### COMMONWEALTH OF KENTUCKY

#### BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

KEITH GUTTERMAN – ALLEGED VIOLATION OF	)	
UNDERGROUND FACILITY DAMAGE PREVENTION	)	CASE NO. 2019-00156
ACT	)	

## NOTICE OF FILING

Notice is given to all parties that the following materials have been filed into the record of this proceeding:

- The digital video recording of the evidentiary hearing conducted on August 9, 2019 in this proceeding;
- Certification of the accuracy and correctness of the digital video recording;
- A written log listing, *inter alia*, the date and time of where each witness' testimony begins and ends on the digital video recording of the evidentiary hearing conducted on August 9, 2019.

A copy of this Notice, the certification of the digital video record, and hearing log have been served upon all persons listed at the end of this Notice. Parties desiring to view the digital video recording of the hearing may do so at https://youtu.be/AU61HCfB9kc.

Parties wishing an annotated digital video recording may submit a written request by electronic mail to <a href="mailto:pscfilings@ky.gov">pscfilings@ky.gov</a>. A minimal fee will be assessed for a copy of this recording.

Done at Frankfort, Kentucky, this 20th day of August 2019.

Swen R. Punson

Gwen R. Pinson Executive Director

Public Service Commission of Kentucky

Keith Gutterman 9906 Callie Drive Fairdale, KENTUCKY 40118

#### COMMONWEALTH OF KENTUCKY

#### BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

KEITH GUTTERMAN – ALLEGED VIOLATION	)	CASE NO.
OF UNDERGROUND FACILITY DAMAGE	)	2019-00156
PREVENTION ACT	)	

## CERTIFICATION

- I, KaBrenda L. Warfield, hereby certify that:
- The attached DVD contains a digital recording of the Formal Hearing conducted in the above-styled proceeding on August 9, 2019. Hearing Log, is included with the recording on August 9, 2019.
  - 2. I am responsible for the preparation of the digital recording;
- The digital recording accurately and correctly depicts the Formal Hearing of August 9, 2019.
- 4. The Formal Hearing Log attached to this Certificate accurately and correctly states the events that occurred at the Formal Hearing of August 9, 2019 and the time at which each occurred.

Signed this 19th day of August, 2019.

NOTARY PUBLIC TO NO 605476 LA SYLVENIUM ON 10 NO. 605476 LA SYLVENIUM ON 10 NO. 605476 LA SYLVENIUM ON 12512022 AT LA ROLLINGUE ON 1251202 AT LA

KaBrenda L. Warfield, CKP Paralegal Consultant

Jacqueline Hartzell, Notary Public

State at Large

Commission Expires: July 25, 2022

ID#: 605476



# **Session Report - Detail**

# 09Aug2019

# **Damage Prevention Act**

Date:	Туре:	Location:	Department:
8/9/2019	Show Cause Hearing	Hearing Room 1	Hearing Room 1 (HR 1)

Judge: Michael Schmitt Clerk: KaBrenda Warfield

Event Time	Log Event	
8:45:00 AM	Session Started	
8:45:01 AM	Session Paused	
9:03:35 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	Chairman Schmitt stating preliminary remarks and introduction of Vice Chairman Cicero and Commissioner Mathews.
9:03:35 AM	Session Resumed	
9:04:39 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	This proceeding is being video recorded which will be the offical record in the case.
9:05:24 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	This proceeding is also being broadcast live over the internet this moring. I'm not sure we will continue to do that but we have been broadcasting all hearings and most other things that occur in this room live.
9:05:24 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	This proceeding is also being
9:05:45 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	For anyone that may be watching todays hearings involve the hearing of evidence potentially in fourteen cases were the Investigative Division of the Public Service Commission has issued civil penalty assessments against individuals and business entities alleging violations of the Kentucky Underground Facility Damage Prevention Act.
9:06:41 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	So this morning we have I think potentially fourteen cases on the Docket, but doubt from looking out into the hearing room that ther are fourteen people or entities here.
9:07:01 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	So I will call the Docket and then ask Mr. Park who is representing the Division of Inspections about the status of these cases whether anything has been done with respect to continuing any of the cases and specifically whether service of process has been perfected.
9:07:43 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	Once we get through that, if we have a formal hearing or anything to be held we can do that and get it out of the way.
9:07:54 AM	2019-00155 - BURL RUSSELL	
	Note: Warfield, KaBrenda	Mr. Park do you know anything about Mr. Russell?
9:08:31 AM	Chairman Schmitt	
	Note: Warfield, KaBrenda	Mr. Russell is not here. We will call his name one more time before we are finished.
9:08:41 AM	2019-00156 - KEITH GUTTERMAN	
	Note: Warfield, KaBrenda	Has Mr. Gutterman been served to your knowledge?
9:09:07 AM	Chairman Schmitt - Staff Atty Park	
	Note: Warfield, KaBrenda	That will be sustained.

0.00.10 AM	Chairman Cabraitt	
9:09:10 AM	Chairman Schmitt	
		penefit of anyone here or anyone watching we made a
	of every	nation that these hearings will be held on the fourth Friday
9:09:30 AM	2019-00158 - SCOTT EXCAVATING, INC.	monar.
9.09.30 AN	•	tt Excavating, Inc. been served with process?
9:09:52 AM	2019-00159 - BRUCE HUBBARD	it Excavating, The been served with process:
3.03.32 AN		proof of service on Mr. Hubbard?
9:10:16 AM	Chairman Schmitt - Staff Atty Park PSC	proof of service of Mr. Hubbard:
3.10.10 AN	•	be sustained.
9:10:25 AM	2019-00160 - JONATHAN HYLTON	be sustained.
7.10.25 AN		has service been achieved on Mr. Hylton?
9:10:49 AM	Chairman Schmitt - Staff Atty Park PSC	Thas service been deflicated on this tryitori:
7.10.47 AN	•	be sustained.
9:10:51 AM	2019-00161 - LONNIE WILLIAMS AND SON	
7.10.31 AN		rice been profected on Lonnie Williams and Son Excavating,
	LLC?	the been professed on confine williams and 300 excavating,
9:11:16 AM	Chairman Schmitt - Staff Atty Park PSC	
31111107111	Note: Warfield, KaBrenda Sustaine	d.
9:11:18 AM	2019-00162 - PREMIER UNDERGROUND, L	
J	•	what is the status of service of process for Premier
		ound, LLC?
9:12:02 AM	Chairman Schmitt - Staff Atty Park PSC	,
	Note: Warfield, KaBrenda Thank y	ou.
9:12:05 AM	2019-00163 - GEORGE TAPP EXCAVATION	
	Note: Warfield, KaBrenda Mr. Park	what is the status of Mr. Tapp in terms of service?
9:13:02 AM	Chairman Schmitt - Staff Atty Park PSC	
	Note: Warfield, KaBrenda Thank y	ou.
9:13:04 AM	2019-00164 - PRECISION FLOORING & CA	RPENTRY, LLC
	•	what is the status of Percision Flooring & Carpentry, LLC?
9:13:30 AM	Chairman Schmitt - Staff Atty Park PSC	
	Note: Warfield, KaBrenda Sustaine	d.
9:13:31 AM	2019-00166 - LINEMAN FARMS, LLC	
	•	what is the status of Lineman Farms, LLC?
9:14:00 AM	Chairman Schmitt - Staff Atty Park PSC	
0.44.00.444	Note: Warfield, KaBrenda Sustaine	
9:14:02 AM	2019-00167 - HAYDEN CONSTRUCTION CO	•
0.44.40.444	Note: Warfield, KaBrenda Mr. Park	?
9:14:18 AM	Chairman Schmimtt	
0-14-20 AM	Note: Warfield, KaBrenda Okay.	ODDODATION INC
9:14:20 AM	2019-00168 - PRODIGY CONSTRUCTION C	
0.14.40 AM	Note: Warfield, KaBrenda Yes sir,	JKdy.
9:14:40 AM	2019-00235 - DEREK ENGINEERING, INC.	apple you
0.14.54 AM	Note: Warfield, KaBrenda Okay. Tl 2019-00236 - MARCUS HENSLEY	nank you.
9:14:54 AM		Ir Handay Thank you
9:15:04 AM	Note: Warfield, KaBrenda You're N Chairman Schmitt	lr. Hensley. Thank you.
9.15.0 <del>1</del> AM		Guess Mr. Dussell, Scott Everyation, Promier Underground
		I guess Mr. Russell, Scott Excavation, Premier Underground, Tapp, and Hayden Construction are all before the
	•	sion, but have not appeared at least today. So what we will
		ss those cases until the end. And if they appear we'll
	•	and give them the opportunity to participate.
	·	• • •

0.45.44.44		
9:15:44 AM	Chairman Schmitt	List is the District of the List of the Li
	on	d if not. Mr. Park I'll ask you to go ahead and put on the evidence behalf of the investigation division.
9:16:08 AM	Chairman Schmitt - witness Hensley	
		t me ask first. Mr. Hensley are you here by yourself? And you are t represented by counsel?
9:16:14 AM	Chairman Schmitt - witness Hensley	
	Note: Warfield, KaBrenda And	d you don't have any witnesses other than yourself? Correct?
9:16:19 AM	Chairman Schmitt - witness Mattingly	
	Note: Warfield, KaBrenda And	d Proodigy Construction will you identify yourself for the record ease?
9:16:30 AM	Chairman Schmitt - witness Mattingly	
	Note: Warfield, KaBrenda Are	e you here by yourself?
9:16:33 AM	Chairman Schmitt - witness Mattingly	, , ,
	· .	e you an owner of Prodigy?
9:16:37 AM	Chairman Schmitt - witness Mattingly	
	Note: Warfield, KaBrenda Tha	at's another issue. Wheter or not you are required to have a orney.
9:16:48 AM	Chairman Schmitt - Atty Blandford De	·
	· · · · · · · · · · · · · · · · · · ·	t then Derek Engineering, Inc. Mr. Blandford correct?
9:17:00 AM	Chairman Schmitt - Atty Blandford De	rek Engineering
	•	you're here and you have a witness? Correct?
9:17:03 AM	Chairman Schmitt - Atty Blandford De	
	•	cause of that I will probably let you go first. Or let Mr. Park put on
	his	case first and you'll have a chance to cross examine and then put
		your witness. Unless there is something else you would like to
		ng to the attention of the Commission before we start?
9:17:58 AM	Chairman Schmitt - Atty Blandford De	
	•	ight that's fine.
9:18:01 AM	Chairman Schmitt - Atty Blandford De	rek Engineering
		e have tried to avoid any communication between inspections and
		e Commission because of the way that we are divided.
9:18:22 AM	Chairman Schmitt - Atty Blandford De	
		e you satisfied at this time that you have sufficient information?
9:18:56 AM	Chairman Schmitt	
		ight. Thank you.
9:18:57 AM	Chairman Schmitt	
		at being the case why don't we take a five minute break and let
		. Blandford come up front here and get situated with his client.
		d then we will begin and follow up with Mr. Hensley, and then
0.40.47.444		odigy Construction.
9:19:17 AM	Chairman Schmitt	
		we are going off the record here for five minutes. Until say
0.10.26 444		enty five minutes after nine am.
9:19:26 AM	Session Paused	
9:24:05 AM	Session Ended	

Keith Gutterman 9906 Callie Drive Fairdale, KENTUCKY 40118