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January 12, 2021

Stephen P. Bailey  
Bailey Law Office PSC  
181 East Court Street  
Prestonsburg, KY 41653

RE: Southern Water & Sewer District  
Case No. 2020-00366 - Filing Deficiencies

The Commission Staff has reviewed the application in the above case. This filing is rejected for the reasons set forth below.

Filing deficiencies pursuant to:

- (1) 807 KAR 5:001 Section 4(3): Name, address, telephone number, fax number, and e-mail address of submitting party or attorney; No fax number provided.
- (2) 807 KAR 5:001 Section 18(1) (g): If the applicant is a water district, a copy of the applicant's written notification to the state local debt officer regarding the proposed issuance; Missing Exhibit A.
- (3) 807 KAR 5:001 Section 18(1) (c): If Bonds or Notes or Other Indebtedness is proposed: Description of the amount(s), Full description of all terms, Interest rate(s), and whether the debt is to be secured and if so, a description of how it is to be secured.
- (4) 807 KAR 5:001 Section 18(1) (f): If proceeds are to refund outstanding obligations, provide: Statement of the nature and description of obligation, Par value, Amount for which actually sold, Expenses and application of proceeds, Date of obligations, Total amount, Time held, Interest rate, and Payee.

You are requested to submit the information necessary to cure the deficiencies within 20 days of the date of this letter.

If you need further assistance, please contact my staff at 502-564-3940.

Sincerely,

Linda C. Birdwell  
Executive Director

\*Southern Water & Sewer District  
245 Kentucky Route 680  
P. O. Box 610  
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