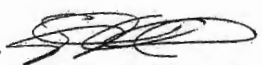


SALT RIVER ELECTRIC  
COOPERATIVE CORPORATION  
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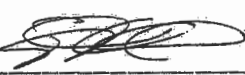
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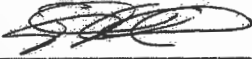
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**RULES AND REGULATIONS**

**1. SCOPE**

This schedule of Rules and Regulations is hereby made a part of all contracts for electric service received from Salt River Electric Cooperative Corporation, hereinafter referred to as the Cooperative, and applies to all service received, whether such service is based upon a contract, agreement, signed application or otherwise.

Regulations are on file at the Cooperative's offices and can be obtained from Cooperative personnel. All rules and regulations shall be effective as long as they are accepted by the Public Service Commission.

**A. No Prejudice of Rights-** Failure by the Cooperative to enforce any of the terms of these rules and regulations shall not be deemed as a waiver of the right to do so.

**B. Conflict-** In case of conflict between provisions of any rate schedule and the schedule of rules and regulations, the rate schedule shall apply.

**C. Revisions-** These rules and regulations may be revised, amended, supplemented, or otherwise changed from time to time after approval by the Cooperative's Board of Directors and the Public Service Commission. Such changes, when effective, shall have the same force as the present rules and regulations.

**D. Service Area-** The Cooperative furnishes electric power supplied in portions of Bullitt, Nelson, Spencer, Washington, Jefferson, Shelby, Anderson, Mercer, Marion and LaRue Counties and such other areas as approved by the PSC.

**E. Continuity of Service-** The Cooperative shall use reasonable diligence to provide a constant and uninterrupted supply of electrical power and energy, but if such a supply should fail or be interrupted or become defective through an act of God, or the public enemy or by accident, strikes, or labor trouble, or by action of the elements, or other permits needed, or for any other cause beyond the reasonable control of the Cooperative, the Cooperative shall not be liable.

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Date of Issue	<u>February 1, 1995</u>	BY: <u>Jordan C. Neal</u>	<u>February 1, 1995</u>
Issued By	<u>George C. Mangum</u>	FOR THE PUBLIC SERVICE COMMISSION	Title <u>General Manager</u>
	Name of officer		

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**F. Specific Cooperative Responsibility-**

I. Information- The utility shall, on request, give its customers or prospective customers such information as is reasonably possible in order that they may secure safe, efficient and continuous service. The utility shall inform its customers of any change made or proposed in the character of its service which might affect the efficiency, safety, or continuity of operations.

II. Change in Character of Service- Prior to making any substantial change in the character of the service furnished, which would affect the efficiency, adjustment, speed or operation of the equipment or appliances of any customer, the utility shall obtain the approval of the Commission. The application shall show the nature of the change to be made, the number of customers affected, and the manner in which they will be affected.

III. Type, Class and Character of Service Information- The utility shall inform each applicant for service of each type, class, and character of service available at his location. Classification for consumers for accounting purposes is in accordance with prescribed REA Manual of Accounts.

IV. Obtaining Easements and Right-of Way- An applicant for service shall be responsible for providing rights of way and/or easements on applicant's own land. Consumers are to use their best efforts to secure rights of way and/or easements on or across adjoining land. The Cooperative shall take such legal action as shall be necessary in order to secure easements across those properties where the owners will not voluntarily consent to the same.

V. Cooperative Inspection of Service Conditions prior to Providing Service- It shall be the duty of the Cooperative before making service connections to a new consumer to ascertain the condition of the meter and service facilities for <sup>PUBLIC SERVICE COMMISSION</sup> order that prior <sup>OF KENTUCKY</sup> fraudulent use of facilities, if any, will not be <sup>EFFECTIVE</sup>

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Issued By [Signature] FOR THE PUBLIC SERVICE COMMISSION General Manager  
Name of Officer

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attributed to the new consumer and the new consumer shall be afforded the opportunity to be present at such inspection. The Cooperative shall not be required to render service to such consumer until all defects in the consumer-owned portion of the service, if any, has been corrected. If a defect exists and service is denied for this reason, when the cause for refusal or discontinuance has been corrected and the utilities tariffed rules and commission regulations have been met, the Cooperative shall reconnect existing service within twenty-four (24) hours, and shall install and connect new service within seventy-two (72) hours.

VI. Special Rules or Requirements- The Cooperative shall not establish any special rule or requirement without first obtaining the approval of the commission upon proper application. A customer who has complied with commission regulations shall not be denied service for failure to comply with the utility's rules which have not been made effective in the manner prescribed by the commission.

VII. Employee Identification- Any employee of the Cooperative whose duties require him or her to enter the customer's premises shall wear distinguishing uniform or insignia identifying him/her as an employee of the Cooperative, or carry on his/her person a badge or other identification which will identify him as an employee of the Cooperative the same to be shown upon request.

VIII. Services Performed for Members- The Cooperative's personnel may while on duty make repairs or perform services to the member's equipment or property. When such services are performed, the member may be charged for such services at the rate of time and materials used.

IX. Use of Cooperative Property- No consumer or third party may attach or affix to any of the Cooperative's poles or equipment any hardware, appurtenances, fixtures, equipment or other items without the expressed written consent of the Cooperative.

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PURSUANT TO 807 KAR 5.011

Date of Issue February 1, 1995 SECTION 6.11 Date Effective February 1, 1995  
Issued By Margie Morgan BY: Jordan C. Peak Title General Manager  
Name of Officer FOR THE PUBLIC SERVICE COMMISSION

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X. Primary Metering- At any time the Cooperative finds it is more desirable, it may at its own option and expense, install primary metering equipment. The consumer will own and operate all facilities past the metering point.

XI. Inspection- In keeping with 815 KAR 7:020 (16b), the Cooperative shall not initiate new permanent electric services until the required certificate of approval has been issued by a Certified Electrical Inspector as required by local and state laws.

XII. Display of Customer Rights- The Cooperative shall prominently display in each office in which payment is received, a summary, to be prepared and provided by the commission, of the customer's rights. If a customer indicates to any Cooperative personnel that he is experiencing difficulty in paying a current utility bill, that employee shall refer the customer to the designated representative for explanation of the customer's rights.

XIII. Voltage Fluctuations Caused By Members or Consumers- Electric service must not be used in such a manner as to cause unusual fluctuation or disturbances to the distribution system. The Cooperative may require the member or consumer, at his own expense, to install suitable apparatus which will reasonably limit such fluctuation.

XIV. System Inspection- It shall be the policy of the Cooperative to make systematic inspections of its system for the purpose of complying with the Energy Regulatory Commission's rules as per 807 KAR 50:015 Section 22.

(a) Frequency

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(1) At intervals not to exceed two (2) years electric lines operating at voltages of less than sixty-nine (69) KV (including insulators, conductors and supporting facilities) are to be inspected for damages, defects, deteriorations and compliance with applicable codes.

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Name of officer

Title General Manager

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(2) At intervals not to exceed one (1) year all utility buildings are to be inspected for compliance with safety codes.

(3) At intervals not to exceed three (3) months all construction equipment is to be inspected for defects, wear and operational hazards.

(4) On receipt of a report of a potentially hazardous condition made by a qualified employee, public official, or consumer, or inspection of all portions of the system which are the subject of the report.

(b) Method of Inspection

(1) Routine line patrolling shall be by one or more of the following methods:

a.) Walking lines...The "walking of lines" shall be performed by a qualified personnel during which time visual inspection will be made of poles, lines, hardware, right-of-way, etc., sounding of poles and structures will also be made to check for deterioration, decay and damage.

b.) Patrolling by Vehicle...Patrolling by vehicle may be made by qualified personnel in instances where vehicles can be driven close enough to allow visual inspection of poles, lines and hardware.

c.) Helicopter Patrolling...Patrolling by helicopter may be used to visually inspect poles, lines and hardware.

d.) Contract Crews...Performing work (right-of-way clearing, pole treatment, construction, etc.) are instructed to

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Date Effective February 1, 1995  
Title General Manager



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report on any damages observed.

(2) Spot Inspection - will be made by qualified personnel at the work site prior to new construction, conversion, or maintenance work.

(3) Special Inspection - Special Inspection will be made by qualified personnel:

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a) Upon report of a potentially hazardous condition;

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b) At the request of a joint use party;

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c) Of utility building and construction equipment as required; or,

BY: Jordan C. Neel  
FOR THE PUBLIC SERVICE COMMISSION

d) At any other time deemed necessary by the company.

(c) Records- Appropriate records shall be kept at all times to ensure that the necessary inspections have been made, the frequency of inspection is adequate, that deficiencies have been noted, and corrective action taken.

XV. Removal of Inactive Facilities- The Cooperative reserves the right to remove any facilities which have been inactive for a period of twenty-four (24) months or more. The removal of this property will be for the purpose of returning it to inventory for reuse in order to protect the facilities from damage, vandalism, and to reduce the overall plant investment for our members.

**G. Specific Customer Responsibility/Liability**

I. Consumer's Liability- The consumer shall assume full responsibility for service upon his premises at and from the point of delivery thereof, and for wires, apparatus, devices and appurtenances thereon used in connection with service. Consumer shall indemnify, save harmless and

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Name of Officer

Date Effective February 1, 1995  
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defend the Cooperative against all claims, demands, cost or expenses for loss, damages, or injury to person or property in any manner directly or indirectly arising from, connected with, or growing out of the distribution or use of current by consumer at or on the customer's side of point of delivery.

II. Protection of the Cooperative's Equipment- The consumer shall protect the equipment of the Cooperative on his premises and shall not interfere with or alter or permit interference with or alterations of the Cooperative's meter, or other property except by duly authorized representative of the Cooperative.

For any loss or damage to the property of the Cooperative due to or caused by or arising from carelessness, neglect, vandalism, or misuse by the consumer or other authorized person, the cost of the necessary replacement and repairs shall be paid for by the consumer.

III. Point of Delivery-

(a) Approval shall be obtained from the Cooperative as to the proper location for a service entrance.

(b) Service entrance will be located on the exterior of the building nearest to the Cooperative's lines. Members desiring the service entrance on any building at a location other than that closest to the Cooperative's lines, the additional estimated cost of such special construction as may be found necessary shall be borne by the member, and such cost shall be paid in advance before service can be connected.

(c) New service entrances, both overhead and underground shall be installed in accordance with both the National Electric Code (NEC) and National Electric Safety Code (NESC), and the Cooperative shall not connect until the required certification of approval has been issued by a Certified

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distinguishable and separate from the bill. The termination notice shall plainly state the reason for termination, that the termination date will not be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

VI. Standard Nominal Voltages- The standard nominal voltages for single and poly-phase service throughout the distribution system are as specified below: availability of a service voltage(s) is contingent upon existing service voltage(s) and other system parameters.

<u>Single-Phase</u>	(Volts)
120/240	3-wire
120/208	Network System
240/480	3-wire
7.2 KV	Primary Metered Voltage

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<u>Three-Phase</u>	(Volts)
120/208	4-wire Wye
240	3-wire Delta
240	120/240 4-wire Delta Lighting
480	480/277 4-wire Wye Lighting
7.2/12.47 KV	Primary Metered Voltage

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FOR THE PUBLIC SERVICE COMMISSION

VII. Non-Standard Service- The member or customer shall pay for the cost of any special installation necessary to meet his requirements for service at other than standard voltage, or for the supply of closer voltage regulation than required by standard practices.

VIII. Notice of Trouble- The consumer shall give immediate notice at the office of the Cooperative of any interruptions or irregularities or unsatisfactory service and of any defects known to the consumer. The Cooperative may, as it deems necessary, suspend supply of electrical service to any consumer or consumers for the purpose of making repairs, changes or improvements upon any part of its system.

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Name of Officer

Title General Manager

FOR ENTIRE AREA SERVED  
Community, Town or City

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IX. Separate Meter For Each Service- The Cooperative will normally furnish a single meter at the point of connection to the consumer's premises. Any consumer desiring service to two (2) or more separately metered points of connection to the system shall be billed separately at each point and registration of such meters shall not be added together for billing purposes. Only one residence may be served from each meter location.

The Cooperative may deny a consumer additional meter locations if in its opinion service can be rendered more effectively and economically from one meter location.

X. Reconnection Charge- When service has been disconnected at the consumer's request and the Cooperative is requested to reconnect to the same member at the same location, a twenty dollar (\$20.00) reconnect fee will be charged.

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Issued By George E. Mays Title General Manager  
Name of Officer

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**RULES AND REGULATIONS**

**2. FILINGS AND POSTINGS**

**A. Rules and Regulations-** A copy of these rules and regulations, together with a copy of the Cooperative's schedule of rates and charges, shall be kept open for inspection at the offices of the Cooperative.

**B. Reports-**

**I. Report of Meters, Customers, and Refunds-** Reports on prescribed Commission forms shall be made on a periodic basis and/or as required by the Commission.

**II. Report of Terminations for Non-Payment of Bills-** The Cooperative shall report annually the number of residential accounts terminated for non-payment. This report shall be filed no later than August 15 and cover the period ending June 30.

**III. Other Reports-** Other reports will be furnished to the commission as required.

**C. Record and Report Retention-** All records and reports shall be retained in accordance with the uniform system of accounts, unless otherwise notified.

**D. Posting of Office Hours-** The utility shall post and maintain regular business hours and provide representatives available to assist its customers.

**E. Available Telephone Numbers-** The utility shall publish the telephone number in all service areas, and shall permit all customers to contact the utility's designated representative without charge. Each new member shall be mailed a listing of phone numbers. Phone numbers displayed on the customer's billing card may also serve as publication.

**F. Designated Representatives-** The Cooperative will designate a minimum of one (1) representative to be available to answer customer questions, respond to customer complaints, and negotiate partial payment plans at the utility's office. The designated representative shall be available during regular business hours.

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Issued By Margie Mangrove Secretary (S) Title General Manager  
Name of Officer Jordan C. Neal FOR THE PUBLIC SERVICE COMMISSION

FOR ENTIRE AREA SERVED  
Community, Town or City

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representative shall be knowledgeable of the Commission's regulations regarding customer bills and services and shall be authorized to negotiate and accept payment plans.

The designated representative(s) will be available during the established working hours of not fewer than seven (7) hours per day, five (5) days per week, excluding holidays.

The chief executive officer of the Cooperative shall be required to certify each year the training of utility personnel assigned to counsel persons presenting themselves for utility service. Annual training is hereby defined as an annual review of Commission regulations and policies regarding Winter Hardship and disconnect regulations, Cabinet for Human Resources policy and programs for issuing Certificates of Need, and the utilities policies regarding collection arrears repayment plans, budget billing procedures, and weather/health disconnect policies. Certification is defined as written notice to the Commission by no later than October 31 of each year identifying the personnel trained, the date training occurred, and that the training met the requirements of this section.

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**3. AVAILABILITY OF SERVICE**

**A. Members and Prospective Members in Good Standing-** Services shall be available to all members of the Cooperative as specified in the tariff sheets and classifications of service.

**B. Delinquent Members/Delinquent Prospective Members-**

I. The Cooperative shall deny service to any applicant who is a member of a delinquent household when the prior customer continues to reside in the household and uses the service. If the application is accepted before the facts are established, the membership of the applicant will be canceled and the deposit, if any, applied to the delinquent member's account.

II. When a consumer's service is disconnected at one location and then connected at another location, the balance due at the original location may be transferred to the account of the new location. The service to the new location may then be disconnected if the customer becomes delinquent in his payment of the original balance.

III. Landlords with good credit cannot be denied service in the name of the landlord at their rental property because of a delinquent bill owed by a former tenant even when the new tenant is a delinquent customer of the utility.

IV. Likewise, a tenant with good credit cannot be denied service because of a prior delinquency incurred by a former tenant or the landlord at that address.

V. The Cooperative may not terminate previously existing service to a non-delinquent utility customer because that customer allows a delinquent customer to move into their home.

VI. The Cooperative may not terminate service at a non-delinquent address where a customer has more than one account; however, a utility may deny service to an

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PURSUANT TO 807 KAR 15.011.

SECTION 9(1)

Date of Issue February 1, 1995 BY: Judith C. Mad February 1, 1995  
Issued By Benjamin M. Mangan FOR THE PUBLIC SERVICE COMMISSION General Manager  
Name of officer



<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No.	<u>10</u>
NAME OF ISSUING CORPORATION	1st Revised Sheet No.	<u>14</u>
	Canceling P.S.C. No.	<u>10</u>
	Original Sheet No.	<u>14</u>

**RULES AND REGULATIONS**

applicant for service because of a delinquency at another address.

VII. Where husband and wife are involved, even though separated, if they shared equally in the benefit of the service, regardless of whether or not he/she signed a contract for service, each are responsible for the bill.

VIII. The Cooperative shall reserve the right to refuse service to any applicant indebted to the Cooperative for any reason.

IX. In regard to collection procedures, Salt River will abide by its tariffs and the Commission's Rules and Regulations; when these collection efforts are exhausted, the Cooperative may elect to take further action by submitting account/accounts to a collection agency. Collection agency fees will be based on the percentage of the amount collected.

The following statement has now been incorporated into the Cooperative's application for service: "Any and all reasonable costs and/or fees incurred in the process of attempting to satisfy an applicant's indebtedness to the Cooperative shall be borne by the account holder/holders." Applicants signing an application for service with this clause shall pay the percentage charged by the collection agency in addition to the bill owed to the Cooperative; applicants who signed an application for service prior to the incorporation of this statement shall have the percentage charged by the collection agency deducted from the bill itself.

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SERVICE COMMISSION

PUBLIC SERVICE COMMISSION  
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PURSUANT TO 807 KAR 5.011,  
SECTION 9(1)

BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

Date of Issue	<u>October 1, 1995</u>	Date Effective	<u>October 1, 1995</u>
Issued By	<u>Morgan E. Mangan</u> Name of Officer	Title	<u>General Manager</u>

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
NAME OF ISSUING CORPORATION 1st Revision Sheet No. 15  
Canceling P.S.C. No. 10  
Original Sheet No. 15

**RULES AND REGULATIONS**

**4. APPLICATION FOR ELECTRIC SERVICE**

**A. Membership-** Membership in the Cooperative shall be evidenced by an "Application for Service" form and a non-refundable membership fee, the amount being so determined by the Cooperative's Board of Directors. With respect to the non-refundable membership fee, voting privileges of the member in matters such as voting for nominees or candidates for the office of director shall be such that the membership fee must be in-service with an active account. A membership certificate or other suitable evidence may be issued after the application has been approved by the Board of Directors. When applicable, the prospective consumer must sign a contract pertaining to their particular service before service is supplied by the Cooperative. At the time of application for service, the consumer shall furnish his/her social security number, phone number, permanent address and place of employment.

I. Joint membership shall be defined as two or more adults living in the same household and they shall be considered to hold a joint membership when all sign for service. A member and spouse shall be considered as holding a joint membership regardless of whether one or both sign the application for service and any provisions relating to the rights and liabilities of membership shall apply equally with respect to the holders of a joint membership. In cases where an adult moves into the household, the existing member may request that an additional name be added to billing records by completing a new membership application where both sign as Applicant #1. All rights and liabilities of the new additional customer will be established upon completion of this application. It is also understood that the addition of any new names shall give that person the right to ownership of existing capital credits already established in the existing member's name.

II. Applicant # 1 shall indicate how the account will be billed. In the case of death, a spouse indicated as applicant # 2 may apply for capital credit due the estate of applicant # 1 if both spouses have individually signed as applicant # 1 and applicant # 2. If both names are specified as applicant # 1, then both must be deceased in

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**REVISION KRS 501.1**

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Name of Officer FOR THE PUBLIC SERVICE COMMISSION

4-18 01 1995

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
NAME OF ISSUING CORPORATION 1st Revision Sheet No. 16  
Canceling P.S.C. No. 10  
Original Sheet No. 16

**RULES AND REGULATIONS**

order for the estate to collect capital credits. No other accounts listed either as persons or establishments may receive capital credit except as defined by a general retirement of capital credit.

III. Either applicant # 1 or applicant # 2 may vote or register for the other at the annual meeting and in all cases shall never have more than one vote regardless of the number of services; however, the Cooperative must be able to determine that applicant # 2 has equal rights and liabilities of membership based on the records of the Cooperative.

IV. Husband and wife may sign for the other in the other's absence; however, the failure of either to actually sign in person shall not constitute a waiver of rights of the Cooperative or exemption of liabilities to the Cooperative.

V. The application for service for businesses, corporations, partnerships, or any recognized community, state, or commercial endeavor shall be signed by a qualified agent of the company or organization. The Cooperative reserves the right to require a personal guarantee for any non-residential customer.

VI. Failure to return or provide the Cooperative with the completed application or membership fee may result in the disconnection of service after 10 days written notice of termination. Such action shall be taken only when corrective action negotiated between the utility and customer has failed to resolve the situation and after the customer has been mailed the notice which is distinguishable and separate from the bill. The termination notice shall be mailed or otherwise delivered to the last known address of the customer and shall plainly state the reason for termination. It shall also state that the termination date will not be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

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PURSUANT TO 807 KAR 5.011,

SECTION 9(1)

Date of Issue February 1, 1995 BY: [Signature] Date Effective February 1, 1995  
Issued By [Signature] FOR THE PUBLIC SERVICE COMMISSION General Manager  
Name of Officer

SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

P.S.C. No. 10  
3rd Revision Sheet No. 17  
2nd Revision Canceling P.S.C. No. 10  
Sheet No. 17

RULES AND REGULATIONS

VII. The Cooperative shall require a one-time non-refundable membership fee regardless of the number of meters or accounts the member may list in the same name.

VIII. Upon the death of either spouse, such membership shall be held solely by the survivor, provided however, the Estate of the deceased shall not be released of any debts due the Cooperative.

IX. Upon the legal divorce of husband and wife, the existing non-refundable membership may be transferred by one party to the other party upon joint agreement and written consent by the non-resident spouse whose signature is notarized or by a final court order. Any debts due the Cooperative prior to conversion from a joint membership shall be borne by both parties.

X. The Cooperative shall require a one-time non-refundable membership fee which shall remain with the Cooperative. Membership within the Cooperative will be detailed through assignment of a master capital credit number and imprinted on any subsequent evidence of membership issued. Members will be asked to maintain this evidence as proof of membership within the Cooperative.

XI. The refund of any existing multiple membership fee on file will be based on an impartial and equitable system of return as so determined by the Cooperative.

**B. Deposit**

I. A cash deposit or other guaranty to secure payment of bills may be required except for those members qualifying for service reconnection pursuant to 807 KAR 5:006; Section 15, Winter Hardship Reconnection. Service may be refused or disconnected for failure to pay the deposit. Interest at the rate of 6%, as prescribed by KRS 278.460, will be credited to the member's account annually or at the time of a final bill.

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APR 01 2001

IN PURSUANCE TO 807 KAR 5:011,  
SECTION 9 (1)

Stephan D. Bell  
SECRETARY OF THE COMMISSION

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SEP 01 2010  
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SERVICE COMMISSION

Date of Issue March 1, 2001

Date Effective April 1, 2001

Issued By [Signature]  
Name of Officer

Title General Manager

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SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

P.S.C. No. 10  
2nd Revision Sheet No. 18  
Canceling P.S.C. No. 10  
1st Revision Sheet No. 18

**RULES AND REGULATIONS**

Members filing bankruptcy shall be required to pay a deposit within 20 days upon notification of the bankruptcy proceedings; a final bill will be calculated on the existing account and the existing deposit (if any) will be credited to the account immediately.

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II. The method of determining the amount of cash deposit may differ between classes of customers, but shall be uniform for all customers within the same class. The amount of deposit shall be:

(a) Customary Service ..... \$100.00  
Shall include but not limited to houses, mobile homes, apartments, temporary services for permanent residences.

(b) Distinctive Service ..... Under 37.5 KVA  
Transformer ..... \$200.00  
Shall include commercial services; also, metered outdoor lighting;

(c) Greater than 37.5 KVA transformer shall be 2/12  
Of a year's estimated billing as determined by Engineering.

(d) Extraordinary Service ..... \$ 50.00  
Shall include but not limited to camps, seasonal services, temporary services to be used for construction only, flat rate security lights where a meter does not serve location, low usage.

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PURSUANT TO 807 KAR 5:011,  
SECTION 9 (1)

BY: Stephan D. Bell  
SECRETARY OF THE COMMISSION

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Issued By [Signature]  
Name of Officer

Title General Manager

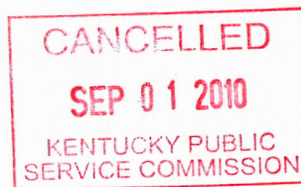
SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

P.S.C. No. 10  
3rd Revision Sheet No. 19  
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2nd Revision Sheet No. 19

RULES AND REGULATIONS

III. The deposit may be waived for residential accounts for members having established an acceptable credit history with the Cooperative, or having provided a current (within past 12 months) acceptable credit reference from another utility, or a credit report from a credit bureau, cost of which shall be borne by the applicant, or proof of payment on an existing account with an acceptable credit history. Previous credit established with the Cooperative shall take precedence over all other forms of credit reporting. Factors used to determine credit worthiness are:

1. Disconnect for non-payment
2. Insufficient funds check
3. Trips to the location for the purpose of collecting past-due bill
4. Current Diversion/Tampering with meter
5. Bankruptcy
6. Collection Agency activity
7. Unpaid past-due balance from a previous account



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Issued By

[Signature]  
Name of Officer

Title

General Manager

SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

P.S.C. No. 10  
2nd Revision Sheet No. 20  
Canceling P.S.C. No. 10  
1st Revision Sheet No. 20

RULES AND REGULATIONS

IV. Deposit Refunds - residential accounts only

1. Deposits may be refunded on residential accounts after one year from the date of the deposit or the connect date of the service (whichever is later). The above factors used to determine credit worthiness will be used to determine if the account is eligible for a refund. If eligible, the refund will be credited to the account. Should the account be eligible for the refund, the deposit shall be held until such time as the account meets the stated criteria. Should an account have a deposit at the time of a final bill, the deposit shall be credited to the final bill.

2. If a deposit has been waived or returned and the member fails to maintain an acceptable credit history, a deposit may be required. An additional amount may be required should the account's classification of service change or if a substantial change of usage occurs.

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SECTION 9(1)  
BY: Stephan D. Bell  
SECRETARY OF THE COMMISSION

Date of Issue March 1, 2001

Date Effective April 1, 2001

Issued By

[Signature]  
Name of Officer

Title

General Manager

SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

P.S.C. No. 10  
2nd Revision Sheet No. 21  
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1st Revision Sheet No. 21

**RULES AND REGULATIONS**

V.      Commercials Accounts - no waivers of deposit will be granted unless a bank letter of credit is obtained or surety bond. Deposits will be retained for the life of the account. Interest will be paid on the deposit as prescribed by KRS 278.460 and credited to the account annually or at the time of a final bill.

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SECTION 9 (1)  
BY: Stephan D. Bee  
SECRETARY OF THE COMMISSION

Date of Issue March 1, 2001      Date Effective April 1, 2001  
Issued By [Signature]      Title General Manager  
Name of Officer



SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

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<u>2nd Revision</u>	Sheet No.	<u>22</u>

RULES AND REGULATIONS

VI. Deleted

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VII. Deleted

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VIII. If the Cooperative retains a deposit for more than eighteen (18) months, a recalculation of the deposit amount will be made upon request by the consumer. Notification will be made to the customer through the receipt of deposit that the deposit may be recalculated based upon the actual usage of the customer for the previous (12) months. The receipt will state that if the deposit on account differs by more than ten (\$10.00) from 2/12 of the actual billing for residential customers, or by more than ten (10) percent from 2/12 of the actual billing for the previous 12 months for non-residential customers, then the utility shall refund any over-collection and may collect any underpayment.

(a) The Cooperative shall not be required to refund any excess deposit if the consumer's bill is delinquent at the time of request.

(b) Upon request by the customer, a recalculation of a consumer deposit will be made only once each eighteen (18) months based on the actual kwh usage of the customer.

(c) If a residential deposit is recalculated in accordance with the above and meets the requirements of a refund, the consumer shall first return the original certificate of deposit to the Cooperative in exchange for a new, accurate certificate. If the original certificate has been lost or is otherwise unavailable, the consumer shall sign the duplicate certificate maintained at the Cooperative's facility to verify the change of deposit amount, for future reference.

IX. Deleted

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Issued By [Signature]  
Name of Officer

Title General Manager

SECRETARY OF THE COMMISSION

SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

P.S.C. No. 10  
3rd Revision Sheet No. 23  
Canceling P.S.C. No. 10  
2nd Revision Sheet No. 23

RULES AND REGULATIONS

IX. Deleted

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C. **Same-day Requests for Reconnects-** Any request for reconnection of service made prior to 3:30 p.m. of a scheduled working day (excluding reconnects when account has been disconnected for non-payment or an insufficient check or reconnects in the same name):

- I. Will be connected that same day during working hours without reconnect charges when at all possible contingent upon work scheduling and weather conditions.
- II. Reconnection may be requested after hours on a regularly scheduled work day or Saturday at an overtime reconnect fee of \$50.
- III. If the request for reconnection is made after office hours, the member will be informed by the office personnel on-call that additional fees and/or deposits and applications may be required; therefore, contact must be made with the office during the next workday to address the issues.
- IV. Failure to follow the guidelines of paying additional fees and deposits that may be required and/or completion of the necessary paperwork will result in disconnect of service following the guidelines as so determined by the PSC and the Cooperative's Rules and Regulations after notification of same.

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SECTION 9 (1)  
BY: Stephan Bee  
SECRETARY OF THE COMMISSION

Date of Issue March 1, 2001

Date Effective April 1, 2001

Issued By

[Signature]  
Name of Officer

Title

General Manager

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
NAME OF ISSUING CORPORATION 1st Revision Sheet No. 24  
Canceling P.S.C. No. 10  
Original Sheet No. 24

**RULES AND REGULATIONS**

**5. BILLING**

Billing cycles are established so that each meter will be billed on a monthly basis corresponding with the date of the monthly reading, so as to be flexible to allow various billing cycles. The consumer will be billed for approximately 30 days of service each month. Payment of bills and or disconnection of accounts for any reason shall be administered according to Kentucky Administrative Regulations unless herein stated in the Cooperative's Rules and Regulations. Failure to receive the bill will not release the consumer from payment obligation.

**A. Late Payment Penalty-** A 5% penalty will be assessed if a customer fails to pay a bill for services by the due date shown on the customer's bill. The penalty may be assessed only once on any bill for rendered services. Any penalty received shall first be applied to the bill for service rendered. Additional penalty charges shall not be assessed on unpaid penalty charges.

**B. Taxes-** Pursuant to the authority vested in KRS 139.210 there shall be added to the bill of all applicable subscribers, the sales and usage tax imposed by KRS 139.200. The utility gross receipts license tax for schools authorized by KRS 160.613 shall be added to all applicable subscribers' bill in accordance with KRS 160.617, which authorizes a rate increase for the school tax. Any other taxes may be added as required by law.

**C. Meter Reading Responsibility-** Cooperative read meters will be billed based on the monthly reading of the Cooperative. Exception to the monthly reading may be taken by the Cooperative for meters using a twelve (12) month average of less than 501 kwh when the reason is justified by the Cooperative; these meters may then be read once each six months by the Cooperative. Meters using greater than a 500 kwh per month average in a 12 month period may be estimated and then read once each quarter when the Cooperative determines there is a justifiable cause. Justifiable cause may be defined as but not limited to camps, signs, or other

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PURSUANT TO 807 KAR 5.011  
SECTION 9(1)  
Date of Issue February 1, 1995 Date Effective February 1, 1995  
Issued By *Angie E. Nantz* BY: *Jordan C. Neal* File General Manager  
Name of Officer FOR THE PUBLIC SERVICE COMMISSION

<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No.	<u>10</u>
NAME OF ISSUING CORPORATION	<u>1st Revision</u>	Sheet No. <u>25</u>
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**RULES AND REGULATIONS**

buildings or dwellings which cause the meter location to decelerate the reading of the route. Source documents shall be maintained by the Cooperative on all exceptions.

If the Cooperative is unable to read the meter due to reasons beyond its control, it shall record the date and time the attempt was made, if applicable, and the reason the Cooperative was unable to read the meter. If arrangements are made to read the member's meter outside the normal meter reading process, the member will be charged \$27.25 for a special meter reading visit. A \$27.25 reading charge may be assessed only once per billing period. If arrangements cannot be made between the member and the Cooperative, the Cooperative may discontinue service after giving a ten (10) written notice of the intent of termination mailed or otherwise delivered to the last known address of the customer. The termination notice shall plainly state the reason for termination, that the termination date will not be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

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**D. Customer Request for Reading of Meter-** If a customer requests that a meter be reread, and the second reading shows the original reading was correct, a \$27.25 fee may be assessed to the customer. No charge shall be assessed if the original reading was incorrect.

**E. Information on Bill-** Each bill for utility service issued shall clearly show the following, if applicable: class of service; present and last preceding meter readings; date of the present reading; number of units consumed; meter constant, if any; net amount for service rendered; all taxes; any adjustments; and the gross amount of the bill. The date after which a penalty may apply to the gross amount shall be indicated. Estimated or calculated bills shall be distinctly marked as such. The rate schedule under which the bill is computed shall be printed on the bill. Registration of each meter shall read in the same units as used for billing unless a conversion factor is shown on the billing form.

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FEB 01 1995

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Issued By	<u>Margie Mangan</u>	SECTION 11.0	Title	<u>General Manager</u>
	Name of Officer	BY: <u>[Signature]</u>		
		FOR THE PUBLIC SERVICE COMMISSION		

FOR ENTIRE AREA SERVED  
Community, Town or City

SALT RIVER ELECTRIC COOP. CORP.  
NAME OF ISSUING CORPORATION

P.S.C. No. 10  
3<sup>rd</sup> Revision Sheet No. 26  
Canceling P.S.C. No. 10  
2<sup>nd</sup> Revision Sheet No. 26

RULES AND REGULATIONS

**F. Bill Format-** The bill format shall be included in the tariffed rules. (Exhibit is 65 % of size of bill).



(502) 348-3931

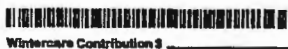


Account Number		Cycle
Service Address Service Description Bill Type Rate Type Location Number		
Meter Information		
Previous Reading		
Reading Multiplier	KWH used	KW Used
History		
	This Year	Last Year
Days in Billing Cycle		
KWH Used		
Thank you for prompt payment. We appreciate your business.		
IMPORTANT INFORMATION		
Questions regarding garbage (pickup and/or billing) in Nelson Co. should be directed to Nelson Fiscal Court at 502-348-1802.		
Salt River is not responsible for USPS mail delivery. Please allow 4-5 days for mail delivery. Failure to receive a bill does not exempt you from penalties or collection action/charges.		
If electrical service is interrupted, check your fuses or circuit breakers. Also, check to see if your neighbor has power. Promptly report any electrical service outages at 800-221-7465. For information concerning extended outages, call 866-368-2053 to hear recorded outage updates.		

BILLING SUMMARY	BILLING DATE
OTHER	
TAXES & FEES	
TOTAL AMOUNT	
LATE CHARGE AFTER	
TOTAL AMOUNT WITH LATE CHARGE	

RETURN THIS PORTION WITH PAYMENT

NAME  
Home Telephone:  
Service Address:



Wintercare Contribution \$ \_\_\_\_\_

Amount Enclosed \$ \_\_\_\_\_  
PLEASE INDICATE CHANGE OF ADDRESS/PHONE NUMBER HERE.

Mailing Address		
City	State	Zip
Phone Number	Other Phone Number	

PAYABLE UPON RECEIPT

ACCOUNT #
Current Amount
Total Amount Due
Total Amount after

**CANCELLED**  
**SEP 01 2010**  
KENTUCKY PUBLIC SERVICE COMMISSION

SALT RIVER ELECTRIC  
PO BOX 609  
BARDSTOWN KY 40004-0609  
PUBLIC SERVICE COMMISSION  
OF KENTUCKY  
EFFECTIVE  
000060152011576617511122006  
PURSUANT TO 807 KAR 5:011  
SECTION 9 (1)

Date of Issue October 20, 2005

Date Eff By [Signature] 006

Issued By [Signature]  
Name of officer

Title Executive Director  
President & CEO

<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No. <u>10</u>
NAME OF ISSUING CORPORATION	1st Revision Sheet No. <u>27</u>
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	Original Sheet No. <u>26</u>

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**RULES AND REGULATIONS**

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FEB 01 1995

PURSUANT TO 807 KAR 5:011,  
SECTION 9(1)

BY: Jordan C. Neel  
FOR THE PUBLIC SERVICE COMMISSION

**G. Meter Reading Variations-**

I. Monitoring Usage- The cooperative utilizes two computer printouts to flag unusual deviations in a consumer's usage.

(a) Daily: after the daily unloading of the meter processors used to record on-site customer readings, a report is generated which provides the Cooperative with the following flags: (1) a reading entered as a five-digit reading where a four-digit meter is installed; (2) A reading which would generate a bill for over 8,000 kwh for an account with a four dial meter and over 15,000 for an account with a five-dial meter; (3) a reading which is lower than the previous month's reading; (4) meters showing zero (0) or under one hundred (100) kwh consumption/usage; (5) inactive meters with consumption.

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(b) After all routes for the individual cycle have been read and just before the actual billing, a prebill high-low report is generated which again searches and flags any high-low exceptions which would cause a bill to be generated 99% higher or 50% lower than the average usage of the three preceding months or the corresponding year ago.

(c) Immediately following each of these reports, an evaluation of the deviance is made; a determination will be made at this point whether to send the cooperative representative back to the location to recheck the reading, or whether to estimate the reading based on previous history and data until the next reading is obtained at the scheduled monthly reading date of the member's meter.

(d) If a customer's usage is unduly high and the deviation is not otherwise explained, the cooperative shall test the customer's meter at its expense to determine whether the meter shows an

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Date of Issue	<u>February 1, 1995</u>	Date Effective	<u>February 1, 1995</u>
Issued By	<u><i>Margie M... [Signature]</i></u>	Title	<u>General Manager</u>
	Name of Officer		

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average error greater than two (2%) fast or slow.

**H. Incorrect Billing-** Whenever it is determined that a customer has been incorrectly overbilled for any reason, other than a meter which was registering incorrectly due to being out of tolerance or in an instance where the Cooperative has filed a verified complaint with the appropriate law enforcement agency alleging fraud or theft by a customer, the Cooperative shall immediately attempt to determine the period during which the error has existed. The customer's bill for the period during which the error is known to have existed shall be recomputed, and the account adjusted to give a refund.

Whenever it is determined that a customer has been incorrectly underbilled for any reason, except for fraud, theft or deception, the Cooperative is prohibited from holding a customer liable for unbilled service more than two years after the service was rendered. This two (2) years statute of limitation is in accordance with KRS 278.225. Then the customer shall also be afforded the opportunity to repay the underbilled amount over a period that is coextensive with the underbilling.

**I. Failure of Meter to Register-** In the event a customer's meter should fail to register, the customer shall be billed from the date of such failure as so determined by the Cooperative not to exceed two (2) years in accordance with KRS 278.225. The underbilling shall be based upon the history and level of consumption for coinciding time periods. If a history of usage does not exist for the customer, the Cooperative will estimate the usage based on similar loads.

If the customer should disagree with the Cooperative's estimation, the customer may request that consideration be given in refiguring the underbilling by providing documentation of a change in the usage pattern of the account or by requesting an on-site audit by the Cooperative's energy advisor.

**J. Current Diversion or Unauthorized Usage of Any Property of the Cooperative-** The Cooperative may recover any costs that it estimates to be necessary to recover the service that has been rendered from the customer, person or persons, where unauthorized usage or consumption has been obtained. This

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Issued By [Signature] BY: Jordan C. Neal Title General Manager  
Name of Officer FOR THE PUBLIC SERVICE COMMISSION

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shall include, but not be limited to, estimated kwh usage that has been consumed, all replacements and repairs, the cost of inspection, investigation, and protective installation. In addition, anyone caught tampering with Cooperative equipment will be held liable under Kentucky Statute 514.060 which reads....that if caught, penalties range from \$500 and five years in prison for a Class "A" misdemeanor to \$10,000 and five years for Class "D" felony.

Service may be terminated to a customer without advance notice if the Cooperative has evidence that a customer has obtained unauthorized service by illegal use or theft. Within twenty-four (24) hours after such termination, the utility shall send written notification to the customer of the reasons for termination or refusal of service upon which the utility relies, and of the customer's right to challenge the termination by filing a formal complaint with the commission. This right of termination is separate from and in addition to any other legal remedies which the utility may pursue for illegal use or theft of service. The utility shall not be required to restore service until the customer has complied with all tariffed rules of the utility and laws and regulations of the commission.

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**K. Meter Testing-** Before being installed for use by any customer, the meter shall be tested and in good working order and adjusted as close to optimum operating tolerance as possible. Each and every watt-hour meter installed by the Cooperative on the consumer's premises shall be tested periodically according to PSC regulations without charge to the consumer. The Cooperative may elect to test a meter at the Cooperative's expense if there is an unexplainable deviance in current. Any other request for meter test shall be complied with by the Cooperative only if the consumer agrees to pay the appropriate meter test fee as listed in this section. Such request test may not be made more frequently than once each twelve (12) months to the utility. After first having attained a test through the Cooperative's designated testing agency, any customer of the utility may request a meter test by the Commission upon written application. Such request may not be made more frequently than once each twelve (12) months.

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If a meter tests more than 2% slow or 2% fast, the meter test fee will be returned to the customer or applied to the billing if the customer has paid for the test fee; any

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Issued By George E. Manfrotto BY: Jordan C. Neal Title General Manager  
Name of Officer FOR THE PUBLIC SERVICE COMMISSION



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additional underbilling or overbilling will be adjusted to the consumer's account based on the Public Service Commission's rules and regulations.

The Commission regulations 807 KAR 5:006 Section 10 (2) read: If test results on a customer's meter show an average error greater than (2%) fast or slow, or if a customer has been incorrectly billed for any other reason, except in an instance where a utility has filed a verified complaint with the appropriate law enforcement agency alleging fraud or theft by a customer, the utility shall immediately determine the period during which the error has existed, and shall recompute and adjust the customer's bill to either provide a refund to the customer or collect an additional amount of revenue from the underbilled customer. The utility shall readjust the account based upon the period during which the error is known to have existed. If the period during which the error existed cannot be determined with reasonable precision, the time period shall be estimated using such data as elapsed time since the last meter test, if applicable, and historical usage data for the customer. If that data is not available, the average usage of similar customer loads shall be used for comparison purposes in calculating the time period. If the customer and the utility are unable to agree on an estimate of the time period during which the error existed, the commission shall determine the issue. In all instances of customer overbilling, the customer's account shall be credited or the overbilled amount refunded at the discretion of the customer within thirty (30) days after final meter test results. A utility shall not require a customer repayment of any underbilling to be made over a period shorter than a period coextensive with the underbilling.

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Meters tagged as special, current diversion, and other special conditions of concern to the cooperative shall be held for a period of at least eight (8) weeks before the recalibration after the first meter test is performed by the Cooperative's testing agency. This is to permit the customer to request additional tests or until the accuracy of the meter is resolved. While no time period has been indicated by the PSC, this time period has been established by the Cooperative to conform to PSC regulation SECTION 15 (3) which provides that metering equipment shall be tested upon complaint and when suspected EFFECTIVE error. 807 KAR 5:041, Section 17 (1)(b) further requires that when a meter is tested on complaint "additional test runs should be made and care

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exercised to insure that any trouble with the meter will be detected." Additionally, KRS 278.210 permits a utility customer to have any meter tested, at his own expense, by the commission.

If a meter is tested and it is found necessary to make a refund or back bill a customer, the customer shall be notified in substantially the following form:

On \_\_\_\_\_ 19\_\_\_\_, the meter bearing identification no. \_\_\_\_\_ installed in your building located at \_\_\_\_\_ (street and number) and \_\_\_\_\_ (city) was tested at \_\_\_\_\_ (on premises or elsewhere) and found to register \_\_\_\_\_ (percent fast or slow). The meter was tested on \_\_\_\_\_ (periodic, request, complaint.)

Based upon this, we herein \_\_\_\_\_ (charge or credit) you with the sum of \$ \_\_\_\_\_, which amount has been noted on your regular bill. If you desire a cash refund, rather than a credit to your account, of any amount overbilled, you must notify the office in writing within seven (7) days of the date of this notice.

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**Meter Test Fees:**

Single-Phase Residential Meter \$16.00  
Three Phase Self-Contained Meter \$40.00  
Three-Phase Self-Contained Meter with Demand \$46.00  
Field Test of Meter (Meter requiring instrument transformers) \$72.50

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BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

**L. Usage Investigation** - If an investigation of a customer's usage is necessary other than current diversion, the utility shall notify the customer in writing either during or immediately after the investigation of the reasons for the investigation, and of the findings of the investigation. If knowledge of a serious situation requires more expeditious notice, the Cooperative shall notify the customer by the most expedient means available.

**M. Customer Complaints to the Utility**- Upon complaint to the utility by a customer at the utility's office, by telephone or in writing, the utility shall make a prompt and complete investigation and advise the complainant of its findings. The utility shall keep a record of all written complaints concerning its service. This record shall show the name and address of the complainant, the date and nature of the complaint, and the adjustment or disposition of the complaint.

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Records shall be maintained for two (2) years from the date of the resolution of the complaint. If a written complaint or a complaint made in person at the utility's office is not resolved, the utility shall provide him with the address and telephone number of the commission. If a telephone complaint is not resolved, the utility shall provide at least oral notice to the complainant of his right to file a complaint with the commission and the address and telephone number of the commission.

If a customer's usage is unduly high and the deviation is not otherwise explained, the utility shall test the customer's meter at the Cooperative's expense to determine whether the meter shows an average error greater than two percent (2%) fast or slow.

**N. Disputes Pending-**

Customer accounts shall be considered to be current while the dispute is pending as long as the customer continues to make undisputed payments based upon the indicated normal kwh history usage as determined by the Cooperative and the customer stays current on subsequent billing.

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Name of Officer

FOR ENTIRE AREA SERVED  
Community, Town or City

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**6. MEMBER PAYMENT-EXTENSION OF TIME**

**A. Partial Payment Plan-** The Cooperative shall negotiate and accept a reasonable partial payment plan at the request of the residential customer who has received a termination notice for failure to pay by the due date; has appeared in person or their agent at one of the Cooperative's offices before the scheduled cutoff date and signed a negotiated agreement; is not delinquent under a previous partial payment plan; and understands that service may be immediately disconnected without further notice should the customer fail to follow the agreement as mutually agreed upon between the customer and the Cooperative. The Cooperative shall negotiate an agreement with the customer even if the customer has failed to abide by a prior agreement, if that agreement has now been paid in full.

**B. Certificate of Need from Department for Social Services-** Upon written certification from the Department of Social Insurance issued at one of its offices or the office of its designate, a consumer who is eligible for energy assistance under the department's guidelines or is certified as being a genuine financial need, defined as any household with gross income at or below 130% of the poverty level, and who has been issued a 10 day notice between November 1 and March 31, and who presents such notice to the Department for Social Insurance, shall be allowed thirty (30) days beyond the termination date provided such certification is delivered to the cooperative during the initial ten (10) day notice period by the applicant in person, by his or her agent, by mail, or by a telephone call from an employee of the Department for Social Insurance. As a condition of the thirty (30) day extension, the customer shall exhibit good faith in paying his indebtedness by making a present payment in accordance with his ability to do so. The thirty (30) day period shall begin to run at the end of the 10th day of the ten (10) day period.

When the customer exhibits good faith by offering to make a present payment commensurate with his or her ability to do so and by agreeing to a repayment schedule which would permit the

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Issued By George E. Mangas FOR THE PUBLIC SERVICE COMMISSION Title General Manager  
Name of Officer

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	<u>Original</u>	Sheet No. <u>33</u>

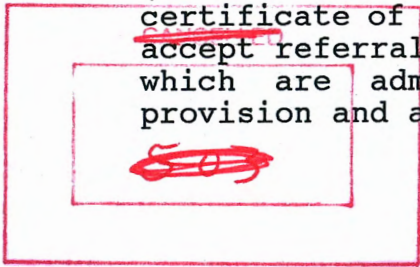
**RULES AND REGULATIONS**

consumer to become current in the payment of his or her electric bill as soon as possible, but no later than the next October 15, the Cooperative will accept a partial payment plan.

The Cooperative shall not require a new deposit from a customer to avoid termination of service for a thirty (30) day period who presents a certificate to the utility certified by the Kentucky Cabinet for Human Resources (or its designee) that the customer is eligible for the Cabinet's Energy Assistance Program or whose household income is at or below 130 percent of the poverty level.

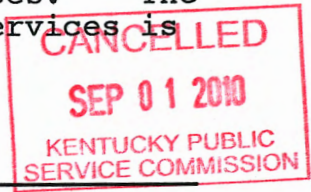
**C. Winter Hardship Reconnection-** The Cooperative shall reconnect service to a residential consumer who has been previously disconnected for nonpayment of bills prior to application for reconnect, and who applies for such reconnection of service during the months from November through March when the consumer or his or her agent,

I. Presents a certification of need from the Cabinet for Human Resources, Department for Social Insurance including a certification that a referral for weatherization services has been made if such service is available; (Certificate of Need for Reconnection: Federal and statewide energy assistance programs are administered by the Kentucky Cabinet for Human Resources, Department for Social Insurance. A customer who is eligible for energy assistance under the department's guidelines or is certified as being in genuine financial need, which is defined as any household with gross income at or below 130 percent of the poverty level, may obtain a certificate of need from the department to be used in obtaining a service reconnection from the utility) (Weatherization Program: Customers obtaining a certificate of need under this regulation shall agree to accept referral to and utilize weatherization services which are administered by the Department of Human Resources. The provision and acceptance of weatherization services is



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Name of Officer	<u>Bernice M. Mangor</u>		

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BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

contingent on the availability of funds and other program guidelines. Weatherization services include, but are not limited to, weather-stripping, insulation, and caulking) and

II. Pay 1/3 of his or her outstanding bill or \$200.00 whichever is less; and

III. Agrees to a repayment schedule which would permit the consumer to become current in the payment of his or her electric bill as soon as possible but no later than the next October 15 provided, however, that if, at the time of application for reconnection the consumer has an outstanding bill in excess of \$600.00 and agrees to a repayment plan that would pay current charges and makes a good faith reduction in the outstanding bill consistent with his or her ability to pay, then such plan shall be accepted. In addition to payment of current charges, the repayment schedule shall provide an option to the customer to select either one (1) payment of arrearages per month or more than one (1) payment of arrearages per month.

IV. The Cooperative shall not require a new deposit from a customer whose service is reconnected due to subsection C. of this section.

V. Customers qualifying for reconnection shall be exempt from the reconnect charge.

D. Medical Certificates Presented by the Customer- If a medical certificate is presented, service shall not be terminated for thirty (30) days beyond the termination date if a physician, registered nurse or public health officer certifies in writing that termination of service will aggravate a debilitating illness or infirmity on the affected premises. The cooperative may refuse to grant consecutive extensions for medical certificates past the original thirty (30) days unless the certificate is accompanied by an agreed partial payment plan. For customers presenting medical certificates, the Cooperative shall negotiate partial payment

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Original Sheet No. 35

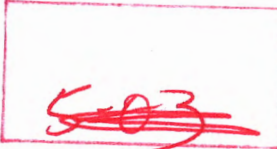
**RULES AND REGULATIONS**

plan based upon the customer's ability to pay, requiring accounts to become current not later than the following October 15. Such plans may include, but are not limited to, budget payment plans and plans that defer payment of a portion of the arrearage until after the end of the heating season through a schedule of unequal payments. The cooperative shall not require a new deposit from a customer to avoid termination of service for a thirty (30) day period who presents to the utility a medical certificate in writing by a physician, registered nurse or public health officer. The Cooperative, upon notification of the medical debilitation will mail by certified letter a statement of receipt of the medical notification and state the allowance of a thirty day extension period along with information of the Cabinet for Human Resource's ombudsman number for possible financial assistance if available.

**E. When a Customer Request is Made for a Partial Payment Plan Relative to Line-cost:**

I. The applicant's request will be reviewed by Salt River's existing Loan Committee. The committee shall base its approval or denial of the request on the review of the applicant's credit-worthiness by examination of a credit report and/or other sources or records the committee may deem necessary to make the determination. Any costs incurred in obtaining records to make the determination shall be borne by the applicant.

II. The applicant shall be required to pay at least one-half of the line cost up-front as an indication of good faith in meeting the obligation of the remaining balance. The remaining balance and length of time so requested by the member in fulfilling the obligation of the agreement shall be approved or denied by the committee. The committee may offer an alternate plan of its own if the customer's suggested payment plan is denied. All agreements shall be in writing.

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Issued By *[Signature]* Title General Manager  
Name of Officer

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III. Any partial payment balance shall accrue interest at Salt River's current marketing interest rate. The customer may elect to pay the agreement in full prior to the payoff date with no penalty.

IV. Any delinquency in the payment plan shall result in Salt River taking immediate action to collect the remaining balance through normal collection procedures.

V. Customers approved and participating in the Line Cost Loan Program of the Cooperative will be eligible for refund of line extension monies paid when the loan has been paid in full. The refund amount at that time shall take into consideration the time accrued from the first billing date. This clause shall take precedent over any other clause within the Cooperative's regulations regarding line cost refund when a line-cost loan exists for the customer.

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**7. BILLING OPTIONS EXTENDED TO THE MEMBER**

**A. Budget Billing Plans:**

I. Levelized (Budget Billing): Levelized budget billing is available to all residential customers who have lived at their present location for one year and have signed an agreement for such billing.

The levelized payment plan for each account is determined by:

(a) Totaling the past twelve (12) months' KWH and determining an average KWH usage for this period of time; and

(b) Deriving the current revenue figure from the average KWH and adding or subtracting any fuel charge, security light charges, taxes, if applicable; and

(c) The budget amount is then carried to a dollar amount. This amount will become the monthly payment for the account; and

(d) Each month the 12th month is dropped and the current month added, thereby maintaining a "rolling" twelve (12) month current average, and avoiding the need for a catch-up month.

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Issued By [Signature] Title General Manager  
Name of Officer



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NAME OF ISSUING CORPORATION	<u>2nd Revision</u>	Sheet No. <u>38</u>
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The consumer agrees to pay the budgeted amount on or before the delinquent date indicated on the bill each month. Failure to do so may void the agreement and the total balance, if any will be due and payable.

II. Fixed (Budget Billing): A fixed budget billing plan will be made available to accommodate a member who has not yet established 12-months' of kwh history and thus is disallowed from using the Cooperative's Levelized Budget Billing Plan. This plan will also permit the remaining spouse in divorce or in death occurrences to utilize the Fixed-Budget Plan when kwh history has not been established exclusively in their name.

(a) The plan requires that the member be a residential customer and signs an agreement for such billing.

(b) The method used for determining the fixed budget amount shall be based on:

An amount determined by the Cooperative using either historical data gathered from the location or an estimated kwh usage based on documented information and sources.

(c) The member agrees to pay the budgeted amount on or before the delinquent date on the bill. Failure to do so may void the agreement and the total balance, if any, becomes due.

(d) After 12-months' kwh has been billed through the Cooperative's Fixed Budget Plan, the member will be notified that this plan is no longer an option; at that time the account will automatically convert to the Normalized Budget Billing Plan with the next billing unless subsequent notification from the member is indicated to the contrary.

In any case, any arrearage and/or billings from the Fixed Billing Plan must be paid to bring the account to a current payment status; however, an agreement may be negotiated between the member and the Cooperative to accommodate this catch-up billing.

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Date of Issue	<u>February 1, 1995</u>	Date Effective	<u>February 1, 1995</u>
Issued By	<u><i>Bernice E. Mang</i></u> Name of Officer	BY:	<u><i>Jordan C. Neal</i></u> Title <u>General Manager</u> FOR THE PUBLIC SERVICE COMMISSION

**SALT RIVER ELECTRIC COOP. CORP.**

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BY: Jordan C. Neel

FOR THE PUBLIC SERVICE COMMISSION

**B. Bank Drafts** Customers may elect to pay their monthly bills through the cooperative's bank draft plan which requires a copy of the member's deposit slip in order to obtain bank routing numbers and the member's banking account number. The member may enter the plan at any time or request removal from the plan at any time. The member will continue to receive a monthly statement which indicates the amount billed and the bill will state that the account has been paid through the draft. The member agrees that each payment shall be the same as if it were a check or withdrawal personally signed and authorized by the member.

If at any time while the member is enrolled in the Automatic Bank Draft Plan, a financial institution advises this Cooperative that payment cannot be made due to insufficient funds, account closed, or any other condition which the member has direct control, the Plan will be terminated. If the condition is determined to be the fault of the financial institution, with the Cooperative advised in writing by the financial institution, the member will be immediately restored to the plan.

**C. Third Party Notice Authorization-** A cooperative member may authorize any person or organization so designated to receive an exact copy of his/her cutoff notice, if the member is delinquent. This third party notice is intended as a service to the elderly or bedridden member who might forget to pay their electric bills, or because of their illness are unable to handle their financial affairs. This extra notice does not mean that the third party must pay the bill. It is merely a notification to the third party that there is a payment now delinquent of the second party (the member) so that the third party might take steps in assisting the second party avoid interrupted service due to scheduled cutoff.

**D. Extension of Time for Senior Citizens, Disability Cases-** The Cooperative will honor requests for an extension of time beyond the normally scheduled delinquent date from senior citizens who receive SSI (Social Security Insurance) disbursement checks or other individuals who are permanently disabled. Penalty shall not be assessed to the monthly

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Issued By George M. Mays  
Name of Officer

Title General Manager

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payment. Proof shall be provided by a document or source that will permit the Cooperative to ascertain the validity of the request. The member who meets the criteria listed shall be responsible for meeting all other dates, including making payment before the scheduled cutoff date, in conformance with the Rules and Regulations of the Cooperative and so stated in the PSC (Public Service Commission) regulations.

**E. Credit Card Payment-** the Cooperative may honor such credit card payments for electric bills, membership fees, deposits, line costs, or other electrical sales contingent on the existence of an agreement between the Cooperative and the participating vendor.

**F. Automatic Transfer of Name from Tenant to Owner-** An owner may request that a location or locations be automatically transferred to his/her name upon request for disconnection or for disconnection for nonpayment upon the delinquency of the tenant. This indication will be evidenced in writing listing the meter numbers requested and the service location so requested. Any bills resulting from the automatic transfer authorization will remain the owner's responsibility after change from the tenant's name to the owner.

If an automatic transfer is made to the owner because of the delinquency of the tenant, the owner must immediately make arrangements to pay any membership fee, deposit or deposits or any additional fees required to change the service to their name. If the owner does not make the necessary arrangements of payments and fees, if due, service will be disconnected after ten (10) days written notice of termination mailed or otherwise delivered to the last known address of the customer. The notice shall plainly state the reason for termination and that the owner has the right to dispute the reasons for termination.

Failure to react to the requirements of this plan may cause future arrangements to be denied to the owner.

PUBLIC SERVICE COMMISSION  
OF KENTUCKY  
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FEB 01 1995

PURSUANT TO 807 KAR 5.011,  
SECTION 9(1)

BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

CANCELLED  
SEP 01 2010  
KENTUCKY PUBLIC  
SERVICE COMMISSION

Date of Issue February 1, 1995 Date Effective February 1, 1995  
Issued By George E. Mang Title General Manager  
Name of Officer

SALT RIVER ELECTRIC COOP. CORP.

P.S.C. No. 10

NAME OF ISSUING CORPORATION

2nd Revision

Sheet No. 41

Canceling P.S.C. No. 10

1st Revision

PUBLIC SERVICE COMMISSION

OF KENTUCKY

RULES AND REGULATIONS

EFFECTIVE

FEB 01 1995

PURSUANT TO 807 KAR 5.011,  
SECTION 9(1)

8. DISCONTINUANCE AND/OR REFUSAL OF SERVICE

*Jordan C. Neal*  
BY \_\_\_\_\_  
FOR THE PUBLIC SERVICE COMMISSION

**A. Customer's Request for Termination of Service-** Any member desiring service discontinued or changed from one address to another shall give the Cooperative three (3) working days notice in person, in writing, or by telephone, provided such notice does not violate contractual obligations or tariff provisions. The customer shall not be responsible for charges for service beyond the three (3) day period if the customer provides reasonable access to the meter during the notice period. If the customer notifies the utility of his request for termination by telephone, the burden of proof is on the customer to prove that service termination was requested if a dispute arises.

**B. Advance Termination Notice-** When advance termination notice is required, the termination notice shall be mailed or otherwise delivered to the last known address of the customer. The termination notice shall be in writing, distinguishable and separate from any bill. The termination notice shall plainly state the reason of termination, that the termination date will not be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

**C. Refusal or Discontinuance of Service-** The Cooperative may refuse or discontinue to serve an applicant or customer under the following conditions:

**I. For non-compliance of its rules and regulations.** However, the Cooperative shall not discontinue or refuse service to any customer for violations of its rules and regulations without first having made a reasonable effort to induce the customer to comply with the rules and regulations as filed with the Public Service Commission. After such effort on the part of the Cooperative, service may be disconnected or refused only after the customer shall have been given at least ten (10) days written notice of such intention mailed to the last known address of the customer. The termination notice shall plainly state the reason for termination, that the termination date will not be affected by receipt of any subsequent

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Issued By *Benjamin E. Mang*  
Name of Officer

Title General Manager

<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No.	<u>10</u>
NAME OF ISSUING CORPORATION	<u>2nd Revision</u>	Sheet No. <u>42</u>
	Canceling P.S.C. No.	<u>10</u>
	<u>1st Revision</u>	Sheet No. <u>42</u>

**RULES AND REGULATIONS**

bill, and that the customer has the right to dispute the reasons for termination.

II. When a dangerous condition is found to exist on the customer's premises, the service shall be disconnected without notice or refused, provided that the Cooperative notifies the customer immediately of the reason for the discontinuance or refusal in writing and, if possible, orally of the reasons for the termination or refusal, and the corrective action to be taken by them before service can be installed or restored. Such notice shall be recorded by the utility along with the corrective action for future reference.

III. When a customer refuses or neglects to provide reasonable access to the premises for the purpose of installation, operation, meter reading, maintenance or removal of the Cooperative's property, the Cooperative may discontinue or refuse service only after the customer shall have been given at least ten (10) days written notice of such intention, delivered to the last known address of the customer. The termination notice shall plainly state the reason for the termination, that the termination date will not be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

IV. The Cooperative shall not furnish service to any customer when such customer is indebted to the Cooperative for service furnished until such customer shall have paid such indebtedness.

V. Except in instances where a dangerous condition exists or when otherwise ordered by a government official, the Cooperative may refuse or discontinue service to a customer if the customer does not comply with state, municipal or other codes, rules and regulations applying to such service after giving ten (10) days written notice mailed to the last known address of the customer. The termination notice shall plainly state the reason for termination, that the termination date will not be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

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Issued By George M. Mang BY: Jordan C. Nollie General Manager  
Name of Officer FOR THE PUBLIC SERVICE COMMISSION

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
NAME OF ISSUING CORPORATION 2nd Revision Sheet No. 43  
Canceling P.S.C. No. 10  
1st Revision Sheet No. 43

**RULES AND REGULATIONS**

VI. For non-payment of bills. However, the Cooperative shall not discontinue service to any member for non-payment of bills (including late charges) without first having made reasonable effort to induce the member to pay same. The customer shall be given at least 10 days written notice, but the cut-off shall not be effective for 27 days after the mailing date of the original bill. The termination notice to residential customers shall include written notification to the customer of the existence of local, state and federal programs providing for the payment of utility bills under certain conditions, and of the address and telephone number of the Department for Social Insurance of the Cabinet for Human Resources to contact for possible assistance. The telephone number of the Cabinet for Human Resource Ombudsman may be sufficient for the entire service area. Such termination notice shall be exclusive of and separate from the original bill.

D. Collection of Delinquent Accounts- A twenty dollar (\$20.00) charge will be assessed when the Cooperative representative makes a trip to the premises of a customer for the purpose of terminating service. The charge may be assessed if the utility representative actually terminates service or if, in the course of the trip, the customer pays the delinquent bill to avoid termination. The charge may also be made if the utility representative agrees, after approval by the Cooperative office, to delay termination based on the customer's agreement to pay the delinquent bill by a specific date. The utility may make a field collection trip charge only once in connection with any billing period. This shall not limit the Cooperative's right to assess charges for other services, such as return check charges, etc.

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PIURSUANT TO 307 KAR 5:011  
SECTION 9 (1)

BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

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KENTUCKY PUBLIC  
SERVICE COMMISSION

Date of Issue February 1, 1995 Date Effective February 1, 1995  
Issued By George E. Mang Title General Manager  
Name of Officer

FOR ENTIRE AREA SERVED

Community, Town or City

SALT RIVER ELECTRIC COOP. CORP.

NAME OF ISSUING CORPORATION

P.S.C. No. 12

Original Sheet No. 44

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1st Revised Sheet No. 44

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**E. Disconnect for Non-Payment Charge/Reconnection-** In the event a member is disconnected for non-payment of a delinquent account and requests reconnection during regular working hours, a \$20.00 reconnection service call charge shall be collected in advance. After regular working hours, there will be a special charge in the amount of \$ 50.00 for reconnection. Total amount shall therefore, be \$40.00 during working hours and \$70.00 after hours (amount includes a \$20.00 disconnect fee).

**F. Checks Returned-** Unhonored by Bank- There will be a \$25.00 charge for any check returned to the Cooperative by a bank for any reason. A return check shall be considered as non-payment of a bill and will immediately be sent for collection without additional notice should account already be subject to disconnect.

The Cooperative shall have the right to refuse to accept checks in payment of an account from any consumer that has demonstrated poor credit risk by having two (2) or more checks returned unpaid from a bank for any reason. The Cooperative may not accept a check to pay for and redeem another check or accept a two (2) party check for cash payment of an account.

09/1/10

KENTUCKY PUBLIC SERVICE COMMISSION
JEFF R. DEROUEN EXECUTIVE DIRECTOR
TARIFF BRANCH <i>Brent Kirtley</i>
EFFECTIVE September 1, 2010
PURSUANT TO 807 KAR 5:011 SECTION 9(1)

Date of Issue September 1, 2010

Date Effective September 1, 2010

Issued By

*[Signature]*  
Name of Officer

Title President and CEO

FOR ENTIRE AREA SERVED  
Community, Town or City

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
NAME OF ISSUING CORPORATION 1st Revision Sheet No. 44  
Canceling P.S.C. No. 10  
Original Sheet No. 44

**RULES AND REGULATIONS**

**E. Disconnect for Non-Payment Charge/Reconnection-** In the event a member is disconnected for non-payment of a delinquent account and requests reconnection during regular working hours, a \$20.00 reconnection service call charge shall be collected in advance. After regular working hours, there will be a special charge in the amount of \$ 50.00 for reconnection. Total amount shall therefore, be \$40.00 during working hours and \$70.00 after hours (amount includes a \$20.00 disconnect fee).

**F. Checks Returned- Unhonored by Bank-** There will be a \$10.00 charge for any check returned to the Cooperative by a bank for any reason. A return check shall be considered as non-payment of a bill and will immediately be sent for collection without additional notice should account already be subject to disconnect.

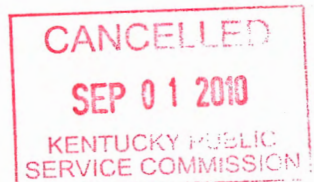
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PURSUANT TO 807 KAR 5011,  
SECTION 8(1)

BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION



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Issued By George E. Mangos Title General Manager  
Name of Officer



SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
NAME OF ISSUING CORPORATION 1st Revision Sheet No. 45  
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Original Sheet No. 43

**RULES AND REGULATIONS**

**9. COOPERATIVE/PSC REGULATIONS REGARDING SERVICE CONNECTIONS**

**A. Temporary Service-** A member or consumer requesting temporary service may be required to pay all cost of constructing, removing, connecting, and disconnecting service. The facilities that are temporary in nature, such as for construction contractor, saw mills, oil wells, carnivals, fairs, camp meetings, etc., will be provided to consumers making application for same, provided they pay an advance fee equal to the reasonable cost of constructing and removing such facilities along with a deposit, (amount to be determined by the Cooperative) for estimated KWH usage. Upon termination of temporary service the payment paid on estimate usage will be adjusted to the actual usage and either a refund or additional billing will be issued to such temporary member or consumer.

A member or consumer requesting a temporary service for construction of a permanent facility may be required to pay all cost of construction and connection of said service. Any payment required shall be refunded in accordance to the line extension policy for permanent residences if, in fact, the temporary service is replaced by a permanent facility. Temporary service used for such construction may be only utilized for a period not to exceed six (6) months after which time the service will be disconnected unless a written extension of time is obtained from the Cooperative.

The Cooperative will as an option provide a temporary meter assembly for construction purposes provided the member or contractor pays for the actual costs of providing said service.

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KENTUCKY PUBLIC SERVICE COMMISSION

**B. Line Extension to Mobile Homes-**

I. All extensions up to 300 feet from the nearest facilities shall be made without charge, except for any charges required by the Cooperative for all consumers.

II. In counties requiring mobile home zoning, the consumer shall provide a certificate of approval from the county for the mobile home before construction shall begin.

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SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
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Original Sheet No. 44

**RULES AND REGULATIONS**

III. All required fee charges and advances shall be paid before construction begins, and the mobile home must be set in place before service can be extended.

IV. The consumer shall install, or pay to have installed, his own trailer pole and it shall meet the requirements of the National Electric Safety Code as set forth in 807 KAR 5:041, Section 3. As an option the Cooperative will provide the service of setting a meter pole provided that the member reimburses the Cooperative the actual expense of performing such service.

V. For extensions greater than 300 feet and less than 1000 feet from the nearest facility, the Cooperative will charge an advance equal to the reasonable cost incurred by it for that portion of that service beyond 300 feet in addition to any other charges required by the Cooperative for all consumers.

VI. The above advance shall be refunded to the consumer over a four (4) year period in equal amounts for each year the service is continued and the refund advance date begins with the first billing date.

VII. If the service is disconnected for a period of sixty (60) days or should the mobile home be removed and another not take its place within sixty (60) days or be replaced by a permanent structure, the remainder of the advance shall be forfeited.

VIII. No refunds shall be made to any consumer who did not, or was not required to make the advance at the time of construction.

IX. For extensions which are greater than 1000 feet the provisions, as stated in subsection B (V), apply to the first 1000 feet. For that portion of the line over 1000 feet the Cooperative will charge the consumer the cost of construction for that portion of service beyond 1000 feet. The deposit for that portion over 1000 feet is subject to refund as follows:

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Date of Issue February 1, 1995 BY: [Signature] February 1, 1995  
Issued By [Signature] FOR THE PUBLIC SERVICE COMMISSION General Manager  
Name of Officer

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
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**RULES AND REGULATIONS**

Each year for a period of not less than ten (10) years, which shall be the refund period, the Cooperative shall refund to the customer or customers who paid for the excessive footage the cost of 1000 feet less the cost of the new line extension for each additional customer connected during the year whose line is directly connected to the extension installed and not to extensions or laterals therefrom. For each consumer connected the utility shall refund to the consumer who paid for the extension that portion over 1000 feet but not to exceed the cost of 1000 feet per consumer. In no case shall the refund exceed the total cost of this portion in excess of 1000 feet of the extension.

X. All mobile home meter poles shall be wired and inspected according to the National Electric Code (NEC) and shall be wired and inspected at the expense of the consumer. As an option, the Cooperative will provide the service of wiring a meter pole assembly provided that the member reimburses the Cooperative for the actual expense of performing such work. The customer will still be required to provide for the inspection of his service prior to connection.

XII. Any consumer who puts up a trailer line extension deposit and replaces his trailer with a permanent residence which is connected directly to the line for which the deposit was made, shall be refunded his deposit upon terms and conditions of the line extension policy for permanent residences.

**C. Line Extensions to Permanent Residences (under 1000 feet)-**  
An extension of 1000 feet or less shall be made by the Cooperative to its existing distribution line without charge for a prospective customer who shall apply for and agree to use the service for one year or more and provides guarantee for such service. The "service drop" to the house from the distribution line at the last pole shall not be included in the foregoing measurement.

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Issued By George C. Hall FOR THE PUBLIC SERVICE COMMISSION General Manager  
Name of Officer

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
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Canceling P.S.C. No. 10  
Original Sheet No. 46

**RULES AND REGULATIONS**

**D. Line Extensions to Permanent Residences (Over 1000 feet)-**

I. When an extension of the Cooperative's line to serve a member or group of members amounts to more than 1000 feet per member, the total cost of the excessive footage over 1000 feet per member shall be deposited by the applicant or applicants based on the average estimated cost per foot of the total extension.

II. Each member receiving service under such extensions will be reimbursed under the following plan:

Each year for a period of not less than ten (10) years, which for the purpose of this rule shall be the refund period, the Cooperative shall refund to the member or members who paid for the excess footage the cost of 1000 feet less the cost of the new line extension for each additional customer connected during the year whose service line is directly connected to the extension installed and not to extensions or laterals therefrom, but in no case shall the total amount refunded exceed the amount paid the Cooperative. After the end of the refund period, no refund will be required.

III. The member must grant an easement to the Cooperative to take off the original line extension.

**E. Line Extensions to Commercial Customers-**

I. Single Phase Line Extensions shall be made under the same guidelines as described in Items 9C and D as stated previously.

II. Three Phase Line Extensions shall be made for commercial and industrial customers requesting such, based upon the estimated revenue to be received during the first one (1) year. Three phase line extensions will be made at no cost for any member whose first year revenue exceeds the cost of construction. For extensions whose cost exceeds the estimated revenue, the member shall deposit the difference with the Cooperative and sign an agreement for electric service.

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Issued By [Signature] SECTION 9(1) Title General Manager  
Name of officer by Jordan C. Hunt FOR THE PUBLIC SERVICE COMMISSION

<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No.	<u>10</u>
NAME OF ISSUING CORPORATION	<u>2nd Revision</u> Sheet No.	<u>49</u>
	Canceling P.S.C. No.	<u>10</u>
	<u>1st Revision</u> Sheet No.	<u>49</u>

**RULES AND REGULATIONS**

III. For three-phase extensions the refund as described in II above will only be made if the additional members connected are three-phase consumers. The normal line extension for three-phase consumers shall only be from existing 3-phase distribution lines. (2-phase line extensions shall be considered as three-phase.)

**F. Line Extension for Residential or Commercial Development-**  
An applicant desiring an extension to a proposed real estate subdivision shall be required to pay the entire cost of the extension. If a deposit is made, the amount deposited shall be refunded to the applicant over a ten (10) year period under the following conditions:

I. Each year for a period of not less than ten (10) years the utility shall refund to the applicant who paid for the extension a sum equivalent to the cost of 1000 feet of the extension installed for each additional permanent residential or commercial customer connected during the year, but in no case shall the total amount refunded exceed the amount paid to the utility. After the end of the refund period from the completion of the extension no refund will be required to be made.

II. All right-of-way must be cleared by the developer, to the satisfaction of the Cooperative, before the construction begins.

III. The developer must provide all required easements to the Cooperative to take off the original line extension.

**G. Line Extension to structures and/or facilities other than residences (Houses or Mobile Homes) -**

I. The Cooperative will extent service to **structures** other than residences under the following conditions:  
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SEP 01 2010  
KENTUCKY PUBLIC SERVICE COMMISSION

FEB 01 1995

A. Adequate right-of-way easement to construct extension will be made available to the Cooperative.

B. All fees including the membership fee to be paid at the time of application for service.

BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

Date of Issue February 1, 1995 Date Effective February 1, 1995  
Issued By Margaret Manger Title General Manager  
Name of Officer

<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No.	<u>10</u>
NAME OF ISSUING CORPORATION	<u>1st Revision</u>	Sheet No. <u>49.1</u>
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	<u>Original</u>	Sheet No. <u>49.1</u>

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C. Extensions of up to 300 feet from the nearest facility shall be made without additional charge for line construction.

D. Deleted

E. For extensions greater than 300 feet and less than 1000 feet from the nearest facility, the utility may charge an advance equal to the reasonable costs incurred by it for that portion of the service beyond 300 feet plus fifty (\$50) dollars.

1. This advance shall be refunded to the customer over a four (4) year period in equal amounts for each year the service is continued.

2. If the service is discontinued for a period of sixty (60) days, or should the facility be removed and another not take its place within sixty (60) days, or be replaced by a permanent structure, the remainder of the advance shall be forfeited.

3. No refunds shall be made to any customer who did not make the advance originally.

4. Structures must be wired and pass electrical inspection prior to construction of the extension.

Extensions made under item (E) shall be made on an "Estimated Average Cost" per foot of line. This cost may be revised and updated at six-month intervals.

III. For line extensions beyond 1000 feet from the nearest facility, the extension policies are the same as

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BY: Stephen D. Bell  
SECRETARY OF THE COMMISSION

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SEP 01 2010  
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SERVICE COMMISSION

Date of Issue October 12, 1998  
Issued By [Signature]  
Name of Officer

Date Effective December 1, 1998  
Title General Manager

SALT RIVER ELECTRIC COOP. CORP.

P.S.C. No. 10

NAME OF ISSUING CORPORATION

2nd Revision Sheet No. 50

Canceling P.S.C. No. 10

1st Revision Sheet No. 50

**RULES AND REGULATIONS**

IV. The Cooperative retains full ownership of all extensions and electrical facilities installed by the Cooperative.

H. **Underground Electric Service-** The purpose of this policy is to formulate the Cooperative's requirements for underground electrical service, which will insure adequate service and safety to all persons engaged in the construction, maintenance, operations, and use of underground facilities and to the public in general.

I. Application...This policy shall only apply to underground supply facilities used in connection with the electric distribution within the definitions set out herein.

II. Definitions...The following words and terms, when used in this policy, shall have the meaning indicated.

III. Applicant...The developer, builder, or other person, partnership, association, corporation, or governmental agency applying for installation of underground electric distribution system.

IV. Building..A structure enclosed within exterior walls or fire walls, built, erected or framed of component structural parts and designed for less than 5 family occupancy.

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BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

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KENTUCKY PUBLIC  
SERVICE COMMISSION

Date of Issue February 1, 1995

Date Effective February 1, 1995

Issued By George E. Thayer  
Name of Officer

Title General Manager

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**RULES AND REGULATIONS**

V. Multiple-Occupancy Building...A structure enclosed within exterior walls or fire walls, built, erected or framed of component structural parts and designed to contain 5 or more individual dwelling units.

VI. Distribution System...Electric service facilities consisting of primary and secondary conductors, transformers, and necessary accessories and appurtenances for the furnishing of electric power at utilization voltage.

VII. Subdivision...A tract of land which is divided into ten or more lots for the construction of new residential buildings, or for the construction of two or more new multiple-occupancy building.

VIII. Commission...The Kentucky Public Service Commission.

IX. Trenching and BackFilling...Opening and preparing the ditch for the installation of conductors including placing of race-ways under roadways, drive-ways, or paved areas; providing a dirt or sand bedding below and above conductors when required and backfill of trench to ground level.

X. Right-of-Way and Easements...

(a) The Cooperative shall construct, own, operate, and maintain distribution lines only along easements, public streets, roads and highways, which are by legal rights accessible to utility's equipment in which the utility has the legal right to occupy, and the public lands and private property across which right-of-ways and easements satisfactory to the Cooperative are provided without cost or condemnation by the Cooperative.

(b) Right-of-way and easements suitable to the Cooperative for the underground distribution facilities must be furnished by applicant in reasonable time to meet service requirements. The

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Date of Issue February 1, 1995 SECTION 9(1) Date Effective February 1, 1995  
 Issued By Benny Manda BY: Jordan C. Mack General Manager  
 Name of Officer FOR THE PUBLIC SERVICE COMMISSION



<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No.	<u>10</u>
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**RULES AND REGULATIONS**

applicant shall make the area in which the underground distribution facilities are to be located accessible to the Cooperative's equipment and remove all obstructions from such area, stake to show property lines and final grade, perform rough grading to a reasonable approximation of final grade and maintain clearing and grading during construction by the Cooperative. Suitable land rights shall be granted to the Cooperative, obligating the applicant and subsequent property owner to provide continuing access to the utility for operation, maintenance, or replacement of its facilities and to prevent any encroachment in the utility's easement of substantial changes in grade or elevation thereof.

**I. Installation of Underground Distribution System Within a New Subdivision:**

I. Where appropriate contractual arrangements have been made, the Cooperative shall install, within a planning and zoning approved subdivision, an underground electric distribution system of sufficient capacity and suitable materials. It shall be the Cooperative's responsibility to assure that the property owners will receive safe and adequate electric service for the foreseeable future.

II. All single phase conductors installed by the utility shall be underground. Appurtenances such as transformers, pedestal mounted terminals, switching equipment, and meter cabinets may be placed above the ground.

III. Three-phase primary mains or feeders required in a subdivision to supply local distribution or service individual three-phase loads, may be overhead unless underground is required by governmental authority or chosen by the applicant, and either of which case the differential cost of underground shall be borne by the applicant for such service.

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PURSUANT TO 807 KAR 5.011

Date of Issue	<u>February 1, 1995</u>	SECTION 9 (1) Date Effective	<u>February 1, 1995</u>
Issued By	<u>Bernard Thomas</u> Name of officer	BY: <u>Jordan H. Neill</u> FOR THE PUBLIC SERVICE COMMISSION	<u>General Manager</u>

<u>SALT RIVER ELECTRIC COOP. CORP.</u>	P.S.C. No.	<u>10</u>
NAME OF ISSUING CORPORATION	<u>1st Revision</u>	Sheet No. <u>53</u>
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**RULES AND REGULATIONS**

IV. If the applicant has complied with the requirements herein and has given the Cooperative not less than 120 days written notice prior to anticipated date of completion (i.e., ready for occupancy) of the first building in the subdivision, the Cooperative shall complete the installation 30 days prior to the estimated completion date (subject to weather, and ground conditions and availability of materials and barring extra-ordinary or emergency circumstances beyond the reasonable control of the Cooperative.) However, nothing in this policy shall be interpreted to require the Cooperative to extend this service to portions of a subdivision not under active development.

V. Applicant may be required to guarantee the entire estimated cost of any subdivision line extension. Once this amount has been deposited with the Cooperative, construction shall proceed as provided in paragraph 4 above. The construction deposit shall be refunded to the applicant over a ten (10) year period as provided below:

(a) Each year for a period of not less than the (10) years the utility shall refund, to the applicant who paid for the extension, a sum equivalent to the cost to extend a one thousand (1000) feet overhead line extension for each additional customer connected during the calendar year. In no case will the total amount refunded exceed the amount initially paid to the Cooperative. After completion of the said extension and the expiration of the ten (10) years refund period, no refund will be required to be made for additional customer connections.

(b) The applicant shall be required to perform all necessary trenching and backfilling of ditches and manholes in accordance with the Cooperative's specifications. Additionally, the applicant at his own expense, will have installed the underground duct system and manholes to the standards as set by

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**RULES AND REGULATIONS**

the Cooperative. By mutual agreement the Cooperative will perform or have performed by an outside contractor all trench and backfill work, provided that the applicant agrees to reimburse the Cooperative for actual expenses incurred.

(c) The Plan for the location of all facilities to be installed shall be approved by the Cooperative and applicant prior to construction. Alterations in plans by the applicant which require additional cost of installation or construction, shall be at the sole expense of the applicant.

(d) The Cooperative shall not be obligated to install any facility within a subdivision until satisfactory arrangements for the payment of charges have been completed by the applicant and all final grades set for the lots and roadways.

(e) The charges specified in these rules are based on the premises that each applicant will cooperate with the utility in an effort to keep the cost of construction and installation of underground electric distribution system as low as possible and make satisfactory arrangements for the payment of the above charges prior to installation of the facilities. The cooperative will waive the deposit for the expansion of any established development which will allow for a customer density of 50 or more permanent residences per mile of installed line.

(f) All electric facilities shall be installed and constructed to comply with the rules and regulations of the Public Service Commission, National Electric Safety Code and the Cooperative's specifications.

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BY: Jordan C. Neel

FOR THE PUBLIC SERVICE COMMISSION

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SECTION 9(1)

BY: Jordan C. Neal  
FOR THE PUBLIC SERVICE COMMISSION

**J. Installation of Underground Service Facilities for Individual Residential or Commercial Customers-**

OPTION NUMBER 1.

I. Customer opens and closes ditch from house to the nearest pole, padmount transformer, splice box, or other point of connection as determined by Cooperative personnel under the guidelines of the Cooperative's current specifications.

II. The customer shall furnish and have installed all conduit from the meter socket to the point of connection as determined by the Cooperative in Item #1 above. In addition the customer will provide a pull wire inside the conduit system to assist the Cooperative in the installation of the conductor.

III. The Cooperative will furnish and install the underground service conductor from the meter base to the point of connection with other Cooperative facilities. The Cooperative will also provide all conduit required for the installation of the conductor up any Cooperative owned and maintained pole.

IV. The Cooperative will maintain any underground conductor which is installed under this option except in the occurrence of a dig-in. The Cooperative will repair all dig-ins on a cost plus basis.

V. Customer will pay a fee of \$175.00 for the first 150 feet of conductor for any underground installation. This price includes a special underground meter socket which will be provided by the Cooperative. For all additional conductor after the first 150 feet, the customer will be charged an additional fee of \$1.45 per foot. All costs associated with the installation of underground service must be paid prior to the scheduling of the work. The maximum distance which the cooperative will run any underground service drop is limited by the voltage drop allowed by NEC and Kentucky Public Service Commission Standards. The distance will also be limited

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FOR ENTIRE AREA SERVED  
Community, Town or City

SALT RIVER ELECTRIC COOP. CORP. P.S.C. No. 10  
NAME OF ISSUING CORPORATION 2nd Revised Sheet No. 56  
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1st Revised Sheet No. 56

**RULES AND REGULATIONS**

by the ability for the Cooperative to pull it's conductor through the conduit system from the meter base to the point of connection.

OPTION NUMBER 2

Deleted.

**K. For All Other Developments Or Individuals That Do Not Meet The Conditions Set Forth In these Rules Above-** Underground distribution will be installed provided an advance to the Cooperative is made in an amount equal to the difference between the Company's estimated cost of underground facilities and overhead facilities, which it would otherwise provide. This advance is nonrefundable and shall be applied to the cost of underground.

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BY: Stephen D. Bell  
SECRETARY OF THE COMMISSION

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Name of Officer

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Original Sheet No. 55

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**L. Relocation of Lines-** When the Cooperative is requested to relocate its facilities for any reason, any expense involved will be paid by the firm, person, or persons, requesting the relocation, unless one or more of the following conditions are met:

I. The relocation is made for the convenience of the Cooperative.

II. The relocation will result in a substantial improvement in the Cooperative's facilities as determined by the Cooperative (these costs may be shared as determined by the Cooperative).

III. That the relocation is associated with other regularly scheduled conversion or construction and can be done at the same time with little or no additional cost to the Cooperative.

If the Cooperative is required to relocate, upgrade or modify its existing facilities in order to comply with safety or clearance codes due to actions of the consumer (such as construction of facilities, landscape grading or other alterations affecting the Cooperative's property), any expenses incurred by the Cooperative will be paid by the firm, person or persons, causing the relocation or modification, unless one or more of the above conditions are met.

**M. Unusual Circumstances-** When the application of these rules appear impractical or unjust to either party or discriminatory to other consumers, the Cooperative or applicant shall refer the matter to the Commission for special ruling or for the approval of special conditions which may be mutually agreed upon, prior to commencing of construction.

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FOR THE PUBLIC SERVICE COMMISSION

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Issued By Margaret Mary  
Name of Officer

Date Effective February 1, 1995  
Title General Manager

FOR ENTIRE AREA SERVED  
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3rd Revision Sheet No. 58

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N. Prices for Line Extensions- The following unit costs will be used to determine any line costs associated with service line extensions included within this section:

OVERHEAD LINE CONSTRUCTION (Including poles, wire, and transformer)

Single Phase Primary Line	\$ 3.53 PER FOOT
Three Phase Primary Line	\$ 9.37 PER FOOT
Service Wire	\$ 3.15 PER FOOT
Right of Way Clearing (Trees)	\$ 2.00 PER FOOT
Service Wire with Pole	\$ 6.24 PER FOOT

UNDERGROUND LINE CONSTRUCTION (Including wire and pad transformer)

Single Phase Primary Line	\$ 6.03 PER FOOT
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COST DIFFERENCE PER FOOT FOR UNDERGROUND PRIMARY LINE

Single Phase Primary Line	\$ 2.50 PER FOOT
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The above costs per foot represent the average cost of extending a line between three hundred (300) and one thousand (1000) feet to one new customer.

O. Estimated Line Construction Costs- Any price quotation for line relocation extension or differential costs (excluding underground "estimated average cost differential") given by the Cooperative to a consumer shall be valid for a period not to exceed 60 days, after which time the Cooperative may update such estimate in order to more accurately reflect actual costs.

P. Franchise Fee - The franchise fee of any local governmental unit shall be assessed only against consumers located within the boundaries of the franchising unit of government and shall be listed as a separate item on the bills of the consumers located within the franchised area with a designation on the bill of the amount of the franchise fee and the unit of government to which the fee is payable.

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Issued By [Signature]  
(Name of Officer)

Title General

By [Signature]  
Executive Director

**SALT RIVER ELECTRIC COOPERATIVE CORPORATION**  
**Customer Bill of Rights**

- You have the right to service, provided you (or a member of your household whose debt was accumulated at your address) are not indebted to the Cooperative.
- You have the right to inspect and review the Cooperative's rates and tariffed operating procedures during the Cooperative's normal office hours.
- You have the right to be present at any routine utility inspection of your service connection.
- You must be provided a separate, distinct disconnect notice alerting you to a possible disconnection of your service if payment is not received.
- You have the right to dispute the reasons for any announced termination of your service.
- You have the right to negotiate a partial payment plan when your service is threatened by disconnection for non-payment provided you are not delinquent under a previous agreement and appear in person to negotiate this agreement prior to your scheduled cutoff date.
- You have the right to participate in the normal budget billing plan if you meet the guidelines set forth in the rules and regulations of the Cooperative.
- You have the right to maintain your utility service for up to thirty (30) days upon presentation of a medical certificate issued by a health official.
- You have the right to prompt (within 24 hours) restoration of your service when the cause for discontinuance of the service has been corrected.

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SECTION 9 (1)  
BY: Christa Helle  
PUBLIC SERVICE COMMISSION MANAGER



- **If you have not been disconnected, you have the right to maintain your electric service for up to thirty (30) days if you present a Certificate of Need issued by the Kentucky Cabinet for Human Resources between November and the end of March.**
  
- **If you have been disconnected due to nonpayment, you have the right to have your electric service reconnected between the months of November through March provided you:**
  - (1) **Present a Certificate of Need issued by the Kentucky Cabinet for Human Resources, and**
  - (2) **Pay one third (1/3) of your outstanding bill (\$200 maximum), and**
  - (3) **Accept a referral to the Human Resources' Weatherization program,**
  - (4) **Agree to a repayment schedule that will cause your bill to become current by October 15.**
  
- **You have the right to contact the Public Service Commission regarding any dispute that you have been unable to resolve with this utility (Call Toll Free 1-800-772-4636).**

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**BY: Cheryl L. Latta  
PUBLIC SERVICE COMMISSION MANAGER**

SALT RIVER ELECTRIC  
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P.S.C No. 10

1st REVISED  
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Original Sheet No. 57

ENERGY EMERGENCY CURTAILMENT PLAN

INTRODUCTION:

In Administrative Case Number 353, the Kentucky Public Service Commission ("Commission") ordered all electric utilities under its jurisdiction to establish energy curtailment procedures to be used in the event that customer demand appears likely to exceed the utility's available supply of energy.

PURPOSE:

The purpose of this plan is to provide guidelines for reducing the use of electric energy on Salt River Electric Cooperative Corporation's ("Salt River") distribution system in the event of energy deficiencies due to coal shortages, capacity deficits, mechanical failures and other emergency situations.

PRIORITY LEVELS:

For the purpose of this plan, the following priority levels have been established:

**Level 1 - Essential Health and Safety Uses:**

- (a) "Hospitals", which shall be limited to institutions providing medical care to patients.
- (b) "Life Support Equipment", which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
- (c) "Police Stations and Government Detention Institutions", which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of persons. These uses shall include essential street, highway and signal lighting systems.

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ENERGY EMERGENCY CURTAILMENT PLAN

- (d) "Fire Stations", which shall be limited to facilities housing mobile fire fighting apparatus.
- (e) "Communications Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (f) "Water and Sewage Services", which shall be limited to essential uses required for the supply of water to a community, flood pumping and sewage disposal.
- (g) "Transportation and Defense Related Services", which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the national defense and operation of state and local emergency services.
- (h) "Other Energy Source Services", which shall be limited to essential uses required for the production, transportation, transmission and distribution, for fuel, of natural or manufactured gas, coal, oil or gasoline.
- (i) "Perishable Food or Medicine", which shall be limited to refrigeration for the storage and preservation of perishable food or medicine, when that use is substantially all of the customer's load.

Although these types of uses will be given special consideration when implementing the load curtailment provisions of this plan, these customers are encouraged to install emergency generation equipment if continuity of service is essential. In the event a customer is supplied from two or more utility sources, only one source will be given special consideration. Also, any other customers who, in their opinion, have critical equipment should install emergency generation equipment.

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**Level 2 - Residential Uses:**

This level includes energy use for all purposes by Salt River customers receiving service under its residential rate schedules with the exception of those uses classified as Level 1 or Level 4 as defined by this plan.

**Level 3 - Commercial and Industrial Uses:**

This level includes energy use for all purposes by Salt River customers receiving service under its commercial and industrial rate schedules with the exception of those uses classified as Level 1 or Level 4 as defined by this plan.

**Level 4 - Nonessential Users:**

This level includes the following types of use, as well as similar uses, for all customers and such other uses which may be subsequently identified:

- (a) Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a singly illuminated sign identifying commercial facilities when operating after dark.
- (b) General interior lighting levels greater than minimum functional levels.
- (c) Show window and display lighting.
- (d) Parking lot lighting above the minimum functional levels.
- (e) Energy use greater than that necessary to maintain a temperature of not less than 78 degrees during the operation of cooling equipment and not more than 65 degrees during the operation of heating equipment.
- (f) Elevator and escalator use in excess of the minimum required for non-peak hours of use.

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ENERGY EMERGENCY CURTAILMENT PLAN

(g) Energy use greater than that which is the minimum required for lighting, heating or cooling of commercial or industrial facilities for maintenance cleaning or business related activities during non-business hours.

IMPLEMENTATION:

The provisions of this plan will be implemented only when a state of emergency or disaster has been declared by the appropriate authority. This plan will be carried out to the extent not prohibited by contractual commitments or by order of the regulatory authorities having jurisdiction. The mandatory energy curtailment provisions of this plan will be implemented only upon the order of the Governor of the Commonwealth of Kentucky or other duly constituted authority. In the event of any such order, Salt River will assume no liability as to the consequences of complying with said order in regards to life, health or property.

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PROCEDURES:

In the event of a potential electric energy deficiency, East Kentucky Power Cooperative, Inc. ("EKPC") shall issue a Load Reduction Alert. After Salt River has received said alert, the following steps will be carried out to the extent not prohibited by contractual commitments or by order of the regulatory authorities having jurisdiction. When the curtailment level is met, no further action will be required unless the load curtailment level is updated or additional loads are experienced.

A. Steps to be initiated upon receipt of an EKPC Load Reduction Alert:

1. Decide the extent of the emergency and estimate the amount of curtailment required.
2. Curtail electric energy use by customers on interruptible contracts to a maximum number of hours of use per week as negotiated within the context of the contract provisions.

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ENERGY EMERGENCY CURTAILMENT PLAN

- 3. Curtail the use of energy in all of Salt River's facilities.
- 4. Through use of the news media and direct customer contact, appeal to all customers to voluntarily reduce their use of electric energy as much as possible, and in any case endeavor to reduce the nonessential use of electricity (Priority Level IV) by at least 25%.
- 5. If deemed a feasible and viable measure at the time, utilize voltage reduction as a means of reducing energy requirements.

B. Steps to be initiated if curtailment levels are not met via the preceding steps.

- 1. Through use of the news media and direct customer contact, advise all customers of the forthcoming program. Establish an effective means of answering specific customer inquiries concerning the impact of the program on the availability of electric energy.
- 2. Implement the mandatory curtailment of electric energy use to all customers as indicated below:
  - (a) Priority Level IV - 100%
  - (b) Priority Level III - 25%
  - (c) Priority Level II - 15%

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C. Steps to be initiated if curtailment levels are not met via the preceding steps.

- 1. Through use of the news media and direct customer contact, advise all customers of the forthcoming program.
- 2. Implement the mandatory curtailment of electric energy use to all priority levels (including Priority Level I) at a minimum service level that is not greater than that required for protection of <sup>life and safety,</sup> protection of physical <sup>facilities,</sup> and employees' security.

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ENERGY EMERGENCY CURTAILMENT PLAN

D. Steps to be initiated if curtailment levels are not met via the preceding steps.

1. Through use of the news media and direct customer contact, advise all customers of the forthcoming program.
2. Implement procedures for the interruption of selected distribution circuits on a rotational basis, while minimizing, to the extent practicable, interruptions to Priority Level I.

ENFORCEMENT:

Salt River will make every reasonable effort to ensure that customers comply with the curtailment provisions of this plan. If a customer fails to comply, said customer will be given a verbal and written warning to curtail their use of electric energy within 24 hours or face the possibility that their electric service may be disconnected. If said customer fails to comply after being given such notice, the customer's electric service will be disconnected for the duration of the state of emergency or disaster.

TERMINATION OF CURTAILMENT:

The curtailment provision of this plan shall be terminated upon cancellation of the EKPC Load Reduction Alert.

RESPONSIBILITY:

Directors of Operations.

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