



**Matthew G. Bevin**  
Governor

**Charles G. Snaveley**  
Secretary  
Energy and Environment Cabinet

Commonwealth of Kentucky  
**Public Service Commission**  
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**Michael J. Schmitt**  
Chairman

**Robert Cicero**  
Vice Chairman

**Daniel E. Logsdon Jr.**  
Commissioner

April 3, 2017

John B Baughman  
Baughman Harp, PLLC Attorneys at Law  
401 West Main Street, Suite 1  
Frankfort, KY 40601

Ridgelea Investments, Inc.  
2106 W North Bend Road  
Cincinnati, OH 45224

RE: Ridgelea Investments, Inc.  
Case No. 2017-00153 - Filing Deficiencies

The Commission Staff has reviewed the application in the above case. This filing is rejected for the reasons set forth below.

Filing deficiencies pursuant to:

- (1) 807 KAR 5:001: Section 14(1) – Full name, mailing address, and e-mail address of applicant and a reference to KRS 278.020(5) or KRS 278.020(6) or both.
- (2) 807 KAR 5:001: Section 14(2) – If applicant is a corporation, the applicant shall identify in the application the state in which it is incorporated and the date of its incorporation, attest that it is currently in good standing in the state in which it is incorporated, and, if it is not a Kentucky corporation, state whether it is authorized to transact business in Kentucky.

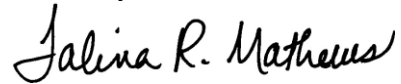
Although not an initial filing requirement, the following information should be filed to expedite review of the application:

- Signed Purchase/Sale Agreement, or other writing memorializing the terms of transfer.
- If a sewer, the buyer must have a 3rd party beneficiary agreement or other evidence of financial integrity deemed sufficient by the PSC.
- KRS 278.020(5) – The names and qualifications of operating personnel, and any other evidence to show new owners have financial, technical, and managerial abilities to operate system, e.g. income tax records, financial statements, etc.

You are requested to submit the information necessary to cure this deficiency within 10 days of the date of this letter.

If you need further assistance, please contact my staff at 502-564-3940.

Sincerely,

A handwritten signature in black ink that reads "Talina R. Mathews". The signature is written in a cursive, flowing style.

Talina R. Mathews  
Executive Director

\*John B Baughman  
Baughman Harp, PLLC Attorneys at Law  
401 West Main Street, Suite 1  
Frankfort, KENTUCKY 40601

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