatory program specified in Section IV below.
- IV. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 20 days' operation of coal-fired generation (at the daily burn rate resulting from the curtailments implemented in Section III, above) and continued downward trend in coal stock is anticipated.
- 1) Implement mandatory curtailment of electric service to all customers as indicated below:
 - (a) Priority Level V-100%
 - (b) Priority Level IV- 50% (an additional 25% of the original "monthly base period use" as defined in Appendix D).
 - (c) Priority Level II and Priority Level III 25%
 - (d) Priority Level I-10%
 - 2) The Company shall advise all customers of the mandatory program specified in Section V below.
- V. To be initiated when the Big Rivers Electric Corporation notifies the Company that fuels supplies are decreased to a level which will result in 60 days' operation remaining for Priority Levels I, II, and III, after the following curtailment has been implemented. (For Big Rivers Electric Corporation's system, this is approximately 67,000 tons.)
- 1) Implement mandatory curtailment of electric service to all priority levels (including Priority Level I) at a minimum service level which is not greater than that required for protection of human life and safety, protection of physical plant facilities, and employees' security.
 - 2) The Company shall advise all customers of the mandatory program specified in Section VI below.

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PURSUANT TO 807 KAR 5:01
SECTION 9 (1)

BY: *J. Stogdola*

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VI. To be initiated as a measure of last resort when Big Rivers Electric Corporation's fuel supplies are decreased to 15 days' operation of coal fired generation (at the daily burn rate resulting from the curtailments implemented in Section V, above) and continued downward trend in coal stocks is anticipated:

- 1) Implement procedures for interruption of selected distribution circuits on rotational basis, while minimizing--to the extent practicable--interruption to Priority Level I.

With regard to mandatory curtailment identified in Sections III, IV, V, and VI above, the Company proposes to monitor compliance after the fact, to the extent feasible, as approved by the Commission. A customer exceeding his electric energy allotment would be warned to curtail his usage or face, upon continuing noncompliance and upon one day's written notice, disconnection of electric service for the duration of the energy emergency.

Termination of Energy Emergency--The Energy Emergency Control Program shall be terminated upon notice of the Commission, when

- (a) the remaining days of operation of coal-fired generation is at least 20 days
- (b) coal deliveries have been resumed
- (c) there is reasonable assurance that the coal stocks are being restored to adequate levels.

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APPENDIX "A"

ESSENTIAL HEALTH AND SAFETY USES

PURSUANT TO 807 KAR 5-011,
SECTION 4 (1)
BY: *J. Geoghegan*

Essential health and safety uses given special consideration in these procedures shall, insofar as the situation permits, include the following types of use and such other uses which the Commission may subsequently identify:

- (a) "Hospitals", which shall be limited to institutions providing medical care to patients.
- (b) "Life Support Equipment", which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
- (c) "Police Stations and Government Detention Institutions", which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of persons. These uses shall include essential street, highway and signal-lighting services.

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- (d) "Fire Station", which shall be limited to facilities housing mobile fire-fighting apparatus.
- (e) "Communication Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (f) "Water and Sewage Services", which shall be limited to essential uses required for the supply of water to a community, flood pumping and sewage disposal.
- (g) "Transportation and Defense-related Services", which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the nation defense and operation of state and local emergency services.
- (h) "Other Energy Source Services", which shall be limited to essential uses required for the production, transportation, transmission and distribution--for fuel--of natural or manufactured gas, coal, oil or gasoline.
- (i) "Perishable Food or Medicine", which shall be limited to refrigeration for the storage and preservation of perishable food or medicine, when that use is substantially all of the customer's load.

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PURSUANT TO 807 KAR 15.001
SECTION 9.1

BY *J. Seagraves*

Although these types of uses will be given special consideration when implementing the manual load-shedding provisions of this procedure, these customers are encouraged to install emergency generation equipment if continuity of service is essential. In case of customers supplied from two utility sources, only one source will be given special consideration. Also, any other customer who, in their opinion, have critical equipment should install emergency generation equipment.

APPENDIX "B"

RESIDENTIAL USES, COMMERCIAL USES, AND INDUSTRIAL USES

"Residential Uses", "Commercial Uses", and "Industrial Uses", shall be defined for purposes of this Energy Emergency Control Program to be the same as the "Residential", "Commercial" and "Industrial" classifications, respectively, in the rate schedules in the Electric Distribution Utility Company's tariff, with the exclusion of those uses defined as "Essential Health and Safety Uses: in Appendix A and those defined as "Nonessential Uses" in Appendix C.

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APPENDIX "C"

NONESSENTIAL USES

The following and similar types of uses of electric energy and others which the Commission may subsequently identify shall be considered nonessential for all customers:

- (a) Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a single illuminated sign identifying commercial facilities when operating after dark.
- (b) General interior lighting levels greater than minimum functional levels.
- (c) Show-window and display lighting.
- (d) Parking-lot lighting above minimum functional levels.
- (e) Energy use greater than that necessary to maintain a temperature of not less than 78 degrees during operation of cooling equipment and not more than 65 degrees during operation of heating equipment.
- (f) Elevator and exscalator use in excess of the minimum necessary for non-peak hours of use.
- (g) Energy use greater than that which is the minimum required for lighting, heating or cooling of commercial or industrial facilities for maintenance cleaning or business-related activities during non-business hours.

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PURSUANT TO 807 KAR 5:01
SECTION 9 (1)

BY: *J. Deoghegan*

APPENDIX "D"

MONTHLY BASE PERIOD

"Monthly Base Period Use" is defined as the customer's usage during the corresponding monthly billing cycle of the twelve monthly billing periods immediately prior to December 31 of the year immediately preceding the current year, adjusted to reflect any increases or decreases of load in the most recent three-month period due to the installation or removal of equipment or a change in operating rate as computed in the formula.

Upon application by the customer and agreement by the Company, a one-time adjustment of the monthly energy use of the twelve-month billing period ending December 31 of the year immediately preceding the current year, or an adjustment of the prior

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three-month usage (PQKWH), will be made to correct any abnormalities of energy use resulting from such events as strikes and breakdowns of major equipment that may have occurred during the period in question. For customers connected after December 31 of the year preceding the current year by one year, base period energy use will be negotiated between the customer and the Company

$$AMPB = CM \times \frac{PQ}{BPQ}$$

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WHERE:

AMPB = Adjusted Monthly Base Period (KW or KWH)

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CM = Corresponding month during the year immediately preceding the current year

BY: *John Geoghegan*

PQ = Average use (KW or KWH) for the second, third and fourth monthly billing periods immediately prior to the date of the curtailment order. Should a curtailment be extended so that one of the three monthly billing periods reflects usage under a curtailment period, the actual billing for that month is replaced with the AMBP previously calculated for that month.

BPQ = Average of corresponding three monthly billings prior to CM.

Example: (Curtailment ordered during month of May 1981)

1st Curtailment Month

Since the April 1981 billing may not always be available, then for uniformity to all customers--from the time curtailment is ordered until the May meter reading date:

$$\text{May '81} = \frac{(\text{Jan., Feb., March}) \text{'81} \times \text{May '80}}{(\text{Jan., Feb., March}) \text{'80}}$$

2nd Curtailment Month

$$\text{June '81} = \frac{(\text{Feb., March, April}) \text{'81} \times \text{June '80}}{(\text{Feb., March, April}) \text{'80}}$$

3rd Curtailment Month

Since May '81 will reflect electric use under a curtailment, May '81 will be replaced with May '81 as calculated in the 1st Curtailment Month:

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July '81 = $\frac{(\text{March, April, May}) '81}{(\text{March, April, May})} \times \text{July '80}$

NOTE: The nomenclature for any one billing period is determined by the last reading date in the period, i.e., a bill from April 2 to May is considered the May billing period.

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SECTION 9 (1)

BY: J. Geoghegan

DATE OF ISSUE	April	7	1981	DATE EFFECTIVE	April	3	1981
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ISSUED BY	<u>John West</u>			Manager	P. O. Box 18, Henderson, KY 42420		
	Name of Officer			Title	Address		

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