COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC PROPOSED ACQUISITION BY)BLUEGRASS WATER UTILITY OPERATING COMPANY,)LLC AND THE TRANSFER OF OWNERSHIP AND)CONTROL OF ASSETS BY P.R. WASTEWATER)MANAGEMENT, INC., MARSHALL COUNTY)ENVIRONMENTAL SERVICES, LLC, LH TREATMENT)COMPANY, LLC, KINGSWOOD DEVELOPMENT, INC.,)AIRVIEW UTILITIES, LLC, BROCKLYN UTILITIES, LLC,)FOX RUN UTILITIES, LLC, AND LAKE COLUMBIA)UTILITIES, INC.)

CASE NO. 2019-00104

NOTICE OF FILING

Notice is given to all parties that the following materials have been filed into the

record of this proceeding:

- The digital recording of the evidentiary hearing conducted on July 2, 2019 in this proceeding;

- Certification of the accuracy and correctness of the digital recording;

- All exhibits introduced at the evidentiary hearing conducted on July 2, 2019 in this proceeding;

- A written log listing, *inter alia*, the date and time of where each witness' testimony begins and ends on the digital recording of the evidentiary hearing conducted on July 2, 2019.

A copy of this Notice, the certification of the digital record, hearing log, and

exhibits have been electronically served upon all persons listed at the end of this Notice.

Parties desiring to view the digital video recording of the hearing may do so at: <u>https://youtu.be/BYLAf7ODIUM</u>.

Parties wishing an annotated digital video recording may submit a written request by electronic mail to <u>pscfilings@ky.gov</u>. A minimal fee will be assessed for a copy of this recording.

Done at Frankfort, Kentucky, this 18th day of July 2019.

Shwen R. Punson

Gwen R. Pinson Executive Director Public Service Commission of Kentucky

Kingswood Development, Inc. 9201 Lena Lane Louisville, KY 40299-5400

Kent Chandler Assistant Attorney General Office of the Attorney General Office of Rate Intervention 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

Larry Cook Assistant Attorney General Office of the Attorney General Office of Rate Intervention 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

R Mitten Bluegrass Water Utility Operation Company, LLC 500 Northwest Plaza Dr., Ste. 500 , MONTANA 63074

Fox Run Utilities, LLC 1706 Bardstown Road Louisville, KY 40205

LH Treatment Company, LLC 134 Prater Drive Georgetown, KY 40324 Justin M. McNeil Office of the Attorney General Office of Rate Intervention 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

Katherine Yunker McBrayer PLLC 201 East Main Street Suite 900 Lexington, KENTUCKY 40507

Rebecca W Goodman Assistant Attorney General Office of the Attorney General Office of Rate Intervention 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

Lake Columbia Utilities, Inc. 1007 Doric Circle Louisville, KY 40205

Brocklyn Utilities, LLC 1706 Bardstown Road P. O. Box 91588 Louisville, KY 40205

Service List for Case 2019-00104

Kathryn A Eckert McBrayer PLLC 201 East Main Street Suite 900 Lexington, KENTUCKY 40507

P. R. Wastewater Management, Inc. 72 Persimmon Ridge Drive Louisville, KY 40245

Bluegrass Water Utility Operation Company, LLC 500 Northwest Plaza Dr., Ste. 500 , MONTANA 63074

Airview Utilities, LLC 1706 Bardstown Road Louisville, KY 40205

Marshall County Environmental Services, LLC 522 US Hwy 68 West Benton, KY 42025

COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC PROPOSED ACQUISITION BYBLUEGRASS WATER UTILITY OPERATINGCOMPANY, LLC AND THE TRANSFER OFOWNERSHIP AND CONTROL OF ASSETSBY P.R. WASTEWATER MANAGEMENT,INC., MARSHALL COUNTYENVIRONMENTAL SERVICES, LLC, LHTREATMENT COMPANY, LLC, KINGSWOODDEVELOPMENT, INC., AIRVIEW UTILITIES,LLC, BROCKLYN UTILITIES, LLC, FOX RUNUTILITIES, LLC, AND LAKE COLUMBIAUTILITIES, INC.

CASE NO. 2019-00104

CERTIFICATE

I, Angela Fields, hereby certify that:

1. The attached flash drive contains a digital recording of the hearing conducted in the above-styled proceeding on July 2, 2019; (excluding any confidential segments, which were recorded on a separate flash drive and will be maintained in the non-public records of the Commission, along with the Confidential Exhibits and Hearing

Log).

2. I am responsible for the preparation of the digital recording;

3. The digital recording accurately and correctly depicts the hearing of July 2,

2019; (excluding any confidential segments);

4. The "Exhibit List" attached to this Certificate correctly lists all exhibits introduced at the hearing of July 2, 2019 (excluding any confidential exhibits).

5. The "Hearing Log" attached to this Certificate accurately and correctly states the events that occurred at the hearing of July 2, 2019; (excluding any confidential segments) and the time at which each occurred.

6. All items listed above containing confidential materials will be maintained in the non-public records of the Commission.

Signed this <u></u> day of July 2019.

Angela Fields, Paralegal Consultant

Stephanie Schweighardt, Notary Public State at Large My commission expires: January 14, 2023 ID#: 614400



2019-00104 02July2019

Bluegrass Water Utility Operating Company

Date:	Type:	Location:	Department:
7/2/2019	Other	Hearing Room 1	1 Hearing Room 1 (HR 1)
Witness: Aller Gail Williams		Cox; Mike Duncan; Lawren Just; Phil Ma	acias; Rick Pulliam; Larry Smither; Todd Thomas;
Judge: Robert	t Cicero		

Clerk: Angela Fields

8:49:27 AM Session Started 8:49:29 AM Session Paused 9:02:17 AM Session Resumed 9:02:17 AM Session Resumed 9:02:17 AM VC Cicero Note: Fields, Angela Introduction of Joint Applicants counsel 9:04:27 AM Atty Kunker Note: Fields, Angela Introduction of Joint Applicants counsel 9:04:44 AM VC Cicero Note: Fields, Angela Outstanding motions? 9:05:03 AM VC Cicero Note: Fields, Angela No public comments 9:05:12 AM Atty Yunker 9:06:12 AM Atty Yunker direct RickPulliam Note: Fields, Angela No public comments 9:06:12 AM Atty Yunker direct RickPulliam Note: Fields, Angela Preliminary questions 9:07:53 AM Atty Chandler cross Rick Pulliam Note: Fields, Angela Approval of transaction 9:08:47 AM Atty Chandler cross Rick Pulliam Note: Fields, Angela Did you verify the info in the application 9:09:55 AM Atty Chandler cross Rick Pulliam Note: Fields, Angela Pg 3. services perfored by indepentant cpa	Event Time	Log Event	
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9:13:31 AMAtty Chandler cross Rick Pulliam Note: Fields, AngelaBefore or after the filing of the annual report?9:13:46 AMAtty Chandler cross Rick Pulliam Note: Fields, AngelaBalance sheet. Pg. 25 statement of income for the year. Operating	9.12.77 AM	-	do know of any invectment
Note: Fields, AngelaBefore or after the filing of the annual report?9:13:46 AMAtty Chandler cross Rick Pulliam Note: Fields, AngelaBalance sheet. Pg. 25 statement of income for the year. Operating	9·13·31 ΔM	· -	
9:13:46 AM Atty Chandler cross Rick Pulliam Note: Fields, Angela Balance sheet. Pg. 25 statement of income for the year. Operating	5.15.51 AM	•	Before or after the filing of the annual report?
Note: Fields, Angela Balance sheet. Pg. 25 statement of income for the year. Operating	9·13·46 ΔM	, 2	before of after the filling of the diffidul report.
	5110110701	-	Balance sheet. Pg. 25 statement of income for the year. Operating
			expenses.

9:15:21 AM	Atty Chandler cross Rick Pulliam	
	Note: Fields, Angela	Bluegrass is aware of that change?
9:15:37 AM	Atty Chandler cross Rick Pulliam Note: Fields, Angela	Who did you speak to about that at Bluegrass
0.17.20 AM	·	who did you speak to about that at blueglass
9:17:20 AM	Atty Chandler cross Rick Pulliam	Piggost issue you are dealing with at this time?
0.10.25 AM	Note: Fields, Angela	Biggest issue you are dealing with at this time?
9:18:25 AM	Atty Chandler cross Rick Pulliam	Any concerns with the treatment excten?
0.10.50 AM	Note: Fields, Angela	Any concerns with the treatment system?
9:18:58 AM	Atty Chandler cross Rick Pulliam	Are you within the Coordense of United
0.10.45 AM	Note: Fields, Angela	Are you within the Georgetown city limits?
9:19:45 AM	AttyPinney cross Rick Pulliam	When III treatment haven any idian any isa?
0.20.24 414	Note: Fields, Angela	When LH treatment began providing service?
9:20:34 AM	AttyPinney cross Rick Pulliam	When did languing begin providing convice?
0.20.57 414	Note: Fields, Angela	When did longview begin providing service?
9:20:57 AM	AttyPinney cross Rick Pulliam	December alout house a neutrouley neuro?
0.21.22 414	Note: Fields, Angela	Does the plant have a particular name?
9:21:22 AM	AttyPinney cross Rick Pulliam	
0.22.25 414	Note: Fields, Angela	Prior to that do you know how many rate cases Longview had?
9:22:35 AM	VC Cicero cross Rick Pulliam	Useful use of the ulset?
0.22.52 414	Note: Fields, Angela	Useful use of the plant?
9:23:52 AM	VC Cicero cross Rick Pulliam	
	Note: Fields, Angela	When you say a bank will not touch anyone under the jurisdiction of
9:24:34 AM	VC Cicero cross Rick Pulliam	the PSC, what do you mean?
9.24.34 AM	Note: Fields, Angela	Were you approached by the buyer?
9:24:54 AM	VC Cicero cross Rick Pulliam	were you approached by the buyer?
9.24.54 AM	Note: Fields, Angela	What would you cay your expeditures
9:25:40 AM	VC Cicero cross Rick Pulliam	What would you say your expeditures
5.23.40 AM	Note: Fields, Angela	Range on an average basis
9:27:02 AM	VC Cicero cross Rick Pulliam	Kange on an average basis
J.27.02 AM	Note: Fields, Angela	Witness excussed.
9:27:20 AM	Atty Chandler	Withess excussed.
5.27.207.11	Note: Fields, Angela	Admitted AG Exhibit 1
9:27:53 AM	Atty Yunker direct Gail Williams	
5127133741	Note: Fields, Angela	called witness Gail Williams - Kingswood
9:28:43 AM	Atty Yunker direct Gail Williams	
5120115741	Note: Fields, Angela	Preliminary questions
9:29:23 AM	Atty Yunker direct Gail Williams	
5125126741	Note: Fields, Angela	Are these people you have employed or contracted with?
9:29:49 AM	Atty Yunker direct Gail Williams	
	Note: Fields, Angela	Were you the person who provided a verification for the application
		filed
9:30:20 AM	Atty Chandler cross Gail Williams	
	, Note: Fields, Angela	AG handed out exhibit
9:31:04 AM	Atty Chandler cross Gail Williams	
	Note: Fields, Angela	Does the cpa keep your books?
9:31:22 AM	Atty Chandler cross Gail Williams	
	, Note: Fields, Angela	CPA did the annual report for kingswood?
9:31:41 AM	Atty Chandler cross Gail Williams	. 2
	Note: Fields, Angela	Pg 6. General Information.
9:32:11 AM	Atty Chandler cross Gail Williams	
	Note: Fields, Angela	Pg 14 and 15. Summary of Utility Plant
9:33:03 AM	Atty Chandler cross Gail Williams	
	Note: Fields, Angela	The year end 2018. Any investment that would significantly deviate

9:33:33 AM	Atty Chandler cross Gail Williams	
	Note: Fields, Angela	any material changes?
9:33:48 AM	Atty Chandler cross Gail Williams Note: Fields, Angela	that is for the entire system, when system started to providing
	Note: Fields, Aligeid	service?
9:34:32 AM	Atty Chandler cross Gail Williams	
	Note: Fields, Angela	Does Kingswood have issues with customers not paying bills?
9:34:52 AM	Atty Chandler cross Gail Williams	
	Note: Fields, Angela	133 customers approximately with issues with only 2 customers?
9:35:12 AM	Atty Chandler cross Gail Williams	
0.00.10.004	Note: Fields, Angela	Does Kingswood have a similar agreement like LH Treatment?
9:36:16 AM	Atty Chandler cross Gail Williams	Where is the surrout energies of Kingswood?
0.26.50 AM	Note: Fields, Angela	Who is the current operator of Kingswood?
9:36:59 AM	Atty Pinney cross Gail Williams Note: Fields, Angela	How long have you been with Kingswood?
9:37:41 AM	Atty Pinney cross Gail Williams	How long have you been with Kingswood?
5.57.71 AM	Note: Fields, Angela	Absense of transfer would Kingswood need to seek an increase?
9:38:05 AM	Atty Pinney cross Gail Williams	
9.30.03 AM	Note: Fields, Angela	Any idea what that increase would need to be?
9:38:56 AM	Atty Pinney cross Gail Williams	Any luca what that increase would need to be:
5.50.50 AM	Note: Fields, Angela	Would plant have to expand?
9:39:06 AM	Atty Pinney cross Gail Williams	
5.55.00 AN	Note: Fields, Angela	Where is Kingswood located?
9:39:24 AM	Atty Pinney cross Gail Williams	
510512171	Note: Fields, Angela	closest sewer treatment is?
9:39:47 AM	VC Cicero cross Gail Williams	
	Note: Fields, Angela	Did you seek a buyer or approached?
9:40:29 AM	VC Cicero cross Gail Williams	
	Note: Fields, Angela	No issues that you know of in terms of operations?
9:40:52 AM	VC Cicero cross Gail Williams	
	Note: Fields, Angela	A cpa does the books? Reason for not asking for a rate increase
9:41:57 AM	Atty Chandler	
	Note: Fields, Angela	Introduce AG Exhibit 2
9:42:20 AM	Atty Yunker	
	Note: Fields, Angela	Complaint filed in Franklin Co. Circuit. No responses yet filed to the complaint. Do not represent Marshall Co. or Mr. Artis.
9:44:56 AM	Atty Yunker	
	Note: Fields, Angela	Proposes for this hearing only is that the applicants accept the
		allegations in the complaint as if they were hypothetically be
0.40.41 AM	Atty Chandler	accepted as true only for purposes of this proceding.
9:49:41 AM	Atty Chandler Note: Fields, Angela	Questions will not deviate from other questions to witnesses. Do
	Note. Helds, Angela	not intend to get into the violations. State of the systems in general
		speak for themselves. Proceed without concerns.
9:51:18 AM	Atty Pinney	
	Note: Fields, Angela	No questions relating to the civil complaitn
9:51:40 AM	VC Cicero	
	Note: Fields, Angela	Suprise or anticipated regarding the notices of violations?
9:53:30 AM	VC Cicero	
	Note: Fields, Angela	Looking at it from a theoretical standpoint
9:54:48 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Called Allen Artis - Marshall Co. Enviro.
9:55:35 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Identify those two systems?

9:55:52 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Please state your business address.
9:56:10 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Position or role with Marshall Co.
9:56:31 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Does Marshall Co. joined with other applicates for approval to transfer assets to Bluegrass Water?
9:57:07 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Aware of a complaint filed with Franklin Circuit Court?
9:57:29 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	for purposes of this proceding are you
9:57:51 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Are you waiving any constitutional rights
9:58:12 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Handed out application in this case
9:58:42 AM	Atty Yunker direct Allen Artis	
	Note: Fields, Angela	Response to application (a)(ii) MCCS will not oppose.
10:00:38 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Handed out AG Exhibit 3 and admitted
10:01:46 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Annual report 2016. Pg 9, general information 6.
10:02:26 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Calvert City?
10:02:41 AM	Atty Chandler cross Allen Artis	,
	Note: Fields, Angela	Provide the addresses to the cities for the record.
10:03:11 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Pg. 1 and 6 general information. Still able to answer questions to this report?
10:04:16 AM	Atty Chandler cross Allen Artis	·
	Note: Fields, Angela	Would have voted as the sole member of the LLC? Provide that info?
10:05:19 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Is this the most recent annual report?
10:05:45 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Summary of Utility Plant pg 4.
10:06:11 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	2 1/2 years since then. Any significant investments that would change that value?
10:06:46 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Locations are not of the line?
10:07:03 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Do either of the systems have problems with customers paying bills?
10:07:42 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	How much does Paducah charge for that service?
10:08:17 AM	Atty Chandler cross Allen Artis	
40.00 50 444	Note: Fields, Angela	Have you had issues with nonpayment?
10:08:53 AM	Atty Chandler cross Allen Artis	
10.00.05.444	Note: Fields, Angela	Customers are aware that their water can be shut off?
10:09:25 AM	Atty Chandler cross Allen Artis	
10.00-47 414	Note: Fields, Angela	Operationally, what is the greatest issue?
10:09:47 AM	Atty Chandler cross Allen Artis	What is the issue with the collection system?
10.10.00 414	Note: Fields, Angela	What is the issue with the collection system?
10:10:08 AM	Atty Chandler cross Allen Artis	Clay system?
	Note: Fields, Angela	Clay system?

Atty Chandler cross Allen Artis	
Note: Fields, Angela	What are the issues for an above ground system?
Atty Chandler cross Allen Artis	
Note: Fields, Angela	Golden Acres System, what issues do you have.
Atty Chandler cross Allen Artis	
Note: Fields, Angela	took over in 2013? Golden Acres?
Atty Chandler cross Allen Artis	
Note: Fields, Angela	The local ad agency office run Golden Acers?
Atty Chandler cross Allen Artis	
Note: Fields, Angela	Pg. 25 Statement of Income for the Year.
Atty Chandler cross Allen Artis	
Note: Fields, Angela	What is the net income of either system separately or combined?
Atty Chandler cross Allen Artis	
Note: Fields, Angela	Are the expenses covered?
Atty Chandler cross Allen Artis	
Note: Fields, Angela	What was the repair you mentioned?
VC Cicero cross Allen Artis	
Note: Fields, Angela	Why was it not capitalized?
VC Cicero cross Allen Artis	
Note: Fields, Angela	Why isn't there a 2016-2017 report?
VC Cicero cross Allen Artis	
Note: Fields, Angela	Not a justification for not filing. At some point you will have to go to
, 5	that from here forward you will have to have a bookeeper establish
	from this point forward
Atty Chandler cross Allen Artis	
Note: Fields, Angela	Aware as a geneal matter for previous transfers that the Utility to be
. 2	releaveed they have to file their last annual report?
Atty Chandler cross Allen Artis	
Note: Fields, Angela	Are these the only two systems that you own?
Atty Pinney cross Allen Artis	
Note: Fields, Angela	How many customers?
Atty Pinney cross Allen Artis	
Note: Fields, Angela	For Great Oaks, how would you describe the major problems.
Atty Pinney cross Allen Artis	
Note: Fields, Angela	Do the problems impact the customers?
Atty Pinney cross Allen Artis	
Note: Fields, Angela	Rate for Great Oaks
Atty Pinney cross Allen Artis	
Note: Fields, Angela	Would have to have a rate increase for Great Oaks?
Atty Pinney cross Allen Artis	
Note: Fields, Angela	That increase does not include expenses?
. 2	·
	Be able to pick a point in time to collect information to do an annual
, ,	report?
Atty Pinney cross Allen Artis	
Note: Fields, Angela	Describe the area between the lines?
· -	
	In the area between, is there any development going on?
· -	, , , , , ,
	Nothing close to Golden Acres?
VC Cicero cross Allen Artis	-
	Any idea how much it would cost to bring the plant up to
VC Cicero cross Allen Artis	
Note: Fields, Angela	PHDR - How much spent on engineering study, and provide a copy.
	Note: Fields, Angela Atty Chandler cross Allen Artis Note: Fields, Angela VC Cicero cross Allen Artis Note: Fields, Angela Atty Chandler cross Allen Artis Note: Fields, Angela Atty Chandler cross Allen Artis Note: Fields, Angela Atty Chandler cross Allen Artis Note: Fields, Angela Atty Pinney cross Allen Artis Note: Fields, Angela

10:28:49 AM	VC Cicero cross Allen Artis	
	Note: Fields, Angela	Seek a buyer or approached?
10:29:47 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Sewer Plant Statistics, Physical Data. Approx. pg. 34. What system
		does that apply.
10:31:07 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Both use the same treatment process?
10:31:28 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Both exceeding capacity?
10:31:51 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Next pg. total gallons received each year? Just for Golden Acres?
10:32:40 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Did the max GDP maximum for Golden Acres?
10:33:09 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	What is the amount for Golden Acres?
10:33:50 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Number of loads accurate?
10:34:05 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Sewer Plant statistics. Seems to be for one of the two systems?
		Seems low for even one of the systems?
10:34:28 AM	Atty Chandler cross Allen Artis	
	Note: Fields, Angela	Apt. Condo, is that about right?
10:35:01 AM	Atty Pinney	
	Note: Fields, Angela	PHDR for AG - provide paper when the two member LLC became
		one member legally. And engineering study and invoice
10:36:06 AM	VC Cicero	
	Note: Fields, Angela	Good idea to file those reports.
10:36:42 AM	Atty Chandler	
	Note: Fields, Angela	PHDR, PSC staff 1, asked that chart be be updated. Stats about
10.07.46.444		staff. PSC 1, abc and g. Chart.
10:37:46 AM	VC Cicero	Duest
10.20.05 414	Note: Fields, Angela	Break
10:38:05 AM	Private Mode Activated	
10:38:05 AM	Private Recording Activated	
10:38:07 AM	Session Paused	
10:56:04 AM	Session Resumed	
10:56:07 AM	Atty Chandler	Mayod to intro AC Evhibit 2
10.56.20 AM	Note: Fields, Angela	Moved to intro AG Exhibit 3
10:56:39 AM	Atty Eckert direct Lawren Just	Called Lawren Just - PR Wastewater
10,57,22 AM	Note: Fields, Angela	Calleu Lawren Just - PR Wastewaler
10:57:32 AM	Atty Eckert direct Lawren Just	What are your job dution?
10.57.45 AM	Note: Fields, Angela	What are your job duties?
10:57:45 AM	Atty Eckert direct Lawren Just	Cooling DCC approval
10.50.00 AM	Note: Fields, Angela	Seeking PSC approval
10:58:08 AM	Atty Chandler cross Lawren Just	Landad aut auhibit
10.50.54 414	Note: Fields, Angela	Handed out exhibit
10:58:54 AM	Atty Chandler cross Lawren Just	2010 Annual Depart Dr. 2 CDA examined desuments servest?
10.50.22 414	Note: Fields, Angela	2018 Annual Report. Pg. 3. CPA examined documents correct?
10:59:33 AM	Atty Chandler cross Lawren Just	Independent CDA does the beaks?
10:59:59 AM	Note: Fields, Angela Atty Chandler cross Lawren Just	Independant CPA does the books?
10.22.22 AM	Note: Fields, Angela	When does the CPA get involved?
11:00:21 AM	Atty Chandler cross Lawren Just	
11.00.21 AN	Note: Fields, Angela	Been involved since 1990
	Roter ricius, Angelu	

47 AM	Atty Chandler cross Lawren Just	PR served the clubhouse and residential development?
10 AM		
		Annual Report, pg. 15. Summary of Utility Plant.
		Annual Report, pg. 15. Summary of Ounty Flant.
	-	Do you know of any significate investments?
10 AM		Do you know of any significate investments:
		PR have an issue with customers paying in a timely manner?
η Σ		The neveral new were cased ners paying in a timely manner.
55741	-	Agreement with N. Shelby to do the billing?
22 AM	· •	rigicement war woneby to do the bining.
/	,	Now it is a flat fee?
13 AM		
	-	Notes payable, pg. 6. only notes outstanding?
54 AM		
	-	Is the develope company an affiliate?
31 AM		
	-	Sewer Plant Statistics. Amount for total gallons per day. Not
	, 5	separatly metered?
30 AM	Atty Chandler cross Lawren Just	
	Note: Fields, Angela	Do you have any amounts for 2018?
14 AM	Atty Chandler cross Lawren Just	
	Note: Fields, Angela	Do you know the total for the year?
15 AM	Atty Chandler cross Lawren Just	
	Note: Fields, Angela	PHDR - Total during the year. Total gallons received on a maximum
		day.
57 AM	-	
		Sludge hauling?
10 AM		
		PR collection system is plastic? No clay?
30 AM		
		What are the issues with the systems?
10 AM	-	
		What about issues with the treatment system
59 AM	-	Deala sin a su finin aD
		Replacing or fixing?
22 AM		
		Would you capitalize that or expense it?
JZ AI⁰I		Where are you leasted?
		Where are you located?
59 AI ⁴ I		How close are you to MSD lines?
10 AM		How close are you to MSD lines?
	, ,	Aiken Road?
22 AM		Aiken Kodu:
		MSD go with it?
53 AM		
		PR just wrapped up a rate case?
17 AM		
		Attained a line of credit. When to you plan to pay it off?
51 AM		
		Are you actively engaged in making the decions?
08 AM		
	Note: Fields, Angela	How did you become involved in the business?
	10 AM 00 AM 10 AM 03 AM 03 AM 13 AM 54 AM 31 AM 30 AM 14 AM	Note: Fields, Angela10 AMAtty Chandler cross Lawren Just Note: Fields, Angela20 AMAtty Chandler cross Lawren Just Note: Fields, Angela40 AMAtty Chandler cross Lawren Just Note: Fields, Angela21 AMAtty Chandler cross Lawren Just Note: Fields, Angela22 AMAtty Chandler cross Lawren Just Note: Fields, Angela23 AMAtty Chandler cross Lawren Just Note: Fields, Angela24 AMAtty Chandler cross Lawren Just Note: Fields, Angela25 AMAtty Chandler cross Lawren Just Note: Fields, Angela26 AMAtty Chandler cross Lawren Just Note: Fields, Angela27 AMAtty Chandler cross Lawren Just Note: Fields, Angela28 AMAtty Chandler cross Lawren Just Note: Fields, Angela29 AMAtty Chandler cross Lawren Just Note: Fields, Angela20 AMAtty Chandler cross Lawren Just Note: Fields, Angela21 AMAtty Chandler cross Lawren Just Note: Fields, Angela25 AMAtty Chandler cross Lawren Just Note: Fields, Angela26 AMAtty Chandler cross Lawren Just Note: Fields, Angela27 AMAtty Chandler cross Lawren Just Note: Fields, Angela28 AMAtty Chandler cross Lawren Just Note: Fields, Angela29 AMAtty Chandler cross Lawren Just Note: Fields, Angela20 AMAtty Pinney cross Lawren Just Note: Fields, Angela21 AMAtty Pinney cross Lawren Just Note: Fields, Angela22 AMAtty Pinney cross Lawren Just Note: Fields, Angela23 AMAtty Pinney cross Lawren Just Note: Fields,

11:18:48 AM	VC Cicerocross Lawren Just Note: Fields, Angela	Losing steadily?
11:21:03 AM	Atty Chandler cross Lawren Just	
	Note: Fields, Angela	Another operator that works on the system
11:22:11 AM	Atty Chandler cross Lawren Just	
44.00.00.444	Note: Fields, Angela	One of the three partime employees
11:23:08 AM	Atty Chandler cross Lawren Just	
11 22 24 444	Note: Fields, Angela	Development, is it complete?
11:23:34 AM	Atty Chandler cross Lawren Just	
11.24.24 AM	Note: Fields, Angela	Additional customers can be added but there is no build-out?
11:24:34 AM	Atty Chandler cross Lawren Just	lless means additional events are descent along to add to the events of 2
11.25.21 444	Note: Fields, Angela	How many additional customers do you plan to add to the system?
11:25:21 AM	Atty Chandler cross Lawren Just	Entry AC Eshibit 4
11.25.51 444	Note: Fields, Angela	Enter AG Exhibit 4
11:25:51 AM	Atty Eckert direct Larry Smither	Duclinging a superfigure
11.26.20 AM	Note: Fields, Angela	Preliminary questions.
11:26:39 AM	Atty Eckert direct Larry Smither	What utilities are you have for?
11:27:05 AM	Note: Fields, Angela	What utilities are you here for?
11:27:05 AM	Atty Eckert direct Larry Smither	Are you a colo member?
11:27:31 AM	Note: Fields, Angela Atty Eckert direct Larry Smither	Are you a sole member?
11:27:51 AM	Note: Fields, Angela	here to seek approval from the PSC to transfer assets?
11:28:03 AM	Atty Chandler cross Larry Smither	
11.20.05 AM	Note: Fields, Angela	You heard the other testimony?
11:28:46 AM	Atty Chandler cross Larry Smither	•
11.20.40 AM	Note: Fields, Angela	What are the biggest concerns facing the system?
11:29:34 AM	Atty Chandler cross Larry Smither	
11.29.917.11	Note: Fields, Angela	There are no concerns with the treatment plant?
11:31:10 AM	Atty Chandler cross Larry Smither	•
11.011107.11	Note: Fields, Angela	Handed out AG Exhibit 5
11:31:39 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Address earlier
11:32:06 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	What is the answer provided?
11:32:25 AM	Atty Chandler cross Larry Smither	•
	Note: Fields, Angela	The cpa prepares the annual report
11:32:44 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Does it compile the annual report?
11:33:00 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	General Information 2-3. Person to be contacted?
11:33:36 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Brockly incop. in 2004
11:33:50 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Brocklyn same as age as the entity Brocklyn LLC?
11:34:18 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	You operate the system, correct?
11:34:43 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	How often are you onsite for Brocklyn
11:35:05 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Mr. Turner is there as required by regulation?
11:35:24 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Terms of the contract with Turner?

11:35:46 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Summary of Utility Plant pg. 4. Plant in service classified. Aware of
44.07.06.444		any significant investments that would affect these numbers?
11:37:26 AM	Atty Chandler cross Larry Smither	Dr. 27. Crusse Organitisms and Maintenance Francesco
11:38:36 AM	Note: Fields, Angela	Pg 27, Sewer Operations and Maintenance Expenses.
11:30:30 AM	Atty Chandler cross Larry Smither Note: Fields, Angela	Do you know which account includes Mr. Turner's contract?
11:39:11 AM	Atty Chandler cross Larry Smither	Do you know which account includes Mr. Turner's contract:
11.55.11 AM	Note: Fields, Angela	You and Mr. takes salary per year for 2018?
11:40:40 AM	VC Cicero cross Larry Smither	
11.10.107.11	Note: Fields, Angela	Prepared by your CPA, who obtained info from the bookkeeper. Must
		be a disconnect.
11:41:29 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	If the difference is the amount you pay an operator goes away?
11:42:30 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Do you have the knowledge to tell me if this amount is accurate?
11:42:57 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	You do not receive \$6,200 as a fee?
11:43:33 AM	Atty Chandler cross Larry Smither	
11 11 20 111	Note: Fields, Angela	Are you included as a partime empoyee for Brocklyn?
11:44:38 AM	VC Cicero cross Larry Smither	Described attinuists what the complete and that are to be must available
11.45.02 AM	Note: Fields, Angela	Does that stipulate what the services are that are to be preformed?
11:45:03 AM	Atty Chandler cross Larry Smither Note: Fields, Angela	Pg 27, reference pg. 9. Sewer and Operations expense.
11:46:07 AM	Atty Chandler cross Larry Smither	rg 27, felerence pg. 9. Sewer and Operations expense.
11.40.07 AM	Note: Fields, Angela	PHDR Is there any way you can provide after the hearing the
	Note: Helds, Angeld	amount in 2018 paid to Turner for operations and Covered Bridge
		for maintenance?
11:47:01 AM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	PHDR - Identify by line who is earning what? What does the
		\$16,000 represent?
11:47:45 AM	Atty Yunker	
11.40.52 414	Note: Fields, Angela	Clarification for PHDR?
11:49:52 AM	Atty Chandler cross Larry Smither	Deep Procklyn have a concern with systematic navy hills timely?
11:50:32 AM	Note: Fields, Angela Atty Chandler cross Larry Smither	Does Brocklyn have a concern with customers pay bills timely?
11.50.52 AM	Note: Fields, Angela	What is the biggest issue for Brocklyn?
11:51:31 AM	Atty Chandler cross Larry Smither	What is the biggest issue for blocklyn:
11.01.01,"	Note: Fields, Angela	Cleaning out the lagoon, what does that consist of?
11:52:04 AM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Moved to enter AG exhibit 5
11:52:45 AM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	When did you and your partners aquire the system?
11:53:28 AM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Active sewer system around?
11:53:49 AM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Since you aquired the system have you had a rate case?
11:54:52 AM	Atty Pinney cross Larry Smithers	
11.55.31 444	Note: Fields, Angela	Fair to say the rates are not covering the expenses at this time?
11:55:31 AM	VC Cicero cross Larry Smithers	DUDD. Swore the report is accurate. I would like the numbers
	Note: Fields, Angela	PHDR. Swore the report is accurate. I would like the numbers validated.

11:56:39 AM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	\$610 of plant value. Given the age, why no additional investments?
		End of its useful life. Give us an update.
11:58:07 AM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	What is the interior pipes made of?
11:59:05 AM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	Is the plant operational and able to be passed on?
11:59:34 AM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	If not approached you would have continued on?
12:00:05 PM	Atty Chandler cross Larry Smithe	rs
	Note: Fields, Angela	No capital investments in the near future?
12:00:34 PM	Atty Chandler cross Larry Smithe	rs
	Note: Fields, Angela	Purchased Brocklyn in mid-2000s. 35 years old?
12:01:21 PM	Atty Chandler cross Larry Smithe	rs
	Note: Fields, Angela	Invested significant capital in Brocklyn
12:01:50 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Interest in the other sewer utilities other that the ones discussed
		today?
12:02:24 PM	Atty Chandler cross Larry Smithe	
	Note: Fields, Angela	Handed out Exhibit 6 (Fox Run Annual Report)
12:03:30 PM	Atty Chandler cross Larry Smithe	
	Note: Fields, Angela	Pg 3. Service Report. Agree the financial statement were prepared
12.04.20.004		by a CPA.
12:04:29 PM	Atty Chandler cross Larry Smithe	
12-04-5C DM	Note: Fields, Angela	They CPA did not perform an audit?
12:04:56 PM	Atty Chandler cross Larry Smithe	
12.05.24 DM	Note: Fields, Angela	Pg 6. General Info - Person to Contact?
12:05:24 PM	Atty Chandler cross Larry Smithe	
12.0E.40 DM	Note: Fields, Angela	Would you agree that the under
12:05:49 PM	Atty Chandler cross Larry Smithe	
12:06:11 PM	Note: Fields, Angela	Is Fox Run 12 years old?
12:00:11 PM	Atty Chandler cross Larry Smithe Note: Fields, Angela	
12:06:26 PM	Atty Chandler cross Larry Smithe	Not when the sewer utility began to operate?
12.00.20 FM	Note: Fields, Angela	Appoximately 40 years old?
12:06:46 PM	Atty Chandler cross Larry Smithe	
12.00.40 FM	Note: Fields, Angela	Fox Run is in Shelby Co?
12:07:07 PM	Atty Chandler cross Larry Smithe	•
12.07.07 111	Note: Fields, Angela	Who is the cerified operator for Fox Run?
12:07:36 PM	Atty Chandler cross Larry Smithe	•
12.07.30111	Note: Fields, Angela	Is the setup similar to Brocklyn for the operator?
12:09:03 PM	Atty Chandler cross Larry Smithe	
12.05.05 111	Note: Fields, Angela	Principle Officers. reference pg 1. Who are the principle officers of
	Note: Helds, Aligeia	Fox Run?
12:10:12 PM	Atty Chandler cross Larry Smithe	
	Note: Fields, Angela	Salary or fee for members?
12:10:32 PM	Atty Chandler cross Larry Smithe	
	Note: Fields, Angela	Pg 4, Summary of Untility, how long fully depreciated?
12:11:15 PM	Atty Chandler cross Larry Smithe	
	Note: Fields, Angela	Do either utilities have debt? Brocklyn and FoxRun
12:11:39 PM	Atty Chandler cross Larry Smithe	
	Note: Fields, Angela	Sewer and Operations reference pg. 9.
12:13:08 PM	Atty Chandler cross Larry Smithe	
	Note: Fields, Angela	Fox Run is paying Mr Gray to operated the system?

12:13:48 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Any labor expenses other that the collections labor expenses.
12:14:33 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Total that fox run appears to be charging for contract for Mr. Gray is \$
12:16:01 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	In data request, tank damage at fox run been repaired?
12:16:53 PM	Atty Chandler cross Larry Smither	rS
	Note: Fields, Angela	AG request #12.
12:17:28 PM	Atty Chandler cross Larry Smither	ſS
	Note: Fields, Angela	2nd pg, Mr. Thomas give narratvie about Fox Run. Are you aware of the damage of the tank that is referred to?
12:18:41 PM	Atty Chandler cross Larry Smither	rs
	Note: Fields, Angela	Do you know what it would cost to repair that tank?
12:19:16 PM	Atty Chandler cross Larry Smither	rs
	Note: Fields, Angela	Why has Fox Run not made that investment?
12:19:49 PM	Atty Chandler cross Larry Smither	ſS
	Note: Fields, Angela	Lift station is a significant component to the treatment plant?
12:20:20 PM	Atty Chandler cross Larry Smither	ſS
	Note: Fields, Angela	Full replacement would cost \$25,000?
12:20:49 PM	Atty Chandler cross Larry Smither	ſS
	Note: Fields, Angela	Were you going out getting bids were you doing it on behalf of fox
12:21:46 PM	Atty Chandler cross Larry Smither	run or covered bridge?
12.21.40 PM	Atty Chandler cross Larry Smither Note: Fields, Angela	Issue with customers paying your bills in the Fox Run System?
12:22:11 PM	Atty Chandler cross Larry Smither	
12.22.11 FM	Note: Fields, Angela	Do you contract with a 3rd party?
12:22:53 PM	Atty Chandler cross Larry Smither	, , ,
12.22.33111	Note: Fields, Angela	How is sewage getting into the tank?
12:23:51 PM	Atty Chandler cross Larry Smither	
12120101111	Note: Fields, Angela	Other than the lift station, what is the biggest issue for Fox Run?
12:24:22 PM	Atty Chandler cross Larry Smither	,
	Note: Fields, Angela	Have you invested any significant capital in Fox Run?
12:25:14 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	How is the book value zero on the blower?
12:25:52 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Does zero dollar book value, if closing was next week?
12:26:20 PM	Atty Chandler cross Larry Smither	rS
	Note: Fields, Angela	Intoduce AG Exhibt 6
12:26:36 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Previous name of the utility?
12:27:03 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	1998 Annual Report of Downstream. Net value as zero?
12:27:38 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	The utility does not have the money to replace the broken pump?
12:28:29 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Do they forego those repairs or replacements?
12:29:07 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Since you aquired Fox Run has you sought a rate increase?
12:29:33 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Believe current rates are sufficent?
12:30:08 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Purchase price of Downstream?

12:30:54 PM	Atty Pinney cross Larry Smithers Note: Fields, Angela	Why did you have all those sower utilities over time?
12,22,10 DM	· •	Why did you buy all these sewer utilities over time?
12:33:10 PM	Atty Pinney cross Larry Smithers Note: Fields, Angela	If approved you are out of the ownership business?
12:33:50 PM	VC Cicero cross Larry Smithers	If approved you are out of the ownership busiless:
12.33.30 PM	Note: Fields, Angela	Seek a buyer or approached for Fox Run?
12:34:16 PM	· -	Seek a buyer of approached for Fox kull?
12:54:10 PM	VC Cicero cross Larry Smithers Note: Fields, Angela	Any violations for the leaking sewage?
12:34:46 PM	VC Cicero cross Larry Smithers	Any violations for the leaking sewage?
12.34.40 PM	Note: Fields, Angela	Nothing is going to be done in regards to repairs? Going to be
	Note. Fields, Aligeia	repaired by Bluegrass?
12:35:44 PM	Atty Eckert redirect Larry Smithe	
12100111111	Note: Fields, Angela	Financial statement examained by the cpa?
12:37:05 PM	VC Cicero	
	Note: Fields, Angela	Next will be Lake Columbia.
12:37:30 PM	Private Mode Activated	
12:37:30 PM	Private Recording Activated	
12:37:32 PM	Session Paused	
1:36:42 PM	Session Resumed	
1:36:56 PM	VC Cicero	
1.00100111	Note: Fields, Angela	Done or pass on to AG?
1:37:10 PM	Atty Chandler cross Larry Smither	•
	Note: Fields, Angela	Handed out AG Exhibits 7 & 8.
1:39:44 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	2016, pg 3 regarding services would also apply to Lake Columbia?
1:40:19 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Pg 6, General Information 2-3.
1:42:17 PM	Atty Chandler cross Larry Smither	rs
	Note: Fields, Angela	2016 - General infomation 4. Inc. service was provided by assests
		after that date.
1:43:29 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Clay or PVC?
1:44:01 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Principle Officers. Listed as President and Vice President accurate?
1:45:05 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Provides a salary or fee. Did you receive \$3,600 this year from Lake
1.45.52 DM	Atty Chandler cross Larry Smither	Columbia
1:45:52 PM	Atty Chandler cross Larry Smither Note: Fields, Angela	Pg. 4. Plant Service and Deprication.
1:46:34 PM	Atty Chandler cross Larry Smither	÷ .
1.10.34 FM	Note: Fields, Angela	Sewer Operations and maintanece expenses pg. 9.
1:48:10 PM	Atty Chandler cross Larry Smither	
1.10.10111	Note: Fields, Angela	Who is the certified operator?
1:48:30 PM	Atty Chandler cross Larry Smither	·
1110100111	Note: Fields, Angela	Sewer O&M expenses. Covered Bridge costs for O&M for Lake
		Columbia. Contract costs located?
1:49:08 PM	Atty Chandler cross Larry Smither	7S
	Note: Fields, Angela	PHDR - confirm that is where the contract costs for O&M are.
1:49:55 PM	Atty Chandler cross Larry Smither	'S
	Note: Fields, Angela	Statement of income for the year. Negative \$20,000?
1:50:36 PM	Atty Chandler cross Larry Smither	rs
	Note: Fields, Angela	Summary of Utility Plant reference pg. 4. Original plant value.
1:51:49 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	2017 Annual Report. First page, General Information 2-3

1:53:54 PM	Atty Chandler cross Larry Smithers
	Note: Fields, Angela No employees, and no designation of President and Vice President.
1:54:32 PM	Atty Chandler cross Larry Smithers
	Note: Fields, Angela Summary of Utility reference pg. 4. Agree net utility plant and are the same?
1:55:16 PM	Atty Chandler cross Larry Smithers
100110111	Note: Fields, Angela No notes payable for either one?
1:55:57 PM	Atty Chandler cross Larry Smithers
100107 111	Note: Fields, Angela Property not encomubered with any debts?
1:56:49 PM	Atty Chandler cross Larry Smithers
1.50.15111	Note: Fields, Angela Statement of income for the year, reference 8. Net operation
	income is \$4,200 different.
1:59:19 PM	Atty Chandler cross Larry Smithers
	Note: Fields, Angela Less of a loss if management fees were not paid out to you?
2:00:10 PM	Atty Chandler cross Larry Smithers
	Note: Fields, Angela 2016 and 2017 Annual Reports.
2:01:00 PM	Atty Chandler cross Larry Smithers
	Note: Fields, Angela Did you receive any dispurments for 2018?
2:01:22 PM	Atty Chandler cross Larry Smithers
	Note: Fields, Angela You would know if you received a dividend correct?
2:02:40 PM	Atty Chandler cross Larry Smithers
2102110111	Note: Fields, Angela Any investments in Lake Columbia since 2018?
2:02:56 PM	Atty Chandler cross Larry Smithers
2102100111	Note: Fields, Angela Does lake columbia has issues with customers paying bills.
2:04:20 PM	Atty Chandler cross Larry Smithers
2.0 1.20 111	Note: Fields, Angela is it a per customer amount or set amount per month?
2:04:51 PM	Atty Chandler cross Larry Smithers
2.04.31111	Note: Fields, Angela Is Louisville the water provider there?
2:05:11 PM	Atty Chandler cross Larry Smithers
2.03.11111	Note: Fields, Angela Biggest issue or Lake Columbia?
2:05:44 PM	Atty Chandler cross Larry Smithers
2.05.11111	Note: Fields, Angela How many events per year?
2:06:07 PM	Atty Chandler cross Larry Smithers
2.00.07 114	Note: Fields, Angela And what about the issues for the treatment plant?
2:06:35 PM	Atty Chandler cross Larry Smithers
2.00.35114	Note: Fields, Angela Why have they not been patched?
2:07:42 PM	VC Cicero cross Larry Smithers
2.07.42114	Note: Fields, Angela None of that is capitalized?
2:07:57 PM	Atty Chandler cross Larry Smithers
2.07.37 114	Note: Fields, Angela When did the replacement occure?
2:08:23 PM	Atty Chandler cross Larry Smithers
2.00.25 FM	Note: Fields, Angela Lift station at Fox Run. How many tanks?
2:09:04 PM	Atty Chandler cross Larry Smithers
2.09.04 FM	Note: Fields, Angela How many buildings and lift stations and plants are part of Brocklyn?
2:09:53 PM	Atty Chandler cross Larry Smithers
2.05.55114	Note: Fields, Angela How many buildings for Fox Run?
2:10:27 PM	Atty Chandler cross Larry Smithers
2.10.27 FM	Note: Fields, Angela Any other remote lift stations?
2:10:47 PM	Atty Chandler cross Larry Smithers
2.10.7/ 11	Note: Fields, Angela Lake Columbia treatment plant is above ground?
2:11:21 PM	Atty Chandler cross Larry Smithers
2.11.21 11	Note: Fields, Angela That is the one that is basis for NOB?
2:11:52 PM	VC Cicero cross Larry Smithers
2.11.32 611	Note: Fields, Angela Other holes in the treatment plant that have not been repaired?
	notes inclus, migela other notes in the treatment plant that have not been repailed!

2:12:46 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Sewer system near by Lake Columbia?
2:13:27 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Not looked into hooking up to close system?
2:13:56 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Who is responsible for adminstrative compliance?
2:16:03 PM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	Given the fact there is so many financial questions?
2:16:27 PM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	It is the same CPA that does all the reports?
2:18:03 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Do you know if it is possible that those fees could be recorded as an
		account payable?
2:18:50 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Does insurance cover acts of falling trees?
2:20:05 PM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	Did you seek or were you approached by a buyer?
2:20:37 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Intro AG Exhibit 7 and 8.
2:21:11 PM	Atty Pinney cross Larry Smithers	
	Note: Fields, Angela	Purchase price in application are the exact same numbers. Offers?
2:22:09 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Airview. AG Exhibit 9.
2:23:36 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Copy of notice filed by Mr. Moore. AG Exhibit 10.
2:24:28 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Cover letter followed by pleading by Airview, case no. 2016-00207
2:25:01 PM	Atty Chandler cross Larry Smither	
2 26 50 514	Note: Fields, Angela	Pg 2 of the pleading. Paragraph 2.
2:26:50 PM	Atty Chandler cross Larry Smither	
	Note: Fields, Angela	Annual Report, pg 3. Agree answers for other utilities is the same
2.27.40 DM	Att Chandles areas Laws Crather	for this utility?
2:27:48 PM	Atty Chandler cross Larry Smither	
2,20,16 DM	Note: Fields, Angela	Airview incorporated in 2005?
2:28:16 PM	Atty Chandler cross Larry Smither	
2.20.25 DM	Note: Fields, Angela	How long have you been involed in Airview?
2:28:35 PM	Atty Chandler cross Larry Smither	
2:29:37 PM	Note: Fields, Angela	Principle Officers page. Two amounts of \$4,800.
2.29.37 PM	Atty Chandler cross Larry Smither	
2:30:00 PM	Note: Fields, Angela Atty Chandler cross Larry Smither	Agree that net book value is \$450?
2.30.00 PM	Note: Fields, Angela	Consider Airview to be abandoned?
2.20.22 DM		
2:30:33 PM	Atty Chandler cross Larry Smither Note: Fields, Angela	
2:31:16 PM	Atty Chandler cross Larry Smither	Sewer Operation and Maintanence expenses, \$19,000.
2.31.10 FM	Note: Fields, Angela	Assuming Mr. Hogen did not receive \$4,800 from Airview that year?
2:32:22 PM	Atty Chandler cross Larry Smither	
2.32.22 FM	Note: Fields, Angela	Who provides the water for Airview customers?
2:32:43 PM	Atty Chandler cross Larry Smither	•
2.J2.TJ F 11	Note: Fields, Angela	S Do contract with Hardin District 2 for services?
2:33:19 PM	Atty Chandler cross Larry Smither	
2.33.13111	Note: Fields, Angela	Same system in house to bill those customers?
2:34:00 PM	Atty Chandler cross Larry Smither	
2.0 1100 111	Note: Fields, Angela	Do you know how much Linda is billing for each utility?

2:34:52 PM	Atty Chandler cross Larry Smither	ΓS
	Note: Fields, Angela	Notice of Abandonment. Would you agree these are the upgrades needed for the treatment plant?
2:35:55 PM	Atty Chandler cross Larry Smither	rs
	Note: Fields, Angela	Do you agree with Mr. Moore's conclusion?
2:36:32 PM	Atty Chandler cross Larry Smither	rs
	Note: Fields, Angela	Issues with treatment. Significant issues with the collection system?
2:37:58 PM	Atty Chandler cross Larry Smither	'S
	Note: Fields, Angela	Airview has issues with customers paying their bills?
2:39:57 PM	Atty Chandler cross Larry Smither	'S
	Note: Fields, Angela	The Y occurs outside the easement?
2:40:45 PM	VC Cicero - Public comment	
	Note: Fields, Angela	Public commenter. You will need to come up to the microphone to make comment.
2:41:46 PM	Atty Chandler cross Larry Smither	ΓS
	Note: Fields, Angela	Did you ever shut anyone off?
2:42:42 PM	Atty Chandler cross Larry Smither	ΓS
	Note: Fields, Angela	List that Mr. Moore included in his pleading. Did you provide those to him?
2:43:28 PM	Atty Chandler cross Larry Smither	rs
	Note: Fields, Angela	Any indication the costs of all the equipment/repairs needed?
2:44:36 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	Airview had approached you earlier and you initially refused?
2:45:27 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	What convinced you otherwise?
2:46:31 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	What was the state of the plant when you aquired it?
2:46:49 PM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	No evidence of capital improvements.
2:47:33 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	Hooking up with the Elizabethtown sewer system. Give brief
	-	description of why that has not happened?
2:48:27 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	Cost of hooking up to the system?
2:48:54 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	Not an Airview study. Provide copy of the study?
2:49:12 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	Other systems nearby besides Elizabethtown?
2:50:05 PM	Atty Pinney cross Larry Smither	
	Note: Fields, Angela	Linda Wood handles the billing. Is she an employee of Covered Bridge?
2:51:00 PM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	The study was never done?
2:51:35 PM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	Issues now revolve around insuffient cash flow?
2:52:07 PM	VC Cicero cross Larry Smithers	
	Note: Fields, Angela	Rate increase for Airview contingent on capital investments being made?
2:54:16 PM	Atty Yunker redirect Larry Smithe	
	Note: Fields, Angela	Your view that there was 2 vialble options?
2:55:27 PM	Atty Yunker redirect Larry Smithe	•
	Note: Fields, Angela	Mr. Moore still represent you?

2:55:47 PM	Atty Yunker redirect Larry Smith	er
	Note: Fields, Angela	Handed out document. Joint Applicants Exhibit 1
2:56:58 PM	Atty Yunker redirect Larry Smith	er
	Note: Fields, Angela	Paragraph 4. Are you one of those represenatives that has those discussions for Airview?
2:57:43 PM	Atty Yunker redirect Larry Smith	er
	Note: Fields, Angela	Any present hope that E-tow will agree?
2:58:51 PM	Atty Yunker redirect Larry Smith	
	Note: Fields, Angela	Airview will then transfer to Bluegrass Water?
2:59:21 PM	VC Cicero cross Larry Smithers	-
	Note: Fields, Angela	Reason E-town is reluctent Is there a requirement to build a 1-mile
		line?
3:00:36 PM	Public Comment	
	Note: Fields, Angela	Tommy Furlong. Lives right next to the sewer. There is a problem with the lateral line. E-town said it would cost over \$1 M to fix.
3:03:00 PM	Atty Yunker	
	Note: Fields, Angela	Enter AG 9 and 10, and Joint Applicants Exhibit 1
3:04:03 PM	Atty Yunker direct Josiah Cox	
	Note: Fields, Angela	Preliminary questions
3:04:23 PM	Atty Yunker direct Josiah Cox	
	Note: Fields, Angela	Who are you here for?
3:04:52 PM	Atty Yunker direct Josiah Cox	
	Note: Fields, Angela	Use another name for the utility?
3:05:09 PM	Atty Yunker direct Josiah Cox	
	Note: Fields, Angela	Has Bluegrass applied for approval to aquire and to control and the obligation to provide water service?
3:05:44 PM	Atty Yunker direct Josiah Cox	
	Note: Fields, Angela	Listed as a witness for various responses to data requests?
3:06:09 PM	Atty Yunker direct Josiah Cox	
	Note: Fields, Angela	Handing document to witness. Responses to data requests.
3:07:08 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Refer to AG exhibit 10.
3:08:00 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Handing witness AG exhibit 10.
3:08:18 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Pg 1 of the pleading. Paragraph 2. Reason to believe the first sentence is incorrect?
3:09:01 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	The factual conclusion that follows, does Bluegrass know if that conclusion is correct or not?
3:10:05 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Agree that issues with collections have to be dealt with?
3:10:39 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	If it needs to be rebuilt, is that more expensive as opposed to rehab the system?
3:11:53 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	If rehab or repair is twice as expensive, would blugrass committ to connecting to another system?
3:12:48 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Service is an objective term?
3:13:52 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Joint Applicant response to staff, 1-5. What was your response?
3:15:16 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Explain your response?

3:16:36 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Risks that are more generalized that other utilities face?
3:17:45 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Not a market in which to aquire the rate?
3:18:56 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Application pg. 16. Paragraph 51.
3:20:46 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Do you have any idea what the cost will be?
3:21:26 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Do you currently have an idea what that service will cost?
3:22:06 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Per customer per mile?
3:22:35 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Do you know which of those is the case? Established or have that ability?
3:23:23 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Assume PSC approval. The firm will have in place an online billing system?
3:23:56 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Remote customer service representatives?
3:24:29 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Physical office. How many have a physical office location?
3:25:17 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Handing out documents. Already in the record. JA exhibit C to the application.
3:26:02 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Anyway affilicated with those listed on this page?
3:26:35 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Explain the economy to scale that is anticipated?
3:28:05 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Would that be for chemicals?
3:28:23 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Visited the different systems that you will be aquiring?
3:29:00 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	What is your understanding about entities in KY that provide this
		sort of contract service?
3:29:56 PM	VC Cicero	
	Note: Fields, Angela	10 min. break
3:30:08 PM	Private Mode Activated	
3:30:08 PM	Private Recording Activated	
3:30:11 PM	Session Paused	
3:42:03 PM	Session Resumed	
3:42:16 PM	Private Mode Activated	
3:42:16 PM	Private Recording Activated	
4:12:16 PM	Normal Mode Activated	
4:12:16 PM	Public Recording Activated	
4:12:19 PM	Session Paused	
4:12:24 PM	Session Resumed	
4:12:45 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Have someone who will oversee them the individual operations and management?
4:13:35 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Where will the individual who oversee the operators be located ?

4:14:02 PM	Atty Chandler cross Josiah Cox	The will not be located in KY correct?
4:15:10 PM	Note: Fields, Angela Atty Chandler cross Josiah Cox	The will not be located in KY correct?
4.15.10 PM		Da 22 Daragraph 70
4:16:08 PM	Note: Fields, Angela Atty Chandler cross Josiah Cox	Pg. 23. Paragraph 79.
4.10.00 PM	•	You provided your verification on babalf of Pluggrace?
4:16:25 PM	Note: Fields, Angela	You provided your verification on behalf of Bluegrass?
4.10.25 PM	Atty Chandler cross Josiah Cox Note: Fields, Angela	In confidential we discussed L&N, what they are and what they are
	Note. Tielus, Aligeia	not.
4:17:34 PM	Atty Chandler cross Josiah Cox	
1.17.51111	Note: Fields, Angela	Water UOC has no employees?
4:17:47 PM	Atty Chandler cross Josiah Cox	
1.1/.1/ 111	Note: Fields, Angela	Central State Water has the financial integrity to ensure the
	Note: Fields, Angela	continuity of service because you are who you are?
4:18:42 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	Something required from you in other states?
4:19:12 PM	Atty Chandler cross Josiah Cox	
	Note: Fields, Angela	You would agree that Bluegrass UOC has no way ability to provide
	, 5	wastewater service without CSWR?
4:19:56 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	Is equity funding contingent?
4:20:28 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	Reasonable ROE would be for small wastewater?
4:21:00 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	Funding regardless of the ROE?
4:21:39 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	PSC has historically used operating ratio method. Are you familiar
		with that method?
4:22:34 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	If rates are not set by Operating Ratio method. Does Bluegrass plan
		to file future rate cases based on the operating ratio method?
4:23:31 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	What the potential cost of hiring an expert?
4:25:16 PM	Atty Pinney cross Josiah Cox	
4 25 26 514	Note: Fields, Angela	Will there be contractors or employees?
4:25:36 PM	Atty Pinney cross Josiah Cox	
4-26-26 DM	Note: Fields, Angela	Anticipated timeline to finish the improvements?
4:26:26 PM	Atty Pinney cross Josiah Cox	Marchall Ca. Action in Franklin Circuit, Tomporary resolver?
4.07.07 014	Note: Fields, Angela	Marshall Co. Action in Franklin Circuit. Temporary receiver?
4:27:37 PM	Atty Pinney cross Josiah Cox	t? O million to bring back up to reliable convice?
4:28:19 PM	Note: Fields, Angela Atty Pinney cross Josiah Cox	\$2.9 million to bring back up to reliable service?
4.20.19 PM	Note: Fields, Angela	Any analysis on rate impact on the customers?
4:29:13 PM	Atty Pinney cross Josiah Cox	Any analysis of rate impact of the customers:
4.29.13 FM	Note: Fields, Angela	Unified rate?
4:29:41 PM	Atty Pinney cross Josiah Cox	
4.29.41111	Note: Fields, Angela	In meantime, will you seek rate increases for the individual systesm?
	Note: Fields, Aligeid	In meanance, will you seek rule increases for the individual systesin:
4:30:32 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	How would that be fair to customers of PR to have to pay for the
	,	costs of Airview?
4:32:11 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	Agreements with other utilities. Are those also sewer and/or water
		utilities?

4:32:39 PM	Atty Pinney cross Josiah Cox	
	Note: Fields, Angela	Used the same critera to identify the utilities similar to those in this
		application?
4:33:45 PM	Atty Yunker direct Todd Thomas	
	Note: Fields, Angela	Preliminary questions
4:35:37 PM	Atty Yunker direct Todd Thomas	
	Note: Fields, Angela	Are you listed as witness responses to data requests?
4:36:04 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	What is your educational background?
4:36:26 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Responses to Staff data requests. Staff 1-i.
4:39:01 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Initial analysis is focused on rebuild/rehad, while other analysis is to
		attach to a regional system?
4:40:00 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Is that the case or is it a "will complete the analysis post-closing"?
4:40:34 PM	VC Cicero cross Todd Thomas	
	Note: Fields, Angela	Nearest pipeline make a difference?
4:42:21 PM	VC Cicero cross Todd Thomas	
	Note: Fields, Angela	Any analysis done before this is completed or not?
4:43:08 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Bluegrass has painted a certain picture, provided in the application,
=		2 months later alternative investment has yet to be completed?
4:45:03 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Data request tab 10. Do you have an update if PE has received
4.46.22 DM		reciprocity?
4:46:32 PM	Atty Chandler cross Todd Thomas	
4.47.12 DM	Note: Fields, Angela	PE has reciprocity now?
4:47:12 PM	Atty Chandler cross Todd Thomas	
4.40.04 DM	Note: Fields, Angela	Bluegrass will not have any power over the aquired utilities' books?
4:48:04 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Believe it is the case that the purchase price do reflect the depreciated book value ?
4:49:50 PM	Atty Chandler cross Todd Thomas	•
T.T.J. JU PIN	Note: Fields, Angela	If these are the net book values of 2018, who should I ask if that
	Note: Tields, Aligeia	should/could be reversed ?
4:50:50 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Disconnect between the engineering memos.
4:54:55 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	PSC response to 21
4:56:51 PM	Atty Chandler cross Todd Thomas	•
	Note: Fields, Angela	That is not what the response states.
4:57:44 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Do you have an agreement in place for someone to operate it?
4:58:29 PM	Atty Chandler cross Todd Thomas	, , , ,
	Note: Fields, Angela	Refer to AG 1-10 response to data request.
5:00:18 PM	Atty Chandler cross Todd Thomas	
	Note: Fields, Angela	Except for Marshall Co. Enviro. and Lake Columbia, does that
		represents 2017 or 2018 Annual Reports.
5:01:30 PM	Atty Pinney cross Todd Thomas	· ·
	Note: Fields, Angela	Hookup alternative, that is at the discretion of at the sanitary sewer
	. 2	connect, correct?
5:02:27 PM	Atty Eckert direct Phil Macias	
	Note: Fields, Angela	Preliminary questions

5:04:07 PM	Atty Eckert direct Phil Macias Note: Fields, Angela	You verified serveral response to data requests?
5:04:23 PM	Atty Chandler cross Phill Macias	
5.01.25111	Note: Fields, Angela	The utilities to be aquirred may not have properly accounted for operating costs.
5:05:28 PM	Atty Chandler cross Phill Macias	
	Note: Fields, Angela	Hypothetical, if some of those costs were incorrectly expensed
5:08:06 PM	Atty Chandler cross Phill Macias	
	Note: Fields, Angela	Purchased price or net value of those utility asset?
5:08:36 PM	Atty Chandler cross Phill Macias	
	Note: Fields, Angela	Is that if the purchase price is in excess of the net book value or generally an opportunity?
5:09:01 PM	Atty Chandler cross Phill Macias	
	Note: Fields, Angela	Amount Bluegrass Water pays is within Bluegrass Water's power?
5:09:42 PM	Atty Chandler cross Phill Macias	
	Note: Fields, Angela	Refer to AG 1-2.
5:10:34 PM	Atty Chandler cross Phill Macias	
E-14-20 DM	Note: Fields, Angela	Is that for the holding company or the operating company.
5:14:39 PM	Atty Chandler cross Phill Macias	For easts that should have been conitalized in but were evened in
	Note: Fields, Angela	For costs that should have been capitalized in but were expensed in 2019, you could include that in additional plant service?
5:17:39 PM	Atty Chandler cross Phill Macias	2019, you could include that in additional plant schwee:
5.17.55 111	Note: Fields, Angela	Proposal be approved, would bluegrass
5:18:17 PM	Atty Chandler cross Phill Macias	
	Note: Fields, Angela	after the close there will be these accounting entries.
5:19:15 PM	Atty Chandler cross Phill Macias	j
	Note: Fields, Angela	would you agree aquisition amount should be separatly accounted for?
5:20:59 PM	VC Cicero cross Phil Macias	
	Note: Fields, Angela	Does Bluegrass officials have and equity stake in CSWR?
5:21:20 PM	VC Cicero cross Phil Macias	
	Note: Fields, Angela	Has each utility have a detailed ledger?
5:22:18 PM	VC	
	Note: Fields, Angela	Do think Bluegrass has the ability to take on these assets?
5:22:40 PM	Private Mode Activated	
5:22:40 PM	Private Recording Activated	
5:31:28 PM	Normal Mode Activated	
5:31:28 PM	Public Recording Activated	
5:31:42 PM	Atty Yunker direct Mike Duncan	Droliminan (questions
5:32:57 PM	Note: Fields, Angela Atty Yunker direct Mike Duncan	Preliminary questions
J.JZ.J/ FM	Note: Fields, Angela	Give a brief summary of education and experience
5:33:47 PM	Atty Yunker direct Mike Duncan	Give a brief summary of coucation and experience
5.55.17 111	Note: Fields, Angela	Have you verified on behalf of Bluegrass Water to responses to data
		requests?
5:34:20 PM	Atty Chandler cross Mike Duncan	·
	Note: Fields, Angela	Pg 17 of application, paragraph 52. Allocation of costs.
5:35:29 PM	Atty Chandler cross Mike Duncan	
	Note: Fields, Angela	Missouri set one out for you or the Commission did?
5:36:10 PM	Atty Chandler cross Mike Duncan	
	Note: Fields, Angela	You do not have a formal manual for KY?
5:36:36 PM	Atty Chandler cross Mike Duncan	
	Note: Fields, Angela	Responses to the AG, tab 8.

5:39:43 PM	Atty Chandler cross Mike Duncan	
	Note: Fields, Angela	Your expectation the cost for the engineering studies would be capitalized?
5:40:19 PM	Atty Chandler cross Mike Duncan	
	Note: Fields, Angela	The engineering studies in the record now, are the costs incurred for those going to be capitalized?
5:41:14 PM	Atty Pinney cross Mike Duncan	
	Note: Fields, Angela	PSC response 1-7. Have you seen the benefit of economies of scale in either Missouri or Arkansas?
5:43:49 PM	VC Cicero	
	Note: Fields, Angela	Discussion of post-hearing deadlines.
5:48:35 PM	Atty Raff	
5:48:57 PM	Camera Lock Comm Staff Activate	ed
5:49:13 PM	Camera Lock Deactivated	
5:51:15 PM	VC	
	Note: Fields, Angela	July 9, July 23, Aug. 15, everything has to be wrapped by Aug. 1st. AG brief on the 24th. list of commitments by 11th, JA responds to commitments by July 23rd.?
5:54:30 PM	VC Cicero	
	Note: Fields, Angela	July 9 DR, Commitments submitted 11th, briefs 30th?
5:55:59 PM	Private Mode Activated	
5:55:59 PM	Private Recording Activated	
5:56:02 PM	Session Paused	
5:58:13 PM	Session Ended	

2019-00104 02July2019



Bluegrass Water Utility Operating Company

Name:	Description:
Attorney General Exhibit 01	LH 2018 Annual Report
Attorney General Exhibit 02	Kingswood 2018 Annual Report
Attorney General Exhibit 03	Marshall Co. Environmental Services, LLC 2016 Annual Report
Attorney General Exhibit 04	Wastewater Management, Inc. 2018 Annual Report
Attorney General Exhibit 05	Brocklyn Utilities, LLC 2018 Annual Report
Attorney General Exhibit 06	Fox Run Utilities, LLC 2018 Annual Report
Attorney General Exhibit 07	Lake Columbia Utilities, Inc. 2016 Annual Report
Attorney General Exhibit 08	Lake Columbia Utilities, Inc. 2017 Annual Report
Attorney General Exhibit 09	Airview Utilities, LLC 2018 Annual Report
Attorney General Exhibit 10	Airview Utilities, LLC's Notice of Surrender and Abandonment of Utility Property
Joint Applicants Exhibit 01	Joint Motion to Hold Case in Abeyance (Case No. 2016-00207)

RECEIVED

COMMONWEALTH OF KENTUCKY

JUN 18 2019 PUBLIC SERVICE COMMISSION

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

AIRVIEW UTILITIES, LLC'S NOTICE OF SURRENDER AND ABANDONMENT OF UTILITY PROPERTY

CASE NO. 2016-00207

JOINT MOTION TO HOLD CASE IN ABEYANCE

)

Comes Airview Utilities, LLC ("Airview"), and the City of Elizabethtown, by counsel, and for their Motion to Hold Case in Abeyance, state as follows.

1. In accordance with KRS 278.020(11), on June 8, 2016, Airview provided written notice to the statutorily required parties of its intention to file an application with the Public Service Commission ("Commission") to abandon its utility property and surrender all of its property interests and rights in and to the utility's property.

2. On June 16, 2016, Airview filed with the Commission its Notice of Surrender and Abandonment of Utility Property. By Order entered on July 15, 2016, the Commission accepted the filing of Airview's Notice of Surrender and Abandonment of Utility Property.

3. "The proceedings to date [in this case] include multiple rounds of discovery, a hearing on October 12, 2016, and the filing of post-hearing briefs by Airview and the AG." (See Commission's Order of February 9, 2017, p. 2) The Commission's February 9, 2017 Order required Airview to "continue to operate its utility facilities during the pendency of this investigation" The Commission's February 9, 2017 Order also made

1

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JOINT APPLICANTS EXHIBIT 1 the City of Elizabethtown ("Elizabethtown") a party in this matter. (See Commission's Order of February 9, 2017, Ordering ¶5 at p. 12) By its Response filed on August 16, 2018, Airview has responded to the Commission's Order of July 17, 2018, the most recent Order entered by the Commission in this case.

4. Representatives of Airview have had numerous discussions with representatives of Elizabethtown concerning the transfer of the Airview wastewater treatment plant and collection system to Elizabethtown. To date, Elizabethtown has declined to accept ownership of the Airview wastewater treatment plant and collection system.

5. On April 16, 2019, Bluegrass Water Utility Operating Company, LLC ("Bluegrass") and others, including Airview, filed a Verified Joint Application for Approval of Acquisition and Transfer of Ownership and Control of Assets ("Verified Joint Application"), which Verified Joint Application was assigned PSC Case No. 2019-00104. Among other things, this Verified Joint Application requested the Commission to approve Bluegrass' acquisition and transfer of ownership and control of the assets of a number of utilities that provide wastewater collection and treatment services, including the utility assets of Airview.

6. Discovery has been taken in PSC Case No. 2019-00104, and a hearing concerning the Verified Joint Application is scheduled to take place on July 2, 2019.

7. Should the Commission grant the Verified Joint Application and approve Bluegrass' acquisition and control of the assets of Airview, it is anticipated that Bluegrass will take ownership of Airview's utility assets within 45 days of the entry of the Commission's Order granting the Verified Joint Application. (See Verified Joint

2

Application, Exhibit H, ¶ 5) Upon Bluegrass' acquisition of Airview's assets, the pending Notice of Surrender and Abandonment Case, PSC Case No. 2016-00207 would be rendered moot.

8. For the above-stated reasons, Airview and Elizabethtown request that the above-styled Surrender and Abandonment case (PSC Case No. 2016-00207) be held in abeyance until the Commission issues its ruling on the Verified Joint Application filed by Bluegrass and others (PSC Case No. 2019-00104), and the transfer of Airview's utility assets to Bluegrass is completed, if approved. Thereafter, a motion to dismiss this case would be filed.

Respectfully submitted,

C. Moo du

Robert C. Moore Katie M. Glass STITES & HARBISON PLLC 421 West Main Street P.O. Box 634 Frankfort, KY 40602-0634 Telephone: (502) 223-3477 Email: <u>moore@stites.com</u> COUNSEL FOR AIRVIEW UTILITIES, LLC

Deborah L. Shaw City of Elizabethtown 200 West Dixie Avenue P. O. Box 550 Elizabethtown, KY 42702 Telephone: (270) 765-6121 Email: <u>city.attorney@elizabethtownky.gov</u> COUNSEL FOR CITY OF ELIZABETHTOWN

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing was served by electronic mail, on this 1874 day of June, 2019 upon:

Kent A. Chandler <u>Kent.Chandler@ky.gov</u> Rebecca W. Goodman <u>Rebecca.goodman@ky.gov</u> Assistant Attorneys General 1024 Capital Center Drive, Suite 200 Frankfort, KY 40601-8204

Aut P. Moon

Robert C. Moore

Title P	ad	e
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	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip
Sewer Utilities						
Annual Report of						
Respondent	LH TREATMENT COMPANY LLC	134 PRATER DR		GEORGETOWN	KY	40324

ATTORNEY GENERAL EXHIBIT 1

Principal Payment and Interest Information

	Amount	Yes/No
Amount of Principal Payment During Calendar Year	\$0	0.00
Is Principal Current?		Y
Is Interest Current?		Y

Services Performed by Independent CPA

And the second s	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No	N	
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		
Review		
Please enclose a copy of the accountant's report with annual report.		

Additional Information Required

Case Num	Date	Explain

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

LH TREATMENT COMPANY LLC

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D....
General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	State	Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
orincipal office in KY	LH TREATMENT COMPANY LLC	134 PRATER DR	GEORGETOWN	KY	(502) 619-7855
Name,title, address and telphone number with area code of the person to be contacted concerning this report					
	RICK PULLIAM, MEMI	BER SAME			

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation. KY 2016

General Information 5. (Ref Page: 1)



General Information 6. (Ref Page: 1)

A THE REAL PROPERTY OF	City or Town	Community or Subdivision		County
	GEORGETOWN	LONGVIEW ESTATES	Scott	
		HOMESTEAD	Scott	

General Information 7. (Ref Page: 1)

		Count
Number of Full-time employees	0	
Number of Part-time employees	2	

Principal Officers (Ref Page: 1)

Title	Last Name	First Name	Bus. Address	Salary or Fee	
MEMBER	PULLIAM	WILLIAM	131 SARATOGA , FRANKFORT, KY 40601	\$18,000.00	
MEMBER	HAMMOND	KEVIN	134 PRAATER DR, GEORGETOWN KY 40324	\$24,000.00	
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Balance Sheet - Assets and Other Debts (Ref Page: 2)

	Balance, First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$463,705.00	\$473,671.00
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$253,090.00	\$269,455.00
Net Utility Plant	\$210,615.00	\$204,216.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
less: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property		
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments		
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	\$29,159.00	\$12,992.00
Temporary Cash Investments (132)		
Notes Receivable (141)		
Customer Accounts Receivable (142)		
Other Accounts Receivable (143)		
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		\$5,000.00
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)		
Other Current and Accrued Assets (170)		
Total Current and Accrued Assets	\$29,159.00	\$17,992.00
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)		\$0.00
Total Deferred Debits		\$0.00
TOTAL ASSETS AND OTHER DEBITS	\$239,774.00	\$222,208.00

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr. Ba	lance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)	\$10,000.00	\$10,000.00
Preferred Capital Stock (204)		
Other Paid-In Capital (207)		and the second
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	\$229,774.00	\$212,208.00
Non-Corporate Proprietorship (218)		
Total Equity Capital	\$239,774.00	\$222,208.00
LONG-TERM DEBT		
Bonds (221)		and the second
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)		
Accounts Payable (232)		
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)		
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)		
Total Current and Accrued Liabilities		
DEFERRED CREDITS	· · · · · · · · · · · · · · · · · · ·	
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)		

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$239,774.00	\$222,208.00

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$473,671.00
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$473,671.00
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	
Total Utility Plant (to pg 2 line 4)	\$473,671.00
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$269,455.00
Net Utility Plant (to pg 2 line 7)	\$204,216.00

- 14 4 10040

Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	ltem	Amount
Balance Beginning of Year		\$253,090.00
Accruals for Year:		
Depreciation		\$16,118.00
Amortization		\$247.00
Other Accounts (detail)		
Total Accruals for Year		\$16,365.00
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:		-
Book Cost of Plant Retired (same as pg 5 line 40)		
Add: Cost of Removal		
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		
Balance End of Year		\$269,455.00

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Organization (301)						
Franchises and Consents (302)						
Misc. Intangible Plant (303)			\$3,700.00	\$0.00	\$0.00	\$3,700.00
Total Intangible Plant		Same a star star	\$3,700.00	\$0.00	\$0.00	\$3,700.00
LAND AND STRUCTURES						
Land and Land Rights (310)		\$20,000.00	\$0.00	\$0.00	\$0.00	\$20,000.00
Structures and Improvements (311)			\$1,924.00	\$0.00	\$0.00	\$1,924.00
Total Land and Structures		\$20,000.00	\$1,924.00	\$0.00	\$0.00	\$21,924.00
COLLECTION PLANT					A CONTRACTOR OF	
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)		\$237,709.00	\$4,342.00	\$0.00	\$0.00	\$242,051.00
Other Collection Plant Facilities (353)						
Services to Customers (354)						
Flow Measuring Devices (355)						
Total Collection Plant		\$237,709.00	\$4,342.00	\$0.00	\$0.00	\$242,051.00
PUMPING PLANT						
Receiving Wells and Pump Pits (362)					at the second	
Pumping Equipment - Electric (363A)						
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)						
Total Pumping Plant (364)						
TREATMENT AND DISPOSAL PLANT			a contract proces	Insult radium I		

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)						
Freatment and Disposal Equipment (373)		\$195,921.00	\$0.00	\$0.00	\$0.00	\$195,921.00
Plant Sewers (374)						
Dutfall Sewer Lines (375)						and the second
Other Treatment and Disposal Plant Equip. (376)		\$10,075.00	\$0.00	\$0.00	\$0.00	\$10,075.00
Fotal Treatment and Disposal Plant (371)		\$205,996.00	\$0.00	\$0.00	\$0.00	\$205,996.00
GENERAL PLANT (372)						
Office Furniture and Equipment (391)						
Transportation Equipment (392)						
Stores Equipment (393A)						Charles and a second second second
Tools, Shop and Garage Equipment (393B)						
Laboratory Equipment (393C)						
Power Operated Equipment (393D)	Mar See		1.3. 35 34			
Communication Equipment (393E)						
Other Tangible Property 393F)						
Total General Plant					and the second	
TOTAL SEWER PLANT IN SERVICE		\$463,705.00	\$9,966.00	\$0.00	\$0.00	\$473,671.00

Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par Sta	ated Val Per Share of	Outstanding Shares (e)	Outstanding Amount (f)
		200	\$0.00 0.00	000	200	\$10,000.00
Total		200	\$0.00 0.00	000	200	\$10,000.00

Long-Term Debt (Ref Page: 6)

	Class and Series	Orig. Issue Amt	Date of Issue (b)	Date of Maturity (c)	Outstanding Balance	Interest Rate (e)	Interest Amt (f)
List each Original Issue Amount Class and Series of Obligation							
Total							

Notes Payable (Ref Page: 6)

	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
Include Notes Payable to Associated Companies Under This Heading)					
Total					

Interest Accrued (Ref Page: 6)

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
otal					

Other Current and Accrued Liabilities (Ref Page: 7)

	Description	Amount
Total (must agree with pg 3 Acct 238)		

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Statement of Retained Earnings For the Year (Ref Page: 7)

	Item (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		\$229,774.00	\$239,426.00
Balance Transferred From Income (435)		(\$17,566.00)	\$348.00
Appropriations of Retained Earnings (436)			
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)	the second s		
			(\$10,000.00)
Balance End of Year		\$212,208.00	\$229,774.00

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		277	\$116,857.00
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)			
Total (521)		277	\$116,857.00
Measured Revenues - General Customers: (483)			
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)			
Total (522)			
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)		277	\$116,857.00
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			
Total Operating Revenues			\$116,857.00
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			\$110,116.00
Depreciation Expense (403)			\$16,118.00
Amortization Expense (from pg 10) (404-407)			\$247.00
Taxes Other Than Income taxes (from pg 10) (408.1)			\$7,942.00
Total Income Taxes-Utility Operations (from pg 10)			
Total Sewage Operating Expenses		e te sale a teaul set light" (b)	\$134,423.00
Net Operating Income	appropriate transfer of con-	Part and an and an and a state of the	(\$17,566.00)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
Income from NonUtility Operations (417)			
nterest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)			
Total Other Income			
OTHER DEDUCTIONS			
nterest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428)			
nterest on Debt to Associated Companies (430)			
Other Interest Expense (431)			
Taxes Other than Income Taxes 408.2 (from pg 10)			
Total Income Taxes-Nonutility Operations (from og 10)			
Other Accounts (Specify Acct. No. and Title)			
Total Other Deductions			
Net Income			(\$17,566.00)

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	\$42,000.00
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	\$8,423.00
Pumping System-Labor, Materials and Expenses (701-B)	\$8,422.00
Treatment System (701-C) :	
Sludge Hauling	
Utility Service - Water Cost	\$2,295.00
Other-Labor, Materials and Expense	\$0.00
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	\$12,561.00
Chemicals (704)	\$3,573.00
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	
Treatment an Disposal (705-C)	\$11,983.00
Total Operation Expenses	\$89,257.00
MAINTENANCE EXPENSES	
Supervision and Engineering (710) :	
Routine Maintenance Service Fee (710-A)	
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	
Maintenance of Pumping System (713)	\$2,299.00
Maintenance of Treatment and Disposal Plant (714)	\$4,260.00
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	\$6,559.00
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	\$5,582.00
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	\$5,582.00
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	
Office Supplies and Other Expenses (921)	
Outside Services Employed (923)	\$4,066.00
Insurance Expenses (924)	\$1,930.00
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	\$2,722.00
Rents (931)	
Maintenance of General Plant (932)	
Total Administrative and General Expenses	\$8,718.00
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	\$110,116.00

Taxes Other Than Income Taxes (Ref Page: 10)

Item (a)	Amount (b)
	\$3,848.00
	\$2,602.00
	\$1,115.00
· ·	
LLET	\$175.00
OPERATING LICENSE FEES	\$187.00
STATE FILING FEE	\$15.00
	\$7,942.00
	LLET OPERATING LICENSE FEES

Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)
ncome Taxes-Federal (409.1)	
ncome Taxes - State (409.1)	
ncome Taxes - Other (409.1)	
Provisions for Deferred Income Taxes (410.1)	
ncome Taxes Deferred in Prior Years - Credit (411.1)	
nvestment Tax Credits - Net (412.0)	
Total Income Taxes - Util. Operating Income (to pg 8 line 28)	
ncome Taxes - Federal (409.2)	
ncome Taxes - State (409.2)	
ncome Taxes - Other (409.2)	
Provisions for Deferred Income (410.2)	
income Taxes Deferred in Prior Years - Credit (411.2)	
nvestment Tax Credits - Net (412.4)	
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)	

Amortization Expense (Ref Page: 10)

The second s	Amount (b)
Amortization of Limited - Term Utility Plant (404)	
Amortization of Other Utility Plant (405)	\$247.00
Amortization of Utility Plant Acquisition Adjustments (406)	
Amortization of Property Losses (407)	
Amortization of Rate Case Expense	
Total Amortization Expense (to pg 8 line 26)	\$247.00

Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?	ESTIMATED COST	
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant	UNKNOWN	0.0000

Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
N/A	A		
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			
N/	A		

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Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	11/1992
Type of treatment process	
Date and additional GPD Capacity of subsequent additions to plant	
Population for which plant is designed including population equivalent of industrial waste load	1000

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

	Total	Cost
Total gallons received during the year		
Total gallons received on maximum day		
Maximum G.P.D. Capacity of the sewage treatment plant		
Routine maintenance service fee		
Cost per month		
Contract Expires		
Sludge hauling		
Cost per load		
Average number of gailons per load	5,500	
Number of loads this year	2	

Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Туре	Num of Customers	Bi-Month or Month Billing	Number of bills
Residential				
Single Family	And the second se	261		0
Apartments/Condominiums		16		0
Commercial	Real Property and the second			
Industrial				
Other	and the second			
Total		277		0

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)

	Name	Type of Industry	Gallons	Pretreatment of Wastes
N/A			0	

Constitution of the Type of Name Set Pulse of

Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
CLAY	8"	4,800	0	0	4,800
PVC	8"	35,000	0	0	35,000

Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

Count

Number of service laterals owned by the utility at end of year

Number of stubs as of end of year

Number of service laterals owned by others

274

		CheckList			
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	473671.00	473671.00	OK	·	
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	269455.00	269455.00	ОК		
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	204216.00	204216.00	OK		
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	473671.00	473671.00	ОК		
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.	269455.00	269455.00) OK		
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	10000.00	10000.00	ОК		
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	212208.00		ОК		
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	0	C	о ок		
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	0	C	о ок		
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Col e	0	C	ОК		
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	0	C	ОК		

eder 300 m.H. Treassinger, Companyer E.E.C. 2006 SIND& - 1983 FUCT
9001300 LH Treatment Company, LLC 01/01/2018 - 12/31/2018

		CheckList				
Item	Value 1	Value 2	Agree	Explain		• • • • · · · · ·
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	222208.00	222208.00) ОК		t.	
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	16118.00	16118.00) ОК			
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	0	(о ок			
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	0	() ОК			
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	110116.00	110116.00	о ок			
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	0	() ОК			
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	247.00	247.00	OK OK			
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	7942.00	7942.00	о ок			
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	C	(о ок			
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed						

9001300 LH Treatment Company, LLC 01/01/2018 - 12/31/2018

Upload supporting documents



Supports

OATH

Commonwea	Ith of Kentucky)) ss:		
County of	Franklin) 55.		
<u>_</u>		me of Officer)	makes oath and says	
that he/she is			of	F
		(Official title of officer)		8
LH Trea	tment Company, LLC			
	(E)	kact legal title or name of respondent)		

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

	January 1, 2018	, to and including	December 31, 2018
		WILL R. P.	K III
		(Signati	ure of Officer)
subscribed and sworn to before me, a	NOTARY	1	, in and for
the State and County named in the abo	ove this 18th d	ay of Febru	1,2019
My Commission expires 8/121		Tiffany M Clark State At Large, Kentuc Notary Public Commission No. 58284 My Commission Expires 8/1/2	
Typo	m Clark		
) (Signature of office	cer authorized to administe	er oath)

[Persons making willful false statements in this report may be punished by fine or imprisonment under KRS 523.040 and 523.100.1

Titl	e	P	ad	e

	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip
Sewer Utilities						
Annual Report of						
Respondent	Kingswood Development, Inc.	9201 Lena Lane	L	ouisville	KY	40299

ATTORNEY GENERAL EXHIBIT 2

Principal Payment and Interest Information

	Amount	Yes/No
Amount of Principal Payment During Calendar Year	\$0.00	
Is Principal Current?		
Is Interest Current?		

Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No	Y	
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		X
Review		
Please enclose a copy of the accountant's report with annual report.		

Additional Information Required

Case Num	Date	Explain
		N/A
		N/A

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

Kingswood Development Inc.

General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	State	Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	Kingswood Development	9201 Lena Lane	Louisville	KY	(502) 538-7301
Name, title, address and telphone number with area code of the person to be contacted concerning this report					
The second second	Gail Williams	9201 Lena Lane	Louisville	KY	

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation. Kentucky 05/31/1996

General Information 5. (Ref Page: 1)

the stand of the state of the state of the		Date	
Date sewer utility began operations	5/1/1999		

General Information 6. (Ref Page: 1)

City or Town	Community or Subdivision		County
Mt. Washington	Kingswood Subdivision	Bullitt	

General Information 7. (Ref Page: 1)

		Count
Number of Full-time employees	0	
Number of Part-time employees	0	

Principal Officers (Ref Page: 1)

President Williams Gail 9201 Lena Lane	\$0.00
	·** 3
and the second	
CARENCE START - MORELS - DC / MAL CARENCE	
GOOLAR SERVICE AND REPORTED TO THE DATE OF THE DATE OF	

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Balance Sheet - Assets and Other Debts (Ref Page: 2)

	Balance First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$197,934.71	\$197,934.71
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$99,977.87	\$102,005.87
Net Utility Plant	\$97,956.84	\$95,928.84
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)	\$55,793.43	\$55,793.43
ess: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)	\$55,793.43	\$55,793.43
Net non-Utility Property	\$0.00	and a president set of the set of the
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments	\$0.00	
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	\$56,272.74	\$46,772.81
Temporary Cash Investments (132)		
Notes Receivable (141)		
Customer Accounts Receivable (142)	\$13,264.32	\$14,198.58
Other Accounts Receivable (143)	\$19,573.43	\$18,461.90
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)	\$659.00	\$484.00
Other Current and Accrued Assets (170)	\$72,619.37	\$72,619.37
Total Current and Accrued Assets	\$162,388.86	\$152,536.66
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)	And the second	
Total Deferred Debits		
TOTAL ASSETS AND OTHER DEBITS	\$260,345.70	\$248,465.50

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Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)	\$2,000.00	\$2,000.00
Preferred Capital Stock (204)		
Other Paid-In Capital (207)		
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	\$258,345.70	\$246,465.50
Non-Corporate Proprietorship (218)		
Total Equity Capital	\$260,345.70	\$248,465.50
LONG-TERM DEBT		
Bonds (221)		
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)		
Accounts Payable (232)		
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)		
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)		
Total Current and Accrued Liabilities		
DEFERRED CREDITS	P-	
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)		

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$260,345.70	\$248,465.50

- 10 III

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$197,934.71
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$197,934.71
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	
Total Utility Plant (to pg 2 line 4)	\$197,934.71
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$102,005.87
Net Utility Plant (to pg 2 line 7)	\$95,928.84

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Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	item	Amount
Balance Beginning of Year		\$99,977.87
Accruais for Year:		
Depreciation		\$2,028.00
Amortization		
Other Accounts (detail)		
Total Accruals for Year		\$2,028.00
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:		
Book Cost of Plant Retired (same as pg 5 line 40)		
Add: Cost of Removal		
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		
Balance End of Year		\$102,005.87

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
NTANGIBLE PLANT		-				
Organization (301)						
ranchises and Consents 302)						
vlisc. Intangible Plant 303)						
Total Intangible Plant		and the second second second				
AND AND STRUCTURES						
Land and Land Rights (310)		\$2,600.00	\$2,600.00	\$0.00	\$0.00	\$2,600.00
Structures and Improvements (311)		\$72,811.30	\$72,811.30	\$0.00	\$0.00	\$72,811.30
Total Land and Structures		\$75,411.30	\$75,411.30	\$0.00	\$0.00	\$75,411.30
COLLECTION PLANT			and the second			
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)		\$122,523.41	\$122,523.41	\$0.00	\$0.00	\$122,523.41
Other Collection Plant Facilities (353)						
Services to Customers (354)						
Flow Measuring Devices (355)	Sec. Sec.					
Total Collection Plant		\$122,523.41	\$122,523.41	\$0.00	\$0.00	\$122,523.41
PUMPING PLANT						State of the second
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)	No. Co					
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)						
Total Pumping Plant (364)		diana and an				
TREATMENT AND DISPOSAL PLANT		appress reads sold	Carelo presidente da 101		e	

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)		Law Marine Marine	AND AND AND A	and the second second	and the second second	
Treatment and Disposal Equipment (373)						
Plant Sewers (374)						
Outfall Sewer Lines (375)						
Other Treatment and Disposal Plant Equip. (376)						
Total Treatment and Disposal Plant (371)						
GENERAL PLANT (372)				A State State		A second second
Office Furniture and Equipment (391)						
Transportation Equipment (392)						
Stores Equipment (393A)						
Tools, Shop and Garage Equipment (393B)					100 A	
Laboratory Equipment (393C)						
Power Operated Equipment (393D)					A STATISTICS	
Communication Equipment (393E)						
Other Tangible Property (393F)	in the		West and			
Total General Plant						
TOTAL SEWER PLANT IN SERVICE		\$197,934.71	\$197,934.71	\$0.	00 \$0.00	\$197,934.71

Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par Stated Val Per Share o	f Outstanding Shares (e)	Outstanding Amount (f)
	Common	100	\$20.00 0.0000	0	\$2,000.00
Total		100	\$20.00 0.0000	0	\$2,000.00

Long-Term Debt (Ref Page: 6)

nt (f)	Interest A	Interest Rate (e)	Outstanding Balance	Date of Maturity (c)	Date of Issue (b)	Orig. Issue Amt	Class and Series	
4						•		ist each Original sue Amount Class nd Series of obligation
\$0.00		.0000	\$0.00 0			\$0.00	N/A	
		.0000	\$0.00 C			\$0.00	N/A	Total

Notes Payable (Ref Page: 6)

	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
Include Notes Payable to Associated Companies Under This Heading)					
N/	A			0.0000	\$0.00

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Interest Accrued (Ref Page: 6)

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
	N/A	\$0.00	\$0.00	\$0.00	\$0.00
otal					

Other Current and Accrued Liabilities (Ref Page: 7)

	Description	Amount
	N/A	\$0.00
Total (must agree with pg 3 Acct 238)		

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Statement of Retained Earnings For the Year (Ref Page: 7)

	ltem (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		\$258,345.70	\$264,237.27
Balance Transferred From Income (435)		(\$5,520.51)	\$209.71
Appropriations of Retained Earnings (436)			
		(\$6,359.69)	(\$6,101.28)
Dividends Declared-Preferred Stock (437)	A CONTRACTOR OF THE OWNER OF THE OWNER		
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		\$246,465.50	\$258,345.70

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		133	\$60,528.20
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			Sector Sector
Revenues From Public Authorities (521.4)			
Total (521)		133	\$60,528.20
Measured Revenues - General Customers: (483)			
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)			
Total (522)			
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)		The second state of the second second	
Miscellaneous Sewage Revenues (526)		0	\$0.00
Total Sewage Service Revenues (521-526)		133	\$60,528.20
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			and the second descent the second descent of the second second second second second second second second second
Total Other Operating Revenues			
Total Operating Revenues			\$60,528.20
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			\$55,485.67
Depreciation Expense (403)			\$2,028.00
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			\$3,469.95
Total Income Taxes-Utility Operations (from pg 10)			
Total Sewage Operating Expenses	English the second s	eneral Articles parties at	\$60,983.62
Net Operating Income	page on reside where the second	and the second states and a second states	(\$455.42)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
Income from NonUtility Operations (417)			
Interest and dividend Income (419)			\$238.47
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)			
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
Total Other Income			\$238.47
OTHER DEDUCTIONS			and an and the second
Interest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428	3)		
Interest on Debt to Associated Companies (430)			
Other Interest Expense (431)			
Taxes Other than Income Taxes 408.2 (from pg 10)			
Total Income Taxes-Nonutility Operations (from pg 10)			
Other Accounts (Specify Acct. No. and Title)			
	Accounting		\$2,938.05
and the second se	Bank Charge		\$7.00
	Dues		\$125.00
	Office Supplies		\$862.01
	Repairs and Maintenance		\$1,060.00
	Licenses & Taxes		\$311.50
Total Other Deductions			\$5,303.56
Net Income			(\$5,520.51)

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	\$5,044.00
Pumping System-Labor, Materials and Expenses (701-B)	
Treatment System (701-C) :	
Sludge Hauling	
Utility Service - Water Cost	\$238.56
Other-Labor, Materials and Expense	
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	\$10,825.79
Chemicals (704)	
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	
Treatment an Disposal (705-C)	
Total Operation Expenses	\$16,108.35
MAINTENANCE EXPENSES	
Supervision and Engineering (710) :	
Routine Maintenance Service Fee (710-A)	\$39,377.32
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	
Maintenance of Pumping System (713)	
Maintenance of Treatment and Disposal Plant (714)	
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	\$39,377.32
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)	
Customer Records and Collection Expenses (903):		
Agency Collection Fee (903-A)		
Internal Labor, Materials and Expenses (903-B)		
Uncollectible Accounts (904)		
Miscellaneous Customer Accounts Expenses (905)		
Total Customer Accounts Expenses		
ADMINISTRATIVE AND GENERAL EXPENSES		
Administrative and General Salaries (920)		
Office Supplies and Other Expenses (921)		
Outside Services Employed (923)		
Insurance Expenses (924)		
Employee Pensions and Benefits (926)		
Regulatory Commission Expense (928)		
Transportation Expenses (929)		
Miscellaneous General Expenses (930)		
Rents (931)		
Maintenance of General Plant (932)		
Total Administrative and General Expenses		
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)		\$55,485.67

Taxes Other Than Income Taxes (Ref Page: 10)



Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)
Income Taxes-Federal (409.1)	
income Taxes - State (409.1)	
Income Taxes - Other (409.1)	
Provisions for Deferred Income Taxes (410.1)	
Income Taxes Deferred in Prior Years - Credit (411.1)	
nvestment Tax Credits - Net (412.0)	
Total Income Taxes - Util. Operating Income (to pg 8 line 28)	
Income Taxes - Federal (409.2)	
ncome Taxes - State (409.2)	
income Taxes - Other (409.2)	
Provisions for Deferred Income (410.2)	
ncome Taxes Deferred in Prior Years - Credit (411.2)	
nvestment Tax Credits - Net (412.4)	
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)	

Amortization Expense (Ref Page: 10)

 Amount (b)

 Amortization of Limited - Term Utility Plant (404)

 Amortization of Other Utility Plant (405)

 Amortization of Utility Plant Acquisition Adjustments (406)

 Amortization of Property Losses (407)

 Amortization of Rate Case Expense

 Total Amortization Expense (to pg 8 line 26)

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Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?		
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?	-	0.0000
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		

Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

the second s	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
N	Ά		
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			
Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	June 1998
Type of treatment process	Extended Aeration
Date and additional GPD Capacity of subsequent additions to plant	None
Population for which plant is designed including population equivalent of industrial waste load	133 Single Family Homes/400 GPD per Home

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

		Total	Cost
Total gallons received during the year			
Total gallons received on maximum day			
Maximum G.P.D. Capacity of the sewage treatment plant			
Routine maintenance service fee			
Cost per month			\$100.00
Contract Expires	December 31		
Sludge hauling			
Cost per load			
Average number of gallons per load			
Number of loads this year			

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Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Туре	Num of Customers	Bi-Month or Month Billing	Number o	fbills
Residential			Contract of the second second		
Single Family		133	Montly	0	
Apartments/Condominiums				((1997) - 1997) (19	
Commercial					
Industrial					
Other					
Total		133		0	

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)

Name	Type of Industry	Gallons	Pretreatment of Wastes
			the state of the second s

Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

	Location	Size of Motor	Type of Motor	Gals per Day
The second				and the second second

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
PVC	10"	650	0	0	0
PVC	4"	2,250	0	0	0

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Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

	Count
Number of service laterals owned by the utility at end of year	125
Number of stubs as of end of year	17
Number of service laterals owned by others	

		CheckList				
Item	Value 1	Value 2	Agree	Explain		
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	197934.71	197934.71	OK	а. ^И м.		
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	102005.87	102005.87	OK			
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	95928.84	95928.84	OK			
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	197934.71	197934.71	OK			
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.	102005.87	102005.87	OK		2 2 2	
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	2000.00	2000.00	OK OK			
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	246465.50	246465.50	OK			
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	C) ОК		14	9
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	C) C	ОК			м - с. р.
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Col e	C) () OK			
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	C) C	OK OK			

		CheckList			
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	248465.50	248465.50) ОК		
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	2028.00	2028.00) ОК		
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	C	C) ОК		
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	C	C) ОК		
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	55485.67	55485.67	OK		
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	C	() ОК		
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	C	C) ОК		
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	3469.95	3469.95	5 ОК		
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	C) ОК		
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed					

Upload supporting documents

 Document
 Description

 SD_9000700_2018_1.pdf
 evenueExpenses2018.pdf

 SD_9000700_2018_2.pdf
 alance Sheet2018.pdf

Supports

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OATH

Commonwe	alth of Kentucky)) ss:	
County of	Bullitt)	
	Gail Williams		makes oath and says
		(Name of Officer)	·····
that he/she	is President		of
		(Official title of officer)	
	wood Development, In		

(Exact legal title or name of respondent)

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

January 1, 2018 , to and including December 31, 2018

subscribed and sworn to before me, a Notary , Shell Ifaunt the State and County named in the above this Bullit-7-Stah at a

, in and for

(Apply Seal Here

My Commission expires

29-2020

I his Ha

(Signature of officer authorized to administer oath)

[Persons making willful false statements in this report may be punished by fine or imprisonment under KRS 523.040 and 523.100.1

Title Page

	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip
Sewer Utilities						
Annual Report of						
Respondent	Michelle Artis	PO Box 431		Benton	KY	42025

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ATTORNEY GENERAL EXHIBIT 3

Principal Payment and Interest Information

	Amount		Yes/No
Amount of Principal Payment During Calendar Year		\$0.00	
Is Principal Current?			
Is Interest Current?			

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Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No	Ν	
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		
Review		
Please enclose a copy of the accountant's report with annual report.		

And Manufacture of the state of

Additional Information Required

Case Num	Date	Explain
	the second s	
		Contraction of Street St. Although 285 (1997)

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

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(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

Marshall County Environmental Services, Inc

General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	Sta	te Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	MCES	1303 Pugh School Road	Benton	KY	(270) 205-1527
Name,title, address and telphone number with area code of the person to be contacted concerning this report					4
	Michelle Artis / Owner	PO Box 431	Benton	KY	

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation. KY 2012

General Information 5. (Ref Page: 1)

		Date
Date sewer utility began operations	7/1/2013	

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General Information 6. (Ref Page: 1)

City or Town	Community or Subdivision	County
Paducah	Great Oaks Subdivision	Marshall
Calvert City	Golden Acres Subdivision	Marshall

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General Information 7. (Ref Page: 1)

		Count
Number of Fuil-time employees	1	
Number of Part-time employees		

Principal Officers (Ref Page: 1)

	Title	Last Name	First Name	Bus. Address	Salary or Fee
	Owner	Artis	Michelle	PO Box 431 Benton, KY 42025	\$0.00
and the second second	Owner / Operator	Artis	Allen	PO Box 431 Benton, KY 42025	\$0.00

[19] 이 이에서는 전 전 2003년 전 전 2013년 2013년 2013년 2017년 7월 2017년 2017년 1월 2017년 2017년 2017년 2017년 2017년 2017년 2017년 2

Balance Sheet - Assets and Other Debts (Ref Page: 2)

	Balance First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$195,139.19	\$196,489.19
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$194,139.19	\$195,889.19
Net Utility Plant	\$1,000.00	\$600.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
less: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property	\$0.00	
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments	\$0.00	
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	\$4,074.12	\$2,987.32
Temporary Cash Investments (132)		and the second second second second second second
Notes Receivable (141)	\$1,435.66	\$0.00
Customer Accounts Receivable (142)	\$1,435.66	\$718.09
Other Accounts Receivable (143)	\$10,006.00	\$10,006.00
Accum. Prov. For Uncollectible Accts - CR (144)	\$1,435.66	\$0.00
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)	To the second s second second se second second sec second second sec	
Prepayments (166)		
Other Current and Accrued Assets (170)	\$1,000.00	\$600.00
Total Current and Accrued Assets	\$16,515.78	\$14,311.41
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)	(\$1,000.00)	(\$600.00)
Total Deferred Debits	(\$1,000.00)	(\$600.00)
TOTAL ASSETS AND OTHER DEBITS	\$16,515.78	\$14,311.41

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)		
Preferred Capital Stock (204)		
Other Paid-In Capital (207)		
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)	(\$5,876.88)	(\$12,055.16)
Unappropriated Retained Earnings (216)		\$26,016.57
Non-Corporate Proprietorship (218)		
Total Equity Capital	(\$5,876.88)	\$13,961.41
LONG-TERM DEBT		
Bonds (221)		
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)		
Accounts Payable (232)	\$10,999.98	\$350.00
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)		
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)	\$11,392.68	\$0.00
Total Current and Accrued Liabilities	\$22,392.66	\$350.00
DEFERRED CREDITS		
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits	\$0.00	
Operating Reserves (261-265)		

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes	\$0.00	
TOTAL LIABILITIES AND OTHER CREDITS	\$16,515.78	\$14,311.41

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$196,489.19
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$196,489.19
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	\$0.00
Total Utility Plant (to pg 2 line 4)	\$196,489.19
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$195,889.19
Net Utility Plant (to pg 2 line 7)	\$600.00

Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	Item	Amount
Balance Beginning of Year		
Accruals for Year:		
Depreciation		
Amortization		
Other Accounts (detail)		
		\$196,489.19
Total Accruals for Year		\$196,489.19
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:		
Book Cost of Plant Retired (same as pg 5 line 40)		
Add: Cost of Removal		
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		(\$600.00)
Balance End of Year		\$195,889.19

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Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
INTANGIBLE PLANT						
Organization (301)			al sound a support	and the second second second		
Franchises and Consents (302)						
Misc. Intangible Plant (303)						
Total Intangible Plant		\$0.00	A MARKAN AND A MARK	A CONTRACTOR OF A CONTRACTOR		Second States
LAND AND STRUCTURES				1		
Land and Land Rights (310)						
Structures and Improvements (311)						
Total Land and Structures		A MARSHARE REAL FOR THE				
COLLECTION PLANT						
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)		\$195,139.19	\$0.00	\$0.00	\$0.00	\$196,489.19
Other Collection Plant Facilities (353)	and the second					
Services to Customers (354)						
Flow Measuring Devices (355)						
Total Collection Plant		\$195,139.19	\$0.00	\$0.00	\$0.00	\$196,489.19
PUMPING PLANT						A Constant of the second
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)	Sec. 20					
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)			and the second			
Total Pumping Plant (364)		A STATE OF A	and the same of	AND REAL PROPERTY.		
TREATMENT AND DISPOSAL PLANT	e	ence statude contan pu		The obsidiate	Contests.	

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)			and the second			A STREET AND A STREET
Treatment and Disposal Equipment (373)						
Plant Sewers (374)						
Outfall Sewer Lines (375)	generality of the former					
Other Treatment and Disposal Plant Equip. (376)						
Total Treatment and Disposal Plant (371)						
GENERAL PLANT (372)		Margaret Margaret	All and the second second	and the second second	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	Salar Stranger
Office Furniture and Equipment (391)						
Transportation Equipment (392)			Line and All	1. 1. 1. 1.		A COM
Stores Equipment (393A)						
Tools, Shop and Garage Equipment (393B)						
Laboratory Equipment (393C)						All second s
Power Operated Equipment (393D)					A. C. Andrew	
Communication Equipment (393E)						
Other Tangible Property (393F)	1410 Sec. 20		1912 - 1913			
Total General Plant			\$0.00	\$0.	00 \$0.00	\$0.00
TOTAL SEWER PLANT IN SERVICE		\$195,139.19	\$0.00	\$0.	00 \$0.00	\$196,489.19

Capital Stock (Ref Page: 6)

Class and Series (a) No. of Shares Auth. (b) Par Val per Share of Par Stated Val Per Share of Outstanding Shares (e) Outstanding Amount (f)

Long-Term Debt (Ref Page: 6)

	Class and Series	Orig. Issue Amt	Date of Issue (b)	Date of Maturity (c)	Outstanding Balance	Interest Rate (e)	Interest Amt (f)
List each Original Issue Amount Class			unin alarinen		1-11-1		
and Series of Obligation							
	- Alexandread						
Total							

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			ble (Ref Page: 6)		
	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
(Include Notes Payable to Associated Companies Under This Heading)					
Total					
					-
			2		
					6
				<u>5</u>	
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Interest Accrued (Ref Page: 6)

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
Total					

Other Current and Accrued Liabilities (Ref Page: 7)

		Description	Amount
	Other		\$0.00
Total (must agree with pg 3 Acct 238)			\$0.00

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Statement of Retained Earnings For the Year (Ref Page: 7)

	Item (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year			
Balance Transferred From Income (435)			
Appropriations of Retained Earnings (436)			
		\$26,016.57	\$11,392.68
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		\$26,016.57	\$11,392.68

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES	and the second second second second		
Fiat Rate Revenues-General Customers:			
Residential Revenues (521.1)		130	\$200,423.34
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)			
Total (521)		130	\$200,423.34
Measured Revenues - General Customers: (483)			
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			A STATE OF S
Revenues From Public Authorities (522.4)			
Total (522)			and the second second second second
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)	and the second second second	130	\$200,423.34
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			
Total Operating Revenues			\$200,423.34
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			
Depreciation Expense (403)	•		
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			
Total Income Taxes-Utility Operations (from pg 10)	And States		
Total Sewage Operating Expenses	and a second s	For the Colored State In	
Net Operating Income		the standard the standard the second	\$200,423.34
Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
Income from NonUtility Operations (417)			
Interest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)			
Total Other Income			
OTHER DEDUCTIONS	and she are the later of the second		
Interest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428)			
Interest on Debt to Associated Companies (430)	and the second states of the second		
Other Interest Expense (431)			
Taxes Other than Income Taxes 408.2 (from pg 10)			\$6,373.07
Total Income Taxes-Nonutility Operations (from pg 10)			\$0.00
Other Accounts (Specify Acct. No. and Title)			
Total Other Deductions			\$6,373.07
Net Income			\$194,050.27

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	
Pumping System-Labor, Materials and Expenses (701-B)	
Treatment System (701-C) :	
Sludge Hauling	
Utility Service - Water Cost	
Other-Labor, Materials and Expense	
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	
Chemicals (704)	
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	
Treatment an Disposal (705-C)	
Total Operation Expenses	
MAINTENANCE EXPENSES	
Supervision and Engineering (710) :	
Routine Maintenance Service Fee (710-A)	
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	
Maintenance of Pumping System (713)	
Maintenance of Treatment and Disposal Plant (714)	
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	Ministry generatives in the second
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	
Office Supplies and Other Expenses (921)	
Outside Services Employed (923)	
Insurance Expenses (924)	
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	
Rents (931)	
Maintenance of General Plant (932)	
Total Administrative and General Expenses	
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	

Taxes Other Than Income Taxes (Ref Page: 10)

	item (a)	Amount (b)	
Payroll Taxes		\$5,642.82	
Property Taxes		\$730.25	
Utility Regulatory Commission Assessment			
Other (specify)			
Total (Same as Page 8 line 27 plus 44)		\$6,373.07	

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Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)
Income Taxes-Federal (409.1)	
Income Taxes - State (409.1)	
Income Taxes - Other (409.1)	
Provisions for Deferred Income Taxes (410.1)	
Income Taxes Deferred in Prior Years - Credit (411.1)	
Investment Tax Credits - Net (412.0)	
Total Income Taxes - Util. Operating Income (to pg 8 line 28)	
Income Taxes - Federal (409.2)	
Income Taxes - State (409.2)	
Income Taxes - Other (409.2)	
Provisions for Deferred Income (410.2)	
Income Taxes Deferred in Prior Years - Credit (411.2)	
Investment Tax Credits - Net (412.4)	
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)	

Amortization Expense (Ref Page: 10)

	Amount (b)
Amortization of Limited - Term Utility Plant (404)	
Amortization of Other Utility Plant (405)	
Amortization of Utility Plant Acquisition Adjustments (406)	
Amortization of Property Losses (407)	
Amortization of Rate Case Expense	
Total Amortization Expense (to pg 8 line 26)	

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Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?	Original Cost	
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		0.0000
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		

Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

and the second	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
	N/A		
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			
	N/A		

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Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	6/1/78
Type of treatment process	Extended Aearation
Date and additional GPD Capacity of subsequent additions to plant	N/A
Population for which plant is designed including population equivalent of industrial waste load	N/A

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Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

	Total	Cost
Total gallons received during the year	105,000	
Total gallons received on maximum day	55,000	
Maximum G.P.D. Capacity of the sewage treatment plant	70,000	
Routine maintenance service fee		
Cost per month		\$888.00
Contract Expires		
Sludge hauling		
Cost per load		\$350.00
Average number of gallons_per load	3,500	
Number of loads this year	30	

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Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Туре	Num of Customers	Bi-Month or Month Billing	Number of bills
Residential				
Single Family		126	Monthly	126
Apartments/Condominiums		4	Monthly	4
Commercial				
Industrial				
Other				
Total		130		130

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)				
Name	Type of Industry	Gallons	Pretreatment of Wastes	
	· ·			
		e		

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Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

		austes - mains (i eet) (
Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
					*
					<i>x</i>
	NULLIN A. N				

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Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

Count

Number of service laterals owned by the utility at end of year

Number of stubs as of end of year

Number of service laterals owned by others

CheckList						
Item	Value 1	Value 2	Agree	Explain		
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	196489.19	196489.19	OK		18.	 V
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	195889.19	195889.19	ОК			
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	600.00	600.00	ОК			
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	196489.19	196489.19	ОК			
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.	195889.19	195889.19	OK OK			
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	C	C	ОК		*	
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	26016.57	26016.57	ОК			
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	C	C	ОК			
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	C	C	ОК			
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Col e	C	C	ОК			
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	0.0000	0.0000	ОК			

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CheckList						
Item	Value 1	Value 2	Agree	Explain		
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	14311.41	14311.	41 OK		2 1	
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	C)	0 ОК			
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	C	I	0 OK			
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	C)	0 OK			
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	C		0 OK			
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	C		0 ОК			
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	C	1	0 OK			
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	6373.07	6373.	.07 OK			
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	0.0000)	0 ОК			
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed						

Upload supporting documents

Document

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Description

Supports

Utility ID: 9003800

Zhan Ver

		OATH	
Commonwea	alth of Kentucky)) ss	
County of	Marshall) 55.	
	Michelle Artis	· · · · · · · · · · · · · · · · · · ·	makes oath and says
	(N	ame of Officer)	
that he/she i	s Owner		of
		(Official title of officer)	
Marsha	all County Environmental Ser	vices, LLC	
		Exact legal title or name of respondent)	

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

		including December 31, 2016
subscribed and sworn to before m the State and County named in th		, in and for
		(Apply Seal Here)
My Commission expires	12-19-18 Lancy P Chilter (Signature of officer authorized	I to administer oath)

Titl	e	P	a	a	e

	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip
Sewer Utilities						
Annual Report of						
Respondent	P.R. Wastewater Management, Inc	72 Persimmon Ridge Drive	L	ouisville	KY	40245

ATTORNEY GENERAL EXHIBIT 4

Principal Payment and Interest Information

	Amount	Yes/No
Amount of Principal Payment During Calendar Year		
Is Principal Current?		
Is Interest Current?		

Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No	Ý	
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		X
Review		
Please enclose a copy of the accountant's report with annual report.		

Additional Information Required

	Case Num	Date	Explain
	and the second of the second states and the second states and		the second s
AND AND SALES		Exercise and a second	

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

P.R. Wastewater Management, Inc.

Consumption of a million gas - (The Damp - 1)

1990ager - Wilsonswatter ellanade i with Indultifue Victoria - - - -

General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	State	Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	Principal Office in KY	72 Persimmon Ridge Drive	Louisville	KY	(502) 241-0458
Name,title, address and telphone number with area code of the person to be contacted concerning this report					
	Lawren Just, President	72 Persimmon Ridge Drive	Louisville	KY	

m n

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation. KY 1989

010010040

General Information 5. (Ref Page: 1)

		Date	Date		
Date sewer utility began operations	1990				

General Information 6. (Ref Page: 1)

	City or Town	Community or Subdivision	County
Louisville		Persimmon Ridge	Shelby
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internet and the second se			
	C. Lines, Sec.		
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	" make the particular of the	the second second	

General Information 7. (Ref Page: 1)

	Count	
0		
3		
	0 3	Count 0 3

Principal Officers (Ref Page: 1)

Title	Last Name	First Name	Bus. Address	Salary or Fee
President Just		Lawren	72 Persimmon Ridge Drive	\$3,600.00
				· · · · ·
		9		
	e .			
		and Links of the		

Balance Sheet - Assets and Other Debts (Ref Page: 2)

	Balance First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$219,938.00	\$219,938.00
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$158,303.00	\$162,246.00
Net Utility Plant	\$61,635.00	\$57,692.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
less: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property		
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments		
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	\$1,879.00	\$1,016.00
Temporary Cash Investments (132)		
Notes Receivable (141)		
Customer Accounts Receivable (142)	\$1,678.00	\$4,662.00
Other Accounts Receivable (143)		
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)		
Other Current and Accrued Assets (170)		
Total Current and Accrued Assets	\$3,557.00	\$5,678.00
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)		
Total Deferred Debits		
TOTAL ASSETS AND OTHER DEBITS	\$65,192.00	\$63,370.00

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)	\$100.00	\$100.00
Preferred Capital Stock (204)		
Other Paid-In Capital (207)		
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	\$31,299.00	\$6,095.00
Non-Corporate Proprietorship (218)		
Total Equity Capital	\$31,399.00	\$6,195.00
LONG-TERM DEBT		
Bonds (221)		
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)	\$25,104.00	\$25,104.00
Accounts Payable (232)	\$4,010.00	\$26,465.00
Notes Payable to Associated Companies (233)	\$2,000.00	\$3,000.00
Accounts Payable to Associated Companies (234)		
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)	\$2,679.00	\$2,606.00
Total Current and Accrued Liabilities	\$33,793.00	\$57,175.00
DEFERRED CREDITS		
Advances for Construction (252)		•
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)		

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$65,192.00	\$63,370.00

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$219,938.00
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$219,938.00
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	
Total Utility Plant (to pg 2 line 4)	\$219,938.00
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$162,246.00
Net Utility Plant (to pg 2 line 7)	\$57,692.00

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Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	Item	Amount
Balance Beginning of Year		\$158,303.00
Accruals for Year:		
Depreciation		\$3,943.00
Amortization		
Other Accounts (detail)		
Total Accruals for Year		\$3,943.00
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:	and the second	
Book Cost of Plant Retired (same as pg 5 line 40)	The second s	
Add: Cost of Removal		
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		
Balance End of Year		\$162,246.00

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
INTANGIBLE PLANT		States and the states				
Organization (301)						
Franchises and Consents (302)						
Misc. Intangible Plant (303)						
Total Intangible Plant						
LAND AND STRUCTURES						
Land and Land Rights (310)		\$27,650.00	\$0.00	\$0.00	\$0.00	\$27,650.00
Structures and Improvements (311)					n de la seu de la se La seu de la seu de la La seu de la seu de l	
Total Land and Structures		\$27,650.00	\$0.00	\$0.00	\$0.00	\$27,650.00
COLLECTION PLANT						
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)						
Other Collection Plant Facilities (353)				San Street		
Services to Customers (354)						
Flow Measuring Devices (355)						
Total Collection Plant	and an and the second second			Barta Miller Inter Miller		
PUMPING PLANT						
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)		And the second second			And the second second	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)						
Total Pumping Plant (364)		Salva Agai		san a		
TREATMENT AND DISPOSAL PLANT		esterate L'un investigat	to Meringgeise Line. Di	Walloom - 18925	012	
Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)	C. Constanting of the					
Treatment and Disposal Equipment (373)						
Plant Sewers (374)		\$192,288.00	\$0.00	\$0.00	\$0.00	\$192,288.00
Outfall Sewer Lines (375)						
Other Treatment and Disposal Plant Equip. (376)						
Total Treatment and Disposal Plant (371)		\$192,288.00	\$0.00	\$0.00	\$0.00	\$192,288.00
GENERAL PLANT (372)	No. Contraction	CALL CONTRACTOR	Contraction States	States States		
Office Furniture and Equipment (391)						
Transportation Equipment (392)		and the second				
Stores Equipment (393A)						
Tools, Shop and Garage Equipment (393B)						
Laboratory Equipment (393C)						
Power Operated Equipment (393D)	San Barris			7.000		
Communication Equipment (393E)						
Other Tangible Property (393F)						
Total General Plant						
TOTAL SEWER PLANT IN SERVICE	M. THE Y	\$219,938.00	\$0.00	\$0.00	\$0.00	\$219,938.00

Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par Stated Val Per Share of	Outstanding Shares (e)	Outstanding Amount (f)
	Common	100	\$0.00 1.0000	100	\$100.00
Total		100	\$0.00 1.0000	100	\$100.00

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Long-Term Debt (Ref Page: 6)

List each Original Issue Amount Class and Series of Obligation Total 0 \$0.00 0.0000	Amt (f)
Total 0 \$0.00 0.0000	
Total 0 \$0.00 \$0.00 \$0.00 O	
	\$0.00

Notes Payable (Ref Page: 6)

	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
(Include Notes Payable to Associated Companies Under This Heading)					
	Persimmon Ridge Development Company	1/1/2017		0.0000	\$3,000.00
Part of the second	Repbulic Bank Line of Credit	1/1/2017		0.0690	\$25,104.00
Total				0.0000	\$28,104.00

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
Total		\$0.00	\$1,880.00	\$0.00	\$0.00

Interest Accrued (Ref Page: 6)

Other Current and Accrued Liabilities (Ref Page: 7)

Description	Amount
Payroll Liabilities	\$2,606.00
	\$2,606.00
	•

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Statement of Retained Earnings For the Year (Ref Page: 7)

	ltem (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		\$31,299.00	\$39,440.00
Balance Transferred From Income (435)		(\$25,204.00)	(\$8,141.00)
Appropriations of Retained Earnings (436)			
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		\$6,095.00	\$31,299.00

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		361	\$115,214.00
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)		0	\$0.00
Total (521)		361	\$115,214.00
Measured Revenues - General Customers: (483)			
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)		0	\$0.00
Total (522)		0	\$0.00
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)		361	\$115,214.00
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			
Total Operating Revenues			\$115,214.00
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			\$124,426.00
Depreciation Expense (403)			\$3,943.00
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			\$10,194.00
Total Income Taxes-Utility Operations (from pg 10)	2.0		
Total Sewage Operating Expenses	Solo Alt of Looper	AND A REAL PROPERTY OF	\$138,563.00
Net Operating Income	the to when the bar has	and the second state of the second state of the	(\$23,349.00)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
Income from NonUtility Operations (417)			
Interest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			\$25.00
Other Accounts (Specify Acct. No & Title)			
Total Other Income			\$25.00
OTHER DEDUCTIONS			
Interest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428)			
Interest on Debt to Associated Companies (430)			
Other Interest Expense (431)			\$1,880.00
Taxes Other than Income Taxes 408.2 (from pg 10)			\$0.00
Total Income Taxes-Nonutility Operations (from pg 10)			
Other Accounts (Specify Acct. No. and Title)			
Total Other Deductions			\$1,880.00
Net Income	HE DESCRIPTION OF A DES		(\$25,204.00)

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Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	
Pumping System-Labor, Materials and Expenses (701-B)	\$18,601.00
Treatment System (701-C) :	
Sludge Hauling	
Utility Service - Water Cost	
Other-Labor, Materials and Expense	\$0.00
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	\$23,627.00
Chemicals (704)	\$5,063.00
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	\$16,509.00
Treatment an Disposal (705-C)	
Total Operation Expenses	\$63,800.00
MAINTENANCE EXPENSES	
Supervision and Engineering (710) :	
Routine Maintenance Service Fee (710-A)	
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	
Maintenance of Pumping System (713)	\$539.00
Maintenance of Treatment and Disposal Plant (714)	
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	\$539.00
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	Note Serve where specific the server is a server of the server server is a server of the server server server s
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	\$33,177.00
Office Supplies and Other Expenses (921)	\$5,523.00
Outside Services Employed (923)	\$12,218.00
Insurance Expenses (924)	
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	
Rents (931)	\$9,169.00
Maintenance of General Plant (932)	
Total Administrative and General Expenses	\$60,087.00
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	\$124,426.00

Taxes Other Than Income Taxes (Ref Page: 10)

ltem (a)	Amount (b)
	\$3,062.00
	\$1,311.00
	\$1,306.00
and Fines	\$4,515.00
	\$10,194.00
	Item (a)

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Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)
Income Taxes-Federal (409.1)	
Income Taxes - State (409.1)	
Income Taxes - Other (409.1)	
Provisions for Deferred Income Taxes (410.1)	
Income Taxes Deferred in Prior Years - Credit (411.1)	
Investment Tax Credits - Net (412.0)	
Total Income Taxes - Util. Operating Income (to pg 8 line 28)	\$0.00
Income Taxes - Federal (409.2)	
Income Taxes - State (409.2)	
Income Taxes - Other (409.2)	
Provisions for Deferred Income (410.2)	
Income Taxes Deferred in Prior Years - Credit (411.2)	
Investment Tax Credits - Net (412.4)	
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)	\$0.00

Amortization Expense (Ref Page: 10)

 Amount (b)

 Amortization of Limited - Term Utility Plant (404)

 Amortization of Other Utility Plant (405)

 Amortization of Utility Plant Acquisition Adjustments (406)

 Amortization of Property Losses (407)

 Amortization of Rate Case Expense

 Total Amortization Expense (to pg 8 line 26)

Sense A de la Station de Perch Valuation de l'Astro-Prése

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SOOD: 35 P. P. Weyl Mark - Managerstand and STICH SOTE - Savarahra

Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?		
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		10.0000
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		0.0000

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Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
	N/A		
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			
	N/A		

Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	1990
Type of treatment process	Aerated Tagoons, Chlorination tank 3 treatment cells
Date and additional GPD Capacity of subsequent additions to plant	1190, 145000gdp
Population for which plant is designed including population equivalent of industrial waste load	361 residential, pool, golf culbhouse

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

	Total	Cost
Total gallons received during the year		
Total gallons received on maximum day		
Maximum G.P.D. Capacity of the sewage treatment plant	145,000	
Routine maintenance service fee		
Cost per month		\$500.00
Contract Expires		
Sludge hauling		
Cost per load		
Average number of gallons per load		
Number of loads this year		

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AF

Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

Туре	Num of Customers	Bi-Month or Month Billing	Number of bills
	358	Monthly	12
	3	Monthly	12
	1		
	361		24
	Type	358	358 Monthly 3 Monthly

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)

Name	Type of Industry	Gallons	Pretreatment of Wastes
		*	
đ			

Sever Plant of Lines - Printing Automatic file Plant ID

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Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day
Cherry Hills Lane	3	230	44,640
Sycamore Hills Court	7.5	230	119,000
Lagoon	5	230	472,000
Phase Xii	2	230	1,200
Phase X	10	480	188,400
Phase XIV	2	230	13,200

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
PVC	8	30,590	0	0	30,590
PVC	3	1,173	0	0	1,173
PVC	2.5	2,900	0	0	2,900
PVC	1.5	849	0	0	849
PVC	4	1,027	0	0	1,027
	PVC PVC PVC PVC	PVC 8 PVC 3 PVC 2.5 PVC 1.5	PVC 8 30,590 PVC 3 1,173 PVC 2.5 2,900 PVC 1.5 849	PVC 8 30,590 0 PVC 3 1,173 0 PVC 2.5 2,900 0 PVC 1.5 849 0	PVC 8 30,590 0 0 PVC 3 1,173 0 0 PVC 2.5 2,900 0 0 PVC 1.5 849 0 0

Success of Pressent starts successful and an entry is a constitution

Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

Count

	320
lumber of stubs as of end of year	33

		CheckList			
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	219938.00	219938.00) OK		÷
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	162246.00	162246.00	OK		
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	57692.00	57692.00) OK		2
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	219938.00	219938.00			
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and	162246.00				
Amort.					
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	100.00) 100.00) ОК		
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	6095.00	6095.00) ОК		
Balance Sheet (ref pg 3) Total Long Term Debt (224)	C	0.0000) ок		
agrees with Sched Long Term Debt (ref pg 6) Total col					
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	28104.00	28104.00	о ок		
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Col e	C	0.0000	ОК		
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	2606.00	2606.00	ОК -	Exclusion of the second s	

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		CheckList			
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	63370.00	63370.00) ОК		
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	3943.00	3943.00	о ок		
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	0.0000) () ОК		
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	1880.00	1880.00) OK		
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	124426.00	124426.00) ОК		
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	C	0.0000) ОК		
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	C	0.0000) ОК		
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	10194.0000) 10194.00	о ок		
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	C	0.0000	о ок		
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed					

Upload supporting documents

DocumentDescriptionSD_9000500_2018_1.pdfompilation Report

Supports Audit Report

n in

Utility ID: 9000500

1 Ber

OATH

Commonwealth of Kentucky)	
County of Shelby) ss:	
LAWREN A. JUST (Name of Officer)	makes oath and says
that he/she is <u>president</u> (Official litle of officer)	of
P. R. Wastewater Management, Inc.	

(Exact legal title or name of respondent)

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

	January 1, 2018	, to and including	December 31	2018
		Jaure (Signature	e of Officer)	<u>i</u>
subscribed and sworn to before me, a	NOTAR	V PUBLIC		, in and for
the State and County named in the above t	this Monda	- February	1844	2019
	/	, v	(Apply Seal He	ire)
My Commission expires $H - 18$	- 2021		1 x - 1 4	
Te	mAG	lut		
	(Signature of offic	er authorized to administer	oath)	

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	Name of Respondent	Addr Line 1	Addr Line 2	City	State	e Zip	
Sewer Utilities							
Annual Report of							
Respondent	Brocklyn Utilities, LLC P	.O. Box 91588		Louisville	KY	40291	

ATTORNEY GENERAL EXHIBIT 5

Principal Payment and Interest Information

	Amount	Yes/No
Amount of Principal Payment During Calendar Year		
Is Principal Current?		
Is Interest Current?		

Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No N		
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		
Review		
Please enclose a copy of the accountant's report with annual report.		

Windows Missingation Real was

Additional Information Required

Case Num	Date	Explain
and the second second second second		
Line Bautine Person of the Children Street on the set	and a second	

.... A

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

ŝ

Brocklyn Utilities, LLC

General Information 2 - 3 (Ref Page: 1)

S. S. State and State and	Name	Address	City	State	Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	Brocklyn Utilities, LLC	P.O. Box 91588	Louisville	KY	(502) 238-3301
Name, title, address and telphone number with area code of the person to be contacted concerning this report			•		
and the second s	Lawrence W Smither	Same			

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation. Kentucky 7/16/2004

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网络第四月最小时间 人名英格尔兰 计分子成本分子选择的 人名英

r.

General Information 5. (Ref Page: 1)



General Information 6. (Ref Page: 1)

City or Town	Community or Subdivision	County
Richmond	Brocklyn Subdivision	Madison

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General Information 7. (Ref Page: 1)

		Count	Service Service
Number of Full-time employees	0		
Number of Part-time employees	0		

Principal Officers (Ref Page: 1)

St	Principal Officers (Ref Page: 1)						
ALCONDUCTION OF	Title	Last Name	First Nar	ne B	us. Address	Salary or Fee	
	President	Smither	Lawrence	Company	Address	\$6,200.00	
	Vice-President	Cogan	Martin	Same		\$6,200.00	
1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 -							
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					-63		
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Balance Sheet - Assets and Other Debts (Ref Page: 2)

	Balance First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$30,087.00	\$30,087.00
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$29,154.00	\$29,477.00
Net Utility Plant	\$933.00	\$610.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
less: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property		
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments		
CURRENT AND ACCRUED ASSETS		and the second
Cash and Working Funds (131)	\$295.85	\$0.00
Temporary Cash Investments (132)		
Notes Receivable (141)		
Customer Accounts Receivable (142)	\$12,001.36	\$13,663.14
Other Accounts Receivable (143)	\$1,350.00	\$1,350.00
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)		
Other Current and Accrued Assets (170)	\$625.00	\$625.00
Total Current and Accrued Assets	\$14,272.21	\$15,638.14
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)		
Total Deferred Debits		
TOTAL ASSETS AND OTHER DEBITS	\$15,205.21	\$16,248.14

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Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)		
Preferred Capital Stock (204)		
Other Paid-In Capital (207)	\$2,683.00	\$2,683.00
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	(\$31,957.71)	(\$34,087.51)
Non-Corporate Proprietorship (218)		
Total Equity Capital	(\$29,274.71)	(\$31,404.51)
LONG-TERM DEBT		
Bonds (221)		
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)		
Accounts Payable (232)	\$5,281.38	\$8,743.42
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)	\$14,889.54	\$14,600.23
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)		
Total Current and Accrued Liabilities	\$20,170.92	\$23,343.65
DEFERRED CREDITS		
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)	\$24,309.00	\$24,309.00

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		and the second
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$15,205.21	\$16,248.14

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Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$28,615.00
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$28,615.00
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	\$1,472.00
Other Utility Plant Adjustments (109)	
Total Utility Plant (to pg 2 line 4)	\$30,087.00
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$29,477.00
Net Utility Plant (to pg 2 line 7)	\$610.00

Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	Item	Amount
Balance Beginning of Year		\$29,154.00
Accruals for Year:		
Depreciation		\$323.00
Amortization		
Other Accounts (detail)		
Total Accruals for Year		\$323.00
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:		
Book Cost of Plant Retired (same as pg 5 line 40)		
Add: Cost of Removal		and the second
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		
Balance End of Year		\$29,477.00

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
NTANGIBLE PLANT						
Organization (301)						
Franchises and Consents 302)						
Misc. Intangible Plant 303)						
otal Intangible Plant						
AND AND STRUCTURES						
and and Land Rights 310)						
Structures and mprovements (311)						
Fotal Land and Structures	Contra and Car					
COLLECTION PLANT						
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)						
Other Collection Plant Facilities (353)	Meteories					
Services to Customers (354)						
Flow Measuring Devices (355)						
Total Collection Plant						
PUMPING PLANT						
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)			No. of the second			
Pumping Equipment- Diesel (363B)						
^p umping Equipment - Dther (363C)						
otal Pumping Plant (364)						
TREATMENT AND DISPOSAL PLANT		anosan arong	wanne ream	nicela - scalate		

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)					and a second of the	
Treatment and Disposal Equipment (373)		\$28,615.00	\$0.00	\$0.00	\$0.00	\$28,615.00
Plant Sewers (374)	Cheff and the				and the second second	
Outfall Sewer Lines (375)					and the second second second second	
Other Treatment and Disposal Plant Equip. (376)						
Total Treatment and Disposal Plant (371)		\$28,615.00	\$0.00	\$0.00	\$0.00	\$28,615.00
GENERAL PLANT (372)	Sector Sector			1. S. 19 . S. 19		
Office Furniture and Equipment (391)						
Transportation Equipment (392)						
Stores Equipment (393A)					and the second second	The second states
Tools, Shop and Garage Equipment (393B)				1999 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 - 1995 -		
Laboratory Equipment (393C)						
Power Operated Equipment (393D)						
Communication Equipment (393E)						
Other Tangible Property (393F)	6		and the second			
Total General Plant					4	and the second
TOTAL SEWER PLANT IN SERVICE		\$28,615.00	\$0.00	\$0.00	\$0.00	\$28,615.00

Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par	Stated Val Per Share of	Outstanding Shares (e)	Outstanding Amount (f)
Total						

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Long-Term Debt (Ref Page: 6)

	Class and Series	Orig. Issue Amt	Date of Issue (b)	Date of Maturity (c)	Outstanding Balance	Interest Rate (e)	Interest Amt (f)
List each Original Issue Amount Class and Series of Obligation							-
			Sector States	and the second second	The second second		
Total					S		

Notes Payable (Ref Page: 6)

	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
(Include Notes Payable to Associated Companies Under This Heading)					
Total					

and and for the set of the set of the set of the state of the set of the set

Interest Accrued (Ref Page: 6)

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
Total					

Other Current and Accrued Liabilities (Ref Page: 7)

Description Amount Total (must agree with pg 3 Acct 238)

and the state of the state of the state of the

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Statement of Retained Earnings For the Year (Ref Page: 7)

	ltem (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		(\$31,957.71)	(\$25,740.20)
Balance Transferred From Income (435)		(\$2,129.80)	(\$6,217.51)
Appropriations of Retained Earnings (436)			
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		(\$34,087.51)	(\$31,957.71)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		168	\$67,085.78
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)			
Total (521)		168	\$67,085.78
Measured Revenues - General Customers: (483)	the second s		
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)			
Total (522)			
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)		168	\$67,085.78
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			
Total Operating Revenues			\$67,085.78
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			\$68,208.47
Depreciation Expense (403)			\$323.00
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			\$658.41
Total Income Taxes-Utility Operations (from pg 10)			
Total Sewage Operating Expenses		e ne vir den destation de	\$69,189.88
Net Operating Income			(\$2,104.10)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
ncome from NonUtility Operations (417)			
nterest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)			
Total Other Income			
OTHER DEDUCTIONS	A REAL PROPERTY OF THE REAL		
nterest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428)			
nterest on Debt to Associated Companies (430)			
Other Interest Expense (431)			
Taxes Other than Income Taxes 408.2 (from pg 10)			
Total Income Taxes-Nonutility Operations (from og 10)			
Other Accounts (Specify Acct. No. and Title)			
		and the second	
Total Other Deductions			

Net Income

(\$2,104.10)

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	\$16,000.00
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	\$1,860.00
Pumping System-Labor, Materials and Expenses (701-B)	
Treatment System (701-C) :	
Sludge Hauling	\$7,470.00
Utility Service - Water Cost	\$4,998.22
Other-Labor, Materials and Expense	
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	\$301.88
Chemicals (704)	\$5,321.20
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	
Treatment an Disposal (705-C)	
Total Operation Expenses	\$35,951.30
MAINTENANCE EXPENSES	
Supervision and Engineering (710) :	
Routine Maintenance Service Fee (710-A)	\$17,160.00
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	\$1,265.00
Maintenance of Pumping System (713)	
Maintenance of Treatment and Disposal Plant (714)	\$5,118.87
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	\$23,543.87
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	
Office Supplies and Other Expenses (921)	\$864.36
Outside Services Employed (923)	\$5,765.50
Insurance Expenses (924)	\$1,233.40
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	
Rents (931)	\$850.04
Maintenance of General Plant (932)	
Total Administrative and General Expenses	\$8,713.30
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	\$68,208.47

Taxes Other Than Income Taxes (Ref Page: 10)

the second s	item (a)	Amount (b)
Payroll Taxes		
Property Taxes		\$310.58
Utility Regulatory Commission Assessment		\$128.83
Other (specify)		
	LLET	\$175.00
	Filing Fee	\$15.00
	Local	\$29.00
Total (Same as Page 8 line 27 plus 44)		\$658.41

Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)	A Contraction
ncome Taxes-Federal (409.1)		
ncome Taxes - State (409.1)		That I a the
ncome Taxes - Other (409.1)		
Provisions for Deferred Income Taxes (410.1)		
ncome Taxes Deferred in Prior Years - Credit (411.1)		
nvestment Tax Credits - Net (412.0)		
Fotal Income Taxes - Util. Operating Income (to pg 8 line 28)		N. A.S. MA
ncome Taxes - Federal (409.2)		
ncome Taxes - State (409.2)		1.24
ncome Taxes - Other (409.2)		
Provisions for Deferred Income (410.2)		
ncome Taxes Deferred in Prior Years - Credit (411.2)		
nvestment Tax Credits - Net (412.4)		
Fotal Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)		100

Amortization Expense (Ref Page: 10)

Amount (b)

Amortization of Limited - Term Utility Plant (404) Amortization of Other Utility Plant (405) Amortization of Utility Plant Acquisition Adjustments (406) Amortization of Property Losses (407) Amortization of Rate Case Expense Total Amortization Expense (to pg 8 line 26)

Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?		
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		100.0000
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		

Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			
by whom is the next audit anticipated?			

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Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	1969	
Type of treatment process	Aeration	
Date and additional GPD Capacity of subsequent additions to plant		
Population for which plant is designed including population equivalent of industrial waste load	175	and the second second second second

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

		Total	Cost
Total gallons received during the year			
Total gallons received on maximum day	and the second	40,000	
Maximum G.P.D. Capacity of the sewage treatment plant			
Routine maintenance service fee			
Cost per month			
Contract Expires	Open Contract		
Sludge hauling			
Cost per load			\$330.00
Average number of gallons per load		22	
Number of loads this year			

Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Туре	Num of Customers	Bi-Month or Month Billing	Number of bills
Residential				
Single Family		70	Monthly	12
Apartments/Condominiums		98	Monthly	12
Commercial				A REAL PROPERTY AND A REAL
ndustrial	and the second second			****
Other				present in the second second second
		New York Row		
Total		168		24

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)

Name	Type of Industry	Gallons	Pretreatment of Wastes

Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day
	the second of the second second second		

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
Clay	8	5,250	0		0 5,250

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SECTION FROM THE DEPART OF COMPAREMENT OF COMPAREMENTS

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Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

	Count
Number of service laterals owned by the utility at end of year	0
Number of stubs as of end of year	1
Number of service laterals owned by others	0

		CheckList			
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	30087.00	30087.00) ОК		
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	29477.00	29477.00) ОК		
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	610.00	610.00) ОК		
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	28615.00 רו	28615.00) ОК		
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.	e 29477.00) 29477.0() ОК		
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	C) () ОК		
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	-34087.51	-34087.5	1 ОК		
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	C) () ОК		
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	C) (OK OK		
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Co e	ol C) (D OK		
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	C) Francisco - State -) ОК		

THE FIRE DECEMBER OF THE DECEMBER OF THE STREET

		CheckList		6	
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	16248.14	16248.14	4 OK		
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	323.00	323.00	о ок		
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	0	() ОК		
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	0	() ОК		
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	68208.47	68208.47	7 OK		
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	0	() ОК		
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	0	() OK		
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	658.41	658.4	1 ОК		
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	0	() ОК		
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed					

Upload supporting documents

Document

Description

Supports

n in

Utility ID: 9002200

OATH

Commonwe	alth of Kentucky)	
County of	Oldham) 55:	
	Lawrence W Smither	(Name of Officer)	makes oath and says
		(Name of Oncer)	
that he/she	is Member		of
		(Official title of officer)	
Brocki	yn Utilities, LLC		

(Exact legal title or name of respondent)

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

	January 1, 2018	, to and including	December 31, 2018
	Ğ	Ky ω. (Signatu	Jire of Officer)
subscribed and sworn to before me, a	Noterry	-	, in and for
the State and County named in the above	this April	29,2019	
My Commission expires Nou 3	0.2021		BHLIE M. RICHERSON (ANNY Sectification State at Large- Kentucky mission Expires Nov 30 2021
A	Signature of office	MM) or authorized to administe	er oath)

9003100 Fox Run Utilities, LLC 01/01/2018 - 12/31/2018

Title	Page
-------	------

	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip
Sewer Utilities						
Annual Report of					and the second se	
Respondent	Fox Run Utilities, LLC	P.O. Box 91588	Land Contraction of the Land C	ouisville	KY	40291

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ATTORNEY GENERAL EXHIBIT 6
Principal Payment and Interest Information

	Amount	Yes/No
Amount of Principal Payment During Calendar Year		
Is Principal Current?		
Is Interest Current?		

Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No	Ν	
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		
Review		
Please enclose a copy of the accountant's report with annual report.		

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Additional Information Required

Case Num	Date	Explain

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "incorporated" only when part of the corporate name.)

Fox Run Utilities, LLC

승규들은 그녀님께서는 정말을 가지.

승규는 바람들은 물건을 가지만 것이라. 이 가 있는 것을 들었다.

General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	State	Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	Fox Run Utilities, LLC	P.O. Box 91588	Louisville	KY	(502) 238-3301
Name, title, address and telphone number with area code of the person to be contacted concerning this report					
	Lawrence Smither	Same			

General Information 4 (Ref Page: 1)

List

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Name of State under the laws of which respondent is incorporated and the date of incorporation. Kentucky 11/13/07

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General Information 5. (Ref Page: 1)



General Information 6. (Ref Page: 1)

	City or Town	Community or Subdivis	ion	County	
Frankfort	and Superior Mar	Fox Run Subdivision	Franklin		

General Information 7. (Ref Page: 1)

		Count	
Number of Full-time employees	0		
Number of Part-time employees	0		

Principal Officers (Ref Page: 1)

Title	Last Name	First Name	Bus. Address	Salary or Fee
1 2 3 3 - 1				
an anter a start have a				
	n logula ineri to			
			10.01.9	

Balance Sheet - Assets and Other Debts (Ref Page: 2)

	Balance First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$110,410.00	\$110,410.00
ess: Accum. Prov. for Depr., Depletion and Amortization (110)	\$110,410.00	\$110,410.00
Net Utility Plant	\$0.00	\$0.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
ess: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property		\$0.00
Other Investments (124)	•	
Special Funds (125)		
Total Other Property and Investments		
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	(\$117.49)	\$455.5
Temporary Cash Investments (132)		
Notes Receivable (141)		
Customer Accounts Receivable (142)	\$3,703.34	\$4,596.80
Other Accounts Receivable (143)		
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)		A CARL BARRIER CONTRACTOR
Other Current and Accrued Assets (170)	\$800.00	\$800.00
Total Current and Accrued Assets	\$4,385.85	\$5,852.34
DEFERRED DEBITS		
Jnamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)	\$1.00	\$1.0
Total Deferred Debits	\$1.00	\$1.0
TOTAL ASSETS AND OTHER DEBITS	\$4,386.85	\$5,853.34

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)		
Preferred Capital Stock (204)		and the second
Other Paid-In Capital (207)		
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	(\$22,449.82)	(\$25,617.53)
Non-Corporate Proprietorship (218)		
Total Equity Capital	(\$22,449.82)	(\$25,617.53)
LONG-TERM DEBT		
Bonds (221)		
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)		
Accounts Payable (232)	\$5,920.74	\$9,524.06
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)	\$19,541.93	\$20,572.81
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)		
Total Current and Accrued Liabilities	\$25,462.67	\$30,096.87
DEFERRED CREDITS		
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)	\$1,374.00	\$1,374.00

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$4,386.85	\$5,853.34

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$110,410.00
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$110,410.00
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	
Total Utility Plant (to pg 2 line 4)	\$110,410.00
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$110,410.00
Net Utility Plant (to pg 2 line 7)	\$0.00

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Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	Item	Amount
Balance Beginning of Year		\$110,410.00
Accruals for Year:		
Depreciation		
Amortization		
Other Accounts (detail)		
Total Accruals for Year		
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:		
Book Cost of Plant Retired (same as pg 5 line 40)		
Add: Cost of Removal		
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		
Balance End of Year		\$110,410.00

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
INTANGIBLE PLANT						
Organization (301)						
Franchises and Consents (302)						
Misc. Intangible Plant (303)						
Total Intangible Plant	t no service.					Contraction of the second
LAND AND STRUCTURES						
Land and Land Rights (310)						
Structures and Improvements (311)						
Total Land and Structures	and the Barry Sta					
COLLECTION PLANT			and the second se			
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)						
Other Collection Plant Facilities (353)						
Services to Customers (354)						
Flow Measuring Devices (355)						
Total Collection Plant						
PUMPING PLANT	·····································	REAL AND AND AND A		The state of the		A Constant of Martin
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)		\$14,385.00	\$0.00	\$0.	00 \$0.00	\$14,385.00
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)						
Total Pumping Plant (364)		\$14,385.00	\$0.00	\$0.	00 \$0.00	\$14,385.00
TREATMENT AND DISPOSAL PLANT		BUILD HUE EVEN LEVE		15028 - 4312-(Sp28		

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)	12 M 2 M 1	and the second second	Sector Sector			
Treatment and Disposal Equipment (373)		\$96,025.00	\$0.00	\$0.00	\$0.00	\$96,025.00
Plant Sewers (374)	all the second second		an the			AND PERSONAL PROPERTY.
Outfall Sewer Lines (375)						
Other Treatment and Disposal Plant Equip. (376)						
Total Treatment and Disposal Plant (371)		\$96,025.00	\$0.00	\$0.00	\$0.00	\$96,025.00
GENERAL PLANT (372)		Contraction of the second				The second second
Office Furniture and Equipment (391)						
Transportation Equipment (392)		·				
Stores Equipment (393A)						
Tools, Shop and Garage Equipment (393B)						
Laboratory Equipment (393C)						
Power Operated Equipment (393D)	Station	All Constants		1 24 34	- 1. A. (23-24)	
Communication Equipment (393E)		State of the second second				
Other Tangible Property (393F)						
Total General Plant	and the second second	the second s				
TOTAL SEWER PLANT IN SERVICE	the star	\$110,410.00	\$0.00	\$0.00	\$0.00	\$110,410.00

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Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par	Stated Val Per Share of	Outstanding Shares (e)	Outstanding Amount (f)
Total						

Long-Term Debt (Ref Page: 6)

	Class and Series	Orig. Issue Amt	Date of Issue (b)	Date of Maturity (c)	Outstanding Balance	Interest Rate (e)	Interest Amt (f)
List each Original Issue Amount Class and Series of Obligation							
Total							

Notes Payable (Ref Page: 6)

	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
(Include Notes Payable to Associated Companies Under This Heading)					
	and the second secon				
Total					

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Interest Accrued (Ref Page: 6)

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
A CALLER AND A					
Total					

Other Current and Accrued Liabilities (Ref Page: 7)

 Description
 Amount

 Total (must agree with pg 3 Acct 238)
 Total (must agree with pg 3 Acct 238)

2063266 55 PERMIT REPAIR 11 602 9494,000 PERMIT PERMIT

Statement of Retained Earnings For the Year (Ref Page: 7)

	ltem (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		(\$22,449.82)	(\$23,912.64)
Balance Transferred From Income (435)		(\$3,167.71)	\$1,462.82
Appropriations of Retained Earnings (436)			
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		(\$25,617.53)	(\$22,449.82)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		34	\$24,328.44
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)			
Total (521)		34	\$24,328.44
Measured Revenues - General Customers: (483)			
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)			
Total (522)			
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)		34	\$24,328.44
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			\$0.00
Total Operating Revenues			\$24,328.44
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			\$27,256.37
Depreciation Expense (403)			
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			\$239.78
Total Income Taxes-Utility Operations (from pg 10)			
Total Sewage Operating Expenses	PLANDER CERICAR	e stander Fast Part Partet St	\$27,496.15
Net Operating Income		STATE DEDUCTION STATE DE	(\$3,167.71)

Statement of Income for the Year (Ref Page: 8)

and the second	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
Income from NonUtility Operations (417)			
Interest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)			
Total Other Income			
OTHER DEDUCTIONS			
Interest on Long-Term Debt (427)		10 C	
Amortization of Debt Discount and Expense (428)			
Interest on Debt to Associated Companies (430)	and the second second		
Other Interest Expense (431)			
Taxes Other than Income Taxes 408.2 (from pg 10)			
Total Income Taxes-Nonutility Operations (from pg 10)			
Other Accounts (Specify Acct. No. and Title)			
Total Other Deductions			
			(00 407 74)

Net Income

(\$3,167.71)

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	\$1,610.00
Pumping System-Labor, Materials and Expenses (701-B)	
Treatment System (701-C) :	
Sludge Hauling	\$1,415.00
Utility Service - Water Cost	\$1,005.41
Other-Labor, Materials and Expense	
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	\$5,739.11
Chemicals (704)	\$1,805.71
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	
Treatment an Disposal (705-C)	
Total Operation Expenses	\$11,575.23
MAINTENANCE EXPENSES	
Supervision and Engineering (710) :	
Routine Maintenance Service Fee (710-A)	\$5,661.00
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	
Maintenance of Pumping System (713)	
Maintenance of Treatment and Disposal Plant (714)	\$6,565.02
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	\$12,226.02
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	\$0.00
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	
Office Supplies and Other Expenses (921)	\$1,371.96
Outside Services Employed (923)	\$130.50
Insurance Expenses (924)	· \$759.50
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	\$200.00
Rents (931)	\$993.16
Maintenance of General Plant (932)	
Total Administrative and General Expenses	\$3,455.12
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	\$27,256.37

Taxes Other Than Income Taxes (Ref Page: 10)

ltem (a)	Amount (b)
	(\$21.39)
	\$71.17
LLET	\$175.00
Filing Fee	\$15.00
	\$239.78
COLUMN TANK TANK	LLET

Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)		
Income Taxes-Federal (409.1)			
ncome Taxes - State (409.1)			
Income Taxes - Other (409.1)			
Provisions for Deferred Income Taxes (410.1)			
Income Taxes Deferred in Prior Years - Credit (411.1)			
Investment Tax Credits - Net (412.0)			
Total Income Taxes - Util. Operating Income (to pg 8 line 28)			
income Taxes - Federal (409.2)			
Income Taxes - State (409.2)			
Income Taxes - Other (409.2)			
Provisions for Deferred Income (410.2)			
Income Taxes Deferred in Prior Years - Credit (411.2)			
Investment Tax Credits - Net (412.4)			
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)			

Amortization Expense (Ref Page: 10)

Amount (b)

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Amortization of Limited - Term Utility Plant (404)	
Amortization of Other Utility Plant (405)	
Amortization of Utility Plant Acquisition Adjustments (406)	
Amortization of Property Losses (407)	
Amortization of Rate Case Expense	
Total Amortization Expense (to pg 8 line 26)	

125

Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?		
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		0.0000
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		0.0000

Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			

FININAAN

Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	1981
Type of treatment process	Extended Aeration/Mixed Mediafilter
Date and additional GPD Capacity of subsequent additions to plant	
Population for which plant is designed including population equivalent of industrial waste load	36 Residential Customers

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

		Total	Cost
Total gallons received during the year			
Total gallons received on maximum day			
Maximum G.P.D. Capacity of the sewage treatment plant			
Routine maintenance service fee			
Cost per month			
Contract Expires	Open Contract		
Sludge hauling			
Cost per load			\$240.00
Average number of gallons per load		5,000	
Number of loads this year		3	

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Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Туре	Num of Customers	Bi-Month or Month Billing	Number of bills
Residential				
Single Family	3	4	Bi-Monthly	12
Apartments/Condominiums				
Commercial				
Industrial		States and		
Other				
Total	3	4		12

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)

Name	Type of Industry	Gallons	Pretreatment of Wastes
ter and search the			

estimation de la la guadra de la servici da

D.... 07 ./ //
Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day

Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1				

Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

Count

Number of service laterals owned by the utility at end of year

Number of stubs as of end of year

Number of service laterals owned by others

		CheckList				
Item	Value 1	Value 2	Agree	Explain		
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	110410.00	110410.00	ОК	۵. ۲		 -
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	110410.00	110410.00	ОК			
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	0.0000	0.0000	OK			
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	110410.00	110410.00	ОК			
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.	110410.00	110410.00	ОК			
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	C) C	OK		i) – 12	
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	-25617.53	-25617.53	ОК			
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	C) C	ОК			
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	C) C	ОК			
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Col e	C	о с	OK			
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	C) C	OK			

		CheckList				
Item	Value 1	Value 2	Agree	Explain		
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	5853.34	5853.3	34 OK		F	
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	C	1. 12. 2.	0 ОК			
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	C		0 OK			
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	C	l.	0 ОК			
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	27256.37	27256.3	37 OK	a		
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	C		0 OK			
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	C		0 ОК			
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	239.78	239.	78 OK			
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	C		0 OK .			
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed						

Upload supporting documents

Document

Description

Supports

31:

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OATH

Commonwe	ealth of Kentucky		
County of	Oldham) ss:)	
	Lawrence W Smither	(Name of Officer) makes oath and say	S
that he/she	is Member	(Official title of officer)	of
Fox R	un Utilities, LLC		

(Exact legal title or name of respondent)

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

	January 1, 2018 , to and ir	cluding December 31, 2018
	JA-	W Signature of Officer)
subscribed and sworn to before me, a	Notary	, in and for
the State and County named in the ab	ove this April 29 20	9
		ASHLIE M. RICHERSON Notary Public (Apply Stablere) Kentucky My Commission Expires Nov 30 2021
My Commission expires	30,2021	
	(Signature of officer authorized t	o administer oath)

Title Page

the man and the state	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip	I want the state of the state o
Sewer Utilities				3, 19 . SHI -	State of the second	terr and the second	
Annual Report of					and the second		
Respondent	Lake Columbia Utilities, Inc P	.O. Box 91588		Louisville	KY	40291	Section States

ATTORNEY GENERAL EXHIBIT 7

Principal Payment and Interest Information

	Amount	Yes/No
Amount of Principal Payment During Calendar Year		
Is Principal Current?		
Is Interest Current?		

n n

Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No	Ν	
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit	 International control of the second se Second second se Second second sec	
Compilation		
Review	a the second	
Please enclose a copy of the accountant's report with annual report.		

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Additional Information Required

-	Case Num	Date	Explain
the second se	and the second	And a second	

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

Lake Columbia Utilities, Inc.

General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	State	Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	Lake Columbia Utilities	, Inc P.O. Box 91588	Louisville	KY	(502) 238-3301
Name,title, address and telphone number with area code of the person to be contacted concerning this report					
	Martin G. Cogan	1007 Doric Circle	Louisville	KY .	

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation. KY 6/22/95

General Information 5. (Ref Page: 1)

 Date

 Date sewer utility began operations
 6/22/1995

General Information 6. (Ref Page: 1)

City or Town	Community or Subdivision		County	
	Lake Columbia Estates	Bullitt		

General Information 7. (Ref Page: 1)

		Count
Number of Full-time employees	0	
Number of Part-time employees	0	

Principal Officers (Ref Page: 1)

Title	Last Name	First Name	Bus. Address	Salary or Fee
President	Smither	Lawrence	Same	\$3,600.00
Vice-President	Cogan	Martin	Same	\$4,200.00

Balance Sheet - Assets and Other Debts (Ref Page: 2)

	Balance First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$71,853.00	\$71,853.00
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$71,853.00	\$71,853.00
Net Utility Plant	\$0.00	\$0.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
less: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property		
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments		
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	\$838.00	\$931.38
Temporary Cash Investments (132)		
Notes Receivable (141)		
Customer Accounts Receivable (142)	\$1,674.00	\$1,382.80
Other Accounts Receivable (143)		
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)		
Other Current and Accrued Assets (170)		
Total Current and Accrued Assets	\$2,512.00	\$2,314.18
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)		
Total Deferred Debits		
TOTAL ASSETS AND OTHER DEBITS	\$2,512.00	\$2,314.18

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)	\$1,000.00	\$1,000.00
Preferred Capital Stock (204)		
Other Paid-In Capital (207)		
Discount on Capital Stock (213)		and the second
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	(\$250,070.00)	(\$269,873.31)
Non-Corporate Proprietorship (218)		
Total Equity Capital	(\$249,070.00)	(\$268,873.31)
LONG-TERM DEBT		
Bonds (221)		
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)		
Accounts Payable (232)	\$145,547.00	\$145,505.60
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)	\$100,035.00	\$119,681.89
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)		
Total Current and Accrued Liabilities	\$245,582.00	\$265,187.49
DEFERRED CREDITS		
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)	\$6,000.00	\$6,000.00

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$2,512.00	\$2,314.18

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$71,853.00
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$71,853.00
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	
Total Utility Plant (to pg 2 line 4)	\$71,853.00
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$71,853.00
Net Utility Plant (to pg 2 line 7)	\$0.00

Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	Item	Amount	
Balance Beginning of Year			\$71,853.00
Accruals for Year:			
Depreciation			
Amortization			
Other Accounts (detail)			
Total Accruals for Year			
Credit Adjustments (describe)			
Total Credits for Year			
Net Charges for Plant Retired:			
Book Cost of Plant Retired (same as pg 5 line 40)			
Add: Cost of Removal			No Carlos Series
Less: Salvage			and the second
Net Charges for Plant Retired			
Debit Adjustments (describe)			
Total Debit Adjustments for Year			
Balance End of Year			\$71,853.00

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
NTANGIBLE PLANT						
Organization (301)		and a subject of the first of the second				
ranchises and Consents 302)						
/lisc. Intangible Plant 303)						
otal Intangible Plant						
AND AND STRUCTURES	and the second second					
and and Land Rights 310)						
Structures and mprovements (311)						
Total Land and Structures		A STATE OF THE STATE OF		March Street	The second second	
COLLECTION PLANT						
Collection Sewers - Force 352.1)						
Collection Sewers - Gravity 352.2)		\$60,000.00	\$0.00	\$0.00	\$0.00	\$60,000.00
Other Collection Plant Facilities (353)						
Services to Customers 354)						
Flow Measuring Devices (355)				Sec. 1		
Total Collection Plant	and a second second	\$60,000.00	\$0.00	\$0.00	\$0.00	\$60,000.00
UMPING PLANT					C. Maria Managar	
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)		\$10,000.00	\$0.00	\$0.00	\$0.00	\$10,000.00
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)						
Total Pumping Plant (364)		\$10,000.00	\$0.00	\$0.00	\$0.00	\$10,000.00
TREATMENT AND DISPOSAL PLANT		TERMETRICAL	en cluma ser pau	and the second		

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)				Service Street		a second second
Freatment and Disposal Equipment (373)						
Plant Sewers (374)					Sector Sector	
Outfall Sewer Lines (375)						
Other Treatment and Disposal Plant Equip. 376)						
Fotal Treatment and Disposal Plant (371)						
GENERAL PLANT (372)						
Office Furniture and Equipment (391)		\$1,853.00	\$0.00	\$0.00	\$0.00	\$1,853.00
Fransportation Equipment 392)						
Stores Equipment (393A)		and the same of the same of the		The state of the second		
ools, Shop and Garage Equipment (393B)					L ARACA	
aboratory Equipment 393C)						
Power Operated Equipment (393D)						
Communication Equipment 393E)						
Other Tangible Property 393F)				145 M S. 1		
Total General Plant		\$1,853.00	\$0.00	\$0.00	\$0.00	\$1,853.00
TOTAL SEWER PLANT IN SERVICE		\$71,853.00	\$0.00	\$0.00	\$0.00	\$71,853.00

Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par	Stated Val Per Share of	Outstanding Shares (e)	Outstanding Amount (f)
	Common	0	\$0.00	0.0000	0	\$1,000.00
Total	Common	0	\$0.00	0.0000	0	\$1,000.00

Long-Term Debt (Ref Page: 6)

	Class and Series	Orig. Issue Amt	Date of Issue (b)	Date of Maturity (c)	Outstanding Balance	Interest Rate (e)	Interest Amt (f)
List each Original Issue Amount Class and Series of Obligation							
Total							

Notes Payable (Ref Page: 6)					
	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
nclude Notes Payable to ssociated Companies Under nis Heading)					
tal					
1 <u>18.</u> 2					
					а.

Interest Accrued (Ref Page: 6)

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
Total					

Other Current and Accrued Liabilities (Ref Page: 7)

	Description -	Amount
Total (must agree with pg 3 Acct 238)		

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Statement of Retained Earnings For the Year (Ref Page: 7)

	ltem (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		(\$250,070.00)	(\$263,090.00)
Balance Transferred From Income (435)	enables and the second s	(\$19,803.31)	\$13,020.00
Appropriations of Retained Earnings (436)			
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		(\$269,873.31)	(\$250,070.00)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		33	\$16,754.90
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)			
Total (521)		33	\$16,754.90
Measured Revenues - General Customers: (483)	and the second burner is in the second s		
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)			
Total (522)			
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)		33	\$16,754.90
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			
Total Operating Revenues			\$16,754.90
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			\$36,144.95
Depreciation Expense (403)			
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			\$413.26
Total Income Taxes-Utility Operations (from pg 10)			
Total Sewage Operating Expenses			\$36,558.21
Net Operating Income			(\$19,803.31)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
DTHER INCOME			Second Second Providence
ncome from NonUtility Operations (417)	SAUGUST CONTRACT		
nterest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)			
Total Other Income			
OTHER DEDUCTIONS			
nterest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428)			
nterest on Debt to Associated Companies (430)			
Other Interest Expense (431)			
Taxes Other than Income Taxes 408.2 (from pg 10)			
Total Income Taxes-Nonutility Operations (from og 10)			
Other Accounts (Specify Acct. No. and Title)			
Total Other Deductions			
Total Other Deductions			

Net Income

(\$19,803.31)

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	\$7,800.00
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	
Pumping System-Labor, Materials and Expenses (701-B)	
Treatment System (701-C) :	
Sludge Hauling	\$305.00
Utility Service - Water Cost	
Other-Labor, Materials and Expense	\$3,653.00
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	\$2,299.68
Chemicals (704)	
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	
Treatment an Disposal (705-C)	
Total Operation Expenses	\$14,057.68
MAINTENANCE EXPENSES	
Supervision and Engineering (710):	
Routine Maintenance Service Fee (710-A)	\$9,396.00
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	
Maintenance of Pumping System (713)	
Maintenance of Treatment and Disposal Plant (714)	\$8,129.11
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	\$17,525.11
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	\$86.16
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	\$86.16
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	
Office Supplies and Other Expenses (921)	\$276.00
Outside Services Employed (923)	\$3,000.00
Insurance Expenses (924)	
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	
Rents (931)	\$1,200.00
Maintenance of General Plant (932)	
Total Administrative and General Expenses	\$4,476.00
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	\$36,144.95

Taxes Other Than Income Taxes (Ref Page: 10)

	Item (a)	Amount (b)
Payroll Taxes		
Property Taxes		\$188.26
Utility Regulatory Commission Assessment		\$50.00
Other (specify)		
	LLET	\$175.00
Total (Same as Page 8 line 27 plus 44)		\$413.26

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Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)
Income Taxes-Federal (409.1)	
Income Taxes - State (409.1)	
Income Taxes - Other (409.1)	
Provisions for Deferred Income Taxes (410.1)	
Income Taxes Deferred in Prior Years - Credit (411.1)	
Investment Tax Credits - Net (412.0)	
Total Income Taxes - Util. Operating Income (to pg 8 line 28)	
Income Taxes - Federal (409.2)	
Income Taxes - State (409.2)	
Income Taxes - Other (409.2)	
Provisions for Deferred Income (410.2)	
Income Taxes Deferred in Prior Years - Credit (411.2)	
Investment Tax Credits - Net (412.4)	
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)	

Amortization Expense (Ref Page: 10)

Amount (b)

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 Amortization of Limited - Term Utility Plant (404)

 Amortization of Other Utility Plant (405)

 Amortization of Utility Plant Acquisition Adjustments (406)

 Amortization of Property Losses (407)

 Amortization of Rate Case Expense

 Total Amortization Expense (to pg 8 line 26)

Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?		
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		

Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

Last Name	First Name	Date
	Last Name	Last Name First Name

Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	1971	
Type of treatment process	Aeration	
Date and additional GPD Capacity of subsequent additions to plant		
Population for which plant is designed including population equivalent of industrial waste load	60 Trailer Units	

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

		Total	Cost
Total gallons received during the year		0	
Total gallons received on maximum day		3,000	
Maximum G.P.D. Capacity of the sewage treatment plant		12,000	
Routine maintenance service fee			
Cost per month			
Contract Expires	Open Contract		
Sludge hauling			
Cost per load			
Average number of gallons per load	The second second		
Number of loads this year			

Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Type Num of Custo	mers Bi-Month or Month	Billing Number of bills
Residential			
Single Family	33	Bi-Monthly	6
Apartments/Condominiums			
Commercial			
Industrial			
Other			
Total	33	In the second	6

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)

Name	Type of Industry	Gallons	Pretreatment of Wastes	
			and the second second second second	

Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

A REAL PROPERTY AND A REAL	Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
			2			
	×					
		100				
	1				a tu su da uña el	
		nesser 2 (a) ((\$12))	ados titra un bien d			

Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

Count

Number of service laterals owned by the utility at end of year

Number of stubs as of end of year

Number of service laterals owned by others

2 D		CheckList			
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	71853.00	71853.00) OK		
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	71853.00	71853.00) OK		
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	0.0000	0.0000) ОК		
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	71853.00	71853.00) ОК		
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.	71853.00	71853.00) ОК		
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	1000.00	1000.00) ОК		
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	-269873.31	-269873.31	ОК		
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	C) () ОК		
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	C) () ОК		
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Col e	C) () ОК		
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	C) (о ок		

		CheckL	.ist				
Item	Value 1	Value 2	A	gree	Explain		
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	2314.18	23	14.18	OK			
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of ncome for the Year (ref pg 8) Depreciation Expense 403)	0		0	OK			
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref og 8) Interest on Long Term Debt (427)	0		0	OK			
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	0		0	OK			
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	36144.95	3614	44.95	ОК			
Statement of Income for the Year (ref pg 8) Total ncome taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	0		0	OK			
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	0	I	0	OK			
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	413.26	4	13.26	OK			
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	C		0	ОК			
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed							

Upload supporting documents

Document

Description

Supports

OATH

Commonwe	ealth of Kentucky)	
County of	Jefferson) ss:)	
	Martin G. Cogan		makes oath and says
		(Name of Officer)	
that he/she	is Vice President		of
		(Official title of officer)	
Lake	Columbia Utilities, Inc.	ų.	
		(Exact legal title or name of respondent)	
which such report, beer Commission to have the to matters of therewith; the said report	books are kept; that he/sh h kept in good faith in acco n of Kentucky, effective du best of his/her knowledge f account, been accurately nat he/she believes that all	on over the books of account of the responde e knows that such books have, during the per rdance with the accounting and other orders ring the said period; that he/she has carefully and belief the entries contained in the said re taken from the said books of account and a other statements of fact contained in the sais statement of the business and affairs of the a	eriod covered by the foregoing of the Public Service / examined the said report and eport have, so far as they relate re in exact accordance d report are true; and that the

	January 01, 2016 , to and inclu	ding December 31, 2016
	- M	Signature of Officer)
subscribed and sworn to be	_ North & Strone	, in and for
the State and County name		April 2017
		(Apply Seal Here)
My Commission expires	Notary Public, State at Large, KY My commission expires Apr. 10, 2019	ł są
_	1. ranger	2^{\cdot}
	(Signature et officer authorized to adr	ninister oath)

[Persons making willful false statements in this report may be punished by fine or imprisonment under KRS 523.040 and 523.100.]

Titl	e	P	а	a	e

	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip
Sewer Utilities			e manageriel in t			
Annual Report of					The second second second	
Respondent	Cher .					

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ATTORNEY GENERAL EXHIBIT 8

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Principal Payment and Interest Information

	Amount	Yes/No
Amount of Principal Payment During Calendar Year		
Is Principal Current?		
Is Interest Current?		

Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No		
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		
Review		
Please enclose a copy of the accountant's report with annual report.		

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Additional Information Required

Case Num	Date	Explain
NOT STOLEN AND AND AND AND AND AND AND AND AND AN	and the second	and the second

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	State	Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	Lake Columbia Utilities, Inc	P.O. Box 91588	Louisville	KY	(502) 238-3301
Name, title, address and telphone number with area code of the person to be contacted concerning this report					
	Martin G. Cogan	1007 Doric Circle	Louisville	KY	

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation.

General Information 5. (Ref Page: 1)

Date sewer utility began operations

Date

Constal Information 6 (Def Deres 4)

	ition 6. (Ref Page: 1)		
City or Town	Community or Subdivisi	on	County
	Lake Columbia Estates	Bullitt	
NA DECEMBER 1997	$[93^{*},10^{*}] = [10] [0,10^{*},10^{*}] = [10] [0,10^{*}]$		

General Information 7. (Ref Page: 1)

Count

Number of Full-time employees

Number of Part-time employees

Principal Officers (Ref Page: 1)

	Title	Last Name	First Name	Bus. Address	Salary or Fee
The second second					
			-		
		a			
		a a state da a s			

Balance Sheet - Assets and Other Debts (Ref Page: 2)

Balanc	ce First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$71,853.00	\$71,853.00
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$71,853.00	\$71,853.00
Net Utility Plant	\$0.00	\$0.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
less: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property		
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments		
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	\$931.38	\$1,919.63
Temporary Cash Investments (132)	and the second of the second second second	
Notes Receivable (141)		
Customer Accounts Receivable (142)	\$1,382.80	\$1,441.05
Other Accounts Receivable (143)		
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)		
Other Current and Accrued Assets (170)		
Total Current and Accrued Assets	\$2,314.18	\$3,360.68
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)		
Total Deferred Debits		\$0.00
TOTAL ASSETS AND OTHER DEBITS	\$2,314.18	\$3,360.68

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)	\$1,000.00	\$1,000.00
Preferred Capital Stock (204)		
Other Paid-In Capital (207)		the second s
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	(\$269,873.31)	(\$224,825.62)
Non-Corporate Proprietorship (218)		
Total Equity Capital	(\$268,873.31)	(\$223,825.62)
LONG-TERM DEBT		
Bonds (221)		and the state of the
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt		\$0.00
CURRENT AND ACCRUED LIABILITIES		
Notes Payable (231)		
Accounts Payable (232)	\$145,505.60	\$145,505.60
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)	\$119,681.89	\$75,680.70
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)		
Total Current and Accrued Liabilities	\$265,187.49	\$221,186.30
DEFERRED CREDITS		
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		\$0.00
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)	\$6,000.00	\$6,000.00

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

and the second	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$2,314.18	\$3,360.68

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$71,853.00
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$71,853.00
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	
Total Utility Plant (to pg 2 line 4)	\$71,853.00
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$71,853.00
Net Utility Plant (to pg 2 line 7)	\$0.00

Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	Item	Amount
Balance Beginning of Year		\$71,853.00
Accruals for Year:		
Depreciation		
Amortization		
Other Accounts (detail)		
Total Accruals for Year		
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:		
Book Cost of Plant Retired (same as pg 5 line 40)		
Add: Cost of Removal		
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		
Balance End of Year		\$71,853.00

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
INTANGIBLE PLANT						
Organization (301)						
Franchises and Consents (302)						
Misc. Intangible Plant (303)	and the state of		en na hina ha sa			te andreas de la desta de En la desta de la desta de En la desta de
Total Intangible Plant	E.S. Market Mark			and a second second	a set of the second	
LAND AND STRUCTURES						
Land and Land Rights (310)						
Structures and Improvements (311)						
Total Land and Structures		all the second second second				
COLLECTION PLANT						
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)		\$60,000.00	\$0.00	\$0.00	\$0.00	\$60,000.00
Other Collection Plant Facilities (353)						
Services to Customers (354)						
Flow Measuring Devices (355)						Same Star
Total Collection Plant		\$60,000.00	\$0.00	\$0.00	\$0.00	\$60,000.00
PUMPING PLANT		a sugar sugar sugar				
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)		\$10,000.00	\$0.00	\$0.00	\$0.00	\$10,000.00
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)						
Total Pumping Plant (364)		\$10,000.00	\$0.00	\$0.00	\$0.00	\$10,000.00
TREATMENT AND DISPOSAL PLANT		STREET BAR CONT.		inter entrats		

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)						
Treatment and Disposal Equipment (373)						
Plant Sewers (374)	1					
Outfall Sewer Lines (375)						
Other Treatment and Disposal Plant Equip. (376)						
Total Treatment and Disposal Plant (371)						1. PALLERS
GENERAL PLANT (372)				and the second second second		and the second second
Office Furniture and Equipment (391)		\$1,853.00	\$0.00	\$0.00	\$0.00	\$1,853.00
Transportation Equipment (392)					ALC: NOT	
Stores Equipment (393A)						
Fools, Shop and Garage Equipment (393B)				See 26 See		
_aboratory Equipment (393C)						
Power Operated Equipment (393D)					11 10 - 10 - 10	
Communication Equipment (393E)						
Other Tangible Property (393F)						
Total General Plant		\$1,853.00	\$0.00	\$0.00	\$0.00	\$1,853.00
TOTAL SEWER PLANT IN SERVICE		\$71,853.00	\$0.00	\$0.00	\$0.00	\$71,853.00

Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par	Stated Val Per Share of	Outstanding Shares (e)	Outstanding Amount (f)
	Common	0	\$0.00 (0.0000	0	\$1,000.00
Total	Common	0	\$0.00 (0.0000	0	\$1,000.00

Long-Term Debt (Ref Page: 6)

			Date of Issue (b)	Date of Maturity (c)	Outstanding Balance	Interest Rate (e)	Interest Amt (f)
		- Internet in the second			and the second		
List each Original Issue Amount Class and Series of							
Obligation	And the second se		And the second second second				

Utai

Notes Payable (Ref Page: 6)

	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
(Include Notes Payable to Associated Companies Under This Heading)					
Total					
i otal					
			*		
1678					
		ana ang ang ang ang ang ang ang ang ang			
		in the providence of the			
Interest Accrued (Ref Page: 6)

	Description of Obligation (a)	Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
	The second s		and the second		
otal		the second se			

Other Current and Accrued Liabilities (Ref Page: 7)

	Description	Amount
Total (must agree with pg 3 Acct 238)		

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Statement of Retained Earnings For the Year (Ref Page: 7)

	item (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		(\$269,873.31)	(\$250,070.00)
Balance Transferred From Income (435)		\$45,047.69	(\$19,803.31)
Appropriations of Retained Earnings (436)			
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		(\$224,825.62)	(\$269,873.31)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		33	\$20,499.08
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)			
Total (521)		33	\$20,499.08
Measured Revenues - General Customers: (483)			
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)			
Total (522)		0	\$0.00
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)		33	\$20,499.08
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			\$0.00
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			\$0.00
Total Operating Revenues			\$20,499.08
OPERATING EXPENSES			and the second
Total Sewer Operation and Maint. Expenses (from pg 9)			\$37,565.20
Depreciation Expense (403)			a second second second second
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			\$273.63
Total Income Taxes-Utility Operations (from pg 10)			
Total Sewage Operating Expenses		A PARTY AND A P	\$37,838.83
Net Operating Income		and an and a second second second	(\$17,339.75)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
Income from NonUtility Operations (417)			
Interest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)	the second s		
Cance	llation of Debt		\$62,387.42
Total Other Income			\$62,387.42
OTHER DEDUCTIONS			
Interest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428)			
Interest on Debt to Associated Companies (430)			
Other Interest Expense (431)	and the second		
Taxes Other than Income Taxes 408.2 (from pg 10)			
Total Income Taxes-Nonutility Operations (from pg 10)			
Other Accounts (Specify Acct. No. and Title)			
Total Other Deductions			
Net Income			\$45,047.67

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
OPERATION EXPENSES	
Supervision and Engineering (700) :	
Owner/Manager-Management Fee (700-A)	\$7,800.00
Other Expenses (700-B)	
Labor and Expenses (701):	
Collection System-Labor, Materials and Expenses (701-A)	
Pumping System-Labor, Materials and Expenses (701-B)	
Treatment System (701-C) :	
Sludge Hauling	\$245.00
Utility Service - Water Cost	
Other-Labor, Materials and Expense	\$5,036.00
Rents (702)	
Fuel and Power Purchased for Pumping and Treatment (703)	\$2,119.99
Chemicals (704)	
Miscellaneous Supplies and Expenses (705):	
Collection System (705-A)	
Pumping System (705-B)	
Treatment an Disposal (705-C)	
Total Operation Expenses	\$15,200.99
MAINTENANCE EXPENSES	
Supervision and Engineering (710) :	
Routine Maintenance Service Fee (710-A)	\$9,396.00
Internal Supervision and Engineering (710-B)	
Maintenance of Structures and Improvements (711)	
Maintenance of Collection Sewer system (712)	the second se
Maintenance of Pumping System (713)	
Maintenance of Treatment and Disposal Plant (714)	\$9,939.43
Maintenance of Other Plant Facilities (715)	
Total Maintenance Expenses	\$19,335.43
CUSTOMER ACCOUNTS EXPENSES	
Supervision (901)	A BARRIER CONTRACTOR OF
Meter Reading Expenses and Flat Rate Inspections (902)	

Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)
Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	\$361.03
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	\$361.03
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	
Office Supplies and Other Expenses (921)	\$267.75
Outside Services Employed (923)	\$1,200.00
Insurance Expenses (924)	
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	
Rents (931)	\$1,200.00
Maintenance of General Plant (932)	
Total Administrative and General Expenses	\$2,667.75
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	\$37,565.20

Taxes Other Than Income Taxes (Ref Page: 10)

	item (a)	Amount (b)
Payroll Taxes		
Property Taxes		\$48.63
Utility Regulatory Commission Assessment		\$50.00
Other (specify)		
L	LET	\$175.00
Total (Same as Page 8 line 27 plus 44)		\$273.63

Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)
Income Taxes-Federal (409.1)	
Income Taxes - State (409.1)	
Income Taxes - Other (409.1)	
Provisions for Deferred Income Taxes (410.1)	
Income Taxes Deferred in Prior Years - Credit (411.1)	
Investment Tax Credits - Net (412.0)	
Total Income Taxes - Util. Operating Income (to pg 8 line 28)	
Income Taxes - Federal (409.2)	
Income Taxes - State (409.2)	
Income Taxes - Other (409.2)	
Provisions for Deferred Income (410.2)	
Income Taxes Deferred in Prior Years - Credit (411.2)	
Investment Tax Credits - Net (412.4)	
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)	

Amortization Expense (Ref Page: 10)

 Amount (b)

 Amortization of Limited - Term Utility Plant (404)

 Amortization of Other Utility Plant (405)

 Amortization of Utility Plant Acquisition Adjustments (406)

 Amortization of Property Losses (407)

 Amortization of Rate Case Expense

 Total Amortization Expense (to pg 8 line 26)

Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?		
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		

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Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			

Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant

Type of treatment process

Date and additional GPD Capacity of subsequent additions to plant

Population for which plant is designed including population equivalent of industrial waste load

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

		Total	Cost
Total gallons received during the year		0	
Total gallons received on maximum day		3,000	
Maximum G.P.D. Capacity of the sewage treatment plant		12,000	
Routine maintenance service fee		A design of the second	
Cost per month			
Contract Expires	Open Contract		
Sludge hauling			
Cost per load			
Average number of gallons per load			
Number of loads this year			

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Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Туре	Num of Customers	Bi-Month or Month Billing		Number of bills
Residential					
Single Family		33	Bi-Monthly	6	
Apartments/Condominiums					
Commercial					
ndustrial	and the second second				
Other					
Total	Na she in the local sector.	33		6	

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	Sewer Plant Statistics - Name	Type of Industry	Gallons	Pretreatment of Wastes
			<i>t</i>	
	1. ÷			
<i>x</i>				

7/47/0040

Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day

7/47/0040

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
120			2		
					4.14
			*		
		**			

.

Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

Count

Number of service laterals owned by the utility at end of year

Number of stubs as of end of year

Number of service laterals owned by others

		CheckList			
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	71853.00	71853.00) ОК		2
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	71853.00	71853.00	ОК		
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	0.0000	0.0000	о ок		
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	71853.00	71853.00	о ок		
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.	71853.00	71853.00) OK		
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	1000.00	1000.00) ОК		
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	-224825.62	-224825.62	2 ОК		
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	0.0000) () ОК		
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	C) () ОК		× 1
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Col e	C) () ОК		
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	C) (ОК		

		CheckList				
item	Value 1	Value 2	Agree	Explain		
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	3360.68	3360.6	68 OK	- "	ē	
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	0		0 ОК			
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	0		0 ОК			
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	0		0 ОК			
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	37565.20	37565.2	20 OK			
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	- 0		0 OK			
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	0		0 ОК			
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	273.63	273.6	63 OK			
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	0		0 ОК			
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed						

714710040

Upload supporting documents

Document

Description

Supports

OATH

Commonwe	alth of Kentucky)) ss:	
County of	Jefferson) 55.	
	Martin G Cogan	· ·	makes oath and says
		(Name of Officer)	
that he/she i	s Vice President		of
		(Official title of officer)	=
Lake C	olumbia Utilities, Inc.		

(Exact legal title or name of respondent)

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

		January 01, 20	17 , to and including	December 31, 2017	7
			Als	L	
			(Signat	tire of Officer)	
subscribed and sworn to be	ofore me, a	Neter	<u>(</u>	, in	and for
the State and County name	ed in the above th	nis <u>Ap</u>	Kil 30,2018		
		1		(Apply Seal Here)	
My Commission expires	Notary Public My commissi	, State at Large, on expires Dec.	KY 16, 2020		08
·	X	Monda	y iliver	ί.(а 11. г.
		(Signature of	officer authorized to administr	er oath)	

[Persons making willful false statements in this report may be punished by fine or imprisonment under KRS 523.040 and 523.100.]

Title Page	je
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	Name of Respondent	Addr Line 1	Addr Line 2	City	State	Zip	
Sewer Utilities							
Annual Report of							
Respondent	Airview Utilities, LLC	P.O. Box 91588		Louisville	KY	40291	

ATTORNEY GENERAL EXHIBIT 9

Principal Payment and Interest Information

	Amount	Yes/No	
Amount of Principal Payment During Calendar Year		\$0.00	
Is Principal Current?			
Is Interest Current?			

n n

Services Performed by Independent CPA

	Yes/No	A/C/R
Are your financial statements examined by a Certified Public Accountant?		
Enter Y for Yes or N for No	N	
If yes, which service is performed?		
Enter an X on each appropriate line		
Audit		
Compilation		
Review		
Please enclose a copy of the accountant's report with annual report.		

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Additional Information Required

Case Num	Date	Explain

General Information 1. (Ref Page: 1)

Exact name of utility making this report.

(Use the words "The", "Company" or "Incorporated" only when part of the corporate name.)

Airview Utilities, LLC

General Information 2 - 3 (Ref Page: 1)

	Name	Address	City	Stat	e Phone
Give the location, including street and number, and TELEPHONE NUMBER of the principal office in KY.					
principal office in KY	Airview Utilities, LLC	P.O. Box 91588	Louisville	KY	(502) 238-3301
Name, title, address and telphone number with area code of the person to be contacted concerning this report					
	Lawrence W Smither	Same			

General Information 4 (Ref Page: 1)

List

Name of State under the laws of which respondent is incorporated and the date of incorporation. Kentucky 2005

General Information 5. (Ref Page: 1)



General Information 6. (Ref Page: 1)

	City or Town	Community or Subdivision		County	•
Eliz	abethtown	Airview Estates Subdivision	Hardin		

General Information 7. (Ref Page: 1)

		Count
Number of Full-time employees	0	
Number of Part-time employees	0	

Principal	Officers	(Ref	Page:	1)
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	Title	Last Name	First Name	Bus. Address	Salary or Fee
P	resident	Smither	Lawrence	Company Address	\$4,800.00
V	ice-President	Cogan	Martin	Same	\$4,800.00

Balance Sheet - Assets and Other Debts (Ref Page: 2)

B	alance First of Yr	Balance End of Yr
UTILITY PLANT		
Utility Plant (101-109)	\$81,717.00	\$81,717.00
less: Accum. Prov. for Depr., Depletion and Amortization (110)	\$80,230.00	\$81,267.00
Net Utility Plant	\$1,487.00	\$450.00
OTHER PROPERTY AND INVESTMENTS		
Non-Utility Property (121)		
ess: Accum. Prov for Depr. and Amort. of Non-Utility Property (122)		
Net non-Utility Property		
Other Investments (124)		
Special Funds (125)		
Total Other Property and Investments		
CURRENT AND ACCRUED ASSETS		
Cash and Working Funds (131)	\$0.00	
Temporary Cash Investments (132)		
Notes Receivable (141)		
Customer Accounts Receivable (142)	\$21,654.76	\$35,349.66
Other Accounts Receivable (143)		
Accum. Prov. For Uncollectible Accts - CR (144)		
Notes Receivable from Assoc. Companies (145)		
Accounts Receivable from Assoc Companies (146)		
Materials and Supplies (150)		
Prepayments (166)		
Other Current and Accrued Assets (170)	\$3,275.00	\$11,638.85
Total Current and Accrued Assets	\$24,929.76	\$46,988.51
DEFERRED DEBITS		
Unamortized Debt Expense (181)		
Extraordinary Property Losses (182)		
Other Deferred Debits (183)		
Total Deferred Debits		
TOTAL ASSETS AND OTHER DEBITS	\$26,416.76	\$47,438.51

Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
EQUITY CAPITAL		
Common Capital Stock (201)		
Preferred Capital Stock (204)		
Other Paid-In Capital (207)		
Discount on Capital Stock (213)		
Capital Stock Expense (214)		
Appropriated Retained Earnings (215)		
Unappropriated Retained Earnings (216)	(\$159,316.61)	(\$169,102.61)
Non-Corporate Proprietorship (218)		
Total Equity Capital	(\$159,316.61)	(\$169,102.61)
LONG-TERM DEBT		
Bonds (221)		
Advances From Associated Companies (223)		
Other Long-Term Debt (224)		
Total Long-Term Debt	•	
CURRENT AND ACCRUED LIABILITIES		· · · · · · · · · · · · · · · · · · ·
Notes Payable (231)		
Accounts Payable (232)	\$70,466.58	\$63,494.52
Notes Payable to Associated Companies (233)		
Accounts Payable to Associated Companies (234)	\$70,559.79	\$108,339.60
Customer Deposits (235)		
Taxes Accrued (236)		
Interest Accrued (237)		
Other Current and Accrued Liabilities (238)		
Total Current and Accrued Liabilities	\$141,026.37	\$171,834.12
DEFERRED CREDITS		
Advances for Construction (252)		
Other Deferred Credits (253)		
Accum. Deferred Investment Tax Credits (255)		
Total Deferred Credits		
Operating Reserves (261-265)		
Contributions in Aid of Construction (271)	\$44,707.00	\$44,707.00
Balance Sheet - Liabilities and Other Credits (Ref Page: 3)

	Balance First of Yr.	Balance End of Yr.
ACUMULATED DEFERRED INCOME TAXES		
Accum. Def. Income Taxes-Accel. Amort (281)		
Accum. Def. INcome Taxes-Lib. Depr. (282)		
Accum. Def. Income Taxes-Other (283)		
Total Accum. Deferred Income Taxes		
TOTAL LIABILITIES AND OTHER CREDITS	\$26,416.76	\$47,438.51

Summary of Utility Plant (Ref Page: 4)

	Amount
UTILITY PLANT	
In Service:	
Plant in Service Classified (from pg 5 line 40) (101)	\$76,579.00
Completed Construction Not Classified (102)	
Utility Plant in Process of Reclassificiation (103)	
Utility Plant Purchased or Sold (106)	
Total In Service	\$76,579.00
Utility Plant Leased to Others (104)	
Property Held for Future Use (105)	
Construction Work in Progress (107)	
Utility Plant Acquisition Adjustments (108)	
Other Utility Plant Adjustments (109)	\$5,138.00
Total Utility Plant (to pg 2 line 4)	\$81,717.00
Less:	
Accumulated Provision for Depreciation and Amort. of Utility Plant (to pg 2 line 6) (110)	\$81,267.00
Net Utility Plant (to pg 2 line 7)	\$450.00

Accum. Prov. for Depreciation and Amortization of Utility Plant (Ref Page: 4)

	ltem	Amount
Balance Beginning of Year		\$80,230.00
Accruals for Year:		
Depreciation		\$1,037.00
Amortization		
Other Accounts (detail)		
Total Accruals for Year		\$1,037.00
Credit Adjustments (describe)		
Total Credits for Year		
Net Charges for Plant Retired:		
Book Cost of Plant Retired (same as pg 5 line 40)		
Add: Cost of Removal		
Less: Salvage		
Net Charges for Plant Retired		
Debit Adjustments (describe)		
Total Debit Adjustments for Year		
Balance End of Year		\$81,267.00

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
INTANGIBLE PLANT						
Organization (301)						
Franchises and Consents (302)						
Misc. Intangible Plant (303)						
Total Intangible Plant						
LAND AND STRUCTURES						
Land and Land Rights (310)						
Structures and Improvements (311)						
Total Land and Structures						
COLLECTION PLANT				A STATE OF THE STATE		
Collection Sewers - Force (352.1)						
Collection Sewers - Gravity (352.2)						
Other Collection Plant Facilities (353)				and the second		
Services to Customers (354)		and the second				
Flow Measuring Devices (355)						
Total Collection Plant						
PUMPING PLANT						
Receiving Wells and Pump Pits (362)						
Pumping Equipment - Electric (363A)					and the second	
Pumping Equipment- Diesel (363B)						
Pumping Equipment - Other (363C)						
Total Pumping Plant (364)			A LINE OF THE OWNER	and a state of the		
TREATMENT AND DISPOSAL PLANT		RODDERD ARMIN	ARCRET FILL LUN			

Sewer Utility Plant In Service (Ref Page: 5)

	Depr Rate	Balance Beg of Year	Additions	Retirements	ReClass (Debit-Credit)	Balance End of Year
Oxidation Lagoon (372)	1.8 2.2 2			144 - 14 - 14 - 14 - 14 - 14 - 14 - 14	Real Providence	State State State
Treatment and Disposal Equipment (373)		\$76,579.00	\$0.00	\$0.00	\$0.00	\$76,579.00
Plant Sewers (374)						
Outfall Sewer Lines (375)						
Other Treatment and Disposal Plant Equip. (376)						
Total Treatment and Disposal Plant (371)		\$76,579.00	\$0.00	\$0.00	\$0.00	\$76,579.00
GENERAL PLANT (372)						
Office Furniture and Equipment (391)	N					
Transportation Equipment (392)						
Stores Equipment (393A)			CALL COLOR			
Tools, Shop and Garage Equipment (393B)						
Laboratory Equipment (393C)						
Power Operated Equipment (393D)					1	
Communication Equipment (393E)						
Other Tangible Property (393F)				and the state		
Total General Plant						
TOTAL SEWER PLANT IN SERVICE		\$76,579.00	\$0.00	\$0.00	\$0.00	\$76,579.00

Capital Stock (Ref Page: 6)

	Class and Series (a)	No. of Shares Auth. (b)	Par Val per Share of Par	Stated Val Per Share of	Outstanding Shares (e)	Outstanding Amount (f)
Total						

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Long-Term Debt (Ref Page: 6)

	Class and Series	Orig. Issue Amt	Date of Issue (b)	Date of Maturity (c)	Outstanding Balance	Interest Rate (e)	Interest Amt (f)
List each Original Issue Amount Class and Series of Obligation							
Total							

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Notes Payable (Ref Page: 6)					
	Name of Payee (a)	Date Of Note (b)	Date of Maturity (c)	Interest Rate (d)	Balance End of Year (e)
(Include Notes Payable to Associated Companies Under This Heading)					
Total					

and the set of a second of a set of the set of the set of the second of the second of the second of

Interest Accrued (Ref Page: 6)

	Description of Obligation (a) Int. Accr. Balance First of Yr	Int. Accr. During Yr (c)	Int. Paid During Yr (d)	Int. Accr. Balance End of Yr
in the second			and the second secon	Here was
Total				

Other Current and Accrued Liabilities (Ref Page: 7)

Description

Amount

Total (must agree with pg 3 Acct 238)

Note An Cot Relatives Le Minox For Lie Your Courses

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Statement of Retained Earnings For the Year (Ref Page: 7)

	Item (a)	This Year (b)	Last Year (c)
UNAPPROPRIATED RETAINED EARNINGS (216)			
Balance Beginning of Year		(\$159,316.61)	(\$194,347.24)
Balance Transferred From Income (435)		(\$9,786.00)	\$35,030.63
Appropriations of Retained Earnings (436)			
Dividends Declared-Preferred Stock (437)			
Dividends Declared-Common Stock (438)			
Adjustments to Retained Earnings (439)			
Balance End of Year		(\$169,102.61)	(\$159,316.61)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OPERATING REVENUES			
Flat Rate Revenues-General Customers:			
Residential Revenues (521.1)		203	\$98,338.31
Commercial Revenues (521.2)			
Industrial Revenues (521.3)			
Revenues From Public Authorities (521.4)			
Total (521)		203	\$98,338.31
Measured Revenues - General Customers: (483)			
Residential Revenues (522.1)			
Commercial Revenues (522.2)			
Industrial Revenues (522.3)			
Revenues From Public Authorities (522.4)			
Total (522)			
Revenues From Public Authorities (523)			
Revenues From Other Systems (524)			
Miscellaneous Sewage Revenues (526)			
Total Sewage Service Revenues (521-526)		203	\$98,338.31
OTHER OPERATING REVENUES			
Customers Forfeited Discounts (532)			
Miscellaneous Operating Revenues (536)			
Total Other Operating Revenues			
Total Operating Revenues			\$98,338.31
OPERATING EXPENSES			
Total Sewer Operation and Maint. Expenses (from pg 9)			\$106,278.57
Depreciation Expense (403)			\$1,037.00
Amortization Expense (from pg 10) (404-407)			
Taxes Other Than Income taxes (from pg 10) (408.1)			\$808.74
Total Income Taxes-Utility Operations (from pg 10)			
Total Sewage Operating Expenses	Constant Constant of Constant		\$108,124.31
Net Operating Income	IT		(\$9,786.00)

Statement of Income for the Year (Ref Page: 8)

	Description	Number Customers (b)	Amount (c)
OTHER INCOME			
Income from NonUtility Operations (417)			
Interest and dividend Income (419)			
Miscellaneous Nonoperating Income (421)			
Other Accounts (Specify Acct. No & Title)			
Total Other Income			
OTHER DEDUCTIONS			
Interest on Long-Term Debt (427)			
Amortization of Debt Discount and Expense (428)			
Interest on Debt to Associated Companies (430)			
Other Interest Expense (431)			
Taxes Other than Income Taxes 408.2 (from pg 10)			
Total Income Taxes-Nonutility Operations (from pg 10)			
Other Accounts (Specify Acct. No. and Title)			
Total Other Deductions			
Net Income			(\$9,786.00)

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Sewer Operation and Maintenance Expenses (Ref Page: 9)

	Amount (b)	
OPERATION EXPENSES		
Supervision and Engineering (700) :		
Owner/Manager-Management Fee (700-A)	\$9,600.00	
Other Expenses (700-B)		
Labor and Expenses (701):		
Collection System-Labor, Materials and Expenses (701-A)	\$13,260.00	
Pumping System-Labor, Materials and Expenses (701-B)		
Treatment System (701-C) :		
Sludge Hauling	\$1,965.00	
Utility Service - Water Cost	\$5,969.59	
Other-Labor, Materials and Expense		
Rents (702)		
Fuel and Power Purchased for Pumping and Treatment (703)	\$9,304.13	
Chemicals (704)	\$2,428.10	
Miscellaneous Supplies and Expenses (705):		
Collection System (705-A)		
Pumping System (705-B)		
Treatment an Disposal (705-C)		
Total Operation Expenses	\$42,526.82	
MAINTENANCE EXPENSES		
Supervision and Engineering (710):		
Routine Maintenance Service Fee (710-A)	\$12,087.50	
Internal Supervision and Engineering (710-B)		
Maintenance of Structures and Improvements (711)		
Maintenance of Collection Sewer system (712)		
Maintenance of Pumping System (713)		
Maintenance of Treatment and Disposal Plant (714)	\$26,861.69	
Maintenance of Other Plant Facilities (715)		
Total Maintenance Expenses	\$38,949.19	
CUSTOMER ACCOUNTS EXPENSES		
Supervision (901)		
Meter Reading Expenses and Flat Rate Inspections (902)		

Sewer Operation and Maintenance Expenses (Ref Page: 9)

Customer Records and Collection Expenses (903):	
Agency Collection Fee (903-A)	
Internal Labor, Materials and Expenses (903-B)	
Uncollectible Accounts (904)	\$6,361.49
Miscellaneous Customer Accounts Expenses (905)	
Total Customer Accounts Expenses	\$6,361.49
ADMINISTRATIVE AND GENERAL EXPENSES	
Administrative and General Salaries (920)	
Office Supplies and Other Expenses (921)	\$4,542.71
Outside Services Employed (923)	\$11,639.00
Insurance Expenses (924)	\$1,000.00
Employee Pensions and Benefits (926)	
Regulatory Commission Expense (928)	
Transportation Expenses (929)	
Miscellaneous General Expenses (930)	\$751.86
Rents (931)	\$507.50
Maintenance of General Plant (932)	
Total Administrative and General Expenses	\$18,441.07
TOTAL SEWER OPERATION AND MAINTENANCE EXPENSES (to pg 8)	\$106,278.57

Taxes Other Than Income Taxes (Ref Page: 10)

	Ite	em (a)	Amount (b)	
Payroll Taxes				
Property Taxes				\$429.07
Utility Regulatory Commission Assessment				\$189.67
Other (specify)				
	LLET			\$175.00
	Filing Fee			\$15.00
Total (Same as Page 8 line 27 plus 44)				\$808.74

Operating and Non-Operating Income Taxes (Ref Page: 10)

	Amount (b)
Income Taxes-Federal (409.1)	
Income Taxes - State (409.1)	•
Income Taxes - Other (409.1)	
Provisions for Deferred Income Taxes (410.1)	
Income Taxes Deferred in Prior Years - Credit (411.1)	
Investment Tax Credits - Net (412.0)	
Total Income Taxes - Util. Operating Income (to pg 8 line 28)	
Income Taxes - Federal (409.2)	
Income Taxes - State (409.2)	
Income Taxes - Other (409.2)	
Provisions for Deferred Income (410.2)	
Income Taxes Deferred in Prior Years - Credit (411.2)	
Investment Tax Credits - Net (412.4)	
Total Inc. Taxes - Nonutil. Op. Income (to pg 8 line 45)	

Amortization Expense (Ref Page: 10)

	Amount (b)
Amortization of Limited - Term Utility Plant (404)	
Amortization of Other Utility Plant (405)	
Amortization of Utility Plant Acquisition Adjustments (406)	
Amortization of Property Losses (407)	
Amortization of Rate Case Expense	
Total Amortization Expense (to pg 8 line 26)	

Sewer Plant Statistics - Plant Valuation 1 - 3 (Ref Page: 11)

	Description	Percentage
What method of valuation was used with reference to Sewer Utility Plant in Service Appearing on page two line four: Original Cost, Estimated Cost, Origainal Cost Study?		
What percentage of Sewer Utility Plant in Service was recovered, by the developer of hte subdivision, through the sale of lots?		100.0000
If less than one-hundred percent of the utility plant was recovered, please designate what portion (collection lines, treatement plant, etc.) of the plant that represents non-contributed plant		

Sewer Plant Statistics - Plant Valuation 4. (Ref Page: 11)

	Last Name	First Name	Date
By whom were the books of account audited? What was the date of the last audit?			
If unaudited in the past twelve months, when and by whom is the next audit anticipated?			

Sewer Plant Statistics - Physical Data of Sewer Plant (Ref Page: 11)

Date of Construction of original Plant	1972
Type of treatment process	Aeration
Date and additional GPD Capacity of subsequent additions to plant	
Population for which plant is designed including population equivalent of industrial waste load	201 Single Family

Sewer Plant Statistics - Treatment Plant Operating Statistics (Ref Page: 11)

		Total	Cost
Total gallons received during the year			
Total gallons received on maximum day		35,000	
Maximum G.P.D. Capacity of the sewage treatment plant			
Routine maintenance service fee			
Cost per month			
Contract Expires	Open Contract		
Sludge hauling			
Cost per load			\$330.00
Average number of gallons per load			
Number of loads this year		6	

2017/2018 / EAGE & TRADERS (1917) & FILD & F

Sewer Plant Statistics - Customer Statistics End of Year (Ref Page: 12)

	Туре	Num of Customers	Bi-Month or Month Billing	Number of bills
Residential				
Single Family		203	Monthly	12
Apartments/Condominiums				
Commercial				and the second se
Industrial	and the second second			
Other				
Total		203		12

Sewer Plant Statistics - Industrial Customers Served (Ref Page: 12)

Name	Type of Industry	Gallons	Pretreatment of Wastes
Sec. 1			

Sewer Plant Statistics - Pumping Stations (Ref Page: 12)

Location	Size of Motor	Type of Motor	Gals per Day

Sewer Plant Statistics - Mains (Feet) (Ref Page: 12)

	Kind of Pipe	Diameter	Num of Feet	additions	removed	Feet End of Year
* * *						
1						
				*)		
					1. A	
	-					
			10 p== ft C	$[0,1]^{m}, (x \sim A^{m} T^{m})^{R},$		

Sewer Plant Statistics - Service Laterals and Stubs (Ref Page: 12)

Count

Number of service laterals owned by the utility at end of year

Number of stubs as of end of year

Number of service laterals owned by others

CheckList						
Item	Value 1	Value 2	Agree	Explain		
Balance Sheet (ref pg 2) Line Utility Plant (101-109) agrees with Sched Summary of Utility Plant (ref. pg 4) Total Utility Plant	81717.00	81717.00	OK			
Balance Sheet (ref pg 2) Line Less: Acct 110 agrees with Sched Summary of Utility Plant (ref pg 4) Line Total Utility Plant	81267.00	81267.00	OK OK			
Balance Sheet (ref pg 2) Line Net Utility Plant agrees with Summary of Utility Plant (ref pg 4) Line Net Utility Plant	450.00	450.00) OK			
Summary of Utility Plant (ref pg 4) Plant in Service Classified (Acct 101) agrees with Sched Sewer Plant in Service (ref pg 5) Total Sewer Plant in Service	76579.00 1	76579.00	OK			
Accum. Prov for Deprec. and Amort (Ref pg 4) Balance End of Year agrees with Sched Summary of Utility	81267.00	81267.00) OK			
Plant (ref pg 4) Accumulated Prov. for Depr. and Amort.						
Balance Sheet (ref pg 3) Sum of Common Capital Stock (201) and Preferred Capital Stock (204) agrees with Schedule Capital Stock (ref pg 6)	0	C) ОК			
Balance Sheeet (ref pg 3) Unappropriated Retained Earnings (216) agrees with Sched Statement of Retained Earnings (ref pg 7) Balance End of Year	-169102.61	-169102.61	ОК			
Balance Sheet (ref pg 3) Total Long Term Debt (224) agrees with Sched Long Term Debt (ref pg 6) Total col d	0	C) ОК			
Balance Sheet (ref pg 3) The sum of Notes Payable (231) and (233) agrees with Sched Notes Payable (ref pg 6) Total Col e	0	C) ОК			
Balance Sheet (ref pg 3) Interest Accrued (237) agrees with Sched Interest Accrued (ref pg 6) Total Co e	0 	C	ОК			
Balance Sheet (ref pg 3) Current and Accrued Liabilities (238) agrees with Sched Other Current and Accrued Liabilities (ref pg 7) Total	0	C	О ОК		1997 (1997) (n. 1997) (1997) 19	

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CheckList					
Item	Value 1	Value 2	Agree	Explain	
Balance Sheet (ref pg 3) Total Liabilities and Other Credits agrees with Balance Sheet (ref pg 2) Total Assets and Other Debits	47438.51	47438.57	і ок		
Accum Prov. for Depreciation and Amortization (ref. pg 4) Depreciation agrees with Sched Statement of Income for the Year (ref pg 8) Depreciation Expense (403)	1037.00	1037.00) ОК		
Sched Long Term Debt (ref pg 6) Total Interest Amount agrees with Sched Statement of Income (ref pg 8) Interest on Long Term Debt (427)	C	() ОК		
Interest Accrued (ref pg 6) Total Interest Accrued agrees with Sched Statement of Income (ref pg 8) Other Interest Expense (431)	C	() OK		
Statement of Income for the Year (ref pg 8) Total Sewer Operation and Maint. Expenses agrees with Sched Sewer Operation and Maintenance Expenses (ref pg 9) Total Sewer Operation and Maint. Exp	106278.57	106278.57	7 OK		
Statement of Income for the Year (ref pg 8) Total Income taxes - Utility Operations agrees with Sched Operating and Non-Operating Income Taxes (ref pg 10) Total Income Taxes - Util Operating Income	C	() ОК		
Statement of Income for the Year (ref pg 8) Amortization Expense agrees with Sched Amortization Expense (ref pg 10) Total Amortization Expense	C	(о ок		
Statement of Income for the Year (ref pg 8) The sum of lines Taxes Other than Income Taxes 408.1 and 408.2 agrees with Taxes Other Than Income (ref pg 10)	808.74	808.74	4 OK		
Statement of Income for the Year (ref pg 8) Total Income Taxes - Nonutility Operations agrees with Sched Operating and Non-Operating Income Taxes Total Inc. Taxes - Nonutil. Op Income	C) ОК		
Schedule Sewer Plant Statistics (ref pg 11 and 12) have been completed					

Upload supporting documents

Document

Description

Supports

Utility ID: 9002400

OATH

Commonwealth of Kentucky)
County of Oldham) \$\$:
Lawrence W Smither	makes oath and says
that he/she is Member	(Official title of officer)
Airview Utilities, LLC	

(Exact legal title or name of respondent)

that it is his/her duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he/she knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Public Service Commission of Kentucky, effective during the said period; that he/she has carefully examined the said report and to have the best of his/her knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he/she believes that all other statements of fact contained in the said report are true; and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including

	Janua	ary 1, 2018	, to and including	December 31, 2018
	2	À	Signati	ure of Officer)
subscribed and sworn to befor	re me, a <u>NAter</u>	M		, in and for
the State and County named i	n the above this	April.	29.2019	
				SHLIE M. RICHERSON (Address Barbere) State at Large- Kentucky
My Commission expires	NOU. 30, 2	1021	Му Сол	nmission Expires Ney 30 2021
	Adio	Signature of office	r authorized to administr	er oath)

STITES& HARBISON PLLC

ATTORNEYS

June 16, 2016

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Aaron D. Greenwell Acting Executive Director Public Service Commission 211 Sower Boulevard P.O. Box 615 Frankfort, KY 40602-0615 421 West Main Street Frankfort, KY 40601 [502] 223-3477 [502] 223-4124 Fax

Robert C. Moore (502) 209-1218 (502) 779-8214 FAX rmoore@stites.com

RECEIVED

JUN 16 2016

PUBLIC SERVICE COMMISSION

RE: Airview Utilities, LLC

Case No. 2016-00207

Dear Mr. Greenwell:

Please find enclosed and accept for filing an original and ten (10) copies of Airview Utilities, LLC's Notice of Surrender and Abandonment of Utility Property.

Please contact me if you have any questions concerning this filing.

Sincerely,

Robert C. Moore

RCM:pjt Enclosures

EC69:46570:25712:1:FRANKFORT

RECEIVED

JUN 16 2016

COMMONWEALTH OF KENTUCKY BEFORE THE PUBLIC SERVICE COMMISSION

PUBLIC SERVICE COMMISSION

In the Matter of:

AIRVIEW UTILITIES, LLC'S NOTICE OF SURRENDER AND ABANDONMENT OF UTILITY PROPERTY

) Case No. 2016-<u>00207</u>

AIRVIEW UTILITIES, LLC'S NOTICE OF SURRENDER AND ABANDONMENT OF UTILITY PROPERTY

Comes Airvew Utilities, LLC, (hereinafter "Airview"), by counsel, and hereby provides notice to the Public Service Commission ("Commission") of its surrender, pursuant to KRS 278.021(2)(a), of all of its property interests and rights in and to the property owned by Airview, necessary to provide utility service, which property is located in Hardin County, Kentucky. Airview is surrendering this property based on the following factors.

1) Petitioner, a Kentucky Limited Liability Company, is a "utility" which owns a facility for the treatment of sewage as defined in KRS 224.010(3)(f); its only facility is a Wastewater Treatment Plant ("WWTP") and collection system located in Hardin County, Kentucky serving portions of Hardin County and the City of Elizabethtown, and it is located near the Elizabethtown, Kentucky city limits.

2) Petitioner hereby notifies the Commission that it is surrendering and abandoning all of its property interests and rights in and to the property owned by Airview, necessary to provide service to its customers because it is unable to meet its financial obligations to its vendors, suppliers and service entities. Additionally, the Airview WWTP is currently over forty (40) years old and needs significant upgrades, including but not limited to a new chlorine contact tank, dechlorination equipment, a new flow-meter and the tertiary lagoon needs to be cleaned. Due to the cost of these upgrades, it appears economically prudent to dismantle the Airview

WWTP and connect it to an existing sanitary sewer system instead of requiring Airview's ratepayers to bear the cost of these upgrades. Due to Airview Utilities' current economic condition, it is unable to adequately serve its ratepayers and will be unable to protect the waters of the Commonwealth of Kentucky from degradation when the Airview WWTP deteriorates.

3) Airview is a small utility company that provides sanitary sewage treatment for approximately 203 customers located in Hardin County, Kentucky. These customers are to pay a monthly fee of \$41.36 for sanitary sewage treatment for a total possible monthly revenue to Airview of approximately \$8,396.08, and a total possible annual gross revenue of approximately \$100,752.96. As a privately owned utility, Airview has suffered significant difficulty in obtaining payment for its services, and this difficulty has contributed substantially to Airview's needed Airview inability for the upgrades the WWTP. to pay to

4) Airview's monthly expenses significantly exceed its monthly revenue, and it is unable to make needed upgrades to the Airview WWTP.

5) Airview therefore, pursuant to KRS 278.021(2)(a), surrenders and abandons to the Commission all of its property interests and rights in and to the property owned by the utility that is necessary to provide utility service.

WHEREFORE the Petitioner moves the Public Service Commission to enter an order which finds:

a) That the Petitioner has surrendered and abandoned its Airview wastewater treatment plant and collection system located in Hardin County, Kentucky pursuant to KRS 278.021(2)(a); and

b) That the Airview WWTP and collection system operated by the Petitioner in Hardin County, Kentucky is surrendered and abandoned and Commission Staff is authorized to file an

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action in the Franklin Circuit Court seeking an Order attaching the assets of that WWTP and placing those assets under the sole responsibility of a Receiver in accordance with KRS 278.021(1).

Respectfully submitted,

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Robert C. Moore Katie M. Glass STITES & HARBISON PLLC 421 West Main Street P.O. Box 634 Frankfort, KY 40602-0634 Telephone: (502) 223-3477 Email: <u>rmoore@stites.com</u> Email: <u>kglass@stites.com</u>

Counsel for Airview Utilities, LLC

*Kingswood Development, Inc. 9201 Lena Lane Louisville, KY 40299-5400

*Justin M. McNeil Office of the Attorney General Office of Rate 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

*Kathryn A Eckert McBrayer PLLC 201 East Main Street Suite 900 Lexington, KENTUCKY 40507 *Rebecca W Goodman Assistant Attorney General Office of the Attorney General Office of Rate 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

*Marshall County Environmental Services, LLC 522 US Hwy 68 West Benton, KY 42025

*Bluegrass Water Utility Operation Company, LLC 500 Northwest Plaza Dr., Ste. 500 , MONTANA 63074 *LH Treatment Company, LLC 134 Prater Drive Georgetown, KY 40324

*R Mitten Bluegrass Water Utility Operation Company, LLC 500 Northwest Plaza Dr., Ste. 500 , MONTANA 63074

*Kent Chandler Assistant Attorney General Office of the Attorney General Office of Rate 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

*Katherine Yunker McBrayer PLLC 201 East Main Street Suite 900 Lexington, KENTUCKY 40507

*P. R. Wastewater Management, Inc. 72 Persimmon Ridge Drive Louisville, KY 40245 *Airview Utilities, LLC

*Lake Columbia Utilities, Inc.

1007 Doric Circle

Louisville, KY 40205

1706 Bardstown Road Louisville, KY 40205

*Fox Run Utilities, LLC 1706 Bardstown Road Louisville, KY 40205

*Larry Cook Assistant Attorney General Office of the Attorney General Office of Rate 700 Capitol Avenue Suite 20 Frankfort, KENTUCKY 40601-8204

*Brocklyn Utilities, LLC 1706 Bardstown Road P. O. Box 91588 Louisville, KY 40205