

COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

PHILLIPS WATERPROOFING AND CONCRETE	)	
	)	
_____	)	CASE NO.
	)	2023-00304
ALLEGED VIOLATION OF UNDERGROUND	)	
FACILITY DAMAGE PREVENTION ACT	)	
	)	

INITIATING ORDER FOR ALLEGED 811 VIOLATION OF THE KENTUCKY  
UNDERGROUND FACILITY DAMAGE PREVENTION ACT

(Se proporciona la traducción al español de este documento como anexo/apéndice C.)

Phillips Waterproofing and Concrete has been named as a party to an investigation before the Kentucky Public Service Commission (Commission) for allegedly violating the Kentucky Underground Facility Damage Prevention Act (Act).<sup>1</sup> The Commission, on its own motion, finds that the hearing in this matter, previously scheduled for October 27, 2023, should be rescheduled to January 26, 2024.

Pursuant to KRS 278.495(2), the Commission has jurisdiction to regulate the safety of natural gas facilities in Kentucky and to enforce minimum federal pipeline safety standards. Under the Act, the Commission has authority to investigate and assess civil penalties for any violation of the Act that results in excavation damage to an underground facility used to transport natural gas or hazardous liquid subject to federal pipeline safety laws, 49 U.S.C. § 60101, *et seq.* Any person who violates any provision of the Act is

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<sup>1</sup> KRS 367.4901 through KRS 367.4917.

subject to assessment of a civil penalty in the amount of \$250 for the first offense, no more than \$1,000 for the second offense within one year, and no more than \$3,000 for the third and any subsequent offense. Additionally, any person whose violation of the Act results in damage to an underground facility containing any flammable, toxic, corrosive, or hazardous material or results in the release of any flammable, toxic, corrosive, or hazardous material is subject to an additional penalty of up to \$1,000.

Following receipt of a report of excavation damage to its underground gas pipeline from an operator, Commission Staff (Staff) conducted an investigation and prepared an Incident Report, a copy of which is attached to this Order as Appendix A. In its Incident Report, Staff cites Phillips Waterproofing and Concrete for violation of the following provision of the Act:

KRS 367.4911(10) – When excavation or demolition is necessary within the approximate location of the underground facility, the excavator shall hand-dig or use nonintrusive means to avoid damage to the underground facility.

Based on its review of the Incident Report and being otherwise sufficiently advised, the Commission finds prima facie evidence that Phillips Waterproofing and Concrete violated the Underground Facility Damage Prevention Act. The Commission further finds that a formal investigation into the excavation damage incident shall be conducted.

IT IS THEREFORE ORDERED that:

1. Phillips Waterproofing and Concrete shall submit to the Commission, via email sent to [PSCED@ky.gov](mailto:PSCED@ky.gov) with the case number of this proceeding in the subject line of the message, a written response to the allegations contained in the Staff Incident Report within 20 days of receipt of this Order.

2. The October 27, 2023 hearing date is rescheduled.

3. Phillips Waterproofing and Concrete shall appear on January 26, 2024, at 9 a.m. Eastern Standard Time, in the Richard Raff Hearing Room of the Commission's offices at 211 Sower Boulevard in Frankfort, Kentucky, for the purpose of presenting evidence concerning the alleged violation of the Underground Facility Damage Prevention Act.

4. The January 26, 2024 hearing shall be conducted via video conferencing.

5. Phillips Waterproofing and Concrete shall attend the hearing by using the following link: <https://meet.goto.com/pscformalhearing/psc-damage-prevention-hearing>; information and instructions are attached to this Order as Appendix B.

6. Phillips Waterproofing and Concrete shall notify the Commission at least ten days prior to the hearing if Phillips Waterproofing and Concrete is unable to appear by video conferencing and will therefore appear in person.

**7. Any partnership, corporation, or business entity (including a limited liability company) must be represented by an attorney licensed to practice law in Kentucky, and such representation includes the filing of papers with and appearances at hearings before the Commission.**

8. An individual may submit a response to the allegations contained in the Staff Incident Report without the representation of an attorney.

9. The Staff Incident Report 54333, attached to this Order as Appendix A, is made a part of the record in this case.

10. The January 26, 2024 hearing shall be recorded by video only.

**11. Please note parties who fail to appear after service will be subject to entry of a default judgment against them.**

PUBLIC SERVICE COMMISSION

  
\_\_\_\_\_  
Chairman

  
\_\_\_\_\_  
Vice Chairman

  
\_\_\_\_\_  
Commissioner



ATTEST:

  
\_\_\_\_\_  
Executive Director

APPENDIX A

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE  
COMMISSION IN CASE NO. 2023-00304 DATE OCT 26 2023

FORTY-EIGHT PAGES TO FOLLOW

# PIPELINE DAMAGE INVESTIGATION REPORT

## Investigation Information

**Investigator** Eric Tout **Incident ID** 54333 **Investigation** 8385  
**Incident Date** 12/7/2021 11:05:00 AM **Report Submitted** 1/5/2022

## Operator Information

**Operator** Louisville Gas and Electric Company (LG&E) **Reported By** Mike Jones  
**Phone** (502) 364-8281 **Email** Mike.Jones@lge-KU.com

**Incident Location** 410 Lynwood Ave **Locate Ticket Number** 2112020448  
 Vine Grove KY 40175

**County** Hardin

## Excavator Information

**Excavator** Terry Crady **Organization ID**  
 Phillips Waterproofing and Concrete  
**Phone** 502-963-2314 **Email**  
**Address** 175 Rainbow Dr **Locate Ticket Number** 2112020448  
 Columbia KY 42728

## Damage Report (DR) Summary

Excavation Within 18" (Approximate Location)	Gas Or Hazardous Liquids		Type Of Equipment Used	Cost of Damage	Amount Billed
	Contained	Released			
Yes	Yes	Yes	Mechanized/Not Specified	\$470.00	\$0.00

The Operator reports that the Excavator damaged a properly marked ½ inch gas service with excavating equipment. More specifically, the Operator states that an employee on site claimed he hit the service with a pick-ax, but signs of digging in the trench with mechanized equipment were apparent and the service was pulled apart indicating it was damaged with excavating equipment.

**The DR is included as Attachment 1.**

## Locate Ticket (LT) Summary

Ticket #	Valid	Initial Date	ExpirationDate (21 calendar days from initial date)	Incident Date	# of Days Expired	Within Scope of Excavation
2112020448	Yes	12/02/21	12/23/21	12/07/21	0	Yes

**The LT is included as Attachment 2.**

## INVESTIGATION

On 02/01/22 the Operator, LG&E's Mike Jones, stated that the Excavator has not been bill for the damage repair. Mr. Jones provided the following documents:

- Facts-Based Investigation & Incident Field Investigative Report: Which have been outlined in Attachment 1 and the DR Summary.
- 31 Photographs: Depicting the incident location which support the allegation that mechanized equipment was utilized within 18 inches of the facility, teeth marks on the excavation wall and damage consistent with the pipe being pulled.

**LG&E's documents are included as Attachment 3.**

## PIPELINE DAMAGE INVESTIGATION REPORT

On 02/02/22 the Excavator, Phillips Waterproofing and Concrete's Kevin Phillips stated that the service looped out from the gas meter and this service was marked incorrectly, 21-28 inches. Mr. Phillips stated that he discussed the angles the photographs were taken with the LG&E damage investigator and felt the photographs did not represent the approximate location/"tolerance zone" of 18 inches, therefore he took photographs disputing LG&E's photographs and he would provide said photographs. Mr. Phillips requested that this incident be emailed to eastfirman@gmail.com for review.

On 02/03/22 a follow up call to confirmed receipt of the email was made and a voice message was left.

On 02/08/22 a follow up call was made to Mr. Phillips at 502-963-2314 resulted in a message stating the voice mailbox is full.

### VIOLATIONS

**KRS 367.4911 (10)** When excavation or demolition is necessary within the approximate location of the underground facility, the excavator shall hand-dig or use nonintrusive means to avoid damage to the underground facility.

#### # of Offences

(Including this incident)

<b>Excavator</b>	<b>Last Offence Incident #</b>	<b>Last Offence Incident Date</b>	<b>Revert to 1<sup>st</sup> Offence Date</b> (Last Offence Date +366 Days)
3 <sup>rd</sup> or Subsequent	53846	07/09/21	07/10/22

# Attachment 1



# Gas Excavation Damage Report

## Louisville Gas and Electric Company

Submitted 1/5/2022 Incident ID: 54333

[Set back to Edit](#)[Staff Edit](#)[Print \(PDFForm.aspx?Rpt=GasInvestigation&IG=54333\)](#)

### Reported By:

**Name:** Mike Jones  
**Phone:** (502) 364-8281  
**Email:** Mike.Jones@lge-KU.com

### Gas Line Incident Occured:

**Date:** 12/7/2021  
**Time:** 11:05 AM

### Details:

**Reported Date:** 1/5/2022 3:02 PM

### Incident Location Address:

**Location:**

**Address:** 410 Lynwood Ave  
**City:** Vine Grove  
**State:** KY  
**County:** Hardin  
**Zip:** 40175  
**Confirm Code:** Y

**Number of Customers out:** 1

**Was gas or hazardous liquids contained in the underground facility?**

**Was gas or hazardous liquids released into the atmosphere?**  \*

3/2020

**Locate Request Ticket No.:** 2112020448

**Excavator:** Contractor

### Excavator Address:

**First Name:** Terry  
**Last Name:** Crady  
**Organization ID:**  
**Company:** Kevin Phillips Waterproofing  
**Phone:** 502-963-2314  
**Email:**

**Address:** 175 Rainbow Dr  
**City:** Columbia  
**State:** KY  
**Zip:** 42728  
**Confirm Code:** Y  
**Excavation Damage:** Failure to use hand tools where required

**Description of Incident** ?Excavator damaged service digging mechanically in tolerance zone.

Summary Information

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1/5/2022

**Full details such as the cause** Contractor damaged accurately marked .5 inch plastic customer service. At first, the employee on site claimed that he hit the service with a pick-ax, but signs of the excavator digging in the trench and the way the service was pulled apart indicated it was damaged with excavating equipment.  
**Extent of the damage** Broken 1/2" plastic gas service  
**Cost of the damage** \$470.00  
**Steps taken to prevent re-occurrence** Advised contractor to hand dig within tolerance of marks and gas risers.  
**Amount billed to excavator** \$0.00

# Attachment 2

## NORMAL NOTICE

Ticket : 2112020448 Date: 12/02/2021 Time: 08:47 Oper: KENTUCKY811 Chan:000

State: KY Cnty: HARDIN City: VINE GROVE  
Subdivision:

Address : 410

Street : LYNWOOD AVE

Cross 1 : CEDARCREST DR

Location: WHEN FACING PROPERTY FROM THE DIG STREET LOCATE THE LEFT SIDE OF  
PROPERTY AND REAR OF PROPERTY

:

Boundary: n 37.816627 s 37.815071 w -85.990643 e -85.988880

Work type : FOUNDATION WATERPROOFING

Done for : KENNETH CRETEN

Start date: 12/06/2021 Time: 09:00 Hours notice: 96/48 Priority: NORM

Ug/Oh/Both: U Blasting: NO Emergency: N

Duration : N/A Depth: 6 FT

Company : PHILLIPS WATERPROOFING Type: CONT

Co addr : 175 RAINBOW DR

City : COLUMBIA State: KY Zip: 42728

Caller : APRIL GOFF Phone: (502)963-2314

Contact : KEVIN PHILLIPS - OFFICE Phone:

Mobile : (502)963-2314

Email : PHILLIPSWATERPROOFING@GMAIL.COM

Submitted date: 12/02/2021 Time: 08:47

Members: 0002 0069

\* Responses are current as of 01/25/2022 10:24 AM

<u>Service Area</u>	<u>Utility Type(s)</u>	<u>Customer Service Contact</u>	<u>Positive Response</u>
LOUISVILLE GAS AND ELECTRIC 0002	ELECTRIC, GAS	LOUISVILLE GAS AND ELECTRIC CUSTOMER SERVICE (502) 589-1444	
NOLIN RURAL ELECTRIC COOP CORP 0069	ELECTRIC		Clear - No conflict

# Attachment 3

## Tout, Eric V (PSC)

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**From:** Jones, Mike (Auburndale) <Mike.Jones@lge-ku.com>  
**Sent:** Tuesday, February 1, 2022 12:24 PM  
**To:** Tout, Eric V (PSC)  
**Subject:** RE: 54333, 12/7/2021, 410 Lynwood Ave. Vine Grove, Phillips Waterproofing and Concrete  
**Attachments:** Yellow report 410 Lynwood.pdf; digitix\_attachments\_1643735813.zip; DigTix\_Incident\_Report.pdf

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**\*\*CAUTION\*\* PDF attachments may contain links to malicious sites. Please contact the COT Service Desk [ServiceCorrespondence@ky.gov](mailto:ServiceCorrespondence@ky.gov) for any assistance.**

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See attached. This one has not been billed or collected.

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**From:** Tout, Eric V (PSC) <ericv.tout@ky.gov>  
**Sent:** Tuesday, February 01, 2022 7:16 AM  
**To:** Jones, Mike (Auburndale) <Mike.Jones@lge-ku.com>  
**Subject:** 54333, 12/7/2021, 410 Lynwood Ave. Vine Grove, Phillips Waterproofing and Concrete

**EXTERNAL email. STOP and THINK before responding, clicking on links, or opening attachments.**

Hello Mike,

Please send repots, photos, invoices and payment info (copy of check) if paid.

Thanks,  
Eric V. Tout  
Kentucky Public Services Commission  
Division of Inspections / Damage Prevention  
211 Sower Blvd., Frankfort, KY 40601  
Office: 502-782-2622  
Fax: 502-564-1582

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## Facts-Based Investigation

Internal Claim ID: INC-20211207-002 Customer Claim ID: \_\_\_\_\_  
Site Investigator: Tracy Reesor Notified At: 12/07/21 12:09:00  
Notified By: Gas Operations Dispatch 5025891444  
Damage Location: 410 Lynwood Ave Vine Grove KY 40175  
Incident Time: 12/07/21 11:00:00

Original Locate Request: 2112020448 Assigned Locator: ELM Locating  
Locate Request Due: 12/06/21 08:48:56 Locate Request Completed: 12/02/21 15:00:15  
Photos Taken Before Damage? N  
Photos Taken of Damage Site? Y

Type and Size of Damaged Utility: Natural Gas Service/Drop 0.5  
Depth: 18" to < 36" (0.5 to < 1m)  
Description and Extent of Damage: Contractor damaged accurately marked .5 inch plastic customer service. At first, employee on site claimed that he hit the service with a pick-ax but signs of the excavator digging in the trench and the way the service was pulled apart indicated it was damaged with excavating equipment. Marks were barely within tolerance as service looped hard behind the riser. LG&E crews found that the tracer wire was 12" away from gas line where it made the hard loop.

Equipment Used: Backhoe/Trackhoe  
Excavation Company Name: PHILLIPS WATERPROOFING  
Company Address: 8880 S Preston Hwy, Lebanon Junction, KY 40150  
Phone: 5029632314 Contact Name: KEVIN PHILLIPS  
Working For: \_\_\_\_\_  
Repair Company: LG&E  
Personal Injury: N 3rd Party Property Damage: N Evacuations: N Other: N

Impact Comments: \_\_\_\_\_  
Was Locate requested?: Y Locate Request Completed?: Y  
Locate Accurate (Within Tolerance Zone): Y If No, Distance Markings Off: N/A  
Marks Visible at Time of Damage: Y Damage Within Scope of Ticket: Y



## Facts-Based Investigation

Repair Contact Name: Lucas Ballentine Phone: \_\_\_\_\_

Repair Comments: Dale Doolin

Witness: \_\_\_\_\_ Phone: \_\_\_\_\_

Comments: \_\_\_\_\_

Witness: \_\_\_\_\_ Phone: \_\_\_\_\_

Comments: \_\_\_\_\_

Root Cause Explanation: \_\_\_\_\_

Responsible Party: Phillips Waterproofing

Responsible Party Comments: Kevin Phillips on site agreed marks were within tolerance

Date of Investigation: 12/07/21 Investigation Time (Hours): \_\_\_\_\_

Site Investigator's Name: Tracy Reesor Phone: 5022694079

Site Investigator's Signature: Tracy Reesor Date: 12/10/2021

Damage Status: Excavation Issue

Cause Code: Failure to use hand tools within tolerance zone

Damage Claims Manager: \_\_\_\_\_



## Facts-Based Investigation



**NOTE:** Only 12 most recent photos shown. To view all 31 attachments, please view the ticket within DigTix.

LOUISVILLE GAS & ELECTRIC COMPANY  
INCIDENT FIELD INVESTIGATION REPORT

DTS# 2022010405  
PROJECT# 0M01ST447  
TASK# OF  
R/C# VENTYX#

DAMAGE TO LG&E FACILITIES

DATE OF INCIDENT Dec-7-2021  
ADDRESS/LOCATION OF DAMAGE 410 Lynwood Uinc Grove Ky. 40175  
TIME DISPATCHED 11:05 AM PM TIME OF COMPLETION 12:20 AM PM  
WHO CAUSED DAMAGE? Kevin Phillips water proofing  
(NAME OF CONTRACTOR, UTILITY, INDIVIDUAL, ETC.)  
ADDRESS OF PARTY CAUSING DAMAGE 175 Rainbow Dr. Columbia Ky. 40728  
(STREET) (CITY) (STATE) (ZIP CODE)

PHONE NUMBER OF PARTY CAUSING DAMAGE (502) 963-2314  
WAS PARTY CAUSING DAMAGE STILL ON THE JOB? YES NO  
IF YES, WHO WAS IN CHARGE? Terry Crady  
IF NO, HOW DID YOU OBTAIN NAME OF PARTY CAUSING DAMAGE?  
WHAT WAS DAMAGED? (CIRCLE) - COMPANY MAIN - COMPANY SERVICE - CUSTOMER SERVICE  
OTHER  
WHAT TYPE OF WORK WAS BEING PERFORMED? Ceiling Abasement wall  
PARTY'S EXPLANATION FOR DAMAGE Hit with a pick while digging out basement wall  
WHAT REPAIRS WERE MADE? Repair cust. service  
ANY ADDITIONAL WORK TO BE PERFORMED? YES/NO  
IF YES, BY WHOM?

POSSIBLE DAMAGE TO OTHERS

DATE OF INCIDENT TIME AM / PM  
LOCATION OF INCIDENT  
WHAT WAS DAMAGED?  
DESCRIPTION OF DAMAGE  
WHAT WAS LG&E DOING AT THIS LOCATION?  
OWNER OF PROPERTY PHONE # ( )  
OWNER'S ADDRESS (STREET) (CITY) (STATE) (ZIP CODE)  
WHO WAS DAMAGE REPORTED TO? (CIRCLE) GAS DISPATCH / SUPERVISOR / OTHER  
WAS AN LG&E CONTRACTOR NOTIFIED? Y / N IF YES, NAME OF CONTRACTOR  
WERE PHOTOS TAKEN? Y / N IF YES, WHO CAN THESE PHOTOS BE OBTAINED FROM?  
IS LG&E OR AN LG&E CONTRACTOR RESPONSIBLE FOR THE DAMAGE? Y / N  
IF A CONTRACTOR WHO:  
WERE THERE ANY INJURIES? YES / NO IF YES, NAME OF INJURED PARTY  
WAS LG&E DAMAGE INVESTIGATOR CONTACTED? YES / NO IF YES, BY WHOM

BUD INFORMATION

BUD NUMBER AND REQUESTING PARTY'S NAME 2112020448 - Kenneth Craden  
WERE FACILITIES MARKED? YES / NO DID EXCAVATOR AGREE? YES / NO  
IF YES, WERE FACILITIES PROPERLY MARKED? YES / NO DID EXCAVATOR AGREE? YES / NO  
IF NO, HOW WERE THEY MISMARKED? (BE SPECIFIC)  
CONTRACTOR SAID LOCATE WAS OFF A BIT AT METER LOCATION  
WAS LINE LOCATING CONTRACTOR ON THE JOB? YES / NO

December-7-2021 DATE OF REPORT  
SIGNATURE OF EMPLOYEE EMP # 2730  
APPROVED DATE

PUT ANY ADDITIONAL COMMENTS ON BACK

**COMMENTS**

While digging out basement to repair water leak in basement wall, contractor hit gas line next meter location

CREW PERSONNEL	EMP. #	STRAIGHT TIME	OVERTIME	DOUBLE TIME	TRUCK #	EQUIPMENT (CIRCLE)
Lucas Druen	28534	2-hr			8102	BACKHOE / KUBOTA
Dale Dookin	2730	1-hr			8305	BACKHOE / KUBOTA
Travis Corbett	29189	2-hr			4930	BACKHOE / KUBOTA
						BACKHOE / KUBOTA
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**MATERIAL USED**

	MATERIAL	IIN#
6 FT	PIPE PE 2406: ½" IPS	1170051
FT	PIPE PE 2406: 1" CTS	1170078
FT	PIPE PE 2406: 1 ¼" IPS	0434843
FT	PIPE PE 2406: 2" IPS	0459060
FT	PIPE PE 2406: 4" IPS	0395160
2 EA	½" IPS PERMASERT COUPLING	0394943
EA	1" CTS PERMASERT COUPLING	0394935
EA	2" IPS ELECTROFUSION CPL	0394894
EA	4" IPS ELECTROFUSION CPL	0394901
EA	1" PREFAB METERLOOP W/ REG.	0532951
EA	FLEX RISER 1" MALE BY ½" IPS	0530927
EA	FLEX RISER 1" MALE BY 1" CTS	0530935
EA	FARM TAP (W/O REGULATOR)	ARM WR 5695012
EA	FARM TAP REGULATOR (FISCHER 627 WITH 1/8" DIAMETER ORIFICE)	



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2021-12-07 12:18:00









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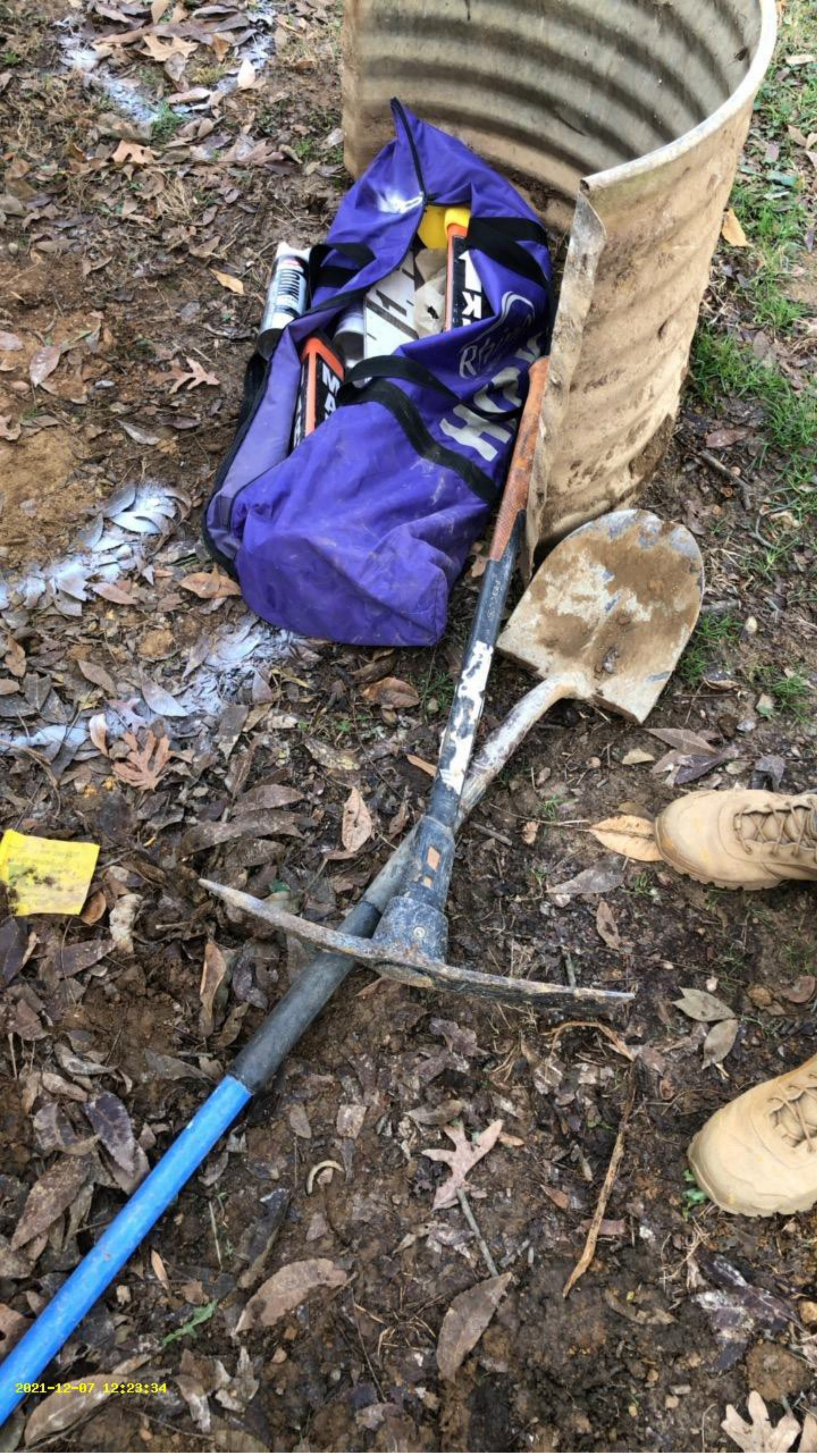






















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2023-12-07 12:54:28

## APPENDIX B

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE  
COMMISSION IN CASE NO. 2023-00304 OCT 26 2023

### **Information and Instructions for Hearing**

You are required to attend a formal hearing with the Kentucky Public Service Commission. Please note the hearing is scheduled in the **Eastern Time Zone**, and the hearing date and time are on the Order that accompanied these instructions.

You are **strongly encouraged** to download the GoToMeeting app now and be ready for your hearing. If you cannot appear via video using this app, at least ten days prior to your scheduled hearing you must call the Commission's offices at the number provided below. You will receive instructions on appearing in person in the Richard Raff Hearing Room of the Commission's offices at 211 Sower Boulevard in Frankfort, Kentucky at the time and date of your scheduled hearing.

Please direct any questions to Andrea Wise, Commission Division of Inspections: [Andrea.Wise@ky.gov](mailto:Andrea.Wise@ky.gov) or 502-782-2656.

**To download the GoToMeeting app go to:**

<https://global.gotomeeting.com/install/258846301>

On the day of your hearing using your computer, tablet, or video enabled smartphone. **Access the proceeding at:**

<https://meet.goto.com/pscformalhearing/psc-damage-prevention-hearing>

More information about using GoToMeeting can be found at:

GoToMeeting - Attendee Quick Start

<https://www.youtube.com/embed/8VaHHVP0FCU?autoplay=1&showinfo=0&controls=0&rel=0>

GoToMeeting - How to Join a Meeting

[https://www.youtube.com/embed/Ojl1q\\_sPrP0?autoplay=1&showinfo=0&controls=0&rel=0](https://www.youtube.com/embed/Ojl1q_sPrP0?autoplay=1&showinfo=0&controls=0&rel=0)

# Technical Requirements for Using GoToMeeting

## Operating System:

Windows 7 – Windows 10

Mac OS X 10.9 (Mavericks) – mac OS Big Sur (11)

Linux/Ubuntu (Web App only)

Google Chrome OS (Web App only)

iOS 11 – iOS 13

Android OS 5 (Lollipop) – Android 10

## Web Browser: (Applies to Web App only)

Google Chrome v72 or newer

Microsoft Edge v77 or newer

## Internet Connection:

Computer: 1 Mbps or better (broadband recommended)

Mobile device and Chromebook: 3G or better (WiFi recommended for VoIP)

## Software:

GoToMeeting desktop app (JavaScript enabled)

GoToMeeting app from the Apple Store, Google Play Store, or Windows Store

## Hardware:

2GB of RAM (minimum), 4GB or more of RAM (recommended)

Webcam device\*

Microphone and speakers\*\* (USB headset recommended)

## Mobile Device:

iPhone 5 or newer

iPad 3<sup>rd</sup> gen or newer

Note: \* You MUST have a camera on your device or connected to your device to appear at the hearing.

\*\* Most devices have built-in speakers, but you will get better audio quality while connected via VoIP if you use a headset

ESTADO DE KENTUCKY  
ANTE LA COMISIÓN DE SERVICIOS PÚBLICOS

Concerniente:

PHILLIPS WATERPROOFING AND CONCRETE	)	
	)	
_____	)	CASO NO.
	)	2023-00304
PRESUNTA INFRACCIÓN DE LA LEY DE	)	
PREVENCIÓN DE DAÑOS EN INSTALACIONES	)	
SUBTERRÁNEAS	)	
	)	

ORDEN DE INICIACIÓN POR LA PRESUNTA INFRACCIÓN DE TIPO 811 DE LA LEY  
DE PREVENCIÓN DE DAÑOS EN INSTALACIONES SUBTERRÁNEAS DE  
KENTUCKY

Phillips Waterproofing and Concrete ha sido implicado como parte de una investigación ante la Comisión de Servicios Públicos de Kentucky (Comisión) por la presunta infracción de la Ley de Prevención de Daños a Instalaciones Subterráneas de Kentucky (la Ley).<sup>2</sup> La Comisión, de oficio, considera que la audiencia sobre este asunto, previamente programada para 27 de Octubre de 2023, debe reprogramarse a 26 de Enero de 2024.

Conforme a la norma KRS 278.495(2), la Comisión tiene jurisdicción para regular la seguridad de las instalaciones de gas natural en Kentucky y para hacer cumplir las normas federales mínimas de seguridad de las tuberías. En virtud de la Ley, la Comisión tiene autoridad para investigar y evaluar las sanciones civiles por cualquier infracción de la Ley que resulte en daños de excavación a una instalación subterránea utilizada para

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<sup>2</sup> KRS 367.4901 a KRS 367.4917.



transportar gas natural o líquidos peligrosos sujetos a las leyes federales de seguridad de tuberías, 49 U.S.C. §. 60101 *et seq.* Toda persona que infrinja cualquier disposición de la Ley está sujeta a la imposición de una sanción civil por un importe de 250 dólares por la primera infracción, no más de 1,000 dólares por la segunda infracción en el plazo de un año, y no más de 3,000 dólares por la tercera infracción y las siguientes. Además, cualquier persona cuya infracción de la ley provoque daños en una instalación subterránea que contenga cualquier material inflamable, tóxico, corrosivo o peligroso, o que provoque la liberación de cualquier material inflamable, tóxico, corrosivo o peligroso, está sujeta a una sanción adicional de hasta 1,000 dólares.

Tras recibir un informe de daños por excavación en su gasoducto subterráneo por parte de un operador, el personal de la Comisión (el Personal) llevó a cabo una investigación y preparó un Informe de Incidentes, cuya copia se adjunta a esta Orden como Apéndice A. En su Informe de Incidentes, el Personal cita a Phillips Waterproofing and Concrete por la infracción de la siguiente disposición de la Ley:

KRS 367.4911(10) - Cuando sea necesario excavar o demoler dentro de la ubicación aproximada de la instalación subterránea, el excavador deberá cavar a mano o utilizar medios no intrusivos para evitar daños a la instalación subterránea.

Basándose en su revisión del Informe de Incidentes y estando suficientemente informada, la Comisión encuentra pruebas prima facie de que Phillips Waterproofing and Concrete violó la Ley de Prevención de Daños a Instalaciones Subterráneas. La Comisión considera, además, que debe llevarse a cabo una investigación formal sobre el incidente de los daños causados por la excavación.

POR LO TANTO SE ORDENA que:

1. Phillips Waterproofing and Concrete deberá presentar a la Comisión una respuesta por escrito a las alegaciones contenidas en el Informe de Incidencia del Personal en un plazo de 20 días a partir de la recepción de esta Orden a través de un correo electrónico enviado a [PSCED@ky.gov](mailto:PSCED@ky.gov) con el número de caso de este procedimiento en el asunto del mensaje.

2. La fecha de la audiencia del 27 de Octubre de 2023 se reprograma.

3. Phillips Waterproofing and Concrete comparecerá el 26 de Enero de 2024, a las 9 a.m. Eastern Daylight Time, en la sala de audiencias Richard Raff de las oficinas de la Comisión en 211 Sower Boulevard en Frankfort, Kentucky, con el fin de presentar pruebas relativas a la supuesta violación de la Ley de Prevención de Daños a Instalaciones Subterráneas.

4. La audiencia 26 de Enero de 2024 se llevará a cabo por videoconferencia.

5. Phillips Waterproofing and Concrete deberá asistir a la audiencia utilizando el siguiente enlace: <https://meet.goto.com/pscformalhearing/psc-damage-prevention-hearing>; la información y las instrucciones se adjuntan a esta Orden como Apéndice B.

6. Phillips Waterproofing and Concrete notificará a la Comisión, al menos diez días antes de la audiencia, si Phillips Waterproofing and Concrete no puede comparecer por videoconferencia y, por lo tanto, comparecerá en persona.

**7. Cualquier sociedad, corporación o entidad comercial (incluyendo una sociedad de responsabilidad limitada) debe ser representada por un abogado con licencia para ejercer la abogacía en Kentucky y dicha representación incluye la presentación de documentos y las comparecencias en las audiencias ante la Comisión.**

8. Un individuo puede presentar una respuesta a las alegaciones contenidas en el Informe de Incidentes del Personal sin la representación de un abogado.

9. El Informe de Incidentes del Personal 54333, que se adjunta a esta Orden como Apéndice A, forma parte del expediente de este caso.

10. La audiencia 26 de Enero de 2024 se grabará únicamente por vídeo.

11. **Tenga en cuenta que las partes que no comparezcan después de la notificación estarán sujetas a que se asiente una sentencia en rebeldía contra ellos.**

COMISIÓN DE SERVICIOS PÚBLICOS

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Presidente

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Vicepresidente

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Comisionado/a

HACE CONSTAR:

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Director/a Ejecutivo/a

APÉNDICE A

APÉNDICE DE UNA ORDEN DE LA COMISIÓN DE SERVICIOS  
PÚBLICOS DE KENTUCKY EN EL CASO No. 2023-00304 FECHADO

CUARENTA PÁGINAS A SEGUIR

## APÉNDICE B

### APÉNDICE DE UNA ORDEN DE LA COMISIÓN DE SERVICIOS PÚBLICOS DE KENTUCKY EN EL CASO No. 2023-00304 FECHADO

#### **Información e instrucciones para la audiencia**

Se le obliga a asistir a una audiencia formal con la Comisión de Servicios Públicos de Kentucky. Tenga en cuenta que la audiencia está programada en la **huso horario del este**, y que la fecha y la hora de la audiencia figuran en la Orden que acompaña a estas instrucciones.

**Se le recomienda encarecidamente** que descargue la aplicación GoToMeeting ahora y esté preparado para su audiencia. Si no puede comparecer por vídeo utilizando esta aplicación, debe llamar a las oficinas de la Comisión al número que se indica a continuación al menos diez días antes de la audiencia programada. Recibirá instrucciones para presentarse en persona en la sala de audiencias Richard Raff de las oficinas de la Comisión en el 211 Sower Boulevard en Frankfort, Kentucky, a la hora y fecha de su audiencia programada.

Sírvase dirigir cualquier pregunta a Andrea Wise, División de Inspecciones de la Comisión: [Andrea.Wise@ky.gov](mailto:Andrea.Wise@ky.gov) o 502-782-2656.

**Para descargar la aplicación GoToMeeting vaya a:**

<https://global.gotomeeting.com/install/258846301>

El día de su audiencia utilizando su computadora, tableta o teléfono inteligente con capacidad de vídeo. **Acceda al procedimiento en:**

<https://meet.goto.com/pscformalhearing/psc-damage-prevention-hearing>

Puede encontrar más información sobre el uso de GoToMeeting en  
GoToMeeting - Inicio rápido del asistente

<https://www.youtube.com/embed/8VaHHVP0FCU?autoplay=1&showinfo=0&controls=0&rel=0>

GoToMeeting - Cómo unirse a una reunión

[https://www.youtube.com/embed/Ojl1q\\_sPrP0?autoplay=1&showinfo=0&controls=0&rel=0](https://www.youtube.com/embed/Ojl1q_sPrP0?autoplay=1&showinfo=0&controls=0&rel=0)

# Requisitos técnicos para utilizar GoToMeeting

## Sistema operativo:

Windows 7 – Windows 10  
Mac OS X 10.9 (Mavericks) – Mac OS Big Sur (11)  
Linux/Ubuntu (sólo aplicación web)  
Google Chrome OS (sólo aplicación web)  
iOS 11 - iOS 13  
Android OS 5 (Lollipop) - Android 10

## Navegador web: (Sólo se aplica a la aplicación web)

Google Chrome v72 o más reciente  
Microsoft Edge v77 o más reciente

## Conexión a Internet:

Computadora: 1 Mbps o superior (se recomienda la banda ancha)  
Dispositivo móvil y Chromebook: 3G o superior (se recomienda WiFi para VoIP)

## Software:

Aplicación de escritorio de GoToMeeting (con JavaScript activado)  
La aplicación GoToMeeting de Apple Store, Google Play Store o Windows Store

## Hardware:

2 GB de RAM (mínimo), 4 GB o más de RAM (recomendado)  
Dispositivo de cámara web\*  
Micrófono y altavoces\*\* (se recomiendan auriculares USB)

## Dispositivo móvil:

iPhone 5 o más reciente  
iPad de 3ª generación o más reciente

Nota: \* Para comparecer en la audiencia, ES PRECISO tener una cámara en su dispositivo o conectada a él.

\*\* La mayoría de los dispositivos tienen altavoces incorporados, pero obtendrás una mejor calidad de audio mientras estés conectado por VoIP si utilizas unos auriculares.

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