

July 12, 2011

Jeff DeRouen
211 Sower Blvd.
PO Box 615
Frankfort, KY 40602-1582

Re: Case # 2010-003344

Dear Jeff,

Please find enclosed copies of the job-site safety audits that were conducted on the Clark Energy crews and the two contractor crews working on our system. The audits were conducted during the second quarter of 2011.

Regards,

Walt Stephens, CLCP
Manage, Support Services

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CLARK ENERGY
Job-Site Safety Audit

Date: 4-18-11 Time 10:12 Observer P. Blythe

Location: ~~1000~~ Old Ruckerville WO# 104894
Employees Present: Glen Fitch, Sam Adams and Chris Rivera

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		
Recommendations:			

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?			
Bloodbourne Pathogens kit available?			
House Keeping			
Chocks in use when needed?	✓		
Recommendations:			

WORK AREA PROTECTION			
Lane blocked correctly?			✓
Propper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			
Recommendations:			

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?			✓
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		
Recommendations:			

Corrective action taken? (if any)

Supervisor's signature: *Glen Fitch*

CLARK ENERGY
Job-Site Safety Audit

Date: 4-18-11 Time: 1:38 Observer: P. B. Wyne

Location: NADA Tunnel Road ReRoute
 Employees Present: Barney Toy, Richard Tyee, Roger Nolan, Thomas Branham & Jon Tillery.

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Clocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?			✓
Proper flagging procedures?			✓
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature

Barney Toy

CLARK ENERGY
Job-Site Safety Audit

Date 4-21-11 Time 2:00pm Observer P. Blythe

Location Baanev Toy Johnny Case, Tommy Napier, Roger Nolan, Thomas Brankham & Jon Tillery

Employees Present Switching line 3rd to make common, Constuction and Maint.

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?			✓
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature: Tommy Napier

CLARK ENERGY
Job-Site Safety Audit

Date: 5-1-11 Time: 2:30 Observer: P. Blythe

Location: 2485 Blackcreek Road
Employees Present: Thomas Branham

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?			
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?			✓
Propper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations: Great job changing trans and putting up 2 sec.

Lines After storm on Sunday Afternoon

Corrective action taken? (if any)

Supervisor's signature: P. Blythe

CLARK ENERGY
Job-Site Safety Audit

Date: 5-16-11 Time: 11:15 Observer: P. Blythe

Location: Manning Road Jerry Barker
 Employees Present: Barney Toy, Roger Nolan, Thomas Beaman & Jon Tillee

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations: Good Job!!!

Corrective action taken? (if any)

Supervisor's signature: Barney Toy

CLARK ENERGY
Job-Site Safety Audit

Date: 5-16-11 Time: 1:28 Observer: P. Blythe

Location: Flint Lock Circle Gregory Blackburn
 Employees Present: Glen Fitch, Sam Adams, Josh Ross & Chris Reeves

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature: *Glen D. Fitch*

CLARK ENERGY
Job-Site Safety Audit

Date: 5-24-11 Time: 1:58 Observer: P. Blythe

Location: Graham Farm Hwy 15
Employees Present: MARK WATTS & Virgil Hill

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?			✓

Recommendations

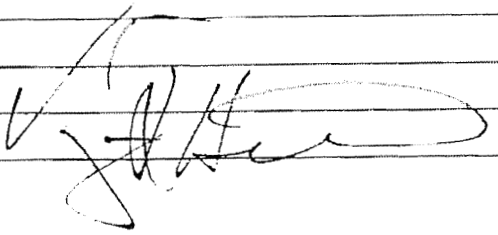
WORK AREA PROTECTION			
Lane blocked correctly?			✓
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?			✓
Adequate line cover used?			✓
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature: 

CLARK ENERGY
Job-Site Safety Audit

Date: 6-6-11 Time: 11:45 Observer: P. Bytne

Location: Stanton City Park WO# 105224

Employees Present: Barney Toy, Roger Nwan, Thomas Branham & Jon Tillery

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?			✓
Rubber sleeves used as required?			✓
Hot Boots?			
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?			✓
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?			✓
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?			✓
Fail protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature

Barney Toy

CLARK ENERGY
Job-Site Safety Audit

Date 6-6-11 Time 11:00 Observer P Blythe

Location: Hwy 460 Cornwell Street Mt. Telephone pole change

Employees Present: Glen Eichen, Roger Nolan, Thomas Beaman, Sam Adams, Josh Kess & Jon Tillery

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations: Great job w/ cover up & PPE, Signs for traffic. Good management of manpower

Corrective action taken? (if any)

Supervisor's signature Glen Eichen

CLARK ENERGY
Job-Site Safety Audit

Date: 6-21-11 Time: 11:03 Observer: P Blythe

Location: Inglis Branch TRAVIS CRISTEER

Employees Present: MARK WATTS RICHARD TYREE + CHRIS RIVERA

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			✓
Lane blocked correctly?			
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations:

WORK PROCEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations: Great Job. Abundant cover up. Well planned job

Corrective action taken? (if any)

Supervisor's signature: Mark A. Watts

CLARK ENERGY
Job-Site Safety Audit

Date 6-21-11 Time 1:21 Observer P. Blythe

Location
Cedar Hill Madison Co. WO# 105251

Employees Present:
Virgil Hill, Chad Cline & Eric Chumbley

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations

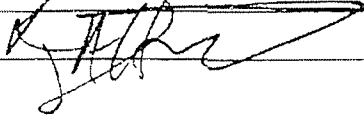
WORK AREA PROTECTION			
Lane blocked correctly?			✓
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature 

CLARK ENERGY
Job-Site Safety Audit

Date: 6-21-11 Time: 1:21 Observer: P. Blythe

Location: Cedar Hill Madison Co. W0# 105251

Employees Present: Virgil Hill, Chad Cline & Eric Chamberley

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping			
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?			✓
Propper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature 

CLARK ENERGY
Job-Site Safety Audit

Date 6.6.11 Time 11:17 Observer P. Blythe

Location Riverdrive Stanton 3rd Reconnector

Employees Present Chris Hays, Zack Mattingly & Tony Lanham

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?	✓		
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?			
Bloodbourne Pathogens kit available?			
House Keeping			
Chocks in use when needed?			

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Proper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

WORK PROCEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature Chris Hays

CLARK ENERGY
Job-Site Safety Audit

Date: 5-19-11 Time: 2:17 Observer: P. Blythe

Location: Airport Road - Sav-A-Lot

Employees Present: Chris Hays, Shannon Ambrose & Tony Lanhart

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?	✓		
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

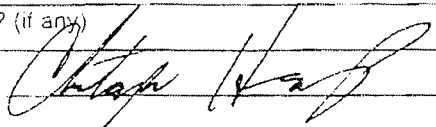
WORK AREA PROTECTION			
Lane blocked correctly?			✓
Propper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature: 

CLARK ENERGY
Job-Site Safety Audit

Date: 4-18-11 Time: 2:49 Observer: P. Blythe

Location: MAPLE Street, Stanton 3rd Conductor Change

Employees Present
CHRIS HAYS, Shannon Ambrose, Tony Lanhaet & Zack Mattingly

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?	✓		
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?			
First-Aid kit inspected?			
Bloodbourne Pathogens kit available?			✓
House Keeping			✓
Chocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Proper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature *Chris Hays* DAVID H. ELLIOT

CLARK ENERGY
Job-Site Safety Audit

Date: 4/11/11 Time: 9:30 AM Observer: Randy Stator

Location: Gladys Jony Caputo

Employees Present: Brian Jony, Ryan Niles, John Tilley, John M... David...
Keith Shepard

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

	Satisfactory	Corrected	N/A
VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

	Satisfactory	Corrected	N/A
WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Proper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

	Satisfactory	Corrected	N/A
WORK PROCEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any):

Supervisor's signature: *Randy Stator*

CLARK ENERGY
Job-Site Safety Audit

Date: 4/13/11 Time: 10:00 AM Observer: Darryl Steton

Location: Hinkston Pike

Employees Present: Kyle Shepard Clasie Pasby

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?			
Hot Boots?			
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?			
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?			
Personal protective grounds in use?			
Vehicles grounded?	✓		
Adequate line cover used?			
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature: *Darryl Steton*

CLARK ENERGY Job-Site Safety Audit

Date: 4-20-11 Time _____ Observer: R. Stalen

Location: 2nd Ave. Clay City

Employees Present: Johnny Case Tommy Wagner

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Proper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations

WORK PROCEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?			
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations: Good job

Corrective action taken? (if any)

Supervisor's signature

Clayton R. Stalen

CLARK ENERGY Job-Site Safety Audit

Date: 7-11	Time:	Observer: [Signature]
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Location: [Handwritten]

Employees Present: [Handwritten]

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Cocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature: [Handwritten Signature]

CLARK ENERGY
Job-Site Safety Audit

Date: 5/2/2011 Time 9:00 AM Observer Randy Stedon

Location Boiling Springs Rd Talladega Alabama

Employees Present Josh Ross Alan Fitch Chad Clark Wajid Hill Kyle Skopord Eric Chamberlay Chris Rivera Alan Fitch

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?			
Hot Boots?			
Proper clothing as required?	✓		

Recommendations

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?	✓		
Warning signs in use?	✓		
Propper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature *Randy Stedon*

CLARK ENERGY
Job-Site Safety Audit

Date: 5-11-11 Time 8:30 a.m. Observer: Randy Stata

Location: Banks Hill Rd Changing crossarm Boxes

Employees Present: Kyle Shepard, Christie Pasley

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		
Recommendations			

VEHICLES			
Daily Inspection Complete?			
Fire extinguishers charged?			
First-Aid kit inspected?			
Bloodbourne Pathogens kit available?			
House Keeping			
Chocks in use when needed?			
Recommendations			

WORK AREA PROTECTION			
Lane blocked correctly?			
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			
Recommendations			

WORK PROCEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		
Recommendations			

Corrective action taken? (if any)
Supervisor's signature: *[Signature]*

CLARK ENERGY
Job-Site Safety Audit

6

Date 6-2-11 Time 11:00 AM Observer: Randy Staton

Location: Little Hoodwicks Creek

Employees Present: Tommy Napier Johnny Lane

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations

WORK AREA PROTECTION			
Lane blocked correctly?			
Proper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations

Corrective action taken? (if any)

Supervisor's signature: James Robert Staton

CLARK ENERGY
Job-Site Safety Audit

Date: 6-17-11 Time: 2:00pm Observer: Randy Staton

Location: Cave Creek Changing jumpers on 1/0 coppers C-4

Employees Present: Roger Nolan Johnny Case Thomas Brachan

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?			
Fire extinguishers charged?			
First-Aid kit inspected?			
Bloodbourne Pathogens kit available?			
House Keeping			
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?			
Propper flagging procedures?			
Warning signs in use?			
Proper distance from work area?			
Adequate # of cones?			
Flagger Vests?			

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	+		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations: O/C

Corrective action taken? (if any)

Supervisor's signature: [Signature]

CLARK ENERGY Job-Site Safety Audit

Date: 6-7-11 Time: 1030 Observer: Randy Stotun

Location: 60-77-21

Employees Present: Roger Nolan Thomas Braslam Tommy Nap

Jan Tilly

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?			
Proper clothing as required?	✓		

Recommendations:

	Satisfactory	Corrected	N/A
VEHICLES			
Daily inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

	Satisfactory	Corrected	N/A
WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

	Satisfactory	Corrected	N/A
WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature: *Randy Stotun*

CLARK ENERGY
Job-Site Safety Audit

Date: 6/2/11 Time: _____ Observer: Walt Stephens

Location: Grimes Mill Rd. Fayette County
Employees Present: _____

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?	.		✓
Proper clothing as required?	✓		

Recommendations: _____

	Satisfactory	Corrected	N/A
VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodborne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?			

Recommendations: _____

	Satisfactory	Corrected	N/A
WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Proper flagging procedures?	✓		
Warning signs in use?			✓
Proper distance from work area?			✓
Adequate # of cones?			✓
Flagger Vests?			✓

Recommendations: _____

	Satisfactory	Corrected	N/A
WORK PROCEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?			✓
Vehicles grounded?			✓
Adequate line cover used?			✓
Fall protection used properly?			✓

Recommendations: _____

Corrective action taken? (if any) _____
Supervisor's signature: Walt Stephens

**CLARK ENERGY
Job-Site Safety Audit**

Date: 6/24/11 Time: 1:50 PM Observer: Walt Stephens

Location: Powell County
 Employees Present: Barney Toy, Roger Nolan, Thomas Branham

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?			✓
Hot Boots?			✓
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?			✓
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		✓
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?			✓
Fall protection used properly?	✓		

Recommendations:

Corrective action taken? (if any)

Supervisor's signature: Barney Toy

CLARK ENERGY
Job-Site Safety Audit

Date: 5/21/11 Time: 11:00 AM Observer: Walt Stephens

Location: Hwy 36 Franchburg

Employees Present: Jerry Carpenter, Elliot Crew

	Satisfactory	Corrected	N/A
PERSONAL PROTECTIVE EQUIPMENT			
Head Protection, Use/Condition	✓		
Safety Glasses at all times?	✓		
Work gloves worn?	✓		
Rubber gloves used as required?	✓		
Rubber sleeves used as required?	✓		
Hot Boots?	✓		
Proper clothing as required?	✓		

Recommendations:

VEHICLES			
Daily Inspection Complete?	✓		
Fire extinguishers charged?	✓		
First-Aid kit inspected?	✓		
Bloodbourne Pathogens kit available?	✓		
House Keeping	✓		
Chocks in use when needed?	✓		

Recommendations:

WORK AREA PROTECTION			
Lane blocked correctly?	✓		
Propper flagging procedures?	✓		
Warning signs in use?	✓		
Proper distance from work area?	✓		
Adequate # of cones?	✓		
Flagger Vests?	✓		

Recommendations:

WORK PRECEDURES			
Job briefing conducted and documented?	✓		
Minimum approach distance maintained?	✓		
Personal protective grounds in use?	✓		
Vehicles grounded?	✓		
Adequate line cover used?	✓		
Fall protection used properly?	✓		

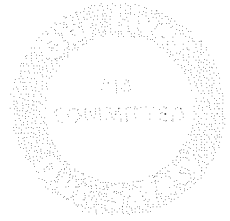
Recommendations:

Corrective action taken? (if any):

Supervisor's signature: Walt Stephens



Safety Meeting



Date: 3/28/2019 Location: Stanton, Ky

Presenter(s): John Evans

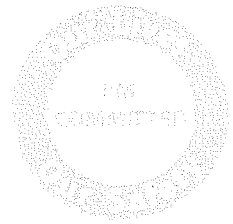
Topics Covered: use and care of Bucking LAM Bucksqueeze

Training Materials Used: Bucksqueeze

Name	Signature
1. <u>Chris Hays</u>	<u>Chris Hays</u>
2. <u>Tony LaLambert</u>	<u>Tony LaLambert</u>
3. <u>SHANNON AMERDISE</u>	<u>Shannon Amerdise</u>
4. <u>Earl Mattingly</u>	<u>Earl Mattingly</u>
5. <u>Shannon Parker</u>	<u>Shannon Parker</u>
6. <u>Jerry Carpenter</u>	<u>Jerry Carpenter</u>
7. <u>Brian Bingham</u>	<u>Brian Bingham</u>
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Safety Meeting



Date: 4/27/2009 Location: Stoughton, MA

Presenter(s): John M. Evans

Topics Covered: Kentucky OSHA Journal

Training Materials Used: Journal

Name	Signature
1. <u>Chris Hays</u>	<u>[Signature]</u>
2. <u>Tony Lachart</u>	<u>[Signature]</u>
3. <u>Sharon Ambrose</u>	<u>[Signature]</u>
4. <u>Terry Carpenter</u>	<u>[Signature]</u>
5. <u>John Hingham</u>	<u>[Signature]</u>
6. <u>Sharon Pardo</u>	<u>[Signature]</u>
7. <u>Jack Rottigly</u>	<u>[Signature]</u>
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The Elliot Companies
HP Event Notification

Front



Check all that apply

Personal Injury

Vehicle Incident

Power Outage

Property Damage

Equipment Damage

Near Miss/Other

Date: 8-16-9-11

Time: Key 100 340

Location: _____

Foreman: _____

Superintendent/GF: _____

Job Number: _____

Customer working for: _____

Employee Involved: M/A

Employee Address (for office use): _____

Employee Address (for office use): _____

Employee Date of Birth (for office use): _____

Employee Job Title (for office use): _____

Hrc Date (for office use): _____

OSHA Log # (for office use): _____

Personal Injury

Name: ND

Injury: _____

Date Injury Reported: _____

Person to Whom Injury Reported: _____

Witnesses/Yes or No?: _____

Name/Names of witnesses: _____

Name, Address and Phone of Attending Physician: _____

Name, Address and Phone of Hospital: _____

Is or did Foreman, GF or Supt. accompany injured employee for medical treatment? _____

Property Damage

Name, Address and Phone Number of Owner: ND

Was anyone hurt? _____

If anyone other than employee was hurt, give name or names: n/a

If employee was hurt, fill out personal injury section at top of report

Description of Damage: _____

Date Damage Reported: _____

Person to Whom Damage Reported: _____

Witnesses, list names: _____

Estimated Cost of Repairs or Replacements: _____

Has Anyone Discussed with Owner? _____

Was Damage Caused by Digging into Underground Utilities? _____

If Yes, Give into on Person Called Prior to Digging: _____

Was Damage Caused by any of the Following:
Loss of Power Service _____
Improper Voltage or out of Phase Condition _____

Equipment Damage

Equipment # of Damaged Vehicle: _____

Description of Damage: _____

Was anyone injured? _____

If anyone other than employee, give name or names: _____

If employee was hurt, fill out personal injury section at top of report

Employee Operating Equipment at Time of Damage: ND

Date Damage Reported: _____

Person to Whom Damage Reported: _____

Witnesses, list names: _____

Was DOT inspection filled out? _____

Does the vehicle involved require a CDL driver? _____

Were seat belts being used? _____

Estimated Cost of Repair or Replacement: _____

On the back of this form, please provide a detailed description of how this event occurred, include diagrams if necessary. The foreman completing this form and the superintendent reviewing it must complete and sign the safety summary on the back of this form.

This preliminary has been prepared for sole intention of relaying information concerning this Event to legal counsel, and in anticipation of an OSHA investigation and subsequent litigation. It is intended as a confidential and privileged attorney-client communication and should not be copied, distributed or revealed to persons outside the Corporate Management team and legal counsel. The contents are subject to change upon further investigation of the Event to include interviews with affected employees.



Please provide a detailed description of how this Event occurred, including diagrams if necessary and activity or task taking place at the time.

During A wire pull, A rope kellyum failed (broke) allowing ~~the~~ wire to drop. Wire fell in clear. No outage or damage.

Upon closer inspection, A second kellyum (4-down kellyum) was found to be damaged and removed from service.

The kellyum that failed was very Rusty.

Tape had been put on kellyum shoulder for smoother transition through dollys.

Was the activity details covered in the safety/job briefing? _____

Diagram

Foreman SAFETY DIRECTOR

Signed John M. Evans

Date 6-13-11

Superintendent

The information detailed above is correct as to my knowledge

Signed _____

Date _____