



Steven L. Beshear
Governor

Leonard K. Peters
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission

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P.O. Box 615
Frankfort, Kentucky 40602-0615
Telephone: (502) 564-3940
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David L. Armstrong
Chairman

James Gardner
Vice Chairman

Charles R. Borders
Commissioner

November 23, 2009

Honorable W. Randall Jones
Attorney at Law
Rubin & Hays
Kentucky Home Trust Building
450 South Third Street
Louisville, KY 40202

RE: Case No. 2009-00447
Carroll County Water District #1

The Commission staff has reviewed your application in the above case and finds that it meets the minimum filing requirements. Enclosed please find a stamped filed copy of the first page of your filing. This case has been docketed and will be processed as expeditiously as possible.

If you need further assistance, please contact my staff at 502/564-3940.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeff Derouen".

Jeff Derouen
Executive Director

JD/tw
Enclosure



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Jim Smith
Manager
Carroll County Water District #1
205 Main Cross
P. O. Box 350
Ghent, KY 41045

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FILED

NOV 17 2009

PUBLIC SERVICE
COMMISSION

RECEIVED

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PUBLIC SERVICE
COMMISSION

COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

**THE APPLICATION OF CARROLL COUNTY
WATER DISTRICT NO. 1 FOR A CERTIFICATE
OF PUBLIC CONVENIENCE AND NECESSITY TO
CONSTRUCT, FINANCE AND INCREASE RATES
PURSUANT TO KRS 278.023.**

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Case No. 2009-4477

APPLICATION

This Application of the Carroll County Water District No. 1 ("Applicant") of Carroll, Gallatin and Owen Counties, Kentucky, respectfully shows:

1. That Applicant is a water district of Carroll, Gallatin and Owen Counties, Kentucky, created and existing under and by virtue of Chapter 74 of the Kentucky Revised Statutes.

2. That the post office address of Applicant is:

Carroll County Water District No. 1
c/o Mr. Jim Smith, Manager
P.O. Box 350
Ghent, Kentucky 41045

3. That Applicant, pursuant to the provisions of KRS 278.020 and 278.023, seeks (i) a Certificate of Public Convenience and Necessity, permitting Applicant to construct a waterworks construction project, consisting of extensions, additions, and improvements (the "Project") to the existing waterworks system of Applicant; (ii) an Order approving increased rates; and (iii) approval of the proposed plan of financing said Project.

4. That the project consists of the construction and installation of (i) approximately 22,000 linear feet of 10 and 8 inch water main; (ii) approximately 6,000 linear feet of 6 inch water main; (iii) approximately 6,000 linear feet of 4 inch water main; (iv) a 300,000 gallon elevated water storage tank; and (v) a booster pump station and appurtenances.

5. That Applicant proposes to finance the construction of the Project through (i) the issuance of \$1,246,000 of its Waterworks Revenue Bonds; (ii) a USDA, Rural Development ("RD") grant in the amount of \$410,000; and (iii) an Applicant contribution in the amount of \$100,000. Applicant has a commitment from RD to purchase said \$1,246,000 of bonds maturing over a 40-year period, at an interest rate of not exceeding 4.625% per annum, as set out in the RD Letter of Conditions, as amended, filed herewith as an Exhibit.