

#### VIA HAND DELIVERY

August 31, 2009

Mr. Jeff Derouen Kentucky Public Service Commission 211 Sower Boulevard Frankfort, Kentucky 40602-0615 139 East Fourth Street, R. 25 At II P.O. Box 960 Cincinnati, Ohio 45201-0960 Tel: 513-419-1837 Fax: 513-419-1846 dianne.kuhnell@duke-energy.com

Dianne B. Kuhnell. Senior Paralegal

AUG 3 1 2009
PUBLIC SERVICE

COMMISSION

RECEIVED

Re: In the Matter of the Adjustment of Gas Rates of Duke Energy Kentucky, Inc., Case No. 2009-00202

Enclosed please find the following documents for filing in the above- referenced case:

- 1. Duke Energy Kentucky's responses to Staff Second Set of Data Requests; original and ten copies each of one volume;
- 2. Duke Energy Kentucky's response to Attorney General First Set of Data Requests, original and ten copies each of six volumes;
- 3. CD containing electronic responses to Staff data requests, one original CD and ten copies;
- 4. CDs containing electronic responses to Attorney General data requests, five original CDs and ten copies of each;
- 5. Petition of Duke Energy Kentucky, Inc. For Confidential Treatment of Information Contained in Its Second Set of Responses to Discovery Propounded by Commission Staff and the First Set of Responses to Discovery Propounded by Attorney General,
- 6. Confidential Proprietary Trade Secret information for each set of data responses (Staff & AG) enclosed in envelopes marked Confidential;
- 7. Duke Energy Kentucky's Motion for Waiver and Request to Submit Responses to Certain Data Requests in an Electronic Form, one original and ten copies of each;
- 8. Duke Energy Kentucky's response Supplemental Staff-DR-01-045, one original and ten copies.

Please date-stamp the two extra copies of this transmittal letter, the Petition for Confidential Treatment, the Supplemental Staff-DR-01-045 and the Motion for Waiver and return to me in the envelope provided. Thank you for your consideration in this matter.

Very truly yours,

Dianne B. Kuhnell Senior Paralegal

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cc: Hon. Paul Adams Hon. Larry Cook

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#### COMMONWEALTH OF KENTUCKY

# RECEIVED

#### BEFORE THE PUBLIC SERVICE COMMISSION

AUG 3 1 2009
PUBLIC SERVICE

In the Matter of:

COMMISSION

AN ADJUSTMENT OF THE GAS RATES OF DUKE ENERGY KENTUCKY, INC.

CASE NO. 2009-00202

Duke Energy Kentucky, Inc's Motion for Waiver and Request to Submit Responses to Certain

Data Requests in an Electronic Form

Now comes Duke Energy Kentucky, Inc. ("Duke Energy Kentucky" or "Company"), pursuant to 807 KAR 5:001, Section 10 and respectfully requests the Kentucky Public Service Commission ("Commission") grant a limited waiver regarding paragraph 4(a) of its July 31, 2009 Order establishing a procedural schedule ("Scheduling Order") in the above-styled case. Specifically, paragraph 4(a) states that "[a]ll requests for information and responses thereto shall be appropriately bound, tabbed, and indexed and the original and 10 copies shall be filed with the Commission, with copies to all parties of record." Duke Energy Kentucky requests a limited waiver to permit the Company to provide responses to several of the issued data requests in an electronic form rather than in a "paper copy" form. This request is due to the large volume of paper required to provide actual paper copies for all responses. Where it was able, Duke Energy Kentucky is providing paper copies of the various attachments required to respond to the data requests. Consistent with the Scheduling Order, Duke Energy Kentucky is providing tabbed responses to all data requests as required under the Commission's Scheduling Order, but many of the responses will refer to a file located on compact disk ("CD") that includes the responsive data. Duke Energy Kentucky has identified the electronic responses below. Indeed, many of the

<sup>1</sup> Order at 3.

requests themselves requested a response to be submitted in an electronic form.

Several responses provided electronically include confidential information. Duke Energy Kentucky has taken care to identify the confidential electronic data and is providing the confidential data on separate CDs from the non-confidential information so as not to confuse confidential and non-confidential information. Duke Energy Kentucky is submitting the confidential information with a Motion for Protective Treatment.

Duke Energy Kentucky has contacted the Attorney General, who thus far is the only intervening party to this proceeding, and discussed providing several responses in an electronic form in lieu of paper copy. The Attorney General indicated that there was no objection.

Wherefore, Duke Energy Kentucky requests that the Commission accept the electronic responses included on CDs as satisfying the Commission's Scheduling Order. In support of this Petition, Duke Energy Kentucky respectfully states:

- 1. Duke Energy Kentucky is a Kentucky corporation with its principal office and principal place of business at 1697A Monmouth Street, Newport Shopping Center, Newport, Kentucky 41071. Its mailing address is P.O. Box 960, Cincinnati, Ohio 45201.
- 2. Duke Energy Kentucky is a utility engaged in the gas and electric business. Duke Energy Kentucky purchases, sells, stores and transports natural gas in Boone, Campbell, Gallatin, Grant, Kenton and Pendleton Counties, Kentucky. Duke Energy Kentucky also generates electricity, which it distributes and sells in Boone, Campbell, Grant, Kenton and Pendleton counties and is subject to the jurisdiction of the Commission.
- 3. A copy of Duke Energy Kentucky's Articles of Incorporation is on file with this Commission in Case Number 2009-00202 and is hereby incorporated herein by reference.
  - 4. Pursuant to the Commission's Scheduling Order, Duke Energy Kentucky intends

to file responses to data requests on August 31, 2009.

- 5. Pursuant to 807 KAR 5:001, Section 10, the Commission may grant waiver of filing requirements for good cause shown.
- 6. Duke Energy Kentucky became aware of its need to seek waiver of the Commission's Scheduling Order as it was compiling the responses to the Staff's second set of discovery and the Attorney General's first set of discovery in this case.
- 7. Duke Energy Kentucky submits that good cause exists to accept its Request for Waiver. Providing the information electronically furthers interests of efficiency, avoiding unnecessary waste of resources, avoids unnecessary costs of duplication, and permits the Company to provide timely responses to data requests. Electronic media also reduces the amount of space the parties will need for storage of information and, in some cases, will allow the parties to review the information in a more expeditious manner as computer-aided word searching may be possible with some files. If Duke Energy Kentucky's Request for Waiver is granted and accepted, the Company will meet its filing deadline for providing discovery responses. Many of the responses to Attorney General's first set of data requests required the compilation of a large quantity of information, including but not limited to, various reports, presentations, and other data that if provided in paper copy, would necessitate a very large filing with many volumes of data at a very large cost. As it stands, Duke Energy Kentucky is timely submitting six volumes of information in paper form with accompanying data CDs in response to the Attorney General's 192 data requests and one volume in paper form with an accompanying data CD in response to the Staff's fifty-six data requests. The Company would have needed more time to copy and provide the necessary copies for filing if it were required to submit paper copies of all data.

- 8. Many of the data requests requested electronic data, including electronic spreadsheets with active formulas and word document copies of certain testimony. Accordingly, Duke Energy Kentucky was required to provide electronic media files in response to many of the requests.
- 9. Duke Energy Kentucky is providing confidential responses and non-confidential responses to the data requests on separate CDs so that the parties will be able to identify and distinguish confidential information.
- 10. The Staff-issued data requests that include an electronic response on CD include the following: 2, 10, 17, 19, 30, and 31. The Attorney General-issued data requests that include an electronic response include the following: 55, 55, 56, 57, 58, 63, 64, 77, 79, 80, 81, 82, 85, 86, 87, 88, 102, 103, 105, 113, 118, 167, 168, 187, 188, 181, 192, J Schedules, FR10(9)(h)(3) and various responses requiring data and spreadsheets provided by Duke Energy Kentucky witness John Spanos. In addition to the electronic responses, Duke Energy Kentucky is providing a written response to each data request that will direct the parties to the electronic file located on a CD. The response is named as an attachment with the data request number as part of the electronic file name. This naming convention should allow the parties to locate the electronic response in an expeditious manner.

WHEREFORE, for the reasons stated herein, Duke Energy Kentucky respectfully requests the Commission grant the waiver of paragraph 4(a) of its Scheduling Order as described herein.

# Respectfully submitted,

DUKE ENERGY KENTUCKY, INC.

Amy B. Spiller

Associate General Counsel

Rocco O. D'Ascenzo

Senior Counsel

Duke Energy Kentucky, Inc.

139 East Fourth Street, Rm 25 ATII

Cincinnati, Ohio 45201-0960

Phone: (513) 419-1852 /Fax: (513) 419-1846 e-mail:rocco.dascenzo@duke-energy.com

# **CERTIFICATE OF SERVICE**

This is to certify that a copy of the foregoing Request For Waiver of Duke Energy Kentucky, Inc. has been served via overnight mail to the following party on this 31<sup>st</sup> day of August 2009:

Rocco O.D. Asecnzo

Hon. Paul Adams
Hon. Larry Cook
Office of the Attorney General
Utility Intervention and Rate Division
1024 Capital Center Drive
Frankfort, Kentucky 40601

#### COMMONWEALTH OF KENTUCKY

MECHVED

#### BEFORE THE PUBLIC SERVICE COMMISSION

AIIG 3 1 2009

In the Matter of:

AN ADJUSTMENT OF THE GAS RATES

PUBLIC SERVICE COMMISSION

OF DUKE ENERGY KENTUCKY, INC.	) CASE NO. 2009-00202	

PETITION OF DUKE ENERGY KENTUCKY, INC.
FOR CONFIDENTIAL TREATMENT OF INFORMATION
CONTAINED IN ITS SECOND SET OF RESPONSES TO DISCOVERY PROPOUNDED
BY COMMISSION STAFF AND THE FIRST SET OF RESPONSES TO DISCOVERY
PROPOUNDED BY ATTORNEY GENERAL.

Duke Energy Kentucky, Inc. (Duke Energy Kentucky or Company), pursuant to 807 KAR 5:001, Section 7, respectfully requests the Kentucky Public Service Commission (Commission) to classify and protect certain confidential information contained in responses to Staff's Data Request Nos. 12 and 54(c) and in responses to Attorney General's Data Request Nos. 54, 56, 57, 58, 76, 77, 79, 81, 91, 97, 161, and 162, as set forth in the Commission's Order dated August 17, 2009 and the Attorney General's Request for Information of the same date.

The confidential information in response to Staff's Second Set Data Request No. 12 for which Duke Energy Kentucky seeks confidential treatment includes comparison report information from the American Gas Association (AGA) that only members and subscribers have access to as a benefit of membership. This information is not disseminated to the general public.

The response to Staff's Second Set Data Request No. 54(c) again includes information from the AGA that only members and subscribers have access to as a benefit of membership. This information is not disseminated to the general public.

The responsive attachment to Attorney General's Data Request No. 54 includes all presentations made to rating agencies and/or investment firms by Duke Energy Corp. and/or Duke Energy Kentucky, as well as its affiliated companies within the Duke Energy Corp Holding Company Structure. This response contains e-mails between representatives of rating agencies and investment firms as well as presentations to outside rating agencies that contain sensitive business and financial information, the disclosure of which would injure Duke Energy Kentucky, and its affiliates, and compromise the companies' respective competitive positions and business interests.

The responsive attachment to the response to Attorney General's Data Request No. 56 includes all studies performed by Duke Energy Corp. and/or Duke Energy Kentucky, and/or by consultants or investment firms hired by Duke Energy Corp. and/or Duke Energy Kentucky to assess the Company's financial performance, performance relative to other utilities, or the adequacy of Duke Energy Kentucky's return on equity or overall rate of return. This response contains studies presented to the Company by outside consultants and presented by the Company to outside consultants and should not to be disseminated in the public domain. The studies include analysis that extends beyond just Duke Energy Kentucky and includes other entities within Duke Energy Corp. The studies contain sensitive business and financial information, the disclosure of which would injure the Company and its affiliates and compromise the companies' respective competitive positions and business interests.

The attachments to Attorney General's Data Request Nos. 57, 79, 81 include copies of credit reports and credit ratings for Duke Energy and/or Duke Energy Kentucky from the major credit rating agencies published since January 1, 2007, as well as all work papers used in the development of direct testimony. These responses contain reports from Standard & Poor's and Moody's Investor Service that are copyrighted and have been obtained through company subscriptions and are therefore not available in the public domain. In addition, the work papers used in the development of the testimony contain sensitive business and financial information, the disclosure of which would injure the Company and compromise the companies' respective competitive positions and business interests.

The attachment to Attorney General's Request No. 58 contains presentations given to rating agencies in 2007 and includes information from Standard & Poor's and Moody's Investor Service. These presentations extend beyond just Duke Energy Kentucky and include sensitive information regarding many affiliated companies in the Duke Energy Corp Holding Company Structure. These presentations to outside rating agencies contain sensitive business and financial information, the disclosure of which would injure not only Duke Energy Kentucky, but its affiliates that are not relevant to this matter. Disclosure of this information will compromise the companies' respective competitive positions and business interests.

The attachment to Attorney General's Request No. 76 contains copyrighted documents published by SNL Energy not available for reproduction to the general public. Likewise, the attachment to Attorney General's Request No. 77 contains confidential information subject to copyright protection that cannot be disseminated publicly. The

Morningstar (Ibbotson) market risk premium historical data and Zacks growth forecast cannot be publicly disseminated for copyright reasons.

The attachment to Attorney General's Request No. 81 includes the credit ratings for Duke Energy Corp. and Duke Energy Kentucky for the past ten years. The response further indicates when changes in the credit ratings occurred and the Confidential reasons given for the change. The information includes Confidential information and reports from Moody's and S&P which are subject to copyright protection. The information also contains Confidential presentations that depict the financial positions of various affiliates of Duke Energy Kentucky and their impacts on the credit ratings for Duke Energy Corp. This information includes sensitive financial information that is both historic and forecasted into the future. This Confidential information is highly sensitive financial information such that its release could affect the competitive position of not only Duke Energy Kentucky, but many of its affiliated companies and its parent Duke Energy Corp.

The attachment to Attorney General's Request No. 91 contains internal and external audit reports, management letters, consultants' reports that contain sensitive business and financial information, the disclosure of which would injure the Company and compromise the companies' respective competitive positions and business interests. These reports include sensitive information about Duke Energy Kentucky, its affiliates and parent company, Duke Energy Corp.

The attachment to Attorney General's Request No. 97 contains the Company's current and prior capitalization policies which contain sensitive business and financial information, the disclosure of which would injure the Company and compromise the companies' respective competitive positions and business interests.

The attachment to each of the Attorney General's Request Nos. 161 and 162 include correspondence concerning the Company's implementation of FASB Statement No. 143, FIN 47 and FERC Order 631 in RM02-7-000 with external auditors and counsel, other public accounting firms, consultants, and federal and state regulatory agencies. This correspondence exchange seeks to elicit information protected by the attorney-client privilege and/or attorney work product privilege sensitive business and financial information, the disclosure of which would injure the Company and compromise the companies' respective competitive positions and business interests.

Wherefore, for the reasons stated above and as further stated below, Duke Energy Kentucky requests that the Commission grant confidential protection to responses to Staff's Data Request Nos. 12 and 54(c) and responses to Attorney General's Data Request Nos. 54, 56, 57, 58, 76, 77, 79, 81, 91, 97, 161, and 162,

In support of this Petition, Duke Energy Kentucky states as follows:

- 1. The Kentucky Open Records Act exempts from disclosure certain commercial information. KRS 61.878(1)(c). To qualify for this exemption and, therefore, maintain the confidentiality of the information, a party must establish that the disclosure of the commercial information would permit an unfair advantage to competitors of that party. Public disclosure of the information identified herein would, in fact, prompt such a result for the reasons set forth below.
- 2. The Confidential Information contained in the attachment provided in response to Staff's Second Set Data Request No. 12 and 54(c) includes comparison report information from the AGA which only members and subscribers have access to as a benefit of membership. The AGA keeps this information and only shares it with its members. This

information is not disseminated to the general public. As a condition of receiving this information, Duke Energy Kentucky is bound to keep it confidential and abide by the AGA's requests to seek confidential protection.

- 3. The Confidential Information contained in the attachment in response to Attorney General's Data Request No. 54 contains e-mails between representatives of rating agencies and investment firms as well as presentations to outside rating agencies that contain sensitive business and financial information, the disclosure of which would injure Duke Energy Kentucky, and its affiliates, and compromise the companies' respective competitive positions and business interests. This information is not available to the general public and is only available to persons within Duke Energy Corp. with a legitimate business need to know.
- 4. The Confidential Information contained in response to the response to Attorney General's Data Request No. 56 contains studies presented to the Company by outside consultants and presented by the Company to outside consultants under a contract signed by both parties and not to be disseminated in the public domain. The studies contain sensitive business and financial information, the disclosure of which would injure the Company and compromise the companies' respective competitive positions and business interests. This information is not available to the general public and is only available to persons within Duke Energy Corp. with a legitimate business need to know.
- 5. The Confidential Information contained in response to Attorney General's Data Request Nos. 57, 79 and 81 contains reports from Standard & Poor's and Moody's Investor Service that are copyrighted and have been obtained through company subscriptions and are therefore not available in the public domain. In addition, the work papers used in the development of the testimony contain sensitive business and financial information, the

disclosure of which would injure the Company and compromise the companies' respective competitive positions and business interests. This information is not available to the general public and is only available to persons within Duke Energy Corp. with a legitimate business need to know.

- 6. The Confidential Information contained in response to Attorney General's Data Request No. 58 contains presentations given to rating agencies in 2007 from Standard & Poor's and Moody's Investor Service. These presentations to outside rating agencies contain sensitive business and financial information, the disclosure of which would injure Duke Energy Kentucky, and its affiliates, and compromise the companies' respective competitive positions and business interests. This information is not available to the general public and is only available to persons within Duke Energy Corp. with a legitimate business need to know. If released, this information would give competitors keen insight into the company's internal workings and valuation methodologies and allow them to take advantage of the information to the detriment of Duke Energy Kentucky and its affiliates.
- 7. The Confidential Information contained in response to Attorney General's Request No. 76 contains a copyrighted documents published by SNL Energy not available for reproduction to the general public. Likewise, the attachment to Attorney General's Request No. 77 contains confidential information that cannot be disseminated electronically. The Morningstar (Ibbotson) market risk premium historical data and Zacks growth forecast cannot be disseminated for copyright reasons.
- 8. The Confidential Information contained in response to Attorney General's Request No. 91 contains internal and external audit reports, management letters, consultants' reports that contain sensitive business and financial information, the disclosure of which

would injure the Company and compromise the companies' respective competitive positions and business interests. These reports include sensitive information including but not limited to specific strategy and financial data about Duke Energy Kentucky, its affiliates and parent company, Duke Energy Corp. This information extends well beyond just Duke Energy Kentucky and its public disclosure would be detrimental to the entire corporation and compromise positions in other jurisdictions. If released, this information would give competitors keen insight into the company's internal workings and valuation methodologies and allow them to take advantage of the information to the detriment of Duke Energy Kentucky and its affiliates.

- 9. The Confidential Information contained in response to Attorney General's Request Attorney General Request No. 97 contains the Company's current and prior capitalization policies that contain sensitive business and financial information, the disclosure of which would injure the Company and compromise the companies' respective competitive positions and business interests. This information is not available to the general public and is only available to persons within Duke Energy Corp. with a legitimate business need to know. If released, this information would give competitors keen insight into the company's internal workings and valuation methodologies and allow them to take advantage of the information to the detriment of Duke Energy Kentucky and its affiliates.
- 10. The Confidential Information contained in response to Attorney General's Requests Request Nos. 161 and 162 include correspondence concerning the Company's implementation of FASB Statement No. 143, FIN 47 and FERC Order 631 in RM02-7-000 with external auditors and counsel, other public accounting firms, consultants, and federal and state regulatory agencies. This information extends well beyond just Duke Energy

Kentucky and its public disclosure would be detrimental to the entire corporation and compromise positions in other jurisdictions. If released, this information would give competitors keen insight into the company's internal workings and valuation methodologies and allow them to take advantage of the information to the detriment of Duke Energy Kentucky and its affiliates.

- 11. All of the Confidential Information referenced in Paragraphs 2 through 9 above is distributed within Duke Energy Kentucky and Duke Energy Corporation (collectively referred to as Duke Energy) only to those employees who must have access for business reasons and it is generally recognized as confidential and proprietary in the energy industry.
- 12. All of the Confidential information for which Duke Energy Kentucky is seeking confidential treatment is not known outside of Duke Energy.
- 13. Duke Energy Kentucky does not object to limited disclosure of the Confidential Information described herein, provided such disclosure is pursuant to an acceptable protective agreement and limited to those intervening Parties with a legitimate interest in reviewing the same. Indeed, Duke Energy Kentucky has already negotiated a protective agreement with the Attorney General, the only intervening party to this proceeding.
- 14. Duke Energy Kentucky has taken steps to only seek confidential treatment of the sensitive information contained in the responses, and in the interest of disclosure is only seeking confidential treatment of specifically identified information.
- 15. In accordance with the provisions of 807 KAR 5:001 Section 7, Duke Energy Kentucky is providing the Commission with one copy of the Confidential Material highlighted and ten copies without the confidential information.

WHEREFORE, Duke Energy Kentucky, Inc. respectfully requests that the Commission classify and protect as confidential the specific information described herein.

Respectfully submitted,

DUKE ENERGY KENTUCKY

Rocco D'Ascenzo (92796)

Senior Counsel

Amy B. Spiller (85309)

Associate General Counsel

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P.O. Box 960

Cincinnati, OH 4520

(513) 419-1852 (telephone)

(513) 419-1846 (facsimile)

e-mail: rocco.d'ascenzo@duke-energy.com

# CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the Petition of Duke Energy Kentucky, Inc. For Confidential Treatment of Information Contained in Its Second Set of Responses To Discovery Propounded By Commission Staff and Its First Set of Responses To Attorney General was hand-delivered, on this 31<sup>st</sup> day of August 2009.

Rocco O. D'Ascenzo

Hon. Dennis Howard Hon. Paul Adams Office of Attorney General Utility Intervention and Rate Division 1024 Capital Center Drive Frankfort, Kentucky 40601

Duke Energy Kentucky, Inc.
Case No. 2009-00202
First Set Staff Data Requests
Date Received: June 19, 2009
Supplemental Response Submitted: August 31, 2009

SUPPLEMENTAL STAFF-DR-01-045

# **REQUEST:**

As the historical data becomes available, provide detailed monthly income statements for each forecasted month of the base period, including the month in which the Commission hears this case.

# **RESPONSE:**

See Supplemental Attachment STAFF-DR-01-045 which has been updated to include actual revenue and expenses through the month of June 2009.

RECEIVED

AUG 3 1 2009

PUBLIC SERVICE COMMISSION

PERSON RESPONSIBLE: Robert M. Parsons

STAFF FIRST SET DATA REQUESTS SUPPLEMENTAL ATTACHMENT STAFF-DR-01-045 PAGE 1 OF 4

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STAFF FIRST SET DATA REQUESTS SUPPLEMENTAL ATTACHMENT STAFF-DR-01-045 PAGE 2 OF 4

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	Jan-09	145.274	44.000	(4 729)	5.337.003	(5.737)	529,505	(518)	41	184,183	97,000	44	(6)	672,364	(629)	11,721	0	15	1,040	42,841	0	1,177	315	114,606	50,058	(376)	64,260	2,000	259,709	14,000	90,951	16,000	(53)	(195)	(77)	(030)	(280)	2,848	1,500	0	0	0	2.458	418	86,442	7,152	5,583	15,762	20,073,123	(2,227,015)	1,360,605
	Dec-08	122.248	132,000	(4.206)	4.539.055	(4,590)	473,588	(431)	42	156,999	(2,000)	51	(9)	625,916	(571)	10,330	0	15	2,307	42,841	0	765	315	109,343	52,036	(371)	56,258	(10,000)	242,200	(78,000)	80,308	(23,000)	332	250	(719)	1 127	(193)	2,848	1,500	0	(7,517,628)	2,504	1.058	71	14,353	1,959	5,583	7,873	17,121,558	(1,678,824)	2,279,497
	Nov-08	55,422	50,000	(1.897)	1,836,065	(1,829)	178,679	(159)	40	69,417	128,000	45	ε	263,261	(233)	4,045	0	15	4,230	42,841	0	935	180	107,700	49,590	(383)	44,050	17,000	193,294	49,000	58,273	43,000	(00)	(00)	(670)	610	(32)	2,848	1,500	0	0	0	450	20	0	794	5,583	10,414	10,931,258	(5,082,065)	2,557,475
	Oct-08	37,218	54,000	(645)	787,343	(731)	110,161	(85)	40	63,338	127,000	46	0	108,765	(35)	710	0	15	5,042	42,841	23	999	285	112,395	49,590	(338)	29,134	11,000	182,883	63,000	31,798	12,000	(55)	) (	(546)	168	(19)	2,848	1,500	0	0	62	115	634	0	1,347	5,583	6,474	4,393,212	(2,213,624)	0,030,040
	lota	882,332	6,947	(13,204)	24,911,463	(27,612)	2,826,107	(2,892)	484	1,146,026	(16,629)	460	(22)	3,663,401	(3,737)	49,756	0	180	33,576	514,092	23	11,116	4,207	1,145,669	601,738	(3,904)	407,533	626	2,017,934	(27,384)	269,417	378	(1 238)	205	(7.150)	759	(1,657)	34,176	18,000	2,916	(7,517,628)	14,660	660'6	9,534	110,392	22,083	63,648	85,765	87,100,732	(3,974,711)	77:50
	IIOIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	industrial Sales Gas	Industrial Unbilled Gas	Com DSM	Com Gas Cost Recovery	Com Merger Savings Credit Rider	Ind Gas Cost Recovery	ind Merger Savings Credit Rider	Public Str/Hgwy Lighting Gas	Other Sales to Pub Authority Gas	Orner Sales To Pub Auth Unbill	SL Gas Cost Recovery	OPA DSM	OPA Gas Cost Recovery	OPA Merger Savings Credit Rider	Inter Departmental Sales Gas	Discounts Earned / Lost	Misc Service Revenue - Gas	Misc Rev Reconn Charges Gas	Misc Gas Rev Erlanger Gas Plt	Misc Rev Cust Diversn Exp Gas	Misc Rev Bad Check Charge Gas	Misc Rev - Field Coll Charge	Rev Iransp Gas of Others	Rev Transp of Gas - I/C	Trans Merger Savings Cr Rider	Kev Firm Transp Agm-Commer Bid	Confinit Revisionsp-Unbilled	Reversit Day Transp Agreements - I	Rev Firm Traces Agree Other	Other Rev Transp-Inhilled	Com Transp Gas Cost Recovery	Com Transp Merger Savings Cr Rider	ind Transp Gas Cost Recovery	Ind Transp Merger Savings Cr Rider	Oth Transp Gas Cost Recovery	OPA Transp Merger Savings Cr Rider	Rent Land And Buildings-Affil	Sales Use Tax Collect Fee	Gas Losses Damaged Lines	Provision for Rate Refunds	Gas Boiler Labor	Gas Production - Other Expense	Liquid Petroleum Gas Expense	Liquid Petroleum Gas	Miscellaneous Prod Expense	Rents	Production Equipment	Natural Gas Field Line Purchase Innercovered Purch Gas Adjustment	Orrecovered Purch Gas Adjustment Purchase Gas Cost Unhilled Rev	
Account	20000	004184	481460	481603	481620	481625	481660	481665	482400	462450	402400	462620	482603	482660	482665	484400	487001	488010	488020	488100	488040	488060	488070	489000		489020					-		489165		489175	489180				_									805000	-	

STAFF FIRST SET DATA REQUESTS SUPPLEMENTAL ATTACHMENT STAFF-DR-01-045 PAGE 3 OF 4

6,802 2,729 2,729 21,444 21,444 31,517 32,302 2,586 7,480 4,880 (6,894) 1,627 (6,894) 1,627 (6,894) 1,627 (6,894) 1,627 13,576 (2) 176,372 8,119 7,828 91,639 30,329 199,021 5,109,308 5,109,308 2,539,677 Sep-09 6.761 136.781 136.782 12.1639 5.090 75.017 33.1252 2.573 77.243 4.850 (64.882 (6.894) 70,463 141,405 119,296 0 29,086 197,768 (2) 157,513 8,119 7,828 86,222 13,498 48,053 5,450 2,674 0 4,259,279 4,259,279 1,921,811 BUDGET 6,779 6,455 131,765 2,722 2,725 2,765 8,467 32,302 2,627 32,302 4,663 4,663 4,663 1,627 1,627 1,627 1,627 1,627 1,627 1,627 1,627 (2) 150,567 8,119 7,828 74,407 13,532 29,086 201,634 1,846,808 48,053 5,450 2,733 101,909 54,097 4,157,519 (367) 12,675 369,674 186 3,940 (41,734) 73,125 36,682 32,302 669 76,156 75,911 20,304 8,035 394 56,015 151,118 29,605 1,912 522 9,680 26,216 212,738 131,677 28 149,877 23,442 11,076 222,740 1,311,107 3,622,118 38,826 25,405 (12,382) 286 54,924 155,824 60,683 67 868 9,279 47,134 32,937 32,302 963 39,800 3,686 3,574 45,910 67,040 23,099 11,065 161,281 238,865 101,636 45,733 (26,735) 1,799,592 1,440,968 11,549 10,526 10,526 108 3,527 15,312 54,846 29,492 32,302 1,080 39,376 4,586 4,586 4,586 4,586 21,207 39,712 4,136 505 58,378 162,018 0 35,702 212,247 143,773 181,443 23,614 11,080 199,483 46,035 (19,596) 66,502 10,231 7,066,424 3,544,741 11,254 12,826 95,530 3,359 5,039 75,175 67,907 35,351 32,302 1,070 4,283 1,330 69,296 18,419 148,643 23,088 9,734 219,887 804 59,924 168,096 81,037 0 42,889 11,883 205,098 115,762 45,969 (16,584) 11,523,963 7,274,397 1,335 219 75,509 145,918 26,012 137,137 69,162 32,299 17,169 89,570 45,615 (19,094) 96,127 11,399 19,335,536 13,750,927 ACTUAL 0 35,396 74,963 154,074 107,703 45,615 (40,897) 40,462 98,702 19,945 19,206,713 0 6,556 321,324 174,428 36 148,261 13,785 4,942 231,403 26,825,996 26,825,996 59.171 (83) (333,645) 93,248 31,652 14,842 (12,521) (362) 55,755 164,374 159,471 16,397,520 16,397,520 Dec-08 16,298 51,664 523,648 198,104 122,639 238,317 10,190 45,615 2,074 17,722,231 Nov-08 5,551 11,197 150,694 3,279 4,197 243,574 69,043 45,672 8,406,668 223 47,307 166,607 92,211 0 5,975 44,904 10,249 11,965,430 1,965,430 Oct-08 10,220 13,444 194,101 7,588 1,082 127,554 87,099 39,160 32,302 913 112,579 5,786 39,733 53,064 0 56,557 3,769,936 223,573 179,279 126,318 900'6 9,911 7,663,968 7,663,968 89,720 132,040 132,040 25,218 93,761 189,096 881,954 407,398 387,624 15,344 681,306 45,328 3,162 564,957 256,705 18,243 2,851 773,636 1,753,003 194,428 94,634 2,546,266 124 557,721 (438,089) 2,846,956 1,568,441 122,696 1,759,949 377,089 237,752,430 83,094,608 0 138,332 3,574 414,822 122,368,029 122,368,029 Meter Reading Expenses Customer Record and Collection Expenses Miscellaneous Customer Accounts Expens Wisc. Customer Service and Information Ex Administrative Expenses Transferred - Crec Customer Assistance Expense Informational and Instructional Expenses Maint - Other Distribution Equipment Miscellaneous Sales Expenses Administrative and General Salaries Regulatory Commission Expenses Maintenance Of Meters & House Aiscellaneous General Expenses Weasuring & Reg Stations - Gen Gas Distribution Other Expense Measuring & Reg Stations - Ind Meter And House Regulators Dist Supervision & Engineering Maint- Supervision & Engineeri Employee Pension and Benefits Maint- Measuring & Reg Stat -Maint- Measuring & Reg Stat -Distribution Load Dispatching Office Supplies and Expenses Gas Purchased Expenses Other Gas Supply Expenses Maintenance of General Plant Description **Dutside Services Employed** Juplicate Charges - Credit Maintenance Of Services Seneral & Administration Maintenance Of Mains Uncollectible Accounts Customer Installations njuries and Damages OperatingExpenses Fuel Expense Purchased Power Mains And Services Property Insurance Other Expenses Mains Expense BASE PERIOD Rents Interco Supervision Supervision Revenues 871000 874000 870000

STAFF FIRST SET DATA REQUESTS SUPPLEMENTAL ATTACHMENT STAFF-DR-01-045 PAGE 4 OF 4

DATA: "X" BASE PERIOD FORECASTED PERIOD TYPE OF FILING: "X" ORIGINAL UPDATED REVISED

DUKE ENERGY KENTUCKY, INC. CASE NO. 2009-00202 MONTHLY REVENUES AND EXPENSES BY ACCOUNT BASE PERIOD

	Son-09	20, 20	35,438	c	0 0	325,597	974.200		>	1 4	10,085	c	77	141,530	52 599		5040,040,1	732,121		275 120	0,0	49,673		(127,671)
BUDGET	A110-09	20 50	35,385	c		370,086	1,088,215	c	>		10,039	C	0 0 0 0 7 7	040,04	52 880	000000	000,000,	729,968		275 130	0.100	49,676		(468,168)
	1 60-111	25.020	35,277	c	0 0 0 0	240,300	1,135,401		>	0	660,01	c	150 575	0,00,00	54.097	4 725 070	0/6'07/'	728,290		375 130	20.00	49,676		(568,364)
	- 10-mil	200 22	22,862	O	204 204	100,400	1,126,327	50 633	000	101	1,707	C	183 500	600,00	34,254	1 047 900	000', 10'	761,890		336 583	000,000	(287,330)		(438,030)
	Mav-09	40.440	49,140	0	340.075	0.0000	1,035,692	34 112	7. 10	C C	800	0	96 200	20,400	34,823	1 560 710	0 - 1000	894,260		348 155	100	(1/8,/93)		17,044
	Apr-09	18 670	10,01	0	312 215	017,210	6/8/9171	(34 649)	(21.21.2)	003 6	000,0	0	112 148	1	33,452	1 690 338	00000	(47,475		346 620	(140,400)	(234,045)		971,895
	Mar-09	58 315	0,00	0	33R 743	2000	1,200,858	(58.792)	(1)	2 222	0,4,0	0	85 296		32,713	1 666 376		847,241		440.450	02000	49,070		1,245,823
ACTUAL	Feb-09	70.018		0	230 836	11,000	67.7.03	(87.134)		5 062	300,0	0	104.031	1 4 4 4	34,965	1 235 787	1000	308,727		352.774	70 01	10,01		3,219,063
	Jan-09	144 269		0	380 747	4 447 040	D#7' / - +'-	(92.490)		15 762	20.00	0	117,114		19,845	2.002.596	247 770	10,736		380,270	10 676	0.000		4,469,943
	Dec-08	84.616		0	25.478	1 021 661	100,100,	(22,363)		7 873	2	>	66.739	100	11,83/	2.105.841	745 400	001,017		(1,964,756)	50 340	0100	1770	(2,231,311)
	Nov-08	33.743		0	565,509	1 1/1 006	001	(26,562)		10.414		>	164,824	1	171'/	1.896.941	725 412	0.11.00		335,765	49 619	2		541,024
	Oct-08	45.493		>	512,550	1 400 030	0 1	(7,897)		6.474		>	219,511	1000	0,53	2,185,467	715 725	200		471,347	169 103		ממר	332,380
	Total	694,357		>	4,150,610	14 552 422	1000	(236,142)		85.765		>	1,585,045	277 000	600,116	21,209,146	9 051 665			2,172,625	(143 643)	(2:2)	003 630	0,303,020
	Account Description	Production	Transmission		Distribution	A&G	20450		Maintenance	Production	Transmission		Distribution	A&A		Operation & Maintenance Expense	Depreciation Expense	Amortization of Deferred Expenses	500 10 H	laxes Other I han income laxes	Income Taxes		Operation Income	

AUG 3 1 2009

PUBLIC SERVICE COMMISSION

State of North Carolina ) County of Mecklenburg )

The undersigned, Stephen G. De May, being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Vice President and Treasurer of Duke Energy Corporation; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

Subscribed and sworn to before me by Stephen G. De May on this August, 2009.

HOMY & . M. Marauda

NOTARY PUBLIC KAthy 5. MORAleda

My Commission Expires: /2/13/20/3

State of Ohio	)
	)
County of Hamilton	

The undersigned, Stephen R. Lee being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Director, Financial Forecasting; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

Stephen R. Lee, Affiant

Subscribed and sworn to before me by Stephen R Lee on this **Alguments** August, 2009.

My Commission Expires:

State of North Carolina )
County of Mecklenburg )

The undersigned, David L. Doss being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Director of Accounting; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

David L. Doss, Affiant

Subscribed and sworn to before me by David L. Doss on this  $\frac{25^{44}}{25^{44}}$  day of August, 2009.

Elijabeth Love Rothrock NOTARY PUBLIC

My Commission Expires: Dec. 7, 2013

State of Ohio	)
	)
County of Hamilton	)

The undersigned, Timothy A. Phillips being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Lead Forecaster; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

Timothy A. Phillips, Affiant-

Subscribed and sworn to before me by Timothy A. Phillips on this August, 2009.

My Commission Expires:

ANITA M. SCHAFER Notary Public, State of Ohio My Commission Expires November 4, 2009

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State of Ohio	)
	)
County of Hamilton	)

The undersigned, Jay R. Alvaro being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Vice-President – Total Rewards; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

Jay R. Alvaro, Affiant

Subscribed and sworn to before me by Jay R. Alvaro on this \_\_\_\_\_\_\_ day of August, 2009.

My Commission Expires:

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State of Ohio	)
	)
County of Hamilton	)

The undersigned, James E. Ziolkowksi being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Rates Manager; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

James E. Ziolkowski, Affiant

Subscribed and sworn to before me by James E. Ziolkowski on this 20th day of August, 2009.

NOTARY PUBLIC

My Commission Expires:

PATTY A. SELM Notary Public, State of Ohio My Commission Expires 09-15-2014

State of Ohio	)
	)
County of Hamilton	)

The undersigned, William Don Wathen Jr., being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Director - Rates; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

William Don Wathen Jr., Affiant

Subscribed and sworn to before me by William Don Wathen Jr. on this 18 day of August 2009.

NOTARY PUBLIC

My Commission Expires: Notary Public, State of Ohio
My Commission Expires 09-15-2014

State of Ohio County of Hamilton

The undersigned, Donald L. Storck being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Director of Rate Services; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

August, 2009.

NOTARY PUBLIC

PATTY A. SELM

My Commission Expires: Notary Public, State of Ohio

Hy Commission Expires 09-15-2013

State of Ohio	)
	)
County of Hamilton	)

The undersigned, Robert M. Parsons being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Rates Manager; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

Robert M. Parsons, Affiant

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Subscribed and sworn to before me by Robert M. Parsons on this Lorentz day of August, 2009.

NOTARY PUBLIC

My Commission Expires:

SELM
PATTY A. SELANT Ohio
Notary Public, State of 1915-2014
My Commission Expires 09-15-2014

Commonwealth of Pennsylvania	)
	)
	,
County of Cumberland	)

The undersigned, John J. Spanos, being duly sworn, deposes and says that he is a Vice President associated with the firm of Gannett Fleming, Inc., and says that he has supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of his knowledge, information and belief after reasonable inquire.

Subscribed and sworn to before me by John J. Spanos on this Att day of August, 2009.

My Commission Expires: February 20, 2011

Notarial Seal Cheryl Ann Rutter, Notary Public East Pennsboro Twp., Cumberland County My Commission Expires Feb. 20, 2011

Member, Pennsylvania Association of Notaries

State of Ohio	)
	)
County of Hamilton	)

The undersigned, Gary J. Hebbeler being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as General Manager, Gas Engineering; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

Gary J. Hebbeler, Affiant

Subscribed and sworn to before me by Gary J. Hebbeler on this <u>A/5/</u>day of August, 2009.

My Commission Expires:

V. Selafa

ANITA M. SCHAFER Notary Public, State of Ohio My Commission Expires November 4, 2009

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State of Ohio	)
	)
County of Hamilton	)

The undersigned, Brenda R. Melendez being duly sworn, deposes and says that I am employed by the Duke Energy Corporation affiliated companies as Manager, Accounting; that on behalf of Duke Energy Kentucky, Inc., I have supervised the preparation of the responses to the foregoing responses to information requests; and that the matters set forth in the foregoing response to information requests are true and accurate to the best of my knowledge, information and belief after reasonable inquire.

Brenda R. Melendez, Affiant

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ANITA M. SCHAFER Notary Public, State of Ohio My Commission Expires November 4, 2009

Subscribed and sworn to before me by Brenda R. Melendez on this <u>a/\$</u>Tday of July, 2009.

My Commission Expires:

Duke Energy Kentucky, Inc. Case No. 2009-00202

First Set Attorney General Data Requests

Date Received: August 17, 2009

AG-DR-01-001

**REQUEST:** 

In the same format as the response to PSC-1-30 in the prior rate case, Case No. 2005-00042, provide a trial balance as of the most recent month for which actual data is available (June 2009?), showing account number, account title, and actual balance sheet and income statement balances. All income statement accounts should show activity for 12 months. Provide this information for both the gas operations and total company operations.

**RESPONSE:** 

Please see Attachment AG-DR-01-001.

PERSON RESPONSIBLE: Brenda R. Melendez

	Total Company	Gas	
Account	Amount	Operations	Gas %
0101000 - Property Plant and Equipment	1.330.230.081	302.595.333	22.748%
0101150 - Common Plant in Service	23,760,674	0	0 000%
0101950 - Allocated - Common Plant in Service	0	6.115.998	N/A
0106000 - Comp Const Unclassified	0	56.890,046	N/A
0106950 - Allocated - Common CCNC	0	2.081,557	N/A
0116000 - Other Electric Plant Adj	t	0	0.000%
0101025 - Gps - General Plant	456	0	0 000%
0106000 - Comp Const Unclassified	146,443.641	0	0 000%
0106102 - CCNC - Common	8.086.855	0 5.774.889	0 000%
0107000 - SCHM Cwip 0107950 - Allocated - Common CWIP	24.474.638 0		23 595% N/A
0111100 - Acc Prov - Amor Elec Plt in Ser	(4.129.645)	3.045,643 (1,469.241)	35.578%
0108950 - Allocated- Common Accum Depreciation	(4.129.043)	(678,242)	N/A
0111950 - Allocated- Common Accumulated Amortization	0	(3,948,195)	N/A
0108000 - Accumulated DDandA - Ppande	(598.040.400)	(86,060,207)	14 390%
0108101 - Accum DD&A- Common PP&E	(2.635,625)	0	0.000%
0108301 - Accum Depreciation COR	(43,087.389)	(14.260.062)	33 096%
0108620 - RWIP - Reg Liab	4.131.222	327,986	7.939%
0111110 - Common Accum Amort	(15.338.751)	0	0 000%
0108151 - Common Accum Dep - COR	(57,990)	0	0 000%
0108951 - Allocated - Common Acc Dep COR	0	(14,927)	N/A
0108952 - Allocated - Common RWIP	0	62,342	N/A
0121000 - NonUtil Prop - General	24.088.348	0	0 000%
0122000 - DDandA - NonUtil Prop - Gen	(13,908,443)	0	0.000%
0123999 - Intercompany Rollup Account	0	0	N/A
0124090 - Invst-Campbell Co Bus Develop 0131155 - Cash PNC 0659	1.500	0	0.000%
0131153 - Cash PNC 0039 0131158 - Cash JPM Chase 9831	7,113.676 346,142	28.033,540	394.080% 21.845%
0131159 - Cash JPM Chase 9831	1.116,690	75,614 513.893	46.019%
0131160 - Cash JPM Chase 7099	352.573	83,880	23 791%
0135101 - Oth Dep - Petty Cash Fund	2,500	0	0.000%
0142100 - Cust Acets - Special Billed Acet	404,049	0	0.000%
0142200 - Cust Acct - Edp	33,236,793	0	0.000%
0142801 - A/R-Passport Interface	835,907	32,042	3.833%
0142830 - A/R-Merch/Jobb/Contract Work	269.700	0	0.000%
0142880 - Sale of Customer Receivables	(33.453,436)	0	0.000%
0142982 - Def Rev Rec - Unbilled Fuel	4.999,197	0	0.000%
0143010 - Aetna - Supplemental - Payroll Ded	(15.639)	(8,307)	53 117%
0143011 - A/R - Other - Gen Acctg	4,726	23.146	489.759%
0143030 - ADandD Covig - Supplimitt - P/R Ded	3.608	787	21.813%
0143110 - Misc A/R - Clearing	(14.178)	(3,578)	25.236%
0143170 - Emp Portion - Med Ins Prem	1.375	(1.031)	-74.982%
0143221 - LT Asset: Interest Receiv	93.386	(3.183)	0.000%
0143280 - Dependent Care Spending Account	(1.125) 88.790	(3,182)	282 844% 0 000%
0143320 - Mar Billed - Edp 0143430 - Wholesale Revenue - Unbilled	00.770	0	N/A
0143151 - Other A/R-Misc Non-Utility	59.079	0	0.000%
0143222 - LT Tax Reclass Fed Dr	278.144	0	0.000%
0143223 - LT Tax Reclass State Dr	32.113	0	0.000%
0143605 - A/R-DPL CD/CCD Operations	4,814,856	0	0.000%
0143651 - A/R-DPL CD/CCDcapex	1,162,246	0	0.000%
0143870 - Cust Billing-Outdoor Light	4,513	0	0 000%
0143955 - A/R-Sold	(4,997,126)	0	0.000%
0143995 - A/R Wholesale Pwr - Estimate	910,284	0	0.000%
0143155 - Other A/R - Miscelleneous	17,283	12,081	69.901%
0146000 - Electric Interunit Account	2,550,585	(12,066,252)	-473.078%
0171104 - Cur Asset: Interest Receiv	379,640	0	0.000%
0173100 - Unbilled Revenue Receivable	15.162,000	1,302,000	8.587%
0173110 - Accounts Receivable Sold	(15,162,000)	0	0000%
0145004 - IC Moneypool - ST Notes Receiv	7,923,051	1,855,155	23.415%
0145890 - N/R - Rp Cin Receivables	25,743,777	0	0.000%
0144100 - SCHM Uncollectible Accrual Electric	(13,508)	(1.746)	12 926%
0144700 - Prov for MARBS Uncollectibles	(320,372)	0	0.000%
0151126 - Fuel Stock - Propane	0	1,138,108	N/A
0151130 - Coal Stock	16,836,940	0	0.000%
0151131 - Coal Stock in Transit	3,743,709	0	0.000%
0151126 - Fuel Stock - Propane	1,138,108	0	0.000%
0151140 - Diesel Fuel Stock	386,835	0	0.000%

	Total		
	Company	Gas	
Account Account	Amount	<u>Operations</u>	Gas %
0151700 - Propane Inventory 0154100 - Inventory	2.448.453 10,387.814	0 179,042	0 000% 1 724%
0154110 - M&S Supply Inventory - Joint Owner	(2.305,966)	0	0 000%
0154140 - Misc Inventory	135	0	0 000%
0154200 - Limestone Inventory	941.730	0	0 000%
0154102 - Common M&S	283.523	7.401	2.610%
0154410 - Working Stock 0154900 - Reserve for loss on inventory	2.322 4.626	26.705 0	1150 086% 0 000%
0158150 - SO2 Current Vintage	3.628.072	0	0.000%
0158183 - Seasonal NOx Current	85.466	0	0.000%
0163110 - Stores Expense	1,506.596	(369.837)	-24.548%
0165075 - Interco Prepaid Insu SchM	513,001	0	0.000%
0165100 - Unexpired Insurance 0165021 - Prepayments (sch M)	(64,945) 799,772	0 799.772	0.000% 100.000%
0165520 - Collateral Asset	243,064	0	0.000%
0165003 - Fed Tax Receivable - Audit	1.043,709	0	0.000%
0165004 - State Tax Receivable - Audit	239,949	0	0.000%
0174100 - Other Current Assets	55,387	13.935	25 159%
0174273 - Gas Stored Current 0174300 - Swap Int Recybl Cur Reg Asset	5.580,415 490.518	5.580.415 0	100.000%
0175001 - Deriv Assets - Noncashflw - ST	64.878	0	0 000%
0181021 - Unamortized Debt Expense	112.700	0	0.000%
0181814 ~ 7 875 SnrUnsecDebs 9 15 09	3.638	38.488	1057 944%
0181845 - 5 0 Debs 12-15/14	0	196,369	N/A
0181035 - uNAMORT dEBT eXP - iNSURANCE 0181036 - Unamort Debt Expense	0	(54.772) 304.391	N/A N/A
0181839 - ULHP 50M 5 75 3 10/16	274,249	304.391	0.000%
0181840 - ULHP 65M 6 2 3 10 2036	581.488	0	0.000%
0181843 - ULHP PCB 06B	174,660	0	0.000%
0181845 - 5 0 Debs 12/15/14	223.327	0	0.000%
0181848 - Corp Revolver 2006 0181869 - ULHP PCB 06A	21.896 187.410	0	0.000% 0.000%
0189100 - Schin Unamt Loss Reaq Dt	3.521,515	872.176	24.767%
0182320 - Regulatory Asset - Inc Tax	258,286	162,298	62.837%
0182376 - Deferred Project Costs	225.767	0	0.000%
0182401 - Deferred DSM Costs	3,142,787	1,193,642	37.980%
0182402 - ARO Other Regulatory Asset	226,196	1 100 579	0 000%
0182403 - Gas ARO Other Regulatory Asset 0182410 - Interest Rate Hedges - AOCI- Purch Acctg	4.190,538 3.302,720	4,190,538 0	100.000% 0.000%
0182750 - 2005 Meiger Transaction Costs	1.849.559	0	0.000%
0182910 - LERP Delayed Cash Costs	46,934	46,934	100.000%
0182940 - ULHP 2006 Electric Rate Case	16.811	0	0.000%
0182975 - AMRP Study Costs	82.770	82,770	100 000%
0182700 - Hurricane Ike Regulatory Asset 0182366 - Carbon Mgmt Reg Asset	4,912,684 100.000	0	0.000% 0.000%
0183000 - Prelim Survey and Investigation	988,060	ő	0.000%
0184450 - Charges To Be Tranferred(Go On	(24,273)	4.001	-16.483%
0803290 - Miscellaneous Expense	6.487	523	8.062%
0804110 - Unproductive Time Distributed	(2.006.530)	(930,400)	46.369%
0804210 - Vacations 0804220 - Holidays	646.186 459.736	332,928 211,715	51.522% 46.051%
0804240 - Inclement Weather	199,706	82,511	41.316%
0804250 - Jury Duty	3.115	1,072	34.414%
0804260 - Death in Family	13,706	5,671	41.376%
0804280 - Scheduled Time Earned Unworked	738	291	39.431%
0804290 - Other Excused Absences 0804330 - Sick	132,393 549,363	66,107 232,669	49.932% 42.353%
0820000 - Fabricated Equipment	24	24	100.000%
0184100 - Fringe Benefits Clearing	0	0	N/A
0186120 - Misc. Wip - Fp Dist. Wids	69,796	31,210	44.716%
0186430 - Error Suspense - Capital Acctg	293	0	0.000%
0186470 - Error Suspense - Corp Payroll 0186051 - Joint Owner Misc Deferred Exp	3,514 1,138,159	0	0.000% 0.000%
0186301 - Lease Receivable	1,135,139	(17,061)	-1.502%
0186341 - Unearned Income	(74,156)	0	0.000%
0186342 - Vacation Accrual Regulatory Asset	2,348,777	590,952	25.160%
0186802 - Accr Pen FAS158 - Qual	26,174,039	6,362,556	24.309%
0186803 - Pension Post Retire FAS158 - FAS 106	5,173,249	1,665,786	32.200%

	Total		
	Company	Gas	
Account	Amount	<u>Operations</u>	Gas %
0186805 - Pension Post Retire FAS158 - FAS 87 NQ	92.308	29.723	32 200%
0186984 - Other Long-Term Assets	189.503	56.893	30.022%
0186625 - Inactive - Intang Asset Fas87-Qual Pen	0	O	N/A
0186357 - DEK 2009 Gas Rate Case Reg	78.729	78.729	100.000%
0190001 - Adit: Prepaid: Federal Taxes	7.954,076	7.107.526	89.357%
0190002 - Adit: Prepaid: State Taxes	1.342.351	1,384,788	103.161%
0190008 - Deferred Federal Tax Asset - Current	1.727,074	3.401.775	196 968%
0190009 - Deferred STT - Current	310.221	580.250	187.044% 0.000%
0190015 - LT Def Credit for state Liab-190	(4.844)	0 0	
0190016 - LT Def tax asset - Fed (190) 0190021 - LT Def credit for state liab(190)	(1)	0	0.000% 0.000%
0190051 - Accum Deferred FIT-OCI	0	(370.947)	N/A
0190052 - Accum Deferred SIT-OC1	ő	(44.748)	N/A
0190053 - Accum Deferred FTT-Plant	2,602,473	1.022.039	39.272%
0190054 - Accum Deferred STT-Plant	303,060	59,892	19.762%
0185000 - Temporary Facilities	(35,343)	0	0 000%
0191990 - Unrec Purch Gas-Manual Reclass	289.398	289.398	100.000%
0201000 - Common Stock Issued	8,779.995	4,339.952	49.430%
0207001 - Premium on Common Stock	18,838.946	9,312.091	49.430%
0208000 - Donations From Stockholder	143,211,362	35,449.531	24 753%
0208010 - Donat Recyd From Stkhld Tax	5,600.021	2,641,954	47.178%
0211006 - Other Misc Paid in Cap	(156.194)	(77.207)	49.430%
0216000 - Unapprop Retained Earnings	210.269,761	81,618,929	38.816%
0216100 - Unappr Undistr Subsid Earnings	7,481,052	(34,017,429)	-454 715%
0219020 - FAS 106 actuarial gain or loss	0	(1.557,760)	N/A
0219035 - OCI-Actuarial GL Qual	0	(3.874,975)	N/A
0219038 - OCI-Actuarial GL NQ	0	(24,081)	N/A
0224034 - LT Debt - Current Portion	20.000,000	5,394,000	26.970%
0224050 - LTD 4th & Walnut	851,494	851.494	100 000%
0224814 - 7 875 Snr Uns Debs 9/15/09	0	4,492.000	N/A
0224839 - ULHP 50M 5 75 3/10/16	50,000,000	25,640,000	51.280%
0224840 - ULHP 65M 6 2 3 10 2036	65.000,000	33,332,000	51.280%
0224842 - ULHP PCB 06A	0	25.640,000	N/A
0224843 - ULHP PCB 06B	26.720.000	13,702,016	51 280%
0224844 - 5 0 DEBS 12-15 14	40,000,000	19,772,000	49.430%
0224440 - LT Notes Payable 0224869 - ULHP PCB 06A	73,517,045 50,000,000	19,062.970 0	25.930% 0.000%
0226839 - ULHP 50M 5 75 3 10/16	(17,080)	(5,146)	30.129%
0226840 - ULHP 65M 6 2 3/10/2036	(315,073)	(87,180)	27.670%
0226844 - UnamDis 5 0 DEBS 12/15/14	(168.697)	(52.304)	31.005%
0226021 - Unamort Discount-Curr	(54,188)	0	0.000%
0227101 - LT Capital Lease Obligation	1,696,851	847,956	49 972%
0227102 - Noncurrent Capital Lease-Meter	11,317,174	4.713.863	41.652%
0228280 - Schm Environmental	(333)	(333)	100.000%
0228315 - Schm Opeb (Fas106)	8,425,122	2.241.927	26.610%
0228325 - Schm Post Emp FAS 112	(7.173)	(2,310)	32.204%
0228390 - Res-Fas 112 Postemployment Ben	1.125.947	316,224	28.085%
0228440 - Reserve - MGP Sites FERC 228	520,000	0	0.000%
0229000 - Accum Prov. For Rate Refunds	7,517,628	7,517,628	100.000%
0230951 - ARO seh M	6,554,649	4,766,153	72.714%
0245002 - 3rd Party Derivative Liability Noncurren	3,303.377	0	0.000%
0245003 - Accrued Interest Exp - Swaps	427,839	0	0 000%
0245004 - 3rd Party Derivative Int Payable	490,518	0	0.000%
0232016 - AP PS8.9 Vendors Payable	5,161,269	816,234	15.815%
0232170 - Accounts Payable - Coal	3,504,892	(1,133)	-0.032%
0232175 - Limestone and Freight Payable	292,830	0	0.000%
0232180 - Accounts Payable - Oil Stocks	0	0	N/A
0232892 - A/P Miscellaneous	69,160	0	0.000%
0232460 - Bulk Power Marketing Payable	0	0	N/A
0232017 - Other Current Liabilities-Comp	10,000	3,220	32.200%
0232039 - Payable 401K Incentive Match	21,967	7,073	32.198%
0232101 - EAP 10 customer charge	91,153	70,326	77.152%
0232111 - Vouchers Payable-Passport	7,446,140	11	0.000%
0232141 - Unvouchered Stock Matls Receipt	180,129	0	0.000%
0232152 - A/P Purchased Gas	2,621,233	2,621,233	100.000%
0232181 - Natural Gas Payable	544,676	0	0.000%
0232361 - A/P Fuelfunds - Customer Donations	1,218	0	0.000%

	Total Company	Gas	
Account	Amount	Operations	Gas %
0232955 - A P Wholesale Pwr - Estimate	2,442.638	0	0 000%
0232002 - A.P - Misc - Gen - Acetg	794.142	107,851	13.581%
0234010 - Intercompany Ap	11.662.509	0	0.000%
0235140 - Special Customer Deposits	6.932,255	0	0 000%
0236150 - St Local Unemployment Tax Liab	(202)	(96)	47 525%
0236700 - Employer FICA Tax Liab	3.449	(70.669)	-2048 971%
0236750 - Federal Unemployment Tax Liab	32	(36)	-112.500%
0236906 - Sales and Use Tax Payable	(388,475)	15.484	-3.986%
0236981 - Fed Inc Tax Payable - Prev Yr	(22.002.558)	0	0.000%
0236990 - Fed Inc Tax Payable - Current	5,135,448	(347,261)	-6.762%
0236994 - LT Liability: Federal UTP	1	0	0.000%
0236995 - LT Contra-Liab GC: Fed	(1)	0	0.000%
0236041 - Accrued Property Tax	5,298.019	1,064,862	20.099%
0236810 - Accrued Franchise Tax 0236965 - Accrued SIT - Prior Year	(65.300) (2,181,668)	0 (950.183)	0 000%
0236001 - State It Payable Other	988,409	(56,605)	43.553% -5.727%
0237038 - L1 Interest Accrued	1.104,013	1.181,028	106 976%
0237110 - Bonds Interest Payable	2.709.438	0	0.000%
0237200 - Curr Interest Accrued	14,817	37,362	252 156%
0237221 - Int Accrued on MW Dep	249,625	0	0.000%
0241110 - State Income Tax Wh - Employee	34.074	(44,582)	-130.839%
0241150 - Federal Income Tax Wh - Employee	4.815	(119.228)	-2476.179%
0241160 - FICA Withheld - Employee	3,454	(71,144)	-2059.757%
0241320 - NC State Sales Tx on Ele Enrgy	384,808	(9,041)	-2.349%
0241335 - Local Taxes Withheld	38,355	0	0.000%
0241348 - Franchise Tax Payable	197.911	0	0.000%
0241311 - County School Taxes Payable	723,531	0	0.000%
0242460 - Prov For Incentive Ben Prog	286,058	83,675	29.251%
0242461 - Prior Year Incentive Accrual	2 245 675	0 - 204	N/A
0242490 - Vacation Carryover 0242650 - Accrued Payable - Other	2,365,675 27,406	595.204 0	25.160% 0.000%
0242381 - Retirement Bank Accrual	1,957,236	519,650	26 550%
0242895 - Native Deferred MTM Liability	37,472	0 0	0 000%
0242981 - Ratepayer Sharing Provisions	502,943	0	0 000%
0242997 - Misc Liab - FAS 87 NQ	10,654	2,681	25 164%
0242998 - Misc Liab - FAS 106	97.337	24.490	25.160%
0242999 - Mise Liab - FAS 112	179,364	45,128	25.160%
0242033 - Wages Payable - Accrual	1,153,000	215,096	18.655%
0243050 - 2156_CLTD_CAP_LEASE	2.514.486	1,045,161	41.566%
0243105 - Current Portion of Cap Lease Obligation	101,535	121,457	119.621%
0252050 - Gas Contributions Post 1992	1.582,005	1,582,005	100.000%
0255000 - Accum Def Inv Tax Credits	1.594.188	1,171,058	73.458%
0255201 - Def ITC-Non-Regulated 0253016 - Unclaimed Property	2,431.288	0	0 000%
0253044 - OPEB - Health	(16,154) (468,905)	(39)	0 241% 0 000%
0253046 - Pension Cost Adj	16,988.395	3.205,167	18.867%
0253130 - Gas Refunds/Recl Adj Due Cust	450,590	450,590	100.000%
0253270 - Suplintl Penison-Excess Plan	155,094	41,400	26.693%
0253047 - Pension Cost Adj (ODC)	3,519,179	0	0.000%
0253690 - Pension Deferred Credits	2,889,337	930,367	32.200%
0254210 - Reg Liability Emission Swaps	5,135,799	0	0.000%
0254220 - Reg Liab Em Swp GAAP Int Asset	(5,135,799)	0	0.000%
0254101 - Deferred Regulatory Liability	770,969	1,353,036	175.498%
0254100 - Regulatory Liablility - Inc Tax	258,286	(23,514)	-9.104%
0282100 - Adit: PpandE: Federal Taxes	172,337,288	35,468,293	20.581%
0282101 - Adit: PpandE: State Taxes	25,826,711	4,298,992	16.646%
0282102 - LT Def tax liability: Fed-282	200	0	0.000%
0282104 - LT Def tax liability - Fed(282)	(200)	(1.248.005)	0.000%
0283011 - Current Portion - Dit	(2,632,102)	(1,248,905)	47.449%
0283100 - Adit: Other: Federal Taxes	12,816,203	6,049,583	47.203%
0283101 - Adit: Other: State Taxes 0283012- Current Portion - DSIT	2,410,184 (407,952)	1,106,931 (288,394)	45.927% 70.693%
0202012 Catenet ordon - DS11	(407,734)	(200,374)	/0.073%

DUKE ENBIGY KENTUCKY, INC. TOTAL, COMPANY INCOME STATEMENT TRIM, BALANCE, FOR LULY BOST TRIOCGEL LUNE 2009 (STATEMENT SIGS)

12 Months Ended June 30,2009 (1,994) (1)	1 35,636,578 50	(147) 2,764,644 908.162	117,199	5,255,461	19,458 14,202	1,498,441	97,675	944,474	743,296 (3,544,786)	2,740	(20.578,948)	194,597	87,362	35,448 (13,841)	52,312,517	8,777,604	4,898,052	4,844 (732,776)	160,262	6.766	558.557)	(37,607,342)	(3.432.506)	(563,861) 59,912	11,101	(5,301,633)	(882,239)	(32,945)	19,727	1,567 305,080	(9.693)	(74,969)	1,021,488	0
1 (892) 0 0 0 0 0	3.020,574 0	213,004 254 123	7,316	944,8148	7 7	104,716	25,206	19,064	393,246	2,163,477	(22,002,558)	(56,526)	0	003	1,806,558	295,504	3,878,282	5,880	0 623	0	(46,135)	(1,726,477)	0	00	0	(46,445)	(7.715)	0 (17.209)	0	0 9.957	(311)	5.931	85,940 538	0
Mav-09 (2,648) 0 0 0 0	0 3,155,785 0	212,831 216,008	7,316	648,449	00	118,285	22,544	60.875	128,464	637,841	00	9,961	0 (	200	2,390,134	392,451	900	16,512	0	0.00	(46,133)	(2,648,991)	0	00	0	(46,445)	(7,716)	(17.207)	0	100 8.315	00	7,902	85.940	0
April-09 450 0 (1) 0 0	3,011,267 0	0 212,831 186.812	7,316	848,449	<u>ွ</u> ၁	102,639	316,91	51,783	(32,613)	(249.973)	00	157,651	0:	900	1,535,156	250,417	000	16,513	0 7	0 0	(46,134)	(1,687,436)	D	00	0	(46,446)	(7,716)	0 (17.208)	(21,640)	10,970	0 94 646	(3,580)	958,c3	0
<u>March-09</u> 644 0 0 0 0	0 3.135,823 0	213,843 180 458	7,316	848,448	9,171	126,094	20,056	294,293	(273,145)	(1.726.202)	0 0	(83,442)	00	(13,841)	5,157,135	852,121 0	0:	16.512	0 27.7	C#//3	(46,133)	(1,515,163)	0	0 75,896	13,841	(46,445)	(7.716)	(17.208)	0	18,013	00	2,929	85,940 0	0
February-09 665 0 1 1 0 0 0	2.955,330 0	200,838 200,838	7,316	644,848 644,848	539 939	110.351	11,151	95,072	336,865 0	2.005,692	00	(38.961)	0 0	000	2,509.098	412,145	00	16,512	0 2.7.7	0.00	(46,134)	(1.595,296)	0	00	0	(46,445)	(2,715)	(17,207)	0	36,504	(894)	1,154	959,68 0	0
<u>January-09</u> (209) 0 0 0 0 0	2,724,166 0 0	0 551,305 203,750	7,316	848,449	9,311	170,667	(33,003)	87.652	0.00,00	2,486,272	00	13.238	0 0	000	2,134,757	350,095 0	0.0	16,512	0 243	, O 0	(46,133)	(2,617,763) (436,108)	0	00	0	(46,445) 0	(7.716)	(17,208)	0	47,564	(483)	10,267	0,540	0
December-08 (58,033) (49) (30) 159 (640)	(18) 2,962,449 0	0 189,579 (1,601,431)	49,228	(3.828.605)	36	106,242	0 000 11	(156,305)	(40, 103)	(745,832)	0 0	452,745 2,562,336	(119,533)	000	5,000,168	840,639	760,384	165,791	119,544	(31)	(46,960)	(6.802,622) (762,302)	(925)	2.044 0	0	(2,637,069)	(437,671)	151	0	36,375	00	8,552	83,940	0
November-08 4,017 0 45 (34)	2,994,910 0	225,654 201,949	4,815	797,567	105	114,869	0 30 436	60,252	(550.533)	(1.402.806)	00	11,428 (571,257)	00	000	4,025,741	702,836	00	12,613	9 106	0 0	(46,959)	(394,675)	0 0	0	0	(45,917)	(7,665)	(17,781)	0,	31,314	(592)	12,626	388	0
October-08 5.899 45 0	0 2.982,589 0	0 185,661 229,390	4,815	799,859	29 43	124,562	30,195	193,486	187,887	(276,380)	(65,760) 0	37,994 210,576	0 0	000	1,652,582	276.861	00	13.644	0 0	0 (3.7	(46,959)	(817,085)	0	(3() 0	0	(50,663)	(8,457)	(4,974)	0 200	53,535	(525)	(149,751)	03,302	(4,910)
September-08 (12,722) (248) 19,048 1,683 (2,326)	18 2.824,415 0	0 186,822 281,387	4,815	799,859	35	135,262	6.208	74,599	255,112	(4,768,262)	0.489.370	48,606	206,895	0 0	6,191,290	1,027,829	259,386	13.128	40,718	6,797	(46,960)	(1,352,956) (263,101)	(3,431,581)	(300,400) 0	0	(42.718)	(7,131)	(33,096)	0 0	26,352	(664)	4.726	388	0
August-08 5,899 (883) (29,339) (5,023) 2,967		(147) 186,049 291,399	4,815	799,859	107	171,153	(22,963)	61,906	0 0	1,181,748	00	(21,549)	0 0	000	2,158,622	(232,563)	00	13,129	0 140	0 0	(46,959)	(1,500,143)	00	0	0 (	(50,147)	(8,371)	(17,781)	0 0	24,519	(6,283)	20,654	03,302	0
July-08 54,938 1,181 10,230 3,215	2,927,320 25 25	0 186,227 283,193	4,815	799,859	26	113,601	21,965	71,797	0 0 0	691,162	15,984	(336,548)	00	000	17,751,276	3,609,269	00	(1,039,522)	0 (173 526)	0 0	(46,958)	(14,948,735)	00	(15,984)	(2,740)	(2.196,448)	(366,650)	(30,592)	41,367	1,662	59 6.629	3,621	03,302	4.910
0107888 - CWIP - BU Bal Shr. Svc.O Exp 0108888 - RWIP - BU Bal Shr. Svc.O Exp 0108888 - Stores Expense - BU Bal Shr. Svc.O Exp 0108888 - Olive Reg. Assets - BU Bal Shr. Svc.O Exp 0108488 - Circaning Acct - BU Bal Shr. Svc.O Exp	0401100 - Non-reg Operation Expense 0403002 - Depr. Expense 0403150 - Deprecation Expense ARO	0403000 - Decommissioning Expense 0440300 - Amori of Elec Pit - Software 0407907 - Requiatory Asset-Deferral Acci	0408040 - NC Property Tx - Misc NonUtility 0408050 - NC Municipal Trense - Flertin	0408121 - Taxes Property - Operating	0406150 - State Onemployment Tax 0408151 - Federal Unemployment Tax	0408152 - Employer FICA Tax 0408205 - Highway Use Tax	0408700 - Fed Social Security Tax - Elec	0408960 - Franct Loading 0408960 - St Fandt Loading	0409104 - Current State Income Tax - PY	0409110 - OLF TAX EXP. State Office CY 0409140 - Federal Income Tax - Electric CY 0400140 - Enderal Income Tax - Electric CY	0409193 - Federal III.Colle Tax - Electric FT 0409193 - Tax expense - Fed utility - PY	0409202 - State Income Tax NonUtility 0409220 - Federal Income Tax - NonUtility CY	0409221 • Federal Income Tax • NonUtility PY	0409313 - CSIT - PY Audit 0409373 - CEIT - PY Audit	0410100 - Dfit: Utility: Current Year	041010Z - DSRI: Utility: Current Year 0410105 - Dfit: Utility: Prior Year	0410106 - Datt: Utility: Prior Year 0410109 - DELT Hilly: prior year	0410240 - Dift: Non - Utility: Curr Year	0410241 - Dift: Non - Utility: Prior Yr Cr 0410242 - Dstr. Non - Hillity: Curr Year	0440503 - Date Non - Utility: Prior Year	0411055 - Deferred FIT Credit Other Inc & Ded TI 0	0411100 - Dfit: Utility: Curr Year Cr 0411101 - Dsit: Utility: Curr Year Cr	0411102 - Dift: Utility: Prior Year Cr	0411106 - DFIT Utility - Prior year	0411107 - DSIT Utility - Prior Year	0411240 - Dill: Non - Utility: Curf fr Cr 0411241 - Other Deferred Taxes PY	0411242 - Dsit: Non - Utility: Curr Yr Cr	0411410 - Invest Tax Credit Adj - Electric	0411824 - SO2 Sales Proceeds-Native	0415530 - Marketing Service Revenue	0416010 • Merch Cost of Goods • Electric 0416011 • Mech Cost of Goods • Gas	0416330 - Miscellaneous Expense	0417005 - Milty Revenue	0417310 - Rev - Unreg Products and Svces

2 Months Ended June 30,2009 17,200 29,147	1,684,993 (62,431)	492,707	228,814 361 136	2,682,608	65,449	3,268	4,551	183,372	59,460	4.176	46.747	901.916	12,868,996	58.271	291,065	19,356	912 942	387.940	612,806	139,255	657	995,990	(168,766) 126,890 147	508,000	115,428,616	54,939,327	(154,000)	24 246 768	(2,000)	21.716,296	2.833.807	292,039	383,808	13,659,773	3,465	28,857	562,413	22,309	38,372	82,260,073	(304.000)	3,512,437 (52,000) 34,743,661	
12.h June-09 873 1,227	140,416 3,106	48,233 0	414	185,687	0 5	o	1.005	7,399	5,144	(528) 0	1,230	38,072	903,205	4,855	23.596	0	83.53	34,220	65,025	6,233	92	72,567	(20,223)	1.631,000	9,777,224	4,406,100	172,000	142,657 2.041,054	154,000	2.673,039	2,609	27,592	38,434	713,165	1,500 13	0	63,523	1,689	(4.576)	2.556,581	(209,000)	70,834 (20,000) 970.061	
Max-09 760 1.227	9,275	57,387	38	154,474	00	00	75	15,454	5.165	(157)	1,262	19,250	910,408	4,856	73,595	0 11	13,700	34,893	53,294	5.864	មា	117,544	7,783,496	787,000	8,990,880	4,211,310	196,000	1.942,596	16,000	115,766	(51,908)	27,287	29,735	1,034,183	13	368	19,893	1,793	1,862	3,241,748	(454,000)	(20,000)	
April-09 1.876 1.227	140,416	47,274	32	195,494	ဘောင	n	28	6,147	5,346	(93e) (0	1,587	53,410	918,527	308,4 308,4 308,4	23,595	0	78.745	33,266	46,357	7,159	0	40,060	8,416,417	(518,000)	8,969,529	4,246,323	(33.000)	1,882,725	(5,000)	1,760,683	(217,807)	25,000	29,734	563,991	13	4,832	(3,464)	2,027	2,143	5,360,390	(1,042,000)	(45,000) (45,000) 2,040,522	
<u>March-09</u> 768	140,416	(142,837)	25,703	263,752	00	0	410	5,833	5,201	(9cc)	27,249	59,174	1,017,757	4,856	23,595	0 0 0 0 0	76,067	34,109	73,815	38,772	(159)	51,777	9,657,649	(675.000)	8.663,001	3,946,825	439,000	1,824,542	287,000	3,276,166	(969,520)	18,548	29,734	1,171,023	1360	0	22,957	2,162	2,162	9.858,707	(2,515,000)	400,190 (120,000) 4.074,851	
February-09 1,016 3,029	140,416	162,16 0	1788	280,138	0 0	0	139	5,472	5,108	(acc)	1,626	56.365	912,857	1,856	24,893	327	71.525	30,414	20,744	5,509	0	(34,126)	(32.041)	(1.906.000)	8,912,985	3,888,078	(368,000)	1,868,804	(197,000)	1,443,860	(143,605)	67,495	29,709	1,584,274	5 5	0	51,620	2,447	5,337	15,312,397	(3,156,000)	(127,000)	
January-09 1,139 3,030	140,416 6,278	49.725 0	1 (967	260,474	00	0	00	28,491	4,179	95	3,243	81,584	928,983	4,856	22,298	156	13,913	32,800	48,197	3,077	0	269,602	(55,525)	(441,000)	10.092.218	4,427,707	(622,000)	1,965,910	(246,000)	606,847	198,834	14,812	29,734	1,788,380	13	0	66,474	2,160	4,746	15,996,058	1,242,000	44,000 6.933,771	
<u>December-08</u> 1,420 3,030	140,416 (135,366)	21,518	130,554	290,522	65,449	0	8 8 8 0	3.509	6,012	2,384	2,562	85,782 18	1,068,639	4,856	22,298	127	(87.376)	32,178	55,388	14,756	0	49,246	13,374,864	1,371,000	10,626,152	4,921,653	(29,000)	2,190,877	3,000	3,167,247	(45,735)	21,790	38.811	687,940	5.	23,657	2,993	1,725	3,731	13,716,599	1,379,000	132,000 5,840,368	
November-08 988 3.030	140,416	04.278	52 117	183,453	(847)	0	333	5,927	3,085	0 0	1,785	112,667	1,306,273	4,856	25.439	0 01	0.5	31,642	43,951	19.502	734	172,112	8,206,962	1,088,000	8.862.406	4,633,892	(278,000)	1,920,737	(123,000)	994,400	1,401,380	22,224	44,409	841,435	5.5	0	14,732	1,751	3,869	5.632.198	1,677,000	50,000 50,000 2,469,624	
October 08 2.262 3.030	3,500	00,492	73 706 797	236,945	00	752	954	4.629	4,104	(610)	1,436	108,388	1,328,717	4,856 12,876	25,439	0 11 07.7	000,41	31,918	50,012 878	39,471	0	282,772	8,414,984	(603.000)	9.547,060	4.880.930	15,000	2,082,721	(100,000)	1,245,888	599,999	21,862	25,692	699,483	5 E	0	(3.674)	(726)	5,032	2,520,898	2,423,000	54,000	
September-08 2,727 3,030	140,416	000,000 0	71,690	206,082	<b>5</b> 5	2,753	2,0	9,773	5,278	0 0	1,430	89,878 3	1,200,094	4,856 12,876	25,439	837	0	30.407	57,373	235	0	5,363	11,748,843	(1,989,000)	10,689,059	5,342,534	(163,000)	2,335,413	0	5,623,746	930,439	11,569	29,745	1,703,259	. £	0	43,605	2,224	4,811	2.551,996	4,000	1,153,437	
August-08 2,037 3,030	140,416	0 1	9,166	220,758	00	2,891	239	83,100	6,032	1,564	1.539	84,789 5	1,200,561	4,856	25.439	6,687	0.50	31,167	45,622	(1,169)	(15)	(17,197)	11,961,556	572,000	10,252,273	5,150,225	291,000	2,141,328	156,000	239.800	823,762	15,870	28,326	855,175	13	0	0,426	2,538	4,644	2,661,896	(125.000)	(12.000)	
<u>July-08</u> 1,394 3,030	140,416	0,300	7.830	204,829	0 0	(3,137)	930 0	7,638	4.806	329	1,798	82.33/	1,172,975	4,856	25,439	11,222	0	31,026	33,028	(154)	0	(13,730)	11,211,839	1,251,000	10.045,829	4,883,750	226,000	2,050,061	48,000	568,854	538,350	17,990	29,745	2,017,465	13	0	0 0	2,519	4. 1. C	2,850,605	472,000	(7,000)	
Account M17320 - Exp - Unreg Products and Sves Art Rental Inc Florence M18012 - Month Rental Inc Florence	0418012 - Non Oper Depri- Florence Fac 0418040 - Interest Inc (sch M) 0448470 - AEIOC E	04.19170 - Int - Bonds and Special Deposits	04 19240 - Miscellaneous Interest 0419429 - IC Monevoool - Interest inc	0419890 - Int Inc-Cin Rec Co LLC	042 i 100 - Gain on Disposal of Property 0421530 - Power Trading MTM Gains	0421940 - Misc Income	0426100 - Donations 0426200 - Life Insurance Expense	0426400 - Exp/Civic and Political Activity	0426415 - Misc Inc Deduct Civic/Poll 0426508 - Inc Deduction-Other Inc & Exp	0426510 - Other	0426512 - Donations	0420521 - Sala Of AR rees 0426540 - Employee Service Club Dues	0427220 - Interest on L - T Note Payable	0428100 - Amorrization of Debt Discount 0428100 - Amorr of Debt Discount and Exp	0428165 - Amort on Loss of Reaquired Debt	0430216 - IC Moneypool - LT Interest Exp 0431002 - Int Exp - Other	0431003 - Other Interest - Swaps	0431020 - Interest Exp-Cust Service Dep	043 (150 - Interest Exp - Capital Lease 043 (400 - IntOther Notes and Acct Pav	0431550 - Interest Exp-Assign From Svc	0431710 - Int Exp on Revenue Refunds	0431900 - Interest Expense Other 0432000 - AFLIDC Debt Commonant	0440000 - Residential	0440990 · Residential Unbilled Rev	0442100 - General Service 0442190 - General Service Unbilled Rev	0442200 - Industrial Service	0442290 - Industrial Svc Unbilled Rev 0444000 - Public St and Hinburar Liphing	0445000 - Other Sales To Public Auth	0445090 - OPA Unbilled	0447150 - Sales For Resale - Outside 0448000 - Interdepartmental Sales	0449100 - Provisions For Rate Refunds	0451100 - Miscellaneous (tems 0454200 - Date and Line Attentionals	0454400 - Other Electric Rents	0456025 - RSG Rev - MISO Make Whole 0456040 - Sales I ke Tax Coll Fee	0456075 - Data Processing Service	0456100 - Profit Or Loss on Sale of Mands	0456610 - Other Transmission Revenues 0456610 - Other	0456970 - Wheel Transmission Rev - ED	04557700 - Voneer Fransmission Rev - EC 0457700 - Allocated Employee Bofts Offset	0480000 - Residential Sales-Gas	04809990 - Gas Residential Sales-Unbilled 0481000 - Industrial Sales-Gas	0481200 - Gas Industrial Sales Unbilled 0481200 - Gas Commercial Sales	

June 30,2009 June 30,2009 June 30,2009 June 30,2009 July 37 Ju	(473) 185,073 60,428 43,750 1,194,914 36,690 26,967 108,615 6,288
1 (149,000) 86,769 (140,000) (1,705) (	14,087 13,881 0 725,429 225 19,634 26,277 1,173
(161),000) (161),000) (161),000) (162,000) (163,000) (16	0 4,140 11,680 0 (1,951,096) 614 5,966 20,063 2,884
(448,000) (72,165) (72,000) (72,000) (72,000) (73,000) (7	(53,786) 4,434 4,000 1,099,808 612 612 834
Marcett 09 (1007,000) (107,000) (104,000) (104,000) (12,000) (12,000) (12,000) (12,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (124,000) (126,000	1,705 2,760 0 0 (811,177) 397 18,803 1,447
FERDIGIPA-109 (1,274,000) (1,024,442) (1,024,442) (1,024,442) (1,024,142) (1,024,142) (2,025,036) (2,036,036) (1,020,026) (2,036,036) (2,0	2.55.546 2.5959 0 (2.356.468) 30.935 42.7 687
312,000 855,880 97,000 97,000 97,000 97,000 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,480 98,780	22.392 3.002 0 889,739 457 23 336
December - 08 782,338 782,338 782,338 77,0009 782,338 77,0009 782,338 78,000 782,338 78,000 782,338 78,000 782,338 787,73 782,938 782,938 782,938 783,602 782,503 782,703 782,703 783,	(1,52) 18,112 4,904 0 3,402,585 343 (250) 18,766 (46)
November - 0.8  322, 444  128, 040  322, 444  128, 040  4, 045  49, 590  49, 590  49, 590  49, 590  117, 000  117, 000  1182, 318  9, 165, 625  49, 000  2, 84, 84  4, 055  113, 006  49, 550  113, 006  114, 106  114, 106  115, 106  117,	17,822 2,970 3,750 14,750 945 587 1,154
0.050000000000000000000000000000000000	27,849 3,113 0 610,069 410 (126) 17,725 (18)
Septe 10 10 10 1.	25,746 3,950 36,000 2,706,229 597 512 487 (24)
(74,000)   (74,000)   (74,000)   (74,000)   (74,000)   (79,000)	20,307 3,414 0 (1,047,846) 398 819 820 820
149,000 201,984 251,086 251,086 3,348;348;348;348;348;348;348;348;348;348;	31,153 3,321 0 (2,087,108) 751 (1,334) 2,647 (292)
Illed  Oper  I Maint  I Maint  I Maint  I Int  I In	ense S. Oper Trinsys S.ch
Account Unbilled Unbilled Gas Sales or Public Auth Unbilled Gas Sales Sales of Others Gas Sales	nnsBrokerage Expe Broker Fees Broker Fees Inde Fuel Expense and Engring - Trans arch - Reliability Arch - MiniorandOpp arch - MiniorandOpp
ACCOUNT D481299 Gas Commercial Sales Unbilled D481290 Other Sales To Public Auth D482200 Other Sales To Public Auth D482200 Gas OPA Unbilled D48200 Gas DAPA Unbilled D48200 Gas DAPA Unbilled D48200 Gas Dari Sales D488000 Misc. Service Revenue I/C D489000 Misc. Service Revenue I/C D489010 Misc. Service Revenue D489010 Misc. Service Revenue D489010 Misc. Service Revenue D489010 Misc. Service Revenue D501150 Coan Handling D501160 Cash Sarnpling and Testing D501160 Cash Handling Expense D50110 Cash Coanmed - Fossil Steam D501150 Coan Handling Expense D5010 Misc. Toousing Air Expenses D50110 Misc. Sale Of Pit Ash. Expenses D50110 Misc. Sale Of Pit Ash. Expenses D50110 Misc. Power Side Misc. Service D50200 Electric Expenses Steam Oper D50200 Electric Expenses Steam D50110 Misc. Power Generation Expense D50200 Misc. Power Generation Expense D50200 Misc. Power Generation Expense D50200 Misc. Power Generation Expense D50300 Misc. Power Generation Expense D50300 Misc. Power Generation Expense D50300 Misc. Power Generation Equp. Of U64200 Misc. Power Generation Plant - Other D51300 Misc. Power Generation Plant - Other D52000 Misc. Power Generation Plant - Other D650000 Supras and Enginning - Ct. Otee D650000 Misc. Power Generation Plant - Other D650000 Misc. Power Generation	0557000 - Other Expenses - Oper 10557401 - EA & Coal Brokerge Expense 0557431 - EA & Coal Broker Fees 0557431 - EA & Coal Broker Fees 055790 - Retail Deferred Fuel Expenses 0560000 - Supervan and Enging - Trans Oper 0561100 - Load Dispatch - Reliability 0561200 - Load Dispatch - MintorandOprinsys 0561300 - Load Dispatch - MintorandOprinsys 0561300 - Load Dispatch - TranssycandSch

DUKE ENERGY KENTUCKY, INC.
TOTAL CONDANY INCOME STATEMENT TRIAL BALANCE
FOR LULY 2008 THROUGH LYNE 2009
(STATEMENT SIGN)

June 30,2009  June 30,2009  113,505  11
1.0001 1.0001 2.0000 2.0000 2.0000 1.0001 1.0001 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.00000 1.00000 1.00000 1.00000 1.00000 1.000000 1.00000 1.000000 1.000000 1.000000 1.0000000 1.000000 1.0000000 1.000000000 1.0000000000
MARK-09 70,039 710,039 74,0139 74,0139 74,0139 74,039 75,039
4,499 2,1223 22,058 22,058 38,490 1,031,477 1,033 2,233 2,233 2,232 2,233 2,232 2,232 2,233 2,232 1,334 1,737 1,124 1,136 1,13
MACCO-09 3 (1064) 3 (1064) 3 (1064) 3 (1064) 3 (1064) 3 (1065) 1 (1285) 2 (1068) 1 (1068) 3 (1069) 3 (
February 69 55,325 55,325 15,052 2,456 2,456 1,053 1,035 1,035 17,133 6,232 17,133 6,232 17,133 6,232 17,133 6,232 17,133 6,232 17,133 6,232 17,133 6,232 17,133 6,232 17,133 6,232 17,133 6,133 1,133
20,000 1,000
December 08 7,1003 7,1003 7,1003 27,4023 27,4023 27,4023 27,4023 27,4023 27,4023 27,4023 27,4023 20,231 20,331 20,331 20,331 20,331 20,331 20,331 20,331 20,331 20,331 20,331 20,331 20,331 20,332 20,331 20,331 20,331 20,331 20,331 20,332 20,331 20,332 20,
November-08 5,6187 5,6187 5,6187 7,51897 1,1182,3126 11,1226 11,1229 11,12291 11,12291 11,12291 11,12291 11,12291 11,12291 11,12291 11,12291 12,1269 12,029 12,029 12,029 12,029 12,029 12,029 12,029 12,029 12,029 12,029 12,029 13,039 14,117 14,197 14,197 1,198 15,039 17,039 17,039 17,039 17,039 18,039
October 08 2,1796 2,1796 2,1796 2,1308 2,3308 2,348 2,248 6,2,248 6,2,248 6,2,248 6,2,248 6,2,248 6,2,248 6,2,248 6,2,248 6,2,348 6,2,348 6,2,348 6,3,34 6,3,36 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,3,38 6,38 6
Septiember-08  12,576  (11,787)  (11,287)  (11,287)  (1,129  (
Auguest 08 2,485 2,485 2,485 2,485 2,485 2,485 3,43 3,43 3,44 1,1000) 1,1000 1,
800,340 800,340 810,740 14,687 14,687 16,1225 16,1225 16,1225 16,1225 17,031 17,031 17,031 17,136 17,136 17,136 17,136 17,146
0561400 - Scheduling - Sis Chritanachis Sis Cocount (1960) - Scheduling - Sis Chritanachis Sis Chritanachis Sis Chritanachis Sis Chritanachis Sis Chritanachis Sis Christon Chemical Sis Chemina and Sis Dev (1963) - Sis Christon Chemical Sis

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12 Months Ended June 30,2009 25,824	11,595	1,920,810	105.000	122.551	278.970	37.750	3.560	3,373,230	-	6,266	22,033	120,822	3,574	1,095,951	903.367	872,417	14,676	1,430,310	141 020	(478.398	774,184	15	(47,601	204,710	(33,958	5,118,656	r	131 978	734,917	1,156	289,367	170,502	159,000	92	72,656	58,880	5,541,333	7, 10	2 018 169	469	2,527,248	100	709.463	(154,407)	(2,097,884	87 475 87 465	76,892	2,371	44,623	71,827	252	
35078 8,035	951	162,998	7 024	9 242	23,630	2,813	(6.605)	103,245	0	619	0	9,375	0	48.427	7.45 101	101,164	(1)	124,069	50 191	(40.548)	59,014	0	9,513	112	0	472,221	0 250 1	1,576	59,000	107	21,985	0+0'65	26,500	0	1,641	5,599	787,464	en c	158 972	201	208,559	90 8	16.394	(5,864)	(99,607)	277.60	(23,624)	61	4,560	(1)	0 28.689	
May-09 (12,382)	689	144,475	7 82,1	12.405	22,511	5,290	891	207,322	0	847	0	11,100	3,574	83,279	819 G92	42,608	O	126,157	0 5 0 20	(40.548)	49,820	0	(1.700)	9	0	279,460	ທິດ	352	59,000	130	21,985	C C	26.500	0 90 1	- 1,855 - 0	5,599	511,746	0 0	188.075	15	208.959	00	988.41	(9,163)	(133,323)	77.4.00	(58,162)	0 6	5,442	0	4,374	
April-09 4,136	1,219	162,578	9 754	11.575	29,307	2,653	(6.814)	227,202	0	353	0	10,057	0	112,504	768 690	62,794	0	85,472	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	(40.548)	64,720	0	272,272	()	· ¬	481,682	17.	(8 R)d	29,000	149	21,984	0000	26,500	25	7,9,1	2,600	496,460	(1)	236.383	48	209,301	00	16.824	(5.210)	(109.587)	, C	129,089	2.303	696'1	(0)	6.620	
March-09 3,139	1,940	204,192	7.754		29,500	9.517	7.893	276,859	0	757	1,669	11,509	0	36,450	825 136	44,956	0	139,562	90 206	(40,548)	63,141	0	(18,173)	(16)	0	442,935	o <del>-</del>	(10.825)	59,000	100	21,985	33.135	26,500	0 :	1834 O	5,599	498,551	1900 (2)	262.061	2	209,000	а	16,953	(12,356)	(100'56)	0	7,181	0 (0,	8,676	64	70 76,839	
February-09 1.335	527	189,468	7 760	9,455	24,565	0	24.34.1	328,414	0	463	0	11,170	0	15,237.1	875 739	66,714	268	121,559	0 26.788	(40,548)	67,684	7	(2.500)	21	0	405,691	0 62 643	(21 648)	26,417	15	25,460	6.083	53,000	0 *60 *	1.6b.1	5,600	381,974	00	227,362	19	508,999	c	19,727	(30,033)	(98, 184)	gp:-	2,822	0 :2	3,607	-	21 27 7.919	
January-09 4,400	1,190	190,194	18 330	20,463	30,908	0	(7.782)	369,237	0	648	784	6,079	0	16,140	794 639	86,139	33	147.066	963, ne	(40,548)	56,581	B	(2,224)	50	0	365,317	0 00	(0,00,0)	85.500	0	18,510	0.50	0	0.00	0 C	5,599	587,550	00	45.776	2	208.995	<b>&gt;</b> C	17,655	(21,611)	(147,551)	82,969	4,063	0 60%	5,151	3,660	0 4.400	
December-08 (12,517)	(8/3)	141,313	7.623	9.761	27,640	205	(59)	472,367	0	90	6,901	9.353	0	303,046	1818 190	59,150	(20)	145,125	73.284	(39,185)	61,981	0	381 663	204,337	313,247	791,336	0 00	(9.790)	64,500	Ξ:	26,243	0.00	0	1 666	006.1	4,214	2,188,435	00	(77.852)	-	208,999	<b>5</b> 5	15.021	(27,684)	(1.056,851)	, O	990	11 645 08	1,208	4,694	8.477	
November-08 9,784	923	125,327	7.723	7,305	6,983	17,272	(1,575)	274.693	0	209	3,939	6,015	0	39.064	560.332	49,682	0	83,042	0 0	(39,185)	64,605	0	(406,924)	37	0	400,983	0 01	(12.116)	64.500	106	32,251	0	0	0 000	007.1	4,214	672,149	00	277.442	20	208,999	0 (2)	16,018	17,904	(102,990)	000	3,613	0.7486	908	12,000	0 4 277	
October-08 7,438	1,209	155,738 403,717	8.074	11,132	18,614	0	(4,123)	293,930	0	539	8,740	5.277	0 [	(33,322)	826.892	82.249	14,423	107,884	36.350	(39,185)	(120,000)	0 433	2, 133 479	26	0	396,122	351 882	(12.116)	64,500	381	34,741	0	0	0 0	0 0	4,214	285,331	<del>5</del> =	274,064	45	211,705	o 1-	21,497	(36,851)	(127,892)	0	3,328	0.0	5,444	12,427	118 9.451	
September-08 3,954	1,164	119,562	8.073	7,637	10,673	0	3,674	256,106	89	504	0	(7.426)	0	110 228	(9.355)	63,316	D	119,131	17 784	(39,185)	276,629	0	(3,278)	144	0	396,197	0 (303 087)	(12.116)	64,500	78	24,741	0	0	0 0 0 0	2,073	4.214	(1,445,626)	S C	187,620	32	214,416	<b>=</b>	18,323	(15,379)	(126.898)	0	3,968	(4) 8 G85	2.878	12,466	13.936	
August-08 3,255	7,547	185,965	11.527	10,904	42,816	0	754	284,258	C	511	0	11,198	0	22 348	897.567	117,468	9	134,516	41364	(39,185)	67,584	0	305,208	(6)	(189,812)	342,020	0 27, 95,	(12.116)	64,500	0	24,741	0	0	3040	010,2	4.214	518.117	0 0	(43,587)	33	214,416	o \$	18,405	(1,244)	0 00	0	179	(6)	4,837	12,991	0 (897)	
July-08 5,247	585,1	137,240	3.719	3,518	11,757	0	8,965	279,597	0	333	0	37,115	0 00	36,639 87 118	886.144	96,177	e	96,727	9 417	(39,185)	62,485	0 6	798 190	33	(157,393)	344,692	0 0425	(12,116)	64,500	73	24,741	0	0	0 000	2,022	4.214	59,182	00	281,843	65	214,416	0 0	17,647	(2,916)	0 24 6730	0	3,769	9 2 619	343	13,588	22 13,529	
			, Te			a a								al contract															Exp																				w			
Account istribution Equi	ust Accts	0902000 - Meter Reading Expense 0903000 - Cust Records and Collection Exp	0903100 - Cust Contracts and Orders - Local	Acct	- Local	0903400 - Cust Receiv and Collect Exp - Edp	use	0904003 - Cust Acctg-Loss On Sale-A/R	Accts Expenses	sopment	0908150 - Commer/Indust Assistance Exp	۰ - General	g Expenses	0910000 - Misc Cust SerVindrin Exp 0910100 - Exp - Rs Rep Prod/Sydes - Ostands	51	nses	enses	S	relegraph cxp	ec -Billed DPL	(Go Only)	uter Expenses	and Frances	usfer	0922500 - Admin Exp Transf · Subsidiaries	es Employed	0923900 - Outside Svc Employed-Panner 0923980 - Outside Septines Employee and	nce	0924050 - Intercompany Property Insurance Exp	Expense	nce For Corp. manes	0925050 - Intercompany Non - Prop Ins Exp	0925051 - Intercompany Gen Liab Expense	Damages	0925300 - Injuries and Damages - Ornel 0925300 - Environmental In and Damages	nages For Corp.	and Benefits	tion Retund	0926600 - Employee Benefits - Transferred	iministration	m Proceeding	_	1 Com Proceed	0929000 - Duplicate Chrgs - Enrgy To Exp	1Sf Knepses	ation Dues	Securities	s Organizations	0930250 - Buy\Sell Transf Employee Homes	Charges - Other	Jevelopment ses	
Account 0894000 - Maint - Other Distribution Equi	0901000 - Supervision - Cust Accts	0902000 - Meter Reading Expense	Cust Contracts	0903200 - Cust Billing and Acct	0903300 - Cust Collecting - Local	Cust Receiv and	3904001 - Bad Debt Expense	Cust Acctg-Los:	Misc Customer.	0908140 - Economic Development	Commer/Indust	0908160 - Cust Assist Exp - General	0909650 - Misc Advertising Expenses	0910000 - Misc Cust Serviniani Exp	0920000 - A and G Salanes	0921100 - Employee Expenses	0921110 - Relocation Expenses	0921200 - Office Expenses	0921400 - Leiephone and Telegraph Exp 0921400 - Computer Services Expenses	0921450 - A/G Mat/Exp-Elec -Billed DPL	0921540 - Computer Rent (Go Only)	0921580 - Allocated Computer Expenses	092 1600 - Office Supplies and Expenses	0922000 - Admin Exp Transfer	Admin Exp Tran	0923000 - Outside Services Employed	0923900 - Outside Svo Employed-Panner 0923980 - Outside Services Employee an	0924000 - Property Insurance	Intercompany P.	0924100 - Admin - Ehands Expense	0924980 - Property insurance For Corp. 0925000 - Interies and Damages	Intercompany N	Intercompany G	0925100 - Accrued Inj and Damages	Environmental Is	0925980 - Injuries and Damages For Corp.	0926000 - Empl Pensions and Benefits	0926420 - Employees' Tuition Refund 0926490 - OTher Employee Renefits	Employee Bene	0927001 - General and Administration	0928006 - State Reg Comm Proceeding	0928031 - Profrees Legal 0928053 - Travel Expense	0928055 - Fed Energy Reg Com Proceed	<b>Duplicate Chrgs</b>	0929500 • Admin Exp Transf 0930200 • Misc General Expenses	0930210 - Industry Association Dues	0930220 - Exp of Servicing Securities	0930230 - Dues To Various Organizations 0930240 - Director'S Expenses	Buy/Sell Transf	0930600 - Leased Circuit Charges - Other	0930700 - Research and Development 0930940 - General Expenses	
1894000 - 1	- 0001060	0002060	0903100 - 0	0903200	0903300	0903400 - 1	0904001 - 1	0904003 - (	0002060	0908140	0908150 -	0908160 -	0303650	0910000 -	0000000	0921100 -	0921110	0921200	0921400 - 0	0921450 - ,	0921540	0921580 - Alloca	0921980 -	0002260	0922500	0923000	0923900 -	0924000 - 1	0924050 - 1	0924100	0924980 -	0925050 - 1	0925051	0925100 -	0925300 - 1	0925980 - 1	0926000	0926420 -	0926600 - 1	0927001	0928006 -	0928053	0928055 - 1	0929000	0929500	0930210 - 1	0930220 - 1	0930230 - 0	0930250 - 1	0030600 - 1	0930700 - 0	

12 Months Ended June 30,2009 2,296,293 187,752 0 124,986 597,935 June: 09 190,606 15,646 0 3,631 71,763 May-09 193,063 15,646 0 2,313 71,465 April-09 185,423 15,646 0 3,287 69,060 March-09 192-936 15,646 0 4,475 66,929 February-09 189,430 15,646 0 24,329 71,850 <u>January-09</u> 196.419 15,646 0 8,166 40,082 December 08 245,557 15,646 0 15,987 42,814 November-08 167,618 15,646 0 6,578 27,469 October-08 169,230 15,646 25,996 33,249 September-08 202.111 15,646 0 5,289 38,112 August-08 206,790 15,646 0 14,942 50,022 July-08 157,110 15,646 4 9,993 15,120 0931001 - Rents - AandG 0931008 - A and G Rents IC 0935000 - Maintenance of General Plant 0935100 - Maint General Plant-Elec 0935200 - Cust Infor and Computer Control

DUKE ENERGY NENTUCKY, INC. TOTAL, COMPANY INCODE, STATEMENY TRIAL, BALANCE FOR JULY, DARK THROUGH JUNE, 2009 (STATE, BINT) SUGN.

DUKE ENERGY KENTUCKY, INC. GAN INCOME SYNTEMENT TRIAL BALANCE. FOR JULY DIMOTTHIOUGH JUNE DIM INTERNENT SIGN)

2 Months Ended June 30,2009 (1,495) 1	8,254,720 636,219 (258,366) 37,193 1,405,471	6,246 4,549 428,595 21,824 158,150 (81,520)	417,852 (800,146) 1,423,337 (30,916) (122,049) 17,566,107	2,936,966 313,442 52,069 (100,301)	(14,801,421) (14,801,421) (2,414,950) (1,793,371)	(1,588,692) (264,961) (264,961) (74,985) (1567)	868 4,304 53,619 3,161	447,912 43,146 219,869 3,290 2,107	(3) 1,456 (241) 30,824	24,484 (1,830) 1,495 19,383 289,317	91 3,427,171 15,624 58,395 64,325 482	1,181,028 301,320 3,244 48,996 658 206,269
1 (283) 0 0 0 0	707,630 54,262 59,633 1,860 304,268	33,719 33,719 4,103 (5,529)	(620,789) (620,789) 0 (18,342) (107,243)	149,540 0 0 0	(532.719) (89,139) 0	(11,955) (1,980) (6,220) (311)	324 7.207 0	36,143 3,562 30,391 0	(3) 257 (241) 1,883	2,132 (138) 0 510 11,143	240,704 1,294 3,325 6,288	64,276 26,972 317 2,193 93 14,013
May- <u>09</u> (826) 0 0 0	340,044 54,217 34,112 1,860 307,898	0 38,088 5,223 (3,058) 47,670	275,996 0 0 1,265 7,397	63.080 0 0 0	(811,124) (135,389) 0	(11.955) (1.986) (6.219) 100	213 213 6,682 3	36,143 4,127 34,184 0 0	0 19 0 3.931	2,136 (137) (40) 524 14,416	39 242,624 1,294 3,477 6,288	22.106 312 3.063 2.063 7.624
April-09 198 0 0 0	697,646 49,830 (34,649) 1,860 307,898	33,050 3,758 1,906 1,521	6,178 6,178 0 37,376 218,525 516,024	84,483 0 0	(716.900) (119.736) 0	(11,955) (1,986) (6,220) 200 0	0 (525) 6,496	36,143 4,036 21,421 0 12	0 7 0 0 1.563,1	2,213 (137) 0 658 15,633	8 244,787 1,254 3,288 6,288	0 19,229 323 2,519 0 10,283
March-09 253 0 0 0	791,221 56,020 (58,792) 1,860 307,898	2,953 12 40,602 5,319 83,663 (297,893)	(1,744,418) (27,391) (160,149)	458,968 0 0 0	(576,371) (96,390) 0	(12,378) (2,056) (6,220) 217	291 6,540 2	37,421 3,288 (62,724) 0	0 105 0 0 1,483	2,154 (137) 0 11,303 17,320	245,674 1,294 3,288 6,288	64.276 30,618 290 13,642 (159) 13,348
February-09 61 0 0 0	674,755 52,554 (87,134) 1,860 307,898	200 174 35,533 2,835 6,130 163,963	975,193 0 (14,269) (83,553)	104,956 0 0 0	(472.579) (79.390) 0	(12,378) (2,056) (6,219) 50 (895)	(286) (286) 6,501	37,421 3,280 11,446 0	36 0 0 1,165	2,117 125 (27) 674 16,498	243.276 1.294 3.874 6.634	8,605 (293) (293) 1,939 0 (3,864)
January-09 (978) 0 0 0	665,512 51,287 (92,490) 1,860 307,898	2,398 4,264 54,955 (4,136) 14,289 156,150	890,990 0 0 (943) (5,386)	208,413 0 0 0	(763.147) (127,176) 0	(12,378) (2,056) (6,220) 0	0 1,344 6,592 161	37,421 3,875 11,975 0 20	0 0 0 174,7	1,732 (399) 41 1,345 23,880	3 247,430 1,294 18,229 5,942 0	19,492 937 1,083 28,566
December 08 (29,071) (40) (161) (4)	662,890 52,276 (22,363) 17,483 (1,857,882)	26,731 26,731 995 (134,614) 933,776	5,301,603 0 (2,578) (13,986)	(48.965) 83 (238) 0	(6,596,523) (957,762) (476)	(12,582) (1,922) (6,277) 250 0	229 6,618 373	37,870 7,753 13,130 0	292 0 0 1,247	2,489 (221) 847 1,061 28,960	288,212 1,310 5,549 6,014	1,052,476 22,930 226 5,192 0 35,887
November 08 3,241 17 0	673,669 61,745 (26,562) 1,710 283,919	26 28,901 (8,480) 31,406 (159,087)	0 (957,756) 0 (1,357) (1,919)	97,851 0 0 (515)	436,592 13,926 0	(12.523) (2.090) (6.277) (5.277) (592)	0 (1,088) 6,983 329	37,870 3,280 11,215 0 0	0 119 0 2,106	1,277 (221) 0 739 38,036	18 352,302 1,310 3,473 6,861	0 17,730 232 6,862 734 43,717
October 08 3,993 17 0 0	664,777 50,959 (7,897) 1,710 283,919	31,340 5,976 150,110 (82,252)	164,144 (509,300) (57,450) (1,584) (9,245) 5,47,833	92,584 9 0 515 86	(38,555) 29,131 0	(13,847) (2,312) (2,312) 6,530 250 (525)	(517) 953 (2,409) 596	37,870 19,029 3,280 503	340 340 0 1,646	1,699 (221) 0 595 36,592	358,355 1,310 3,473 6,861	20,705 242 13,868 72,147
September-08 3,744 (14) (586)	614,047 51,249 (7,347) 1,710 283,919	34,032 2,707 (14,064) (564,364)	253,708 (3,323,189) 1,480,787 15,132 88,322	466.209 313,350 52,307	736,363 111,227 (1,792,895)	(11,198) (1,869) (6,277) (6,277) (6,277)	0 125 0 863	37,870 3,280 31,803 0	0 7 0 3,472	2,185 (221) 0 592 30,343	323,665 1,310 3,473 6,861 0	0 85,827 202 202 83 63 (4,428)
August-08 4,280 21 747 0	633,992 50,881 (6,583) 1,710 567,838	43,062 (2,015) 11,932 (5,854)	(63,395) (14,317) (83,562)	(17.862) 0 0 0	(545,192) (48,268) 0	(13,847) (2,312) (6,278) 50 (6,283)	1,851 0 514	37,870 3,280 51,911 5	0 85 0 2,144	2,497 98 557 637 28,625	323,791 1,310 3,473 0 250	0 12,304 222 (411) (15) (6,130)
13,893 13,893 0 0 0	628,537 50,939 (8,294) 1,710 0	28,582 5,539 15,979 (169,444)	(1,031,259) (1,031,259) 0 (3,908) 34,750 7,164,183	1,277,700 0 0 0 (100,301)	(4,921,266) (915,984) 0	(1,451,696) (242,330) (19,088) 150 (355)	1,385 873 2,409 318	37.870 3.385 46,088 5	0 189 0 2,713	1,851 (221) 117 745 27,871	12 316,351 1,310 3,473 0 220	0 14,302 234 (57) 0 0
Account 0107888 - CWIP - BU Bal Sht - Svc Co Exp 0163888 - Stores Expense - BU Bal Sht - SvcCo Exp 018488 - Cleanng Act - BU Bal Sht - SvcCo Exp 0401100 - Non-req Operation Expense	0403002 - Depr - Expense 0404000 - Amor of Elec Plr 0407097 - Regulatory Asset-Deferral Act 0409040 - NC Property Ts. Misc Non-Utility 0408121 - Taxes Property Operating	Gudo 150 - State Unemployment Tax G408151 - Federal Unemployment Tax G408152 - Employer FICA Tax G408700 - Fed Social Security Tax - Elec G408960 - Pr FandT Loading G409102 - SIT Exp - Utility	0409104 - Current State Income Tax - PY 0409190 - Federal Income Tax - Electric CY 0409191 - Federal Income Tax - Electric PY 0409202 - State Income Tax Non-Utility 0409202 - State Income Tax Non-Utility CY 0409207 - Federal Income Tax Non-Utility CY 0409101010 - Other Utility Cy 0401010 - Other Utility Cy	0410102 - Dait. Utility. Current Year 0410105 - Dit. Utility. Furor Year 0410106 - Dait. Utility. Finor Year 0410240 - Diff. Non - Utility. Curr Year 10410240 - Diff. Non - Utility. Curr Year	0411100 - Ditt. Utility. Curr Year Cr 0411101 - Datt. Utility. Curr Year Cr 0411102 - Ditt. Utility. Four Year Cr 0411103 - Ditt. Utility. Prior Year Cr 0411103 - Dist. Utility. Prior Year Cr	0411240 - DRI: Non - Utility, Curt Yr Cr 0411242 - DRI: Non - Utility, Curt Yr Cr 0411410 - Invest Tax Credit Adi - Becinc 0415100 - Other Miss Cas & Rev 0416010 - Merch Cost of Goods - Electric	0416011 - Mech Cost of Goods - Gas 0416330 - Misclalmeous Expense 0417310 - Rev - Unreg Products and Svces 0417320 - Exp - Unreg Products and Svss	Outl8012 - Non Oper Dept - Florence Fac 0419040 - Interest Inc (sch M) 0419170 - AFUDC Equit 0419240 - Miscellaneous Interest 0419429 - I.C. Moneypool - Interest Inc	0421940 - Misc Income 0426100 - Donations 0426200 - Life Insurance Expense 0426400 - ExplCivic and Political Activity	Q426415 - Misc Inc Deduct CiviciPoli Q426508 - Inc Deduction-Other Inc & Exp Q426510 - Other Q426517 - Donations Q426521 - Sale Of A/R Fees	0426540 · Employee Service Club Dues 042720 · Interest on L. T Note Payable 042720 · Interest on L. T Note Payable 0428025 · Amortization of Delti Discount 0428100 · Amort of Debt Discount and Exp 0428165 · Amort on Loss of Required Debt 0430216 · I. Choneppool · L' Interest Exp	0431002 - Int Exp - Other 0431002 - Int Exp - Other 0431130 - Interest Exp - Captal Lease 0431400 - Int/Other Notes and Acct Pay 0431500 - Interest Exp-Assign From Svc 0431710 - Int Exp on Revenue Refunds 0431900 - Interest Expense Other

DUKE ENERGY KENTUCKY, INC. GASINCOME STATEMENT TRIAL BALANCE FOR JULY 2008 THROUGH JUNE 2009 (STATEMENT SIGN)

12 Morths, Ended June 30,2009 (45,004) (45,004) (50,000) (50,000) (50,000) (52,000)	400,334 400,334 400,477 2,150,926 (7,517,000) 34,176 (7,517,528) 777 777 789 882 789 24 20 24 24 24 26 25 25 25 25 25 26 27 31,15 6 (15) 6 (5) 6 (5)	28.368 484 1 884 298 1 936 1 1.36 6.379 8.716 1 118.561 21.314 6.65.996 6.65.996 6.67.373 (673.573) (673.573) 997.567 105.983 105.983
(7,879) (7,879)	50,0588 (2,000) (2,000) (14,000) (1,00	1,939 209 40 17 17 2,847 1,707 1,303,76 1,707 1,303,76 1,303,76 1,303,76 1,303,76 1,303,76 1,303,76 1,303,76 1,303,73 1,303,76 1,303,73 1,
(12.084) (12.084) (12.084) (12.084) (454.000) (13.000) (13.000) (13.000) (13.000) (14.050) (1	2.77.2565 2.77.2565 2.70.65 2.	1,818 159 169 20 0 215 2,744 1,513 570 1,594,645 1,594,645 7,733,787 (518,840) 31,765 7,183
2.486. 42.841 67.1841	950,058 31,123 11,235 11,2550 (20,000) (20,000) 2,848 2,848 2,848 10 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	2.461 2.8 2.0 0.0 0.0 2.18 9.06 3.436.897 1.187.374 (1.087.374 (1.087.375 3.207 9.207 9.207
March 09 49,915 0 0 0 0 0 0 0 0 0 0 0 0 0	35,038 36,787 (12,000) 182,2546 (34,000) (34,000) (34,000) (34,000) (32,044) (18,000) (32,044) (19,000) (32,044) (19,000) (34,000	2,361 0 0 0 0 0 0 0 0 1,277 2,852 3,238 3,234 9,49,1099 1,1,274 8,813 8,813 8,813 8,813 9,813 9,813 9,813 9,813 9,813 9,813 9,813
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AZCOURT  0432000 - AFUDC Debt Component  044000 - Interdepartmental Sales  0456400 - Other Electric Rents  0456610 - Other Electric Rents  0456610 - Other Electric Rents  048000 - Gas Residental Sales-Gas  048000 - Gas Residental Sales-Gas  048000 - Gas Residental Sales-Cas  048109 - Gas Residental Sales-Unbilled  048100 - Industrial Sales Unbilled  048100 - Gas Commercial Sales  0481200 - Gas Commercial Sales  048200 - Gas Commer	9489020 - Comm das Transp or user in C. 9489020 - Comm das Transp or user in C. 9489030 - Indust Gas Transp or ubelied 9489030 - Indust Gas Transp only 9489035 - Indust Gas Transp unbilled 9489040 - OPA Gas Transp unbilled 94890410 - Rev Item Gas Properties-IC 94890410 - Rev Item Gas Properties-IC 9489010 - Rev Item Gas Properties-IC 9500000 - Suprava and Engrap - Steam Oper 9500000 - Suprava and Engrap - Steam Maint 9514000 - Marce Tasenses - Nuc Oper 9514000 - Marce Tasenses - Oper 9515000 - Marce Tasenses - Oper 9515000 - System Critis & Load Dispatching 9557000 - System Critis & Load Dispatching 9557000 - System Critis & Load Dispatching 9561100 - Load Dispatch - Reliability 9561100 - Load Dispatch - Reliability 9584000 - Load Dispatch - Reliability 9584000 - Load Dispatch- Reliability	OSBGOON Owner Expenses. July OSBGOON Owner Expenses. July OSBGOON OF CAST Expenses. July OSBGOON OF CAST Institution Exp. Other Dist O712000 Gas Production Other Power Exp. O712000 Gas Production Other Power Exp. O712000 Gas Production Cast Exp. Vapor Proc. O722000 Gas Production Exp. O722000 Gas Production Exp. O722000 Gas Rew Material - Rens. O732000 Gas Pauchases Gas and Ngi - M. O803001 - Purchases Gas and Ngi - M. O803001 - Uncroased Expenses Gas Adi (0805003 - Purchased Expenses Gas Adi (0805003 - Purchased Expenses Gas Contrubiled Rev (0805000 - Communication System Expenses O827000 Communication System Expenses

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0871000 - Distribution Load Dispatching 0971000 - Measuring and Reg Stations - Gerolard 1075000 - Measuring and Reg Stations - Gerolard 1075000 - Measuring and Reg Stations - Gerolard 1075000 - Customer Installation Expense 0875000 - Customer Installation Expense 0875000 - Customer Installation Expense 0875000 - Maint Dark Sys Fee - Supvilling Renis 0895000 - Maint Dark Sys Fee - Supvilling Renis 0895000 - Maint Dark Sys Fee - Supvilling Renis 0895000 - Maint Dark Sys Fee - Supvilling Renis 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Gas 0895000 - Maint - Meas/Reg Sin Equip - Local 0902300 - Cust Records and Collection Exp 0902300 - Cust Records and Collection Exp 0903300 - Cust Records and Collection Exp 0903300 - Cust Records and Collection Exp 0903300 - Cust Records and Collection Exp 0903000 - Cust Records and Collection Exp 0903000 - Cust Records - Maint - Customer Acits Expenses 0903000 - Cust Records - Maint - Customer Acits Expenses 0903000 - Cust Record Office Expenses 0903000 - Cust Record - Customer Cerolard - Connouter Renic (20 Only) 092100 - Maint Expenses 502100 - Outside Services Employee and 092200 - Admin Exp Transfer Corp. 092500 - Admin Exp Transfer Corp. 092500 - Admin Exp Transfer Employee Benefits - Transferred 092300 - Outside Services Employee Benefits - Corp. 092500 - Injuries and Damages For Corp. 092500 - Injuries and Damages - Other 092400 - Hand Personns and Damages - Other 092400 - Hand Expenses 092500 - Injuries and Damages - Other 092500 - Injuries and Damages - Other 092500 - Injuries - Customer Adam - Customer - Corp. 092500 - Injuries - Customer - Customer -	0930210 - Industry Association Dues

DUKE ENERGY KENTUCKY, INC. GAS INCOME STATEMENT TIGAL IMANCE FOR JULY 2008 THROUGH JUNE 2009 (STATEMENT SIGN)

100-604 (7,445) 16 (8 1,576 0 0 09,058 6,689 6,689 23 May-09 2.005 0 2 1.140 1.140 1.002 0.589 0.589 0.733 34.059 Appril-09 1,523 508 508 0 1,537 0,1,537 0,045,36 0,089 0 501 32,951 March-199 1,285 0 0 77 1,026 1 1 4,272 70,579 6,089 0 0 0 7,84 7,84 EEDTGGTC-U)9 850 10 11,143 January-Qy 1,486 1,039 1,039 1,740 0,532 92,018 6,639 19,064 December 08
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1,384 November-08 1,019 173 2,291 2,292 1,519 46,232 6,689 0 487 6,642 September 08 995 3,096 728 3,044 6,689 6,689 0 424 9,216 August-08 64 (2) (2) 1,040 3,141 (384) 56,684 6,689 0 64 12,092 July-08 1,010 3,272 3,272 4,807 42,996 6,689 6,689 6,689 3,641 ACCOUNT

0930220 - Exp of Servicing Securities
0930230 - Dues To Vanous Organizations
0930240 - Director's Expenses
0930250 - Buylseil Transf Employee Homes
0930500 - Leased Circuit Charges - Other
0930700 - Research and Development
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Duke Energy Kentucky, Inc. Case No. 2009-00202 First Set Attorney General Data Requests Date Received: August 17, 2009

1: August 17, 2009

AG-DR-01-002

**REQUEST:** 

In the same format as the response to PSC-2-1 in the prior rate case (<u>but also showing the account titles associated with the account numbers</u>), provide a comparison of the Company's actual versus originally budgeted annual gas Other Operating Revenues, O&M expenses, and taxes other than income taxes for each of the 5 years 2004 through 2008 and for the 12-month period ended June 30, 2009. In addition, show the annual variances and explain these annual variances.

**RESPONSE:** 

See Attachment AG-DR-01-002 for a comparison of the Company's actual versus originally budgeted annual gas Other Operating Revenues, O&M expenses, and taxes other than income taxes for 2004, 2006, 2007, and 2008.

No comparison has been provided for 2005 because the budget is not readily available. 2004 is available because the information was provided in a previous rate case.

No comparison has been provided for the 12-month period ended June 30, 2009 because the Company does not analyze or provide annual variance explanations on rolling 12-month basis.

PERSON RESPONSIBLE: Stephen R. Lee / Brenda R. Melendez

<sup>&</sup>lt;sup>1</sup> Such as bad check charges, reconnection charges, field collection charges, transportation of gas for others, rent land & buildings, interdepartmental sales, Other Gas revenues that are shown on WPC-2b.

ollars in Thousands						Case No. 2009-00202 AG-DR-01-002
	Account	Actual	Plan	Amount	Percent	Variance Page 1 of 4
ther Operating Revenues						
	488 Miscellaneous service revenues 489 2 Revenues from transportation of gas of others through transmission facilities	663 658		663 658	0% 0%	Intercompany account not budgeted Intercompany account not budgeted
	489.3 Revenues from transportation of gas of others through distribution facilities	3 988	3 298	690		Volumes greater than budget
	493 Rent from gas property 495 Other gas revenues	34 (19)		34 (19)		Intercompany account not budgeted. No budget for sales and use tax and gas losses on damaged t
Total Other Operating Rev	enues	5 324	3 298	2 026	61%	
M	711 Gas Boiler Labor	10	_	10	()°;	Interdepartmental gas usage not budgeted as it is offset in acc
	712 Gas Production - Other Expense	5	-	5		929 Interdepartmental electric usage not budgeted as it is offset in
	717 Liquid Petroleum Gas Expense	73		73	0%	account 929 Propane expense not budgeted, Interdepartmental gas usage
	728 Liquid Petroleum Gas	(8) 11	5	(8)	0%	budgeted as it is offset in account 929 Adjustment to propane inventory to equal physical inventory
	735 Miscellaneous Prod Expense 742 Production Equipment	9	7	6 2		Operation of propane vaporization equipment greater than budgeted Maintenance of propane vaporization equipment greater than
	807 Gas Purchased Expenses	314	44	270		Budgeted.  Gas control labor for operation of purchase gas stations not
						budgeted Odorization measurement station maintenance no budgeted
	859 Other Expenses 870 Dist Supervision & Engineering	(15) 119	118	(15)	0% 1%	Transportation cost pool adjusting entry
	871 Distribution Load Dispatching	58	-	58	0%	Odorization, measurement station maintenance not budgeted
	874 Mains And Services	848	2.514	(1 666)		Budgeted majority of operation expense in 874-Mains and ser expense, actuals charged to 875-879-8-892. Over budgeted contractor, expense for facility legation.
	875 Measuring & Reg Stations - Gen	60	4	56	1400°%	contractor expense for facility locates Budgeted majority of operation expense in 874-Mains and ser expense actuals charged to 875-879-8-892
	876 Measuring & Reg Stations - Ind	20	9	11	122%	M&R capital job cancelled. Preliminary engineering transferre expense. Calibration & testing for industrial and commercial
	878 Meter And House Regulators	(107)	353	(460)	-130%	customers were more than anticipated.  Meter credits were larger than anticipated due to more meters.
	879 Customer Installations	552	239	313	131%	being purchased than budgeted Budgeted majority of operation expense in 874-Mains and ser
	880 Gas Distribution Other Expense	792	510	282	55%	expense: actuals charged to 875, 879, & 892. Gas inspection activity greater than plan.
	881 Rents Interco 885 Maint- Supervision & Engineeri	388 78	-	388 78		Gas distribution rents charged but not budgeted Maintenance supervision and engineering budgeted in 887
	887 Maintenance Of Mains	846	1 674	(828)	-49%	Maintenance expense budgeted in 887 plus AMRP is reducin "actual" maintenance expense
	889 Maint- Measuring & Reg Stat -	52	28	24	36%	System station maintenance not budgeted.
	890 Maint- Measuring & Reg Stat - 892 Maintenance Of Services	313	5 144	(5) 169	117%	Amounts budgeted to 890 but charged to account 889 Budgeted majority of operation expense in 874-Mains and set
	893 Maintenance Of Meters & House	238	69	169		expense, actuals charged to 875, 879, & 892. Rebuild of gas meters activity greater than plan.
	894 Maint - Other Distribution Equipment 901 Supervision	(11) 100	102	(11)	0% -2%	Third-party damage revenue not budgeted
	902 Meter Reading Expenses 903 Customer Record and Collection Expenses	572 1 584	631 895	(59) 689	-9%	Meter reading expense less than plan Customer inquiry calls for ULH not budgeted by West Call Cer
	904 Uncollectible Accounts	1 174	1 681	(507)		Third-party credit call center dollars were budgeted under CGi Connect/ Disconnect and Turnoff activity not budgeted Liability management activity is recorded under account gas ty
	304 Oriodiscusio Nocodina		7 00 1	(501)		'G' for budget, and "N" for actuals. This variance is only a maissue.
	905 Miscellaneous Customer Accounts Expense 907 Supervision	50 75	•	50 75	0%	Entry to clear indirect and fringe benefit cost-pool balances CUSTSUPP (customer support) activity budgeted to 903, 908
	908 Customer Assistance Expense	67	27	40	148%	926. Actuals charged to 903. & 907 Includes charges that were budgeted in account 909
	909 Informational and Instructional Expenses 910 Misc. Customer Service and Information Exp.	180	209	(209) 180		Amounts budgeted to 909 but charged to 908 and 910 Actuals charged to 910, budget went to 909
	911 Supervision	44	86	(42)	-49%	Actual sales supervison expense was lower than the budget
	913 Advertising Expense 916 Miscellaneous Sales Expenses	7 (147)	1 769	6 (916)		Advertising was greater than budgeted.  Dollars were budgeted to expense but were properly charged.
	JTO MISCERIATEOUS GAIES EXPENSES	(147)	703	(310)		regulatory asset. Demand Side Management budget was ove estimated. Budget estimate was completed before commission
	920 Administrative and General Salaries	2 334	985	1.349		approval was granted Bonus/Incentive expense budgeted to 921 charged to 920
						Severance / redeployment expense Cinergy 10th anniversary bonus payment and Sarbanes Oxley expenses were not budg Certain Corporate Center O&M costs charged to capital
	921 Office Supplies and Expenses	661	1 316	(655)	-50%	Bonus/ Incentive expense budgeted to 921, charged to 920. C
	923 Outside Services Employed	694	515	179		Corporate Center O&M costs charged to capital. Safety adve budgeted to 921, charged to 930. Unbudgeted IT cost to achieve Cin-10 cost cutting initiative.
	924 Property Insurance	23	•	23	0%	General litigation expense was less than plan.
	925 Injuries and Damages	200	99	101		Insurance expense not budgeted but incurred. This was parti- offset by lower claims and worker's compensation expense th- budgeted.
	926 Employee Pension and Benefits	2.559	2.274	285		Fringe benefit expense higher than budgeted. Adjustment to demployee fringe benefit cost pool.
	928 Regulatory Commission Expenses 929 Duplicate Charges - Credit	381 (117)	350	31 (117)		Regulatory commission expenses were greater than budgeted This account is the offset of interdepartmental gas $I$ electric us
	930.2 Miscellaneous General Expenses 931 Rents	209 972	67 258	142 714		Safety advertising budgeted to 921 account, charged to 930 There were 13 real estate lease payments instead of the twel-
T	935 Maintenance of General Plant	207	96	111	116%	budgeted. Also, rent expense was charged but not budgeted. Timing difference of facilities maintenance projects.
Total O&M		16.474	16.084	390	2%	

Duke Energy Kentucky, Inc. Actual versus Plan Account Variances 2006 Dollars in Thousands

Dollars in Thousands						
Accor	unt	Actual	Plan		Darana	Variance
Accor	<u>unt</u>	ACIU	Fidis	Amount	Percent	<u>Description</u>
Other Operating Revenues						
	188 Miscellaneous service revenues	681	75	606	808%	Intercompany account not budgeted
48	9.2 Revenues from transportation of gas of others	595	-	595		Intercompany account not budgeted
	through transmission facilities					
18	Revenues from transportation of gas of others through distribution facilities	4 042	3 860	182	5%	Volumes greater than budget offset higher budgeted rates
a de la companya de	193 Rent from gas property	34		34	O#1	Intercompany account not budgeted
	195 Other gas revenues	7	-	7		No budget for sales and use tax
	196 Provision for rate refunds	1,245		1.245		Offset in Sales of Gas (Account 480-485)
Total Other Operating Revenues	3	6 604	3 935	2.669	68%	
0044						
O&M	211 C D-11					
· · · · · · · · · · · · · · · · · · ·	711 Gas Boiler Labor	6	•	6	0%	Interdepartmental gas usage not budgeted as it is offset in account 929
,	12 Gas Production - Other Expense	2	_	2	035	Interdepartmental gas usage not budgeted as it is offset in
·	TE OSST TOGGETON ON CENTERING			-	0.70	account 929
7	17 Liquid Petroleum Gas Expense	62	162	(100)	-62%	Maintenance costs less than budget.
	728 Liquid Petroleum Gas	(113)	-	(113)	0%	Propane adjustment not budgeted
	35 Miscellaneous Prod Expense	2	7	(5)		Misc costs less than budget.
	42 Production Equipment	46	-	46		Corrective maintenance not budgeted in this account.
	307 Gas Purchased Expenses	357 1	314	43		Misc equipment operation costs higher than budget
	159 Other Expenses 170 Dist Supervision & Engineering	126	4 32	(3) 44		Misc costs lower than budget General administrative and supervision costs higher than budget.
β	371 Distribution Load Dispatching	98	86	12		Scheduled dispatch costs higher than budget
	874 Mains And Services	1.405	1 579	(174)		Corrosion monitoring and leak surveys under budget
	75 Measuring & Reg Stations - Gen	30	45	(15)		Maintenance costs under budget
8	176 Measuring & Reg Stations - Ind	24	34	(10)	-29%	Calibration & testing under budget
	178 Meter And House Regulators	(175)	(70)	(105)		Meter credits higher in actuals
	79 Customer Installations	612	605	7		Misc costs higher than budget.
	880 Gas Distribution Other Expense 881 Rents Interco	919 388	709	210	30%	Inspections and customer premise work higher than budget.
	185 Maint- Supervision & Engineeri	300	152	388 (106)	-70%	Gas distribution rents charged but not budgeted General admin costs under budget.
	187 Maintenance Of Mains	770	1 023	(253)		Corrective maintenance code compliance and leak analysis under
			. 020	(200)		budget.
	89 Maint- Measuring & Reg Stat -	68	50	18	36%	Corrective maintenance higher than budget.
	92 Maintenance Of Services	374	335	39		Inspections higher than budget
	93 Maintenance Of Meters & House	252	172	80	47%	Rebuilds higher than budget.
	94 Maint - Other Distribution Equipment 91 Supervision	32 23	(25) 37	57 (14)		Claims credits in budget but no credits occurred in actuals Variance deemed immaterial
	02 Meter Reading Expenses	484	552	(68)		Decline in meter reading costs compared to plan
	03 Customer Record and Collection Expenses	1.940	2.488	(548)		Decline in costs for customer inquiries, disconnection for
				\ <i>,</i>		nonpayment, and collections; partially offset by higher corporate
						allocations
	04 Uncollectible Accounts	1.404	1.656	(252)		Decline in bad debt expense compared to plan
	05 Miscellaneous Customer Accounts Expense	(28)	-	(28)		Variance deemed immaterial
	08 Customer Assistance Expense 09 Informational and Instructional Expenses	184 48	207 74	(23) (26)		Variance deemed immaterial Variance deemed immaterial
	10 Misc. Customer Service and Information Exp	252	336	(84)		Decline in account management and customer relations support
•	The second control and morniation crip	202	550	(01)		costs; partially offset by higher corporate allocations
9	11 Supervision	3	-	3		Variance deemed immaterial
	13 Advertising Expense	-	2	(2)		Variance deemed immaterial
9	16 Miscellaneous Sales Expenses	1.543	606	937		Increase in demand-side program cost amortization compared to
	20 14-1-1-1-1	2.004	4 700	0.50		plan
9	20 Administrative and General Salaries	2 691	1.739	952		Higher labor due mainly to corporate allocations and higher incentive expense
q	21 Office Supplies and Expenses	2.197	1 009	1 188		Greater office expenses due to corporate allocations
	22 Administrative Expenses Transferred - Credit	(6)	. 555	(6)		Immaterial
	23 Outside Services Employed	1 076	636	440		Greater outside services due to corporate allocations
9	25 Injuries and Damages	112	62	50		Expense greater than budget due to higher workman's comp and
						claims
	26 Employee Pension and Benefits	3.248	2.932	316		Higher fringe benefit loadings than budgeted and allocations
	28 Regulatory Commission Expenses	354	277	77		Greater regulatory costs allocated than budgeted
	0.2 Miscellaneous General Expenses 31 Rents	274 765	132 307	142 458		Higher miscellaneous expenses due to corporate allocations Higher rents due to corporate allocations
	35 Maintenance of General Plant	77	26	456 51		Miscellaneous maintenance corrective and preventative greater
						than plan
Total O&M	•	21.973	18 342	3 631	20%	
Taxes Other Than Income Taxes	NA To a combined to the combined to	0.075	2.00-			
408	3.1 Taxes other than income taxes, utility operating income	2.877	3.008	(131)	-4%	Property taxes were lower than budget
	arconic .					

Duke Energy Kentucky, Inc Actual versus Plan Account Variances 2007 Dollars in Thousands

					Variance
	Account	Actual	Plan	Amount	Percent Description
Other Operating Reven	ues				
	488 Miscellaneous service revenues	496	-	496	0% Intercompany account not budgeted
	489.2 Revenues from transportation of gas of others	595		595	0% Intercompany account not budgeted
	through transmission facilities 489.3 Revenues from transportation of gas of others	1 257	3 545	712	20% Volumes greater than budget
	through distribution facilities				
	493 Rent from gas property 495 Other gas revenues	34 6	-	34 6	0% Intercompany account not budgeted 0% No budget for sales and use tax
Total Other Operal		5 388	3 545	1 843	52%
O&M					
Oan	711 Gas Boiler Labor	6	107	(101)	-94% Interdepartmental gas usage not budgeted as it is offset i account 929
	712 Gas Production - Other Expense	4	-	4	0% Interdepartmental gas usage not budgeted as it is offset i account 929
	717 Liquid Petroleum Gas Expense	10	-	10	0% Propane expense is not budgeted.
	728 Liquid Petroleum Gas	505	-	505	0% Propane expense is not budgeted
	735 Miscellaneous Prod Expense	20	13	7	54% Miscellaneous equipment operation costs higher than but
	736 Rents	28		28	0% TEPPCO rents not budgeted
	742 Production Equipment	94	627	94	0% Corrective Maintenance not budgete to this account
	807 Gas Purchased Expenses	378	627	(249)	-40% Maintenance Calibration & Testing and other Misc costs than budget.
	859 Other Expenses	. 1	3	(2)	-67% General Admin costs lower than budget
	870 Dist Supervision & Engineering	131 101	78	53	68% General Admin costs higher than budget 29% Scheduled dispatch costs higher than budget
	871 Distribution Load Dispatching 874 Mains And Services	1 706	78 1 361	23 345	25% Compliance, corrosion monitoring and maintenance higher budget
	875 Measuring & Reg Stations - Gen	16	60	(44)	-73% Maintenance costs lower than budget
	876 Measuring & Reg Stations - Ind	35	16	19	119% Calibration & testing higher than budget.
	878 Meter And House Regulators	(28)	50	(78)	-156% Meter credits higher than budgeted
	879 Customer Installations	604	720	(116)	-16% Customer premise work and maintenance work under but
	880 Gas Distribution Other Expense	841	970	(129)	-13% Administrative costs training and compliance under budg
	881 Rents Interco	388	-	388	0% Gas distribution rents charged but not budgeted
	885 Maint- Supervision & Engineeri	39	36	3	8% General administrative costs higher than budget
	887 Maintenance Of Mains	702	909	(207)	-23% Leak analysis and corrective maintenance under budget.
	889 Maint- Measuring & Reg Stat -	64	40	24	60% Corrective maintenance higher than budget.
	892 Maintenance Of Services	655	249	406	163% Inspections higher than budget
	893 Maintenance Of Meters & House	284	28	256	914% Rebuilds higher than budget -35% Credit to claims in actuals not budgeted
	894 Maint - Other Distribution Equipment 901 Supervision	20 18	31 14	(11)	29% Variance deemed immaterial
	902 Meter Reading Expenses	563	560	3	1% Variance deemed immaterial
	903 Customer Record and Collection Expenses	2 125	2 314	(189)	-8% Decline in costs for customer inquiries disconnection for
				,,	nonpayment, and collections; partially offset by higher con allocations than budgeted.
	904 Uncollectible Accounts	1 481	1.500	(19)	-1% Variance deemed immaterial
	905 Miscellaneous Customer Accounts Expense	68	-	68	0% Activity represents clearing of indirect labor cost pool
	908 Customer Assistance Expense	294	360	(66)	<ul> <li>-18% Lower costs for weatherization program implementation the planned</li> </ul>
	909 Informational and Instructional Expenses	58	21	37	176% Variance deemed immaterial
	910 Misc. Customer Service and Information Exp	369	972	(603)	-62% Energy Efficiency charges much lower than budgeted
	916 Miscellaneous Sales Expenses 920 Administrative and General Salaries	4 961	922 2 803	(922) 2.158	-100% DSM amortization budgeted but not charged to this accounting the linearity expense higher than budget by 500K, corporate
	920 Authinstitutive and General Salates	4 901	2 003	2.130	allocations more than budget by 840K. Jabor cost multiplie charged but not budgeted of \$800K
	921 Office Supplies and Expenses	3 114	2.853	261	9% Slightly higher office expenses than planned
	922 Administrative Expenses Transferred - Credit	1	339	(338)	-100%. This account was used in '07 as the offset of interdeparting gas/electric usage. There were no actuals split to Gas.
	923 Outside Services Employed	1.496	600	896	149% Higher outside services than planned due to corporate all
	924 Property Insurance	359	329	30	9% Insurance premiums slightly higher than budget
	925 Injuries and Damages	(2)	133	(135)	<ul> <li>-102% Expense less than budget due to favorable workman's co- claims</li> </ul>
	926 Employee Pension and Benefits	3 053	3.112	(59)	-2% Fringe benefit loading rates slightly lower than budget
	928 Regulatory Commission Expenses	647	362	285	79% Amortization of KY merger costs charged but not budgete
	930 2 Miscellaneous General Expenses	205	106	99	93% Higher miscellaneous costs due to corporate allocations r budgeted
	931 Rents	1 222	599	623	104% Higher rents due to corporate allocations and hardware to greater than budget
	935 Maintenance of General Plant	116	51	65	127% Miscellaneous maintenance corrective and preventative g than plan
Total O&M		26.752	23.326	3.426	15%
Taxes Other Than Inco			4.442	(891)	-20% Property taxes were lower than budgeted.
	408.1 Taxes other than income taxes, utility operating	3 551			

Duke Energy Kentucky, Inc. Actual versus Plan Account Variances 2008 Dollars in Thousands

Dollars in Thousands						
Δ.	against .	0	Diam	A	D	Variance
A	ccount	Actual	Plan	Amount	Percent	Description
Other Operating Revenues						
	488 Miscellaneous service revenues	555	-	555		Intercompany account not budgeted
	189.2 Revenues from transportation of gas of others	598	•	598	0%	Intercompany account not budgeted
	through transmission facilities 489.3 Revenues from transportation of gas of others	4 363	3 937	426	11%	Volumes greater than budget
	through distribution facilities	. 500	3 33.			Countes greater train odoget
	493 Rent from gas property	34		34	0.9	Intercompany account not budgeted
	495. Other gas revenues	19		19	0%	No budget for sales and use tax and gas losses on damaged lines.
	496 Provision for rate refunds	(7,518)		(7.518)	097	Possess for WIDD and outline and by destand
Total Other Operating Rever		(1 949)	3.937	(5.886)	-150%	Reserve for AMRP court ruling not budgeted
		(	0.00	(0 00.17		
OSM						
	711 Gas Boiler Labor	8		ð	049	Interdepartmental gas usage not budgeted as it is offset in account 929.
	712 Gas Production - Other Expense	6		6	0%	Interdepartmental electric usage not budgeted as it is offset in
		· ·			3.0	account 929
	717 Liquid Petroleum Gas Expense	3	100	(97)		Maintenance costs less than budget
	728 Liquid Petroleum Gas	78		78		Propane is not budgeted.
	735 Miscellaneous Prod Expense 736 Rents	20 67	412	(392) 67	-95%	Misc operating expenses lower than budget
	730 Rents 742 Production Equipment	85	2	83		TEPPCO agreement dollars not budgeted  Maintenance and other costs budgeted to other accounts
	807 Gas Purchased Expenses	451		451		General and administrative and operating costs higher than budget
	870 Dist Supervision & Engineering	121	94	27		Administrative & General costs higher than budget
	871 Distribution Load Dispatching	130	89	41		Scheduling & Dispatch costs higher than budget
	874 Mains And Services 875 Measuring & Reg Stations - Gen	1 692 29	1 124 71	568 (42)		System & equipment operating expenses higher than budget Corrective Maintenance and Calibration under budget
	876 Measuring & Reg Stations - Ind	19	12	7		Calibration & testing slightly higher than budget
	878 Meter And House Regulators	22	(39)	61	-156%	Meter age changes higher than budget.
	879 Customer Installations	933	563	370		Inspections budgeted mainly in account 880.
	880 Gas Distribution Other Expense	421	1 129	(708)	-63%	General administrative costs and customer premise work under
	881 Rents Interco	388	388	_	0%	budget. Inspection actuals mainly hitting account 879
	885 Maint- Supervision & Engineeri	31	68	(37)		General administrative costs under budget.
	887 Maintenance Of Mains	617	1.298	(681)	-52%	Corrective maintenance higher than budget
	889 Maint- Measuring & Reg Stat -	43	47	(4)	-9%	Corrective maintenance slightly lower than budget.
	892 Maintenance Of Services	686	467	219		Inspections and corrective maintenance higher than budget.
	893 Maintenance Of Meters & House 894 Maint - Other Distribution Equipment	489 23	(47)	489 70		Rebuilds budget in account 879 and higher than budget.
	901 Supervision	10	(47)	3		Credits for claims against company below budget Immaterial
	902 Meter Reading Expenses	659	715	(56)		Immaterial
	903 Customer Record and Collection Expenses	1 981	2 223	(242)		Less customer inquiries than planned
	904 Uncollectible Accounts	1 196	1 379	(183)		Less uncollectibles than planned
	905 Miscellaneous Customer Accounts Expense	(15) 140	129	(15) 11		Immaterial
	908 Customer Assistance Expense 910 Misc Customer Service and Information Exp.	423	399	24		Immaterial
	920 Administrative and General Salaries	2.944	2 939	5		Immaterial
	921 Office Supplies and Expenses	1.818	1 435	383		Expense greater than budgeted due mainly to corporate
						allocations
	923 Outside Services Employed	1 736	2 196	(460)	-21%	Outside Services expenses lower than budget because of reduced
	924 Property Insurance	112	21	91	433%	spending in '08 due to the economy  Change in allocation methology for insurance budget
	925 Injuries and Damages	72	216	(144)		Expense less than budget due to fewer workman's comp expense
						and claims
	926 Employee Pension and Benefits	2.101	2.689	(588)		Budgeted fringe benefit rate higher than actual charged
	928 Regulatory Commission Expenses 929 Duplicate Charges - Credit	584 (342)	1.223	(639) (342)		Corporate allocations budgeted but little actuals charged
	525 Dupicate Charges - Creur	(342)	•	(342)	078	This account is offset of interdepartmental gas/electric usage.  Change in charging methology split between Gas & Electric
						Budget not split to Gas
	930 2 Miscellaneous General Expenses	180	264	(84)	-32%	Miscellaneous General expenses lower than budget because of
	024 Desta	000	. 07:	. 7.5.		reduced spending in '08 due to the economy.
	931 Rents	999	1.074	(75)	-/%	Rent expenses lower than budget because of reduced spending in 108 on rents due to the economy.
	935 Maintenance of General Plant	116	35	81	231%	Miscellaneous maintenance corrective and preventative greater
						than plan.
Total O&M		21 076	22.722	(1 646)	-7%	
Taxes Other Than Income Taxes						
Taxas Other Thail Income Taxes	408 1 Taxes other than income taxes utility operating	1.806	4 088	(2.282)	-56%	Property taxes were lower than budgeted due to valuation
	income		. 550	(2.202)		settlement with Kentucky Dept of Revenue for 2006, 2007 and
						2008

Duke Energy Kentucky, Inc. Case No. 2009-00202 First Set Attorney General Data Requests Date Received: August 17, 2009

AG-DR-01-003

### **REQUEST:**

Please update the response to PSC-1-45 to include actual data for June 2009 and continue to update this request as additional monthly data becomes available.

### **RESPONSE:**

See Attachment Supplemental Staff-DR-01-045 which has been updated through June 2009.

Duke Energy Kentucky, Inc.
Case No. 2009-00202
First Set Staff Data Requests
Date Received: June 19, 2009
Supplemental Response Submitted: August 31, 2009

SUPPLEMENTAL STAFF-DR-01-045

### **REQUEST:**

As the historical data becomes available, provide detailed monthly income statements for each forecasted month of the base period, including the month in which the Commission hears this case.

### **RESPONSE:**

See Supplemental Attachment STAFF-DR-01-045 which has been updated to include actual revenue and expenses through the month of June 2009.

STAFF FIRST SET DATA REQUESTS SUPPLEMENTAL ATTACHMENT STAFF-DR-01-045 PAGE 1 OF 4

DATA: "X" BASE PERIOD FORECASTED PERIOD TYPE OF FILING. "X" ORIGINAL UPDATED REVISED

DUKE ENERGY KENTUCKY, INC. CASE NO. 2009-00202 MONTHLY REVENUES AND EXPENSES BY ACCOUNT BASE PERIOD

				Annual contract contr			ACTUAL						BUDGET	
Account	Description	Total	Oct-08	Nov-08	Dec-08	Jan-09	Feb-09	Mar-09	Apr-09	May-09	10-nuc	90-Inf	Aug-09	Sep-09
403000	Degration Expense	8 411 559	664.777	673.669	662.890	665.511	674.754	791,221	697,646	840,043	707,629	675,970	677,648	679,801
404201	Amort Exp - Limited Term	640,106	50,958	61,744	52,276	51,287	52,554	56,020	49,829	54,217	54,261	52,320	52,320	52,320
407907	DSM Amortization	(236,142)	(7,897)	(26,562)	(22,363)	(92,490)	(87,134)	(58,792)	(34,649)	34,112	59,633	0	0	0
408000	General Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
408015	Ohio Property Taxes - General	0	0	0	0	0	0	0	0	0	0	0	0	0
408050	Kentucky Property Tax - Gas	0	0	0	0	0	0	0	0	0	0	0	0	0
408090	West Virgina Property Tax-Gas	0	0	0	0	0	0	0	0	0	0	0	0	0
408095	Misc States Property Tax	0	0	0	0	0	0	0	0	0	0	0	0	0
408121	Taxes Property-Operating	1,526,050	283,919	283,919	(1,857,882)	307,898	307,898	307,898	307,898	307,898	304,268	324,112	324,112	324,112
408150	State Unemployment Tax	6,215	7	18	11	2,998	206	2,953	∞	0	14	0	0	0
408151	Federal Unemployment Tax	4,506	11	56	σ	4,264	174	12	0	0	10	0	0	0
408152	Employer FICA Tax	475,511	31,340	28,901	26,731	54,955	35,533	40,602	33,050	38,088	33,719	50,864	50,864	50,864
408410	Social Security Taxes	0	0	0	0	0	0	0	0	0	0	D	0	0
408490	Indiana Highway Use Tax	0	0	0	0	0	0	0	0	0	0	0	0	0
408500	Federal Highway Use Tax - Gas	0	0	0	0	0	0	0	0 1	0	0 (	0 :	0 (	0 (
408530	Ohro Highway Use	0	0	0	0	0	0	0	0	0	0	0	0 :	0 ;
408550	Kentucky Highway Use	489	0	0	0	0	0	0	0 ;	0	0 (	163	163	163
408700	Fed Social Security Tax-Elec	15,593	5,976	(8.480)	995	(4,136)	2,835	5,319	3,758	5,223	4,103	<b>&gt;</b> :	0 (	0
408740		0	0	0 .00	0	0	0 0	0	0 000	0 0	0 0	90	<b>)</b> (	0
408360	-	144,261	150,094	31,381	(134,620)	14,291	6,128	83,000	905,	(3,054)	(155,5)	5 6	0 00	0 0
409060	Federal Income Taxes Utility Op Income	52,962	43,508	43,508	43,508	43,508	43,508	43,508	6,178	275,996	(620,789)	43,508	43,508	43,513
409104	State/Local Inc Tx Exp Utility Op Inc PY	164,144	164,144	0	0	0	0	0	0	0 !	0	2	0 0	0 00
409160	State/Local Inc Tax Exp Utility Op Inc	131,624	20,905	20,905	20,905	20,905	20,905	20,905	1,521	47.670	(105,712)	20,505	20,905	20,905
409193	Fed Inc Tax - Utility Operating Inc - PY	(57,450)	(57,450)	0	0	0	0 1	0	0 (	0	o ;	၁	0 (	0
409233	State/Local Inc Tax - Oth Inc & Ded - PY	0	0	0	0	0	0	0	0	0	0 1	0	0	0
409980	Taxes Alloc From Serv Co - Gas Federal	0	0	0	0	0	0	0	0	0	0	0	0	0
409985	Taxes Alloc From Serv Co - Gas State	0	0	0	0	0	0	0	0	0	0	0 !	0 !	0 :
410060	Deferred FIT Utility Operating Inc	(227,531)	2,477	2,477	2,477	2,477	2,477	2,477	(200,871)	(423,931)	374,981	2,477	2,477	2,474
410109	Def FII - Utility Operating Inc - PY	26	<b>.</b>	<b>o</b> c	2000	<b>&gt;</b> 0	<b>&gt;</b> 0	<b>o</b> c	<b>5</b> (	0 0	<b>&gt;</b> 0	0	<b>&gt;</b> 0	0 0
211014	Defer SID Utility Operating Inc - PY	(238)	<b>&gt;</b> (	> 0	(238)	<b>)</b>	<b>&gt;</b> (	> 0	0	0 0	5 0	<b>&gt;</b> C	0	0 0
410113	UTP Tax Expense State Utility Prior Year	<b>&gt;</b> 0	<b>&gt;</b> c	<b>&gt;</b> 0	<b>&gt;</b> 0	0 0	<b>5</b> C	<b>&gt;</b> C	<b>&gt;</b> C	00	0 0	000	<b>o</b> c	<b>&gt;</b> C
410130	LITE DOIT HISTON DELOT YES	<b>&gt;</b> C	o c	o c	<b>o</b> c	0 0	0 0	<b>&gt;</b> C	o c	o c	o c	0 0	o c	00
410160	Deferred SIT Billia Decretion for	(146 103)	(10 004)	(10 994)	(10 994)	(10 994)	(10 994)	(10 994)	(35,253)	1505 627	60.410	(10 994)	(10 994)	(10 999)
410195	UTP Tax Expense Fed Utility Prior Year	(2010)	(10:01)	(10.00	0	0	0	0	(20,500)	0	0	0	0	0
411060	Deferred FIT Credit - Utility Op Inc	0	0	0	0	0	0	0	0	0	0	0	0	0
411065	Amortization of Investment Tax Credit	(62,002)	6,530	(6,277)	(6.277)	(6,220)	(6,219)	(6,220)	(6,220)	(6.219)	(6,220)	(6,220)	(6,220)	(6.220)
411106	Def FIT Credit - Utility Oper Inc - PY	(476)	0	0	(476)	0	0	0	0	0	0	0	0	0
411107	Def SIT Credit - Utility Oper Inc - PY	1,335	(56)	0	1,361	0	0	0	0	0	0	0	0	0
411113	UTP Tax Expense State Utility Prior Year	0	0	0	0	0	0	0	0	0	0	0	0	0
411130	UTP DEIT Utility Prior Year	0	0 (	0 (	0 (	0 (	0 (	0 (	0 (	0 (	0 (	0 0	0 (	0 (
411131	U.P. DSIT Utility Prior Year	<b>o</b> •	<b>&gt;</b> (	o (	<b>&gt;</b> (	0 0	) (	0 (	)	<b>&gt;</b> (	<b>&gt;</b> (	<b>&gt;</b> (	> 0	<b>&gt;</b> (
411160	Deferred SIT Credit - Utility Op Inc	<b>o</b> (	0	0	0	00	<b>&gt;</b> c	0 0	<b>&gt;</b> c	00				
400000	Docidostal Salor Cas	30 235 008	1 381 576	2 116 715	0 000 900	0 4 790 182	1 588 481	3 427 442	2 446 624	1 721 768	1 476 623	1 127 004	1 393 602	1 119 057
480410	Residential Unbilled Gas	20,233,300	2 423 000	1 677 000	1,379,000	1,242,000	(3.156.000)	(2.515.000)	(1.042.000)	(454,000)	(209.000)	128.238	177.423	558.154
480603	Res DSM	(477,780)	(12,797)	(43,315)	(122,928)	(152,691)	(143,949)	(97,034)	(56,762)	54,342	97,354	0	0	0
480620	Res Gas Cost Recovery	51,710,827	1,154,605	3,566,686	9,814,983	11,386,410	10,894,182	6,545,950	2,980,888	1,470,550	985,632	916,677	905,403	1,088.861
480625	Res Merger Savings Credit Rider	(130,913)	(2,436)	(7,889)	(22,340)	(27,843)	(26,318)	(17,651)	(10,360)	(4,912)	(3,028)	(2,818)	(2,564)	(2,754)
481400	Commercial Sales Gas	9,209,217	377,598	637,285	1,310,109	1,607,236	1,499,029	1,078,860	708,793	458,440	389,866	386,861	3/4,069	381,071
481410	Commercial Unbilled Gas	364,881	000,110	0.000 0.000	200,000	312,000	(1,4,4,000)	(1,000,100,1)	(+0,000)	(101,000)	(000'8+1)	101,10	00. 100 00. 100	088,701

DUKE ENERGY KENTUCKY, INC.
CASE NO. 2009-00202
MONTHLY REVENUES AND EXPENSES BY ACCOUNT
BASE PERIOD

SIOD	REVISED
FORECASTED PERIOD	UPDATED
, FORE	ORIGINAL
PERIOD	×
x" BASE I	F FILING
DATA: "X"	TYPE OF

Industrial Sales Industrial Sales Industrial Unbill Com DSM Com DSM Com DSM Com GSA Cost R Ind Merger Sa Ind Merger Sa Ind Merger Sa Ind Merger Sa Cost Mother Sales To St. Gas Cost R Ind Merger Sa Cost OPA DSM OPA GS Cost OPA DSM Inter Departmen Discounts Earn Misc Rev Field Misc Rev Edd C Misc Rev Edd C Misc Rev Edd C Misc Rev Edd C Misc Rev Transp Gas Erev E Misc Rev Transp Gas Erev E Misc Rev Transp Gas Com Transp Gas Com Transp Gas Indust Rev Transp Gas Com Transp Gas Indust Rev Tran Rev Firm Transp Indust Rev Transp Gas Com Transp Gas Ind Transp Merg Oth Transp Gas Losses Dan Provision for Rad Gas Boller Labo Gas Losses Dan Provision for Rad Gas Boller Labo Gas Freiel Unrecovered Pun Purchase Gas C	Account	1					ACTUAL						BUDGET	
Control of the property   Control of the p		1000	001-08	NOV-08	Dec-08	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	90-Inf	Aug-09	Sep-09
Commonweight of the comm		882,332	37,218	55,422	122,248	145,274	147,110	95,844	61,357	39.021	25 673	51.463	5.4 350	17 303
Comparison   Com		6,947	54,000	50,000	132,000	44,000	(127,000)	(120,000)	(45,000)	(20.000)	(20,000)	1 933	18,303	38 603
March State Control		(13,204)	(645)	(1,897)	(4,206)	(4.729)	(4.355)	(3.031)	(2 212)	3 724	120,000		340.0	30,05
March Reviews   Color   Colo		24,911,463	787,343	1,836,065	4,539,055	5,337,003	5.009.568	3.002.598	1 336 050	685 262	576 R.32	561 410	674 070	0 7 7 7
March Repairs   Cartes   Car		(27,612)	(731)	(1,829)	(4,590)	(5,737)	(5,323)	(3.576)	(2 109)	(1.053)	705)	074,100	2/0,1/0	009, 134
December   Part   Par		2,826,107	110,161	178,679	473,588	529,505	520.684	304.675	142 970	67.940	15, 210	(409)	(+00)	(021)
Participation   Participatio		(2,892)	(95)	(159)	(431)	(518)	(504)	(330)	(1991)	05.240	9,2,5	130,933	000,001	153,401
Opp A SSENT Control and Control		484	40	40	42	41	40	077	41,	(CE)	(00)	( )	(701)	(100)
Charter State   Charter Stat		1,146,026	63,338	69,417	156,999	184,183	216 183	143 298	1 to 00	076.74	0,700	0+0	070	40
March Revenue   March Revenu		(16,629)	127,000	128,000	(7,000)	000 26	(165,000)	(16.1 000)	152,304	0/4,74	29,740	719,00	20.02	51,984
PACKED  PACK		460	46	4.00	51,	20,10	(000,001)	(900,401)	(32,000)	(30,000)	(000,81)	3,774	4,577	61,020
December	482653 OPA DSM	(25)	С	: 5	(9)	į (į	p S	? (	- ·	87	3.1	33	35	40
Proceedings Sample Check Repairs   1777   1779	482660 OPA Gas Cost Recovery	3 663 401	10R 765	763 261	(0)	(8)	(8)	0 000	0	0	0	0	0	0
Interpolation   State   Stat		(727.5)	60,00	102,202	070.910	67.2,364	805,047	432,456	189,875	99,725	57,092	123,329	131,221	154,350
Opcoming Exempt Loss)         10 Co. 10		10.137	(35)	(233)	(1/6)	(659)	(778)	(469)	(271)	(137)	(02)	(152)	(149)	(156)
Make Served Revoluer - Case         15         1         2         2		000	2	, ,	10,330	17.721	10,640	5,102	2,485	2,537	(1,704)	1,815	1,355	720
Wise Clear Procurous Clear         3.3 5/70         5.6 4.2 0         2.30 1         1.51 1         1.51 1         1.51 1         1.51 1         1.51 1         1.51 1         1.51 1         1.51 1         1.51 1         1.52 1		o car	) ų	) ·	) )	O !	0	0	0	0	0	0	0	0
Wise Case Rev. Entropy Case Rev.         51,000         2,000         1,997         4,000         1,997         4,000         1,997         4,000         1,997         4,000         1,997         4,000         1,997         4,000         1,997         4,000         1,997         1,11         2,2,81         4,2,81         4,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,11         2,2,81         4,2,81         1,2,81		00- 00	0.00	0	T.	15	15	15	15	15	15	15	15	15
Mode flower board         Color of Long State (Long State)         11.73         4.24         4.28.1         <		33,376	5,042	4,230	2.307	1,040	1,897	1,948	3,000	3,967	4,676	1,823	1,823	1.823
Michigan Band Changed Changes   11.15   6.25   6.	_	214,092	42,841	42,841	42,841	42,841	42,841	42,841	42,841	42,841	42,841	42.841	42.841	42.841
Mistor for Children   Mistor San   Mistor		57	53	0	0	0	0	0	0	0	0	0	C	0
Rev Transp. Gas of Onesays		011,110	699	935	765	1,177	610	853	963	1,271	1,348	843	843	843
Transpord Gase 1 (C. 2) (1730) (1731)		/07'5	282	180	315	315	645	969	480	180	251	320	320	320
Type Meleyer Swings C Riese         10,00         1,00         <	-	604,009	12,395	107,700	109,343	114,606	98,141	108,078	91,885	95,994	96,929	69,948	70,161	70,489
Committee Markey Londing         40,333         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,035         2,134         4,030         2,034         4,030         2,034         4,030         2,034         4,030         2,034         4,030         2,034         4,030         2,034         1,034         3,034         4,030         2,034         4,030         2,034         1,034         4,030         2,034         1,034         4,030         2,034         1,034         1,034         4,030         2,034         1,	'	(100 6)	080,84	080,84	52,036	50,058	50,058	50,058	50,058	50,058	50,058	50,058	50,058	50,058
Contract	_	407.533	20,134	(292)	(3/2)	(3/6)	(394)	(349)	(371)	(310)	(295)	(235)	(235)	(237)
Row Firm Transp Agree Control         2017 934         1822 833         1822 834         24.5 20         18.5 200         18.5 200         18.5 200         18.5 20	_	626	11,000	17,000	100,000	04,400	049,84	36,999	31,291	27,752	21,851	15,853	15,423	15,717
Name   Contract   Co		2.017.934	182,883	193,294	242,200)	2,000	(0,000)	(12,000)	(000')	4,000	(5,000)	3,088	467	384
Other Revolution         5.90 (1.5)         6.90 (1.5)         7.30 (1.5)         6.90 (1.5)         7.30 (1.5)         7		(27.384)	63 000	49,000	78,000	000,000	7000 307	103,323	140,166	7 / 7.033	120,617	97,195	100,364	100,593
Om Transp Gas Cost Recovery         20.329         12,000         43,000         120,100         35,165         24,565 <td></td> <td>569.417</td> <td>31.798</td> <td>58.273</td> <td>80.308</td> <td>000,4</td> <td>(25,000)</td> <td>(34,000)</td> <td>(20,000)</td> <td>5,000</td> <td>(14,000)</td> <td>1,895</td> <td>8,998</td> <td>1,723</td>		569.417	31.798	58.273	80.308	000,4	(25,000)	(34,000)	(20,000)	5,000	(14,000)	1,895	8,998	1,723
Com Transp Ges Cast Recovery         349         183         241         183         241         183         141         183         143         1430         (149)		20.329	12,000	43,000	1000 567	000.91	09,932	52,483	39,200	55,112	16,606	24,865	24.565	25,304
Com Transp Marger Savings Cr Rider         (1,236)         (56)         (68)         (147)         (147)         (149)         (17)         (17)         (17)         (17)         (17)         (17)         (17)         (17)         (17)         (17)         (17)         (17)         (17)         (148)         (147)<		349	183	241	332	(53)	(000,11)	(10,000)	(0,000)	000.1	(6,000)	5.696	2,302	6,331
Ind Transp Gas Cost Recovery 255 (77) (77) (77) (77) (77) (77) (77) (7		(1.238)	(56)	(88)	(147)	(105)	(60)	(46)	(49)	(20)	(114)	0	0	0
Int Tansp Merget Sawings Cr Rider		205	) c	32	750	(193)	(777)	(100)	(119)	(91)	(71)	(27)	(25)	(56)
Oth Transp Gas Cost Recovery  759	_	(7.150)	(546)	(670)	7191	(308)	0 (2,0)	0 (101)	0 50	0	0	0	0	0
Care		759	168	610	1 127	(050)	(3/6)	(187)	(000)	(521)	(398)	(316)	(328)	(330)
Rent Land And Buildings-Affil 34,176         2,848		(1,657)	(19)	(32)	(193)	(280)	(322)	(203)	(215)	(142)	(105)	0	0	0
Sales Use Tax Collect Fee         18,000         1,500         1		34,176	2.848	2.848	2 848	2 848	2 8 4 8	(22)	(100)	(011)	(67)	(AC)	(28)	(61)
Gas Losses Damaged Lines         2.916         0         0         0         1.500		18,000	1.500	1.500	1.500	1,500	1,500	0,040	2,046	2,848	2,848	2,848	2,848	2,848
Provision for Rate Refunds         (7,517,628)         0	-	2,916	0	C		-	95.	000.1	000.	000.	000.	1,500	1,500	1,500
Gas Boller Labor         Gas Boller Labor         14,660         62         2.564         0		(7,517,628)	0	0	(7.517.628)	o c	0 0		0 0	0 (	0 (	972	972	972
Gas Production - Other Expense         9,099         115         450         1,058         2,458         870         511         218         215         3,486         1,521 <td>_</td> <td>14,660</td> <td>62</td> <td>0 0</td> <td>2 504</td> <td>o c</td> <td>2 0 4</td> <td>0 0</td> <td>0 0</td> <td><b>&gt;</b> (</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td>	_	14,660	62	0 0	2 504	o c	2 0 4	0 0	0 0	<b>&gt;</b> (	0	0	0	0
Liquid Petroleum Gas Expense 9,534 634 70 14,355 86,442 7,777 282 28 906 2,744 2,876 428 1,086 1		660'6	115	250	1.058	0 450	, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	O 1	0 0	0 !	3.486	1,521	1,521	1,521
Liguid Petroleum Gas  Liguid Carrol		9,534	634	70	7.	2,430	202	- 600	812	215	(4)	1,086	1,086	1,086
Miscellaneous Prod Expense         22,083         1,347         794         1,553         7,17         7,052         0         1,513         624         (2,723)         (2,723)           Poeuls         22,083         1,583         5,683         5,583         5,583         5,583         5,583         5,583         4,467         1,253         1,103         1,253         1,103         1,103         1,103         1,103         1,103         1,103         1,103         1,103         1,103 </td <td></td> <td>110.392</td> <td>0</td> <td></td> <td>1.2 353</td> <td>26.442</td> <td>267</td> <td>7 7 20</td> <td>906</td> <td>2.744</td> <td>2.876</td> <td>428</td> <td>427</td> <td>430</td>		110.392	0		1.2 353	26.442	267	7 7 20	906	2.744	2.876	428	427	430
Renis         63,648         5,583         4,467         4,467         4,467         4,467         4,467         4,467         4,467         4,467         4,467         4,467         4,467         4,467         1,60,39         1,707         10,039         1,0039         1,	_	22.083	1 347	794	1,050	7 152	300 3	7,652	0 0	1,513	624	(2,723)	(2,723)	(2,723)
Production Equipment 85,765 6,474 10,414 7,873 15,625 5,982 5,583 5,583 1,467 4,457 10,414 7,873 15,62 5,982 3,583 5,583 1,467 4,457 10,000 10		63 648	5,583	5 5 B 3	200.4	7,132	3,200	1,2/5	282	9	127	1,257	1,253	1,261
Natural Gas Field Line Purchase 87,100,732 4,393,212 10,391,288 17,121,558 20,0731,213 13,164,270 5,502,708 3,438,903 1,594,645 1,303,756 1,741,817 1,769,127 2.0 Unrecovered Purch Gas Adjustment (3,974,711) (2,213,624) (5,082,065) (1,678,824) (2,227,015) 4,219,876 751,095 1,187,374 722,787 344,685 0 0 Purchase Gas Cost Unbilled Rev (31,413) 1,590,348 2,557,475 2,279,497 1,360,605 (3,633,219) (2,979,406) (1,081,536) (518,840) (337,334) 104,991 152,684 4		85,765	6.474	0,000	7,000	5,583	5,583	5,583	5,583	5,583	5,583	4,467	4,467	4,467
Unrecovered Purch Gas Adjustment (3/974,711) (2.213.624) (5,082.065) (1,678,824) (2,227.015) 4,219.876 751,095 1,187,374 723,787 344,685 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		87.100.732	4 393 212	10 931 258	17 171 558	201,01	208,0	3,233	3,588	569	1,707	10,059	10,039	10,085
Purchase Gas Cost Unbilled Rev (31,413) 1,590,348 2,557,475 2,279,497 1,360,605 (3,633,219) (2,979,406) (1,081,536) (518,840) (337,334) 104,991 152,684		(3,974,711)	(2.213.624)	(5.082.065)	(1 678 824)	(2 227 015)	4 210 876	3,302,708	3,438,903	1,594,645	1,303,756	1,741,817	1,769,127	2,066,355
(337,334) 104,931 152,684		(31,413)	1.590.348	2 557 475	7 279 497	1 360 605	7,213,070	080'10'	1,187,374	723,787	344,685	0	0	0
					101011	000,000	(5,055,219)	(4,979,405)	(955,120,1)	(518,840)	(337,334)	104,991	152,684	473,322

STAFF FIRST SET DATA REQUESTS SUPPLEMENTAL ATTACHMENT STAFF-DR-01-045 PAGE 3 OF 4

DATA: "X" BASE PERIOD FORECASTED PERIOD TYPE OF FILING: "X" ORIGINAL UPDATED REVISED

DUKE ENERGY KENTUCKY, INC. CASE NO. 2009-00202 MONTHLY REVENUES AND EXPENSES BY ACCOUNT BASE PERIOD

							ACTUAL						BUDGET	
Account	Description	Total	Oct-08	Nov-08	Dec-08	Jan-09	Feb-09	Mar-09	Apr-09	May-09	90-unf	90-Inc	Aug-09	Sep-09
	Gas Purchased Expenses	464,956	37,845	26,779	59,171	42,239	46,099	42,855	39,712	38,949	43,316	29,241	29,354	29,396
813000	Other Gas Supply Expenses	(15)	(83)	29	(83)	(23)	116	0	(23)	7.5	(23)	0	0	0
	Mains Expense	0	0	0	0	0	0	0	0	0	0	0	0	0
-	Other Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0
870000	Dist Supervision & Engineering	89,720	10,220	5.551	10,525	10,953	7,942	11,254	11,549	1,751	(367)	6,779	6,761	6,802
	Distribution Load Dispatching	132,040	13,444	11,197	12,786	12,979	12,773	12,826	10,526	13,333	12,675	6,455	6,431	6,615
_	Mains And Services	1,943,799	194,101	150,694	174,745	150,026	63,172	95,530	154,584	172,404	369,674	131,799	139,678	147,392
_	Measuring & Reg Stations - Gen	25,218	7,588	3,279	1,634	156	674	3,359	108	29	186	2,722	2.716	2,729
_	Measuring & Reg Stations - Ind	93,761	1,082	4,197	2,231	1,666	674	5,039	3.527	868	3,940	27,654	21,439	21,444
_	Meter And House Regulators	189,096	127,554	243,574	(333,645)	47,711	27,207	75,175	15,312	9,279	(41,734)	8,467	5,090	5,106
	Customer installations	881,954	87,099	69,043	93,248	81,901	64,192	67,907	54,846	47,134	73,125	95,652	75,017	72,790
	Gas Distribution Other Expense	407,398	39,160	45.672	31,652	43,053	21,900	35,351	29,492	32,937	35,682	28,730	31,252	31,517
	Rents Interco	387,624	32,302	32,302	32,302	32,302	32,302	32,302	32,302	32,302	32,302	32,302	32,302	32,302
	Maint- Supervision & Engineeri	15,344	913	1,049	(120)	910	1,024	1,070	1,080	963	699	2,627	2,573	2,586
887000 N	Maintenance Of Mains	681,306	112,579	61,371	41,558	44,377	42,081	(12,241)	39,376	39,800	76,156	84,104	77,243	74,902
	Maint- Measuring & Reg Stat -	45,328	5,786	1,035	3,293	1,835	4,319	4,283	4,586	3.686	1,912	4,863	4,850	4,880
	Maint- Measuring & Reg Stat -	3,162	0	တ	373	325	0	1,330	701	-	522	(33)	(33)	(33)
	Maintenance Of Services	564,957	39,733	44,904	19,314	16,108	26,957	69,296	41,062	38,826	75,911	64,282	64,282	64,282
	Maintenance Of Meters & House	256,705	53,064	46,671	14,842	49,160	28,315	18,419	21,207	25,405	20,304	(6,894)	(6.894)	(6,894)
_	Maint - Other Distribution Equipment	18,243	7,436	9,785	(12,521)	4,399	1,335	3,139	4,136	(12,382)	8,035	1.627	1,627	1,627
	Supervision	2,851	501	223	(362)	494	219	804	505	286	394	(71)	(71)	(71)
	Meter Reading Expenses	773,636	61,908	47,307	55,755	74,963	75,509	59,924	58,378	54,924	56,015	92,831	70,463	65,659
_	Customer Record and Collection Expenses	1,753,003	162,224	166,607	164,374	154,074	145,918	168,096	162,018	155,824	151,118	167,067	141,405	14,278
	Jncollectible Accounts	1,145,285	99,231	92,211	159,471	107,703	96,127	81,037	66,502	60,683	29,605	130,187	119,296	103,232
_	Viscellaneous Customer Accounts Expens	0	0	0	0	0	0	0	0	0	0	0	0	0
_	Customer Assistance Expense	138,332	9,911	10,249	16,298	6,556	11,399	11,883	10,231	11,519	9,680	13,532	13,498	13,576
	Informational and Instructional Expenses	3,574	0	0	0	0	0	0	0	3,574	0	0	0	0
	Misc. Customer Service and Information Ex	414,822	56,557	5,975	51,664	35,396	26,012	42,889	35,702	45,910	26,216	29,086	29,086	30,329
	Supervision	0	0	0	0	0	0	0	0	0	0	0	0	0
	Miscellaneous Sales Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0
	Administrative and General Salaries	2,846,956	223,573	173,903	523,648	321,324	137,137	205,098	212,247	238,865	212,738	201,634	197,768	199,021
_	Office Supplies and Expenses	1,568,441	179,279	145,950	198,104	174,428	69,162	115,762	143,773	101,636	131,677	102,071	99,811	106,788
-	Administrative Expenses Transferred - Crec	122,696	თ	13	122,639	36	(19)	(5)	0		28	(2)	(2)	(2)
	Outside Services Employed	1,759,949	126,318	126,180	238,317	148,261	89,418	148,643	181,443	67,040	149,877	150,567	157,513	176,372
_	Property Insurance	194,428	900'6	11,548	10,190	13,785	32,299	23,088	23,614	23,099	23,442	8,119	8,119	8,119
	injuries and Damages	94,634	2,120	1,890	2,074	4,942	17,169	9,734	11,080	11,065	11,076	7,828	7,828	7,828
	Employee Pension and Benefits	2,546,266	397,500	291,484	480,650	231,403	89,570	219,887	199,483	161,281	222,740	74,407	86,222	91,639
	General & Administration	124	37	20		2	19	13	10	16	9	0	0	0
	Regulatory Commission Expenses	557,721	48,327	45,609	45,615	45,615	45,615	45,969	46,035	45,733	45,044	48,053	48,053	48,053
929000 C	Duplicate Charges - Credit	(438,089)	(37,940)	(38,023)	(233,362)	(40,897)	(19,094)	(16,584)	(19,596)	(26,735)	(22,208)	5,450	5,450	5,450
930000 N	Miscellaneous General Expenses	117,112	9,026	7,821	15,330	40,462	3,691	13,363	10,225	5,826	3,133	2,733	2,674	2,828
_	Rents	950,681	53,352	52,919	81,255	98,702	56,958	77,267	75,225	75,145	75,746	101,909	101,102	101,101
935000 N	Maintenance of General Plant	377,089	8,397	7,127	11,837	19,945	34,965	32,713	33,452	34,823	34,254	54,097	52,880	52,599
		237,752,430	14,975,556	23,389,836	35,026,351	49,182,049	35,452,009	21,802,103	13,160,953	8,864,892	7,682,266	8,883,402	8,986,726	10,346,287
<u>سا</u> ل	BASE PERIOD	137 368 000	2 6 6 9 9 6	11 066 420	10 207 520	900 360 96	20.000	11 573 063	7000	000 000	2000	C T T T T T T T T T T T T T T T T T T T	000	000
-	رقم قالم في	124,300,029	006,500,7	004,000,11	020,180,01	066,020,02	19,000,000	505,550,11	47+'000''	4,440,900	3,522,110	4, 107,018	6/7/807/4	5,109,500
J	OperatingExpenses	122,368,029	906'599')	11,965,430	10,397,520	986,628,42	19,335,336	11,523,963	7,056,424	4,440,968	3,622,118	4,157,519	4,259,279	5,109,308
•	Fuel Expense	83,094,608	3,769,936	8,406,668	17,722,231	19,206,713	13,750,927	7,274,397	3,544,741	1,799,592	1,311,107	1,846,808	1,921,811	2,539,677
	Purchased Power	0	0	0	0	0	0	0	0	0	0	0	0	0
J	Operation													

STAFF FIRST SET DATA REQUESTS SUPPLEMENTAL ATTACHMENT STAFF-DR-01-045 PAGE 4 OF 4

DUKE ENERGY KENTUCKY, INC.
CASE NO. 2009-00202
MONTHLY REVENUES AND EXPENSES BY ACCOUNT
BASE PERIOD
DATA: "X" BASE PERIOD FORECASTED PERIOD
TYPE OF FILING: "X" ORIGINAL UPDATED REVISED

							ACTUAL						BUDGET	
Account	Description	Total	Oct-08	Nov-08	Dec-08	Jan-09	Feb-09	Mar-09	Apr-09	May-09	Jun-09	10-09	Aug-09	Sep-09
	Production	694,357	45,493	33,743	84,616	144,269	70,018	58,315	46,678	49,140	55,985	35,277	35,385	35,438
	Transmission	0	0	0	0	0	0	0	0	0	0	0	0	0
	Distribution	4,150,610	512,550	565,509	25,478	380,747	230,836	338,743	312,246	310,075	486,483	340,560	320,686	326,697
	A&G	14,552,422	1,400,939	1,141,886	1,931,661	1,417,249	877,109	1,206,868	1,216,875	1,035,692	1,126,327	1,135,401	1,088,215	974,200
	Other	(236,142)	(7,897)	(26,562)	(22,363)	(92,490)	(87,134)	(58,792)	(34,649)	34,112	59,633	0	0	0
	Maintenance													
	Production	85,765	6,474	10,414	7,873	15,762	5,962	3,233	3,588	569	1,707	10,059	10,039	10,085
	Transmission	0	0	0	0	0	0	0	0	0	0	0	0	0
	Distribution	1,585,045	219,511	164,824	66,739	117,114	104,031	85,296	112,148	96,299	183,509	150,576	143,648	141,350
	A&G	377,089	8,397	7,127	11,837	19,945	34,965	32,713	33,452	34,823	34,254	54,097	52,880	52,599
	Operation & Maintenance Expense	21,209,146	2,185,467	1,896,941	2,105,841	2,002,596	1,235,787	1,666,376	1,690,338	1,560,710	1,947,898	1,725,970	1,650,853	1,540,369
	Depreciation Expense	9,051,665	715,735	735,413	715,166	716,798	727,308	847,241	747,475	894,260	761,890	728,290	729,968	732,121
	Amortization of Deferred Expenses													
	Taxes Other Than Income Taxes	2,172,625	471,347	335,765	(1,964,756)	380,270	352,774	140,450	346,620	348,155	336,583	375,139	375,139	375,139
	Income Taxes	(143,643)	169,103	49,619	50,349	49,676	49,677	49,676	(234,645)	(178,793)	(297,330)	49,676	49,676	49,673
	Operating Income	6,983,628	352,380	541,024	(2,231,311)	4,469,943	3,219,063	1,245,823	971,895	17,044	(438,030)	(568,364)	(468,168)	(127,671)

Duke Energy Kentucky, Inc. Case No. 2009-00202 First Set Attorney General Data Requests Date Received: August 17, 2009

AG-DR-01-004

### **REQUEST:**

With regard to the Other Non-Jurisdictional Rate Base components, please provide the following information (in the same format as per Attachment AG-DR-01-165 in the prior rate case):

- a. WPA-1d in the prior rate case shows all of the components of the Non-Jurisdictional Gas rate base, totaling \$8,552,803. WPA-1d in the current case shows all of the components of the projected Non-Jurisdictional Gas rate base for the Forecasted Test Period in this case, totaling (\$51,332,129). Please provide a schedule showing all of the changes to the Non-Jurisdictional Gas rate base components from the last case (totaling \$8,552,803) to the current case (negative \$51,332,129) and explain the reasons for these Non-Jurisdictional Gas rate base component changes.
- b. In the same format as shown on WPA-1d, provide the actual Non-Jurisdictional Gas rate base components and associated dollar amount balances as of the most recent month for which this actual information is available.

### **RESPONSE:**

- a. The referenced \$8,552,803 is the amount of non-jurisdictional rate base on WPD-1d filed in Duke Energy Kentucky's Case No. 2005-00042. The amount does not agree with the final amount ordered by the Commission. The correct amount is \$8,536,837. See Attachment AG-DR-01-004 for the variances and explanations.
- b. The requested information is not available in the format requested.

1:		Rehearing Order Case No.	Case No.		
Line No.	Description	2005-00042	2009-00202	Variance	
1 2	Total Utility Plant in Service (Accts 101 & 106)	19,127,000	24,088,348	4,961,348	(A)
3	Additions				
4 5	Construction Work in Progress (Account 107)	0	0	0	
6	Materials & Supplies -				
7	Propane Inventory (Account 151)	0	0	0	
8	Other Material and Supplies (Accts. 154 & 163)		0	0	
9	Total Materials & Supplies	0	0	0	
10 11	Gas Stored Underground (Account 164)	0	0	0	
12	( )				
13	Prepayments (Account 165)	0	0	0	
14	Cook Madrice Cooks Allewanes	0	0	0	
15 16	Cash Working Capital Allowance	U	U	U	
17	Other Rate Base Items	0	0	0	
18	Total Additions	0	0	0	
19					
20	Deductions:				
21	Reserve for Accumulated Depreciation (Acct 108)	6,722,000	8,370,916	1,648,916	(A)
22					
23		(4.547.054)	CE 404 100	C7 000 0E0	(D)
24	Accum. Deferred Income Taxes (Accts 190, 282, & 283)	(1,547,654)	65,491,199	67,038,853	(B)
25	Out to see Advances for Construction (Apparent 252)	0	0	0	
26 27	Customer Advances for Construction (Account 252)	U	U	U	
28	Investment Tax Credits	5,415,817	1,558,362	(3,857,455)	(C)
29	Total Deductions	10,590,163	75,420,477	64,830,314	` '
30					
31	Net Original Cost Rate Base	8,536,837	(51,332,129)	(59,868,966)	

### **Explanation of Variances:**

- (A) Duke Energy Kentucky moved its district office to its Cox Road facility and vacated the Florence facility in July 2006. The plant and accumulated reserve for depreciation was moved to non-utility.
- (B) When the East Bend, Woodsdale and Miami Fort Unit 6 generating stations were transferred from Duke Energy Ohio to Duke Energy Kentucky, the remaining accumulated deferred income tax balances were also transferred as non-jurisdictional. This treatment is consistent with the Commission Order in Case No. 2003-00252.
- (C) When the East Bend, Woodsdale and Miami Fort Unit 6 generating stations were transferred from Duke Energy Ohio to Duke Energy Kentucky, the remaining accumulated deferred investment tax credit balance was also transferred as non-jurisdictional. This treatment is consistent with the Commission Order in Case No 2003-00252.

Duke Energy Kentucky, Inc. Case No. 2009-00202 First Set Attorney General Data Requests Date Received: August 17, 2009

AG-DR-01-005

### **REQUEST:**

With regard to the non-jurisdictional ADIT balance of \$65,491,199, please provide the following information:

- a. Breakout of the balance by ADIT component.
- b. Provide the reasons why each of these ADIT components were considered non-jurisdictional.

### **RESPONSE:**

a.	Acct 190	Description ITC	13 month average (\$ 332,984)
	282 282	Non Regulated Production ADIT Non Utility ADIT	(\$72,582,974) <u>\$ 7,294,442</u> (\$65,288,532)
	283	Tax Interest Accrual	\$ 130,317
		Total	(\$65,491,199)

b. Each of the above components are non-jurisdictional because they are non-regulated production or non-utility items.

Duke Energy Kentucky, Inc. Case No. 2009-00202 First Set Attorney General Data Requests

Date Received: August 17, 2009

AG-DR-01-006

**REQUEST:** 

The response to PSC-1-13(b), Schedule 13b shows that the Company has calculated a "slippage factor" of 95.44% for its gas construction expenditures during the 10-year period 1999 through 2008. In this regard, please provide the following information:

a. In the same format and detail as per the response to PSC-4-1(b) in the prior rate case, provide a similar "slippage factor" percentage for the Company's electric construction expenditures for the same 10-year period 1999 through 2008.

b. Please recalculate the Forecasted Period jurisdictional gas plant in service balance of \$388,986,305 and CWIP balance of \$3,777,154 assuming the slippage factor of 95.44%.

c. Please recalculate the Forecasted Period jurisdictional electric plant in service balance of \$1,185,654,914 and CWIP balance of \$16,075,742 assuming the slippage factor to be provided in the response to part (a) above.

d. If the above-referenced gas and electric slippage factors also impact other gas and electric jurisdictional rate base balances, please recalculate such other gas and electric jurisdictional rate base items assuming the same slippage factors.

### **RESPONSE:**

a. See attachment AG-DR-01-006a-1 and AG-DR-01-006a-2.

b. This Company has not performed this calculation.

c. This Company has not performed this calculation.

d. This Company has not performed this calculation.

Appropriate		
Original		
	Schedule 6a-1	

924G0500 903G0534 U04ZGL 314ZRL 902G0504 903G0504 903G0504 903G0504 904G051 904G051 904G052 904G052 904G052 904G052 904G052 904G052 904G052 904G052 904G052 904G052 904G052 904G052 E990775 E990775 E990775 E990775 E990775 E990775 E990775 E990775 E990775 E990775 E990775 E990775 E990847 E990847 E990847 E990847 E990847 E990847 E990847 E990847 E990847 E990859 E990859 E990859 E990859 E990859 E990859 E990859 E990859 E990859 E990876 914G8119 E990876 914G8119 E990876 914G8119 E990876 914G8119 E990876 914G8071 E990876 914G8071 E990876 914G8071 E990876 914G8071 E990876 914G8071 E990876 914G8071 E990876 914G8071 E990876 914G8071	Project 906F0502 314ZLG
ULH&P ELECTRIC METERS  ULH&P MAJOR DIST FAILURES  ZULH&P GLIT TRANS IMPR  ZULH&P RC DIST IMPR SOUTH AREA  Newport Steel RTU & Metering ULH&P PRICE IMPR SOUTH AREA  Newport Steel RTU & Metering ULH&P PRICE IMPR SOUTH AREA  NEWPORT STEEL RELOCATION 90660302: Z-WH DISTR TRANSFORM 2/ULH&P RES UG GUB DOF 10 LOT Z-ULH&P RES UG SUBD OF 10 LOT Z-ULH&P RES UG SUBD OF 10 LOT Z-TAMADISON AVENUE AD 180 STEVENSON RD 727 MADISON AVENUE AD 180 MARYLAND 641 RT 9 AD 2329 WHITES RD AD 1855 SIRI 491 AD 4229 WHITES RD AD 1855 MAUSISON 701 MADISON AVENUE AD 10 ACRIBER AD 10 ACRIBER BOT VALLEY TRAIL DRIVE COLOW GAP & ALEX LICKING 1023 MT ZION ROAD 3280 WOODLYN HILLS DR AD 175 WAYMAN BARANCH RD Z-ULH&P ADD OVAD DISTR GEN LG 20 CLABORNE COURT AD 328 SASSAITAS LANE CRITTENDEN CIRCUIT 41 OVERHEAD AD 2220 ALEXANDRIA PIKE 13632 MADISON PIKE 13632	Project Description ULH&P DISTR TRANSFORMERS ZULH&P DIST IMPR SOUTH AREA
390,286 0 19,193 90,988 (72,906) (72,906) (52,945) 102,296 0 (24,710) 13,965 (15,288) (8,527) (6,386) (7,528)	Annual Actual Cost 1,145,124
743,459 120,776 102,376 173,560 60,981 0 29,682 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Annual Original Budget 1,778,619
(353.173) (120.176) (83.177) (83.177) (83.177) (82.572) (72.906) (64.266) (52.945) (52.945) (52.945) (22.7306) (65.233.92) (27.346) (22.710) (15.717) (15.717) (15.386) (6.386) (6.386) (6.386) (7.397) (1.433) (1.433) (1.433) (1.433) (1.433) (1.627) (1.657) (1.657) (1.657) (1.667) (1.657) (1.763) (1.657) (1.763)	Variance in Dollars (633,495)
.48% .1100% .81% .48% .100% .100% .53%	Variance in Variance as Dollars Percent (633,495) .36% (400,431) .79%
	Percent of Budget 64%
	Total Actual 9,803,771
10.644 1.177 1.666 6.68 6.633 4.99 1.570 200 1.633 1.633 1.633 1.633 1.633 1.633 1.633	
(5,667,254) (1,054,032) (10,054,032) (10,054,032) (10,054,955) (141,602) (1704,752) (1709,054 (1704,752) (1709,741) (1709	Variance in Original Dollars Start (3,635,848) Annual (603,871) Annual
	nal Original Jet Budget End d Annual Annual
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Annual	Date Actual Date Actual Start End Annual Annual Annual Annual

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 1 of 20

	906F0538 314ZUR 310ZNB	903H7666 U14ZGM	90217068	924F0536	914G0550	1104ZGM	908G0510	90217069	TRANS	312ZLL	91468627	912G0512	302A7134	U03J7105	914G0552	910G0508	903G7038	90460530	914E4244	302A7126	91488637	30.3A/12/	303A7123	30347124	914G0546	914H8157	E980877	904(8159	U04ZUR	303A7128	E980899	904G0544	914G0546	904J8619	E980883	908G0522	904G0564	E980492	Project
1999 Total	Z-ULH&P Transimr - Prior Purch ZULH&P UR DIST IMPR SOUTH AREA ZULH&P NEW BUSINESS SOUTH AREA	903H7666: HEBRON 22 4MVA TRANS ZULH&P GOV MAND DIST IMPR	WILDER 69KV CB-ULH&P	Meters-Prior Yr Purchases Tran	Z-ULH&P DIST STRT IMPROVEMENTS	ZH HAR GOV MAND TRANS IMPR	Z-ULH&P ADD RES & RURAL LOAD	CONSTANCE & VILLA INST 69KV	METERING TRANSFORMERS - ULHP Portion (20%)	ZULH&P LIGHTS SOUTH AREA	FIGURE Team NOVE CASES ENERGY	ZULHER ADDIREARRANGE ST LIGHT	302A7134: Latarge (Silver Grov	Retire Various Xformers	Z-ULH&P REPL T&D JOINT USE	Z-ULH&P ADD NEW POWER LOAD	CRITTENDEN	Z-ULH&P IMPROVE TRANS LINES	RICHWOOD 41	KENTON 100MVA AUTOXTRE	AUGUSTINE 42-43 45-46	VEX.ONA GODGLA TONOM 17	BUFFINGTON INST 33-6MVA XEMA	WILDER INST 44-8MVA XFMR	Z-ULH&P DIST LN DETERIORATION	HEBRON 41/42	AD 4197 Alexandria Pike	F7086 LOOP THRU HEBRON SUB	ZULH&P UPGR/REPL TRANS IMPR	RICHWOOD TB 2	AD 2463 LEGENDS WAY	Z-YLH&P TRANS LINE DETERIORATE	ATHE FACE CARD OF MICHOLOGICAL CONTRACT	REBELTAND INTER - ACCIDENT	AD 2859 Presidential Dr	Z-ULH&P RES UG MOBILE HOME	Z-ULH&P TRANS LN STRT IMPROVE	AD RT 18 & Ewing Blvd	Project Description
11,719.582	355.277 1,533,445 4,636,350	1,068,109	191.260	163,328	156,058	498.567	116,612	407.850	46,121	244,936	44 400	35,538 36,238	32,287	25,304	23,561	15,148	13,828	11,028	10.272	8.669	8,072	7 752	6.505 6.674	5,6/9	5,339	4,400	3,419	3.308	16,631	2,125	1,731	1,579	1.550	1778	5 073	545	546	448	Annual Actual Cost
9,156,337	0 449,442 3,388,164	749,820	0	0	0	348.947	<b>&gt;</b>	328,158		199,237	0 0	<b>5</b> C	. 0	0	0	0	0	0	0	0	0	<b>&gt;</b> (	<b>&gt;</b> C	o C	0	0	0	0	14,110	0	0	0 (	0 0	<b>&gt;</b> •	<b>&gt;</b> C	o C	0	0	Annual Original Budget
2,563,245	355,277 1,084,003 1,248,186	318,289	191,260	163,328	156,058	149.620	116.612	79,692	46, 121	45,699	44,400	36,336	32.287	25,304	23,561	15,148	13,828	11,028	10,272	8,669	8,072	7.752	6.5US	5,6/9	5,339	4,400	3,419	3,308	2.521	2,125	1,731	1,579	1 559	374	5 07/	045	546	448	Variance in Variance as Dollars Percent
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	341% 137%	142%				143%		124%		123%																			118%										Percent of Budget
	1,856,585 6,989,699 31,261,816		463,928	327,259	1,886,409	1.397.270	4.357.580	572,122	248,743	2,886,469	45,054	2 528 404	51./2/	25,304	589.972	732,858	236,570	83,085	(1,313)	2.179,745	6,244	44.495	641.361	1,351,004	158,208	570,045	5,437	66,812	380,621	436,187	1,731	48,534	186.277	2.306	30 08 /#6	54,296	182,664	6,651	Total Actual
	2,381,882 6,803,951 32,001,428	5,975,940	437,742	0	1,424,448		3,358,008	328.158	0	2,687,863	0	1 897 590	24,831	0	418,747	714,259	480,326	9,929	0	631,140	0	0	341,824	1,068,120	306,223	661,582	0	28,570	555,838	557,621	0	18,043	178.711	198 891			30,909	200	Total Budget
	(525,297) Annual 185,749 Annual (739,613) Annual	1,364,306 Annua	26,186			_	999,572 Annuai 1.406.999 Annuai					572,022 Annual		25,304		18,599 Annual	(243,756)	73,156 Annual	(1,313)	1,548.605	6,244	44,495 Annual	799.537	720 207	(148,015) Annua		5,437 Annua	38,242	(175,217) Annual	(121,434)	1,731 Annual	30,491 Annual	7.566 Annual	(196.585) Annual	SUC OF		151,/55 Annual	6,651 Annual	Variance in C Dollars
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Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 2 of 20

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		U14STM04	914G0548	903HB128	U14STM15	914D8915	U04ZRL	U14STM19	314C7165	90418166	E980501	90460564	90460544	E960248	908G0518	914G0546	908G0522	914F8071	E980504	E980311	912G0512	U04ZUR	87505806	914B8637	908G0506	302A7134	914G0532	903G0504	908G0516	3147BI	906G0302	903G7996	303A7128	914E4244	314ZLG	924G0500	11147KV	903G0534	3144UR	303A7127	903H7666	914H8157	U04ZGM	310ZNB	0005000	Project
	DIXIE SUB CB 351 352 353 354	CLEB DIST WORK - STORM /	DEDITION WORK STORM 8	BEAVER RTU	ULHP DIST WORK - STORM 15	BEAVER 41	ZULHP Transmission Reliability	ULHP DIST WORK - STORM 19	DIXIE 42 RECONDUCTOR	NEWPORT STEEL RELOCATION	AU 2220 ALEXANDRIA PIKE	2-ULH&P TRANS LN STRT IMPROVE	2-YLH&P TRANS LINE DETERIORATE	1023 MT ZION ROAD	Z-ULH&P RES UG 1-9 SINGLE FAMIL	Z-ULH&P DIST LN DETERIORATION	Z-ULH&P RES UG MOBILE HOME	DECOURSEY 41	AD 4985 MADISON PIKE	1600 DIXIE HIGHWAY	N-ULH&P ADD/REARRANGE STILIGHT	ZULH&P UPGR/REPL TRANS IMPR	C-ULH&P RES UG SUBD OF 10 LOT	AUGUSTINE 42-43 45-46	Z-ULH&P ADD COMMERCIAL LOAD	302A7134: Lafarge (Silver Grov	Z-ULH&P IMPROVE DISTR LINES	ULH&P MINOR DIST FAILURES	C-ULH&D DESILO ADADEMENT TYPE	ZULH&P LIGHTS SOUTH AREA	906G0302: Z-WH DISTR TRANSFORM	ULHP DISTRIBUTION BATTERIES	RICHWOOD TB 2	RICHWOOD 41	ZULH&P LG DIST MPR SOUTH APEA	ULH&P ELECTRIC METERS	71 E E O DON - E E O A E A E E O A E	WILDER 69KV CB-ULH&P	CULH&P UR DIST IMPR SOUTH AREA	VERONA SUBSTATION UPGRADE	903H7666: HEBRON 22 4MVA TRANS	HEBRON 11/42	ZULH&P GOV MAND TRANS IMPR	ZULHAR NEW BUSINESS SOUTH AREA	III HARD DISTRIBUTE TRANSFORMED PROGREE TO MISSION	
813	1/5	712	611	472	444	403	289	224	110	45	<del>1</del> 8	(226)	(271)	(304)	(381)	(441)	(454)	(516)	(4,530)	(2,303)	/2.b35	73,050	(3,116)	(3,828)	(5,300)	19,440	(5.428)	(0.924)	181,567	384,130	0	0	213.400	(40.456)	300,700	16,543	0	265.271	575,151	41,448	1,012,473	292,088	178 210	4 895 343	st	Annual
C	. 0	0	0	0	0 (	<b>)</b>	0 (	0 .	0	0	0	0	0	0	0	0	0	<b>o</b> c	0 0	00	25,003	75,634	0	0 (	0 .	24 831	04,034	64 pp.4	194,472	408.240	29,682	30.336	251 444	324,128	1,307,988	135,688	127,357	437,742	777,899	317,015	1,373,094	661.582	344 004	5 371 008	Original Budget	Annual
813	775	712	611	472	444	403	289	224	110	45	18	(226)	(271)	(304)	(381)	(441)	(454)	(516)	(2,240)	(2,365)	(2,368)	(2.584)	(3,116)	(3,828)	(5,001)	(5.391)	(679.9)	(6.924)	(12.805)	(24, 110)	(29.682)	(30,336)	(38,040)	(43,507)	(67.203)	(119,145)	(127,357)	(172,471)	(202,748)	(275,567)	(360.621)	(369 494)	(4/0.055)	(1,429,869)	Dollars	מו פכתבוזה //
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																					91%	97%			/0%	700/	90%		93%	94%	0%	25%		87%				61%	74%	13%	740%	-23%	91%	4%	Budget	
85,767	44,004	2,306	11.073	0,040	18 345	10,775	3,733	3 432 0	1,4/3	1 473	326	183 564	754 8V	(610)	600,200	158 208	200	838	503	1,170,263	380,621	2,179,745	2.042.342	4.928,235	51,727	2.528,404	315,000	783,540	2.904,659	2.886.469	20,707	436,187	(1,313)	1,648,841	4,976,841	1,158,642	117.247	463,928	5 080 600				31.261,816	1	Total Actual	
92,017	0	198,891	0 0	o c	622,767	0	0	o C	o c	0 0	505,00	30,000	10 0/3	020,343	575.300	30,756	31 10	0	0	857,440	555,838	631,140	1 633 278	3,521,237	24,831	1,892,590	669,965	635,210	1,948,734	2.687.863	206,615	557,621	0	2,252,712	10,644,095	1,570,243	1 171 279	437,742	6 803 051	3/1 93/	661,582	2.425,622	32,001,428	13,439,619	Total Budget	1
(6,250)	44,004 Annual	(196.585) Annual	11 073 Appural	18,345 Annual	(443,334)	10,775 Annual	3,733 Annual		1,4/3	JJB Annual		30,491 Annual	(DIO) Annual	/3,499 Annual	(148,U15) Annual	21,540 Annual	: : : :		503 Annual	312,822 Annual	(175,217) Annua	1,548,605	0,244	1,406,999 Annual	26,896	635,815 Annual			955,925 Annual	(104,752) Annual			(1,313)	(603,871) Annua	_	(411,602) Annual	/1 05/ 032\ Annu-	26.186		(147,145)	(91.537)	(1.028,352) Annual	(739,613) Annual	8) Ann	Variance in Bi	
2001	Annual	Annual	>	Annual	1998	Annual	Annual			Annual	Annual	Annual	Annual	Annual	Annual	Annual					Annual	2000 Aniiuai		Annual	2000	Anr			Annual			2000				Annual	7000	onno Ar	2000	2000	2000				~ =	2
2001	Annia	Annual	Ì	Annual	2001	Annual	Annual			Annual	Annual	Annual	Annual	Annual	Annual	Annual		Annual	Annual	Annual	Annual	2002 Annual		Annual	6/22/1905		Annual	Annual	Annual	Annual	Annual	2001		Annual		Annia	2/1303	INUAL ANNUAL	2005	2000	2000			Anr	Original Date Budget End S	
2000 6/25/1905	Annual	Annual	1996 2001	Annual	1996 2001	Annual	Ā		1998 6/21/1905	Annual	Annual	Annual	Annual			A	1996 6/22/1905			Annual	200	1000 Anr	1996	A	1999			Annual			Annual		1996	Annual			1990	Anı	1999 38856		1999			Ann	Date Actual Date Actual Start End	

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 3 of 20

U14STM16
U14STM01
U14STM18
U14STM02

U14STM05 904J8163 U14STM12 U14STM03

U14STM09
302B7147
U14STM14
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908G0510
U14STM13
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U14STM10
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903C7595
314A8201
904I8159
U04ZGL
U14STM11
914H8105
314ZDA
TRANS
U14ZGL
U14ZGL
902H7069
303A7124
903H8407
U14ZGM

12,759,302

14,652,853

(1,893,551)

Schedule 6a-1

Attachment AG-DR-01-006a-1 Page 4 of 20 Case No. 2009-00202

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 5 of 20

30287147 303A7128 91408015 3142DA 3142B19 92406500 903G5504 903G6504 903G7996 904G0528 90217069 91448105 314A8201 303A7127 004ZPR 014STM15 014STM12 903A7127 U14STM16 303B729 104STM20 304B7152 904B166 303B7217 U14STM16 303B7217	Project 903C7595 310ZWB U04ZGM 906F0502 314ZUG 312ZLL 903G6534
BUFFINGTON 69KY CB 676 ULH&P RICHWOOD TS 2 BEAVER 41 ZULH&P DIST ASSESS SOUTH AREA LIMABURG 41 ULH&P ELECTRIC METERS GRANT SUB TB1 10 5MVA ULH&P PILST TRIT IMPROVEMENTS ULH&P DIST INDIT FAILURES Z-ULH&P DIST RIBUTION BATTERIES Z-ULH&P DIST RIBUTION BATTERIES Z-ULH&P RES UG SUBD OF 10 LOT CONSTANCE & VILLA INST 69KV ALEXANDRIA S 41 RECONDUCTOR BEAVER 41 DIXE SUB GB 351 352 353 354 BUFFINGTON INST 33-6MVA XFMR BEAVER RTU ZULH&P PUFGRAREPL TRANS IMPR RICHWOOD 42 OH FEEDER EXIT RICHWOOD 42 OH FEEDER EXIT RICHWOOD 45 OH FEEDER EXIT RICHWOOD 47 OH FEEDER EXIT RICHWOOD 11 Z-JULH&P ADDIREARRANGE ST LIGHT AD 2220 ALEXANDRIA PIKE DIXE SUB SITE PURCHASE BURLINGTON PIKE 22-4MVA ULHP DIST WORK - STORM 15 ZULH&P TRANSMISSON PREIABBINY ULHP DIST WORK - STORM 3 Z Special Lighting South ULH&P ULHP DIST WORK - STORM 3 Z Special Lighting South ULH&P ULHP DIST WORK - STORM 32 Z Special Lighting South ULH&P VERONA SUBSTATION UPGRADE ULHP DIST WORK - STORM 32 Z Special Lighting South ULH&P VERONA SUBSTATION UPGRADE ULHP DIST WORK - STORM 32 Z Special Lighting South ULH&P VERONA SUBSTATION UPGRADE ULHP DIST WORK - STORM 32 Z Special Lighting SOUTH ULH&P VERONA SUBSTATION UPGRADE ULHP DIST WORK - STORM 32 Z Special Lighting SOUTH ULH&P VERONA SUBSTATION UPGRADE ULHP DIST WORK - STORM 38 RICHWOOD FUTURE SUB 7 -10.5 MVA ULHP DIST WORK - STORM 38 RICHWOOD FUTURE SUB 7 -10.5 MVA ULHP DIST WORK - STORM 38 RICHWOOD FUTURE SUB 7 -10.5 MVA ULHP DIST WORK - STORM 30 DIXIE 41 42 43 44 FEEDER EXITS COLD SPRINGS CBS 311 & 312	Project Description  DONALDSON TB  ZULH&P NEW BUSINESS SOUTH AREA ZULH&P GOY MAND TRANS MAPR ULH&P DISTR TRANSFORMERS ZULHP UG CABLE RPLC SOUTH AREA ZULHB BESTERTEN SOUTH AREA ZULH&P LIGHTS SOUTH AREA ZULH&P LIGHTS SOUTH AREA ZULH&P MAJOR DIST FAILURES
106.994 220.694 220.694 31.302 205.054 405.795 174.258 16.794 (40.3797) (40.309) (26.069) 13.258 36.230 79.441.57 (7.324) 441.597 (7.324) 441.697 1.036 1.036 1.036 3.238 3.333 1.687 1.688 1.638 1.638	Annual Actual Cost (456,645) 4,679,799 33,605 1,333,607 0 322,574
197,147 306,176 307,177 247,584 99,671 266,168 465,388 223,133 64,700 0 0 30,207 0 27,108 49,700 0 0 10,49,866 10,418 10,418 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Annual Original Budget 0 5,117.654 318.994 1.532.400 182.010 449,107 112.777
(85.515) (85.515) (86.525) (66.625) (66.365) (61.115) (47.906) (47.906) (43.0207) (29.240) (20.207) (20.207) (20.207) (21.3470) (13.470) (13.470) (13.470) (13.470) (13.470) (13.470) (13.470) (13.470) (13.470) (13.470) (13.203) (13.203) (1.537) (1.537) (1.537) (1.537) (1.537) (1.537) (1.537) (1.538) (1.638) (1	Variance in Dollars (456,645) (437,854) (285,389) (198,793) (182,010) (126,532) (112,777)
-28% -28% -28% -28% -28% -28% -28% -23% -23% -13% -14% -27% -27% -27% -28% -28% -28% -28%	Variance in Variance as Dollars Percent (455,645) -9% (285,389) -13% (182,010) -100% (125,532) -28% (125,532) -28%
5.4% 7.7% 7.7% 7.7% 7.7% 7.7% 7.7% 8.7% 8.7	Percen Budg
	Total Actual (439.558) (439.558) (439.771) (439.568) (439.771) (439.771) (539.771) (649.803.771) (747.747) (747.747) (747.747) (749.747) (749.747) (749.747)
197, 557, 622, 512, 10644, 10644, 10644, 10644, 11633, 333, 338, 5555, 117, 118, 117, 138, 138, 138, 138, 138, 138, 138, 138	32 B <sub>2</sub> 3
(171,675 (121,434 (121,434) (1376,748 (61,115) (5,567,254) (42,454) (42,454) (42,454) (43,965) (44,965) (44,965) (44,965) (44,966) (47,967) (6,267) (729,277) (729,277) (175,217) (195,282) (10,133) (196,585)	Variance ir Dollars (439,586 (739,613 (1,028,352 (3,635,848 (120,267 198,606 (1,054,033
2001 Annual	dg
6/23 Annual	Ann Ann Ann Ann
	Annual Annual Annual Annual Annual Annual Annual Annual Annual
2000 672 1996 2001 672 2001 672 2000 Annual 1996 Annual 1997 672 2000 672 2	y98 Anni Anni Anni Anni Anni
6/26/1905 2001 2001 2001 2001 2001 nual 2001 1002 2001 1002 2001 1002 2001 1002 2001 1002 2001 1002 2001 1002 2001 1002 2001 1002 2003 1003 1	End End 6/23/1905 uai uai uai uai uai

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2001 Total	KENTON 100MVA AUTOXTRF	EMPIRE 10.5 SUB	ZULH&P UR DIST IMPR SOUTH AREA	ZULH&P GOV MAND DIST MAPR	ZULHAR RUDISTIMPRISOLITH AREA		GRANT 41 BECONDINCTOR	ULHP DIST WORK - STORM 12	F2862-ADD 69KV TAP TO EKP SUB	METERING TRANSFORMERS - ULHP Portion (20%)	Alexandria South 41 69KV Sub	WILDER INST 44-8MVA XFMR	CRITTENDED RPL TB ( 10 SMVA	ZULH&P LG DIST IMPR SOUTH AREA	ZULH&P GLIT DIST IMPR	CLARYVILLE SUB TB3 10 5MVA	F2862 69KV TAP CWW	ULHP DIST WORK - STORM 13	HANDS 42 EXIT	ULHP DIST WORK - STORM 21	ZULH&P DIST LINE CAPACITORS	EMPIRE 41 SUB FEEDER EXITS	General Storms Non Declared	F5967 REROUTE INTO CLARYVILLE	ULHP DIST WORK - STORM 11	BURLINGTON PIKE ROW	FROM COOF TORO REBRON SOS	CETAGG   DOD THE HERDED STORM (	ULHP DIST WORK - STORM 7	ULHP DIST WORK - STORM 2	WILDER 69KV CB-ULH&P	ULHP DIST WORK - STORM 8	ULHP DIST WORK - STORM 14	F6763 EMPIRE SUB LOOP	ULHP DIST WORK - STORM 16	ULHP DIST WORK - STORM 10	ULHP DIST WORK - STORM 5	ZULH&P GLIT TRANS IMPR	ULHP DIST WORK - STORM 23	ULHP DIST WORK - STORM 19	ULHP DIST WORK - STORM 6	Project Description
16,828.630	1,457,031	912 166	1 620 560	1 293 947	316.656	100,000	186.636	115.830	89 225	87,475	544.051	1,145,573	67,051	160,142	221,006	162,706	39,345	29,471	27.360	23.237	167,314	15,172	15,069	22,060	12.316	11 108	0.004	5,924	6.862	6,636	6.111	5,126	4,700	4,679	4,440	3,663	3,367	3,336	3.253	2.349	2.320	Actual Cost
14,912,635	521,120	0	064 838	807,445	87 681	o c	<b>.</b>	<b>5</b> 0	<b>&gt;</b>		463,444	1,068,120	0	100.239	162,035	104,584	0	0	0	0	149,877	0	0	7.167	0 0	<b>&gt;</b> C	o c	. 0	0	0	0	0	0	0	0	0	0	0	0	0	0	Original Budget
1.915.995	935,910	912 166	460,302	486 503	728 075	100,000	186.636	115,830	89 225	87.475	80,607	77,452	67,051	59,903	58,972	58,122	39,345	29.471	27.360	23.237	17,437	15,172	15,069	14,893	12.316	11 108	10.084	6,924	6,862	6,636	6,111	5,126	4,700	4.679	4,440	3,663	3,367	3,338	3,253	2,349	2.320	Dollars
	180%	0	52%	%0.3 %1.07	7E 10/						17%	7%		60%	36%	56%					12%		;	208%																		Dollars Percent
	280%	0,401	1570/	1600/	3610/						117%	107%		160%	136%	156%					112%			308%																		Budget
	2,179,745	1114835	6 080 600	7 740 746	3,004,045	100,493	195 /03	107 751	0.75	248 743	824,802	1.351,004					274.754	46 033	102,672			131.342			66.425	40,128	66.812	38,034	55,363	59,340	463,928	11.073	15.086	39.617	32.264	20,491	22.645	82,692	15,249	3.733	27 GBB	Total Actual
	631,140	6.000.001	5,970,940	1,940,734	1 040 734	200	o c	> 0	> 0	<b>.</b>	463,444	1.068.120	0	2.252,712	382,596	104.584	124.271	0	47.753	0	1.570.243	0 0		7 167	000.000	000 733	28,570	0	0	0	437,742	0	0 (	0 0	0 (	0	0	162,837	0	0 0	0	l otal Budget
	1,548,605	100,740 Airiud			*****	185,493	127,751 Annua			248 743 Annual	361,358	282.884	67,051	(603,871) Annual	(22,191) Annual	79.472	150 483	46 033 Annual		38 131 Annual	(411,602) Annual		58.015 Annual	14 893	00,144 00,144	40,128 Annual	38,242			59,340 Annual			15 086 Annual				22.645 Annual	(80,145) Annual		3.733 Annual	27 688 Annus	Variance in Bu Dollars S
	2000	2002			2000		Annua		- Control		2001					2001			2002		Annia	2		2001	2002		2000				2000		Annia							Annia		Budget Budg
	2002				2000		al Annua		0.000	0	2002	6/23/1905				2001		000	2002		al Annual	211100	100	2001	2002		2000				2000		al Annual	Ci Cining					al Annual			Original Dat Budget End
	1999	2001			1999	2000	3000	1007	300	1000	2000	1999	2001			2000	2001	6	2001			2001	7001	2001	2001		1999			į	1998		200	2001								Date Actual Date Actual Start End
	2003	2002	Annual	Annual	2003	6/23/1905	Annual	CUE1/C7/0	COEMONE	0.50.1000	6/23/1905	6/24/1905	6/23/1905	Annual	Annual	2002	6/21/2002		2003	Annual	enne.	37758	rousi rozvo	2001/2C/9	0/20/1905	Annual	6/23/1905	Annual	Annual	Annual	6/23/1905	Annual	ייטיגיייב	4/0/2002	Annual	Annual	Annial	Annual	Annual	Annual	letin)	ate Actual End

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 6 of 20

DUKE ENERGY KENTUCKY
CASE NO. 2009-00202
Construction Projects - Transmission and Distribution
Year 2002

U14STM01 314C7714 U14STM11 U14STM11 U14STM12 U14STM15 U14STM15 U14STM13	U14STM29 U14STM29 303C7676 302C7677 U14STM004 903H8407 314C7597 914G0550 303C7728 303C7778 303C7778 303C7778 303C7778 303C7778	U14ZGL ULHSTORM U14ZKV U102ZCOH U04ZCH U04ZCH U04ZCH 314ZUG 314ZUG 91ZG0512 902G7966 304B7243 90217069 312ZLEL 902G0504 904B166	Project 906F0502 924G0500 3102NB 303B7343 303B7343 303B7230 903G0534 U14ZGM U14ZGM
ULHP DIST WORK - STORM 1 RICHWOOD 42 RECND S OF RT ZION ULHP DIST WORK - STORM 11 ULHP DIST WORK - STORM 14 DIXIE 42 RECONDUCTOR ULHP DIST WORK - STORM 12 ULHP DIST WORK - STORM 13 ULHP DIST WORK - STORM 16 BUFFINGTON INST 33-6MVA XFMIR ULHP DIST WORK - STORM 3 GRANT CAPACITORS ON T62 ULHP DIST WORK - STORM 24	ULHP DIST WORK - STORM 29 EMPIRE TB2 69-13W-10 5MWA BUFFINGTON TB2 138-69KV 120MVA ULHP DIST WORK - STORM 4 Alexandria South 41 69KV Sub GRAMT - 12 FEEDER EXITS Z-ULH&P DIST STAT IMPROVEMENTS BUFFINGTON RICHWOOD 13KV RTU CLARYVILLE SUB TB3 10 5MVA ULHP DIST WORK - STORM 18 OAKBROOK 41 & 42 ULHP DIST WORK - STORM 25		ULH&P DISTR TRANSFORMERS  ULH&P DISTR TRANSFORMERS  ZULHAP NEEDTRIC METERS  ZULHAP NEW BUSINESS SOUTH AREA  MT ZION 224MVA TB  MT ZION SUB SITE PURCHASE  HANDS 22.4MVA TB  ULH&P MAJOR DIST AILURES  ZULH&P MAJOR DIST MAPR  MT ZION 1 & 42 FEEDER FXITS
488 532 549 726 883 1.066 1.087 1.160 1.223 1.324 1.429 1.526	390 41 51 103 1127 1127 1198 231 305 374 379 391	502 70,275 (157,638) 8,202 238,094 26,456 26,661 (10,763) 11,263 (1,945) (1,346) 46,232 46,232	Annual Actual Cost 1,329 23,376,276 0 291,722 4,194 (87,861) 470,888
0000000000	00 0 00 (	220,562 220,562 183,062 236,474 161,495 376,076 97,414 84,500 0 23,566 15,453 15,453 10 47,244	Annual Annual Annual Budget 1,807,259 1,684,009 4,537,497 948,016 1,188,892 750,276 322,181 322,181
488 532 549 726 883 1,066 1,087 1,160 1,223 1,324 1,429 1,526	305 100 100 1103 1103 1103 1108 1108 1108 1	(200.52) (220.059) (168.062) (166.199) (157.638) (153.293) (70.3982) (70.3982) (70.3813) (12.815) (41.291) (41.291) (41.291) (41.291) (41.291) (41.291) (41.291) (41.291)	Variance in Dollars (1.805.930) (1.450.535) (1.259.280) (948.016) (847.170) (746.081) (410.042) (323.851) (756.927)
		.100% .100% .700% .70% .95% .37% .73% .68% .68% .27%	Variance in Variance as Dollars Percent (1.805.930) -100% (1.805.930) -28% (1.250.800) -28% (948.016) -74% (948.016) -74% (847.170) -97% (410.042) -41% (323.851) -41% (325.927) -100%
		0% 0% 0% 30% 5% 63% 63% 27% 27% 27% 27% 27% 27%	Percer
38,034 125,497 66,525 15,086 0 127,761 22,645 46,033 3,733 3,733 3,1,262,478 10,588 55,553	1.373 237,052 0 44,004 824,802 34,996 1,886,409 0 184,056 6,008 91,024		Tota 9 31
533.181 533.183 038.542	405,721 1,066,527 1,066,527 0 463,444 51,154 1,424,448 0 104,584 167,770	382.2 770.0 1.570. 555.1 2.425.1 512.1 460.0 857.7 206.6 261.1 328.8	
38,034 125,497 66,525 15,086 15,086 0 0 127,761 22,645 46,033 3,733 3,733 3,729,297 10,588 17,410	.39 Annual (168.669) (1.66.527) 44.004 Annual 361.38 (16.157) 461.961 Annual 0 79.472 6,008 Annual (76.746) 391 Annual	(3) 22 16 (3) 22 17 (3) 24 (4) (6) (6) (7) (7) (7) (7) (7) (7) (7) (7) (7) (7	Variance in Dollars (3.635,648) Ar. (5.667,254) Ar. (739,613) Ar. (739,613) Ar. (739,613) Ar. (739,613) Ar. (734,012) Ar. (739,012) Ar. (739,0
2000	Annual 2004 2004 2003 2003 2003 Annual 2003 2001 6/24/1905 2003 2003 Annual 2001 2001 Annual 2001 2003 Annual 2003	Annual	get Budge art Annual
Annual	Annual Annual Annual	Annual	
Annual 2004 Annual Annual 2000  Annual Exercises 6/24/1905 Annual Annual 2002 Annual Annual	Annual 1999 Annual 2004 Annual 2003 Annual 2003 Annual 2004 Annual 2004 Annual 2004 Annual 2004 Annual 2004	Annual	Date Annual

Schedule 6a-1

U03Z7357	302A7126	303C7721	314ZRL	30387200	30367146	314B8211	314ZLG	U04ZCOH	314B7202	303C7675	914H8157	30488216	303B7240	312ZLL	314ZUR	30387291	31487194	314A8201	30387289	30487201	UGNSTM99	U14STM02	303C7504	314C7367	30487358	314C7499	31487239	U14STM21	TRANS	U14STM23	U14STM07	U14STM20	U14STM09	U14STM16	U14STM27	U14STM15	U14STM26	30387142	U14STM17	30387259	U14STM22	U14STM06	U14STM28	30347124	U14STM10	Project
ULH&P DIST SUB XFMER FAILURES	KENTON 100MVA AUTOXTRF	UNION SUSTATION SITE	ZULH&P RL DIST IMPR SOUTH AREA	EMPIRE 10.5 SUB	CONSTANCE 3RD CB	CONSTANCE CWW 12 47KV	ZULH&P LG DIST IMPR SOUTH AREA	804 YEAR END COH CLEARING	EMPIRE 41 SUB FEEDER EXITS	YORK SUBSTATION	HEBRON 41/42	F2862 69KV TAP CWW	BURLINGTON PIKE 22.4MVA	ZULH&P LIGHTS SOUTH AREA	ZULH&P UR DIST IMPR SOUTH AREA	RICHWOOD FUTURE SUB 7 -10.5 MVA	BEAVER 42 RECONDUCTOR	BEAVER 41	BURLINGTON PIKE ROW	F6763 EMPIRE SUB LOOP	General Storms Non Declared	ULHP DIST WORK - STORM 2	BUFFINGTON 2 22 4MVA 138- 13KV	RICHWOOD 43 FEEDER EXIT	F5962 RECONDUCTOR	BUFFINGTON 45 & 46 NEW EXITS	HANDS 42 EXIT	ULHP DIST WORK - STORM 21	METERING TRANSFORMERS - ULHP Portion (20%)	ULHP DIST WORK - STORM 23	ULHP DIST WORK - STORM 7	ULHP DIST WORK - STORM 20	ULHP DIST WORK - STORM 9	ULHP DIST WORK - STORM 16	ULHP DIST WORK - STORM 27	ULHP DIST WORK - STORM 15	ULHP DIST WORK - STORM 26	DIXIE SUB CB 351 352 353 354	ULHP DIST WORK - STORM 17	COLD SPRINGS CBS 311 & 312	ULHP DIST WORK - STORM 22	ULHP DIST WORK - STORM 6	ULHP DIST WORK - STORM 28	WILDER INST 44-8MVA XFMR	ULHP DIST WORK - STORM 10	Project Description
1,090,002	638,356	551,031	507.345	203.052	169,575	165,048	464,168	154,280	117,464	112.306	110,141	225.312	372.226	477,550	755.752	585,996	159,734	39,526	607,268	36,796	26,117	23,080	19.053	18,955	18.912	17.589	64.957	14,894	14, 185	11,996	9,761	9.625	9,597	9.246	7,488	6,551	5,127	4,907	4,747	43,838	4,075	3,463	3,301	2.507	2,007	Annual Actual Cost
	34.386		187,185	6.211	0	0	304,155		0		0	124,271	277,484	389,825	678,719	516,524	112,160	0	567,886	0	0	0		0	0		47,753	0		0	0	0	0	0		0		0	0	39,514	0	0		0		Annual Original Budget
1,090,002	603,970	551,031	320,160	196,841	169,575	165.048	160,013	154,280	117,464	112,306	110,141	101,041	94,741	87,725	77,033	69,472	47,574	39,526	39,381	36,796	26,117	23,080	19,053	18,955	18.912	17.589	17,204	14,894	14,185	11,996	9,761	9,625	9,597	9,246	7,488	6,551	5,127	4,907	4,747	4,324	4,075	3,463	3,301	2.507	2.007	Variance in Dollars
,	1756%		171%	3169%			53%					81%	34%	23%	11%	13%	42%		7%								36%													11%						Variance in Variance as Dollars Percent
	1856%			3269%			153%					181%	134%	123%	111%	113%	142%		107%								136%													111%						Percent of Budget
2,784,526	N1		N		40,068			151,185	131,342	108,841	570,045	-			6,989,699	645,621		98,702	6	39,617	58,015	59,340	(8,551)	18,764	18,912	26,172			248,743	15,249	55.363	11,492	40,128	32,264	7,556	18,345	5,127	85,767	44,495	45,908	5,403	27.688	3.621	1,351,004	20,491	Total Actual
1,429,806	631,140	0	1,948,734	6,211	0	0	2,252,712	0	0	0	661,582	124,271	1,131,397	2,687,863	6,803,951	516,524	112,160	49,700	567,886	0	0	0	55,963	0	0	410	47,753	0	0	0	0	0	0	0	0	0	0	92,017	0	39,514	0	0	0	1,068,120	0	Total Budget
1.354.720	1,548,605	629,948	955,925	1,108,624	40.068	5,726	(603.871) Annual	151,185	131,342	108,841	(91,537)	150,483	490,894	198,606	185,749	129,097	48,460	49,002	86,144	39,617	58,015	59,340	(64,514)	18,764	18,912	25,762	54,919	38,131	248,743	15,249	55,363	11,492	40,128	32.264	7,556	18,345	5,127	(6.250)	44,495	6.394	5,403	27,688	3,621	282,884	20,491	Variance in Dollars
Annual	2000		Annual	2002			Annual				200	2002	200	Annual	Annual	200	2002		2002		Annual	Annual	2003				2002	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	2001	Annual	2002	Annual	Annual	Annual	2001	Annual	Original Budget Start
Annual			Annual	)2 2002			Annual							Annual	Annual		)2 2002				Annual	Annual						Annual	Annual	Annuai	Annual	Annual	Annual	Annual	Annual	Annual	Annual	11 6/23/1905	Annual	2 2002	Annual	Annual	Annual		Annual	Original Budget End
Annuai			Annual				Annual				2000		2003	Annual	Annual						Annual	Annual					2002	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual		Annual		Annual	Annual	Annual		Annual	
Annual	1999 2003		Annua			2002 2003	Àης	2002 6/25/1905		6		2001 6/21/2002		Ann	Annual	2001 6/26/1905	2001 6/25/1905	2000 2002	2001 2003	2001 4/9/2002	Annı	Annual	2002 cancelled	2002 6/25/1905		2002 6/26/1905	2001 6/25/1905	Á۱	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	2000 6/25/1905	Annual	2001 6/24/1905	Annual	Annual	Annual	1999 2002	Annual	Date Actual Date Actual Start End

2002 Total

12.352,868 16,971,715 (4,618,847)

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 8 of 20

## DUKE ENERO CASE NO. Construction Projects - Tr Yea

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40. 2009-00202
Transmission and Distribution
ear 2003

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 9 of 20

Year 2003	Construction Projects - Transmission and Distribution	CASE NO. 2009-00202	DUKE ENERGY KENTUCKY	
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2003 Total	ULH&P DIST SUB XFMER FAILURES	ZULH&P GOV MAND DIST IMPR	BUBLINGTON PIKE 22 4MVA	Critenden XFMR 2	ZIII HAD NEW BUSINESS SOLITH AREA	BECKEVOE 43 RECONDUCTOR	COLLEGE GOV MAZO LXANS MEX	ENTIRE 182 69-13KV 10 5MVA	RICHWOOD 42 RECND S OF MT ZION	F6763 EXT/LOOP BURLINGTON	ZULH&P RL DIST IMPR SOUTH AREA	UNION SUSTATION SITE	RICHWOOD FUTURE SUB 7 -10.5 MVA	ZULH&P DIST LINE CAPACITORS	ALEXANDRIA S XEMB 1 BTH	CETT CIVE WORK - VECKWA	のでは日本のこのできます。 のでは日本のこのできます。 のでは日本のこのできます。	ULHP DIST WORK - STORM 2	JEHP DIST WORK - STORM -	ULHP DIST WORK - STORN 7	Villa Feeder Exit Replacements	HANDS 43 & 44 STATION EXITS	GRANT CAPACITORS ON TB2	JLHSP MINOR DIST FAILURES	JLHP DIST WORK - STORM 9	General Storms Non Declared	MT ZION SUB SITE PURCHASE	EMPIRE 42 FDER EXITS EXTENSION	HANDS 43 FXIT	######################################	OCHT CW SORK AND TO SOME	Villa 69kV Bus Work	ULH&P DISTR TRANSFORMERS	BUFFINGTON 45 & 46 NEW EXITS	JLHP DIST WORK - STORM 6	JLHP DIST WORK - STORM 17	JLHP DIST WORK - STORM 5	ZULHP Transmission Reliability	IMABURGE REPL SW 3750	ONGBRANCH 22 4MVA 138 13KV TB	ULHP DIST WORK - STORM 16	#6763 UPRATE TO 100C	ULHP DIST WORK - STORM 13	
15,996,801	1.694,524	1.504,391	1 249 838	436 475	877 728 5	358 774	544.044	124.253	113,312	352,049	508,434	72.748	57.629	295,782	41 277	352 713	35,565	24,770	21.288	21,246	118.845	18,010	54.523	66.620	14,567	13,943	12,840	10.564	10.256	10.047	9.768	9,649	1.165.606	8.651	7.623	7,069	6.212	5.657	5.380	5.367	4,885	4,667	4,315	Actual Cost
15,492,276	195,909	852,375	853 912	41 975	# 581 682	00 587	405.221	22.0	0	246,115	407.986	0	0	243,786		311 001	c	0	0		98.936		38.542	51,897		0	0					0	1,157,208	410				0						Budaet
504.525	1,498,616	652,016	395,925	394 500	275 505	218,909	138,824	124.253	113.312	105,934	100,448	72,748	57,629	51,997	41 277	40,410	35,565	24,770	21,288	21.246	19.909	18.010	15,981	14,723	14.567	13,943	12,840	10,556	10.256	10,047	9,768	9,649	8.398	8,241	7,623	7.069	6.212	5,657	5.380	5,367	4,885	4,667	4,315	Dollars
	765%	76%	46%	940%	% 9, 003	76096	34%			43%	25%			21%	č	170					20%		41%	28%									1%	2010%										Percent
	865%	176%	146%	1040%	106%	70098	134%			143%	125%		;	121%	10.6	1 1 20/					120%		141%	128%									101%	2110%										Budget
	2.784,526	7.340.246	622,342	882 542	31 261 816	217,825	1,397,270	237,052	125,497	363,311	2.904,659	629,948	645,621	1.158.642	41 000	3 996 460	654,031	59,340	38,034	55,363	96,247	344,525	55,953	315,000	40,128	58.015	304,748	1 050 112	102,523	865,679	18,345	10,046	9,803,771	26,172	27,688	44,495	22,645	10,775	54,668	1,199,857	32,264	141,323	46,033	otal Votoal
	1,429,806	5.975.940	1 131 397	100 755	32,000,30	1 680 381 U	2,425,622	405,721	0	261,568	1,948,734	0	516,524	1.570.243	0 000, 1001,2	) 2697 963	567,886	0	0	0	98,936	132,362	38.542	669,965	0 (	0	1.138,892	108 300	47 753	725.825	336 353	0	13,439,619	410	0	0	0	0	63,892	717,578	0	81,685	0	Budget
		Annua		287 641	اداروه		(1,028,352) Annual				955,925 Annual		į	(411,602) Annual	41.000 Aiiiudi			Annual		55,363 Annual	_			(354,965) Annual	40,128 Annual	Annual			54,010 AIIIIUdi	401,341	18,345 Annual		(3,635,848) Annual					Annual		,	Annual		46,033 Annual	Dollars Start
	Annual	Annua	2002	0		-	Annual			2002	Annual			Annual	Citiodi	Annual		Annual	Annual	Annual		2004		Annual	Annual	Annual		7002	Allilla		Annual		Annual		Annual	Annual	Annual	Annual		2004	Annual		Annual	Budget End
	Annual	Annua		2004	Apple 1	2004	Annual	2004		2003	Annual		2002	Annual	213001	Annual		Annual	Annual	Annual				Annual	Annual	Annual	2002	2007	Allingi	2004	Annual		Annual		Annual	Annual	Annual	Annual	2004	2005	Annual	2004	Annual	End Start
	Annual	Annua	2002	Zi biodi	5002 Jririz004		Annual	2004 3/15/2004		2002 6/25/1905	Ánn		2001 6/26/1905	Annual	2003 6/26/1005	Annual	2001 2003	Annual	Annual	Annual	2003 2004	2003 6/25/2004		Annual	Annual	Ā	2001 6/25/1905		A	2003 38163	Annual	2003 6/26/1905	B	2002 6/26/1905	Annual	Annual	Annual	Ann	6/2	2003 38507	Annual	2003 2004	Annual	rt End

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 10 of 20

Project Description	U14STM04 UGNSTM99 U14STM11 U14STM03	914STM01 303A7127 314E7889 302D7808 314D7800	304E7924 U14STM27 304D7876 303B7291 303B7291 303B7291 303B7344 302D7821 U14STM02 303E8274 U14STM09	314B7202 314C7681 303D7817 314C7597 314C7499	004ZGL 314C7593 302D7825 903G7996 304B8216 903G0504	U1427650 82460500 314ZUR U04ZGM 303C7676 U0327357 302D7779 303B7230 314D7830 ULHSTORM J14ZDA U0327568 303C7506 303C7506 303C7506 303C7506 303C7506	Project
Annual Original	ULHP DIST WORK - STORM 4 General Storms Non Declared ULHP DIST WORK - STORM 11 ULHP DIST WORK - STORM 3	ULHP DIST WORK STORM 15  ULHP DIST WORK STORM 1  VERONA SUBSTATION UPGRADE  CRESC 43 EXT-CONV FT MITCH 4KV  BUFFINGTON F6761 RELAYS  Critenden 41-Record NIO Sub  LILLED DIST MODER STORM A	PSSCLOOP THRU THOMAS MORE ULHP DIST WORK - STORM 27 FEEDER 986 LOOP THROUGH VILLA RICHWOOD FUTURE SUB 7 - 10,5 MVA BELLEVJE CB 311 & 313 MT ZION 41 & 42 FEEDER EXITS VIII 698/V BUS WORK ULHP DIST WORK - STORM 2 DAYTON SUB DEMOLITION ULHP DIST WORK - STORM 9 DAYTON SUB DEMOLITION	EMPIRE 41 SUB FEEDER EXITS BELLEVUE 43 RECONDUCTOR ALEXANDRÍA S XAMR 1 RTU GRANT 42 FEEDER EXITS BUFFINGTON 45 & 46 NEW EXITS	ZULHZB GLIT TRANS IMPR VIII a Feeder Exit Replacements LIMABURGE REPL SW 3750 ULHP DISTRIBUTION BATTERIES F2862 69KV TAP CWW ULHEP MINOR DIST FAILURES	MISC DIST LINE NON-BUDGET WORK ULH&P ELECTRIC METERS ZULH&P UR DIST IMPR SOUTH AREA ZULH&P UR DIST IMPR SOUTH AREA ZULH&P GOV MAND TRANS IMPR EMPIRE T\$2.69-13KV 10 5MMA ULH&P DIST SUB XYMER FAILURES Ruark Sub-inst 13R-69 KV Tr HANDS ZZ.MWA TB LONGBRANCH 41 42 42 FDER EXITS ULH&P STORM BUDGET ZULH&P DIST ASSESS SOUTH AREA MISC DIST SUB NON-BUDGET WORK CRUENGEN XYMER Z ZULH&P DIST ASSESS SOUTH AREA ULH&P DIST SUB NON-BUDGET WORK CRUENGEN XYMER Z ZULH&P DIST LINE CAPACITORS Buffington 41 Ext ZULH&P DIST LINE CAPACITORS	Project Description
Variance in Variance is   Percent   Dollars   Percent   Budget   Dollars   Dollars   Percent   Budget   Dollars   Budget   Dollars   Budget   Bud	2.716 2.716 2.871 2.896 2.903	1,308 1,545 1,932 1,997 1,297	23 23 37 184 184 240 240 240 240 297 397 798	(1.294) (1.085) (268) (112) (68)	250,486 19,109 (22,598) 49,289 6,344 (2,215) 50,898	Actual Cost 0 304.256 806.048 26.304 107.649 3.246 41.579 618.642 37.317 0 883 0 446.067 332.732 (151) 0 750.486	Annual
Percent of Budget	90000	0 0 10,976	0 0 0 0 0 0	00000	309.999 60.527 0 63.892 20.354 0 52.368	Budgett 989.514 1.159.315 1.159.315 380.217 405.721 288.490 280.390 830.521 230.565 193.001 109.667 107.173 552.926 428.282 75.568	Annual
Percent of Budget	2.871 2.871 2.896 2.903	1.308 1.545 1.932 1.996 1.997 2.228	237 37 175 184 240 240 282 397 596 798	(1.294) (1.294) (1.085) (258) (112) (68)	(59.513) (41.418) (22.598) (14.604) (14.010) (2.215) (1.470)	Dollars [599,514) [699,766) [363,267) [353,912) [298,072) [288,11] [211,879) [199,248) [193,001) [108,784] [107,173) [106,899) [95,550) [75,718] [75,176]	Variance in
Total Actual         Budget         Dollars         Original Budget         Dorginal Budget         Budget Budget         Dorginal Budget         Budget B		20%		ć	-58% -68% -59% -3%		
Total Variance in Driginal Budget Dollars Start Rods Annual (2,045,914) Annual Annual (2,045,914) Annual (2,045,914) Annual (2,045,914) Annual (2,045,915) Annual (2,045,915) Annual (2,045,915) Annual (2,045,916) Annual (2,045,916) Annual (2,045,916) Annual (2,045,916) Annual (2,045,916) Annual (2,046,916) Annual (2,047,916) Annual (2,		120%		6	81% 32% 77% 31% 97%		
Variance in Original Original Dollars Budget Budget End (2,045,914) 2003 6729/1905 56 (567,254) Annual Annual (168,669) 2004 Annual (168,669) 2002 6726/1905 6728/297 2004 Annual (168,669) 2002 6726/1905 6728/297 2004 Annual (1603,871) Annual Annual (1603,871) Annual Annual (171,876) Annual Annual (171,876) Annual Annual (171,876) Annual Annual (171,876) Annual Annual (171,878) Annual Annual (171	15,086 44,004 58,015 66,525 10,588	18.345 38.034 641.361 21,064 26,480 112,660	1,398 7,556 53,415 645,621 66,012 29,884 10,046 10,046 59,340 23,034 40,128	131,342 131,825 217,825 41,009 34,996 36,172	1.158.642 82.692 96.247 54.668 26.767 274.754	4.976.841 6.989.689 1.397.270 237.052 2.764.526 5.45.416 982.076 523.413 111.66 982.542 1.648.841 117.247	Total Actual
Original Budget         Original Budget End Annual Annual Annual Annual         Original Anget End Annual Annual Annual Annual Annual           Annual A	00000	73,770 43,924 134,290	28,937 516,524 52,171 368,966 0	009.903 0 0 0 51,154 410	1,570,243 162,837 98,936 63,892 206,615 124,271 669,965	Budget 2,045,914 10,644,095 6,803,062 405,721 1,429,806 2,238,860 1,680,381 395,116 770,278 512,897 311,696 594,901 2,252,712 1,171,279	Total
Iginial Original Judget Budget Budget End Annual 2003 6/29/1905 2004 Annual 2005 Annual 2006 Annual Annual 2006 Annual 2006 Annual Annual 2006 Annual 2006 Annual 2006 Annual 2006 Annual Annual 2006 Annual 2006 Annual 2006 Annual 2006 Annual A			23,702 1,396 7,556 Annu 24,478 129,097 13,842 13,9,082) 10,046 59,340 Annu 40,128 Annu	(354,965) Annu 131,342 217,825 41,009 (16,157) (16,157)	(411,602) Annu (80,145) Annu (2,689) (9,224) (179,848) Annu 150,483	Án Án Án Án Án	
riginal get End 2004 at 2004 at 2005 2005 2005 2005 2005 2005 2005 200		2000 2005 2004	2003 2005 2002 2005 2005 2005 2002	2003 2003	Anr 2003 2004 2002 Anr 2002	2003 2003 Anni 2004 Anni 2004 Anni 2004 Anni 2004 Anni 2004 Anni 2004 Anni 2003	
te Actual Date Actual    Start   End		2005 2005 2005 38869 2005	25/1905 2005 2002 2005 38869	2003	15/1905 16/1905 14/1905	2004 2005 2005 2004 2005 2005 2004	
	An An	Annual Annual 1999 5/1 2004 22004 12/1	2002 2004 2004 2001 2004 2004 2004 2003 Ann 2004	2001 2003 2003 2003 2002	2003 2003 2003 Ar 2001	Start End I for non-bdgt specifics ual Annual ual Annual 2004 Annual 2004 Annual 2004 Annual 2004 Annual 38061 ual Annual 2004 Annual 38772 2002 S/17/2004 2003 38772 Jal Annual 181 Annual 181 Annual 182003 Annual 183 Annual 184 Annual 185 Annual 186 Annual 187 Annual 188 Annual 188 Annual 189 Ann	te Actual Date Actual

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 11 of 20

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Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 12 of 20

	906F0502 303D7833 314D7771 310ZNB	302D7875 314B7251	U14ZGM	303E7976	314C7677	314ZUG	304D7815	314ZRL	U04E7953	302E7881	U02STM04	304C7510	314D7770	30287147	90217069	U14STM16	314C7714	U14STM05	303€7903	314B7242	314C7509	303E7923	303C7721	U14STM12	U14STM06	U14STM13	U14STM17	U14STM08	U04ZRL	U14STM07	U14STM10	303B7348	Project
2004 Total	ULH&P DISTR TRANSFORMERS LONGBRANCH 22 4MVA 138 13KV TB WILDER 4B YORK 4KV TO 12KV ZULH&P NEW BUSINESS SOUTH AREA	VILLA 69KV CAPACITOR 7-2MVAR HANDS 43 & 44 STATION EXITS	ZULH&P GOV MAND DIST IMPR	DAYTON SUB PURCH LOT 827 WLNUT	EMPIRE 42 FDER EXIT& EXTENSION	ZULHP UG CABLE RPLC SOUTH AREA	F6763 UPRATE TO 100C	ZULH&P RL DIST IMPR SOUTH AREA	DRATE TRANS LINE FOR CLEARANCE	BUFFINGTON CB 678 69KV	ULHP TRANS SUB WORK - STORM 4	F6761 Loop through Crittenden	YORK 41 42 43 - FEEDER EXITS	BUFFINGTON 69KV CB 676 ULH&P	CONSTANCE & VILLA INST 69KV	ULHP DIST WORK - STORM 16	RICHWOOD 42 RECND S OF MT ZION	ULHP DIST WORK - STORM 5	DRY RIDGE INST 10MVA TB1	OAKBROOK 41 & 42	Crittenden 42	THOMAS MORE INST 22MVA TB	UNION SUSTATION SITE	ULHP DIST WORK - STORM 12	ULHP DIST WORK - STORM 6	ULHP DIST WORK - STORM 13	ULHP DIST WORK - STORM 17	ULHP DIST WORK - STORM 8	ZULHP Transmission Reliability	ULHP DIST WORK - STORM 7	ULHP DIST WORK - STORM 10	MT ZION 22.4MVA TB	Project Description
13.566.532	1.210.387 420.023 595.193 4.820.265	169,658 313,766	895,413	81.101 575.082	187,343	129,740	136,656	458,848	32,115	28,892	26.329	65,908	19,505	13,544	13,238	9.364	9,344	8,941	8.532	8,057	39,469	6.223	6,169	5,734	4,703	4,400	3.924	3.813	3.813	3.692	3.507	3.228	Annual Actual Cost
15,740,155	1,005,122 211,418 228,257 4,264,381	0 132,362	771,946	0 471 144	108,309	65,290	81.685	425.742	0	0	0	40,154	0	0	0		0.	0	0	0	33,147		0	0	0	0	0	0	0	0	0	0	Annual Original Budget
(2,173.622)	205,265 208,605 366,936 555,884	169,658 181,405	123,467	81,101	79,034	59,843 62 400	54,971	33,106	32,115	28,892	26.329	25,754	19,505	13,544	13,238	9,364	9,344	8,941	8,532	8,057	6,322	6,223	6,169	5,734	4,703	4,400	3.924	3.813	3,813	3,692	3,507	3.228	Variance in Dollars
-,	20% 99% 161% 13%	137%	16%	2000	73%	95%	67%	8%				64%									19%												Variance in Variance as Percent of Dollars Percent Budget
	120% 199% 261% 113%	2379	116%	1220	173%	196%	1679	1089				164%									119%												Percent of Budget
	6 9.803,771 6 1.199,857 6 629,598 6 31.261.816			82,303 2 3 8 8 6 7 6 0		6 340,225 6 380,621			35,928	104,278			343,488	125,473	572,122	32,264	125,497	22,645	336,796			1,183,218	629,948	127,761	27,688	46,033	44,495	11,073	10,775	55,363	20,491	679,008	Total Actual
	13,439,619 717,578 228,257 32,001,428	442.972 132.362	5,975,940	0 0 0 0 0	108,309	460,492 555 838	81,685	1.948.734	0	27,965	0	40,154	5	197,147	328,158	0	0	0	412,068	167,770	33,147	968,222	0	0	0	0	0	0	0	0	0	1,274,817	Total Budget
	(3,635,848) Annua 482,279 401,341 (739,613) Annua	250,356 212,164		82,303 108 606 <i>b</i>	951,003	(120,267) Annua (175,217) Annua	59,638	955,925 A	35,928	76,312	34,438 A	28,620	343,473	(71,675)	243,964	32,264 4	125,497	22,645 A	(75,272)	(76,746)	11,844	214,996	629,948	127,761 A			44,495 A	11,073 A		55,363 A	20,491 A	(595,809)	Variance in Dollars
	nnual 2004 2004 2004 nnual	2005 2004	Annual	0010	2004	a. a.	2004	Annual		2005	Annual	2004	2005	2001		Annual		Annual	2005	2003	2004	2005		Annual	Annual	Annual	Annual	nnual	Annual	Annual	Annual		Original Budget Start
	Annual 2005 2004 Annual	38504 2004	Annual	Appeal	2004	Annual	2004	Annual		38412	Annual	2004				Annual		Annual	39234					Annual	Annual	Ánnuai	Annual	Annual	Annual	Annual	Annual	2002 6/1/2007	Original Budget End
	Annual		Annual			Annual		Annual	Annual		Annual					Annual		Annual						Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual		B .
	Annual 2003 6/4/2005 2003 38163 Annual	2004 6/1/2005 2003 6/25/2004	3 2	⊳	2003 3/15/2004	Annual	2003 6/26/1905	≱	Annual	2004 4/1/2005	Annual	2004 38125		2000 6/26/1905		₽	2004 5/2/2003	Annual				2004 5/19/2006		Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	2004 6/1/2006	Date Actual Date Actual Start End

304E7924 302E7903 314C7714	303E/976 U14STM16 314F8344	902G7624	303C7728	X03U8382	30387230	UB4ZGI	31487202	312ZLEL	303C7504	314C7165	30487201	903G7996	314C7600	3020.7808	314D7800	903G0504	U14OLDWO	314E7889	314E7905	30357800	303E7903	ULHSTORM	U03Z7688	314ZDA	ANZI-10	U042'5890	314C7731	U03Z7972	U14Z7973	906F0502	314E7921	31471 G	924G0500	310ZNB	314ZUR	U03Z7357	302D7779	Project
F366 LOOP THRU THOMAS MORE DRY RIDGE INST 19MVA TB1 RICHWOOD 12 RECND S OF MT ZION	ULHP DIST WORK - STORM 16 Verona 41 & 42 Feeder Exis - 314F8	WILDER SUBSTATION	BUFFINGTON RICHWOOD 13KV RTU	WHITE TOWER SUB - INSTALL RTU	HANDS 22.4MVA TB	Ceneral Storms Non Declared	ENPIRE 41 SUB FEEDER EXITS	Z Special Lighting South ULH&P	BUFFINGTON 2 22 AMVA 138- 13KV	DIXIE 42 RECONDUCTOR	F6763 EMPIRE SUB LOOP	ULHP DISTRIBUTION BATTERIES	Verona 41 Reconductor	CONVERT CLH&F 804 WORK CAUERS	Crittenden 41-Recond N/O Sub	ULH&P MINOR DIST FAILURES	CONVERT ULH&P 814 WORK ODERS	CRESC 43 EXT-CONV FT MITCH 4KV	Hands 45 - Reconductor	ST CION AT & AZ TRICUEX IIX IIX	DRY RIDGE INST 10MVA TB1	ULH&P STORM BUDGET	MISC DIST SUB NON-BUDGET WORK	ZULH&P DIST ASSESS SOUTH AREA	ZULH&P DIST LINE CAPACITORS	MIND DINT INF MON-BUDGET WORK	Verona North Reconductor - 314C7731	MISC NON BUDGET CARRYOVER	MISC NON BUDGET CARRYOVER	ULH&P DISTR TRANSFORMERS	Richwood 42 Recond Mt Zion Rd	ZIII HARE G DIST IMPR SOLITH AREA	OCHRE ELECTRIC SELECTS	ZULH&P NEW BUSINESS SOUTH AREA	ZULH&P UR DIST IMPR SOUTH AREA	ULHAP DIST SUB XEMER FAILURES	Ruark Sub-Inst 138-69 kV Tr	Project Description
1,375 1,848 2,308	1.202	. 814 814	555 555	534	466	310	<b>.</b> 0	0	(34)	(993)	(1,859)	0	242	24.484	99,456	12,800	(47.350)	19,068	10.489	705.67	328.264	111,667	0	637	255,945	134.60n	25.878	0	0	1,083.528	10.064	183 250	366,086	4,094,465	717,063	(3.246)	503,837	Annual Actual Cost
0 0	00	00			0 0	<b>&gt;</b> C	>	0	0			7,787	11,469	7.00 t. y	123,314	43,942		73,770	75.728	76 048	412,068	201,488	97,204	100,799	358.732	106 369	159,888	143,769	157,206	1,242,868	203.516	412 162	5 303 100	4.740,053	1.545,884	945,474	1,958,470	Annual Original Budget
1,375 1,848 2,308	1,202	814	555	534	466	310	. 0	0	(34)	(993)	(1.859)	(7.787)	(11,227)	(20,399)	(23,858)	(31,142)	(47,350)	(54,702)	(65,046)	(82.537)	(83,804)	(89.821)	(97,204)	(100,162)	(102,787)	(807.711)	(134,011)	(143,769)	(157, 206)	(159,340)	(193,452)	(228 912)	(255,014)	(645,589)	(828.821)	(948,720)	(1,454,633)	Variance in Dollars
												-100%	%86. % <del>11</del> -	7.40/	-19%	-71%		-74%	-86%	-/4%	-20%	-45%	-100%	%66°	-29%	100%	-84%	.100%	.100%	-13%	-95%	.56%	-41% 2000	-14%	.54%	-100%	.74%	Variance in Variance as Dollars Percent
												0%	,		819	29%					80%					54%						44%			46%	0%	269	Percent of Budget
1,398 1,848 1,25,497	82,303 32,264	0,7,0	10 776	534	982.076	360,405	131,342	(310	(8,551)	0.00	39.617	2			_	6 315,000		6 21,064		, 29,884		6 111,667			6 1 158 642	6 1,397,270 6 0	-	6		9		6 1 548 841		r.,		23	6 545.416	Total Actual
					1 680 38				55.96				85.48		134,29			73.77	75.72	36,96	412,06	770,22	311,69				159.88	) 143,76	157,20	13,439,61	203,51	2 252 71		32.001,42	6.803.95	1.429.80	2.238.86	Total Budget
12	0 82,303 0 32,264 Annual n 1 340	0 10,773 Ainiudi	10.775	534	(698.305)	58,015 Annua	131,342	) (310) Annual	3 (64,514)	0	39,617	5 (179,848) Annual	) (85 238)	) (20,399) Annua	(21,630)	5 (354,965) Annual	0 (47,350)		6 (75,040) 8 (65,239)	-		18 (658,561) Annua		7 (376.748) Annual	3 (411.602) Annual	2 (1,028,352) Annua 4 (2,045,014)	8 (134,011)	Ð	(157,206)	Ψ	6 (193,452)	-	(5.557.254)	(739,613)	185,749	6 1,354,720 Annual	0 (1.693,444)	Variance in Or Dollars
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	2004 8/19/2004 Annual 2005 8/10/2006	1996 can	2002		2002 5/17/2004		2001		2002 cancelled	2000	2001 4/9/2002	Ann	2004 1/17/2006	ann Anr	2004	A	Anr	2004 8/23/2006	2005 8/8/2006	2004 6/1/2006		Annual	non-b		Annual Annual	i Annual	2005 6/12/2006	2007 Bdgt for c/o specifics	c/o spe		2005 5/16/2006					Anr	2004 12/31/2007	Date Actual Date Actual Start End

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 13 of 20

DRATE TRANS LINE FOR CLEARANCE  (ULHP DIST WORK - STORM 1  (ULHP DIST WORK - STORM 4  EMPRE TB2 69-13KV 10 5MVA  COURINGE 142  COURINGE 142  COURINGE 142  COURINGE 142  COURINGE 142  COURINGE 143  COURING ALT TRANS IMPR  15,353  ULHP DIST WORK - STORM 17  F6761 LOOD BY WORK - STORM 18  ULHP DIST WORK - STORM 18  EEDER 966 LOOP THROUGH VILLA  WILDER 48 YORK 4KV TO 12KV  F6761 LOOD BY WORK - STORM 18  EEDER 966 LOOP THROUGH VILLA  WILDER 48 YORK 4KV TO 12KV  F6761 LOOD BY WORK - STORM 18  SUFFINGTON CB 678 66KV  53.239  WILDER 48 YORK 4KV TO 12KV  55.73  ULHBP UG CABLE RPLC SOUTH AREA  VILLA 66KV CAP BANK 2  F6761 CRITTENDEN POLE REPLAC  THOMAS MORE INST 66KV CAP BANK 2  THOMAS MORE INST 2KMV AT 139 17KV TB  CONGBRANCH 22 4MVA 139 17KV TB  CONGBRANCH 12 42 3- FEEDER EXITS  LONGBRANCH 12 43 - FEEDER EXITS  LONGBRANCH 12 47 - FEEDER EXITS  LONGBRANCH 12 47 - FEEDER EXITS  MT 20N 22 4MVA TB  TONGK 41 42 43 - FEEDER EXITS  LONGBRANCH 22 4MVA TB  MT 20N 22 4MVA TB  TONGK 41 42 43 - FEEDER EXITS  MT 20N 22 4MVA TB  MT 20N 22 4M
3.813 4.241 4.824 5.522 5.522 7.027 15.353 7.903 7.903 7.903 11.570 15.709 15.709 15.709 16.7.82 25.872 90.469 24.358 25.872 183.728 167.613 169.843 170.142 167.695 774.467 323.369 337.082 675.780 840.721
3.813 4.241 4.824 5.512 5.522 7.027 11.533 7.903 7.901 11.552 11.2749 65.773 13.997 15.700 17.462 22.236 53.239 24.338 53.239 24.338 53.239 24.338 55.762 90.469 75.385 55.762 170.142 167.695 170.142 167.695 177.4467 323.389 485.913 337.082 337.082 675.780
3,813 4,241 4,824 5,510 5,522 7,027 15,333 7,903 7,903 7,903 7,971 11,572 12,749 65,773 13,937 15,700 17,422 22,236 53,239 24,358 25,872 90,489 75,385 75,385 76,385 76,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,385 77,487 333,389
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3.813 4.241 4.824 4.824 5.510 5.522 7.027 7.523 7.933 7.933 7.937 11.512 11.570 17.462 22.236 53.239 24.356 53.259 24.356 55.6762 56.762 57.442 167.813 169.242 176.995
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3.813 4.241 4.824 5.522 7.027 15.333 7.903 7.903 7.903 7.903 11.512 12.749 65.773 13.937 15.700 17.422 22.236 53.239 24.358 25.872 90.489 75.365 75.365 75.365 75.366 81.252 183.768
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3.813 4.241 4.824 5.512 5.522 7.027 15.353 7.903 7.901 11.512 11.570 11.749 65,773 13.937 15.700 17.462 22.236 53.239 24.356 53.259 90.469 90.469 75.356 55.9484 523.670 81.252
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3.813 4.241 4.824 5.110 5.522 7.027 15.353
2.865 0
Project Description Annual Variance in Variance as  Actual Cost Original Dollars Percent  Budget

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 14 of 20

30.3E.8274 30.3C.88341 X0.3U.7988 X0.3U.8284 X0.3U.8282 X0.3U.8282 X0.3U.8282 X0.3U.8282 X0.3U.8282 X0.3U.8282 30.3F.8621 90.3G.6504 90.3G.7986 U0.3Z.7987 U0.3Z.7987 U0.3Z.7988 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7987 U0.3Z.7989	Project  303A7127  303A7127  303B7348  303C7594  303D7810  303D7833  303E7890  303E7903  303E7922
DAYTON SUB DEMOLITION DAYON SUB DEMOLITION DAYON SUB-DATE 22:4MVA BK ROW - 303G8834 Wilder 138-13kV Transformer GRANT RTU BEAVER RTU White Tower Sub - Install RTU ATLAS SUB PURCHASE ULH&P MINOR DIST FAILURES ULH&P MINOR DIST FAILURES ULH&P MINOR DIST FAILURES ULH&P DISTRIBUTION BATTERIES CI AGENT SPCC OIL CONTAINMENT ULH&P DIST REWINDS MAINTENANCE MISC DIST SUB NON-BUDGET WORK MISC DIST SUB ROBERS ZULH&P DISTRIBATION BEATTERIES CILH&P DISTRIBATION BEATTERIES CILH&P DISTRIBATION ULH&P DISTRIBATION Werona 41 Reconductor ENPIRE 42 FEEDER EXITS CRIENTER 43 FOR EXTENSION Verona North Reconductor VORK 41 42 43 - FEEDER EXITS CRIENTER 45 FEEDER EXITS CRIENTER 45 FEEDER EXITS CRIENTER 47 FEEDER EXITS CRIENTER 47 FEEDER EXITS CRIENTER 47 FEEDER EXITS CRIENTER 48 EXTENSION Verona 41 & 42 Feeder EXITS CRIERER EXITS NORTH AREA ZULH&P LIG DIST IMPR SOUTH AREA	Project Description  Verona Substation Upgrd Mt Zion 22:4tn/V4 TB Grant-Connect Caps to TB 2 Bellevue-Replace CB 311 & 313 - 3.03 LONGBRANCH 22:4tn/V4 138 1:3KV TB F1 Mitchell Sub-Rel and remove Dry Ridge-inst 1st 10.5 MVA TB Thomas More-inst 22:4 MVA Tr
36.270 18.637 1.324 3.30.553 3.30.553 3.30.553 3.30.553 3.30.553 6.18.33 6.28.69 2.35.00.00 4.70.70.5 6.28.60 7.10.70.5 6.28.60 7.10.5	Annual Actual Cost 224,118 452,415 0 (,092 11,875 66,717 706,307
1.087.054 0 1.087.054 0 0 0 0 66.614 35,718 9,262 18 102.716 51,359 1,346,413 4,723,433 4,723,433 4,723,433 4,723,433 4,723,433 4,723,433 4,723,433 4,723,433 4,723,433 4,723,433 1,723,433	Annual Original Budget 165,326 535,625 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
(1.36.270) (1.36.270) (1.36.270) (1.324) (1.324) (1.324) (1.324) (1.324) (1.324) (1.324) (1.324) (1.324) (1.324) (1.324) (1.326) (1.326) (1.326) (1.327) (1.174.869) (1.2.277) (1.174.869) (1.2.277) (1.174.869) (1.2.277) (1.174.869) (1.2.31) (1.321	Variance in Dollars (58,792) 73,210 0 (1,092) (11,875) 17,882 (412,826) (492,886)
0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0	
0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0	Percent of Budget 136% 86% 0% 0% 79% 367% 331%
59.304 18.507 1.324 334.229 89.572 58.994 344.520 367.164 116.05 26.767 1.833 2.846.399 0 11.162.462 37.070.218 3.270.218 3.270.258 3.27	Total Actual 868,777 1,149,420 5,59,53 67,104 1,211,732 67,228 913,778
1,098,974 1,098,974 0 0 737,908 1,207,712 24,007 0 1,429,806 416,736 416,736 37,234,861 3,724,865 185,596 108,309 449,059 1131,705 73,770 265,662 73,770 265,662 73,770 265,662 73,770 73,786 558,73 73,786 558,73 73,786 558,73 73,786 558,73 73,786 558,73 73,786	Total Budget 509,589 1,819,978 38,574 2,171 717,578 161,296 569,363 1,184,829
(18.697 (18.697) (11.324 (14.526) (14.526) (14.526) (14.526) (14.526) (14.526) (14.526) (14.526) (14.526) (14.526) (15.526) (15.526) (15.526) (15.526) (15.526) (15.526) (15.526) (15.526) (16.527) (15.526) (16.527) (16.527) (16.528) (16.5	Variance in Dollars (359,188) 670,558 (17,411) (14,933) (494,154) 94,068 (344,415) (718,376) (778,376)
2007 2007 2006 2006 2005 2005 2007 2007 2007 2007 2007 2008 2008 2008	Original Budget Start 1999 2002 2003 2004 2005 2006 2006
Annual Annual 2006 Annual Annu	Original I Budget End 2006 2003 2003 2005 2005 2006 2007 2007
12/1/2004 2008 11/1/2006 2008 11/1/2006 2008 11/1/2006 2008 11/1/2006 2008 11/1/2006 2007 21/2006 2007 21/2005 2007 21/2005 2007 21/1/2005 2002 2007 21/1/2005 2006 2006 2006 2006 2006 2006 2006	Date Actual Start Start 8/1/1999 8/1/2004 11/1/2004 10/1/2003 5/1/2006 10/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004 11/1/2004
3/1/2006 12/1/2006 3/1/2006 12/1/2006 12/1/2006 Annual Annual Annual 12/1/2006 Annual 12/1/2006 Annual	Date

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 15 of 20

DUKE ENERGY KENTUCKY
CASE NO. 2009-00202
Construction Projects - Transmission and Distribution
Year 2006

	ULHTSTRM	U04ZUR	U04ZGM	X04U8494	304F8506	304F8346	304E7924	304E7902	304D7876	304D7800	304D7782	304D7764	304C7709	30488216	U02Z7993	X02U8264	302G8889	302E7923	302E7903	302D7808	302D7779	924G0500	ULHSTORM	U14ZKV	Project
2006 Total	ULH&P TRANSMISSION STORM PROJECT	ZULH&P UPGR/REPL TRANS IMPR	ZULH&P GOV MAND TRANS IMPR	F965 & F5967 - Relocate at Wilder	Fdr 5962 Reroute OH Thru NKU	F6761 Loop through Verona	F966- Loop through Thomas More	F6761 Loop through Dry Ridge	Feeder 966-Loop Through Villa - 304	F6761 CRITTENDEN POLE REPLAC	F6761-Loop Through Ruark	Beckjord-Silver Grave New Line	F6763 Empire ROW	CWW FEEDER 2862 69KV TAP	ULHP MINOR TRANS SUB FAILURES	Wilder-Inst 69kv Cap Bank 2	EKP DOWNING 2 METERING	Thomas More Inst Transmission	Dry Ridge-Inst Trans for new 10.5	Buffington-F6761 Relays	Blackwell Sub-Inst 138-69 kV Tr	ULH&P ELECTRIC METERS	ULH&P STORM BUDGET	ZULH&P DIST LINE CAPACITORS	Project Description
20.975,499	1.881	30.640	209.265	94,461	850	37.049	26.824	2,342	46	11,007	239,272	97,739	0	(16,800)	0	224,991	20,340	80,882	52,194	32.096	2.958.618	583,423	278,142	300.746	Annual Actual Cost
19,337,408	0	63.913	224,582	0	0	178,580	43,305	0	0	0	75,607	0	78,919	0	11,594	383,608	0	32.533	20.636	87.781	1,717,913	571,305	211,383	369,360	Annual Original Budget
(1.638.091)	(1.881)	33,273	15,317	(94,461)	(850)	141,531	16,481	(2,342)	(46)	(11,007)	(163,665)	(97,739)	78,919	16,800	11,594	158.617								- 1	Variance in Dollars
	0%	52%	7%	0%	0%	79%	38%	0%	0%	0%	.216%	0%	100%	0%	100%	41%	0%	-149%	-153%	63%	-72%	-2%	.32%	19%	Variance in Variance as Percent of Dollars Percent Budget
	0%	48%	93%	0%	0%	21%	62%	0%	0%	0%	316%	0%	0%	0%	0%	59%	0%	249%	253%	37%	172%	102%	132%	81%	Percent of Budget
	1.881	411,261	1,606,535	102,432	850	48,973	28,470	2,342	53,461	180.248	758,432	2,199,764	0	257,954	0	397,395	20.340	107,985	55,053	59,032	3,552,141	5.560,264	389,809	1,459,388	Total Actual
	0	619,752	2,654,262	0	0		43,764		28,937	0						391,465	0	33,103	21,053	132,973	3.984,372	11,215,399	981,610	1,939,604	Total Budget
	(1.881)	208,491 4	1.047.727 A	(102,432)	(850)	131,711	15,294	(2.342)	(24,524)	(180.248)	(682,825)	(135,247)	79,714	(133,683)		(5.931)	(20,340)	(74,882)	(34,000)	73,941	432,231	5.655.135 A	591,801 holder/ann	480.216 A	Variance in Dollars
		Annual	Annual			2006	2006		2005		2006	2004	2006	2002	Annual	2006		2006	2006	2005	2004	Annual	older/ann	Annual	Original Budget Start
		Annual	Annual			2006	2006		2005		2006	2005	2006	2002	Annual	2006		2006	200	2006	2007	Annual	holder/ann	Annual	Original Budget End
	4/1/2006	Annual	Annual	11/1/2005	1/1/2006	-1	6 12/1/2004	4/1/2006	-	1/1/2009	6 8/1/2009			2 6/1/2001	Annual	6 2/1/2005	6/1/2006	6 2/1/2005	7 6/1/2005	6 6/1/2004	7 5/1/2004	Annual	holder/ann	Annual	Original Date Actual Date Actual Judget End Start End
	6/1/2004	Annual	Annual	11/1/2006	5 10/1/2006		6/1/2006	12/1/2006	4/1/2006	4/1/2006	12/1/2006	12/1/2006		11/1/2006	Annual	12/1/2006	8/1/2006	10/1/2006	12/1/2006	12/1/2006	12/1/2006	Annual	holder/ann	Annual	Date Actual End

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 16 of 20

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Annual Variance in Variance as Borrost of	
Total Variance in Original	
ginal Orginal Data Astron	Schedule 6a-1
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303E7903 30368744 30368843 30368843 30368843 3032ANLG 3102NB 3102NB 3102770 3107780 3107770 3107780 31427889 31427893 31427893 31427893 31427893 31427893 3142844 31468845 31468875 31468857 31468857 31468857 31468857 31468857 31468857 31468857 31468857 31428857 31468857	Project 2032ANLG 204ZLG 214ZLG 303A7127 303E7890
DBY RIDGE INST 10M/A TB1 DBYON SUB-INST 10M/A TB1 DBYON SUB-INST 10M/A TB1 Covington Area inst 122.4MVA Br. 303G87 Covington Area inst 122.4MVA Br. 303 Alias Sub-Replace Regulators - 303 White Tower TB 2- instalt - 303G876 Analog Circuit Replacement (P3 Dist 2ULH&P NEW BUSINESS SOUTH AREA 2ULH&P L42.435 inst fdr 3: 31 Cress 43 Ext-Conv Ft Mitch 4kV - 31 Hands 45-Recond MAO Sub - 314D Congbranch 41 - 42.435 inst fdr 3: 31 Cress 43 Ext-Conv Ft Mitch 4kV - 31 Hands 45-Recond MAO Sub - 314 Hands 45-Recond MAO Sub - 314 Rehwood 42-Recond MAO Recond MAO	Project Description Analog Circuit Replacement CP2 Dist ZCG&E LG DIST IMPR EAST AREA Verona Substation Upgrd - 303A7727 Ft Mitchell Sub-Ret and remove - 30
252,586 639,306 639,306 639,306 639,306 490,164 91,004 256,252 3,750 3,520 (9,809) 250,373 38,203 37,266 772,557 77,543 1,531,143 (1,174) (113) 1,312,944 38,783 38	Annual Actual Cost 17 3,144 57,321 2,387
401,424 1,431,665 311,744 477,919 21,70,919 4,333,593 0 252,303 252,303 41,224 41,224 41,234 41,244 41,244 41,244 41,246 41,384 41,384 133,253 146,198 146,198 146,198 176,768 221,046,491 2,046,491 2,046,491 14,711 1,556,708 498,522 56,835 56,835 352,410 262,241	Annual Original Budget
(252,365) (263,505) (263,505) (263,505) (294,004) (294,0	Variance in Dollars (17) (3,144) (57,321) (2,387)
207% -207% -55% -55% -55% -55% -65% -65% -65% -75% -75% -75% -75% -75% -75% -75% -7	Variance in Variance as Dollars Percent (17) 0% (3.144) 0% (57.321) 0% (2.387) 0%
0% 45% 45% 45% 45% 94% 91.5% 102% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0% 0%	Percent of Budget 0% 0%
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711,754 1.186,937 761,375 18,770 148,075 54,8676 42,548,676 0,3,655,603 449,059 0,134,290 395,116 73,770 265,662 37,786 568,476 658,476 658,476 604,410 0,000 1,245,326 783,399 1,245,326 783,368 1,424,448 11,902,147 0 0 1,429,806 562,759 0 0 1,429,806 562,759 0 0 1,429,806	
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	ginal dget art 1999 2005
2008 2008 2008 2008 2008 2006 2005 2006 2006 2006 2006 2006	et End 2006 2006
20007 10/1/2004 10/1/2 2008 6/1/2006 11/1/2006 12/1/2 2008 11/1/2006 12/1/2 2008 3/1/2007 12/1/2 2008 3/1/2007 12/1/2 2008 6/1/2005 1/1/2005 1/1/2 2006 6/1/2006 1/1/2003 10/1/2 2005 11/1/2003 10/1/2 2006 10/1/2006 10/1/2 2006 4/1/2006 10/1/2 2006 4/1/2006 10/1/2 2006 10/1/2006 10/1/2 2007 12/1/2007 12/1/2 2016 10/1/2007 12/1/2 2017 12/1/2007 12/1/2 2017 12/1/2007 12/1/2 2018 1/1/2007	Date Actual Start Annual Annual 6/1/2006
4 10/1/2007 5 12/1/2007 7 12/1/2007 7 12/1/2007 7 12/1/2007 1 1/1/2007 1 Annual 1 Annu	Date Annu Annu

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 17 of 20

DUKE ENERGY KENTUCKY
CASE NO. 2009-00202
Construction Projects - Transmission and Distribution
Year 2007

		X02U8264	U0.1ZUR	U04ZTREE	M9Zr00	U04ZGL	U02Z7993	304H9033	304G8909	304F8506	304F8346	304E7924	304E7902	304D7782	30488216	302H9041	302G8860	302E7903	30.1E7902	302D7808	30207779	302C7679	X03U8382	X03U7988	UMWPMTR	ULHSTORM	U14ZTREE	U14ZREG	U14ZRCL	U14ZMO	U14ZKVCH	U14ZKV	U14ZGM	Project
2007 Total		WILDER - INST 69KV CAP BANK 2	ZULH&P UPGR/REPL TRANS IMPR	Danger Tree Clearing Trans ULHP	ZULH&P GOV MAND TRANS IMPR	ZULH&P GLIT TRANS IMPR	ULHP MINOR TRANS SUB FAILURES	Feeder 965 Uprate to 100 C 30/1H90	F5967- WHITE TOWER	F 5962 REROUTE OH THRU NKU	F6761 Loop through Verona - 304F834	F966- Loop through Thomas More - 30	F6761 Loop through Dry Ridge - 304E	F6761-Loop Through Ruark - 304D7782	CWW FEEDER 2862 69KV TAP	Kenton Substa-Sell Property	White Tower TB 2 Transmission - 302	Dry Ridge-Inst Trans for new 10.5 -	F6761 Loop through Dry Ridge - 304E	Buffington-F6761 Relays - 302D7808	Blackwell Sub-Inst 138-69 kV Tr - 3	Empire- XFMR 3 Tran - 302C7679	WHITE TOWER SUB - INSTALL RTU	Wilder 138-13kV Transformer - X03U7	MW KENTUCKY PROJECT METERS	ULH&P STORM BUDGET	Danger Tree Clearing Dist ULHP	Regulator Change Out Program	Recloser Change Out Program	Major Outage Follow Up	Capacitor Change Out Program	ZULH&P Dist Line Capacitors	ZULH&P GOV MAND DIST IMPR	Project Description
18,182,345		716	41,075	2,535	22.172	6.871	18.225		76,695	(6,107)	45,652	(169)	108.044	498,546	6,694	(466,405)	76.292	47.989		42,109	514,972		6,428	1.076,037	0	161,640	17,447	246,426	246,426	246,426	246,426	246,426	660,986	Annual Actual Cost
17,802,443			74,636	40.259	230.268		12,060											76,192	59,770		355,262	24,486												Annual Original Budget
(439,672)		(716)	33,561	37,724	208,096	(6,871)	(6, 165)	0	(76,695)	6,107	(45,652)	169	(108,044)	(498,546)	(6,694)	466,405	(76.292)	28,203		(42, 109)	(159,710)	24,486	(6,428)	(1.076,037)	114,538	1.016	2.257	(221.530)	(217,356)	(143,694)	(203,449)	135,027	422,045	Variance in Dollars
",	·								0%											0%	-45%	100%	0%	0%	100%	1%	11%	-890%	-748%	-140%	-473%	35%	39%	Variance in Variance as Percent of Dollars Percent Budget
			55%						0%																		89%		848%	240%	573%	65%	61%	
		398,112	452,336	40,250	1,629,943	89,563	18,225							758,432									61,422				17,447	0	0	0	0	1,705,814	8,847,127	Total Actual
		391,465	694,384	2,535	2,884,529	162.837	23,909	0	0	0	180,684	43,764	59,771	75,607	124.271	0	0	97,243	59,771	132,973	4,339,634	24,488	0	2,382,564	0	1.211,063	33,900	0	0	0	0	2,318,704	8,633,281	Total Budget
		(6.647		(37,715	1,254,586	73,274	5,684	0						(682,825)												659,613	16,453	0	0	0	0	612,890	(213,846) Annual	Variance in Original Dollars Start
		2006	Annual	Annual	Annual	Annual	Annual	2009			2006	2006	2007	2006	2002			2006	2007	2005	2004	2007		2006	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annuai	Annual	nal Onginal jet Budget End ri
		2006	Annual	Annual	Annual	Annual	Annual	2009			2006	2006	2007	2006	2002			2007	2007	2006	2007	2007		2007	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annua	nal Da End
		2/1/2005	lual	ual	E B	ual	lual		3/1/2007	1/1/2006	7/1/2005	12/1/2004	4/1/2006	8/1/2005	6/1/2001	9/1/2007	5/1/2007	6/1/2005	4/1/2006	6/1/2004	5/1/2004		11/1/2005	2/1/2006	la.	la	ual	ual	lual	la	ŭa	ua	ua.	ite Actual Start
		1/1/2007	Annual	Annual	Annual	Annual	Annual		12/1/2007		11/1/2007			10/1/2007									3/1/2007		Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Date Actual Date Actual Start End

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 18 of 20

OLEOBEA	FIGURE VOICE	NOTA NOTA	MOTE NOT		COTTA	000	O P P	CAPFA	924G0500	906F0502	90367999	90.3605.34	90360504	314208	314206	314ZRL	314ZLG	314ZDA	314J9129	314H9055	314H9054	314H9053	314G8867	314G8865	314G8857	314G8846	314G8844	314G8840	314G8764	314G8757	314G8756	314F8344	314C7731	312211	312ZLEL	310ZNB	303ZANLG	303J9142	303 19130	303H9052	30368919	303G8866	303G8848	3030837	303G8744	30347127	1147116	Project
Lighting-OLE-Inst	No. Constitution of the second	Customer Adds-MW-D	wajor Outage Followup-D		Bolo Basi Cad Line D	The series of the first	Circuit Sectionalization	Capacitor Inst/Rem	ULHER ELECTRIC METERS	ULH&P DISTR TRANSFORMERS	OCHT DIVINGO ION BRITTANIO	OCHAR MAJOR DIVI TAICURES	CCDOT SHOOT OF TRECORDS	COURSE OF DIGHT WENT COOLS AND A	NOTES OF CARLE AND POSTE AND A	ZUCH&P RC DIST IMPR SOUTH AREA	ZULH&P LG DIST IMPRISOUTH AREA	ZULH&P DIST ASSESS SOUTH AREA	Richwood 41 Line Rearrangement	Hebron 45	Hebron 44	Hebron 43	White Tower 42 - 314G8867	White Tower 43 - 314G8865	White Tower 41 Reconductor - 314G88	Covington 43 - 314G8846	Covington 42 - 314G8844	Covington 41 - 314G8840	Dayton 43 - 314G8764	Dayton 42 - 314G8757	Dayton 41 - 314G8756	Verona 41 & 42 Feeder Exits - 314F8	Verona North Reconductor - 314C7731	ZULH&P LIGHTS SOUTH AREA	ZULH&P OLE LIGHTS SOUTH AREA	ZULH&P NEW BUSINESS SOUTH AREA	Analog Circuit Replacement CP3 Dist	Kenton Sub- Relocate Driveway	Dichwood TR 1. Install Breaker	Hebron TB 2	KY UNIV INST NEW 22-4 MVA TR	White Tower TB 2- Install - 303G886	Attas Sub- Replace Regulators - 303	Composition deep lost 22 AMVA Tr. 30	Dayton Sub-Inst 22,4MVA Bk - 303G87	Verona Substation Upport - 30347127	ZCG&F UG CABLE RPLC NORTH AREA	Project Description
(30,546)	5 205	1721,848	31 387	10.01	47 815	63 031	11 846	28,194	166,178	422,409		208.753	20#.12	21 482	2,072	047.769	350.573	25.	8.865	13,859	8.551	23.204	315.532	32.013	7 847	505.815	670.708	936,963	382.479	120,704	100.250	3,565	(35.740)	76 182	(14.233)	2.047,476	30,919	27.618	28.050	976,695	5.182	420.475	252.401	818 787	474,477	11.235	38.211	Actual Cost
									498,522	1,555,708	11/11	58,80b	55.001	1,423,220	12.33	2,046,491	221.074	376.978					219.283	66,755	63,937	146,198	146,198	133.225	43,384	41,224	39,134			252,303	586,117	4,333,593	21.079				į	477.919	311.744	431 655	401.424		DUQUE	Original
(30.546)	305 s	1.721.848	31 387	12.010	47 815	63.031	11 846	28,194	(332,344)	(1,134,299)	(14.711)	149.687	(17.515)	(274,013)	(652'07)	(1.553.751)	664.671	(1/6,525)	8.865	13,859	8.551	23,204	96.249	(34,742)	(56.090)	359,617	524,510	803,738	339,095	79,480	61,116	3,565	(35.740)	(176.121)	(600.350)	(2,286,117)	9.840	27,618	28.050	976,695	5.182	(57,444)	(59.343)	387 122	73,053	11.235	38 211	Dollars Percent
0%	780	0%	00%	200	0%0	700	0%	0%	-67%	-73%	-100%	100%	35.07-	78%	% /63	%07.	59%	-100%	0%	0%	0%	0%	44%	52%	-88%	246%	359%	603%	782%	193%	156%	0%	0%	-70%	-102%	-53%	47%	0%	0%	0%	0%	-12%	-19%	27%	18%	0%	%°0	Percent
0%	2%	0%	797	200	0%0	790	0%	0%	33%	27%	0.0	322%	/033C 0/ 77	2000	300	707	159%	0%	0%	0%	0%	0%	144%	48%	12%	346%	459%	703%	882%	293%	256%	0%	0%	30%	-2%	47%	147%	0%	0%	0%	0%	88%	81%	127%	118%	0%	0%	Budget
(30,546)	5206	1.721.848	31 527	12 301	47 815	62.031	11 R46	28,194	7.039,436	13.216,014	30.090	30.977	330.027	UVC 008	10 035 054	5,115,888	2,036,813	137,352	8,936	14,031	8,649	26,842	329.013	34.904	7.955	512,087	676,448	964,549	393,659	124,469	116.972	35,722	211.051	3,561,991	74,174	44,690,042	31,607	28.018	28.519	985,255	5.233	583.353	268.235	2 525 295	1.769.610	11.235	38 211	Total Actual
0	0	0 0	<b>.</b>	<b>.</b>	<b>-</b>	<b>&gt;</b> (	0	0	12,400,669	17,983,196	500,04	1,304,192	1 204 100	802,420	10.678.736	0,130,111	5,122,748	946,500					219,283	66,755	63,937	146, 198	146,198	133,225	43,384	41,224	39,134	0	0	3.907.906	586.117	46,882,269	23,039	0 (	0	0	0	928,090	237,894	2 193 040	1.588.361		0	Budget
30,546 Annual	/5 296) Annia	(1.721,848) Annual	(31.527) Annual	(12.301) (555)	(47.815) Annual	(63 031) Annu	(11 846) Annual	(28,194)	On For	4,/6/,182	17.70	904,213	01,701	193.751	612,772	202,463	1,085,335	809,148	(8,936)	(14,031)	(8,649)	(26,842)	(109,730)							(83,245)	(77,838)	(35,722)	(211.051)	345.915	511.943	2,192,227		(28,018)	(28.519)	(985,255)	(5.233)					(11.235)	(38.211) Annual	Dollars
30,546 Annual Annual	_				Annual				al Annual														2008	2008	2008	2008	2008	2008	2008	2008	2008						al Annual					2007	2007	2007	2007		F	Budget Budget End
Annua	Þ	≱ :	⊳ )	p :	<u> </u>	<u> </u>	<u>A</u>	₽	A	· Þ	ڊ -	> 3	· )	<u> </u>	> 1	> ≱	- ⊉	· <u>A</u>					2008	2008	2008	2008	2008	2008	2008	2008	2008			¥.	≱	Ą	Ą					2008	2008	2008	2008			t End
			Applied		Annual			Annual /	Annual /										8007/17	8/1/2008	8002/1//	8/1/2008	10/1/2007	10/1/2007	7/1/2008	3/1/2008	1/1/2008	1/1/2008	8/1/2007	7/1/2007	5/1/2007	11/1/2005	/1/2005					7/1/2008	6/1/2008	2/1/2008	8/1/2008	3/1/2007	7/1/2007	11/1/2006	6/1/2006	/1/1999	Annual /	Start
Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Annual	Ainiudi	Aniidai	Applied	Annual	Annual	Annual	Annual	Annual	12/1/2008	12/1/2008	8002/1/21	8002/1/21	12/1/2008	12/1/2008	12/1/2008	12/1/2008	12/1/2008	12/1/2008	12/1/2008	12/1/2008	12/1/2008	3/1/2008	2/1/2008	Annual	Annual	Annual	Annual	12/1/2008	12/1/2008	12/1/2008	12/1/2008	11/1/2008	12/1/2008		12/1/2008	6/1/2008	Annual	Start End

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 19 of 20

Project	Project Description	Actual Cost	Original Budget	Variance in Variance as Percent of Dollars Percent Budget	Variance as Percent	Percent of Budget	Total Actual	l otal Budget		Budi Sta	dget art	dget Budget End
ORDFA	Outage Restoration Cap-D	68.633		68,633	200	0%	رب د	5.421,227	.421,227 0	.421,227 0 (5,421,227) Annual	7 0 (5,421,227) Annual	7 0 (5,421,227) Annual Annual Annual Annual
1 7	CG Capit Reprine	F3C 35F		150 354	00,00	00,00		168 364	168 35/	· ·	0 /168 35/) Applied	0 (168 35A) Applied Applied
7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	Regionarina/Rem	4 436		4.436	0%	0%		4,436	4,436	4,436 0 (4,436) Annual	0 '	0 (4.436) Annual
REGEA	Regulator Inst/Rem	19,548		19,548	0%	0%	_	19,548	19,548 0	0	0 (19,548) Annual	0 (19,548) Annual Annual
RELDFA	Relocation-D	175.034		175.034	0%	0%		78,449	78,449 0	0 (1	0 (178,449) Annual	0 (178,449) Annual Annual
RLFA	Reliability Other-FO-D	184,490		184,490	0%	0%		184,490	84,490 0	84,490 0 (184,490) Annual	0	0 (184,490) Annual
SCFOFA	System Capacity-FO	89,740		89.740	0%	0%	~	89,740	39,740 0	0	0 (89,740) Annual	0 (89,740) Annual
SLFA	Light Repl-Tarriff	25.584		25,584	0%	0%		25.584	25.584 0	0	0 (25,584) Annual	0 (25,584) Annual Annual
U03F8448	CLAGENT SPCC OIL CONTAINMENT ULH&P	(640)		(640)	0%	0%		39,252	, .	0	0 (39.252)	0 (39.252) Annual Annual
U03Z7688	Misc Dist Sub Non-Budget Work		56,835	(56,835)	-100%	0%		0	0 619,594			
U14Z7690	Misc Dist Line Non-Budget Work		352,410	(352,410)	-100%	0%		0		352,410	352,410	352,410 352,410
U14ZGL	ZULH GLIT DISTRIBUTION		262.241	(262,241)	-100%	0%		361.227		1.120,889 759.662	1.120,889 759.662	1,120,889 759,662 Annual Annual
U14ZGM	ZULH&P GOV MAND DIST IMPR	820,227	1,083,031	(262,804)	-24%	76%		9,672,737		9.716,312	9.716,312 43,575 Annual	9.716,312 43,575 Annual Annual
U14ZKV	ZULH&P Dist Line Capacitors	98,084	381,453	(283.369)	-74%	26%		18.038.898		2,700,157 (15.)	2,700,157	2,700,157 (15,338,741) Annual
U14ZKVCH	Capacitor Change Out Program	12.645	42,977	(30,332)	-71%	29%		12,645		42,977	42,977 30,332	42,977 30,332 Annual
U14ZMO	Major Outage Follow Up		102,732	(102,732)	-100%	0%		0		102,732 102,732	102,732 102,732	102,732 102,732 Annual
U14ZRCL	Recloser Change Out Program		29.070	(29,070)	-100%	0%		2,636		29,070	29,070 26,433	29,070 26,433 Annual
U14ZREG	Regulator Change Out Program	*FU 3C	24.896	(24,896)	-100%	132%		11,777	11,777 24,896	24,896 19.70 <i>A</i>	24,896 13,120	24,896 13,120 Annual
UGCRFA	UG Cable Repl	102,684	;	102,684	0%	0%	_	02,684		0 (102,684	0 (102,684) Annual	0 (102,684) Annual Annual
ULHSTORM	ULH&P STORM BUDGET	80.112	162,656	(82,544)	-51%	49%	63	1,562		1.373,719 742,157	1,373,719 742,157 Annual	1,373,719 742,157 Annual Annual
UNIWPMTR	MW KENTUCKY PROJECT METERS		114.538	(114,538)	-100%	0%		0	0 114,538	114,538 114,538	114,538 114,538 Annual	114,538 114,538 Annual
VMDDTFA	Distr Line Clr Removal	24,919		24,919	2%	0%	4 080 F	4 086 324			/ 330 63/ (25,023) Annual	6 330 634 (646 600) Annual Annual 2004
3020773	White Tower TR 2 Transmission - 302	2.880		2,880	0%	0%	79	177	177 7.021	7.021	7.021	7,021 (72,151) 2008 2008
302H9041	Kenton Substa-Sell Property	63		63	0%	0%	(460	5,405)		0	0 466,405	0 466,405
304D7876	Feeder 966-Loop Through Villa - 304	3.463		3,463	0%	0%	<b>5.3</b>	3,463	3,463 28,937	28,937	28,937 25,474	28,937 25,474 2005 2005
304G8909	F5967- WHITE TOWER	5.534		5.534	0%	0%	00	2,904		129,997	129,997	129,997 47,093
304H9033	Feeder 965 Uprate to 100 C 304H90		112,431	(112,431)	-100%	0%			0	0	0 0 2009	0 0 2009 2009
CERTEA	Rel Major Cap T Equip Repl	11 075		4,440	0%	0%		11 075	11 075	4,440 0 (4,440) Almudi 11,075 0 (11,075) Annual	0 (1,1075)	0 (4,440) Ainual
PRTFA	Pole Repl Other-T	12.012		12,012	0%	0%		12,013	12,013 0	0 (12,013)	0 (12,013) Annual	0 (12,013) Annual Annual
RELTFA	Relocation-1	43.226		43,226	0%	0%		43.226		0 (43,226)	0 (43,226) Annual	0 (43,226) Annual Annual
U02Z7993	ULHP MINOR TRANS SUB FAILURES		223.648	(223.648)	-100%	0%		0		247,557 247,557	247,557 247,557 Annual	247,557 247,557 Annual Annual
J04ZGM	ZULH&P GOV MAND TRANS IMPR	53.261	170.538	(117.277)	-69%	31%	1.6	1,678,536	78,536 3,055,067	3.055,067	3.055,067 1,376,531 Annual	3.055,067 1,376,531 Annual Annual
J04ZTREE	Danger Tree Clearing Trans ULHP	1 739	48,149	(46,410)	.96%	4%	: ~	41,892			50,684 8,792 Annual	50,684 8,792 Annual Annual
U04ZUR	ZULH&P UPGR/REPL TRANS IMPR	(37.939)	48.395	(86.334)	-178%	-78% n%	4	5.017		742,779	742,779	742,779 327,762 Annual
X04U8494	F965 & F5967 RELOCATE AT WILDER	5,298		5,298		0	10	107,731	7,731 0	0 (107	0 (107,731)	0 (107,731)

Schedule 6a-1

Case No. 2009-00202 Attachment AG-DR-01-006a-1 Page 20 of 20

### DUKE ENERGY KENTUCKY

CASE NO. 2009-00202

Calculation of Capital Construction Project Slippage Factor - Electric Operations

Case No. 2009-00202 Attachment AG-DR-01-006a-2 Page 1 of 1

Schedule 6a-2

Source: Schedule 6a-1 - Construction Projects - Electric Operations

10 Year Average Slippage Factor (Mathematic Average of the Yearly Slippage Factor / 10 years) Years Total 2000 2001 2002 2003 2007 2004 2005 2006 2008 1999 ↔ ↔ \$ ↔ ↔ ↔ ᠪ 6 € 6 ↔ Annual Actual Cost 311,655,148 65,346,502 31,884,469 26,249,513 43,802,330 25,857,419 45,640,691 20,975,499 17,469,291 18,182,345 16,247,091 ᢒ ↔ ᠪ ↔ ↔ ↔ ↔ S ↔ ↔ ↔ Annual Original Budget 303,073,439 65,514,570 41,904,911 51,967,774 16,092,015 29,024,757 21,702,445 21,608,327 17,802,443 18,118,788 19,337,408 G ᡐ ᡐ ↔ S ᠻ ↔ € ↔ 69 ᠻ Variance in Dollars 10,182,024 (2,775,244) (1,871,697 8,581,710 1,377,276 1,897,419 4,249,092 (6,327,083 ,638,091 (168,068 379,902 Variance as Percent -10.3% -12.2% 46.9% 19.7% -9.6% -0.3% 2.8% 8.6% 4.5% 8.5% 2.1% Slippage Factor 146.916% 102.134% 105.795% 102.832% 108.559% 104.528% 119.664% 108.471% 90.438% 99.743% 87.825% 89.670%

Total all projects for a given year The Annual Actual Cost, Annual Original Budget, Variance in Dollars, and Variance as Percent are to be taken from Schedule 1a

each year and the Totals line. Carry Slippage Factor percentages to 3 decimal places The Slippage Factor is calculated by dividing the Annual Actual Cost by the Annual Original Budget. Calculate a Slippage Factor for

Duke Energy Kentucky, Inc. Case No. 2009-00202

First Set Attorney General Data Requests

Date Received: August 17, 2009

AG-DR-01-007

### **REQUEST:**

For each of the jurisdictional electric (excl. of non DE-Ky facilities) rate base components shown on WPA-1d (summing to \$617,170,042), provide the equivalent balances as of the end of the base period.

### **RESPONSE:**

See WPA-1b in the Company's July 1, 2009, application for the jurisdictional electric balances as of the end of the base period.

AG-DR-01-008

### **REQUEST:**

Please provide a side-by-side comparison, by detailed FERC plant account, of the John Spanos proposed depreciation rates in this case and the existing PSC-approved depreciation rates.

### **RESPONSE:**

See Attachment AG-DR-01-008 for a side-by side comparison by detailed FERC plant account of the proposed depreciation rates and the existing approved rates.

PERSON RESPONSIBLE: Brenda R. Melendez

### DUKE ENERGY KENTUCKY COMPARISON OF CURRENT AND PROPOSED DEPRECIATION ACCRUALS

PLANT ACCOUNT	ACCOUNT DESCRIPTION	Current Depreciation Rates (1)	Proposed Depreciation Rates	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
	DISTRIBUTION PLANT			
2041	Rights of Way	0.00%	0 00%	(3)
2050	Structures and Improvements	0.40%	0.44%	(0)
2110	Liquified Petroleum Gas Equipment	2.45%	2.63%	
2741	Rights of Way	1 39%	1 39%	
2750	Structures and Improvements	1.12%	0 89%	
2761	Mains - Cast Iron, Copper and All Valves	0.49%	6.87%	
2762	Mains - Distribution Line - Steel	2.04%	2.44%	
2763	Mains - Distribution Line - Plastic	2.56%	2.43%	
2765	Mains - Feeder Line - Steel	2.04%	2.25%	
2780	System Meas & Reg - General	2.08%	2.79%	
2781	System Meas & Reg - Electronic	1.39%	4.61%	
2782	Dist Regulating Equip - General	3.71%	1.96%	
2801	Services - Cast Iron, Copper and Valves	0.00%	3.30%	
2802	Services - Steel	1.35%	3.50%	
2803	Services - Plastic	2.80%	3.80%	
2810	Meters	2 71%	3.96%	
2811	Meters - Leased	2.71%	3.19%	
2820	Meter Installations	3 16%	2.87%	
2821	Meter Installations - Leased	3.16%	2.96%	
2830	House Regulators	2.87%	2.86%	
2831	House Regulators - Leased	2.87%	2.89%	
2840	House Regulator Installations	3.02%	2.10%	
2841	House Regulator Installations - Leased	3.02%	2.75%	
2850	Industrial Meas. & Reg. Station Equip.	3.22%	2.22%	
2851	Industrial Meas & Reg. Station Equip Comm	2.58%	6.01%	
2870	Other Equipment	10.77%	5.53%	
2871	Other Equipment - Street Lighting	3.73%	3 60%	
2910	Office Furniture and Equipment	5.48%	3.77%	
2921	Trailers	0 00%	1.59%	(2)
2940	Tools, Shop, & Garage Equipment	4.01%	4.14%	• /
2960	Power Operated Equipment	0.00%	0.00%	(2), (3)
2980	Miscellaneous Equipment	0.00%	4 68%	( ). ( )
	COMMON PLANT			
1701	AMI Meters - Leased	5.61%	7.03%	
1900	Structures and Improvements - Erlanger	6.78%	2.36%	
1900	Structures and Improvements - Florence	5.94%	3.30%	
1900	Structures and Improvements - 19th and Augustine	5.94%	1.16%	
1900	Structures and Improvements - Minor Structures	5.94%	2.87%	
1910	Office Furniture and Equipment	12 36%	14.37%	
1930	Stores Equipment	48.47%	48.63%	
1940	Tools, Shop, & Garage Equipment	6.27%	4.70%	
1970	Communication Equipment	13.62%	5.58%	
1980	Miscellaneous Equipment	665%	7 04%	

<sup>(1)</sup> Current Gas depreciation rates were approved by the KYPSC in case No. 2005-00042

<sup>(2)</sup> Depreciation charged to transportation expense(3) Fully depreciated

AG-DR-01-009

### **REQUEST:**

Reference Schedule B-3.2, page 4 of 4: Explain the proposed depreciation/amortization expense of \$2,705 considering that the 13-month average test period accumulated reserve balance is already negative by \$5,039.

### **RESPONSE:**

Account 19300 – Common Stores Equipment has a 13-month average plant balance of \$5,563 and a 13-month average accumulated reserve for depreciation balance of (\$5,039), a debit balance, for the forecasted period. Therefore, the net plant balance for this account is \$10,602. The proposed depreciation accrual rate of 48.63% results in an annual depreciation expense of \$2,705. This annual expense amount will result in the account being fully depreciated in 3.9 years. The debit accumulated reserve for depreciation balance is a result of previous retirements that are credited to plant and debited to the accumulated reserve for depreciation. This account will be depreciated until the reserve balance, a credit, equals the debit plant balance.

PERSON RESPONSIBLE: Robert M. Parsons / Brenda R. Melendez

Duke Energy Kentucky, Inc. Case No. 2009-00202

First Set Attorney General Data Requests Date Received: August 17, 2009

AG-DR-01-010

**REQUEST:** 

Reference Attachment RMP-2, page 3 of 3: Explain why the factor of 1.004349 is applied to the weighted common equity cost rather than the weighted debt cost and why the factor of 1.64378 is applied to the weighted debt cost rather than the weighted common equity cost.

**RESPONSE:** 

The factors were inadvertently switched and applied to the wrong classes of capital. Attachment AG-DR-01-010 is a revised calculation of Attachment RMP-2.

### PURCHASED GAS ADJUSTMENT COMPANY NAME: DUKE ENERGY KENTUCKY, INC.

### SUPPLEMENTAL MONTHLY REPORT

### ESTIMATED COST OF GAS INJECTED AND WITHDRAWN FROM STORAGE

Details for the EGC Rate in Effect as of

March, 2010

### Monthly Storage Activity

Line No	Month	Beginning Storage Inventory	Injected	Withdrawn	Ending Storage Inventory
1	January 2010	\$9,709,615	\$0	\$2,952,467 (a)	\$6,757,148
2	February 2010	\$6,757,148	\$0	\$2,008,194 (b)	\$4,748,954
3	March 2010	\$4,748,954	\$0	\$2,440,624 (b)	\$2,308.330

<sup>(</sup>a) Actual

<sup>(</sup>b) Estimated

### PURCHASED GAS ADJUSTMENT COMPANY NAME: DUKE ENERGY KENTUCKY, INC.

### SUPPLEMENTAL MONTHLY REPORT

### ESTIMATED CONTRACT STORAGE CARRYING COSTS

Details for the EGC Rate in Effect as of

March, 2010

Line No.	Ending Storage Balance Month	Estimated Ending Storage Inventory	Average Monthly Storage Inventory Balance	Avg Storage Balance Iimes Monthly Cost of Capital (1)	Estimated Monthly MCF	\$/MCF
1	January 2010	\$6,757,148	· · · · · · · · · · · · · · · · · · ·	0.934167%		
2	February 2010	\$4,748,954				
3	March 2010	\$2,308.330	\$3,528,642	\$32,963	1,505.786	\$0.022

Note (1). 9 10% divided by 12 months = 0.758333%. See Page 3 of 3.

### PURCHASED GAS ADJUSTMENT COMPANY NAME: DUKE ENERGY KENTUCKY, INC.

### SUPPLEMENTAL MONTHLY REPORT

### CALCULATION OF PRE-TAX RATE OF RETURN

Details for the EGC Rate in Effec March, 2010

Line No.	CLASS OF CAPITAL	13 MONTH AVG BALANCE (\$)	% OF TOTAL	% COST	WEIGHTED COST %	GROSS REVENUE CONVERSION FACTOR	PRE-TAX RETURN
1	Common Equity	411.218.278	49 901%	11.000%	5.489%	1.64378	9.023%
2	Long-Term Debt	367.408.791	44.585%	4.657%	2 076%	1.004349	2.085%
3	Short-Term Debt	45,441,090	5.514%	1.917%	0.106%	1.004349	0.106%
4	Total Capital	824,068,159	100,000%		7.671%		11.210%

AG-DR-01-011

R	E	O	U	E	S	T	

Please update WPB-5 1b and WPB-5.1g with actual balances through June 2009.

### **RESPONSE:**

See Attachment AG-DR-01-011.

DUKE ENERGY KENTUCKY, INC.
GAS DEPARTMENT
CASE NO. 2009-00202
THIRTEEN MONTH AVERAGE BALANCE GAS ENRICHER LIQUIDS
FOR THE BASE PERIOD AND THE FORECAST PERIOD

WPB-5.1b WITNESS RESPONSIBLE: R M PARSONS

LINE NO.	<u>MONTH</u>	ACCT 151126 <u>AMOUNT (A)</u> \$	ALLOC. (B)	ALLOCATED AMOUNT	
1	Base Period	Ÿ	70		
2	October 2008	1,280,702	35.00%	448,246	
3	November	1,280,702	35.00%	448,246	
4	December	1.240,052	35.00%	434,018	
5	January 2009	1.086,217	35.00%	380,176	
6	February	1,067,216	35.00%	373,526	
7	March	1,016,582	35.00%	355,804	
8	April	1,016,582	35.00%	355,804	
9	May	1,016,582	35.00%	355,804	
10	June	1,138,108	35 00%	398,338	
11	July	1,016,582	35.00%	355,804	
12	August	1,016,582	35.00%	355,804	
13	September	1,016,582	35.00%	355,804	> To Sch. WPA-1b
14					
15	Forecasted Period				
16	January 2010	1,016,582	35.00%	355,804	
17	February	1,016,582	35.00%	355,804	
18	March	1,016,582	35.00%	355,804	
19	April	1,016,582	35.00%	355,804	
20	May	1,016,582	35.00%	355,804	
21	June	1,016,582	35.00%	355,804	
22	July	1,016,582	35.00%	355,804	
23	August	1,016,582	35.00%	355,804	
24	September	1,016,582	35.00%	355,804	
25	October	1,016,582	35.00%	355,804	
26	November	1,016,582	35.00%	355,804	
27	December	1,016,582	35.00%	355,804	
28	January 2011	1,016,582	35.00%	355,804	
29	Total	13,215,566		4,625,452	
30					•
31	13 Month Average - Forecast Period	1,016,582		355,804	> To Sch. WPA-1b

<sup>(</sup>A) Source: Company Records

<sup>(</sup>B) Percent Applicable to Kentucky Customers.

DUKE ENERGY KENTUCKY, INC.
GAS DEPARTMENT
CASE NO. 2009-00202
NATURAL GAS STORAGE BALANCE
BASE PERIOD AND FORECAST PERIOD

WPB-5.1g WITNESS RESPONSIBLE: R. M. PARSONS

LINE NO.	MONTH_	AMOUNT (A) \$
1	Base Period	Ψ
2	October 2008	13,206,988
3	November	12,389,900
4	December	9,751,067
5	January 2009	6,757,148
6	February	4,748,954
7	March	2,308,330
8	April	2,892,430
9	May	4,246,504
10	June	5,580,415
11	July	2,308,330
12	August	2,308,330
13	September	2,308,330
14		
15	Forecasted Period	
16	January 2010	2,308,330
17	February	2,308,330
18	March	2,308,330
19	April	2,308,330
20	May	2,308,330
21	June	2,308,330
22	July	2,308,330
23	August	2,308,330
24	September	2,308,330
25	October	2,308,330
26	November	2,308,330
27	December	2,308,330
28	January 2011	2,308,330
29	Total	30,008,291
30 31	Thirteen Month Average Balance	2,308,330
	-	
		<b>*</b>
		To WPA-1d

AG-DR-01-012

### **REQUEST:**

Please provide the actual Customer Advances for Construction balances for each month in 2007, 2008 and 2009 through June.

### **RESPONSE:**

<u>Month</u>	2007	2008	2009
January	(2,131,616)	(1,983,212)	(1,656,786)
February	(2,125,507)	(2,121,097)	(1,667,232)
March	(2,104,850)	(1,904,127)	(1,638,646)
April	(2,073,090)	(1,865,820)	(1,645,018)
May	(2,069,147)	(1,864,212)	(1,672,508)
June	(2,072,004)	(1,799,305)	(1,582,005)
July	(2,091,279)	(1,805,881)	
August	(2,104,844)	(1,790,659)	
September	(2,043,593)	(1,797,507)	
October	(1,945,439)	(1,748,324)	
November	(1,989,856)	(1,747,345)	
December	(1,948,099)	(1,674,369)	

AG-DR-01-013

### **REQUEST:**

With regard to WPB-6b (accumulated deferred income taxes), please provide the following information:

- a. Copy of this exhibit that is in larger font so the numbers will be more legible.
- b. WPB-6b shows that the 13-month average net ADIT balance of \$35,178,614 would be \$36,686,905 without the consideration of the prepaid ADIT balance of \$1,508,291 for unbilled revenue ADIT. WPA-1d shows that the Company then reduced this adjusted ADIT balance of \$36,686,905 by \$665,328 for "liberalized depreciation." Please explain what this liberalized depreciation balance of \$665,328 represents and why it should be used to reduce the pro-forma test period ADIT rate base balance.
- c. Explain why similar unbilled revenue ADIT and "liberalized depreciation" ADIT adjustments were not made to the proposed electric jurisdictional ADIT balance of \$68,260,647.
- d. Provide a reconciliation between the 13-month average test period deferred income tax balance of \$34,217,886 on Schedule B-1, line 10 and the corresponding test period deferred income tax balance of \$35,178,614 on WPB-6b.

### **RESPONSE:**

- a. See Attachment AG-DR-01-013a.
- b. As shown on WPB-6d, the liberalized depreciation adjustment of \$665,328 is the portion of the liberalized depreciation ADIT balance related to facilities devoted to other than DE-Kentucky customers. This reduces the ADIT rate base balance because it is non-jurisdictional in this case.
- c. There is no unbilled revenue-fuel deferral for the Company's electric operations. In addition, there is no electric plant that is not devoted to other than DE-Kentucky customers that would result in an adjustment to the liberalized depreciation ADIT balance.

d. This reconciliation is provided on line 11 of Schedule B-6, page 2 of 2. As stated in footnote (G) on that schedule, the adjustment amount is the liberalized depreciation related to other than DE-Kentucky customers (\$665,328) and the ADIT balance related to unrecovered purchased gas costs (\$295,400).

Case No. 2009-00202 Attachment AG-DR-01-013a Page 1 of 2

DUKE ENERGY KENTUCKY, INC.
CASE NO. 2009-00202
CERTAIN DEFERRED CREDITS AND ACCUMULATED DEFERRED INCOME TAXES
DATA: BASE PERIOD "X" FORECASTED PERIOD
THIRTEEN MONTH AVERAGE BALANCE

WPB-6b WITNESS RESPONSIBLE: R. M. PARSONS

NO.	ACCOUNT	DESCRIPTION	JANUARY 2010	FEBRUARY 2010	МАRСН 2010	APRIL 2010	MAY 2010	JUNE 2010	JULY 2010	AUGUST 2010
~ CV G	GAS 252	Customers' Advances for Construction	(1,638,646)	(1,638,646)	(1,638,646)	(1,638,646)	(1,638,646)	(1,638,646)	(1,638,646)	(1,638,646)
7 4 15 19 1 1 18	255	Investment Tax Credits: 3% Credit 4% Credit 10% Credit Total Investment Tax Credits	(10,199) (47,185) (1,070,295) (1,127,679)	(9,900) (46,751) (1,064,968) (1,121,619)	(9,601) (46,317) (1,059,641) (1,115,559)	(9,302) (45,883) (1,054,314) (1,109,499)	(9,003) (45,449) (1,048,987) (1,103,439)	(8,704) (45,015) (1,043,660) (1,097,379)	(8.405) (44.581) (1.038.333) (1,091,319)	(8,106) (44,147) (1,033,006) (1,085,259)
9 11 11 13 14 15 15 17	190 190 282 282 283 283	Accumulated Deferred Income Taxes: Total Account 190 Unbilled Revenue - Fuel Account 282 - Other Liberalized Depreciation Account 283 - Other Unrecovered Purchased Gas Cost Total Deferred Income Taxes	11,295,393 1,343,699 (1,523,702) (41,709,803) (3,238,981) (295,400) (34,128,794)	11.299.601 1.371.131 (1.558.694) (41.958.694) (3.189.595) (295.400)	11,303,809 1,398,563 (1,537,912) (42,207,585) (3,140,209) (295,400) (34,478,734)	11,308,017 1,425,995 (1,545,017) (42,456,476) (3,090,823) (295,400) (34,653,704)	11,312,225 1,453,427 (1,552,122) (42,705,367) (3,041,437) (295,400) (34,828,674)	11,316,433 1,480,859 (1,556,227) (42,954,228) (2,992,051) (295,400) (35,003,644)	11.320,641 1,508,391 (1,566,332) (43,203,149) (2,942,665) (295,400) (35,178,614)	11,324,849 1,535,723 (1,573,437) (43,452,040) (2,893,279) (295,400) (35,353,584)
18 20 21 22	ELECTRIC 252 255	Customers' Advances for Construction Investment Tax Credits: 10%	(349,869)	0 (342,536)	0 (335,203)	0 (327,870)	0 (320,537)	(313,204)	0 (305,871)	0 (298,538)
23 25 26 27 28	190 282 283	Accumulated Deferred Income Taxes: Total Account 190 Total Account 282 Total Account 283 Total Deferred Income Taxes	(264,146) (60,465,128) (7,235,147) (67,964,421)	(251,205) (60,670,738) (7,091,849) (68,013,792)	(238,264) (60.876,348) (6.948,551) (68,063,163)	(225,323) (61,081,958) (6,805,253) (68,112,534)	(212,382) (61,287,568) (6,661,955) (68,161,905)	(199,441) (61,493,178) (6.518,657) (68,211,276)	(186,500) (61,698,788) (6,375,359) (68,260,647)	(173,559) (61,904,398) (6.232,061) (68,310,018)
3 3 3 3 3 3 3 3 3 3 4 4 5 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	NON-UTILITY 255 190 282 283	Investment Tax Credits: Accumulated Deferred Income Taxes: Total Account 190 Total Account 282 Total Account 283 Total Deferred Income Taxes	(1,956,624) (332,984) (67,108,092) 136,539 (67,304,537)	(1.890,073) (332,984) (66,804,832) 135,502 (67,002,314)	(1,823,522) (332,984) (66,501,572) 134,465 (66,700,091)	(1,756,971) (332,984) (66,198,312) 133,428 (66,397,868)	(1,690,420) (332,984) (65,895,052) 132,391 (66,095,645)	(1,623,869) (332,984) (65,591,792) 131,354 (65,793,422)	(1,557,318) (332,984) (65,288,532) 130,317 (65,491,199)	(1.490,767) (332,984) (64,985,272) 129,280 (65,188,376)

DUKE ENERGY KENTUCKY, INC.
CASE NO. 2009-00202
CERTAIN DEFERRED CREDITS AND ACCUMULATED DEFERRED INCOME TAXES
DATA: BASE PERIOD "X" FORECASTED PERIOD
THIRTEEN MONTH AVERAGE BALANCE

WPB-6b WITNESS RESPONSIBLE: R. M. PARSONS

		manum man dengan penganan pengan							
LINE NO.	ACCOUNT	DESCRIPTION	SEPTEMBER 2010	OCTOBER 2010	NOVEMBER 2010	DECEMBER 2010	JANUARY 2011	TOTAL	13 MONTH AVERAGE
-	GAS							man prominent and the control of the	
2	252	Customers' Advances for Construction	(1,638,646)	(1,638,646)	(1,638.646)	(1,638,646)	(1,638,646)	(21,302,398)	(1,638,646)
က									
4	255	Investment Tax Credits:			į				
သ		3% Credit	(7,807)	(2,508)	(7,209)	(6,911)	(6,672)	(109,327)	(8,410)
9		4% Credit	(43,713)	(43,279)	(42,845)	(42,414)	(41,980)	(579,559)	(44,581)
7		10% Credit	(1,027,679)	(1,022,352)	(1,017,025)	(1,011,697)	(1,006,370)	(13,498,327)	(1,038,333)
ω		Total Investment Tax Credits	(1,079,199)	(1,073,139)	(1,067,079)	(1,061,022)	(1,055,022)	(14,187.213)	(1,091,324)
თ									
10		Accumulated Deferred Income Taxes:							
<del>-</del>	190	Total Account 190	11,329,057	11,333,265	11,337,473	11,341,681	11,345,889	147,168,333	11,320,641
12	190	Unbilled Revenue - Fuel	1,563,155	1,590,587	1,618,019	1,645,451	1,672,883	19,607,786	1,508,291
13	282	Account 282 - Other	(1,580,542)	(1,587,647)	(1,594,752)	(1,601,857)	(1,608,962)	(20,362,316)	(1,566,332)
14	282	Liberalized Depreciation	(43,700,931)	(43,949,822)	(44,198,713)	(44,447,604)	(44,696,495)	(561,640,937)	(43,203,149)
15	283	Account 283 - Other	(2,843,893)	(2,794,507)	(2,745,121)	(2,695,735)	(2,646,349)	(38,254,645)	(2,942,665)
16	283	Unrecovered Purchased Gas Cost	(295,400)	(295,400)	(295,400)	(295,400)	(295,400)	(3,840,200)	(295,400)
17		Total Deferred Income Taxes	(35,528,554)	(35,703,524)	(35,878,494)	(36,053,464)	(36,228,434)	(457,321,979)	(35,178,614)
18									Apparation and the second seco
19	ELECTRIC								
20	252	Customers' Advances for Construction	0	0	0	0	0	0	0
21									
22	255	Investment Tax Credits: 10%	(291,205)	(283,872)	(276,539)	(269,204)	(263,323)	(3,977,771)	(305,982)
23									
24		Accumulated Deferred Income Taxes:							
52	190	lotal Account 190	(160,618)	(147,677)	(134,736)	(121,795)	(108,854)	(2,424,500)	(186,500)
26	282	Total Account 282	(62,110,008)	(62,315,618)	(62,521,228)	(62,726,838)	(62,932,448)	(802,084,244)	(61,698,788)
27	283	Total Account 283	(6,088,763)	(5,945,465)	(5,802,167)	(5,658,869)	(5,515,571)	(82,879,667)	(6,375,359)
28		Total Deferred Income Taxes	(68,359,389)	(68,408,760)	(68.458,131)	(68.507.502)	(68,556,873)	(887,388,411)	(68,260,647)
59									
30	NON-UTILITY								
າ									
32	255	Investment Tax Credits:	(1,424,216)	(1,357,665)	(1,291,114)	(1,224,564)	(1,171,582)	(20,258,705)	(1,558,362)
S & &		Accumulated Deferred Income Taxes.							
35	190	Total Account 190	(332.984)	(332,984)	(332.984)	(332.984)	(332.984)	(4.328.792)	(332.984)
36	282	Total Account 282	(64.682.012)	(64.378.752)	(64.075.492)	(63.772.232)	(63.468.972)	(848.750.916)	(65,288,532)
37	283	Total Account 283	128,243	127,206	126,169	125,132	124.095	1,694,121	130,317
38		Total Deferred Income Taxes	(64,886,753)	(64,584,530)	(64,282,307)	(63,980,084)	(63,677,861)	(851,385,587)	(65,491,199)

AG-DR-01-014

### **REQUEST:**

Please consider the following pro forma income tax determinations for the Forecasted Test Period Gas operations:

Operating Revenues	\$124,681,347 C-1, L1	
Operating Expense Before Income Taxes	(113,627,963) C-1, L7	
Net Operating Revenues	11,053,384	
Pro Forma Interest Expense	(5,455,996) WPD-2.18a	
Net Taxable Income	5,597,388	
SIT @ 6% x \$5,597,388	335,843	
FIT @ 35% x (\$5,597,388 - \$335,84	1,841,541	
Amortization of ITC	(72,657)	
Total Calculated Pro Forma Income Tax	\$ 2,104,727	

Please explain why this total amount of calculated pro forma income tax for the Forecasted Test Period is \$415,843 lower than the Company's proposed pro forma income taxes for the Forecasted Test Period of \$2,520,570 (SIT of \$402,097 + FIT of \$2,117,873). Provide all factors that have caused this income tax difference and the underlying calculations for the \$415,843 income tax difference.

### **RESPONSE:**

See Attachment AG-DR-01-014.

### **DUKE ENERGY KENTUCKY**

Reconciliation of Income Tax Expense

AG's Calculated Pro Forma Income Tax	\$ 2,104,727
Reconciling Items:	
Interest Expense (\$1,067,156 * 38.9%)	415,123 (a)
Permanent Differences (-\$68,552 * 38.9%)	(26,667)
State ARAM	(6,938)
State Flow-through	13,877
Federal ARAM	(94,556)
Federal Flow-through	83,512
Federal Impact of State Miscellaneous Adjustment	
(\$1,499,627 * 6% * 35%)	31,492 (b)
Company's Proposed Pro Forma Income Tax (Sch E-1)	\$ 2,520,570

- (a) The Company followed the Commission's previous orders and calculated the adjustment to interest expense based on the amount of book interest expense, not the interest included in the income tax calculation.
- ( b ) The Company did not include the federal effect of the state deferred tax on the Bonus Depreciation adjustment in the deferred tax calculation.

AG-DR-01-015

### **REQUEST:**

WPD-2.18a shows that the Company has proposed a test period gas interest expense deduction of \$5,244,336. In this regard, please provide the following information:

- a. What is the total interest expense amount (in total and as shown by interest component) that has actually been used as a tax deduction in the determination of the Forecasted Test Period unadjusted gas income taxes?
- b. WPE-1a and Schedule E-1, page 1 of 3 shows that the interest expense amount actually used as a tax deduction in the determination of the Forecasted Test Period unadjusted gas income taxes amounts to \$4,177,180. Please confirm this. If you do not agree, explain your disagreement.
- c. If the response to part (a) above is different than the interest expense amount of \$4,177,180 referenced in part (b) above, explain why and provide a reconciliation between these two amounts.
- d. Provide the reasons for the difference and a detailed reconciliation between the income tax amount of \$4,177,180 referenced in part (b) above and the test period expense amount of \$5,244,336 used on WPD-2.18a.

### **RESPONSE:**

a. The interest expense amount used as a tax deduction in the determination of the Forecasted Test Period unadjusted gas income taxes is as follows:

Description	<u>Amount</u>
Interest on Long-term Debt	4,192,066
Amortization of Debt Discount And Expense	63,510
Amortization of Loss on Reacquired Debt	56,016
Other Interest Expense	16,948
Allow For Brwd Funds Used Dur Const - Credit	(151,360)
Net Interest Charges	4,177,180

- b. Correct.
- c. N/A
- d. The amounts are different because Schedule E-1 uses interest expense derived from the Company's forecast while the interest expense on WPD-2.18b is calculated using the formula approach as directed by the Commission in its order in Case No. 92-346 and in Case No. 2005-00042.

	Sch. E-1	WPD-2 18b	
Description	<u>Amount</u>	Amount	Variance
Interest on Long-term Debt	4,192,066	5,181,056	988,990
Interest on Short-term Debt	0	63.280	63.280
Amortization of Debt Discount And Expense	63,510		(63.510)
Amortization of Loss on Reacquired Debt	56,016		(56.016)
Other Interest Expense	16,948		(16,948)
Allow For Brwd Funds Used Dur Const - Credit	(151,360)		151,360
Net Interest Charges	4,177,180	5,244,336	1,067,156

AG-DR-01-016

### **REQUEST:**

With regard to WPD-2.18b, please provide the following information:

- a. Confirm that the total interest amount on line 36 (last column) should be \$328,468 rather than \$335,270. If you do not agree, explain your disagreement.
- b. Confirm that the total net interest amount on line 47 (last column) should be \$65,096 rather than \$217,412. If you do not agree, explain your disagreement.
- c. Confirm that in Case No. 2001-00092, the Commission also removed customer deposit interest and Gas Refund in Accordance with PUCO Rule 28 interest from the adjusted per books interest determination and explain why this was not done by the Company on WPD-2.18b.

### **RESPONSE:**

- a. The total interest amount on line 36 should be \$335,270. The numbers on lines 16 through 34 do not sum to the total on line 36 because of an error in the percent column and the allocation column (last column) on line 25. See Attachment AG-DR-01-016a for a corrected version of WPD-2.18b.
- b. The total allocated interest expense on short-term debt on line 47 (last column) should be \$14,787. See Attachment AG-DR-01-016a.
- c. Yes, in Case No. 2001-00092, the Commission also removed customer deposit interest and Gas Refund in Accordance with PUCO Rule 28 interest from the adjusted per books interest determination. These items were not removed in this case due to an oversight by the Company. See Attachment AG-DR-01-016a for a corrected version of WPD-2.18b.

DUKE ENERGY KENTUCKY. INC GAS DEPARTMENT CASE NO 2009-00202 GAS BOOK INTEREST EXPENSE WPD-2.18b WITNESS RESPONSIBLE: R. M. PARSONS

Line <u>No.</u>	Description		Source			Amount
1 2	Interest on Long-Term Debt:					
3	Total Company Interest on Long-Term Debt	S	chedule I		\$	17,565,159
4	Total Company Amortization of Debt Discount & Premium	S	chedule I			235.486
5	Total Company Interest on Long-Term Debt					17,800,645
6	, J					
7	Jurisdictional Rate Base Ratio					29.106%
8						
9	Interest on Long-Term Debt - Gas				\$	5,181,056
10						
11		FE	RC Form 2	Percentage of		Allocation of
12		Ē	Page 340	Form 2 Totals	9	335,270 (1)
13	Short-Term Interest:					
14						
15	Account No. 430 - Interest on Debt to Assoc. Cos.					
16	Money Pool - DE-KY to Duke Energy Indiana. Inc.	\$	1.310	0.048%		161
17	Money Pool - DE-KY to Duke Energy Ohio. Inc.		280	0.010%		34
18	Money Pool - DE-KY to Duke Power Group Operations		95	0.003%		10
19	Money Pool - DE-KY to Service Company		4,941	0.181%		607
20	Money Pool - DE-KY to Cinergy Corp.		75.444	2 764%		9,267
21						
22	Account No 431 - Other Interest Expense					
23	Establishment of Interest Reserve		1.052.476	38 562%		129,287
24	Credit Facility		654,024	23.963%		80,341
25	Capital Meter Lease Interest		636,902	23 337%		78,242
26	Customer Service Deposits		358.506	13 135%		44.038
27	FIN 48		(93,036)	(3 409)%		(11,429)
28	Swap Net Interest		(87,376)	(3.201)%		(10,732)
29	Monthly Lease Interest		7,864	0.288%		966
30	Interest-Assigned from Service Company		71,888	2.634%		8,831
31	Bank Fees		30,461	1.116%		3.742
32	Deferred Compensation for Board of Directors		7.460	0.273%		915
33	Revolver Fees		7.360	0 270%		905
34	Gas Refund / Recon Adj in Accordance with PUCO Rule 28		710	0.026%		85
35						
36	Total Account Nos. 430 & 431	\$	2,729,309	100.000%	\$	335.270
37						
38	Remove Interest Expense not Included for Short-Term Debt					
39	Establishment of Interest Reserve					(129.287)
40	Credit Facility					(80,341)
41	Capital Meter Lease Interest					(78,242)
42	Customer Service Deposits					(44,038)
43	Swap Net Interest					10.732
44	Deferred Compensation for Board of Directors					(915)
45	Revolver Fees					(905)
46	Interest-Assigned from Service Company					(8,831)
47	FIN 48					11.429
48	Gas Refund / Recon Adj in Accordance with PUCO Rule 28					(85)
49	Allocated Interest Expense on Short-Term Debt - Total Company				\$	14,787
50						
51	Jurisdictional Rate Base Ratio					29.106%
52						
53	Interest on Short-Term Debt - Gas				\$	4,304
54						
55	Total Forward Looking Test Period Gas Interest Expense				\$	5,185,360

Duke Energy Kentucky, Inc. Case No. 2009-00202 First Set Attorney General Data Requests Date Received: August 17, 2009

AG-DR-01-017

#### **REQUEST:**

Concerning DE-Ky's federal income taxes, please provide the following information:

- a. Does DE-Ky participate in the filing of a consolidated federal income tax return?
- b. If so, identify the entity that filed the return on behalf of DE-Ky and other participants during the last 3 years, 2006, 2007 and 2008. If so, in what year did ETG begin filing its federal income tax return as part of a consolidated tax return?

#### **RESPONSE:**

- a. Yes.
- b. DE-Kentucky is a member of the Duke Energy Corporation consolidated return group. Duke Energy Corporation filed the tax returns for the consolidated group. Please see Attachment AG-DR-01-017a and Attachment AG-DR-01-017b for a copy of the Form 851 Affiliations Schedule for the years 2006 and 2007, respectively. The 2008 federal return will be provided after the return is filed.

DE-Kentucky was a member of the Cinergy Corp consolidated return group for the period January 1 through March 31, 2006. Attachment AG-DR-01-017c is a copy of the Form 851 – Affiliations Schedule for that short period income tax return.

The Company does not know who ETG is or when it began filing its federal income tax return as part of a consolidated tax return.

PERSON RESPONSIBLE: Robert M. Parsons

**Affiliations Schedule** File with each consolidated income tax return.

OM3 No. 1545-9025

Department of the Treasury Internal Revenue Service

2006

For tax year ending 31 12 ame of common parent corporation Employer identification number Duke Energy Corporation 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

Carp. No.	Name and address of corporation				Portion of tax deposited with Form 7004
1	Common parent corporation			334,500,000	(
	Subsidiary corporations:				
2	Alpha Insurance Company Limited	ALPH	20-5867657	0	(
	P.O. BOX 1642				
	HOUSTON TX 77251-1642				
3	Bison Insurance Company, LTD	BISO	98-6008144	0	(
	400 South Tryon Street, ST22M			į	
	Charlotte NC 28285				
4	CALDWELL POWER COMPANY	CALD	56-0891276	0	(
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
5	CATAWBA HANUFACTURING AND ELECTRIC POWER COMPANY	CATA	56-0891277	0	(
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
6	Chambers County Land Company	CHAM	76-0185267	0	(
	STATE/LOCAL TAX DEPT				
	Houston TX 77251-1642				

Par	Ell Principal Business Activity, \	oting Stock Info	rmailon,	Etc. (se	e instructions)				
Corp.		РВА	Did the subsidiary make any nondividend distributions?		Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.			Number	Percent of	Percent of	Owned by	
			Yes	No	of shares	voting power	value	corporation no.	
	Common parent corporation								
1	Electric Utility	221100							
	Subsidiary corporations:								
2	PROPERTY AND CASUALTY INSURANCE	524150		Х	0	0.000%	0.000%	118	
3	GENERAL INSURANCE	524290		Х	120,000	100.000%	100.000%	11	
4	Inactive	221100		χ	0	100.000%	100.000%	1	
5	Inactive	221100		Х	0	100.000%	100.000%	1	
6	REAL ESTATE	531390		Х	1,000	100.000%	100.000%	118	

or Paperwork Reduction Act Notice, see instructions.

Totals (Must equal amounts shown on the consolidated tax return.) . .

Form 851 (Rev. 12-2005)

334,500,000

0

File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury Internal Revenue Service 12 31 2006 For tax year ending Employer Identification number lame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte

Pa	rt I Overpayment Credits, EstImated Tax	Payments, and	d Tax Deposits (se		
Corp	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
7	CINERGY CAPITAL & TRADING, INC.	CINE	35-1878271	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
8	CINERGY CENTRUS COMMUNICATIONS, INC.	CINE	31-1624694	0	<b>O</b>
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
9	CINERGY CENTRUS, INC.	CINE	31-1605377	0	0
	400 SOUTH TRYON STREET, ST22M		į		
	CHARLOTTE NC 28285				
10	CINERGY CLIMATE CHANGE INVESTMENTS, LLC	CINE	35-2212088	0	0
	400 SOUTH TRYON STREET, STZZM				
	CHARLOTTE NC 28285				
11	CINERGY CORP	CINE	31-1385023	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				

Totals (Must equal amounts shown on the consolidated tax return.)

Corp.		РВА	Did the subsidiary make any nondividend distributions?		Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.			Number 01	Percent of voting	Percent of	Owned by	
			Yes	No	shares	power	value	no.	
	Common parent corporation								
	Subsidiary corporations:								
7	POWER BROKERING	541990		х	0	0.000%	0.000%	16	
8	TELECOMMUNICATIONS	517000		x	0	0.000%	0.000%	16	
9	TELECOMMUNICATIONS	517000		x	0	0.000%	0.000%	16	
10	HOLDING COMPANY	551112		х	0	0.000%	0.000%	7	
11	COMB UTILITY SERVICES	551112		x	0	0.000%	0.000%	1	

or Paperwork Reduction Act Notice, see instructions.

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

For tax year ending

12 31

2006

lame of common parent corporation Employer Identification number 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

	rt I Overpayment Credits, Estimated Ta	x i ajiiioiito, aii	1 Tax Deposits (ee		
Corp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
12	Cinergy Global Ely, Inc. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CINE	31-1637639	0	0
13	Cinergy Global Holdings, Inc. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	C INE	31-1637643	0	0
14	CINERGY GLOBAL POWER, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CINE	31–1573140	0	0
15	CINERGY GLOBAL RESOURCES, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CINE	31-1600199	0	0
16	CINERGY INVESTMENTS, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CINE	31–1421190	0	0

Par	Principal Business Activity, \	oting Stock info	rmation,	Etc.(se	e instructions)				
Carp.		PBA	Did the subsidiary make any nondividend		Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.	distrib	utions?	Number of shares	Percent of voting power	Percent of value	Owned by corporation no.	
	Common parent corporation		103		Sitates	Pouc			
	Subsidiary corporations:				and the second		2. 11.12-11		
12	INACTIVE	541990		X	0	0.000%	0.000%	14	
13	INACTIVE	541990		х	0	0.000%	0.000%	14	
14	HOLDING COMPANY	551112		х	0	0.000%	0.000%	15	
15	HOLDING COMPANY	551112		x	0	0.000%	0.000%	11	
16	OTHER INVESTMENT CO	551112		x	0	0.000%	0.000%	11	

or Paperwork Reduction Act Notice, see instructions.

Flie with each consolidated income tax return.

OMB No. 1545-0025

12 31 2006 For tax year ending 'ame of common parent corporation Employer Identification number Duke Energy Corporation 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

	t I Overpayment Credits, Estimated Ta	x Payments, an	d lax Deposits (se	Portion of	Portion of tax
Corp.	Name and address of corporation		identification number	overpayment credits and estimated tax payments	deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
17	CINERGY MARKETING & TRADING, LP	CINE	76-0483617	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
18	CINERGY MEXICO HOLDINGS, LP	CINE	30-0236167	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285			The second second	
19	CINERGY POWER INVESTMENTS, INC.	CINE	31-1758336	0	0
	400 SOUTH TRYON STREET, ST22M				
İ	CHARLOTTE NC 28285				
20	CINERGY RETAIL POWER GENERAL, INC.	CINE	31-1814220	0	0
	400 SOUTH TRYON STREET, ST22M				
	Charlotte NC 28285				
21	CINERGY RETAIL POWER LIMITED, INC.	CINE	31-1814221	0	0
	400 South Tryon Street, ST22N				
	Charlotte NC 28285				

orp.		РВА	1	ubsidiary ondividend	Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.	distributions?		Number of	Percent of voting	Percent of	Owned by corporation	
			Yes	No	shares	power	value	no.	
	Common parent corporation								
	Subsidiary corporations:	·							
17	POWER BROKERING	541990		х	0	0.000%	0.000%	7	
18	INACTIVE	541990		х	0	0.000%	0.000%	7	
19	INACTIVE	221100		х	0	0.000%	0.000%	74	
20	INACTIVE	221100		x	0	0.000%	0.000%	7	
21	INACTIVE	221100		x	0	0.000%	0.000%	7	

or Paperwork Reduction Act Notice, see instructions.

(Rev. Decamber 2005)

# **Affiliations Schedule**

File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury Internal Revenue Service

2006

For tax year ending ame of common parent corporation Employer identification number Duke Energy Corporation 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

Corp. No.	Name and address of corporation		Employer Identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:			j	
22	CINERGY RISK SOLUTIONS LTD.	CINE	74-3133751	0	(
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
23	CINERGY SOLUTIONS - DEMAND, INC.	CINE	31-1603247	0	(
	400 SOUTH TRYON STREET ST22M				
	CHARLOTTE NC 28285				
24	CINERGY SOLUTIONS UTILITY, INC.	CINE	37-1496842	0	
	400 South Tryon Street, ST22H				
	Charlotte NC 28285				
25	CINERGY TECHNOLOGY, INC.	CINE	35-1861260	0	(
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
26	CINERGY TWO, INC.	CINE	31-1756300	0	(
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				

Part II Principal Business Activity, Voting Stock Information, Fig. (see instructions)

Totals (Must equal amounts shown on the consolidated tax return.)

Carp.	Principal business activity (PRA)	PBA	Did the subsidiary make any nondividend distributions?		Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.			Number of	Percent of voting	Percent of	Owned by corporation	
			Yes	No	shares	power	value	no	
	Common parent corporation								
	Subsidiary corporations:		1						
22	INSURANCE	524290		х	0	0.000%	0.000%	11	
23	ENERGY SERVICES	221100		х	0	0.000%	0.000%	58	
24	HOLDING COMPANY	551112		х	0	0000%	0.000%	58	
25	ENERGY CONSULTING	541990		х	0	0.000%	0.000%	16	
26	TELECOMMUNICATIONS	517000		х	0	0.000%	0.000%	90	

or Paperwork Reduction Act Notice, see instructions.

(Rev. December 2005)

# **Affiliations Schedule**

File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury Internal Revenue Service

2006

For tax year ending Employer identification number fame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions 400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

Corp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
27	CINERGY UK, INC.	C1NE	31-1480522	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
28	CINERGY WHOLESALE ENERGY, INC.	CINE	31-1742083	0	0
	400 SOUTH TRYON ST., ST22M				
	CHARLOTTE NC 28285				
29	CINFUEL RESOURCES, INC.	CINF	30-0023971	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
30	CLAIBORNE ENERGY SERVICES, INC.	CLAI	56-1688941	0	0
	400 SOUTH TRYON STREET, ST22M		1		
	CHARLOTTE NC 28285				
31	CLEAN ENERGY GENCO, INC.	CLEA	56-1852219	0	0
	400 SOUTH TRYON STREET, STZZM				
	CHARLOTTE NC 28285				

Totals (Must equal amounts shown on the consolidated tax return.)

Corp.	Defendant to all and a self-star (DDA)	РВА	,	ubsidiary ondividend		Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.	distrib	distributions?		Percent of voting	Percent of	Owned by corporation		
			Yes	No	of shares	power	value	no.		
	Common parent corporation									
	Subsidiary corporations:									
27	HOLDING COMPANY	551112		х	0	0.000%	0.000%	15		
28	HOLDING COMPANY	551112		х	0	0.000%	0.000%	11		
29	HOLDING COMPANY	551112		х	0	0.000%	0.000%	7		
30	HOLDING COMPANY	551112		х	100	100.000%	100.000%	1		
31	HOLDING COMPANY	551112		х	10	100.000%	100.000%	1		

or Paperwork Reduction Act Notice, see instructions.

# (Rev. December 2005)

# **Affiliations Schedule**

File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury Internal Revenue Service

2006

12 31 For tax year ending Employer Identification number ame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

отр. Чо.	Qverpayment Credits, Estimated T		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:			Ì	
32	Cougar Acquisition Corp. 400 SOUTH TRYON STREET, ST22M	COUG	20-2792020	0	(
	CHARLOTTE NC 28285				
33	DE Fossil-Hydro Engineering, Inc. 400 South Tryon Street, \$722M CHARLOTTE NC 28285	DEFO	56-0751431	0	C
34	DE Nuclear Engineering, Inc. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	DENU	56-0947005	0	(
35	Deer Acquisition Corp. 400 SOUTH TRYON STREET, ST22N CHARLOTTE NC 28285	DEER	20-2795239	0	(
36	DEGS Biogas, Inc. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	DEGS	311758230	0	(

Pari	II Principal Business Activity	, Voting Stock In	nformation,	Etc. (see	e instructions)			
Corp.	Delevised business poticity (DDA)	РВА		Did the subsidiary		k holdings at be	aginning <b>of</b> yea	r
No.	Principal business activity (PBA)	Cade No.	distribu		Number of	Percent of voting	Percent of	Owned by corporation
			Yes	No	shares	bower	value	no.
	Common parent corporation							
	Subsidiary corporations:	_					·	
32	Holding Company	551112		х	100	100.000%	100.000%	1
33	ENGINEERING	541330		х	330	100.000%	100.000%	60
34	ENGINEERING	541330		х	30	100.000%	100.000%	60
35	Holding Company	551112		х	1,000	100.000%	100.000%	1
36	INACTIVE	541990		×	0	0.000%	0.000%	59

or Paperwork Reduction Act Notice, see instructions.

Form **851** (Rev. December 2005)

# **Affiliations Schedule**

File with each consolidated income tax return.

OMB No 1545-0025

Employer Identification number

(Rev. December 2005)
Department of the Treasury
Internal Revenue Service

lame of common parent corporation

For tax year ending

12 31

2006

20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O box, see instructions. 400 S. Tryon Street, \$122M City or town, state, and ZIP code Charlotte Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Part I Partion of Employer overpayment credits Corp identification deposited with Name and address of corporation and estimated No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: 37 DEGS OF PHILADELPHIA, LLC DEGS 31-1785993 0 O 400 SOUTH TRYON STREET ST22M CHARLOTTE NC 28285 35~2226032 0 0 38 DEGS OF SAN DIEGO, INC. DEGS 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 DEGS OF TUSCOLA, INC. DEGS 31-1624696 0 0 39 400 SOUTH TRYON STREET, ST22H CHARLOTTE NC 28285 43-2068272 0 0 DEGT Empress U.S. Corporation DEGT 40 P. O. Box 1642 Houston TX 77251-1642 0 DENA 76-0700129 n 41 DENA Asset Partners, LP 400 South Tryon Street, ST22M NC 28285 Charlotte Totals (Must equal amounts shown on the consolidated tax return.) .... Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondividend Corp Principal business activity (PBA) Code distributions? Percent of voting power Number Owned by No. No. Percent of corporation value Yes No shares no. Common parent corporation Subsidiary corporations: 541990 0 0.000% 0.000% 59 PROFESSIONAL SERVICES 37 Х 0 0.000% 0.000% 59 38 HOLDING COMPANY 551112 Х 0.000% 0.000% 39 **ENERGY SERVICES** 221100 0 58 0 100.000% 100.000% 532400 40 Transportation equipment leasing χ

541214

or Paperwork Reduction Act Notice, see Instructions.

Personnel Management

Form **851** (Rev. 12-2005)

100.000%

100.000%

Х

78

File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury Internal Revenue Service

2006

12 31 For tax year ending .ame of common parent corporation Employer Identification number Duke Energy Corporation 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte NC 28285 Part I Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of Employer Portion of tax Corp overpayment credits identification number deposited with Name and address of corporation and estimated No. Form 7004 tax payments Common parent corporation Subsidiary corporations: 42 DENA Trading Partners, LP DETR 76-0700136 0 0 400 South Tryon Street, ST22M NC 28285 Charlotte 84-1274542 n 43 DETMI Management, Inc. DETM 0 400 South Tryon Street, ST22M Charlotte NC 28285 Dixilyn-Field Drilling Company IXI 36-2905817 0 0 400 SOUTH TRYON STREET, ST22H CHARLOTTE NC 28285 DUKE COMMUNICATIONS HOLDINGS, INC. DUKE 31-1480521 0 0 45 400 SOUTH TRYON ST, ST22M CHARLOTTE NC 28285 DUKE COMMUNICATION SERVICES, INC. 56-2117886 n DUKC 0 400 South Tryon Street, ST22H Charlotte NC 28285 Totals (Must equal amounts shown on the consolidated tax return.) Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA ake any nondivident Corp. Principal business activity (PBA) Code distributions? Owned by corporation Νo. Number Percent of No. Percent of voting shares Common parent corporation Subsidiary corporations: Financial Investment 523900 100.000% 100.000% 42 78 X 100.000% 43 MANAGEMENT 523900 1,000 100.000% 80 Other Financial Investment Activ 523900 X 3,000 100.000% 100.000% 45 TELECOMMUNICATIONS 517000 χ n 0.000% 0.000% 16 SERVICES 533110 100 100.000% 100.000% 46 X 80 or Paperwork Reduction Act Notice, see instructions. Form 851 (Rev. 12-2005)

► File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

12 31

2006

For tax year ending Employer Identification number lame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part | Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of Employer identification Portion of tax overpayment credits and estimated Corp deposited with Name and address of corporation No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: DUKE ENERGY ADMINISTRATIVE SERVICES, INC. DUKA 87-0622574 0 O P.O. BOX 1642 HOUSTON TX 77251-1642 74-3002227 0 0 Duke Energy Allowance Management, LLC DUEA 48 400 South Tryon Street, ST22M Charlotte NC 28285 76-0636723 0 DUEC 0 49 Duke Energy Capital of Texas, Inc. 400 South Tryon Street, ST22M Charlotte NC 28285 Duke Energy Design Supplier, Inc. DUED 76-0603363 0 0 50 400 South Tryon Street, ST22M Charlotte NC 28285 0 VIGS 54-1650936 0 Duke Energy Early Grove Company P.O. Box 1642 Houston TX 77251-1642 Totals (Must equal amounts shown on the consolidated tax return.) Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year

Corp.		0.4	make any nondividend		<b>,</b>			
Np.	Principal business activity (PBA)	Code No.	distrib	utians?	Number of	Percent of voting	Percent of	Owned by corporation
			Yes	No	shares	power	value	no.
	Common parent corporation							
	Subsidiary corporations:						-	
47	Holding Company	551112		Х	1	100.000%	100.000%	118
48	Investment	551112		X	0	100.000%	100.000%	78
49	Other Fin, Invest. Activities	523900		x	0	100.000%	100.000%	1
50	Other Prof. Scientific & Tech Se	541990		х	1,000	100.000%	100.000%	66
51	Gas Storage	493100		х	38,200	100.000%	100.000%	118

or Paperwork Reduction Act Notice, see Instructions.

Case No. 2009-202 Attach. AG-DR-01-017 A Page 11 of 81

··· 851

# **Affiliations Schedule**

File with each consolidated income tax return.

OMB No. 1545-9025

(Rev. December 2005)
Department of the Treasury
Internal Revenue Service

For tax year ending

12 31

2006

lame of common parent corporation

Duke Energy Corporation

Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 2828

Pa	Til Overpayment Credits, Estimated Tax Pay	ments, an	d Tax Deposits (se	e instructions)	
Carp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				1.
	Subsidiary corporations:	7			
52	DUKE ENERGY ENGINEERING, INC. 400 SOUTH TRYON STREET, ST22M	DUKE	31~1521743	0	0
	CHARLOTTE NC 28285				
53	DUKE ENERGY ENTERPRISES CORP. ATTN: STATE LOCAL TAX DEPT HOUSTON TX 77251-1642	DUEE	58-2511048	0	0
	TA TIEST TO E				
54	Duke Energy Field Services Canada Holdings, Inc. ATTN: STATE / LOCAL TAX DEPARTMENT HOUSTON TX 77251-1642	DUEF	84–1575739	0	0
55	Duke Energy Fossil-Hydro California, Inc. 400 South Tryon Street, ST22M Charlotte NC 28285	DUFH	56-2264909	0	0
56	Duke Energy Gas Transmission Corporation ATTN STATE LOCAL TAX DEPT HOUSTON TX 77251-1642	DUEG	76-0632855	0	0

IUIAI	is (iviusi equa	i airounis sik	WILDLING (	orisolidated ta	x returnij			i
Pari II	Principal	Business	Activity.	Voting Sto	ck Informa	atlon. Etc.	(see instruction	(enc

Corp.	0.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1	РВА	i make any nor			Stock holdings at beginning of year			
No.	Principal business activity (PBA)	Code No.	distrib	utions?	Number of	Percent of voting	Percent of	Owned by corporation	
			Yes	No	shares	bowet	value	no.	
	Common parent corporation								
	Subsidiary corporations:	-							
52	ENGINEERING SERVICES	541330		x	0	0.000%	0.000%	16	
53	INVESTMENT	551112		х	1,000	100.000%	100.000%	118	
54	Holding Company	551112		x	1,000	100.000%	100.000%	118	
55	ENGINEERING SERVICES	541330		х	100	100.000%	100.000%	60	
56	Holding company	551112		х	1,000	100.000%	100.000%	118	

or Paperwork Reduction Act Notice, see Instructions.

► File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

For tax year ending Name of common parent corporation

2006

12 31

Employer Identification number 20-2777218

Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

	rt l. Overpayment Credits. Estlmated Tax Payments, au	1 -	Portion of	
orp. No	Name and address of corporation	Employer identification number	overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation			
	Subsidiary corporations:			
57	Duke Energy Gas Transmission Resources, Inc. DUGT P.O. Box 1642 Houston TX 77251-1642	76-0649513	0	
	Houston TX 77251-1642			
58	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC.DUKE 400 SOUTH TRYON STREET, ST22M	31-1503859	0	
	CHARLOTTE NC 28285			
59	DUKE ENERGY GENERATION SERVICES, INC. DUKE 400 South Tryon Street, ST22H Charlotte NC 28285	31-1735353	0	
60	DUKE ENERGY GLOBAL MARKETS, INC. DEGM 400 South Tryon Street, ST22M Charlotte NC 28285	88~0366429	0	
61	Duke Energy Group Holdings, LLC DEGH 400 SOUTH TRYON STREET, ST22M Charlotte NC 28285	20-2834760	0	

Par	Principal Business Activity,	Voting Stock Inf	ormation,	Etc. (se	e instructions)					
Carp.		PBA Code	Did the subsidiary make any nondividend			Stock holdings at beginning of year				
No.	Principal business activity (PBA)	No.	distrib	utions?	Number of	Percent of voting	Percent of	Owned by corporation no.		
			Yes	No	shares	bawer	value			
	Common parent corporation									
	Subsidiary corporations:									
57	Funding of Investments	523900		X	1,000	100.000%	100.000%	118		
58	CONST, DEVP, OPER	221100		х	00	0.000%	0.000%	16		
59	ENERGY SERVICES	221100		x	0	0.000%	0.000%	58		
60	Other Holding Company	551112		х	10	100.000%	100.000%	78		
61	Holding Company	551112		x	0	100.000%	100.000%	78		

or Paperwork Reduction Act Notice, see Instructions.

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

12 31

2006

For tax year ending Employer identification number Name of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, \$T22M

City or town, state, and ZIP code

Charlotte

NC 28285

Pai	TI Overpayment Credits, Estimated Tax Pay	ments, and	Tax Deposits (se	e instructions)	
Corp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Partion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
62	Duke Energy GS Funding Company	DUGS	74-3078462	0	0
	P.O. Box 1642				
	Houston TX 77251-1642				
63	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.)	DUKE	35-0594457	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
64	DUKE ENERGY INTERNATIONAL, INC.	DUEI	56-1610039	0	0
- 1	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
65	DUKE ENERGY KENTUCKY, INC.	DUKE	31-0473080	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
66	Duke Energy Lavaca, Inc.	DUEL	57-1159258	0	0
	400 South Tryon Street, ST22M				
1	Charlotte NC 28285				
1					

Totals (Must equal amounts shown on the consolidated tax return.)

Part I Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondividenc Corp. Code Principal business activity (PBA) distributions? Percent of voting power Number Owned by corporation No. No. value No Yes shares no. Common parent corporation Subsidiary corporations: Funding 62 523900 100.000% 100.000% 63 ELECTRIC SERVICE 221100 0 0.000% 0.000% χ 11 64 Other financial investment activ 523900 102 100.000% 100.000% χ 61 65 UTILITY SERVICES 221100 X 0 0.000% 0.000% 74 1,000 523900 100.000% 100.000% Investment χ 60

or Paperwork Reduction Act Notice, see instructions.

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

For tax year ending

12 31

Employer identification number vame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Pert Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see Instructions) Portion of Portion of tax overpayment credits and estimated deposited with Name and address of corporation No. number Form 7004 tak payments Common parent corporation Subsidiary corporations: DUKE ENERGY LEE HOLDING, INC. 76-0622199 0 67 DULH ٥ 400 S. Tryon Street, ST22M NC 28285 Charlotte 88 Duke Energy LNG Sales, Inc. DLNG 43-1036433 0 O STATE/LOCAL TAX DEPT. HOUSTON TX 77251-1642 DENA 76-0668086 0 0 69 Duke Energy Marketing America, LLC 400 S. Tryon Street, ST22M Charlotte NC 28285 81-0616665 0 70 Duke Energy Marketing Canada Corp. DEMC 0 400 South Tryon Street, ST22M Charlotte NC 28285 DUKE ENERGY MARKETING CORPORATION DUMC 88-0331743 0 0 71 400 South Tryon Street, ST22M Charlotte NC 28285 Totals (Must equal amounts shown on the consolidated tax return.) Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA aks any nondividen Corp Principal business activity (PBA) Code distributions? Percent of voting power Owned by corporation Number No. No. Percent of value shares na. Common parent corporation 13 Subsidiary corporations: 100.000% 100.000% 67 Holding company 551112 1,000 78 Petroleum/& products Wholesalers 424700 1,000 100.000% 100,000% 118 68 69 541910 X 0 100.000% 100.000% 78 **Energy Marketing** 541910 100.000% 100.000% 78 70 Energy Marketing χ 0 100.000% Electric Generation 221100 10 100.000% 80 Form 851 (Rev. 12-2005)

Form (Rev. December 2005)

## **Affiliations Schedule**

► File with each consolidated Income tax return.

OM8 No. 1545-0025

Department of the Treasury Internal Revenue Service

12 31

2006

For tax year ending vame of common parent corporation Employer Identification number 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions

400 S. Tryon Street, \$T22M

City or town, state, and ZIP code

Charlotte

NC 28285

	rt I Overpayment Credits, Estimated Tax Pa				
Carp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and astimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
72	Duke Energy Natural Gas Corporation STATE/LOCAL TAX DEPT.	DUEN	84-1006841	0	
	HOUSTON TX 77251-1642				
73	Duke Energy Northeast Transmission Company 400 South Tryon Street, ST22M	DENT	76~0555012	0	
	Charlotte NC 28285				
74	DUKE ENERGY OHIO, INC.	DUKE	31-0240030	0	· · · · · · · · · · · · · · · · · · ·
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285			- Parameter and a second a second and a second a second and a second a	
75	DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.) 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	DUKE	31-1751104	0	I
76	Duke Energy Power Assets Holding, Inc. 400 SOUTH TRYON STREET, ST22M	DEPA	84~1283268	0	
	CHARLOTTE NC 28285				

Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA Corp naka any nondivideno Code Principal business activity (PBA) distributions? Number Owned by corporation no. Percent of voting power No. No. Percent of value Yes Nα shares Common parent corporation Subsidiary corporations: 72 Holding Company 551112 1,000 100.000% 100.000% χ 118 73 561300 100.000% **Employment Services** X 1,000 100.000% 53 74 UTILITY SERVICES 221100 0.000% 0.000% χ 0 11 75 COMB UTILITY SERVICES 221100 χ 0 0.000% 0.000% 90 76 Commodity Contract Dealing 523130 0 100.000% 100.000% X 80

or Paperwork Reduction Act Notice, see instructions.

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

12 31

2006

For tax year ending Employer Identification number lame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part | Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Employer identification Portion of tex overnayment credits and estimated Name and address of corporation No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: o 0 DUKE 56-2162190 77 Duke Energy Power Generating, LLC 400 South Tryon Street, ST22M NC 28285 Charlotte DUKE ENERGY REGISTRATION SERVICES, INC. DUER 87-0622575 0 Ð 78 400 South Tryon Street, ST22M CHARLOTTE NC 28285 DUKE ENERGY RETAIL SALES, LLC DUKE 37-1484250 0 0 400 SOUTH TRYON STREET, ST22M NC 28285 CHARLOTTE 48-0650320 n 0 Duke Energy Services, Inc. DUES 400 South Tryon Street, ST22M NC 28285 Charlotte DUKE ENERGY SHARED SERVICES, INC. 31-1413899 0 0 DUKE 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 Totals (Must equal amounts shown on the consolidated tax return.) Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondividen Corp Principal pusiness activity (PBA) Code distributions? Percent of voting power Owned by corporation Number No. No. Percent of ot shares value Yes no Common parent corporation Ž, .... Subsidiary corporations: Nondeposit credit intermediary 522298 0 0.000% 0.000% 78 77 χ 100.000% 100.000% 78 Holding Company 551112 0 0.000% 0.000% 79 HOLDING COMPANY 551112 Х 100.000% 100.000% 108 1,559 Other Holding Company 551112 X 0 0.000% 0.000% 11 OTHER SUPPORT SERVICES

or Paperwork Reduction Act Notice, see Instructions.

Form **85**1

Affiliations Schedule

OMB No. 1545-0025

(Rev. December 2005)

Department of the Treasury
Internal Revenue Service

File with each consolidated Income tax return.

For tax year ending 12 31 . 2006

Employer Identification number 20-2777218

lame of common parent corporation

Duke Energy Corporation

Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

Corp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
82	Duke Energy Southeast Pipeline Corporation	DESP	76-0598401	0	(
	Attn: State/Local Tax Dept.				
	Houston TX 77251-1642				
83	Duke Energy Virginia Pipeline Company	VIRG	54-1795425	0	(
	P.O. Box 1642				
	Houston TX 77251-1642				
84	Duke Energy Westheimer LP	DUEW	20-1486760	0	(
	P. O. Box 1642		1		
	Houston TX 77251-1642			WANTED THE PROPERTY OF THE PRO	
85	DUKE ENGINEERING & SERVICES (EUROPE), INC.	DESE	51-0356057	0	(
	400 SOUTH TRYON STREET, ST22M				
	Charlotte NC 28285				
86	DUKE JAVA, INC.	DUJA	88-0358808	0	(
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				

Corp.		РВА	t	subsidiary condividend	Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.	distributions?		Number of	Percent of voting	Percent of	Owned by corporation	
			Yes	No	shares	power	value	no.	
	Common parent corporation								
	Subsidiary corporations:								
82	Pipeline Transportation	486000		х	1,000	100.000%	100.000%	118	
83	Gas Storage	493100		х	2,000	100.000%	100.000%	118	
84	Lessors of Non-Residential Bldg.	531120		х	0	100.000%	100.000%	118	
85	PROFESSIONAL SERVICES	541330		х	0	100.000%	100.000%	60	
86	INVESTMENT	551112		Х	10	100.000%	100.000%	61	

or Paperwork Reduction Act Notice, see Instructions.

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

12 31

2006

For tax year ending ame of common parent corporation Employer identification number 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

	rt I Overpayment Credits, Estimated Tax F	Payments, and	d Tax Deposits (se	e instructions)	
Corp. No.	Name and address of corporation	•	Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
87	Duke Project Services Funding Corporation 400 SOUTH TRYON STREET, ST22M	DPSF	760720537	0	0
	CHARLOTTE NC 28285				
88	DUKE PROJECT SERVICES GROUP, INC. 400 South Tryon Street, ST22M CHARLOTTE NC 28285	DPSG	51-0364523	0	0
89	DUKE PROJECT SERVICES, INC.	DPSI	56-0858436	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
90	DUKE TECHNOLOGIES, INC. 400 SOUTH TRYON STREET, ST22M	DUKE	31-1734648	0	0
	CHARLOTTE NC 28285				
<del>9</del> 1	Duke Ventures, LLC	DUVE	31-1743975	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285		1		

Totals (Must equal amounts shown on the consolidated tax return.)

Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondivident Corp Principal business activity (PBA) Code distributions? Number of shares Percent of voting power Owned by corporation no. No. No. Percent of Common parent corporation Subsidiary corporations: 100.000% 100.000% 89 87 FINANCIAL SERVICES 523900 X 100.000% 100.000% 88 Other Holding Company 551112 32,000 100.000% 100.000% 78 89 PROFESSIONAL SERVICES 541330 X 0 0.000% 0.000% 11 90 HOLDING COMPANY 551112 X 100.000% 100.000% 2,000 78 REAL ESTATE, COMMUNICATIONS 531390

or Paperwork Reduction Act Notice, see instructions.

Form 851 (Rev. 12-2005)

US851P01

► File with each consolidated income tax return.

OM8 No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

2006

12 31 For tax year ending Employer Identification number lame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Part I Employer identification Portion of tax arpayment credits and estimated deposited with Name and address of corporation No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: 35-1803018 0 DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.) DUKE O 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 DUKE 31-1582985 0 0 93 DUKE-RELIANT RESOURCES, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 52-2291457 0 0 DUKE DUKETEC, LLC (FKA CINTEC, LLC) 94 400 South Tryon Street, ST22M Charlotte NC 28285 61-0708843 95 EASTOVER LAND COMPANY EAST 0 0 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 61-0709991 0 0 EAMC 96 EASTOVER MINING COMPANY 400 SOUTH TRYON STREET, ST22M NC 28285 CHARLOTTE Totals (Must equal amounts shown on the consolidated tax return.) Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any pondividenc Corp. Code Principal business activity (PBA) distributions? Owned by corporation No. votina value Yes shares power DO. Common parent corporation Subsidiary corporations: ELECTRIC SERVICE 221100 0.000% 0.000% 92 16 0.000% 93 HOLDING COMPANY 551112 Х 0.000% 16 0 0.000% 94 HOLDING COMPANY 551112 X 0.000% 11 100.000% 531390 100 100.000% 1 95 Other Real Estate 100 100.000% 100.000% 212110 Coal Mining

or Paperwork Reduction Act Notice, see Instructions.

Form **851** (Rev. December 2005)

# **Affiliations Schedule**

File with each consolidated income tax return.

OMB No. 1545-3025

Department of the Treasury Internal Revenue Service 12 31 For tax year ending Employer Identification number rame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part I. Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of Employer Identification overpayment credits Согр. deposited with and estimated Name and address of corporation No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: 97 Energy Pipelines International Company **ENER** 74-1722673 0 0 400 SOUTH TRYON STREET, ST22H Charlotte NC 28285 0 FORT 56-1545528 0 98 FORT DRUM COGENCO, INC. 400 South Tryon Street, ST22H CHARLOTTE NC 28285 56-0891274 o GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY GREE 0 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 HOUS 76-0270060 0 100 Houston Center Corporation STATE/LOCAL TAX DEPT HOUSTON TX 77251-1642 31-1408986 0 KO TRANSMISSION COMPANY KOTR 0 101 400 SOUTH TRYON STREET, ST22H CHARLOTTE NC 28285 Totals (Must equal amounts shown on the consolidated tax return.) . . . Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA ake any nondividen Corp Principal business activity (PBA) Code distributions? Number Percent of Owned by corporation No. Percent of voting power value No shares Common parent corporation Subsidiary corporations: 541330 100.000% 100.000% 97 **Engineering Services** X 100.000% 100.000% 551112 Χ 965 61 98 GENERAL PARTNER 100.000% 100.000% 99 Inactive 221100 0 531390 1,000 100.000% 100.000% 118 REAL ESTATE χ 100 0.000% 0.000% 221210 0 74 χ 101 GAS SUPPLY

or Paperwork Reduction Act Notice, see instructions.

> File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury Internal Revenue Service 12 31 2006 For tax year ending Employer Identification number rame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions 400 S. Tryon Street, ST22M City or town, state, and ZIP code NC 28285 Charlotte Part : Overnayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions)

⊬a	rt 💮 Overpayment Credits, Estimated Tax I	zayments, and	i ax nébosits (se	e instructions)	
Corp.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
102	M&N Management Company	M&NM	76-0490071	0	0
	P.O. Box 1642				
	HOUSTON TX 77251-1642				
103	MIAMI POWER CORPORATION	MAIM	31-6035703	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
104	H-P SUPPLY, INC.	MPSU	56-0322840	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
105	NorthSouth Insurance Company Limited	NORT	98-0398638	0	0
	400 SOUTH TRYON STREET, ST 22M				
	CHARLOTTE NC 28285				
106	Pan Services Company	PANS	43-1090187	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				

Totals (Must equal amounts shown on the consolidated tax return.) Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Oid the subsidiary Stock holdings at beginning of year **P8A** nake any nondivideni Corp Code Principal business activity (PBA) Percent of voting power distributions? Owned by corporation Number No. No. value shares Common parent corporation Subsidiary corporations: 1,000 100.000% 100.000% PARTNERSHIP VENTURE 551112 х 102 0 0.000% 0.000% X 103 ELECTRIC SERVICES 221100 10,000 100.000% 425120 X 100.000% 78 104 Wholesaler 120,000 100.000% 100.000% 3 105 GENERAL INSURANCE 524290 X 100 100.000% 100.000% Х EMPLOYMENT SERVICES 561300

or Paperwork Reduction Act Notice, see instructions.

Form **851** (Rev. December 2005)

# **Affiliations Schedule**

► File with each consolidated income tax return.

OMB No 1545-0025

(Rev. December 2005)
Department of the Treasury
Internal Revenue Service

rtment of the Treasury nal Revenue Service For tax year ending 12 31

2006

Employer Identification number

lame of common parent corporation

Duke Energy Corporation

Number, street, and room or suite no. If a P.O. box, see instructions.

20-2777218

400 \$. Tryon Street, \$T22M

City or town, state, and ZIP code

Charlotte

NC 28285

Pa	rt   Overpayment Credits, Estimated Tax	x Payments, and	d Tax Deposits (se	e instructions)	
Corp. No.	Name and address of corporation		Employer Identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
107	PanEnergy Colorado, Inc.	PANC	91-1770520	0	0
	STATE/LOCAL TAX DEPT		1		
	HOUSTON TX 77251-1642				
108	PanEnergy Corp	PANE	74-2150460	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
109	PanEnergy Development Company	PAND	76-0438733	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
110	PanEnergy Services, LP	PALP	76-0522689	0	0
	P.O. BOX 1642				
	HOUSTON TX 77251-1642				
111	Panhandle Acquisition Three, Inc.	PANH	76-0451513	0	0
	STATE/LOCAL TAX DEPT.				
	HOUSTON TX 77251-1642				

Pari	il Principal Business Activity, \	oting Stock In	formation,	Etc. (see	e instructions)			
Corp.	Principal business activity (PBA)	РВА	Did the subsidiary make any nondividend		Stock holdings at beginning of year			
Na.		Code No.	distrib	utions?	Number	Percent of voting power	Percent of value	Owned by corporation no.
			Yes	No	of shares			
	Common parent corporation							
	Subsidiary corporations:	-						
107	OTHER HOLDING COMPANY	551112		х	1,000	100.000%	100.000%	118
108	OTHER HOLDING COMPANY	551112		x	150,445,829	100.000%	100.000%	78
109	Market nat gas prod	541990		х	1,000	100.000%	100.000%	118
110	Office Adm. Services	561110		x	0	100.000%	100.000%	118
111	Acquisition projects	551112		x	1,000	100.000%	100.000%	118

or Paperwork Reduction Act Notice, see instructions.

File with each consolidated income tax return.

OMB No 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

2006

12 31 For tax year ending Employer Identification number tame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions.

400 S. Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

Corp. No	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:			Ì	
112	Panhandle Four, Inc.	PANF	76-0452251	0	
	STATE/LOCAL TAX DEPT.				
	HOUSTON TX 77251-1642				
113	PEC Midwest, Inc.	PECM	76-0598382	0	
	P.O. Box 1642				
	Houston TX 77251-1642				
114	Pelmar Company	PALM	741864531	0	
	STATE/LOCAL TAX DEPT.				
	HOUSTON TX 77251-1642				
115	SOUTH CONSTRUCTION COMPANY, INC.	SOUT	35-6038829	0	
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
116	Southeastern Energy Services, Inc.	SOUT	43-1971602	0	
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				

Pari	II Principal Business Activity, \	oting Stock Info	mation,	Etc. (see	e instructions)				
Corp.	РВА		Did the subsidiary make any nondividend		Stock holdings at beginning of year				
No.	Principal business activity (PBA)	Code No.	distributions?		Number of shares	Percent of voting power	Percent of value	Owned by corporation no.	
	Common parent corporation		148	40	Silates	power		110.	
	Subsidiary corporations:				4 000	400 00000		.40	
112	Other Holding	551112		Х	1,000	100.000%	100.000%	118	
113	Holding Company	551112	ļ	х	0	100.000%	100.000%	118	
114	Other Holding Company	551112		х	2,000	100.000%	100.000%	118	
115	INACTIVE	541990		х	0	0.000%	0.000%	63	
116	HOLDING COMPANY	551112		х	100	100.000%	100_000%	78	

or Paperwork Reduction Act Notice, see instructions.

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005)

Department of the Treasury Internal Revenue Service 12 31 2006 For tax year ending Employer identification number lame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part I Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) erpayment credits and estimated Corp deposited with Name and address of corporation No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: SOUTHERN POWER COMPANY 117 SOUP 56-0891283 0 0 400 SOUTH TRYON STREET, ST22M NC 28285 Charlotte SPEC 20-5413139 0 0 118 Spectra Energy Corp P.O. Box 1642 Houston TX 77251-1642 Spectra Energy DEFS Holding Corp SPEC 20-8382698 0 n 119 P. O. BOX 1642 HOUSTON TX 77251-1642 TEPPCO Holdings, Inc. TEPP 76-0486672 0 0 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 72-0708994 o 121 Texas Eastern Communications, Inc. TEXA 0 STATE/LOCAL TAX DEPT. HOUSTON TX 77251-1642 Totals (Must equal amounts shown on the consolidated tax return.) Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA make any nondividen Corp Principal business activity (PBA) Code distributions? Number Owned by corporation No. vating value shares Ves Common parent corporation Subsidiary corporations: 117 221100 100.000% 100.000% Inactive 118 HOLDING COMPANY 551112 X 0 0.000% 0.000% 1 0 0.000% 119 HOLDING COMPANY 551112 X 0.000% 118

or Paperwork Reduction Act Notice, see Instructions.

551112

551112

OTHER HOLDING COMPANY

Holding Company

Form 851 (Rev. 12-2005)

120

121

100.000% 100.000%

100.000%

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χ

100.000%

118

File with each consolidated income tax return.

OMB No. 1545-0025

Employer Identification number

20-2777218

(Rev. December 2005) Department of the Treasury Internal Revenue Service

ame of common parent corporation Duke Energy Corporation

For tax year ending

12 31

2006

Number, street, and room or suite no. If a P.O. box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part | Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Employer overpayment credits Portion of tax Corp identification number deposited with and estimated Name and address of corporation No. Form 7004 tax payments Common parent corporation Subsidiary corporations: 0 TECB 76~0538969 Texas Eastern Cross Bay Company 122 P. O. BOX 1642 HOUSTON TX 77251-1642 TECI 72-6031086 0 0 Texas Eastern Cryogenics, Inc. 123 STATE/LOCAL TAX DEPT. HOUSTON TX 77251-1642 TEEO 76-0100946 0 0 Texas Eastern Oil Company 124 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 TEST 74-2013028 0 0 125 Texas Eastern Slurry Transport Company 400 South Tryon Street, ST22M NC 28285 Charlotte 72-0708996 0 0 TEXE Texas Eastern Terminal Company STATE/LOCAL TAX DEPT. HOUSTON TX 77251-1642 Totals (Must equal amounts shown on the consolidated tax return.) Part | Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA ake any nondivident Corp Principal business activity (PBA) Code distributions? Number Owned by corporation Percent of No. voting power value shares Common parent corporation Subsidiary corporations: 100.000% 100.000% 118 122 PIPELINE TRANSPORT 486000 Χ 1,000 100.000% 100.000% 118 213110 123 Support Activities for Mining X 0 100.000%

211110

551112

211110

X

X

Х

or Paperwork Reduction Act Notice, see instructions.

OIL & GAS EXPLOR

OIL & GAS EXTRACTION

INACTIVE

Form 851 (Rev. 12-2005)

100.000%

100.000%

100.000%

100.000%

100.000%

118

118

124

125

126

Form **851** (Rev. December 2005)

## **Affiliations Schedule**

▶ File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005)
Department of the Treasury
Internal Revenue Service

For tax year ending

12 31

2006

Employer identification number iame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O. box, see instructions 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part 1 Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of overpayment credits and estimated Employer identification Portion of tax Corp deposited with Name and address of corporation No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: Ð 0 76-0486891 The Texas-Louisiana Pipeline Company TELO 127 400 South Tryon Street, ST22M Charlotte NC 28285 n TPCS 35-2123749 0 128 TPC Storage Holding Corp. P.O. BOX 1642 TX 77251-1642 HOUSTON TRIS 31-0677812 0 0 129 TRI-STATE IMPROVEMENT COMPANY 400 South Tryon Street, ST22M Charlotte NC 28285 0 Trunkline Oil Pipeline Company 76-0474611 0 130 TRUN STATE/LOCAL TAX DEPT. HOUSTON TX 77251-1642 56-0751405 0 0 WATEREE POWER COMPANY WATE 131 400 SOUTH TRYON STREET, ST22M NC 28285 CHARLOTTE Totals (Must equal amounts shown on the consolidated tax return.) . . . Principal Business Activity, Voting Stock Information, Etc. (see instructions) Part II Did the subsidiary Stock holdings at beginning of year PBA nake any nondividen Corp. Code Principal business activity (PBA) distributions? Number Percent of voting power Owned by corporation no. Percent of No No shares Yes Common parent corporation Subsidiary corporations: 1,000 100.000% 100.000% 127 Pipeline Transmission 486000 χ 118 100.000% 100.000% 118 128 INVESTOR GAS STORAGE 493100 χ 0.000% 0.000% 0 74 X 129 OTHER REAL ESTATE 531390 1,000 100.000% 100.000% 118 Pipeline Transportation 486000 χ 130 100.000% 100.000% 221100 0 131 Inactive

or Paperwork Reduction Act Notice, see instructions.

File with each consolidated income tax return.

OM3 No. 1545-0025

(Rev. December 2005) Department of the Treasury Internal Revenue Service

12 31

2006

For tax year ending Employer identification number lame of common parent corporation 20-2777218 Duke Energy Corporation Number, street, and room or suite no. If a P.O box, see instructions. 400 S. Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part I Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of overpayment credits Employer identification Portion of tax Corp. deposited with Name and address of corporation and estimated No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: VEST 31-1216958 0 0 132 Westcoast Energy Enterprises (U.S.), Inc. P. O. Box 1642 TX 77251-1642 Houston WESTERN CAROLINA POWER COMPANY VECP 56-0891269 0 0 133 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 58-2507590 O Spectra Energy Operating Company, LLC SPEC 0 5400 Westheimer Ct. TX 77056 Houston DUKE 56-1879392 0 0 DukeNet Communications, LLC 135 400 South Tryon Street, ST22M Charlotte NC 28285 0 0 Totals (Must equal amounts shown on the consolidated tax return.) ... Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondividens Corp Code Principal business activity (PBA) distributions? Number Percent of voting Owned by corporation No. No. Percent of value Yes No shares BOWER no. Common parent corporation Subsidiary corporations: 100.000% 100.000% 551112 Χ 0 118 132 Holding Company 100.000% 100.000% 221100 Х 133 Inactive 488990 0 100.000% 100.000% 118 Other Support Transportation X 134 0.000% 0.000% 108 135 Telecommunications 517000 Χ 0 0 0.000% 0.000%

or Paperwork Reduction Act Notice, see instructions.

Case No. 2009-202 Attach. AG-DR-01-017A 20-2777218 Page 28 of 81

Form 851 (Rev. 12-2005) Page 2 Part III Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in column (a) (a) Changes Date holder of Corp Corpo-ration No of Name of corporation Number of Number of n, transaction Percent of Percent of shares voting power value acquired disposed of 0 0.00 % 0.00 % Duke Energy Corporation 1 12/31/2006 1,000 1,000 0.00 % 0.00 % Alpha Insurance Company Limited 100.00 % Bison Insurance Company, LTD 0 100.00 % 1 0.00 % 0.00 % CALDWELL POWER COMPANY 0 1 0.00 % CATABBA HANUFACTURING AND ELECTRIC POWER COMPANY 1 0 0.00 % 0 0.00 % 0.00 % Chambers County Land Company 118 12/31/2006 1,000 (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. -----(d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see X No the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was refired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017A Page 29 of 81 0-2777218

Form 851	(Rev. 12-2005)  Changes in Stock Holdings During the Tax	Voor		•			Page 2
Carp	Changes in Stock Holdings burng the Tax	Share-		(a) Ch.	änges	changes o	s held after lescribed in mn (a)
· c.	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of strates disposed of	Percent of vating power	Percent of value
7	CINERGY CAPITAL & TRADING, INC.	16	04/03/2006	0	(	100.00%	100.00%
8	CINERGY CENTRUS COMMUNICATIONS, INC.	16	04/03/2006	0	(	100.00%	100.00%
9	CINERGY CENTRUS, INC.	16	04/03/2006	0	(	100.00%	100.00%
10	CINERGY CLIMATE CHANGE INVESTMENTS, LLC	7	04/03/2006	0	C	100.00%	100.00%
11	CINERGY CORP	1	04/03/2006	1	(	10000%	100.00%
						%	%
11	the equitable owners of any capital stock shown above were othe	i dian die	industry of fee	ord, provide detai	is of the chang	jas.	
(f) If	additional stock was issued, or if any stock was retired during the	year, list	the dates and a	amounts of these	transactions.		
						V-101	
							The second secon

Attach AG-DR-01-017A Page 30 of 81

20-2777218

Page 2 Form 851 (Rev. 12-2005) Changes In Stock Holdings During the Tax Year Part III (b) Shares held after changes described in column (a) (a) Changes Share-Date holder o Corp. Corpo-ration of Name of corporation Number of ١о. Number of transaction Percent of Percent of No. shares shares votina power value disposed of acquired 100.00% 04/03/2006 0 100.00% 12 Cinergy Global Ely, Inc. 04/03/2006 0 100.00% 100.00% 13 Cinergy Global Holdings, Inc. 100.00% 100.00% 04/03/2006 0 CINERGY GLOBAL POWER, INC. 14 100.00% 100 15 CINERGY GLOBAL RESOURCES, INC. 04/03/2006 100.00% 100.00% CINERGY INVESTMENTS, INC. 04/03/2006 11 100.00% 16 % % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see Yes the instructions for details. Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Attach. AG-DR-01-017A Page 31 of 81

20-2777218 Page 2

Form 851 (Rev. 12-2005) Changes In Stock Holdings During the Tax Year Part III (b) Shares held after Share-holder of (a) Changes hanges described in column (a) Date Corp. Corpo-ration No. οf Name of corporation No Number of Number of transaction Percent of Percent of shares voting power value acquired disposed of 17 CINERGY MARKETING & TRADING, LP 7 10/01/2006 0 0.00% 0.00% 100.00% 100.00% CINERGY MEXICO HOLDINGS, LP 04/03/2006 0 100.00% 100.00% 19 CINERGY POWER INVESTMENTS, INC. 74 04/03/2006 0 7 04/03/2006 0 100.00% 20 CINERGY RETAIL POWER GENERAL, INC. 100.00% CINERGY RETAIL POWER LIMITED, INC. 7 04/03/2006 100.00% 21 0 100.00% If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. Yes Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005)

Attach. AG-DR-01-017 Page 32 of 81

20~2777218

Form 851 (Rev. 12-2005) Page 2 Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in (a) Changes Share-Date holder o column (a) Corp. Corps-ration No. Name of corporation Number of Number of transaction Percent of Percent of shares shares vating power value acquired disposed of 100.00% 100.00% 100 11 04/03/2006 22 CINERGY RISK SOLUTIONS LTD. 0.00% 58 04/07/2006 0 CINERGY SOLUTIONS - DEMAND, INC. 0.00% 23 100.00% 24 CINERGY SOLUTIONS UTILITY, INC. 58 | 04/03/2006 0 100.00% 100.00% CINERGY TECHNOLOGY, INC. 16 04/03/2006 0 100.00% 25 100.00% CINERGY TWO, INC. 04/03/2006 0 100.00% 26 (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see Yes No the instructions for details. (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

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Case No. 2009-202 Attach. AG-DR-01-017 A Page 33 of 81

20-2777218

Page 2

Part J	Changes in Stock Holdings During the T	ax Year					
		Share- holder o	Date	(a) Ch	anges	changes d	s held after escribed in mn (a)
No.	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
27	CINERGY UK, INC.	15_	04/03/2006	0	C	100.00%	100.00
28	CINERGY WHOLESALE ENERGY, INC.	11_	04/03/2006	0	0	100.00%	100.00
29	CINFUEL RESOURCES, INC.	7	04/03/2006	0	0	100.00%	100.00
30	CLAIBORNE ENERGY SERVICES, INC.	11		0	0	100.00%	100.00
31	CLEAN ENERGY GENCO, INC.	1	09/11/2006	0	10	0.00%	0.00
						%	
lf t	the equitable owners of any capital stock shown above were of	her than the	a holders of rec	ord, provide deta	ils of the chang	es.	
If 1	the equitable owners of any capital stock shown above were of	her than the	a holders of rec	ord, provide deta	ils of the chang	es.	
lf :	the equitable owners of any capital stock shown above were of	her than the	a holders of rec	ord, provide deta	ils of the chang	es.	
if:	the equitable owners of any capital stock shown above were of	ther than the	a holders of rec	ord, provide deta	ils of the chang	es.	
	the equitable owners of any capital stock shown above were of					es.	
						es.	
						es.	
						es.	

Page 2 Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year (b) Shares hold after (a) Changes Share-holder of changes described in column (a) Date Corp Corpo-ration No-Name of corporation Number of 10 Number of transaction Percent of Percentof shares shares vating power value acquired disposed of 1 04/03/2006 0 100 0.00% 0.00% 32 Cougar Acquisition Corp. 60 0 33 DE Fossil-Hydro Engineering, Inc. 100.00% 100.00% 34 DE Nuclear Engineering, Inc. 0 100.00% 100.00% 0.00% 04/03/2006 0 1,000 0.00% 35 Deer Acquisition Corp. 04/03/2006 0 100.00% 100.00% DEGS Biogas, Inc. 36 % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. No Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was relired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017 A Page 35 of 81 20-2777218

Page 2

Form 851	(Rev. 12-2005)  II. Changes In Stock Holdings During the Ta	V			-6		Page 2
Corp.	Granges in Grant Holdings Baring the Ida	Share- holder of		(a) Changes		changes d	sheld after escribed in nn (a)
No.	Name of corporation	Corpo- ration No.	of transaction	Number of Shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
37	DEGS OF PHILADELPHIA, LLC	59	04/03/2006	0	C	100.00%	100.00%
38	DEGS OF SAN DIEGO, INC.	59	04/03/2006	0	C	100.00%	100.00%
39	DEGS OF TUSCOLA, INC.	58	04/03/2006	0	C	100.00%	100.00%
40	DEGT Empress U.S. Corporation	118	12/31/2006	0	0	0.00%	0.00%
41	DENA Asset Partners, LP	78		0	0	0.00%	0.00%
					*	%	%
- If !	the equitable owners of any capital stock shown above were oth	er than the	e holders of reco	ord, provide detai	ls of the chang	98.	
(f) If	additional stock was issued, or if any stock was refired during th	e year, list	the dates and a	amounts of these	transactions.		
						Form 851	(Rev. 12-2005)

Case No. 2009-202 Attach. AG-DR-01-017 A Page 36 of 81

20-2777218

Page 2

Form 851	(Rev. 12-2005)			r age 3	00101		Page 2
Part		Year					
Corp.		Share- holder of		(a) Ch	anges	changes	s held after lescribed in imn (a)
*to.	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
42	DENA Trading Partners, LP	78	09/11/2006	0	(	0.00%	0.00%
43	DETMI Management, Inc.	80		0	(	100.00%	100.00%
44	Dixilyn-Field Drilling Company	80		0	(	100.00%	100.00%
45	DUKE COMMUNICATIONS HOLDINGS, INC.	16	04/03/2006	100	(	100.00%	100.00%
46	DUKE COMMUNICATION SERVICES, INC.	80		0	(	100.00%	100.00%
***************************************						%	%
11	the equitable owners of any capital stock shown above were other	r than the	a holders of rec	ord, provide detai	is of the chang	jes.	
-			A				
(f) If	additional stock was issued, or if any stock was retired during the	year, list	the dates and a	amounts of these	transactions.		
						Form 85	1 (Rev. 12-2005)

Case No. 2009-202 Attach. AG-DR-01-017 A Page 37 of 81

20-2777218 Page 2

State of Corporation   State of Superior of Corporation   State	Part	(Rev. 12-2005)  Changes in Stock Holdings During the Tax	Year					Page 2
Value of Corporation   Value			Share- holder of	1	(a) Ch.	anges	changes described in	
48 Buke Energy Attowance Management, LLC 78 0 C 0.00% 0.00 49 Duke Energy Capital of Texas, Inc. 1 11/30/2006 0 C 0.00% 0.00 50 Duke Energy Design Supplier, Inc. 66 D9/11/2006 0 1,000 0.00% 0.00 51 Duke Energy Early Grove Company 118 12/31/2006 0 35,200 0.00% 0.00 51 Duke Energy Early Grove Company 118 12/31/2006 0 35,200 0.00% 0.00 51 Duke Energy Early Grove Company 118 12/31/2006 0 35,200 0.00% 0.00 51 Duke Energy Early Grove Company 118 12/31/2006 0 35,200 0.00% 0.00 52 STATE OF THE DESIGN OF THE D	3-	Name of corporation	ration	1	shares	shares	, ,	
49 Duke Energy Destgn Supptier, Inc.  1 11/30/2006 0 C 0.00% 0.00  50 Duke Energy Destgn Supptier, Inc.  66 09/11/2006 0 1,000 0.00% 0.00  51 Duke Energy Early Grove Coepany 118 12/31/2006 0 38,200 0.00% 0.00  (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary slock and afterward, any member continued to hold stock of the subsidiary, dd the basis of any retained share exceed its value immediately before the deconsolidation? If "Yea," see the instructions for details.  (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached.  (f) If ne equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes.	47	DUKE ENERGY ADMINISTRATIVE SERVICES, INC.	118	12/31/2006	o	1	0.00%	0.00%
50 Duke Energy Design Supplier, Inc.  66 09/11/2006 0 1,000 0.00, 0.00, 0.00, 51 Duke Energy Early Grove Company 118 12/31/2006 0 38,200 0.00, 0.00  0.00	48	Duke Energy Allowance Management, LLC	78		0		0.00%	0.00%
4. If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details.  4. Yes No  1. If the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached.  4. Yes No  1. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes.	49	Duke Energy Capital of Texas, Inc.	1	11/30/2006	0		0.00%	0.00%
(c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details.    (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached.    Yes No  If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes.	50	Duke Energy Design Supplier, Inc.	66	09/11/2006	0	1,000	0.00%	0.00%
(c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details.  (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached.  (f) If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes.  (f) If additional stock was issued, or if any stock was refired during the year, list the dates and amounts of these transactions.	51	Duke Energy Early Grove Company	118	12/31/2006	0	38,200	0.00%	0.00%
any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details.			<u></u>				%	%
	, If 1	lhe equitable owners of any capital stock shown above were other	than the	holders of rec	ord, provide detai	ls of the chang	jes.	
	(f) If	additional stock was issued, or if any stock was retired during the	year, list	the dates and a	amounts of these t	transactions.		
Form <b>851</b> (Rev 12-200				Anna Anna Anna Anna Anna Anna Anna Anna		Name to the state of the state	NA POSTONIA DE LA COMPANSIONA DEL COMPANSIONA DE LA COMPANSIONA DE	

Attach. AG-DR-01-017 A Page 38 of 81

20-2777218

Page 2 Form 851 (Rev. 12-2005) Changes In Stock Holdings During the Tax Year (b) Shares held after (a) Changes Share-holder of hanges described in column (a) Date Corp Corpo-ration No. Name of corporation Number of Number of transaction Percent of Percent of shares voting power value acquired disposed of DUKE ENERGY ENGINEERING, INC. 16 04/03/2006 50,100 100.00% 100.00% 52 DUKE ENERGY ENTERPRISES CORP. 12/31/2006 0 1,000 0.00% 0.00% 0.00% 0.00% Duke Energy Field Services Canada Holdings, Inc. 118 12/31/2006 0 1,000 100.00% 100.00% 0 55 Duke Energy Fossil-Hydro California, Inc. 60 0.00% 12/31/2006 0 118 1,000 0.00% Duke Energy Gas Transmission Corporation % (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. No \_\_\_\_ Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005) Form 851 (Rev. 12-2005)

20-2777218

Page 2

Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in (a) Changes Share~ holder of Date column (a) Corp Corpo-ration No. Name of corporation Number of Number of transaction Percent of Percent of voting power value acquired disposed of 1,000 0.00% 118 12/31/2006 0 57 Duke Energy Gas Transmission Resources, Inc. 0.00% DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC. 16 04/03/2006 200 58 100.00% 100.00% 100.00% 100.00% DUKE ENERGY GENERATION SERVICES, INC. 04/03/2006 0 59 100.00% DUKE ENERGY GLOBAL MARKETS, INC. 0 100.00% 60 12/01/2006 0 0.00% Duke Energy Group Holdings, LLC 78 0.00% 61 % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005)

20-2777218

Page 2 Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in (a) Changes Date column (a) holder of Corp. Corpo-ration No. Name of corporation Number of Number of transaction Percent of Percent of voting power value beriupas disposed of 118 12/31/2006 O 0.00% 2,000 0.00% Duke Energy GS Funding Company DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.) 04/03/2006 539,137 100.00% 100.00% 63 05/05/2006 0 102 0.00% 0.00% DUKE ENERGY INTERNATIONAL, INC. 64 04/03/2006 8,779,995 100.00% 100.00% DUKE ENERGY KENTUCKY, INC. 65 0.00% 1,000 0.00% 60 09/15/2006 0 66 Duke Energy Lavaca, Inc. % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see Yes No the instructions for details. Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see No the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017A Page 41 of 81 20-2777218

	(Rev. 12-2005)						Page 2
Part	Changes in Stock Holdings During the Tax	Year	T	T			
Corp.		Share- holder of Corpo-	Date of	(a) Ch	anges	changes	s held after lescribed in mn (a)
7.	Name of corporation	ration No.	transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
67	DUKE ENERGY LEE HOLDING, INC.	78	04/02/2006	0	1,000	0.00%	0.00%
68	Duke Energy LNG Sales,Inc.	118	12/31/2006	0	1,000	0.00%	0.00%
69	Duke Energy Marketing America, LLC	78		0	(	0.00%	0.00%
70	Duke Energy Marketing Canada Corp.	78		0		0.00%	0.00%
71	DUKE ENERGY MARKETING CORPORATION	80		О	10	100.00%	100.00%
						%	%
11 1	he equitable owners of any capital stock shown above were other	than the	holders of rec	ord, provide detai	is of the chang	es.	
(f) If a	additional stock was issued, or if any stock was retired during the y	year, list	the dates and a	amounts of these	transactions		
						Form 85	(Rev 12-2005)

#### Case No. 2009-202 Attach. AG-DR-01-017 A 20-2777218 Page 42 of 81

Page 2 Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year Part III (b) Shares held after (a) Changes changes described in column (a) Date halder of Corp. Corpo-ration No. Name of corporation Number of Number of transaction Percent of Percent of voting power value acquired disposed of 1,000 12/30/2006 Duke Energy Natural Gas Corporation 118 0 0.00% 0.00% Duke Energy Northeast Transmission Company 0 100.00% 100.00% 73 100.00% 74 DUKE ENERGY OHIO, INC. 11 04/03/2006 382,821,029 100.00% 04/03/2006 DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.) 0 100.00% 100.00% 75 80 0 0.00% 0.00% Duke Energy Power Assets Holding, Inc. 76 % % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see Yes No the instructions for details. is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see Yes the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year. list the dates and amounts of these transactions Form 851 (Rev. 12-2005)

Case No. 2009-202 Attach. AG-DR-01-017 A Page 43 of 81

Page 2

20-2777218

	(Rev. 12~2005)	1/					Page 2
Parl	Changes in Stock Holdings During the Tax	Share-	Date	(a) Ch	anges	changes	s held after described in imn (a)
'n.	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of Shares disposed of	Percent of voting power	Percent of value
	Duke Energy Power Generating, LLC	78	01/06/2006	0	C	100.00%	100.00%
78	DUKE ENERGY REGISTRATION SERVICES, INC.	1		0	C	100.00%	100.00%
79	DUKE ENERGY RETAIL SALES, LLC	7	04/03/2006	0		100.00%	100.00%
80	Duke Energy Services, Inc.	108		0		100.00%	100.00%
81	DUKE ENERGY SHARED SERVICES, INC.	11	04/03/2006	4	0	100.00%	100.00%
		<u></u>				%	%
th	the group deducting a loss recognized on the disposition of the st e instructions for details, including the statements that must be atta the equitable owners of any capital stock shown above were other	ached.			Ye		No
(f) If	additional stock was issued, or if any stock was retired during the	year, list	the dates and a	amounts of these	transactions.		
						Form 85	1 (Rev. 12-2005)

ant III					age 44 of 81		Page
		Tax Year					
Corp.		Share- holder of		(a) Ch	anges	(b) Sharas changes de colun	scribedin
°o.	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent o value
82 Duk	ke Energy Southeast Pipeline Corporation	118	12/31/2006	0	1,000	0.00%	0,0
83 Duk	ke Energy Virginia Pipeline Company	118	12/31/2006	0	2,000	0.00%	0.0
84 Duk	ke Energy Westheimer LP	118	12/31/2006	0	C	0.00%	0.0
85 DUK	KE ENGINEERING & SERVICES (EUROPE), INC.	60		0	C	100.00%	100.0
86 DUK	KE JAVA, INC.	61		0	O	100.00%	100.0
						%	
the in	e group deducting a loss recognized on the disposition of istructions for details, including the statements that must be equitable owners of any capital stock shown above were	æ attached.				-	No
the in	structions for details, including the statements that must be	æ attached.				-	No
the in	structions for details, including the statements that must be	æ attached.				-	No
the in	structions for details, including the statements that must be	æ attached.				-	No
the in	equitable owners of any capital stock shown above were	e attached.	holders of rec	ord, provide deta	ils of the chang	-	No
the in	structions for details, including the statements that must be	e attached.	holders of rec	ord, provide deta	ils of the chang	-	No
the in	equitable owners of any capital stock shown above were	e attached.	holders of rec	ord, provide deta	ils of the chang	-	No
the in	equitable owners of any capital stock shown above were	e attached.	holders of rec	ord, provide deta	ils of the chang	-	No
the in	equitable owners of any capital stock shown above were	e attached.	holders of rec	ord, provide deta	ils of the chang	-	No

Case No. 2009-202 Attach. AG-DR-01-017 A

20-2777218

Page 45 of 81 Form 851 (Rev. 12-2005) Page 2 Part III Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in column (a) (a) Changes Date holder of Corp Corpo-ration No. of Name of corporation to red muN 'n. Number of transaction Percent of Percent of shares shares voting power value acquired disposed of 0.00% Duke Project Services Funding Corporation 89 08/31/2006 0.00% 88 DUKE PROJECT SERVICES GROUP, INC. 02/22/2006 0 0.00% 0.00% 89 DUKE PROJECT SERVICES, INC. 78 0 100.00% 100.00% 100.00% 90 DUKE TECHNOLOGIES, INC. 04/03/2006 0 100.00% 0.00% Duke Ventures, LLC 2,000 09/05/2006 0.00% % (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. : 1G-DR-01-017A

20-2777218

Duke Energy Corporation & Subsidiaries Page 46 of 81 Form 851 (Rev. 12-2005) Page 2 Part III Changes In Stock Holdings During the Tax Year (b) Shares held after (a) Changes changes described in column (a) Date nolder of Carp Corpo-ration No. Name of corporation Number of Number of transaction Percent of Percent of shares shares value voting power acquired disposed of DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.) 16 04/03/2006 100 100.00% 92 100.00% 100.00% 100.00% 93 DUKE-RELIANT RESOURCES, INC. 16 04/03/2006 0 04/03/2006 0 100.00% 94 DUKETEC, LLC (FKA CINTEC, LLC) 100.00% 1 0 100.00% 100.00% 95 EASTOVER LAND COMPANY 100.00% 100.00% 1 0 96 EASTOVER MINING COMPANY % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, dld the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. \_\_\_\_\_\_ is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017 A Page 47 of 81

Part III Changes in Stock Holdings During the Tax Year Page 2 (b) Shares held after changes described in column (a) Share-holder of Corpo-ration No. (a) Changes Date Corp. of Number of Name of corporation transaction Percent of Percent of shares disposed of shares voting power value acquired 100.00% 100.00% 108 0 Energy Pipelines International Company 100.00% 100.00% 0 61 FORT DRUM COGENCO, INC. 100.00% GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY 0 100.00% 0.00% 118 12/31/2006 0 1,000 0.00% 100 Houston Center Corporation 100.00% 100.00% 74 04/03/2006 10 KO TRANSMISSION COMPANY 101

(c)	If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and alterward, any member continued to hold stock of the subsidiary, did
	the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details.  Yes  No
(d)	Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached.  Yes  No
	If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes.
(f)	If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202

Duke Energy Corporation & Subsidiaries

Attach. AG-DR-01-017A Page 48 of 81

20-2777218 Page 2

Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in (a) Changes Share-holder of Date column (a) Corp. Corpo-ration No Name of corporation Number of ١o. transaction Percent of Percent of vating pawer value acquired disposed of 0.00% 0.00% 1,000 0 118 12/31/2006 102 M&N Management Company 100.00% 100.00% 74 04/03/2006 1,000 103 MIAMI POWER CORPORATION M-P SUPPLY, INC. 0 100.00% 100.00% 104 3 0 100.00% 100.00% 105 NorthSouth Insurance Company Limited 100.00% 108 0 100.00% 106 Pan Services Company % (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. No Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. Yes If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005)

Case No. 2009-202 Attach. AG-DR-01-017A Page 49 of 81

20-2777218

Page 2 Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in column(a) (a) Changes Date nolder of Corp. Corpo-ration No. of Name of corporation Number of Number of transaction Percent of Percent of shares voting power value dispused of acquired 0.00% 0.00% 118 12/31/2006 0 1,000 107 PanEnergy Colorado, Inc. 0 100.00% 78 100.00% 108 PanEnergy Corp 08/01/2006 0 1,000 0.00% 0.00% 109 PanEnergy Development Company 118 12/31/2006 0 0.00% 0.00% 110 PanEnergy Services, LP 0.00% 08/01/2006 0 1,000 0.00% 111 Panhandle Acquisition Three, Inc. (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolldation? If "Yes," see Yes No the instructions for details. (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

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Case No. 2009-202 Attach. AG-DR-01-017A Page 50 of 81

20-2777218

***************************************	(Rev. 12-2005)						Page 2
Part	Changes in Stock HoldIngs During the Ta	Share- holder of		(a) Changes		(5) Shares held after changes described in column (a)	
<b>*7</b> .	Name of corporation	Corpo- ration No.		Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
112	Panhandle Four, Inc.	118	08/01/2006	0	1,000	0.00%	0.00%
113	PEC Midwest, Inc.	118	12/31/2006	0	C	0.00%	0.00%
114	Pelmar Company	118	12/31/2006	0	2,000	0.00%	0.00%
115	SOUTH CONSTRUCTION COMPANY, INC.	63	04/03/2006	0	0	100.00%	100.00%
116	Southeastern Energy Services, Inc.	78		0	C	100.00%	100.00%
***************************************						%	%
· If	the equitable owners of any capital stock shown above were oth	ner than the	holders of rec	ord, provide detai	ls of the chang	es.	
(f) If	additional stock was issued, or if any stock was retired during th	ne vear list	the dates and a	amounts of these	transactions.		
	additional stock was issued, or if any stock was realized during the	e your, nor	ine dates and	arroants of mese			· · · · · · · · · · · · · · · · · · ·
				***************************************		Form <b>8</b> 5	1 (Rev 12-2005)

Case No. 2009-202 Attach. AG-DR-01-017A 20-2777218

Page 51 of 81

Form 851 (Rev. 12-2005) Page 2 Part III Changes In Stock Holdings During the Tax Year (b) Shares held after changes described in column (a) (a) Changes Share-Date holder of Corpo-ration Corp. af Name of corporation ٦., Number of Number of transaction No. Percent of Parcent of shares shares voting power value acquired disposed of 100.00% 100.00% SOUTHERN POWER COMPANY 0 117 0 1 12/31/2006 0.00% 118 Spectra Energy Corp 0.00% Spectra Energy DEFS Holding Corp 118 12/31/2006 0 119 0.00% 0.00% 0.00% 120 TEPP(O Holdings, Inc. 118 08/01/2006 0 10,000 0.00% 0.00% 121 Texas Eastern Communications, Inc. 118 | 12/31/2006 0 0.00% % (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. No (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. Yes If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Attach. AG-DR-01-017A Page 52 of 81

20-2777218 Page 2

Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in column (a) (a) Changes Share-Date holder of Corpo-ration Corp of Number of Name of corporation Number of transaction Percent of Percent of shares shares voting power value acquired disposed of 0.00% 08/01/2006 ٥ 0.00% 122 Texas Eastern Cross Bay Company 0.00% 0.00% 12/31/2006 0 1,000 118 123 Texas Eastern Cryogenics, Inc. 0 0.00% 118 08/01/2006 0.00% 124 Texas Eastern Oit Company Texas Eastern Slurry Transport Company 08/01/2006 0 0.00% 0.00% 125 12/31/2006 0.00% Texas Eastern Terminal Company 118 126 (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see No Yes the instructions for details. (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

#### Case No. 2009-202 Attach. AG-DR-01-017**A** Page 53 of 81

20-2777218

Page 2 Form 851 (Rev. 12-2005) Part III Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in column (a) (a) Changes Date halder of Corp. Corpo-ration No. Name of corporation Number of Number of shares transaction Percent of Percent of shares voting power value beniupas disposed of 118 08/01/2006 The Texas-Louisiana Pipeline Company 0 1,000 0.00% 0.00% 118 | 12/31/2006 0.00% 0.00% 128 TPC Storage Holding Corp. 100.00% 74 04/03/2006 25,000 129 TRI-STATE IMPROVEMENT COMPANY 100.00% 1,000 08/01/2006 Q 118 0.00% 0.00% 130 Trunkline Oil Pipeline Company 100.00% 0 WATEREE POWER COMPANY 1 100.00% 131 % (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. No (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. No If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017 A Page 54 of 81

Page 2

20-2777218

	(Rev. 12-2005)						Page 2
Part	Changes In Stock Holdings During the Tax	Share-		(a) Ch	anges	changes o	s held after lescribed in
Corp.	Name of corporation	holder of Corpo- ration No.	Date of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	mn (a) Percent of value
132	Westcoast Energy Enterprises (U.S.), Inc.	118	12/31/2006	0		0.00%	0.00%
133	WESTERN CAROLINA POWER COMPANY	1		0		100.00%	100.00%
134	Spectra Energy Operating Company, LLC	118	12/31/2006	0	(	0.00%	0.00%
135	DukeNet Communications, LLC	108	12/31/2006	0		100.00%	100.00%
0		0		0	0	0.00%	0.00%
<del>-</del>						%	%
	the equitable owners of any capital stock shown above were other	r than the	a holders of rec	ord, provide detai	Is of the chang	les.	
(f) If	additional stock was issued, or if any stock was retired during the	year, list	the dates and a	amounts of these	transactions.		
						Form 85	(Rev. 12-2005)

#### Case No. 2009-202 Attach. AG-DR-01-017 A Page 55 of 81

20-2777218

Page 3 Form 851 (Rav. 12-2005) Additional Stock Information (see instructions) Part IV No During the tax year, did the corporation have more than one class of stock outstanding? If "Yes," enter the name of the corporation and list and describe each class of stock. Class of stock Name of corporation YES NO 140 Duke Energy Corporation 1 2 Alpha Insurance Company Limited 3 Bison Insurance Company, LTD 4 CALDWELL POWER COMPANY 5 CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY Chambers County Land Company 6 During the tax year, was there any member of the consolidated group that reaffiliated within 60 months No If "Yes," enter the name of the corporation(s) and explain the circumstances Corp Name of corporation Explanation No YES NO Duke Energy Corporation Alpha Insurance Company Limited 2 3 Bison Insurance Company, LTD 4 CALDUELL POWER COMPANY CATANBA MANUFACTURING AND ELECTRIC POWER COMPANY 5 Chambers County Land Company During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d Corp Name of corporation Item 3a Item 3b Item 3c YES NO No. Duke Energy Corporation 0.00 % 0.00 % 0.00 1 2 0.00 % 0.00 % 0.00 Alpha Insurance Company Limited Bison Insurance Company, LTD 3 0.00 % 0.00% 0.00 % 0.00 % 0.00 4 CALDWELL POWER COMPANY 0.00 % % 0.00 % 0.00 5 CATAUBA MANUFACTURING AND ELECTRIC POWER COMPANY 0.00 % % 0.00 % 0.00 % 0.00 Chambers County Land Company % 6 % % % % % Corp Item 3d - Provide a description of any arrangement. 1 2 3 4 5 6

#### Case No. 2009-202 Attach. AG-DR-01-017 Page 56 of 81

20-2777218

Form 851	Rev. 12~2005)			
Part I	Additional Stock Information (see instructions)			
<b>1</b> D	turing the tax year, did the corporation have more than one class of stock of	outstanding?		Yes No
	"Yes," enter the name of the corporation and list and describe each class	of stock,		
	Name of corporation		Class of stock	
No.	YES NO			
7	CINERGY CAPITAL & TRADING, INC.			
8	CINERGY CENTRUS COMMUNICATIONS, INC.			
9	CINERGY CENTRUS, INC.			
10	CINERGY CLIMATE CHANGE INVESTMENTS, LLC			
11	CINERGY CORP			
2 0	buring the tax year, was there any member of the consolidated group that r	eaffiliated within 60 months	_	
0	f disaffiliation?	K - P - M - K - M - M - 1 - 2 - M		Yes No
<u>If</u>	"Yes," enter the name of the corporation(s) and explain the circumstances			
Corp.				
No.	Name of corporation		Explanation	
	YES NO			
7	CINERGY CAPITAL & TRADING, INC.			
8	CINERGY CENTRUS COMMUNICATIONS, INC.			······································
9	CINERGY CENTRUS, INC.			
10	CINERGY CLIMATE CHANGE INVESTMENTS, LLC			
11	CINERGY CORP			
	Ouring the tax year, was there any arrangement in existence by which one of	·		
	nembers of the affiliated group could acquire any stock, or acquire any voti			
	n the corporation, other than a de minimis amount, from the corporation or			Yes No
	"Yes," enter the name of the corporation and see the instructions for what	to enter in items 3a, 3b, 3c	, апо за,	
Corp.	Name of corporation YES NO	ltem 3a	Item 3b	Item 3c
<u>No.</u> 7	CINERGY CAPITAL & TRADING, INC.	0.00 %	0.00 %	0.00 %
8	CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 %	0.00 %	0.00 %
9	CINERGY CENTRUS, INC.	0.00 %	0.00 %	0.00 %
10	CINERGY CLIMATE CHANGE INVESTMENTS, LLC	0.00 %	0.00 %	0.00 %
11	CINERGY CORP	0.00 %	0.00 %	0.00 %
11	CASERO I CORI	%	%	%
		%	%	%
		% %	%	<del>//</del>
		70		70
Corp. No.	Item 3d - Provide a description of any arrangement			
7				
8				
9				
10				
11				

## Case No. 2009-202 Attach. AG-DR-01-017 A Page 57 of 81

		А	AG-DR-01-017 A Page 57 of 81	20-2777218
Form 851 (	Rev. 12-2005)		<u> </u>	Page 3
Part I	Additional Stock Information (see instructions)			
	uring the tax year, did the corporation have more than one class of stock of "Yes," enter the name of the corporation and list and describe each class			Yes No
		Of Stock.		
No.	Name of corporation YES NO		Class of stock	
12	Cinergy Global Ely, Inc.			
13	Cinergy Globat Holdings, Inc.			
14	CINERGY GLOBAL POWER, INC.			
15	CINERGY GLOBAL RESOURCES, INC.		111	
16	CINERGY INVESTMENTS, INC.			
0	uring the tax year, was there any member of the consolidated group that ref disaffiliation? "Yes," enter the name of the corporation(s) and explain the circumstances			Yes No
Corp.	Name of corporation YES No		Explanation	
12	Cinergy Global Ely, Inc.			
13	Cinergy Global Holdings, Inc.			7
14	CINERGY GLOBAL POWER, INC.			
15	CINERGY GLOBAL RESOURCES, INC.			
16	CINERGY INVESTMENTS, INC.			
		:		**************************************
	77874		***************************************	
		A STATE OF THE STA		,
3 C	uring the tax year, was there any arrangement in existence by which one of	or more persons that were i	101	
	nembers of the affiliated group could acquire any stock, or acquire any voti	•		
	the corporation, other than a de minimis amount, from the corporation or		-	Yes No
	"Yes," enter the name of the corporation and see the instructions for what		-	
Corp.	Name of corporation YES NO	ltern 3a	item 3b	Item 3c
<u>No.</u> 12	Cinergy Global Ely, Inc.	0.00 %	0.00 %	0.00 %
13	Cinergy Global Holdings, Inc.	0.00 %	0.00 %	0.00 %
14	CINERGY GLOBAL POWER, INC.	0.00 %	0.00 %	0.00 %
15	CINERGY GLOBAL RESOURCES, INC.	0.00 %	0.00 %	0.00 %
16	CINERGY INVESTMENTS, INC.	0.00 %	0.00 %	0.00 %
		%	%	%
***************************************		%	%	%
		%	%	%
Corp.	Item 3d - Provide a description of any arrangement.			A SA STANDARD CONTRACTOR OF THE SAME
12				
13				
14				
15				
16				
			······································	

### Case No. 2009-202 Attach. AG-DR-01-017A Page 58 of 81

20-2777218

*************	Rev. 12-2005)				Page 3
Part I					<del></del>
	uring the tax year, did the corporation have more than one class of stock of			Yes	No
	"Yes," enter the name of the corporation and list and describe each class	of stock.			
١,	Name of corporation		Class of stock		
NO.	YES NO				
17	CINERGY MARKETING & TRADING, LP				
18	CINERGY MEXICO HOLDINGS, LP	*			
19	CINERGY POWER INVESTMENTS, INC.				
20	CINERGY RETAIL POWER GENERAL, INC.				
21	CINERGY RETAIL POWER LIMITED, INC.				
	uring the tax year, was there any member of the consolidated group that r	eaffiliated within 60 months			٦
	f disaffiliation?			Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances				
Corp.	Name of corporation		Explanation		
No.	YES NO		CAPIANATION		
17	CINERGY MARKETING & TRADING, LP				
18	CINERGY MEXICO HOLDINGS, LP				
19	CINERGY POWER INVESTMENTS, INC.				
20	CINERGY RETAIL POWER GENERAL, INC.				
21	CINERGY RETAIL POWER LIMITED, INC.				
	CHEROT REFAIL TORER CHILIFED, INC.				
3 D	uring the tax year, was there any arrangement in existence by which one	or more persons that were I	not		
	nambers of the affiliated group could acquire any stock, or acquire any vot				
	the corporation, other than a de minimis amount, from the corporation or			Yes	No
	"Yes," enter the name of the corporation and see the instructions for what		-		
Corp.			Item 3b	ltom 2a	
No.	Name of corporation YES NO	Item 3a	160.0 20	Item 3c	
17	CINERGY MARKETING & TRADING, LP	0.00 %	0.00 %	0.0	XO %
18	CINERGY MEXICO HOLDINGS, LP	0.00 %	0.00 %	0.0	Ю %
19	CINERGY POWER INVESTMENTS, INC.	0.00 %	0.00 %	0.0	00 %
20	CINERGY RETAIL POWER GENERAL, INC.	0.00 %	0.00 %	0.0	X) %
21	CINERGY RETAIL POWER LIMITED, INC.	0.00 %	0.00 %	00	90 %
		%	%		%
		%	%		%
		%	%		%
Corp.	Item 3d - Provide a description of any arrangement				
No.	Refit Su = 1 Tovide & description of any arrangement				
17					
18					
19					
20					
21					

Case No. 2009-202 Attach. AG-DR-01-017A Page 59 of 81

20-2777218

	(Rev. 12-2005)				Page 3
Part					
	buring the tax year, did the corporation have more than one class of stock	3		Yes	No
	"Yes," enter the name of the corporation and list and describe each class	of stock.			
٥.	Name of corporation		Class of stock		
No.	YES NO				
22	CINERGY RISK SOLUTIONS LTD.				
23	CINERGY SOLUTIONS - DEMAND, INC.				
24	CINERGY SOLUTIONS UTILITY, INC.				
25	CINERGY TECHNOLOGY, INC.				
26	CINERGY TWO, INC.				
	buring the tax year, was there any member of the consolidated group that	eaffiliated within 60 months		<b>–</b> –	<b>-</b> 1
		A * A A A A A A A A A A		Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances	<u> </u>			
Corp.	Name of corporation		Explanation		
No.	·		Ехріанавон		
22	YES NO CINERGY RISK SOLUTIONS LTD.				
23	CINERGY SOLUTIONS - DEMAND, INC.				·
24	CINERGY SOLUTIONS UTILITY, INC.		· · · · · · · · · · · · · · · · · · ·		
25	CINERGY TECHNOLOGY, INC.				
26	CINERGY TWO, INC.				
	CITILITY THO, 1110.				
3 D	uring the tax year, was there any arrangement in existence by which one	or more nereone that were r	oot		****
	nembers of the affiliated group could acquire any stock, or acquire any vot	•			
	n the corporation, other than a de minimis amount, from the corporation or	•,	•	Yes	No
	"Yes," enter the name of the corporation and see the instructions for what		·		٠٠٠ رـ
Corp.					
No.	Name of corporation YES NO	Item 3a	llem 3b	Item 3c	
22	CINERGY RISK SOLUTIONS LTD.	0.00 %	0.00 %	0.0	0 %
23	CINERGY SOLUTIONS - DEMAND, INC.	0.00 %	0.00 %	0.0	0 %
24	CINERGY SOLUTIONS UTILITY, INC.	0.00 %	0.00 %	0.0	0 %
25	CINERGY TECHNOLOGY, INC.	0.00 %	0.00 %	0.0	0 %
26	CINERGY TWO, INC.	0.00 %	0.00 %	0.0	0 %
		%	%		%
		%	%		%
		%	%		%
Corp.	Item 3d - Provide a description of any arrangement.				
No.	Remod Trovide a description of any arrangement.				
22					
23					-
24					
25			Tomas of the second of the sec		
26					

Case No. 2009-202 Attach. AG-DR-01-017 A Page 60 of 81

20-2777218

Form 851 f	Rev. 12-2005)			ſ	Page 3
Part I	711111				
	ouring the tax year, did the corporation have more than one class of stock	outstanding?	T T	Yes 🗔	No
	"Yes," enter the name of the corporation and list and describe each class	<b>3</b>			, ,,,
- <u></u>		OT STOOK.			<del></del>
140.	Name of corporation YES NO		Class of stock		
27	CINERGY UK, INC.				
28	CINERGY WHOLESALE ENERGY, INC.				
29	CINFUEL RESOURCES, INC.				
30	CLAIBORNE ENERGY SERVICES, INC.				
31	CLEAN ENERGY GENCO, INC.	7.42			
					·
		\\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.			
2 D	ruring the tax year, was there any member of the consolidated group that r	eaffiliated within 60 months			
	t it tem o			Yes	No
	idisamiliation?  "Yes," enter the name of the corporation(s) and explain the circumstances.				
	res, enter the name of the corporation(s) and explain the directinistances	·			× <del></del>
Corp.	Name of corporation		Explanation		
No.	YES NO				
27	CINERGY UK, INC.			***************************************	
28	CINERGY WHOLESALE ENERGY, INC.				
29	CINFUEL RESOURCES, INC.				
30	CLAIBORNE ENERGY SERVICES, INC.				
31	CLEAN ENERGY GENCO, INC.				
		CALLED THE STREET OF THE STREE			
**************************************					
3 E	puring the tax year, was there any arrangement in existence by which one	or more persons that were i	not	***************************************	
	nembers of the affiliated group could acquire any stock, or acquire any vot	,			
	the corporation, other than a de minimis amount, from the corporation or	• • • • • • • • • • • • • • • • • • • •	·	Ves	No
	"Yes," enter the name of the corporation and see the instructions for what				
Corp.				14	
No.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c	
27	CINERGY UK, INC.	0.00 %	0.00 %	0.00	%
28	CINERGY WHOLESALE ENERGY, INC.	0.00 %	0.00 %	0.00	%
29	CINFUEL RESOURCES, INC.	0.00 %	0.00 %	0.00	%
30	CLAIBORNE ENERGY SERVICES, INC.	0.00 %	0.00 %	0.00	%
31	CLEAN ENERGY GENCO, INC.	0.00 %	0.00 %	0.00	%
***************************************		%	%		%
		%	%		%
		%	%		%
Corp.	Hom 2d. Dispuids a description of any average				
No.	Item 3d - Provide a description of any arrangement.				
27					
28					
29	A				
30					
31					
		**************************************			
		***************************************			
				<u> </u>	

# Case No. 2009-202 Attach. AG-DR-01-017A Page 61 of 81 Page 3

	Rev. 12–2005)			rage o
Part				-
<b>1</b> D	ruring the tax year, did the corporation have more than one class of stock o	outstanding?		Yes No
. 11	"Yes," enter the name of the corporation and list and describe each class	of stock.		
).	Name of corporation		Class of stock	
No.	YES NO			
32	Cougar Acquisition Corp.			
33	DE fossil-Hydro Engineering, Inc.			
34	DE Nuclear Engineering, Inc.			
35	Deer Acquisition Corp.			
36	DEGS Biogas, Inc.			
2 0	luring the tax year, was there any member of the consolidated group that r	eaffiliated within 60 months	سننسنع	
O	f disaffitiation?	a - v - a - e - t - a - m - e - 4 - e - H - a - e - e -		Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstances			
Carn			m 1	
Corp. No.	Name of corporation		Explanation	
	YES NO			
32	Cougar Acquisition Corp.			
33	DE Fossil-Hydro Engineering, Inc.	AND THE RESIDENCE OF THE PROPERTY OF THE PROPE		
34	DE Nuclear Engineering, Inc.			
35	Deer Acquisition Corp.			
36	DEGS Biogas, Inc.			
	turing the tax year, was there any arrangement in existence by which one of			
	nembers of the affiliated group could acquire any stock, or acquire any voti			7, [],,
	n the corporation, other than a de minimis amount, from the corporation or			Yes No
	"Yes," enter the name of the corporation and see the instructions for what	to enter in items 3a, 3b, 3c	and 3d.	
Corp.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c
<u>No.</u> 32	Cougar Acquisition Corp.	0.00 %	0.00 %	0.00 %
33	DE Fossil-Hydro Engineering, Inc.	0.00 %	0.00 %	0.00 %
34	DE Nuclear Engineering, Inc.	0.00 %	0.00 %	0.00 %
35	Deer Acquisition Corp.	0.00 %	0.00 %	0.00 %
36	DEGS Biogas, Inc.	0.00 %	0.00 %	0.00 %
	beos brogas, me.	%	%	%
		%	%	%
		%	%	%
			/81	70
Согр. No.	Item 3d - Provide a description of any arrangement.			
32				
33				
34	La Al-Carter Communication Com			
35				
36				1-
	The Management of the Control of the			

Case No. 2009-202
Attach. AG-DR-01-017 A
Page 62 of 81 20-2777218

************	(Rev. 12-2005)			rage 3
Part I	Additional Stock Information (see instructions)			
1 [	During the tax year, did the corporation have more than one class of stock	outstanding?		Yes No
	"Yes," enter the name of the corporation and list and describe each class	of stock.		
).	Name of corporation		Class of stock	
No.	YES NO		Ciass of Stock	
37	DEGS OF PHILADELPHIA, LLC			
38	DEGS OF SAN DIEGO, INC.			
39	DEGS OF TUSCOLA, INC.			
40	DEGT Empress U.S. Corporation			
41	DENA Asset Partners, LP			
				······································
2 [	During the tax year, was there any member of the consolidated group that r	eaffiliated within 60 months		
	I disaffiliation?			Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstances			, , , , , , , , , , , , , , , ,
	is a supplemental		A A A A A A A A A A A A A A A A A A A	
Corp.	Name of corporation		Explanation	
No.	YES NO		·	
37	DEGS OF PHILADELPHIA, LLC		147-147-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-	
38	DEGS OF SAN DIEGO, INC.			
39	DEGS OF TUSCOLA, INC.			
40	DEGT Empress U.S. Corporation		**************************************	
41	DENA Asset Partners, LP			The state of the s
		***************************************		
				······································
3 [	turing the tay year was there any arrangement in aviotance by which one	Ar more persons that were m	ot	
	turing the tax year, was there any arrangement in existence by which one on the nembers of the affiliated group could acquire any stock, or acquire any voti	•		
	n the corporation, other than a de minimis amount, from the corporation or			Yes No
	"Yes," enter the name of the corporation and see the instructions for what			I tea   No
	res, enter the name of the corporation and see the instructions for what	to enter in nertis 3a, 3b, 3c,	, and su,	
Corp.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c
<u>No.</u> 37	DEGS OF PHILADELPHIA, LLC	0.00 %	0.00 %	0.00 %
38	DEGS OF SAN DIEGO, INC.	0.00 %	0.00 %	
39	DEGS OF TUSCOLA, INC.	0.00 %	0.00 %	
40	DEGT Empress U.S. Corporation	0.00 %	0.00 %	
41	DENA Asset Partners, LP			
	vena asset (B) thers, E	0.00 %	0.00 %	0.00 %
		%	<u>%</u>	<u>%</u>
		%	%	%
		%	%	%
Corp.	Item 3d - Provide a description of any arrangement.			
No.			Ψ	
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### Case No. 2009-202 Attach. AG-DR-01-017 A Page 63 of 81

20-2777218

	Rev. 12-2005)			Page
Part				
1 0	buring the tax year, did the corporation have more than one class of stock of	outstanding?		Yes No
	"Yes," enter the name of the corporation and list and describe each class	of stock.		
a.	Name of corporation		Class of stock	
NO.	YES NO			
42	DENA Trading Partners, LP			
43	DETMI Management, Inc.			
44	Dixilyn-Field Drilling Company	· · · · · · · · · · · · · · · · · · ·	***************************************	
45	DUKE COMMUNICATIONS HOLDINGS, INC.			
46	DUKE COMMUNICATION SERVICES, INC.			
0 0	the same like a small state of the same like a small state of same like a s	official within CO searths		
	turing the tax year, was there any member of the consolidated group that of f disaffiliation?			Yes No
	f disaffiliation?  "Yes," enter the name of the corporation(s) and explain the circumstances			] 163 [_] 140
	res, enter the traine of the corporation(s) and explain the circumstances	•	W	
Corp.	Name of corporation		Explanation	
No.	YES NO		•	
42	DENA Trading Partners, LP	7	<u></u>	
43	DETMI Management, Inc.			
44	Dixilyn-Field Drilling Company			
45	DUKE COMMUNICATIONS HOLDINGS, INC.			
46	DUKE COMMUNICATION SERVICES, INC.			
3 D	Puring the tax year, was there any arrangement in existence by which one o	r more persons that were n	ot	
n	nembers of the affiliated group could acquire any stock, or acquire any voti	ng power without acquiring	stock,	
ir	the corporation, other than a de minimis amount, from the corporation or	another member of the affili	ated group?	Yes No
	"Yes," enter the name of the corporation and see the instructions for what	to enter in items 3a, 3b, 3c,	and 3d.	
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c
No.	YES NO	2.22	4.00	0.00
42	DENA Trading Partners, LP	0.00 %	0.00 %	0.00 %
43	DETMI Management, Inc.	0.00 %	0.00 %	0.00 %
44	Dixilyn-Field Drilling Company	0.00 %	0.00 %	0.00 %
45	DUKE COMMUNICATIONS HOLDINGS, INC.	0.00 %	0.00 %	0.00 %
46	DUKE COMMUNICATION SERVICES, INC.	0.00 %	0.00 %	0.00 %
	A A AV THURSDAY	<u>%</u>	%	9/
		% %	%	%
			/0]	
Corp. No.	Item 3d - Provide a description of any arrangement.			
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43			***************************************	
44				
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	l .			<del></del>

### Case No. 2009-202 Attach. AG-DR-01-017 A Page 64 of 81

_		Attach.	AG-DR-01-017 <b>A</b> Page 64 of 81	20-2777218 Page 3
Part i	(Rsv. 12-2005)  Madditional Stock Information (see instructions)			
	During the tax year, did the corporation have more than one class of stock out	standing?		Yes No
	"Yes," enter the name of the corporation and list and describe each class of			د ــــــ
·			01	
No.	Name of corporation YES NO		Class of stock	
47	DUKE ENERGY ADMINISTRATIVE SERVICES, INC.			
48	Duke Energy Allowance Management, LLC			
49	Duke Energy Capital of Texas, Inc.			
50	Duke Energy Design Supplier, Inc.			
51	Duke Energy Early Grove Company			***
0	ouring the tax year, was there any member of the consolidated group that real of disaffiliation?  The substitution is a substitution of the corporation of the consolidated group that real of the corporation of the corpo	ffiliated within 60 months		Yes No
Corp.	Name of corporation		Explanation	
	YES NO			
47	DUKE ENERGY ADMINISTRATIVE SERVICES, INC.			
48	Duke Energy Allowance Management, LLC			
49	Duke Energy Capital of Texas, Inc.			
50	Duke Energy Design Supplier, Inc.			<u> </u>
51	Duke Energy Early Grove Company			***************************************
		Al A		
	Ouring the tax year, was there any arrangement in existence by which one or r		1.	
1	nembers of the affiliated group could acquire any stock, or acquire any voting			Yes No
	the corporation, other than a de minimis amount, from the corporation or an			] 163 [] 110
	"Yes," enter the name of the corporation and see the instructions for what to	erner nr nems 3a, 3b, 3c, ar	na sa,	
Corp.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c
No. 47	DUKE ENERGY ADMINISTRATIVE SERVICES, INC.	0.00 %	0.00 %	0.00 %
48	Duke Energy Allowance Management, LLC	0.00 %	0.00 %	0.00 %
49	Duke Energy Capital of Texas, Inc.	0.00 %	0.00 %	0.00 %
50	Duke Energy Design Supplier, Inc.	0.00 %	0.00 %	0.00 %
51	Duke Energy Early Grove Company	0.00 %	0.00 %	0.00 %
		%	%	%
		%	%	%
		%	%	%
Corp.	Item 3d - Provide a description of any arrangement.			
47				
48				
49		- N		
50				
51				
***				
······································			A-T-#	
	<u> </u>			

### Case No. 2009-202 Attach. AG-DR-01-017

Page 65 of 81

20-2777218

David 1	(Rev. 12-2005)			Pag
rait i	Additional Stock Information (see instructions)		P	
	During the tax year, did the corporation have more than one class of stock o	=		Yes
	"Yes," enter the name of the corporation and list and describe each class of	of stock.		
J. No.	Name of corporation YES NO		Class of stock	
52	DUKE ENERGY ENGINEERING, INC.			
53	DUKE ENERGY ENTERPRISES CORP.			
54	Duke Energy Field Services Canada Holdings, Inc.			
55	Duke Energy Fossil-Hydro Californía, Inc.			
56	Duke Energy Gas Transmission Corporation			
	During the tax year, was there any member of the consolidated group that re	eaffiliated within 60 months		Yes 🗍
	"Yes," enter the name of the corporation(s) and explain the circumstances.			J
Corp. No.	Name of corporation YES NO		Explanation	
52	DUKE ENERGY ENGINEERING, INC.			
53	DUKE ENERGY ENTERPRISES CORP.			
54	Duke Energy Field Services Canada Holdings, Inc.			
55	Duke Energy Fossil-Hydro California, Inc.			·
56	Duke Energy Gas Transmission Corporation			
		r more persons that were not		
i E	During the tax year, was there any arrangement in existence by which one onembers of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or	ng power without acquiring st another member of the affiliat	tock, ted group?	Yes
E E	During the tax year, was there any arrangement in existence by which one one one of the affiliated group could acquire any stock, or acquire any votions.	ng power without acquiring st another member of the affiliat	tock, ted group?	Yes
E E n iii li	During the tax year, was there any arrangement in existence by which one of nembers of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or "Yes," enter the name of the corporation and see the instructions for what Name of corporation	ng power without acquiring st another member of the affiliat	tock, ted group?	Yes
E n ii li Corp.	During the tax year, was there any arrangement in existence by which one onembers of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation of the corporation and see the instructions for what Name of corporation	ng power without acquiring st another member of the affiliat to enter in Items 3a, 3b, 3c, a Item 3a	tock, ted group?	Item 3c
E n ii lt Corp. No.	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and the corporation and the corporation and the corporation are the instructions for what the corporation are the instructions for what the corporation are the co	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a	tock, ted group?	Item 3c
E n ii li Forp. No. 52	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and the corporation and the corporation are the instructions for what the name of corporation are the instructions for what the name of corporation are the instructions for what the name of corporation are the name of corporation are the name of corporation are the name of the corporation are the name of the name of corporation are the name of the name of corporation are the name of	ng power without acquiring st another member of the affiliat to enter in Items 3a, 3b, 3c, a Item 3a 0.00 % 0.00 %	tock, ted group?	Item 3c
E n h forp. No. 52 53	During the tax year, was there any arrangement in existence by which one of members of the affliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of the name of corporation and see the instructions for what the name of c	ng power without acquiring st another member of the affiliat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %	tock, ted group?	Item 3c 0.00 0.00
E n iii iii iii iii iii iii iii iii iii	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or if "Yes," enter the name of the corporation and see the instructions for what Name of corporation  Name of corporation  PES NO  DUKE ENERGY ENGINEERING, INC.  DUKE ENERGY ENTERPRISES CORP.  Duke Energy Field Services Canada Holdings, Inc.  Duke Energy Fossil-Hydro California, Inc.	ng power without acquiring st another member of the affiliat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %	tock, ted group?	Item 3c 0.00 0.00 0.00
E n h forp. No. 52 53	During the tax year, was there any arrangement in existence by which one of members of the affliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of the name of corporation and see the instructions for what the name of c	ng power without acquiring st another member of the affiliat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n n ii ii ii orp. No. 52 53 54 55	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or if "Yes," enter the name of the corporation and see the instructions for what Name of corporation  Name of corporation  PES NO  DUKE ENERGY ENGINEERING, INC.  DUKE ENERGY ENTERPRISES CORP.  Duke Energy Field Services Canada Holdings, Inc.  Duke Energy Fossil-Hydro California, Inc.	ng power without acquiring st another member of the affiliat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n ii Sorp. No. 52 53 54	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or if "Yes," enter the name of the corporation and see the instructions for what Name of corporation  Name of corporation  PES NO  DUKE ENERGY ENGINEERING, INC.  DUKE ENERGY ENTERPRISES CORP.  Duke Energy Field Services Canada Holdings, Inc.  Duke Energy Fossil-Hydro California, Inc.	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n iii li	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or if "Yes," enter the name of the corporation and see the instructions for what Name of corporation  Name of corporation  PES NO  DUKE ENERGY ENGINEERING, INC.  DUKE ENERGY ENTERPRISES CORP.  Duke Energy Field Services Canada Holdings, Inc.  Duke Energy Fossil-Hydro California, Inc.	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n n ii h ii corp. No. 52 53 56 Corp. No. 52 53 56 56 55 55 56	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of the corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation are corporation and see the instructions for what the corporation are co	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n n iii h ii corp. No. 52 53 54 55 56 Corp. No. 52 53 54	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of the corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation are corporation and see the instructions for what the corporation are co	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n n ii h ii corp. No. 52 53 54 55 56 55 55 55 55 55 55 55 55 55 55 55	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of the corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation are corporation and see the instructions for what the corporation are co	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n n ii h	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of the corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation are corporation and see the instructions for what the corporation are co	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n n ii h ii orp. No. 52 53 54 55 56 52 53 54 55 55	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of the corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation are corporation and see the instructions for what the corporation are co	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	tock, ted group?	Item 3c 0.00 0.00 0.00 0.00
E n n iii h ii h ii h ii h ii h ii h ii	During the tax year, was there any arrangement in existence by which one of members of the affiliated group could acquire any stock, or acquire any votion the corporation, other than a de minimis amount, from the corporation or the corporation and see the instructions for what the name of the corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of corporation and see the instructions for what the name of the corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation and see the instructions for what the corporation are corporation are corporation and see the instructions for what the corporation are co	ng power without acquiring st another member of the affitiat to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	tock, ted group?	item 3c 0.00 0.00 0.00 0.00

#### Case No. 2009-202 Attach. AG-DR-01-017 A Page 66 of 81

20-2777218

2012/12/2012 00:00:00	(Rev. 12-2005)			Page 3
Part I	Additional Stock Information (see instructions)			
1 0	During the tax year, did the corporation have more than one class of stock	outstanding?		Yes No
	"Yes," enter the name of the corporation and list and describe each class	of stock.		
2.	Name of corporation		Class of stock	
NO.	YES NO		Class of stock	
57	Duke Energy Gas Transmission Resources, Inc.			
58	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC			
59	DUKE ENERGY GENERATION SERVICES, INC.			
60	DUKE ENERGY GLOBAL MARKETS, INC.			
61	Duke Energy Group Holdings, LLC			
2 D	turing the tax year, was there any member of the consolidated group that	reaffillated within 60 month	\$	
0	f disaffiliation?			Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstance	S		
C				
Corp. No.	Name of corporation		Explanation	
	YES NO			
57	Duke Energy Gas Transmission Resources, Inc.			
58	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC			
59	DUKE ENERGY GENERATION SERVICES, INC.			
60	DUKE ENERGY GLOBAL MARKETS, INC.			
61	Duke Energy Group Holdings, LLC			
-				
				***************************************
	ruring the tax year, was there any arrangement in existence by which one	·		
	nembers of the affiliated group could acquire any stock, or acquire any vol	~,		
	the corporation, other than a de minimis amount, from the corporation or			Yes No
- 1	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3d	c, and 3d.	
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c
<u>No.</u> 57	YES NO  Duke Energy Gas Transmission Resources, Inc.	0.00.00	0.00.01	0.00
58	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC	0.00 %	0.00 %	0.00 %
59	DUKE ENERGY GENERATION SERVICES, INC.	0.00 %	0.00 %	0.00 %
60	DUKE ENERGY GLOBAL MARKETS, INC.	0.00 %		0.00 %
61	Duke Energy Group Holdings, LLC	0.00 %	0.00 %	0.00 %
	buce thergy droup notarings, tet		0.00 %	0.00 %
		%	%	%
	40.7	%	%	%
Corn		761	%	%
Corp.	Item 3d - Provide a description of any arrangement.			
57				
58	,			2000
59				The second secon
60	A. A. v. ab. at. at. at. at. at. at. at. at. at. at			
61				
	Particular and the second seco		(III)	
		The state of the s		

### Case No. 2009-202 Attach. AG-DR-01-017A Page 67 of 81

20-2777218

STATE OF THE STATE	Rev. 12-2005)			Page 3
Part I	Additional Stock Information (see instructions)			
1 D	uring the tax year, did the corporation have more than one class of stock of	outstanding?		Yes No
	"Yes," enter the name of the corporation and list and describe each class	of stock.		
J.	Name of corporation		Class of stock	
No.	YES NO			
62	Duke Energy GS Funding Company			
63	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.)			
64	DUKE ENERGY INTERNATIONAL, INC.			
65	DUKE ENERGY KENTUCKY, INC.			
66	Duke Energy Lavaca, Inc.			
-				
2 0	uring the tax year, was there any member of the consolidated group that r	ealfiliated within 60 months		
0	f disaffiliation?			Yes No
lf	"Yes," enter the name of the corporation(s) and explain the circumstances	i.	<b></b>	
Corp.	Name of corporation		Explanation	
No.	YES NO			
62	Duke Energy GS Funding Company			
63	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.)			
64	DUKE ENERGY INTERNATIONAL, INC.			
65	DUKE ENERGY KENTUCKY, INC.			
66	Duke Energy Lavaca, Inc.			
3 D	uring the tax year, was there any arrangement in existence by which one of	or more persons that were r	10t	
	nembers of the affiliated group could acquire any stock, or acquire any voti			
ir	the corporation, other than a de minimis amount, from the corporation or	another member of the affil	iated group?	Yes No
	"Yes," enter the name of the corporation and see the instructions for what			
Corp.		1	(	ltown On
No.	Name of corporation YES NO	ltem 3a	Item 3b	Item 3c
62	Duke Energy GS Funding Company	0.00 %	0.00 %	0.00 %
63	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.)	0.00 %	0.00 %	0.00 %
64	DUKE ENERGY INTERNATIONAL, INC.	0.00 %	0.00 %	0.00 %
65	DUKE ENERGY KENTUCKY, INC.	0.00 %	0.00 %	0.00 %
66	Duke Energy Lavaca, Inc.	0.00 %	0.00 %	0.00 %
		%	%	%
		%	%	%
		%	%	%
Corp.		L		
No.	Item 3d - Provide a description of any arrangement.			
62				
63				
64				\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
65				
66		And the latest the lat		
	The state of the s			

### Case No. 2009-202 Attach. AG-DR-01-017A Page 68 of 81

20-2777218

During the tax year, did the corporation have more than one class of stock outstanding?  If "Yes," enter the name of the corporation and list and describe each class of stock.  Name of corporation  YES NO  Class of  DUKE ENERGY LEE HOLDING, INC.  Buke Energy LNG Sales, Inc.  Duke Energy Marketing America, LLC.  Duke Energy Marketing Canada Corp.  To DUKE ENERGY MARKETING CORPORATION	stock Yes	☐ No
If "Yes," enter the name of the corporation and list and describe each class of stock.  No. Name of corporation YES NO  OUKE ENERGY LEE HOLDING, INC.  Buke Energy LNG Sales, Inc.  Duke Energy Marketing America, LLC  Ouke Energy Marketing Canada Corp.		No No
No. Name of corporation YES NO Class of  OUKE ENERGY LEE HOLDING, INC.  Duke Energy LNG Sales, Inc.  Duke Energy Marketing America, LLC  Duke Energy Marketing Canada Corp.	stock	
No. Name of corporation YES NO  67 DUKE ENERGY LEE HOLDING, INC.  68 Duke Energy LNG Sales, Inc.  69 Duke Energy Marketing America, LLC  70 Duke Energy Marketing Canada Corp.	stock	
No. YES NO  67 DUKE ENERGY LEE HOLDING, INC.  68 Duke Energy LNG Sales,Inc.  69 Duke Energy Marketing America, LLC  70 Duke Energy Marketing Canada Corp.	3,000	
58 Duke Energy LNG Sales,Inc. 69 Duke Energy Marketing America, LLC 70 Duke Energy Marketing Canada Corp.		
69 Duke Energy Marketing America, LLC 70 Duke Energy Marketing Canada Corp.		
70 Duke Energy Marketing Canada Corp.		
71 DUKE ENERGY MARKETING CORPORATION		
2 During the tax year, was there any member of the consolidated group that realfiliated within 60 months		
of disaffiliation?	Yes	No
If "Yes," enter the name of the corporation(s) and explain the circumstances.		
Corp. Name of corporation Explana	ation	
YES NO		
67 DUKE ENERGY LEE HOLDING, INC.		
68 Duke Energy LNG Sales, Inc.		
69 Duke Energy Marketing America, LLC		
70 Duke Energy Marketing Canada Corp.		
71 DUKE ENERGY MARKETING CORPORATION		
3 During the tax year, was there any arrangement in existence by which one or more persons that were not		
and and the fact the trop and mixing course in executive by titlets of the persons that were the form		
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock,		
	Yes	☐ No
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock,	. Yes	☐ No
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  Name of corporation		No am 3c
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  No.  Name of corporation  YES NO  Rem 3a  Item 3	3b Ite	em 3c
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp. No.  Name of corporation YES NO  Rem 3a  Item 3  O.00 %	0.00 %	em 3c 0.00 %
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  No.  No.  No.  No.  No.  No.  No.  N	0.00 % 0.00 %	em 3c 0.00 % 0.00 %
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  No.  No.  No.  No.  No.  No.  No.  N	0.00 % 0.00 % 0.00 %	em 3c 0.00 % 0.00 % 0.00 %
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  No.  No.  Name of corporation YES NO  Rem 3a  Item 3  O.00 %  Buke Energy Lee Holding, Inc.  O.00 %  Ouke Energy Marketing America, LLC  D.00 %  To Duke Energy Marketing Canada Corp.  No.  O.00 %	0.00 % 0.00 % 0.00 % 0.00 %	em 3c  0.00 %  0.00 %  0.00 %  0.00 %
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  No.  No.  No.  No.  No.  No.  No.  N	0.00 % 0.00 % 0.00 %	em 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  No.  No.  Ouke Energy Lee Holding, Inc.  Duke Energy Lng Sales, Inc.  Ouke Energy Marketing America, LLC  Duke Energy Marketing Canada Corp.  Duke Energy Marketing Canada Corp.  Ouke Energy Marketing Corporation  Ouke Energy Marketing Corporation   0.00 % 0.00 % 0.00 % 0.00 % 0.00 %	0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %	
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members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  Name of corporation  Name of corporation  YES NO  Item 3a  Item 3  Item 3  67  DUKE ENERGY LEE HOLDING, INC.  68  Duke Energy LNG Sales, Inc.  69  Ouke Energy Marketing America, LLC  D.00 %  70  Duke Energy Marketing Canada Corp.  DUKE ENERGY MARKETING CORPORATION  0.00 %  Corp. No.  Item 3d - Provide a description of any arrangement.  67  68  69  70	0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %	0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 % %
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  No.  Name of corporation  YES NO  Nem 3a  Item 3  Item 3  67  DUKE ENERGY LEE HOLDING, INC.  68  Duke Energy LNG Sales, Inc.  69  Ouke Energy Marketing America, LLC  D.00 %  70  Duke Energy Marketing Canada Corp.  DUKE ENERGY MARKETING CORPORATION  0.00 %  Corp. No.  Item 3d - Provide a description of any arrangement.  67  68  69  70	0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %	0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 % %

### Case No. 2009-202 Attach. AG-DR-01-017**A** Page 69 of 81

20-2777218

1 During the tax year, did the corporation have more than one class of stock outstanding?  If "Yes," onier the name of the corporation of the corp		Rev. 12-2005)			Page 3
No. Name of corporation of the corporation of the corporation YES No Class of stock  Name of corporation  72 Duke Energy Natural Gas Corporation  73 Duke Energy Natural Gas Corporation  74 Duke Energy Natural Gas Corporation  75 Duke Energy Natural Gas Corporation  76 Duke Energy Natural Gas Corporation  77 Duke Energy Natural Gas Corporation  78 Duke Energy Power Assets Holding, Inc.  79 Duke Energy Power Assets Holding, Inc.  70 Duke Energy Power Assets Holding, Inc.  8 Paplanation  9 Duke Energy Natural Gas Corporation  9 Duke Energy Power Assets Holding, Inc.  9 Duke Energy Fower Assets Holding, Inc.  10 Duke Energy Fower Assets Holding, Inc.  11 Page Power Assets Holding, Inc.  12 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voing power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? Paplanation  10 Duke Energy Natural Gas Corporation  11 Page Power Assets Holding, Inc.  12 Duke Energy Natural Gas Corporation  13 Duke Energy Natural Gas Corporation  14 Duke Energy Natural Gas Corporation  15 Duke Energy Natural Gas Corporation  16 Duke Energy Natural Gas Corporation  17 Duke Energy Natural Gas Corporation  18 Duke Energy Natural Gas Corporation  19 Duke Energy Natural Gas Corporation  19 Duke Energy Natural Gas Corporation  10 Duke Energy Natural Gas Corporation  10 Duke Energy Natural Gas Corporation  11 Page Page Page Page Page Page Page Page	Part I	Additional Stock Information (see instructions)			
No.   Name of corporation   YES NO   Class of stock	1 D	ruring the tax year, did the corporation have more than one class of stock of	outstanding?		Yes No
No. YES NO 72 Duke Energy Northeast Transmission Company 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy Northeast Transmission Company 76 Duke Energy Northeast Transmission Company 77 Duke Energy Power Assets Holding, Inc. 78 Duke Energy Power Assets Holding, Inc. 79 Duke Energy Northeast Transmission Company 70 No. Name of corporation 71 Yes," enter the name of the corporation(s) and explain the circumstances. 72 Duke Energy Northeast Transmission Company 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy Northeast Transmission Company 76 Duke Energy Northeast Transmission Company 77 Duke Energy Northeast Transmission Company 78 Duke Energy Northeast Transmission Company 79 Duke Energy Northeast Transmission Company 70 Duke Energy Northeast Transmission Company 71 Duke Energy Northeast Transmission Company 72 Duke Energy Northeast Transmission Company 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy Northeast Transmission Company 76 Duke Energy Northeast Transmission Company 77 Duke Energy Northeast Transmission Company 78 Duke Energy Northeast Transmission Company 79 Duke Energy Northeast Transmission Company 70 Duke Energy Northeast Transmission Company 71 Duke Energy Northeast Transmission Company 72 Duke Energy Northeast Transmission Company 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy Northeast Transmission Company 76 Duke Energy Northeast Transmission Company 77 Duke Energy Northeast Transmission Company 78 Duke Energy Northeast Transmission Company 79 Duke Energy Northeast Transmission Company 70 Duke Energy Northeast Transmission Company 71 Duke Energy Northeast Transmission Company 72 Duke Energy Northeast Transmission Company 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy Northeast Transmission Company 76 Duke	<u></u>	"Yes," enter the name of the corporation and list and describe each class	of stock.		
No. YES NO  72 Duke Energy Northeast Transmission Company  73 Duke Energy Northeast Transmission Company  74 Duke Energy Northeast Transmission Company  75 Duke Energy Northeast Transmission Company  76 Duke Energy Power Assets Holding, Inc.  77 Duke Energy Power Assets Holding, Inc.  78 Duke Energy Power Assets Holding, Inc.  79 Duke Energy Rower Assets Holding, Inc.  70 Duke Energy Rower Assets Holding, Inc.  70 Duke Energy Rower Assets Holding, Inc.  71 Duke Energy Northeast Transmission Company  72 Duke Energy Northeast Transmission Company  73 Duke Energy Northeast Transmission Company  74 Duke Energy Northeast Transmission Company  75 Duke Energy Northeast Transmission Company  76 Duke Energy Northeast Transmission Company  77 Duke Energy Northeast Transmission Company  78 Duke Energy Northeast Transmission Company  79 Duke Energy Northeast Transmission Company  80 Duke Energy Northeast Transmission Company  70 Duke Energy Northeast Transmission Company  81 Transmission Company  82 Duke Energy Northeast Transmission Company  83 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the attilisated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another members of the attilisated group?  84 Transmission Company  85 No  86 Duke Energy Hatural Gas Corporation  96 Duke Energy Hatural Gas Corporation  97 Duke Energy Intermediate Group Could acquire any stock, or acquire any voting power without acquiring stock, in the corporation of another member of the attilisated group?  98 Power Assets Holding, Inc.  98 Power Assets Holding, Inc.  99 Duke Energy Hatural Gas Corporation  90 Duke Energy Hatural Gas Corpor	•.	Name of competition		Class of stock	
73 Duke Energy Northeast Transmission Company 74 Duke Energy Power Assets Holding, Inc. 75 Duke Energy Power Assets Holding, Inc. 76 Duke Energy Power Assets Holding, Inc. 77 Duke Energy Power Assets Holding, Inc. 78 Duke Energy Power Assets Holding, Inc. 79 Duke Energy Power Assets Holding, Inc. 8 Duke Energy Power Assets Holding, Inc. 8 Duke Energy Holding The Law year, was there any member of the consolidated group that realfiliated within 60 months of disaffiliation? 8	No.	YES NO		Class of stock	
74. DUKE ERREY ONE, INC. (FKA CINERRY ONE, INC.) 75. DUKE ERREY ONE, INC. (FKA CINERRY ONE, INC.) 76. Duke Energy Power Assets Holding, Inc.  2 Duting the tax year, was there any member of the consolidated group that realfiliated within 60 months of diseffiliation?  W Yes. enter the name of the corporation(s) and explain the circumstances.  Corp. Name of corporation  YES NO  72. Duke Energy Natural Gas Corporation 73. Duke Energy Northeast Transatission Company 74. Duke Energy Northeast Transatission Company 75. Duke Energy Power Assets Holding, Inc.  3 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voling power without acquiring stock, in the corporation, other than a de minimizer amount, fore in the corporation or another members of the affiliated group?  W Yes. enter the name of the corporation and sea the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp. Name of corporation YES No 72. Duke Energy Natural Gas Corporation 73. Duke Energy Natural Gas Corporation 74. Duke Energy Natural Gas Corporation 75. Duke Energy Natural Gas Corporation 76. Duke Energy Natural Gas Corporation 77. Duke Energy Natural Gas Corporation 78. Duke Energy Natural Gas Corporation 79.	72	Duke Energy Natural Gas Corporation			
75 DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.)  76 Duke Energy Power Assets Holding, Inc.  2 During the tax year, was there any member of the consolidated group that reaffiliated within 60 months of diseffiliation?  7 Yes, enter the name of the corporation(s) and explain the circumstances.  Corp. Name of corporation  72 Duke Energy Natural Gas Corporation  73 Duke Energy Northeast Transatission Company  74 Duke Energy Northeast Transatission Company  Duke Energy Power Assets Holding, Inc.  75 Duke Energy Power Assets Holding, Inc.  3 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation when then a de minimism amount, from the corporation or another member of the affiliated group?  7 Yes In Yes, enter the name of the corporation and see the instructions for what to enter in terms 3a, 3b, 3c, and 3d.  Corp. Name of corporation  7 Duke Energy Natural Gas Corporation  7 Duke Energy Note, Inc. (FKA CINERGY ONE, INC.)  7 Duke Energy Note, Inc. (FKA CINERGY ONE, INC.)  7 Duke Energy Note, Inc. (FKA CINERGY ONE, INC.)  7 Duke Energy Power Assets Holding, Inc.  7 Duke Genery Note Assets Holding, Inc.  9 Duke Genery Note Assets Holding, Inc.  9 Duke Genery Note Assets Holding, Inc.  9 Duke Genery Note Assets Holding	73	Duke Energy Northeast Transmission Company			
76 Buke Energy Power Assets Holding, Inc.  2 Duffing the tax year, was there any member of the consolidated group that realfiliated within 60 months of diseffiliation?  M Yes, enter the name of the corporation(s) and explain the circumstances.  Corp. No. YES NO  2 Duke Energy Natural, Gas Corporation  73 Duke Energy Network (Final Circumstances)  74 Duke Energy Network (Final Circumstance)  75 Duke Energy Network (Final Circumstance)  76 Duke Energy Power Assets Holding, Inc.  77 Duke Energy Power Assets Holding, Inc.  78 Duking the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voiling power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  Corp. No. The Name of corporation and sate the instructions for what to enter in items 3a, 3b, 3c, and 3d.  Tem 3a Item 3b Item 3c  To Duke Energy Hatural Gas Corporation  78 Duke Energy Hatural Gas Corporation  79 Duke Energy Hatural Gas Corporation  70 Duke Energy Hatural Gas Corporation  71 Duke Energy Hortheast Transmission Company  72 Duke Energy Hortheast Transmission Company  73 Duke Energy Hortheast Transmission Company  74 Duke Energy Hortheast Transmission Company  75 Duke Energy Hortheast Transmission Company  76 Duke Energy Power Assets Holding, Inc.  77 Duke Energy Power Assets Holding, Inc.  78 Duke Energy Power Assets Holding, Inc.  79 Duke Energy Power Assets Holding, Inc.  70 Duke Energy Power Assets Holding, Inc.  70 Duke Energy Power Assets Holding, Inc.  71 Duke Energy Power Assets Holding, Inc.  72 Duke Energy Power Assets Holding, Inc.  73 Duke Energy Power Assets Holding, Inc.  74 Duke Energy Power Assets Holding, Inc.  75 Duke Energy Power Assets Holding, Inc.  76 Duke Energy Power Assets Holding, Inc.  77 Duke Energy Power Assets Holding, Inc.  78 Duke Energy Power Assets Holding, Inc.  79 Duke Energy Power Assets Holding, Inc.  10 Duke Energy	74	DUKE ENERGY OHIO, INC.			
2 During the tax year, was there any member of the consolidated group that realifilated within 60 months of disaffiliation?  If "Yes," enter the name of the corporation(s) and explain the circumstances.  Corp. No. YES NO  72 Duke Energy Naturel Gas Corporation 73 Duke Energy Northeast Transatission Company 74 Duke Energy Northeast Transatission Company 75 Duke Energy Northeast Transatission Company 76 Duke Energy Power Assets Holding, Inc. 77 Duke Energy Power Assets Holding, Inc.  During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  No Name of corporation Name of corporation NES NO  72 Duke Energy Natural Gas Corporation 75 Duke Energy Natural Gas Corporation 76 Duke Energy Natural Gas Corporation 77 Duke Energy Natural Gas Corporation 78 Duke Energy Natural Gas Corporation 79 Duke Energy Natural Gas Corporation 70 Duke Energy Natural Gas Corporation 71 Duke Energy Natural Gas Corporation 72 Duke Energy Northeast Transatission Company 73 Duke Energy Northeast Transatission Company 74 Duke Energy Northeast Transatission Company 75 Duke Energy Northeast Transatission Company 76 Duke Energy Power Assets Holding, Inc. 77 Duke Energy Power Assets Holding, Inc. 78 Duke Energy Power Assets Holding, Inc. 79 Duke Energy Power Assets Holding, Inc. 70 Duke Energy Power Assets Holding, Inc. 71 Duke Energy Power Assets Holding, Inc. 72 Duke Energy Power Assets Holding, Inc. 73 Duke Theory Power Assets Holding, Inc. 74 Section 10 Duke Theory Power Assets Holding, Inc. 75 Duke Theory Power Assets Holding, Inc. 76 Duke Theory Power Assets Holding, Inc. 77 Section 10 Duke Theory Power Assets Holding, Inc. 78 Section 10 Duke Theory Power Assets Holding, Inc. 79 Section 10 Duke Theory Power Assets Holding, Inc. 10 Duke Theory Power Assets Holding, Inc. 11 D	75	DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.)			
of disaffiliation?  If Yes enter the name of the corporation(s) and explain the circumstances.  Corp. Name of corporation No.  72 Duke Energy Natural, Gas Corporation 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy One, Inc. (FKA CINERCY ONE, Inc.) 76 Duke Energy Power Assets Holding, Inc.  30 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another members of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Rems 3a, 3b, 3c, and 3d.  Corp. No. No. Name of corporation No.	76	Duke Energy Power Assets Holding, Inc.			
of disaffiliation?  If Yes enter the name of the corporation(s) and explain the circumstances.  Corp. Name of corporation No.  72 Duke Energy Natural, Gas Corporation 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy One, Inc. (FKA CINERCY ONE, Inc.) 76 Duke Energy Power Assets Holding, Inc.  30 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another members of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Rems 3a, 3b, 3c, and 3d.  Corp. No. No. Name of corporation No.					
of disaffiliation?  If Yes enter the name of the corporation(s) and explain the circumstances.  Corp. Name of corporation No.  72 Duke Energy Natural, Gas Corporation 73 Duke Energy Northeast Transmission Company 74 Duke Energy Northeast Transmission Company 75 Duke Energy One, Inc. (FKA CINERCY ONE, Inc.) 76 Duke Energy Power Assets Holding, Inc.  30 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another members of the affiliated group?  If "Yes," enter the name of the corporation and see the instructions for what to enter in Rems 3a, 3b, 3c, and 3d.  Corp. No. No. Name of corporation No.					
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Corp. No. Name of corporation YES No  Texplanation  Explanation  Expla	0	f disaffiliation?			Yes No
No.  YES NO  72 Duke Energy Natural Gas Corporation 73 Duke Energy Northeast Transmission Company 74 Duke Energy Onlo, INC. 75 Duke Energy Onlo, INC. 76 Duke Energy Power Assets Holding, Inc.  3 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  16 "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp. No.  Name of corporation YES No  1 Item 3a  1 Item 3b  1 Item 3c  7 Duke Energy Northeast Transmission Company 0.00 %	lf				
No.  YES NO  72 Duke Energy Natural Gas Corporation 73 Duke Energy Northeast Transmission Company 74 Duke Energy Onlo, INC. 75 Duke Energy Onlo, INC. 76 Duke Energy Power Assets Holding, Inc.  3 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  16 "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp. No.  Name of corporation YES No  1 Item 3a  1 Item 3b  1 Item 3c  7 Duke Energy Northeast Transmission Company 0.00 %					
Duke Energy Natural Gas Corporation  73 Duke Energy Northeast Transmission Company  74 DUKE ENERGY ONE, TINC. (FKA CINERGY ONE, INC.)  75 DUKE ENERGY ONE, TINC. (FKA CINERGY ONE, INC.)  76 Duke Energy Power Assets Holding, Inc.  3 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  1 "Yes." enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp.  Name of corporation  YES NO  No.  72 Duke Energy Natural Gas Corporation  YES NO  1 Item 3a Item 3b Item 3c  No.  74 Duke Energy Northeast Transmission Company  0.00 % 0.00 % 0.00 % 0.00  75 Duke ENERGY ONE, INC. (FKA CINERGY ONE, INC.)  0.00 % 0.00 % 0.00 % 0.00  76 Duke Energy Power Assets Holding, Inc.  0.00 % 0.00 % 0.00  76 Duke Energy Power Assets Holding, Inc.  1 Duke Energy Power Assets Holding, Inc.  2 Duke Energy Power Assets Holding, Inc.  2 Duke Energy Power Assets Holding, Inc.  2 Duke Energy Power Assets Holding, Inc.  3 Duke Description of any arrangement.		Name of corporation		Explanation	
73 Duke Energy Northeast Transmission Company 74 DUKE ENERGY ONIO, INC. 75 DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.) 76 Duke Energy Power Assets Holding, Inc.  3 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  16 "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.  Corp. No. 72 Duke Energy Natural Gas Corporation 73 Duke Energy Natural Gas Corporation 74 Duke Energy Northeast Transmission Company 75 Duke Energy Northeast Transmission Company 76 Duke Energy Northeast Transmission Company 77 Duke Energy Northeast Transmission Company 78 Duke Energy Northeast Transmission Company 79 Duke Energy Northeast Transmission Company 70 Duke Energy Northeast Transmission Company 70 Duke Energy Northeast Transmission Company 71 Duke Energy Northeast Transmission Company 72 Duke Energy Power Assets Holding, Inc. 73 Duke Energy Power Assets Holding, Inc. 74 Duke Energy Power Assets Holding, Inc. 75 Duke Energy Power Assets Holding, Inc. 76 Duke Duke Energy Power Assets Holding, Inc. 77 Duke Duke Dengy Power Assets Holding, Inc. 78 Duke Duke Dengy Power Assets Holding, Inc. 79 Duke Duke Dengy Power Assets Holding, Inc. 79 Duke Dengy Power Assets Holding, Inc. 70 Duke Dengy Power Assets Holding, Inc. 71 Duke Dengy Power Assets Holding, Inc. 72 Duke Dengy Power Assets Holding, Inc. 73 Duke Dengy Power Assets Holding, Inc. 74 Duke Dengy Power Assets Holding, Inc. 75 Duke Dengy Power Assets Holding, Inc. 76 Duke Dengy Power Assets Holding, Inc. 77 Duke Dengy Power Assets Holding, Inc. 78 Duke Dengy Power Assets Holding, Inc. 79 D	No.	YES NO			
Duke ENERGY ONE, INC. (FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?  Yes No  Name of corporation Name of corporation YES No  1tem 3a	72	Duke Energy Natural Gas Corporation			
During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?	73	Duke Energy Northeast Transmission Company			
During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? Yes Noter Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.    Corp.	74	DUKE ENERGY OHIO, INC.			
3 During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?	75	DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.)			
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? Noter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.    Corp. No.	76	Duke Energy Power Assets Holding, Inc.			
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? Noter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.    Corp. No.					
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? Noter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.    Corp. Name of corporation					
members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? Noter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d.    Corp. Name of corporation					
in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group?	3 D	ruring the tax year, was there any arrangement in existence by which one	or more persons that were r	10t	
Transmission   Name of the corporation   Name of corporation   YES NO   Item 3a   Item 3b   Item 3c	n	nembers of the affiliated group could acquire any stock, or acquire any vot	ing power without acquiring	stock,	
Corp. No.         Name of corporation         YES NO         Item 3a         Item 3b         Item 3c           72         Duke Energy Natural Gas Corporation         0.00 %         0.00 %         0.00 %         0.00           73         Duke Energy Northeast Transmission Company         0.00 %         0.00 %         0.00 %         0.00           74         DUKE ENERGY OHIO, INC.         0.00 %         0.00 %         0.00         0.00           75         DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.)         0.00 %         0.00 %         0.00           76         Duke Energy Power Assets Holding, Inc.         0.00 %         0.00 %         0.00           76         %         %         %           Corp. No         Item 3d - Provide a description of any arrangement.         72         73           74         74         74         74         74	ir	the corporation, other than a de minimis amount, from the corporation or	another member of the affil	iated group?	Yes No
No.   Name of Corporation   YES NO   Item 3a   Item 3a   Item 3c	lf.	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3c	, and 3d.	
No.   YES NO	Corp.	Name of composition	Itam 2a	Item 3h	Itom 3c
73   Duke Energy Northeast Transmission Company   0.00 %   0.00 %   0.00 %     74   DUKE ENERGY OHIO, INC.   0.00 %   0.00 %   0.00 %     75   DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.)   0.00 %   0.00 %   0.00 %     76   Duke Energy Power Assets Holding, Inc.   0.00 %   0.00 %   0.00 %     8   %   %   %     9   %   %     Corp. No   Item 3d - Provide a description of any arrangement.     72   73   74	No.	YES NO	nom sa	Tem 5b	Rem oc
74 DUKE ENERGY OHIO, INC.	72	Duke Energy Natural Gas Corporation	0.00 %	0.00 %	000 %
75 DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.) 0.00 % 0.	73	Duke Energy Northeast Transmission Company	0.00 %	0.00 %	0.00 %
76       Duke Energy Power Assets Holding, Inc.       0.00 %       0.00 %       0.00 %         8       %       %       %         Corp. No       Item 3d - Provide a description of any arrangement.       8       8         72       73       74       74	74	DUKE ENERGY OHIO, INC.	0.00 %	0.00 %	0.00 %
	75	DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.)	0.00 %	0.00 %	0.00 %
Corp. No Item 3d - Provide a description of any arrangement.	76	Duke Energy Power Assets Holding, Inc.	0.00 %	0.00 %	0.00 %
Corp. No Item 3d - Provide a description of any arrangement.  72  73  74			%	%	%
Corp. No Item 3d - Provide a description of any arrangement.  72 73 74			%	%	%
No 1611/35 - 1101/de à description of any arrangement.  72 73 74			%	%	%
No 1611/35 - 1101/de à description of any arrangement.  72 73 74	Corp.	Item 3d - Provide a description of any arrangement			
73		neit 30 - Provide a description of any arrangement.			
74	72				
	73				-
75	74				
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					4.4.
76	75				

### Case No. 2009-202 Attach. AG-DR-01-017**A** Page 70 of 81

20-2777218

Form 851	Rev. 12–2005)			Page 3
Part I	Madditional Stock Information (see instructions)			
1 0	ruring the tax year, did the corporation have more than one class of stock of	outstanding?		Yes No
<u></u>	"Yes," enter the name of the corporation and list and describe each class	of stock.		
<i>J</i>	Name of corporation		Class of stock	
No.	YES NO			
77	Duke Energy Power Generating, LLC			
78	DUKE ENERGY REGISTRATION SERVICES, INC.			
79	DUKE ENERGY RETAIL SALES, LLC	.,		
80	Duke Energy Services, Inc.			
81	DUKE ENERGY SHARED SERVICES, INC.			
	ouring the tax year, was there any member of the consolidated group that r	ealfiliated within 60 months		1 v 🗀 v
	f disaffiliation?			Yes No
lt	"Yes," enter the name of the corporation(s) and explain the circumstances			
Corp.	Name of corporation		Explanation	
No.	YES NO		скрівнивон	
77	Duke Energy Power Generating, LLC			
78	DUKE ENERGY REGISTRATION SERVICES, INC.			
79	DUKE ENERGY RETAIL SALES, LLC			
80	Duke Energy Services, Inc.			
81	DUKE ENERGY SHARED SERVICES, INC.			
	PORE EMERGY MINNED SERVICES, INC.			
3 D	Turing the tax year, was there any arrangement in existence by which one	ir more persons that were pe	ot	
	nembers of the affiliated group could acquire any stock, or acquire any vot	*		
	the corporation, other than a de minimis amount, from the corporation or		<del></del>	Yes No
	"Yes," enter the name of the corporation and see the instructions for what			
Corp.	Name of corporation	ltem 3a	Item 3b	Item 3c
No.	YES HO	Heiri Sa	nem ob	TIGHT 3C
77	Duke Energy Power Generating, LLC	0.00 %	0.00 %	0.00 %
78	DUKE ENERGY REGISTRATION SERVICES, INC.	0.00 %	0.00 %	0.00 %
79	DUKE ENERGY RETAIL SALES, LLC	0.00 %	0.00 %	0.00 %
80	Duke Energy Services, Inc.	0.00 %	0.00 %	0,00 %
81	DUKE ENERGY SHARED SERVICES, INC.	0.00 %	0.00 %	0.00 %
		%	%	%
		%	%	<u>%</u>
		%	%	<u>%</u>
Corp.	Item 3d - Provide a description of any arrangement.			
No.				
77			······································	
78	T-			
79				
80				
81				

### Case No. 2009-202 Attach. AG-DR-01-017 A Page 71 of 81

20-2777218

	Rev. 12-2005)			Page	
Part I	Madditional Stock Information (see instructions)				
1 D	ouring the tax year, did the corporation have more than one class of stock	outstanding?		Yes N	io
<u></u>	"Yes," enter the name of the corporation and list and describe each class	ol stock.			
	Name of corporation		Class of stock		
No.	YES NO				
82	Duke Energy Southeast Pipeline Corporation				
83	Duke Energy Virginia Pipeline Company				
84	Duke Energy Westheimer LP				
85	DUKE ENGINEERING & SERVICES (EUROPE), INC.				
86	DUKE JAVA, INC.		****		
2 D	ruring the tax year, was there any member of the consolidated group that or	eaffiliated within 60 months			
o	f disaffiliation?			Yes N	lo
If	"Yes," enter the name of the corporation(s) and explain the circumstances				
Carn					
Corp. No.	Name of corporation		Explanation		
	YES NO				
82	Duke Energy Southeast Pipeline Corporation				
83	Duke Energy Virginia Pipetine Company	7-2-7-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-			
84	Duke Energy Westheimer LP				
85	DUKE ENGINEERING & SERVICES (EUROPE), INC.				
86	DUKE JAVA, INC.				
	during the tax year, was there any arrangement in existence by which one	•			
	nembers of the affiliated group could acquire any stock, or acquire any vot	• • • • • •	· · · · · · · · · · · · · · · · · · ·	<b>-</b>	
	the corporation, other than a de minimis amount, from the corporation or			Yes N	lo
	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3c,	and 3d.		
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c	
No. 82	YES NO Duke Energy Southeast Pipeline Corporation	0.00 %	0.00 %	0.00	%
83	Duke Energy Virginia Pipeline Company	0.00 %	0.00 %		<u>%</u>
84	Ouke Energy Westheimer LP	0.00 %	0.00 %		<u>%</u>
85	DUKE ENGINEERING & SERVICES (EUROPE), INC.	0.00 %	0.00 %		<u>%</u>
86	DUKE JAVA, INC.	0.00 %	0.00 %		<u>%</u>
	DONE JAVA, INC.		%		<u>%</u>
		%	%		<u>%</u>
		%	%		<u>^^</u>
	14.07F.	70]	70]		<u>^</u>
Corp. No.	Item 3d - Provide a description of any arrangement.				
82					
83	**				
84					
85					
86					

# Case No. 2009-202 Attach. AG-DR-01-017A Page 72 of 81

20-2777218

	Rev. 12-2005)		<del>~</del>		age 3
Part I	Additional Stock Information (see instructions)				
1 0	during the tax year, did the corporation have more than one class of stock	outstanding?		Yes	No
	"Yes," enter the name of the corporation and list and describe each class				
, <u> </u>			Olasa a 6 - 4 - 4		
No.	Name of corporation YES NO		Class of stock		
87	Duke Project Services Funding Corporation				
88	DUKE PROJECT SERVICES GROUP, INC.				
89	DUKE PROJECT SERVICES, INC.				
90	DUKE TECHNOLOGIES, INC.				
91	Duke Ventures, LLC				
	one ranco de la companya del companya del companya de la companya				
	the state of the s	radfiatad within CO mantha			
	turing the tax year, was there any member of the consolidated group that r	eannated within 60 months		7 7 [-7	N.
	t disatfiliation?	* ' * * * * * * * * * * * * * * * * * *	- • • • • • • • • • <u>L</u>	_ Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances	). 			
Corp.	Name of composition		Explanation		
No.	Name of corporation		Explanation		
	YES NO				
87	Duke Project Services Funding Corporation				
88	DUKE PROJECT SERVICES GROUP, INC.				
89	DUKE PROJECT SERVICES, INC.				
90	DUKE TECHNOLOGIES, INC.				
91	Duke Ventures, LLC		~		
			·····		
			·		
3 D	luring the tax year, was there any arrangement in existence by which one o	or more persons that were no	ot		
. n	nembers of the affiliated group could acquire any stock, or acquire any vot	ing power without acquiring	stock,		
ir	the corporation, other than a de minimis amount, from the corporation or	another member of the affilia	ated group?	Yes	No
If	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3c,	and 3d.		·····
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c	
No.	YES NO	Herri Ja	HOITI 30		
87	Duke Project Services Funding Corporation	0.00 %	0.00 %	0.00	%
88	DUKE PROJECT SERVICES GROUP, INC.	0.00 %	0.00 %	0.00	%
89	DUKE PROJECT SERVICES, INC.	0.00 %	0.00 %	0.00	%
90	DUKE TECHNOLOGIES, INC.	0.00 %	0.00 %	0.00	%
91	Duke Ventures, LLC	0.00 %	0.00 %	0.00	%
		%	%		%
		%	%		%
	- Control of the Cont	%	%		%
Corp.		L			
No.	Item 3d - Provide a description of any arrangement.				
87					
88			-		
89					
90		<u> </u>			
91					

# Case No. 2009-202 Attach. AG-DR-01-017 Page 73 of 81

20-2777218

************	Rev. 12-2005)				age J
Part I					
t D	uring the tax year, dld the corporation have more than one class of stock of	outstanding?		Yes	Мо
	"Yes," enter the name of the corporation and list and describe each class	of stock.			
	Name of corporation		Class of stock		
NÖ.	YES NO	——————————————————————————————————————			
92	DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.)				
93	DUKE-RELIANT RESOURCES, INC.		· · · · · · · · · · · · · · · · · · ·		
94	DUKETEC, LLC (FKA CINTEC, LLC)				
95	EASTOVER LAND COMPANY				
96	EASTOVER HINING COMPANY				
2 D	uring the tax year, was there any member of the consolidated group that r	eaffiliated within 60 months			
	f disaffiliation?			Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances				
	Too, Once the market of the constraint of the co				
Corp.	Name of corporation		Explanation		
No.	YES NO				
92	DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.)		······································	***************************************	
93	DUKE-RELIANT RESOURCES, INC.				
94	DUKETEC, LLC (FKA CINTEC, LLC)				
95	EASTOVER LAND COMPANY			7.5	
96	EASTOVER MINING COMPANY				
	Livery of the state of the stat				
				***************************************	
	the second in avidence by which are	r mare pareage that were as		······	
	ouring the tax year, was there any arrangement in existence by which one				
	nembers of the affiliated group could acquire any stock, or acquire any vot I the corporation, other than a de minimis amount, from the corporation or			☐ Yes ☐	No
	"Yes," enter the name of the corporation and see the instructions for what		****		"
	16s, enter the name of the corporation and see the instructions for what	to enter in tiens 3a, 3b, 3c,	and su.		
Corp.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c	
<u>No.</u> 92	DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.)	0.00 %	0.00 %	0.00	%
93	DUKE-RELIANT RESOURCES, INC.	0.00 %	0.00 %	0.00	<del>/</del> %
94	DUKETEC, LLC (FKA CINTEC, LLC)	0.00 %	0.00 %	0.00	<del>- %</del>
95	EASTOVER LAND COMPANY	0.00 %	0.00 %	0.00	~ <del>_</del> %
96		0.00 %	0.00 %	0.00	<del>/</del> %
70	EASTOVER MINING COMPANY			0.00	
		%	%		%
		%	%		<u>%</u>
		%	%		%
Corp.	Item 3d - Provide a description of any arrangement.				
No.					
92					
93					
94					
95					
96					

Case No. 2009-202 Attach. AG-DR-01-017**A** Page 74 of 81

20-2777218

******************	Rev. 12-2005)			Page 3
Part I	Additional Stock Information (see instructions)			
1 D	uring the tax year, did the corporation have more than one class of stock of	outstanding?		Yes No
<u>If</u>	"Yes," enter the name of the corporation and list and describe each class	of stock.		
1	Name of corporation		Class of stock	
110.	YES NO			
97	Energy Pipelines International Company			
98	FORT DRUM COGENCO, INC.			
99	GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY			
100	Houston Center Corporation			~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
101	KO TRANSMISSION COMPANY			
	- MAN			
	uring the tax year, was there any member of the consolidated group that r			<b>–</b> –
	f disaffiliation?			Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstances			
Corp.	Name of corporation		Explanation	
No.	YES NO		CAPIGNATION	
97	Energy Pipelines International Company			
98	FORT DRUM COGENCO, INC.			
99	GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY			
100	Houston Center Corporation			
101	KO TRANSMISSION COMPANY			
		processor from the text of annual process of a second seco		<u> </u>
			<u> </u>	
3 D	uring the tax year, was there any arrangement in existence by which one of	or more persons that were i	not	
	nembers of the affiliated group could acquire any stock, or acquire any voti			
	the corporation, other than a de minimis amount, from the corporation or			Yes No
f	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3c	, and 3d.	
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c
No.	YES NO			
97	Energy Pipelines International Company	0.00 %	0.00 %	0.00 %
98	FORT DRUM COGENCO, INC.	0.00 %	0.00 %	0.00 %
99	GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY	0.00 %	0.00 %	0.00 %
100	Houston Center Corporation	0.00 %	0.00 %	0.00 %
101	KO TRANSHISSION COMPANY	0.00 %	0.00 %	0.00 %
		%	%	%
		%	%	%
		%	%	%
Corp.	Item 3d - Provide a description of any arrangement			
No.				
97				
98 99				
100				<u> </u>
100		•		<u></u>
101				
	1			

#### Case No. 2009-202 Attach. AG-DR-01-017 A Page 75 of 81

20-2777218

Page 3 Part IV Additional Stock Information (see instructions) No During the tax year, did the corporation have more than one class of stock outstanding? If "Yes," enter the name of the corporation and list and describe each class of stock Class of stock Name of corporation YES NO 110 M&N Haragement Company 102 103 MIAMI POWER CORPORATION 104 H-P SUPPLY, INC. NorthSouth Insurance Company Limited 105 106 Pan Services Company During the tax year, was there any member of the consolidated group that reaffiliated within 60 months of disaffiliation? If "Yes," enter the name of the corporation(s) and explain the circumstances Corp Explanation Name of corporation No YES NO 102 M&N Management Company 103 MIAMI POWER CORPORATION 104 M-P SUPPLY, INC. 105 NorthSouth Insurance Company Limited 106 Pan Services Company During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, No in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? . . . . Yes If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d. Corp. Item 3a Item 3b Item 3c Name of corporation YES NO No. 0.00 % 0.00 % 0.00 % 102 M&N Hanagement Company 0.00 % 0.00 % 0.00 % MIANI POWER CORPORATION 103 0.00 % 0.00 % 0.00 % 104 H-P SUPPLY, INC. 0.00 % 0.00 % 0.00 % 105 NorthSouth Insurance Company Limited 0.00 % 0.00 % 106 Pan Services Company 0.00 % % % % % Corp. Item 3d - Provide a description of any arrangement. No. 102 103 104 105 106

#### Case No. 2009-202 Attach. AG-DR-01-017A Page 76 of 81

20-2777218

Page 3

Form 851 (Rev. 12 - 2005) Part IV Additional Stock Information (see instructions) No If "Yes," enter the name of the corporation and list and describe each class of stock p. Class of stock Name of corporation YES NO 110. 107 PanEnergy Colorado, Inc. 108 PanEnergy Corp 109 PanEnergy Development Company 110 PanEnergy Services, LP 111 Panhandle Acquisition Three, Inc. During the tax year, was there any member of the consolidated group that reaffiliated within 60 months If "Yes," enter the name of the corporation(s) and explain the circumstances Corp. Name of corporation Explanation No. YES NO 107 PanEnergy Colorado, Inc. 108 PanEnergy Corp 109 PanEnergy Development Company PanEnergy Services, LP 110 111 Panhandle Acquisition Three, Inc. During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, No in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? If "Yes," enter the name of the corporation and see the instructions for what to enter in items 3a, 3b, 3c, and 3d. Corp. Name of corporation Item 3c YES NO No. 107 PanEnergy Colorado, Inc. 0.00 % 0.00 % 0.00 % PanEnergy Corp 108 0.00 % 0.00 % 0.00 % 0.00 % 109 0.00 PanEnergy Development Company 0.00 % % 0.00 % 0.00 110 0.00 % PanEnergy Services, LP % 0.00 % 0.00 111 Panhandle Acquisition Three, Inc. 0.00 % % % % % % % % % % % Corp. Item 3d - Provide a description of any arrangement. No. 107 108 109 110 111

#### Case No. 2009-202 Attach. AG-DR-01-017 Page 77 of 81

20-2777218

10022201-0000000	(Rev. 12–2005)			Page 3
Part I			· · · · · · · · · · · · · · · · · · ·	
1 🖸	buring the tax year, did the corporation have more than one class of stock of	outstanding?		Yes No
	"Yes," enter the name of the corporation and list and describe each class	of stock.		
٦.	Name of corporation		Class of stock	
140.	YES NO	**************************************	0,200 31 01001.	
112	Panhandle Four, Inc.			
113	PEC Midwest, Inc.			
114	Pelmar Company			
115	SOUTH CONSTRUCTION COMPANY, INC.			
116	Southeastern Energy Services, Inc.			
		www		
	ouring the tax year, was there any member of the consolidated group that r	eaffiliated within 60 months	_	J., [].,
	f disaffiliation?		* # * * # # # # * # #	Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstances			
Corp.	Name of paymenting		Evalanction	
No.	Name of corporation		Explanation	
	YES NO	)		
112	Panhandle Four, Inc.			
113	PEC Midwest, Inc.			
114	Pelmar Company			
115	SOUTH CONSTRUCTION COMPANY, INC.			
116	Southeastern Energy Services, Inc.			
<del>,</del>				
				11.44.
			harmonia de la companya de la compa	
	During the tax year, was there any arrangement in existence by which one o			
	nembers of the affiliated group could acquire any stock, or acquire any vot		· · · · · · · · · · · · · · · · · · ·	J., [
	n the corporation, other than a de minimis amount, from the corporation or			Yes No
	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3c	, and 3d.	
Corp.	Name of corporation	ltem 3a	ltem 3b	Item 3c
No.	YES NO	0.00 **	0.00.01	0.00
112	Panhandle Four, Inc.	0.00 %	0.00 %	0.00 %
113	PEC Midwest, Inc.	0.00 %	0.00 %	0.00 %
114	Pelmar Company	0.00 %	0.00 %	0.00 %
115	SOUTH CONSTRUCTION COMPANY, INC.	0.00 %	0.00 %	0.00 %
116	Southeastern Energy Services, Inc.	0.00 %	0.00 %	0.00 %
		%	%	%
v		%	%	%
-		%	%	%
Corp.	Item 3d - Provide a description of any arrangement.			
No.				
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113			····	
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		344.44		

# Case No. 2009-202 Attach. AG-DR-01-017 A Page 78 of 81 20-2777218

	Rev. 12-2005)			га	ge 3
Part I	Additional Stock Information (see instructions)				
1 D	turing the tax year, did the corporation have more than one class of stock of	outstanding?		Yes	No
_ <u></u>	"Yes," enter the name of the corporation and list and describe each class	of stock.			
í	Name of corporation		Class of stock		
IND.	YES NO				
117	SOUTHERN POWER COMPANY				
118	Spectra Energy Corp				
119	Spectra Energy DEFS Holding Corp				
120	TEPPCO Holdings, Inc.				
121	Texas Eastern Communications, Inc.				
	ouring the tax year, was there any member of the consolidated group that r	eathliated within 60 months	٠ ـ ـ	<b>1</b> —	<b>.</b>
				Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances				
Corp	Name of corporation		Explanation		
No.	YES NO		Explanaell		
117	SOUTHERN POWER COMPANY		The same of the sa		
118	Spectra Energy Corp		<u> </u>		
119	Spectra Energy DEFS Holding Corp				
120	TEPPCO Holdings, Inc.				
121	Texas Eastern Communications, Inc.				
3 C	ouring the tax year, was there any arrangement in existence by which one of	or more persons that were no	1		
n n	nembers of the affiliated group could acquire any stock, or acquire any vot	ing power without acquiring s	tock,		
ìr	n the corporation, other than a de minimis amount, from the corporation or	another member of the affilia	ted group?	Yes	No
11	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3c, a	and 3d.		
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c	
No.	YES NO		0.00		
117	SOUTHERN POWER COMPANY	0.00 %	0.00 %	0.00	%
118	Spectra Energy Corp	0.00 %	0.00 %	0.00	%
119	Spectra Energy DEFS Holding Corp TEPPCO Holdings, Inc.	0.00 %	0.00 %	0.00	%
120	Texas Eastern Communications, Inc.	0.00 %	0.00 %	0.00	%
121	Texas Eastern Communications, Inc.	%	%		<u>%</u> %
	Y	%	%		<u>~</u> %
		%	%		%
Carn		701	701		
Corp No.	Item 3d - Provide a description of any arrangement.				
117					
718	MATERIAL STATE OF THE STATE OF			· · · · · · · · · · · · · · · · · · ·	
119					
120					
121					
				A	
			······································		
					_

Case No. 2009-202 Attach. AG-DR-01-017A

20-2777218

Page 79 of 81 Page 3 Part IV Additional Stock Information (see instructions) No During the tax year, did the corporation have more than one class of stock outstanding? If "Yes," enter the name of the corporation and list and describe each class of stock Name of corporation Class of stock YES NO IYO. 122 Texas Eastern Cross Bay Company Texas Eastern Cryogenics, Inc. 123 124 Texas Eastern Oil Company 125 Texas Eastern Slurry Transport Company 126 Texas Eastern Terminal Company During the tax year, was there any member of the consolidated group that reaffiliated within 60 months If "Yes," enter the name of the corporation(s) and explain the circumstances Corp Name of corporation Explanation No. YES NO 122 Texas Eastern Cross Bay Company 123 Texas Eastern Cryogenics, Inc. 124 Texas Eastern Oil Company 125 Texas Eastern Slurry Transport Company 126 Texas Eastern Terminal Company During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, No in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d. Corp Name of corporation Item 3a Item 3b Item 3c YES NO No. Texas Eastern Cross Bay Company 0.00 % 0.00 % 0.00 122 % 0.00 123 Texas Eastern Cryogenics, Inc. 0.00 % 0.00 % % 124 Texas Eastern Oil Company 0.00 % 0.00 % 0.00 % 125 Texas Eastern Sturry Transport Company 0.00 % 0.00 % 0.00 % 126 Texas Eastern Terminal Company 0.00 % 0.00% 0.00 % % % % % % % % % Corp Item 3d - Provide a description of any arrangement No. 122 123 124 125 126

# Case No. 2009-202 Attach. AG-DR-01-017A

Page 80 of 81 20-2777218 Page 3 Part IV Additional Stock Information (see instructions) During the tax year, did the corporation have more than one class of stock outstanding? No If "Yes," enter the name of the corporation and list and describe each class of stock. Name of corporation Class of stock YES NO No. 127 The Texas-Louisiana Pipeline Company 128 TPC Storage Holding Corp. 129 TRI-STATE IMPROVEMENT COMPANY 130 Trunkline Oil Pipeline Company 131 WATEREE POWER COMPANY During the tax year, was there any member of the consolidated group that reaffiliated within 60 months No of disaffiliation? If "Yes," enter the name of the corporation(s) and explain the circumstances Corp Name of corporation Explanation No. YES NO 127 The Texas-Louisiana Pipeline Company 128 TPC Storage Holding Corp. 129 TRI-STATE IMPROVEMENT COMPANY 130 Trunkline Oil Pipeline Company 131 WATEREE POWER COMPANY During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? No If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d. Corp. Name of corporation Item 3a Item 3b Item 3c YES NO No 127 The Texas-Louisiana Pipeline Company 0.00 % 0.00% 0..00 % 128 0.00 % 0.00 % 0.00 TPC Storage Holding Corp. % TRI-STATE IMPROVEMENT COMPANY 0.00 % 0.00 % 0.00 129 % 130 Trunkline Oil Pipeline Company 0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 131 WATEREE POWER COMPANY 0.00 % % % % % % % Corp. Item 3d - Provide a description of any arrangement. 127 128 129 130 131

# Case No. 2009-202 Attach. AG-DR-01-017A Page 81 of 81 20-2777218

Form 851 (Rev. 12-2005)			Page
Part IV Additional Stock Information (see instructions)			-1 <b>- 1 .</b> .
During the tax year, did the corporation have more than one class o	•		Yes No
If "Yes," enter the name of the corporation and list and describe each	h class of stock.		
Name of corporation	YES NO	Class of stock	
No. 132 Westcoast Energy Enterprises (U.S.), Inc.	IES IRO		
133 WESTERN CAROLINA POWER COMPANY			
134 Spectra Energy Operating Company, LLC			
135 DukeNet Communications, LLC			
0			
		***************************************	
During the tax year, was there any member of the consolidated group	in that reaffiliated within 60 month	ns	
of disaffiliation?		Γ	Yes No
If "Yes," enter the name of the corporation(s) and explain the circum			٠٠٠٠ لــا ١٠٠٠
Corp. Name of corporation	İ	Explanation	
No.	YES NO		
132 Westcoast Energy Enterprises (U.S.), Inc.			
133 WESTERN CAROLINA POWER COMPANY			
134 Spectra Energy Operating Company, LLC			
135 DukeNet Communications, LLC			
0			
3 During the tax year, was there any arrangement in existence by which	*		
members of the affiliated group could acquire any stock, or acquire			
in the corporation, other than a de minimis amount, from the corpor			Yes No
If "Yes," enter the name of the corporation and see the instructions f	or what to enter in items 3a, 3b, 3	3c, and 3d.	
Corp. Name of corporation	res NO ltem 3a	item 3b	Item 3c
No.   132   Westcoast Energy Enterprises (U.S.), Inc.	0.00 %	0.00 %	0.00 %
133 WESTERN CAROLINA POWER COMPANY	0.00 %		0.00 %
134 Spectra Energy Operating Company, LLC	0.00 %		0.00 %
135 DukeNet Communications, LLC	0.00 %	~	0.00 %
0	0.00 %		0.00 %
	9		%
	9		%
	9	<del></del>	%
Corp. Hem 3d - Provide a description of any arrangement			
No. Item 3d - Provide a description of any arrangement.			
132			
133	***		
134	***************************************		
135			
0			

► File with each consolidated income tax return.

OMB No 1545-0025

(Rev December 2005) Department of the Treasury ternal Revenue Service

For tax year ending

12

2007

31 Employer identification number lame of common parent corporation DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

Corp No	Overpayment Credits, Estimated Tax Pay  Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of lax deposited with Form 7004
1	Common parent corporation			449,768,734	
	Subsidiary corporations:				
2	Bison Insurance Company, LTD 400 South Tryon Street, ST22M	BISO	98-6008144	0	
	Charlotte NC 28285				
3	CALDWELL POWER COMPANY 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CALD	56-0891276	0	
4	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CATA	56-0891277	0	
5	CINERGY CAPITAL & TRADING, INC. 400 South Tryon Street, ST22M Charlotte NC 28285	CINE	35 - 1878271	0	
6	CINERGY CENTRUS COMMUNICATIONS, INC. 400 South Tryon Street, ST22M Charlotte NC 28285	CINE	31-1624694	0	
	Totals (Must equal amounts shown on the consolidated tax re	turn.)	<b></b>	449,768,734	

Par	Fincipal Business Activity, \	oting Stock Infor	mation,	Etc. (se	e instructions)			
Corp		PBA	PBA Code No.  Did the subsidiary make any nondividend distributions?  Yes No		Stock holdings at beginning of year			
No.	Principal business activity (PBA)	1			Number of shares	Percent of voting power	Percent of value	Owned by corporation no.
	Common parent corporation							
1	Electric Utility	221100						
	Subsidiary corporations:							
2	GENERAL INSURANCE	524290	<u> </u>	Х	120,000	100.000%	100.000%	11
3	Inactive	221100		х	0	100.000%	100.000%	1
4	Inactive	221100		Х	0	100.000%	100.000%	1
5	POWER BROKERING	541990		х	0	0.000%	0.000%	14
6	TELECOMMUNICATIONS	517000		x	0	0.000%	0.000%	14

or Paperwork Reduction Act Notice, see instructions.

Case No. 2009-202 Attach. AG-DR-01-017B Page 2 of 51

(Rev. December 2005)

### **Affiliations Schedule**

► File with each consolidated income tax return.

OMB No 1545-0025

Repartment of the Treasury ternal Revenue Service 12 31 For tax year ending Employer identification number lame of common parent corporation 20-2777218 DUKE ENERGY CORPORATION Number, street, and room or suite no. If a P.O. box, see instructions. 400 South Tryon Street, ST22M City or town, state, and ZIP code Charlotte Part I Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of overpayment credits Portion of tax Employer Corp identification deposited with Name and address of corporation and estimated No number Form 7004 tax payments Common parent corporation Subsidiary corporations: CINE 31-1605377 0 n CINERGY CENTRUS, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 35-2212088 0 O CINERGY CLIMATE CHANGE INVESTMENTS, LLC CINE 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 CINE 31-1385023 0 0 CINERGY CORP 400 South Tryon Street, ST22M NC 28285 Charlotte CINE 31-1637639 0 0 Cinergy Global Ely, Inc. 400 SOUTH TRYON STREET, ST22M NC 28285 CHARLOTTE 0 0 31-1637643 Cinergy Global Holdings, Inc. CINE 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 Totals (Must equal amounts shown on the consolidated tax return.) Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondividend Corp Principal business activity (PBA) Code distributions? Number Owned by corporation Percent of No. voting value Yes shares NO. Common parent corporation Subsidiary corporations: 0.000% 517000 0.000% TELECOMMUNICATIONS χ 551112 0 0.000% 0.000% 14 8 HOLDING COMPANY Х 0.000% 0.000% 0 9 COMB UTILITY SERVICES 551112 Х 0.000% 0.000% 0 12 10 INACTIVE 541990 Х 0.000% 0.000% 541990 Х 0 12 11 INACTIVE

or Paperwork Reduction Act Notice, see instructions.

► File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury temal Revenue Service

For tax year ending

12 31

2007

Jame of common parent corporation Employer Identification number DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

Pa	rt I Overpayment Credits, Estimated Tax	Payments, and	d Tax Deposits (se	ee instructions)	
Corp No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
12	CINERGY GLOBAL POWER, INC. 400 SOUTH TRYON STREET, ST22M	CINE	31-1573140	0	0
	CHARLOTTE NC 28285				
13	CINERGY GLOBAL RESOURCES, INC. 400 SOUTH TRYON STREET, ST22M	CINE	31-1600199	0	0
	CHARLOTTE NC 28285				
14	CINERGY INVESTMENTS, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CINE	31-1421190	0	0
15	CINERGY MEXICO HOLDINGS, LP 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CINE	30-0236167	0	0
16	CINERGY POWER INVESTMENTS, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	CINE	31-1758336	0	0

						d tax return.)	· · · · · · · · ·		▶	
Parl	11	Principal	Business	Activity, \	oting/	Stock Info	rmation,	Etc. (se	e instructio	ons)
					1					

Corp.	Principal business activity (PBA)	PBA Code	Did the subsidiary make any nondividend		Stock holdings at beginning of year				
No.	Francipal business activity (FBA)	No. dist		tions?	Number of	Percent of voting	Percent of	Owned by corporation	
			Yes	No	shares	power	value	no	
	Common parent corporation								
	Subsidiary corporations:								
12	HOLDING COMPANY	551112		Х	0	0.000%	0.000%	13	
13	HOLDING COMPANY	551112		х	0	0.000%	0.000%	9	
14	OTHER INVESTMENT CO	551112		Х	0	0.000%	0.000%	9	
15	INACTIVE	541990		х	0	0.000%	0.000%	5	
16	INACTIVE	221100		х	0	0.000%	0.000%	52	

For Paperwork Reduction Act Notice, see instructions.

► File with each consolidated income tax return.

OMB No 1545-0025

Department of the Treasury ternal Revenue Service

12 31 , For tax year ending Jame of common parent corporation Employer Identification number DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

Corp. No.	Name and address of corporation		Employer identification number	Portions)  Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:		İ	***************************************	
17	CINERGY RETAIL POWER GENERAL, INC. 400 SOUTH TRYON STREET, ST22M	CIN	31-1814220	0	0
	Charlotte NC 28285				
18	CINERGY RETAIL POWER LIMITED, INC. 400 South Tryon Street, ST22M	CINE	31-1814221	0	0
	Charlotte NC 28285				
19	CINERGY RISK SOLUTIONS LTD.	CINE	74-3133751	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
20	CINERGY SOLUTIONS UTILITY, INC.	CINE	37-1496842	0	0
	400 South Tryon Street, ST22M			and the same of th	
	Charlotte NC 28285			The state of the s	
21	CINERGY TECHNOLOGY, INC.	CINE	35-1861260	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				

	Totals (Must equal amounts shown on the co	onsolidated tax return.)			▶			
Par	II Principal Business Activity,	Voting Stock Info	ormation,	Etc. (se	e instructions)			
Corp.	Display havings and the (DDA)	PBA Code	Did the su make any n	ondividend	Stock holdings at beginning of year			
No.	Principal business activity (PBA)	No	distributions?		Number of	Percent of voting	Percent of	Owned by
			Yes	No	shares	power	value	corporation no.
	Common parent corporation							
	Subsidiary corporations:							
17	INACTIVE	221100		х	0	0.000%	0.000%	5
18	INACTIVE	221100	х		0	0.000%	0.000%	5
19	INSURANCE	524290		х	0	0.000%	0.000%	1
20	HOLDING COMPANY	551112		х	0	0.000%	0.000%	53
21	ENERGY CONSTILLING	541990		v v	0.	0.000%	ก กกก%	0

For Paperwork Reduction Act Notice, see Instructions.

Case No. 2009-202 Attach. AG-DR-01-017B Page 5 of 51

··· 851

# **Affiliations Schedule**

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005)

Department of the Treasury
sternal Revenue Service

nt of the Treasury evenue Service For tax year ending

12 31

2007

Name of common parent corporation

DUKE ENERGY CORPORATION

Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 28285

Pa	rt I Overpayment Credits, Estimated Tax F	ayments, and	Tax Deposits (se	ee instructions)	
Corp No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
22	CINERGY TWO, INC.	CINE	31-1756300	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
23	CINERGY UK, INC.	CINE	31-1480522	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
24	CINERGY WHOLESALE ENERGY, INC.	CINE	31-1742083	0	0
	400 SOUTH TRYON ST., ST22M				
	CHARLOTTE NC 28285				
25	CINFUEL RESOURCES, INC.	CINF	30-0023971	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
26	CLAIBORNE ENERGY SERVICES, INC.	CLAI	56~1688941	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				

Par	III Principal Business Activity, V	oting Stock Info	rmation,	Etc. (se	e instructions)			
Corp.	Principal business activity (PBA)	РВА	Did the subsidiary make any nondividend distributions?		Stock holdings at beginning of year			
No.		Code No.			Number of	Percent of voting	Percent of	Owned by
			Yes	No	shares	bower	value	corporation no.
	Common parent corporation							
	Subsidiary corporations:				ļ			
22	TELECOMMUNICATIONS	517000	ļ	х	0	0.000%	0.000%	63
23	HOLDING COMPANY	551112		х	0	0.000%	0.000%	9
24	HOLDING COMPANY	551112		x	0	0.000%	0.000%	9
25	HOLDING COMPANY	551112		X	100	100.000%	100.000%	5
رے	HOLDING COMPANY	331112	<del> </del>		100	100.000	100200	

551112

HOLDING COMPANY

Totals (Must equal amounts shown on the consolidated tax return.)

Form 851 (Rev. 12-2005)

0.000%

0.000%

For Paperwork Reduction Act Notice, see Instructions.

File with each consolidated income tax return.

OMB No 1545-0025

(Rev. December 2005) Department of the Treasury itemal Revenue Service

12 31

2007

For tax year ending Name of common parent corporation Employer Identification number DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code Charlotte

Corp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
27	DE Fossil-Hydro Engineering, Inc.	DEFO	56-0751431	0	0
	400 South Tryon Street, ST22M				
	CHARLOTTE NC 28285				
28	DE Nuclear Engineering, Inc.	DENU	56-0947005	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
29	DEGS Biogas, Inc.	DEGS	31-1758230	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
30	DEGS OF PHILADELPHIA, LLC	DEGS	31-1785993	0	0
	400 SOUTH TRYON STREET ST22M				
	CHARLOTTE NC 28285				
31	DEGS OF SAN DIEGO, INC.	DEGS	35-2226032	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				

Totals (Must equal amounts shown on the consolidated tax return.)

Par	III Principal Business Activity, \	oting Stock Info	mation,	Etc. (se	e instructions)			
Согр.	Principal business activity (PBA)	PBA Code	Did the subsidiary make any nondividend distributions?		Stock holdings at beginning of year			
No.		No	Yes	No	Number of shares	Percent of voting power	Percent of value	Owned by corporation no.
	Common parent corporation							
	Subsidiary corporations:							
27	ENGINEERING	541330	ļ	х	330	100.000%	100.000%	45
28	ENGINEERING	541330		х	30	100.000%	100.000%	45
29	INACTIVE	541990		х	0	0.000%	0.000%	44
30	PROFESSIONAL SERVICES	541990		X	0	0.000%	0.000%	44
31	HOLDING COMPANY	551112		х	0	0.000%	0.000%	44

For Paperwork Reduction Act Notice, see instructions.

Form **851**(Rev. December 2005)

#### **Affiliations Schedule**

File with each consolidated income tax return.

OMB No 1545-0025

Department of the Treasury pternal Revenue Service

For tax year ending

12 31

2007

Name of common parent corporation

DUKE ENERGY CORPORATION

Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

NC 2828

Corp. No.	Overpayment Credits, Estimated Ta:  Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
32	DEGS OF TUSCOLA, INC. (FKA CINERGY SOLUTIONS OF TUSCOLA) CHARLOTTE NC 28285	DEGS	31-1624696	0	0
33	DENA Asset Partners, LP 400 South Tryon Street, ST22M Charlotte NC 28285	DENA	76-0700129	0	0
34	DETMI Management, Inc. 400 South Tryon Street, SI22M Charlotte NC 28285	DETM	84-1274542	0	0
35	Dixilyn-Field Drilling Company 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	DIXI	36-2905817	0	0
36	Duke Energy Capital of Texas, Inc. 400 South Tryon Street, ST22M Charlotte NC 28285	DUEC	76-0636723	0	0

Did the subsidiary Stock holdings at beginning of year PBA Code Corp. nake any nondividend Principal business activity (PBA) distributions? Number of shares Percent of voting power No Owned by corporation Percent of value No Common parent corporation Subsidiary corporations: 32 **ENERGY SERVICES** 221100 X 0 0.000% 0.000% 33 Personnel Management 541214 Х 0.000% 0.000% MANAGEMENT 34 523900 Х 1,000 100.000% 100.000% 3,000 35 Other Financial Investment Activ 523900 100.000% Х 100.000%

Principal Business Activity, Voting Stock Information, Etc. (see instructions)

523900

Other Fin. Invest. Activities

Totals (Must equal amounts shown on the consolidated tax return.)

Form 851 (Rev. 12-2005)

0.000%

0.000%

0

For Paperwork Reduction Act Notice, see Instructions.

Form **851** 

**Affiliations Schedule** 

File with each consolidated income tax return.

OMB No 1545-0025

(Rev December 2005)
Department of the Treasury sternal Revenue Service

tment of the Treasury at Revenue Service For tax year ending

12 31 , 2007

Employer identification number

DUKE ENERGY CORPORATION 20-2777218

Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

Name of common parent corporation

City or town, state, and ZIP code

Charlotte

NC 2828

Corp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
37	DUKE COMMUNICATIONS HOLDINGS, INC.(FKA CINERGY 400 SOUTH TRYON ST, ST22M	TELEC DUKE	31-1480521	0	C
	CHARLOTTE NC 28285				
38	DUKE COMMUNICATION SERVICES, INC. 400 South Tryon Street, ST22M	DUKC	56-2117886	0	0
	Charlotte NC 28285				
39	Duke Energy Allowance Management, LLC 400 South Tryon Street, ST22M Charlotte NC 28285	DUEA	74-3002227	0	C
40	Duke Energy Design Supplier, Inc. 400 South Tryon Street, ST22M Charlotte NC 28285	DUED	76-0603363	0	0
41	DUKE ENERGY ENGINEERING, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	DUKE	31-1521743	0	0

Согр		РВА	Did the su make any n	-	Stock holdings at beginning of year			
No	Principal business activity (PBA)	Code No.	distributions?		Number	Percent of voting	Percent of	Owned by
			Yes	No	shares	power	value	corporation no.
	Common parent corporation							
	Subsidiary corporations:							
37	TELECOMMUNICATIONS	517000		x	0	0.000%	0.000%	14
38	SERVICES	533110		х	0	0.000%	0.000%	11
39	Investment	551112		х	0	100.000%	100.000%	1
40	Other Prof. Scientific & Tech Se	541990		х	1,000	100.000%	100.000%	1
41	ENGINEERING SERVICES	541330		x	0	0.000%	0.000%	14

for Paperwork Reduction Act Notice, see Instructions.

(Rev. December 2005)

#### **Affiliations Schedule**

★ File with each consolidated Income tax return.

OMB No. 1545-0025

Department of the Treasury iternal Revenue Service

For tax year ending

12 31

2007

Employer Identification number Name of common parent corporation DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M City or town, state, and ZIP code

Charlotte

NC 28285

Corp. No.	Overpayment Credits, Estimated Tax Payments,  Name and address of corporation	Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation			
	Subsidiary corporations:			
42	DE Fossil-Hydro California,Inc. DUFH 400 South Tryon Street, ST22M Charlotte NC 28285	56-2264909	0	0
43	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC DUKE (FKA CINERGY SOLUTIONS HOLDING CO.) CHARLOTTE NC 28285	31-1503859	0	0
44	DUKE ENERGY GENERATION SERVICES, INC.  400 SOUTH TRYON STREET, ST22M  CHARLOTTE NC 28285	31-1735353	0	0
45	DUKE ENERGY GLOBAL MARKETS, INC.  400 South Tryon Street, ST22M  Charlotte NC 28285	88-0366429	0	0
46	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.) DUKE 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	35-0594457	0	0

Did the subsidiary Stock holdings at beginning of year PBA Code ake any nondividend Corp Principal business activity (PBA) distributions? Owned by corporation no. Number of Percent of No. Percent of value shares Common parent corporation Subsidiary corporations: 100.000% 100.000% ENGINEERING SERVICES 541330 100 27 42 Х 0.000% 0.000% 221100 0 43 CONST, DEVP, OPER χ 14 0.000% 44 **ENERGY SERVICES** 221100 0 0.000% 43 100.000% 100.000% 551112 10 45 Other Holding Company Χ

ELECTRIC SERVICE

Totals (Must equal amounts shown on the consolidated tax return.)

Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions)

221100

Form 851 (Rev 12-2005)

0.000%

0.000%

For Paperwork Reduction Act Notice, see Instructions.

▶ File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury itemal Revenue Service

2007

For tax year ending 12 31 Name of common parent corporation Employer Identification number DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

Corp. No	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
47	DUKE ENERGY KENTUCKY, INC.	DUKE	31-0473080	0	C
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
48	DUKE ENERGY LEE HOLDING, INC.	DULH	76-0622199	0	0
	400 S. Tryon Street, ST22M				
	Charlotte NC 28285				
49	Duke Energy Marketing America, LLC	DEMA	76-0668086	0	0
	400 S. Tryon Street, ST22M				
	Charlotte NC 28285				
50	Duke Energy Marketing Canada Corp.	DEMC	81-0616665	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
51	DUKE ENERGY MARKETING CORPORATION	DUMC	88-0331743	0	0
1	400 South Tryon Street, ST22M			1	
	Charlotte NC 28285				

Totals (Must equal amounts shown on the consolidated tax return.) Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions)

Corp.	Principal business activity (PBA)	PBA Did the su make any n		•	Stock holdings at beginning of year				
No.	Principal business activity (PBA)	No	distribu	tions?	Number of	Percent of voting	Percent of	Owned by corporation no.	
			Yes	No	shares	power	value		
	Common parent corporation								
	Subsidiary corporations:								
47	UTILITY SERVICES	221100		Х	1,000	100.000%	100.000%	52	
48	Holding company	551112		х	0	0.000%	0.000%	11	
49	Energy Marketing	541910		х	0	0.000%	0.000%	1	
50	Energy Marketing	541910		х	0	100.000%	100.000%	49	
51	Electric Generation	221100		x	10	100.000%	100.000%	58	

for Paperwork Reduction Act Notice, see Instructions.

File with each consolidated income tax return.

OMB No. 1545-0025

Department of the Treasury itemal Revenue Service

2007

12 31 For tax year ending Employer Identification number .Vame of common parent corporation 20-2777218 DUKE ENERGY CORPORATION Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

Corp. No.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
52	DUKE ENERGY OHIO, INC. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	DUKE	31-0240030	0	0
53	DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.) 400 South Tryon Street,ST22M CHARLOTTE NC 28285	DUKE	31-1751104	0	0
54	Duke Energy Power Assets Holding, Inc. 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	DEPA	84-1283268	0	0
55	Duke Energy Power Generating, LLC 400 South Tryon Street, ST22M Charlotte NC 28285	DUKE	56-2162190	0	0
56	DUKE ENERGY REGISTRATION SERVICES, INC. 400 South Tryon Street, ST22M Charlotte NC 28285	DUER	87-0622575	0	0

Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondividend Corp Principal business activity (PBA) Code distributions? Owned by corporation no Number of shares Percent of voting power No. No. Percent of Common parent corporation Subsidiary corporations: 0.000% UTILITY SERVICES X 0.000% 52 221100 0.000% 0.000% 0 63 53 COMB UTILITY SERVICES 221100 523130 0 100.000% 100.000% 54 Commodity Contract Dealing 0.000% Nondeposit credit intermediary 522298 0.000% 55 X 0.000% 0.000% 551112

X

For Paperwork Reduction Act Notice, see instructions.

Holding Company

Totals (Must equal amounts shown on the consolidated tax return.)

Form

### **Affiliations Schedule**

File with each consolidated income tax return.

OMB No 1545-0025

(Rev. December 2005) Department of the Treasury Itemal Revenue Service

2007

12 31 For tax year ending Name of common parent corporation Employer Identification number DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

Corp.	Name and address of corporation		Employer identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				1,000
	Subsidiary corporations:				
57	DUKE ENERGY RETAIL SALES, LLC	DUKE	37-1484250	0	0
	400 SOUTH TRYON STREET, ST22M				
	CHARLOTTE NC 28285				
58	Duke Energy Services, Inc.	DUES	48-0650320	0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				
59	DUKE ENERGY SHARED SERVICES, INC.	DUKE	31-1413899	0	0
	(FKA CINERGY SERVICES, INC.)				
	CHARLOTTE NC 28285				
60	DUKE ENGINEERING & SERVICES (EUROPE), INC.	DESE	51-0356057	0	0
	400 SOUTH TRYON STREET, ST22M				
	Charlotte NC 28285				
61	DUKE JAVA, INC.	DUJA		0	0
	400 South Tryon Street, ST22M				
	Charlotte NC 28285				

Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA nake any nondividend Corp Principal business activity (PBA) Code distributions? Owned by corporation no Number No. Percent of Percent of of shares voting power Yes Common parent corporation Subsidiary corporations: 57 HOLDING COMPANY 551112 0.000% χ 0.000% 58 Other Holding Company 551112 1,559 100.000% 100.000% X 59 OTHER SUPPORT SERVICES 561900 X 0 0.000% 0.000% 60 PROFESSIONAL SERVICES 541330 0 0.000% 0.000% 45 61 INVESTMENT 551112 Х 100.000% 100.000%

Totals (Must equal amounts shown on the consolidated tax return.)

for Paperwork Reduction Act Notice, see Instructions.

**Affiliations Schedule** File with each consolidated income tax return.

OMB No 1545-0025

Department of the Treasury sternal Revenue Service

12 31 2007 For tax year ending Name of common parent corporation Employer Identification number DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions 400 South Tryon Street, ST22M City or town, state, and ZIP code

Charlotte Part I Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of Corp Employer identification Portion of tax overpayment credits and estimated deposited with Name and address of corporation No. number Form 7004 tax payments Common parent corporation Subsidiary corporations: 62 DUKE PROJECT SERVICES, INC. DPSI 56-0858436 0 0 400 South Tryon Street, ST22M Charlotte NC 28285 DUKE TECHNOLOGIES, INC. DUKE 31-1734648 0 0 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.) DUKE 35 - 1803018 0 n 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 DUKENET COMMUNICATIONS, LLC 65 DUKE 56-1879392 0 0 400 South Tryon Street, ST22M CHARLOTTE NC 28285 DUKE-RELIANT RESOURCES, INC. DUKE 31-1582985 0 0 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285

Totals (Must equal amounts shown on the consolidated tax return.) Dari II Dringing Rucinoca Activity Voting Stock Infor

Par	Elliss Principal Business Activity, \	oting Stock Intol	mation,	Etc. (se	e instructions)				
Corp.	Principal business activity (PBA)	PBA Code	Did the subsidiary make any nondividend distributions?		Stock holdings at beginning of year				
No.	Finicipal dusiness activity (FBA)	No.			Number	Percent of	Percent of	Owned by	
			Yes	No	of shares	voting power	value	corporation no	
	Common parent corporation								
	Subsidiary corporations:								
62	PROFESSIONAL SERVICES	541330		X	0	100.000%	100.000%	11	
63	HOLDING COMPANY	551112		х	0	0.000%	0.000%	9	
64	ELECTRIC SERVICE	221100		х	0	0.000%	0.000%	14	
65	COMMUNICATIONS	517000		x	0	0.000%	0.000%	1	
66	HOLDING COMPANY	551112		х	0	0.000%	0.000%	14	

or Paperwork Reduction Act Notice, see Instructions.

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### **Affiliations Schedule**

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005)

Department of the Treasury itemal Revenue Service For tax year ending 12 31 2007 Name of common parent corporation Employer Identification number DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions. 400 South Tryon Street, ST22M City or town, state, and ZIP code Charlotte NC 28285 Part I Overpayment Credits, Estimated Tax Payments, and Tax Deposits (see instructions) Portion of overpayment credits Employer Corp Portion of tax identification deposited with Name and address of corporation and estimated number Form 7004 tax payments Common parent corporation Subsidiary corporations: 67 DukeTec, LLC DUKE 52-2291457 0 O 400 South Tryon Street, ST22M

Charlotte NC 28285 EASTOVER LAND COMPANY **EAST** 61-0708843 0 0 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 EASTOVER MINING COMPANY EAMC 61-0709991 0 0 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285 Energy Pipelines International Company ENER 74-1722673 0 n

FORT DRUM COGENCO, INC. 400 South Tryon Street, ST22M CHARLOTTE NC 28285

400 SOUTH TRYON STREET, ST22M

Charlotte

Totals (Must equal amounts shown on the consolidated tax return.)

NC 28285

Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions) Did the subsidiary Stock holdings at beginning of year PBA Corp ake any nondividend Principal business activity (PBA) Code distributions? No Number Owned by corporation no. Percent of Percent of shares Common parent corporation Subsidiary corporations: HOLDING COMPANY 67 551112 0.000% 0.000% Х 9 68 INACTIVE 531390 100.000% 100.000% X 100 69 Coal Mining 212110 100 100.000% 100.000% 70 Engineering Services 541330 Х 200 100.000% 100.000%

551112

FORT

56-1545528

GENERAL PARTNER

Form 851 (Rev. 12-2005)

100.000% 100.000%

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Х

0

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For Paperwork Reduction Act Notice, see instructions.

Form

#### **Affiliations Schedule**

► File with each consolidated income tax return.

OMB No 1545-0025

(Rev. December 2005) Department of the Treasury sternal Revenue Service

12 31 For tax year ending Employer identification number Name of common parent corporation DUKE ENERGY CORPORATION 20-2777218 Number, street, and room or suite no. If a P.O. box, see instructions.

400 South Tryon Street, ST22M

City or town, state, and ZIP code

Charlotte

Corp. No.	Name and address of corporation		Employer Identification number	Portion of overpayment credits and estimated tax payments	Portion of tax deposited with Form 7004
	Common parent corporation				
	Subsidiary corporations:				
72	GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY 400 SOUTH TRYON STREET, ST22M	GREE	56-0891274	0	C
	CHARLOTTE NC 28285				
73	KO TRANSMISSION COMPANY 400 SOUTH TRYON STREET, ST22M CHARLOTTE NC 28285	KOTR	31-1408986	0	C
74	MIAMI POWER CORPORATION	MAIM	31-6035703	0	C
	CHARLOTTE NC 28285				
75	M-P SUPPLY, INC. 400 South Tryon Street, ST22M Charlotte NC 28285	MPSU	56-0322840	0	C
76	NorthSouth Insurance Company Limited 400 SOUTH TRYON STREET, ST 22M CHARLOTTE NC 28285	NORT	98-0398638	0	C

Totals (Must equal amounts shown on the consolidated tax return.) ... Part II Principal Business Activity, Voting Stock Information, Etc. (see instructions)

Corp		PBA Code	Did the su make any no	-	Stock holdings at beginning of year				
No.	Principal business activity (PBA)	No.	distribu	tions?	Number of	Percent of voting	Percent of	Owned by corporation	
			Yes	No	shares	power	value	no.	
	Common parent corporation								
	Subsidiary corporations:						- A		
72	Inactive	221100		х	0	100.000%	100.000%	1	
73	GAS SUPPLY	221210		х	0	0.000%	0.000%	52	
74	ELECTRIC SERVICES	221100		х	0	0.000%	0.000%	52	
75	Wholesaler	425120		Х	100,000	100.000%	100.000%	1	
76	GENERAL INSURANCE	524290		х	120,000	100.000%	100.000%	1	

For Paperwork Reduction Act Notice, see Instructions.

Form 851 (Rev. 12-2005)

ERF

► File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005)

Departr	nent of the Treasury Revenue Service	For tax year e	nding	12	31	2007				
Name	of common parent	corporation				***************************************	***************************************	Employe	r Identificati	on number
	ENERGY CORPORAT		·					20-2777	′218	
		or suite no. If a P.O. box, s	ee instructions.							
-	South Tryon Stre									
•	r town, state, and ZI									
Transportations	lotte	NC 28285								······································
Fa	rt I Overpay	ment Credits, Estima	ated Tax Paymen	its, and	Tax Dep	oosits (see		uctions) Portion of		
Corp. No		Name and address of corpo	oration		identi	ployer fication mber	overpa an	lyment credits d estimated x payments	deposi	on of tax ited with m 7004
	Common parent c									
	Subsidiary corpora	ations:								
77	Pan Services C		P.A	NS	43-10	90187		C	1	0
		on Street, ST22M								
	Charlotte	NC 28285				I				
78	Dool nosmy Cosm		D. 6		71 24	50//0				
10	PanEnergy Corp	N STREET, ST22M	PP	NE	74-21	50460		0	1	υ
	CHARLOTTE	NC 28285				1				
	0	No Edeas								
79	SOUTH CONSTRUC	TION COMPANY, INC.	SC	DUT	35-603	38829		0	,	0
	400 South Tryo	n Street, ST22M				1				
	Charlotte	NC 28285								
80	Southeastern E	nergy Services, Inc.	SO	DUT	43-197	71602		0		0
		n Street, ST22M			}				1	
	Charlotte	NC 28285			}					
	COUNTIES I COUES	COURTING								
81	SOUTHERN POWER		SU	UP	56-089	91283		0		0
	Charlotte	N STREET, ST22M NC 28285								
	chai totte	NC ZOZOJ				1				
					I					
	Totals (Must equa	al amounts shown on the cor	nsolidated tax return.)			▶				
Parl	II Principa	l Business Activity, \	oting Stock Info	rmation	, Etc. (se	e instruction	ns)	****		
			PBA	Did the st	ubsidiary		Stock	holdings at begi	inning of year	
Corp	Principal bus	siness activity (PBA)	Code	make any n distribu			,			
No.			No.		<del></del>	Number of		Percent of voting	Percent of value	Owned by corporation
	Common parent co	rnoration		Yes	No	shares		power		no
	Common parent co	rporation								
1	Subsidiary corpora	tions:		+						
77	EMPLOYMENT SER		561300		х		100	100.000%	100.000%	1
									1001000	<u> </u>
78	OTHER HOLDING	COMPANY	551112		x	150,445	,829	100.000%	100.000%	1
						<u> </u>				
79	INACTIVE		541990		х		0	0.000%	0.000%	46
80	HOLDING CORCA!!	v	551112		x			100.000%	100 0000	
OUL	HOLDING COMPANY	1	1 221116	1	ı X	1	1001	100.00070	. 100_00U170	1 7

For Paperwork Reduction Act Notice, see Instructions.

221100

Form 851 (Rev. 12-2005)

Inactive

100.000% 100.000%

File with each consolidated income tax return.

OMB No. 1545-0025

(Rev. December 2005) Department of the Treasury stemal Revenue Service

12 31

2007

	Revenue Service	For tax year e	nding	12	31	. 2007					
	e of common parent E ENERGY CORPORA	•						Employer 20-2777	r identificati 7218	on number	-
Numt	per, street, and room	or suite no. If a P.O. box, s	ee instructions								_
400	South Tryon Str	eet, ST22M									
City c	or town, state, and Z	IP code									_
Cha	rlotte	NC 28285									
Pa	irt I Overpa	ment Credits, Estima	ated Tax Payme	ents, and	Tax Dei	nosits (se	e instri	ictions)		***************************************	
					1			ortion of			
No.		Name and address of corpo	oration		identi	ployer fication mber	ani	yment credits d estimated r payments	deposi	on of tax ited with in 7004	
	Common parent of	corporation									
	Subsidiary corpor	ations:									
82	TRI-STATE IMPE	ROVEMENT COMPANY		TRIS	31-06	77812		0	)		0
	400 South Try	on Street, ST22M									
	Charlotte	NC 28285									
83	WATEREE POWER	COMPANY		WATE	56-07	51405		a			0
	400 SOUTH TRYC	ON STREET, ST22M									
	CHARLOTTE	NC 28285									
84	WESTERN CAROLI	NA POWER COMPANY		WECP	56-08	91269		0			0
	400 SOUTH TRYC	ON STREET, STZZM			1						
	CHARLOTTE	NC 28285									
					1						
0								0			0
						1					
0						j		0			0
					<u> </u>						
	I otals (Must equa	al amounts shown on the cor	solidated tax return.	<u>)</u>		· · · · · •		************			
Par	Principa	l Business Activity, \	Tolling Stock in	ormation	, EIC. (se	e instruction	ns)				
			РВА	Did the su			Stock	holdings at begi	nning of year		
Corp. No.	Principal but	siness activity (PBA)	Code	make any n distribu		Numbe	. 1	Parant of	F		
140			No.	Yes		- 01	- 1	Percent of voting	Percent of value	Owned by corporation	
	Common parent co	progration		res	No	shares	60852600000	power		no.	. 25
	oommon parone oc	npordiion									
	Subsidiary corpora	tions:									<u> </u>
82	OTHER REAL EST		531390				٥	0.000%	0.000%		
02	OTHER REAL EST	KIC	731390		X		- 0	0.000%	0.000 %	52	
83	Inactive		221100					100 000%	100 000%		
05	mactive	*	221100		Х		0	100.000%	100.000%	1	
84	Inactive		221100				ا	100.000%	100 0000/	_	
· · ·	MIGGLIVE		221100		Х		0	100.000 /	100.000%	11	_
0								0.000%	0.0000/	_	
ĭ,							0	0.000%	0.000%	0	
0							0	0.000%	0.000%	0	

or Paperwork Reduction Act Notice, see Instructions.

AG-DR-01-017B Page 18 of 51

Page 2

20-2777218

Form 851 (Rev. 12-2005) Part III Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in column (a) (a) Changes Share-holder of Date าวเอ Corpo-ration No Name of corporation Number of Number of transaction Percent of Percent of voting power value disposed of acquired 1 DUKE ENERGY CORPORATION 1 0 0.00 % 0.00 % Bison Insurance Company, LTD 0 0.00 % 1.00 % 0.00 % 0.00 % CALDWELL POWER COMPANY 0 CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY 0 0.00 % 0.00 % CINERGY CAPITAL & TRADING, INC. 0 0 0.00 % 0.00 % CINERGY CENTRUS COMMUNICATIONS, INC. 0 0 0.00 % 0.00 % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. Yes Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005)

Attach. - AG-DR-01-017B Page 19 of 51

20-2777218

Page 2

Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in Share-holder of Corpo-ration No. (a) Changes Date column (a) Jub ו Name of comoration Number of Number of transaction voting power value acquired disposed of 7 CINERGY CENTRUS, INC. 0 0 0.00% 0.00% 8 CINERGY CLIMATE CHANGE INVESTMENTS, LLC 0 0 0.00% 0.00% 9 CINERGY CORP 0.00% 0 0.00% 0.00% 10 Cinergy Global Ely, Inc. 0 0 0.00% 11 Cinergy Global Holdings, Inc. 0 0 0.00% 0.00% (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see Yes ------(d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005)

Page 2

T. C. C. C. C. C. C. C. C. C. C. C. C. C.	Rev. 12-2005)						Page 2
Part	Changes in Stock Holdings During the Tax	Year Share- holder of	Date	(a) Cha	anges	(b) Shares changes de colun	
. د	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
12	CINERGY GLOBAL POWER, INC.	0		0	C	0.00%	0.00%
13	CINERGY GLOBAL RESOURCES, INC.	0		0	0	0.00%	0.00%
14	CINERGY INVESTMENTS, INC.	0		0	C	0.00%	0.00%
15	CINERGY MEXICO HOLDINGS, LP	0		0	0	0.00%	0.00%
16	CINERGY POWER INVESTMENTS, INC.	0		0	ξ	0.00%	0.00%
						%	%
If !	the equitable owners of any capital stock shown above were other	than the f	nolders of recor	d, provide details	of the changes		
(f) If a	additional stock was issued, or if any stock was retired during the y	ear, list th	ne dates and ar	mounts of these tra	ansactions.		
						Form <b>85</b> 1	(Rev 12-2005)

Case No. 2009-202
Attach. AG-DR-01-017 B
Page 21 of 51
20-2777218

Page 2

200000000000000000000000000000000000000	Rev. 12-2005)						Page 2
Part	Changes in Stock Holdings During the Tax	Year	T	<u> </u>			
-orp		Share- holder of Corpo-	Date of	(a) Cha	anges	(b) Shares changes de colur	held alter escribed in πn (a)
<b>)</b> .	Name of corporation	ration No.	transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
17	CINERGY RETAIL POWER GENERAL, INC.	0		0	0	0.00%	0.00%
18	CINERGY RETAIL POWER LIMITED, INC.	0		0	C	0.00%	0.00%
19	CINERGY RISK SOLUTIONS LTD.	0	04/05/2007	0	100	0.00%	0.00%
20	CINERGY SOLUTIONS UTILITY, INC.	0		0	0	0.00%	0.00%
21	CINERGY TECHNOLOGY, INC.	0		0	0	0.00%	0.00%
		<u> </u>	<u> </u>			%	%
If t	the equitable owners of any capital stock shown above were other t	han the h	nolders of recor	d, provide details	of the changes.		
(f) If a	additional stock was issued, or if any stock was retired during the ye	ear, list ti	ne dates and an	nounts of these tra	ansactions		
				<del></del>			
						Form <b>85</b> 1	(Rev. 12-2005)

Case No. 2009-202
Attach. AG-DR-01-017 & 20-2777218

DUKE ENERGY CORPORATION Form 851 (Rev. 12-2005)

Page 2

Pan	Changes in Stock Holdings During the Tax	year		·····			~- <del>~-</del>	
_o.b		Share- holder of Corpo-	Date of	(a) Cha	inges	(b) Shares held after changes described in column (a)		
3.	Name of corporation	ration No.	transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value	
22	CINERGY TWO, INC.	0		0		0.00%	0.00%	
23	CINERGY UK, INC.	0		0		0.00%	0.00%	
24	CINERGY WHOLESALE ENERGY, INC.	0		0		0.00%	0.00%	
25	CINFUEL RESOURCES, INC.	0		0		0.00%	0.00%	
26	CLAIBORNE ENERGY SERVICES, INC.	0		0		0.00%	0.00%	
						%	%	
(f) If a	additional stock was issued, or if any stock was retired during the y	ear, list th	ne dates and a	mounts of these tra	ansactions.			
,						المستخدم المستحدة المستحدد المستحدد المستحدد المستحدد المستحد المستحدد المس		
						Form <b>851</b>	(Rev. 12-2005)	

Case No. 2009-202 Attach. AG-DR-01-017B Page 23 of 51

20-2777218

Form 851 (Rev. 12-2005) Page 2 Part III Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in (a) Changes Share-Date holder of Corpocolumn (a) \_orb ration No. Name of corporation Number of transaction Percent of Percent of shares acquired shares disposed of voting power value DE Fossil-Hydro Engineering, Inc. 0 10/31/2007 0 0.00% 0.00% 0 28 DE Nuclear Engineering, Inc. 0 0.00% 0.00% 0.00% 0 29 DEGS Biogas, Inc. 0 0.00% 30 DEGS OF PHILADELPHIA, LLC 0.00% 0 0 0.00% 31 DEGS OF SAN DIEGO, INC. 0 0 0.00% 0.00% % (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see No the instructions for details. Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. No If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

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Form 851 (Rev 12-2005)

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Case No. 2009-202 Attach. AG-DR-01-017 B Page 24 of 51

20-2777218 Page 2

Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in Share-holder of Corpo-ration No (a) Changes Date column (a) dıb. of Name of corporation Number of transaction Percent of Percent of voting power value acquired disposed of 0 0 0.00% DEGS OF TUSCOLA, INC. 0.00% DENA Asset Partners, LP 0 0.00% 0.00% 0.00% DETMI Management, Inc. 1 0 0.00% 34 0.00% 0.00% 0 35 Dixilyn-Field Drilling Company 1 0 0.00% 36 Duke Energy Capital of Texas, Inc. 1 0.00% % (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see No (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. No If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005)

Case No. 2009-202 Attach. AG-DR-01-017 B Page 25 of 51

20-2777218

. . . .

⁻nrp.		Share- holder of	Date	(a) Cha	anges	(b) Shares held after changes described in column (a)		
5	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value	
37	DUKE COMMUNICATIONS HOLDINGS, INC.(FKA CINERGY TELEC	0		0		0.00%	0.00%	
38	DUKE COMMUNICATION SERVICES, INC.	1		0		0.00%	0.009	
39	Duke Energy Allowance Management, LLC	1		0	i	0.00%	0.00%	
40	Duke Energy Design Supplier, Inc.	1		0		0.00%	0.00%	
41	DUKE ENERGY ENGINEERING, INC.	1		0	l	0.00%	0.00%	
						%	9/	
) If	additional stock was issued, or if any stock was retired during the y	rear, list th	e dates and a	mounts of these tra	ansactions.			
ang mga da sa Mas				nganaman e sam a d'amin'i Norma Pili Milit Paganasian na sana a				
						Form 85		

Case No. 2009-202 Attach. AG-DR-01-017B Page 26 of 51

20-2777218

Form 851 (Rev. 12-2005) Page 2 Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in (a) Changes holder of Corpo-ration No Date column (a) of Name of corporation ο Number of Number of transaction Percent of Percent of shares disposed of voting power value acquired 0.00% DE Fossil-Hydro California, Inc. 1 0 0.00% DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC 0 0.00% 43 1 0.00% 0 DUKE ENERGY GENERATION SERVICES, INC. 1 0.00% 0.00% 0.00% DUKE ENERGY GLOBAL MARKETS, INC. 0 1 0.00% DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.) 0 0.00% 0.00% (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. Yes No (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. No Yes If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017 8

DUKE ENERGY CORPORATION 20-2777218 Page 27 of 51 Page 2 Form 851 (Rev. 12-2005) Part III Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in column (a) (a) Changes Share-nolder of Date Corp Corpo-ration No. Name of corporation Number of Number of transaction value voting power acquired disposed of DUKE ENERGY KENTUCKY, INC. 0 0.00% 0.00% DUKE ENERGY LEE HOLDING, INC. 0 0.00% 0.00% 0.00% 0.00% Duke Energy Marketing America, LLC 0 49 0.00% 0 1 0.00% 50 Duke Energy Marketing Canada Corp. 0 0.00% DUKE ENERGY MARKETING CORPORATION 1 0.00% (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Attach. AG-DR-01-017B Page 28 of 51

20-2777218 Page 2

Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in (a) Changes holder of Corpo-ration No Date column (a) -orp of Name of corporation Number of Number of transaction Percent of Percent of shares voting power value acquired disposed of 0.00% 52 DUKE ENERGY OHIO, INC. 1 0.00% 53 DUKE ENERGY ONE, INC. (FKA CINERGY ONE, INC.) 1 0 0.00% 0.00% 11/28/2007 0 0.00% Duke Energy Power Assets Holding, Inc. 0.00% 0.00% Duke Energy Power Generating, LLC 0 0.00% 56 DUKE ENERGY REGISTRATION SERVICES, INC. 1 0 0.00% 0.00% (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. Yes No (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. No If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev. 12-2005)

20-2777218

DUKE ENERGY CORPORATION Page 29 of 51 Form 851 (Rev. 12-2005) Page 2 Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in (a) Changes Shareholder of Corpo-ration No. Date column (a) orp Name of corporation Number of Number of transaction Percent of Percent of shares voting power value acquired disposed of 0 0.00% 0.00% 57 DUKE ENERGY RETAIL SALES, LLC 1 0 0.00% Duke Energy Services, Inc. 1 0.00% DUKE ENERGY SHARED SERVICES, INC. 0 0.00% 0.00% DUKE ENGINEERING & SERVICES (EUROPE), INC. 1 0 0.00% 0.00% 0.00% 1 0.00% 61 DUKE JAVA, INC. % If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see No the instructions for details. Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017B

20-2777218

Page 30 of 51 Form 851 (Rev. 12-2005) Page 2 Changes in Stock Holdings During the Tax Year (b) Shares held after changes described in (a) Changes Share holder of Corpo-ration Date column (a) Torp. οl Name of corporation Number of Number of transaction Percent of Percent of shares disposed of shares voting power value acquired 0.00% 0.00% 0 DUKE PROJECT SERVICES, INC. 1 62 0.00% DUKE TECHNOLOGIES, INC. 1 0 0.00% 63 DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.) 0 0.00% 0.00% 0.00% 0.00% 65 DUKENET COMMUNICATIONS, LLC 0.00% 0 DUKE-RELIANT RESOURCES, INC. 1 0.00% 66 (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see No the instructions for details. Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. No If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions

Form 851 (Rev. 12-2005)

Case No. 2009-202
Attach. AG-DR-01-0178
Page 31 of 51
20-2777218

Page 2

Part I	II Changes in Stock Holdings During the Tax	Year					
_oub		Share- holder of	Date	(a) Cha	anges	(b) Shares changes des	scribed in
<b>3</b> .	Name of corporation	Corpo- ration No	of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
67	DukeTec, LLC	9		0		0.00%	0.00%
68	EASTOVER LAND COMPANY	1		0		0.00%	0.00%
69	EASTOVER MINING COMPANY	1		0		0.00%	0.00%
70	Energy Pipelines International Company	1		0		d 0.00%	0.00%
71	FORT DRUM COGENCO, INC.	1		0		0.00%	0.00%
						%	%
(f) If a	additional stock was issued, or if any stock was retired during the y	ear, list th	ne dates and a	mounts of these tr	ansactions.		
						Form 851	(Rev 12-2005)

20-2777218

Page 2 Form 851 (Rev. 12-2005) Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in column (a) (a) Changes Share-Date older of orp. Corpo-ration No Number of Name of corporation Number of transaction Percent of Percent of shares voting power disposed of value acquired GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY 0 0.00% 0.00% 1 0.00% 0 73 KO TRANSMISSION COMPANY 1 0.00% 0.00% 0 MIAMI POWER CORPORATION 1 0.00% 75 M-P SUPPLY, INC. 0 0.00% 0.00% 0.00% 0.00% 0 76 NorthSouth Insurance Company Limited 1 (c) If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see the instructions for details. No Yes (d) Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions.

Case No. 2009-202 Attach. AG-DR-01-017 B

DUKE ENERGY CORPORATION

Form 851 (Rev. 12-2005)

ch. AG-DR-01-017 Page 33 of 51 20-2777218

Page 2

Changes in Stock Holdings During the Tax Year Part III (b) Shares held after changes described in column (a) (a) Changes Date nolder of Corpo-ration No. Name of corporation Number of Number of transaction Percent of Percent of voting power value acquired disposed of 0 0.00% 0.00% 77 Pan Services Company 0.00% 0 0.00% 78 PanEnergy Corp 0 0.00% 0.00% 1 79 SOUTH CONSTRUCTION COMPANY, INC. 0 0.00% 0.00% 1 80 Southeastern Energy Services, Inc. 0.00% 0 0.00% 1 SOUTHERN POWER COMPANY If any transaction listed above caused either a deconsolidation of a subsidiary or a deconsolidation of any share of subsidiary stock and afterward, any member continued to hold stock of the subsidiary, did the basis of any retained share exceed its value immediately before the deconsolidation? If "Yes," see No the instructions for details. Yes Is the group deducting a loss recognized on the disposition of the stock of a subsidiary? If "Yes," see Yes No the instructions for details, including the statements that must be attached. If the equitable owners of any capital stock shown above were other than the holders of record, provide details of the changes. If additional stock was issued, or if any stock was retired during the year, list the dates and amounts of these transactions. Form 851 (Rev 12-2005)

Case No. 2009-202 Attach. AG-DR-01-017 **B** 20-2777218 Page 34 of 51

Page 2

orm 851 (Re		Tay Voca	·····	1 45	: 34 01 31		Pag
Part III	Changes in Stock Holdings During the	Share- holder of	Date	(a) Cha	anges	(b) Shares changes de colui	held after escribed in mn (a)
0.	Name of corporation	Corpo- ration No.	of transaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
82 T	RI-STATE IMPROVEMENT COMPANY	1		0	(	0.00%	0.0
83 W	ATEREE POWER COMPANY	1		0		0.00%	0.0
84 W	ESTERN CAROLINA POWER COMPANY	1		0	(	0.00%	0.0
0		0		0	(	0.00%	0.0
0		0		0	(	0.00%	0.0
						%	
) If ad	ditional stock was issued, or if any stock was retired durin	g the year, list th	ne dates and a	mounts of these tr	ansactions.		
							Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Maria Ma
						Form 85	1 (Rev. 12-2

Case No. 2009-202
Attach. AG-DR-01-0178
Page 35 of 51
P. Page 3

C.000000000000000000000000000000000000	Rev. 12-2005)			Pa	ge 3
Part I					
1 0	During the tax year, did the corporation have more than one class of stock or	itstanding?		Yes	No
	"Yes," enter the name of the corporation and list and describe each class o	stock.			
P.	Name of corporation		Class of stock		
No.	YES NO				
1	DUKE ENERGY CORPORATION				
2	Bison Insurance Company, LTD				
3	CALDWELL POWER COMPANY				
4	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY				
5	CINERGY CAPITAL & TRADING, INC.				
6	CINERGY CENTRUS COMMUNICATIONS, INC.				
		······································			
2 0	Juring the tax year, was there any member of the consolidated group that rea	offiliated within 60 months			
	f disaffiliation?	initated within 00 months	Г	Yes 🗍	No
	"Yes," enter the name of the corporation(s) and explain the circumstances.		L	] les []	140
	res, enter the name of the corporation(s) and explain the circumstances.		***************************************		
Corp.	Name of corporation		Explanation		
No.	YES NO				
1	DUKE ENERGY CORPORATION	A CONTRACTOR OF THE PROPERTY O			
2	Bison Insurance Company, LTD				-
3	CALDWELL POWER COMPANY				
4	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY				
5	CINERGY CAPITAL & TRADING, INC.				
6	CINERGY CENTRUS COMMUNICATIONS, INC.				
	CHERCH CERTROS COMMONICATIONS, INC.		7-0		
3 D	During the tax year, was there any arrangement in existence by which one or	more persons that were not			
	nembers of the affiliated group could acquire any stock, or acquire any voting	•	k		
	the corporation, other than a de minimis amount, from the corporation or ar			Yes	No
	"Yes," enter the name of the corporation and see the instructions for what to		-		
Corp.		!			
No.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c	
1	DUKE ENERGY CORPORATION	0.00 %	0.00 %	0.00	%
2	Bison Insurance Company, LTD	0.00 %	0.00 %	0.00	%
3					70
	CALDWELL POWER COMPANY	0.00 %	0.00 %	0.00	%
4	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY	0.00 % 0.00 %	0.00 %	0.00	
4	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY				%
	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC.	0.00 %	0.00 %	0.00	%
5	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY	0.00 % 0.00 % 0.00 %	0.00 % 0.00 % 0.00 %	0.00 0.00	% % %
5	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % %
5 6	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 %	0.00 % 0.00 % 0.00 %	0.00 0.00	% % % %
5	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No.	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No. 1	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No. 1 2	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No. 1 2	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No. 1 2 3 4	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No. 1 2 3 4 5 5	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No. 1 2 3 4	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %
4 5 6 Corp. No. 1 2 3 4 5 5	CATAWBA MANUFACTURING AND ELECTRIC POWER COMPANY CINERGY CAPITAL & TRADING, INC. CINERGY CENTRUS COMMUNICATIONS, INC.	0.00 % 0.00 % 0.00 % %	0.00 % 0.00 % 0.00 % %	0.00 0.00	% % % %

#### Case No. 2009-202 Attach. AG-DR-01-017B

Page 36 of 51

20-2777218

Page 3 Form 851 (Rev. 12-2005) Part IV Additional Stock Information (see instructions) During the tax year, did the corporation have more than one class of stock outstanding? No If "Yes," enter the name of the corporation and list and describe each class of stock. ...D. Name of corporation Class of stock YES NO No. 7 CINERGY CENTRUS, INC. CINERGY CLIMATE CHANGE INVESTMENTS, LLC 8 9 CINERGY CORP 10 Cinergy Global Ely, Inc. Cinergy Global Holdings, Inc. 11 During the tax year, was there any member of the consolidated group that reaffiliated within 60 months If "Yes," enter the name of the corporation(s) and explain the circumstances Corp. Explanation Name of corporation No. YES NO CINERGY CENTRUS, INC. CINERGY CLIMATE CHANGE INVESTMENTS, LLC 8 9 CINERGY CORP 10 Cinergy Global Ely, Inc. 11 Cinergy Global Holdings, Inc. During the tax year, was there any arrangement in existence by which one or more persons that were not members of the affiliated group could acquire any stock, or acquire any voting power without acquiring stock, in the corporation, other than a de minimis amount, from the corporation or another member of the affiliated group? Yes No If "Yes," enter the name of the corporation and see the instructions for what to enter in Items 3a, 3b, 3c, and 3d. Corp. Name of corporation Item 3b Item 3c YES NO No. CINERGY CENTRUS, INC. 0.00 % 0.00 % 0.00 7 CINERGY CLIMATE CHANGE INVESTMENTS, LLC 0.00 % 0.00 % 0.00 8 9 CINERGY CORP 0.00 % 0.00 % 0.00 % Cinergy Global Ely, Inc. 0.00 % 0.00 % 0.00 % 10 Cinergy Global Holdings, Inc. 0.00 % 0.00 % 0.00 % 11 % % % % % % % % Corp. Item 3d - Provide a description of any arrangement No. 8 9 10 11

## Case No. 2009-202 Attach. : AG-DR-01-017 **B** Page 37 of 51

20-2777218

22.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2	Rev. 12-2005)				Page 3
Part I	· · · · · · · · · · · · · · · · · · ·				
	During the tax year, did the corporation have more than one class of stock or "Yes," enter the name of the corporation and list and describe each class or			Yes	No
Jurp. No.	Name of corporation YES NO		Class of stock		
12	CINERGY GLOBAL POWER, INC.				
13	CINERGY GLOBAL RESOURCES, INC.				
14	CINERGY INVESTMENTS, INC.				
15	CINERGY MEXICO HOLDINGS, LP				
16	CINERGY POWER INVESTMENTS, INC.				
- 10	CHERGI FOREX INVESTIGATO, INC.				,
2 D	during the tax year, was there any member of the consolidated group that re	affiliated within 60 months			
o	f disaffiliation?	4		Yes	No
If	*Yes," enter the name of the corporation(s) and explain the circumstances.				
Corp.			E. I. e		
No.	Name of corporation		Explanation		
	YES NO				
12	CINERGY GLOBAL POWER, INC.				
13	CINERGY GLOBAL RESOURCES, INC.				
14	CINERGY INVESTMENTS, INC.				
15	CINERGY MEXICO HOLDINGS, LP				
16	CINERGY POWER INVESTMENTS, INC.				
	All All All All All All All All All All		_1		
	turing the tax year, was there any arrangement in existence by which one o	•			
	nembers of the affiliated group could acquire any stock, or acquire any voting			T Vas	No
	the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what t			Yes	No
Corp.	res, enter the name of the corporation and see the instructions for what t	o enter in Rems Sa, Sb, Sc,	and od.		
No.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c	
12	CINERGY GLOBAL POWER, INC.	0.00 %	0.00 %	0.00	%
13	CINERGY GLOBAL RESOURCES, INC.	0.00 %	0.00 %	0.00	
14	CINERGY INVESTMENTS, INC.	0.00 %	0.00 %	0.00	
15	CINERGY MEXICO HOLDINGS, LP	0.00 %	0.00 %	0.00	
16	CINERGY POWER INVESTMENTS, INC.	0.00 %	0.00 %	0.00	
		%	%		%
		%	%		%
		%,	%;		%
Corp.	Item 3d - Provide a description of any arrangement.	\(\frac{1}{2}\)			
No.	ment ou i hovide a description of any arrangement.				
12					
13					
14					
15					
16					
			· · · · · · · · · · · · · · · · · · ·		

Case No. 2009-202 Attach. AG-DR-01-017**B** Page 38 of 51 20-2777218

Form 85 1 (1	Rev. 12-2005)		146000000	Pa	ge <b>3</b>
Part I					
	During the tax year, did the corporation have more than one class of stock or	utstanding?		Yes	No
	"Yes," enter the name of the corporation and list and describe each class of				
			Class of stock		
No.	Name of corporation YES NO		Class of Stock		
17	CINERGY RETAIL POWER GENERAL, INC.				
18	CINERGY RETAIL POWER LIMITED, INC.				
19	CINERGY RISK SOLUTIONS LTD.				
20	CINERGY SOLUTIONS UTILITY, INC.				
21	CINERGY TECHNOLOGY, INC.				
2 [	During the tax year, was there any member of the consolidated group that re	affiliated within 60 months			
	of disaffiliation?			Yes	No
11	"Yes," enter the name of the corporation(s) and explain the circumstances.				
Corp.			Explanation		
No.	Name of corporation		Ехранацон		
	YES NO				
17	CINERGY RETAIL POWER GENERAL, INC.				
18	CINERGY RETAIL POWER LIMITED, INC.				
19 20	CINERGY RISK SOLUTIONS LTD. CINERGY SOLUTIONS UTILITY, INC.				
21	CINERGY TECHNOLOGY, INC.				
	CINERUS (ECHNOLOGI), INC.				
	***************************************				
3 C	During the tax year, was there any arrangement in existence by which one o	r more persons that were not			
	nembers of the affiliated group could acquire any stock, or acquire any voting		k,		
	n the corporation, other than a de minimis amount, from the corporation or a			Yes	No
	"Yes," enter the name of the corporation and see the instructions for what				
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c	
No.	YES NO				
17	CINERGY RETAIL POWER GENERAL, INC.	0.00 %	0.00 %	0.00	%
18	CINERGY RETAIL POWER LIMITED, INC.	0.00 %	0.00 %	0.00	<u>%</u>
19	CINERGY RISK SOLUTIONS LTD.	0.00 %	0.00 %	0.00	%
20	CINERGY SOLUTIONS UTILITY, INC.	0.00 %	0.00 %	0.00	%
21	CINERGY TECHNOLOGY, INC.	0.00 %	0.00 %	0.00	%
******		%	%		%
		%	%		%
		%	%		%
Corp. No.	Item 3d - Provide a description of any arrangement.				
17 18					
19 20					
20					
					-
				Form <b>851</b> (Rev 12-2	(005)
					-,

### Case No. 2009-202 Attach. AG-DR-01-017B

Page 39 of 51 20-2777218

Form 851 (F	Rev. 12-2005)				rage 3
Part I	Additional Stock Information (see instructions)				
1 D	During the tax year, did the corporation have more than one class of stock or	utstanding?		Yes	No
- <u>lf</u>	"Yes," enter the name of the corporation and list and describe each class of	f stock.			
,ρ.	Name of corporation		Class of stock		
No.	YES NO				
22	CINERGY TWO, INC.				
23	CINERGY UK, INC.				
24	CINERGY WHOLESALE ENERGY, INC.				
25	CINFUEL RESOURCES, INC.			·	
26	CLAIBORNE ENERGY SERVICES, INC.				
2 D	During the tax year, was there any member of the consolidated group that re	affiliated within 60 months			
О	f disaffiliation?			Yes	No
11	"Yes," enter the name of the corporation(s) and explain the circumstances.				
0					
Corp. No.	Name of corporation		Explanation		
110	YES NO				
22	CINERGY TWO, INC.				
23	CINERGY UK, INC.				
24	CINERGY WHOLESALE ENERGY, INC.				
25	CINFUEL RESOURCES, INC.				
26	CLAIBORNE ENERGY SERVICES, INC.				
					***************************************
3 D	During the tax year, was there any arrangement in existence by which one of	r more persons that were no	t		
	nembers of the affiliated group could acquire any stock, or acquire any votin	• • • • • • • • • • • • • • • • • • • •	_		
	n the corporation, other than a de minimis amount, from the corporation or a			Yes	No
	"Yes," enter the name of the corporation and see the instructions for what t	o enter in Items 3a, 3b, 3c, a	and 3d.		
Corp.	Name of corporation	Item 3a	Item 3b	Iter	n 3c
No.	YES NO	0.00.0	0.00.00		0.00 0/
22	CINERGY TWO, INC.	0.00 %	0.00 %		0.00 %
23	CINERGY UK, INC.	0.00 %	0.00 %		0.00 %
24	CINERGY WHOLESALE ENERGY, INC.	0.00 %	0.00 %		0.00 %
25	CINFUEL RESOURCES, INC.	0.00 %	0.00 %		0.00 %
26	CLAIBORNE ENERGY SERVICES, INC.	0.00 %	0.00 %		0.00 %
		%	%		%
		%	%		%
		%	%		<u>%</u>
Corp. No.	Item 3d - Provide a description of any arrangement.				
22					
23		- Carlo Carl			· · · · · · · · · · · · · · · · · · ·
24					
25					
26					
			***************************************		· · · · · · · · · · · · · · · · · · ·

#### Case No. 2009-202 Attach. AG-DR-01-017 Page 40 of 51

20-2777218

	Rev. 12-2005)		- 100 -		rage 3
Part	Additional Stock Information (see instructions)				
1 [	During the tax year, did the corporation have more than one class of stock o	utstanding?		Yes	No
_1	f "Yes," enter the name of the corporation and list and describe each class of	of stock.			·
. p.	Name of corporation		Class of stock		
No.	YES NO		Class of Stock		
27	DE Fossil-Hydro Engineering, Inc.				
28	DE Nuclear Engineering, Inc.				
29	DEGS Biogas, Inc.				
30	DEGS OF PHILADELPHIA, LLC				
31	DEGS OF SAN DIEGO, INC.				
2 E	Ouring the tax year, was there any member of the consolidated group that re	affiliated within 60 months			
C	f disaffiliation?			Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances.				
Cava					
Corp. No.	Name of corporation		Explanation		
110.	YES NO				
27	DE Fossil-Hydro Engineering, Inc.		······································	·	
28	DE Nuclear Engineering, Inc.				
29	DEGS Biogas, Inc.				
30	DEGS OF PHILADELPHIA, LLC				
31	DEGS OF SAN DIEGO, INC.				
			· · · · · · · · · · · · · · · · · · ·		
	turing the tax year, was there any arrangement in existence by which one o	,			
	nembers of the affiliated group could acquire any stock, or acquire any votin	• • •	_		
	the corporation, other than a de minimis amount, from the corporation or a		, L	Yes	∐ No
	"Yes," enter the name of the corporation and see the instructions for what t	o enter in Items 3a, 3b, 3c,	and 3d.		
Corp.	Name of corporation	Item 3a	Item 3b	Iter	n 3c
No. 27	YES NO	0.00.00	0.00.00		0.00
	DE Fossil-Hydro Engineering, Inc.	0.00 %	0.00 %		0.00 %
28 29	DE Nuclear Engineering, Inc.	0.00 %	0.00 %		0.00 %
30	DEGS Biogas, Inc.	0.00 %	0.00 %		0.00 %
31	DEGS OF PHILADELPHIA, LLC	0.00 %	0.00 %		0.00 %
	DEGS OF SAN DIEGO, INC.	0.00 %	0.00 %		0.00 %
		%	%		<u>%</u>
		% %	%		%
0-		<u> </u>	%		%
Corp. No.	Item 3d - Provide a description of any arrangement.				
27					
28					
29				***************************************	·····
30					
31					
- 1				~ <del></del>	
1					

## Case No. 2009-202 Attach. AG-DR-01-0178 Page 41 of 51 20-2777218

	Rev. 12-2005)				
Part I	· · · · · · · · · · · · · · · · · · ·				
	During the tax year, did the corporation have more than one class of stock or			Yes	No
	"Yes," enter the name of the corporation and list and describe each class o	f stock.	***************************************		
_ >(P.	Name of corporation		Class of stock		
No.	YES NO				
32	DEGS OF TUSCOLA, INC.				
33	DENA Asset Partners, LP				
34	DETMI Management, Inc.				
35	Dixilyn-Field Drilling Company				
36	Duke Energy Capital of Texas, Inc.		***************************************		
	During the tax year, was there any member of the consolidated group that rea	affiliated within 60 months	Г	— , , <del>, , , , , , , , , , , , , , , , ,</del>	
				Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances.				
Corp.	Name of corporation		Explanation		
No.	ł		Explanation		
72	YES NO				
32	DEGS OF TUSCOLA, INC.				
33	DENA Asset Partners, LP				
34	DETMI Management, Inc. Dixilyn-Field Drilling Company				
35	Duke Energy Capital of Texas, Inc.				
30	Duke Energy capital of Texas, The.				
3 E	During the tax year, was there any arrangement in existence by which one or	more persone that were no	*		
	nembers of the affiliated group could acquire any stock, or acquire any voting	•			
	the corporation, other than a de minimis amount, from the corporation or ar		r	Yes	No
	"Yes," enter the name of the corporation and see the instructions for what to				
Corp.		<del>"</del>			
No.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c	
32	DEGS OF TUSCOLA, INC.	0.00 %	0.00 %	0.00	%
33	DENA Asset Partners, LP	0.00 %	0.00 %	0.00	%
34	DEIMI Management, Inc.	0.00 %	0.00 %	0.00	
35	Dixilyn-Field Drilling Company	0.00 %	0.00 %	0.00	
36	Duke Energy Capital of Texas, Inc.	0.00 %	0.00 %	0.00	%
	2/11/	%	%		%
		%	%		%
		%	%	······································	%
Corp.		,01			
No.	Item 3d - Provide a description of any arrangement.				
32		Total Control of the			
33					
34					
35				······································	
36					
***************************************					

#### Case No. 2009-202 Attach. AG-DR-01-017 B Page 42 of 51

20-2777218

	Rev. 12-2005)			Page 3
Part I	Additional Stock Information (see instructions)			
1 0	during the tax year, did the corporation have more than one class of stock o	utstanding?		Yes No
<u></u>	"Yes," enter the name of the corporation and list and describe each class of	of stock.		
,p. No.	Name of corporation YES NO		Class of stock	
37	DUKE COMMUNICATIONS HOLDINGS, INC.(FKA CINERGY TELEC			
38	DUKE COMMUNICATION SERVICES, INC.			
39	Duke Energy Allowance Management, LLC			
40	Duke Energy Design Supplier, Inc.			
41	DUKE ENERGY ENGINEERING, INC.			
			***************************************	
				,
2 D	during the tax year, was there any member of the consolidated group that re	affiliated within 60 months		
	f disaffiliation?			Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstances.			
-				
Corp.	Name of corporation		Explanation	
No.	YES NO			
37	DUKE COMMUNICATIONS HOLDINGS, INC.(FKA CINERGY TELEC			
38	DUKE COMMUNICATION SERVICES, INC.			
39	Duke Energy Allowance Management, LLC			
40	Duke Energy Design Supplier, Inc.			
41	DUKE ENERGY ENGINEERING, INC.			
·				
	ouring the tax year, was there any arrangement in existence by which one of	· ·		
	nembers of the affiliated group could acquire any stock, or acquire any votin			<b>-</b> -
	the corporation, other than a de minimis amount, from the corporation or a			Yes No
1f	"Yes," enter the name of the corporation and see the instructions for what	to enter in Items 3a, 3b, 3c,	and 3d.	
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c
<u>No.</u> 37	YES NO DUKE COMMUNICATIONS HOLDINGS, INC.(FKA CINERGY TELEC	0.00 %	0.00 %	0.00 %
38	DUKE COMMUNICATION SERVICES, INC.	0.00 %	0.00 %	0.00 %
39	Duke Energy Allowance Management, LLC	0.00 %	0.00 %	0.00 %
40	Duke Energy Design Supplier, Inc.	0.00 %	0.00 %	0.00 %
41	DUKE ENERGY ENGINEERING, INC.	0.00 %	0.00 %	0.00 %
	DOKE CHEROT ENGINEERING THE	%	%	%
		%	%	%
		%	%	%
Corp.				70
No.	Item 3d - Provide a description of any arrangement.			
37				
38				
39				
40				
41				
			· · · · · · · · · · · · · · · · · · ·	
		· · · · · · · · · · · · · · · · · · ·		

#### Case No. 2009-202 Attach. AG-DR-01-017*B* Page 43 of 51

20-2777218

Form 851 (F	Rev. 12-2005)			Page
Part I	Additional Stock Information (see instructions)			
, 1 D	During the tax year, did the corporation have more than one class of stock of	utstanding?		Yes No
<u>lf</u>	"Yes," enter the name of the corporation and list and describe each class of	of stock.		
лр. No.	Name of corporation YES NO		Class of stock	
42	DE Fossil-Hydro California,Inc.			
43	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC			
44	DUKE ENERGY GENERATION SERVICES, INC.			
45	DUKE ENERGY GLOBAL MARKETS, INC.			
46	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.)			
2 [	During the tax year, was there any member of the consolidated group that re	affiliated within 60 months		
	f disaffiliation?			Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstances.			
	100, Onto the name of the corporation of and explanation			
Corp.	Name of corporation		Explanation	
No.	YES NO			
42	DE Fossil-Hydro California,Inc.			<del></del>
43	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC			
44	DUKE ENERGY GENERATION SERVICES, INC.			***************************************
45	DUKE ENERGY GLOBAL MARKETS, INC.			
46	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.)			
3 D	During the tax year, was there any arrangement in existence by which one o	r more persons that were pr	<b>31</b>	······································
	nembers of the affiliated group could acquire any stock, or acquire any votin			
	the corporation, other than a de minimis amount, from the corporation or a			Yes N
	"Yes," enter the name of the corporation and see the instructions for what			
Corp.		1	1	
No.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c
42	DE Fossil-Hydro California,Inc.	0.00 %	0.00 %	0.00
43	DUKE ENERGY GENERATION SERVICES HOLDING COMPANY, INC	0.00 %	0.00 %	0.00
44	DUKE ENERGY GENERATION SERVICES, INC.	0.00 %	0.00 %	0.00
45	DUKE ENERGY GLOBAL MARKETS, INC.	0.00 %	0.00 %	0.00
46	DUKE ENERGY INDIANA, INC. (FKA PSI ENERGY, INC.)	0.00 %	0.00 %	0.00
	DONE CHERCH MOTHER, THOSE CHERCH THOSE	%	%	
		%	%	
		%	%	
			/01	77
Corp. No.	Item 3d - Provide a description of any arrangement.			
42				
43				
44				
45				
46				· · · · · · · · · · · · · · · · · · ·

# Case No. 2009-202 Attach. AG-DR-01-017B Page 44 of 51 20-2777218

	Rev. 12-2005)			, age
Part I				7 [7]
	During the tax year, did the corporation have more than one class of stock ou	-		Yes No
-	f "Yes," enter the name of the corporation and list and describe each class of	stock.		
р.	Name of corporation		Class of stock	
No.	YES NO		***************************************	
47	DUKE ENERGY KENTUCKY, INC.			
48	DUKE ENERGY LEE HOLDING, INC.			
49	Duke Energy Marketing America, LLC			
50 51	Duke Energy Marketing Canada Corp.  DUKE ENERGY MARKETING CORPORATION			
21	DUKE ENERGI MARKETING CORPORATION			
2 [	During the tax year, was there any member of the consolidated group that rea	affiliated within 60 months		
	If disaffiliation?	amated within 00 monato	<u> </u>	Yes No
	"Yes," enter the name of the corporation(s) and explain the circumstances.			] , 65
	res, enter the hame of the corporation(s) and explain the dicumstances.		· · · · · · · · · · · · · · · · · · ·	
Corp.	Name of corporation		Explanation	
No.	YES NO			
47	DUKE ENERGY KENTUCKY, INC.			
48	DUKE ENERGY LEE HOLDING, INC.			
49	Duke Energy Marketing America, LLC			
50	Duke Energy Marketing Canada Corp.			
51	DUKE ENERGY MARKETING CORPORATION			
3 [	During the tax year, was there any arrangement in existence by which one or	more persons that were not		
n	nembers of the affiliated group could acquire any stock, or acquire any voting	power without acquiring stoc	:k,	
ir	n the corporation, other than a de minimis amount, from the corporation or an	other member of the affiliated	group?	Yes No
	"Yes." enter the name of the corporation and see the instructions for what to	o enter in Items 3a, 3b, 3c, an	d 3d.	
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c
No.	YES NO			
47	DUKE ENERGY KENTUCKY, INC.	0.00 %	0.00 %	0.00 %
48	DUKE ENERGY LEE HOLDING, INC.	0.00 %	0.00 %	0.00 %
49	Duke Energy Marketing America, LLC	0.00 %	0.00 %	0.00 %
50	Duke Energy Marketing Canada Corp.	0.00 %	0.00 %	0.00 %
51	DUKE ENERGY MARKETING CORPORATION	0.00 %	0.00 %	0.00 %
		%	%	9,
		%	%	9,
		%	%	9
Corp.	Item 3d - Provide a description of any arrangement.			
No.				
47				
48				
49				
50				
51				

#### Case No. 2009-202 Attach. AG-DR-01-017 B Page 45 of 51

e 45 of 51 20-2777218

**************************************	(Rev. 12-2005)  IV Additional Stock Information (see instruction	ıs)				
Ε	During the tax year, did the corporation have more than one clas		anding?	[	Yes	П
	f "Yes," enter the name of the corporation and list and describe		=		] 103	
		Caon Glace Cr GR	<u> </u>			-
p. No.	Name of corporation	YES NO		Class of stock		
52	DUKE ENERGY OHIO, INC.					
53	DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)					
54	Duke Energy Power Assets Holding, Inc.					
55	Duke Energy Power Generating, LLC			***************************************		
56	DUKE ENERGY REGISTRATION SERVICES, INC.					
C			ated within 60 months		Yes	
	f "Yes," enter the name of the corporation(s) and explain the circ	cumstances.				
orp. No.	Name of corporation	YES NO		Explanation		
52	DUKE ENERGY OHIO, INC.		· · · · · · · · · · · · · · · · · · ·			
53	DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)		······································			
54	Duke Energy Power Assets Holding, Inc.					
55	Duke Energy Power Generating, LLC					
56	DUKE ENERGY REGISTRATION SERVICES, INC.					
	During the tax year, was there any arrangement in existence by					
n ir	nembers of the affiliated group could acquire any stock, or acqu n the corporation, other than a de minimis amount, from the corp	ire any voting po poration or anoth	wer without acquiring sto er member of the affiliate	d group?	Yes	
n ir	members of the affiliated group could acquire any stock, or acquin the corporation, other than a de minimis amount, from the corporation and see the instruction	ire any voting po poration or anoth	ower without acquiring sto er member of the affiliate hter in Items 3a, 3b, 3c, a	d group?		
n ir II Corp.	nembers of the affiliated group could acquire any stock, or acqu n the corporation, other than a de minimis amount, from the corp	ire any voting po poration or anoth	wer without acquiring sto er member of the affiliate	d group?		m 3c
n ir II Corp.	members of the affiliated group could acquire any stock, or acquin the corporation, other than a de minimis amount, from the corporation and see the instruction	ire any voting po poration or anoth ons for what to er	ower without acquiring sto er member of the affiliate hter in Items 3a, 3b, 3c, a	d group?		m 3c
n ir II Corp. No. 52 53	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction.  Name of corporation	ire any voting po poration or anoth ons for what to er	over without acquiring sto er member of the affiliate nter in Items 3a, 3b, 3c, a Item 3a 0.00 % 0.00 %	ock, d group? nd 3d.  Item 3b  0.00 % 0.00 %		0.00
n ir II Corp. No. 52 53	members of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.	ire any voting po poration or anoth ons for what to er	ower without acquiring sto er member of the affiliate nter in Items 3a, 3b, 3c, a Item 3a 0.00 % 0.00 % 0.00 %	ock, d group? nd 3d.  Item 3b  0.00 %  0.00 %  0.00 %		0.00 0.00 0.00
nir II Corp. No. 52 53 54	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC	ire any voting po poration or anoth ons for what to er	ower without acquiring sto er member of the affiliate nter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %	ock, d group? nd 3d.  Item 3b  0.00 % 0.00 % 0.00 % 0.00 %		0.00 0.00 0.00 0.00
n ir II Corp. No. 52 53	members of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.	ire any voting po poration or anoth ons for what to er	over without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	ock, d group? Item 3b  0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %		0.00 0.00 0.00
nir II Dorp. No. 52 53 54	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC	ire any voting po poration or anoth ons for what to er	over without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	1ck, d group? Item 3b  10.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %		0.00 0.00 0.00 0.00
nir II Corp. No. 52 53 54	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC	ire any voting po poration or anoth ons for what to er	ower without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	100 %		0.00 0.00 0.00 0.00
52 53 54 55 56	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC	ire any voting po poration or anoth ons for what to er	over without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	1ck, d group? Item 3b  10.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %		0.00 0.00 0.00 0.00
nir II Dorp. No. 52 53 54	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC  DUKE ENERGY REGISTRATION SERVICES, INC.	ire any voting po poration or anoth ons for what to er	ower without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	100 %		0.00 0.00 0.00 0.00
52 53 54 55 56 Corp.	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC  DUKE ENERGY REGISTRATION SERVICES, INC.	ire any voting po poration or anoth ons for what to er	ower without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	100 %		0.00 0.00 0.00 0.00
n ir ir il il il il il il il il il il il il il	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC  DUKE ENERGY REGISTRATION SERVICES, INC.	ire any voting po poration or anoth ons for what to er	ower without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	100 %		0.00 0.00 0.00 0.00
n iii li li li li li li li li li li li li	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC  DUKE ENERGY REGISTRATION SERVICES, INC.	ire any voting po poration or anoth ons for what to er	ower without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	100 %		0.00 0.00 0.00 0.00
n iir iii li li li li li li li li li li li li	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC  DUKE ENERGY REGISTRATION SERVICES, INC.	ire any voting po poration or anoth ons for what to er	ower without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	100 %		0.00 0.00 0.00 0.00
n ir III Corp. No. 52 53 54 55 56 Corp. No. 52 53 54 55 56	nembers of the affiliated group could acquire any stock, or acquire the corporation, other than a de minimis amount, from the corporation and see the instruction Name of corporation  DUKE ENERGY OHIO, INC.  DUKE ENERGY ONE, INC.(FKA CINERGY ONE, INC.)  Duke Energy Power Assets Holding, Inc.  Duke Energy Power Generating, LLC  DUKE ENERGY REGISTRATION SERVICES, INC.	ire any voting po poration or anoth ons for what to er	ower without acquiring storer member of the affiliate of the in Items 3a, 3b, 3c, a stem 3a st	100 %		0.00 0.00 0.00 0.00

## Case No. 2009-202 Attach. AG-DR-01-017 B Page 46 of 51

20-2777218

	Rev. 12-2005)				
Part I	Additional Stock Information (see instructions)				
1 [	During the tax year, did the corporation have more than one class of stock of	utstanding?	N 6 % 4 4 4 5 5 5 6 7 7 7	Yes	No
11	f "Yes," enter the name of the corporation and list and describe each class of	of stock.			
p.	Name of corneration		Class of stock		
No.	Name of corporation YES NO		Class of Stock		
57	DUKE ENERGY RETAIL SALES, LLC				
58	Duke Energy Services, Inc.				
59	DUKE ENERGY SHARED SERVICES, INC.				
60	DUKE ENGINEERING & SERVICES (EUROPE), INC.				
61	DUKE JAVA, INC.				
				***************************************	
				· · · · · · · · · · · · · · · · · · ·	
2 [	During the tax year, was there any member of the consolidated group that re	affiliated within 60 months			
	of disaffiliation?	amiliated within 00 months	1	Yes	☐ No
	"Yes," enter the name of the corporation(s) and explain the circumstances.			103	110
	1 es, etter the harre of the corporation(s) and explain the discurstances.				
Corp.	Name of corporation		Explanation		
No.	YES NO				
57	DUKE ENERGY RETAIL SALES, LLC				
58	Duke Energy Services, Inc.		A AMERICAN TO THE PROPERTY OF		
59	DUKE ENERGY SHARED SERVICES, INC.				
60	DUKE ENGINEERING & SERVICES (EUROPE), INC.				
61	DUKE JAVA, INC.				
- 01	DOKE SAVA, INC.				
	turing the tay was was there are agreement in a vistage a burnlish and	t made paragon that were no			
	During the tax year, was there any arrangement in existence by which one o	·			
	nembers of the affiliated group could acquire any stock, or acquire any votin				No
	the corporation, other than a de minimis amount, from the corporation or an			Yes	No
	"Yes," enter the name of the corporation and see the instructions for what t	o enter in terns sa, so, sc,	and Su.		
Corp.	Name of corporation YES NO	Item 3a	Item 3b	Item	3c
<u>No.</u> 57	DUKE ENERGY RETAIL SALES, LLC	0.00 %	0.00 %		0.00 %
58		0.00 %	0.00 %		0.00 %
59	Duke Energy Services, Inc. DUKE ENERGY SHARED SERVICES, INC.	0.00 %	0.00 %		
60	DUKE ENGINEERING & SERVICES (EUROPE), INC.	0.00 %	0.00 %		0.00 %
61	DUKE JAVA, INC.	0.00 %	0.00 %		0.00 %
		%	%		%
		%	%		<u>%</u>
		%	%		%
Corp.	Item 3d - Provide a description of any arrangement.				
No.					
57					
58					
59					
60					
61					
1					

## Case No. 2009-202 Attach. AG-DR-01-017B Page 47 of 51

20-2777218

	Rev. 12-2005)			ra	ige 3
Part I	Additional Stock Information (see instructions)				
1 C	During the tax year, did the corporation have more than one class of stock or	utstanding?		Yes	No
	"Yes," enter the name of the corporation and list and describe each class of	f stock.			
,p.	Name of corporation		Class of stock		
No.	YES NO				
62	DUKE PROJECT SERVICES, INC.				
63	DUKE TECHNOLOGIES, INC.		······································		
64	DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.)				
65	DUKENET COMMUNICATIONS, LLC				-
66	DUKE-RELIANT RESOURCES, INC.		· · · · · · · · · · · · · · · · · · ·		
	Ouring the tax year, was there any member of the consolidated group that re-	affiliated within 60 months	г	<b>—</b> —	
	f disaffiliation?			Yes	No
1	"Yes," enter the name of the corporation(s) and explain the circumstances.				
Corp.	Name of corporation		Explanation		
No.	YES NO		Explanation		
	<u> </u>				
62	DUKE PROJECT SERVICES, INC. DUKE TECHNOLOGIES, INC.				
64	DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.)				
65	DUKENET COMMUNICATIONS, LLC				
66	DUKE-RELIANT RESOURCES, INC.				
	DURE RELIANT RESOURCES, INC.				-
3 D	during the tax year, was there any arrangement in existence by which one or	r more persons that were no	of		
	nembers of the affiliated group could acquire any stock, or acquire any voting				
	the corporation, other than a de minimis amount, from the corporation or ar	* * * * * * * * * * * * * * * * * * * *	r	Yes	No
	"Yes," enter the name of the corporation and see the instructions for what t				
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c	
No.	YES NO	item sa	Henri Sb	item oc	
62	DUKE PROJECT SERVICES, INC.	0.00 %	0.00 %	0.00	%
63	DUKE TECHNOLOGIES, INC.	0.00 %	0.00 %	0.00	%
64	DUKE-CADENCE, INC. (FKA CINERGY-CADENCE, INC.)	0.00 %	0.00 %	0.00	%
65	DUKENET COMMUNICATIONS, LLC	0.00 %	0.00 %	0.00	%
66	DUKE-RELIANT RESOURCES, INC.	0.00 %	0.00 %	0.00	%
		%	%	·	%
		%	%		%
		%	%		%
Corp.	Item 3d - Provide a description of any arrangement.				
No.					
62					
63					
64					
65					
66					
					<del></del>
					ANCL

#### Case No. 2009-202 Attach. AG-DR-01-017 Page 48 of 51

20-2777218

	Rev. 12-2005)			Paç	
Part I	<del></del>				
1 0	During the tax year, did the corporation have more than one class of stock or	itstanding?		Yes	No
If	"Yes," enter the name of the corporation and list and describe each class of	stock.			
p.	Name of corporation		Class of stock		
No.	YES NO				
67	DukeTec, LLC				
68	EASTOVER LAND COMPANY				
69	EASTOVER MINING COMPANY				
70	Energy Pipelines International Company				
71	FORT DRUM COGENCO, INC.				
2 D	ouring the tax year, was there any member of the consolidated group that rea	affiliated within 60 months	_		
0	f disaffiliation?			Yes	No
If	"Yes," enter the name of the corporation(s) and explain the circumstances.				
Corp. No.	Name of corporation		Explanation		
110.	YES NO				
67	DukeTec, LLC				
68	EASTOVER LAND COMPANY		· · · · · · · · · · · · · · · · · · ·		
69	EASTOVER MINING COMPANY				
70	Energy Pipelines International Company				
71	FORT DRUM COGENCO, INC.				
	ouring the tax year, was there any arrangement in existence by which one or				
'n	nembers of the affiliated group could acquire any stock, or acquire any voting	g power without acquiring sto	ock,		
m in	nembers of the affiliated group could acquire any stock, or acquire any voting a the corporation, other than a de minimis amount, from the corporation or ar	g power without acquiring sto nother member of the affiliate	ock, d group?	Yes	No
m in	nembers of the affiliated group could acquire any stock, or acquire any voting	g power without acquiring sto nother member of the affiliate	ock, d group?	Yes	No
m in	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what the stock of corporation.	g power without acquiring sto nother member of the affiliate	ock, d group?	Yes Item 3c	No
rr ir If Corp. No.	nembers of the affiliated group could acquire any stock, or acquire any voting in the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation YES NO	g power without acquiring sto nother member of the affiliate o enter in Items 3a, 3b, 3c, a Item 3a	d group? [ nd 3d.  Item 3b	Item 3c	
rr in If Corp. No. 67	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DukeTec, LLC	g power without acquiring sto nother member of the affiliate o enter in Items 3a, 3b, 3c, a Item 3a	ock, d group? nd 3d. Item 3b 0.00 %	Item 3c	%
m ir If Corp. No. 67	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %	ock, d group? [nd 3d.  Item 3b  0.00 %  0.00 %	Item 3c 0.00 0.00	% %
m in If Corp. No. 67 68	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY	g power without acquiring sto nother member of the affiliate o enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %	ock, d group? Item 3b  0.00 % 0.00 % 0.00 %	0.00 0.00 0.00	% %
70 m	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  PES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  0.00 %  0.00 %  0.00 %  0.00 %	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %	0.00 0.00 0.00 0.00	% % %
m in If Corp. No. 67 68	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  0.00 % 0.00 % 0.00 % 0.00 % 0.00 %	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %	0.00 0.00 0.00	% % % %
70 m	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  PES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  10.00 %  0.00 %  0.00 %  0.00 %  0.00 %	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00	% % % %
m in If Corp. No. 67 68 69 70	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  PES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00	% % % % %
m in If Corp. No. 67 68 69 70	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  PES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  10.00 %  0.00 %  0.00 %  0.00 %  0.00 %	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00	% % % %
rm irr irr irr irr irr irr irr irr irr i	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or an "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  PES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00	% % % % %
70 71 Corp. No.	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00	% % % % %
rm irr irr irr irr irr irr irr irr irr i	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00	% % % % %
Corp. No. 67 68 69 70 71 Corp. No. 67 68	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00	% % % % %
70 71 Corp. No. 67	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00 0.00	% % % % %
Corp. No. 67 68 69 70 71 Corp. No. 67 68 69 70 71	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00 0.00	% % % % %
Corp. No. 67 68 69 70 71 Corp. No. 67 68 69	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00 0.00	% % % % %
Corp. No. 67 68 69 70 71 Corp. No. 67 68 69 70 71	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00 0.00	% % % % %
Corp. No. 67 68 69 70 71 Corp. No. 67 68 69 70 71	nembers of the affiliated group could acquire any stock, or acquire any voting the corporation, other than a de minimis amount, from the corporation or ar "Yes," enter the name of the corporation and see the instructions for what to Name of corporation  YES NO DUKETEC, LLC  EASTOVER LAND COMPANY  EASTOVER MINING COMPANY  Energy Pipelines International Company  FORT DRUM COGENCO, INC.	g power without acquiring sto nother member of the affiliate to enter in Items 3a, 3b, 3c, a Item 3a  1	ock, d group? Item 3b  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %	0.00 0.00 0.00 0.00 0.00	% % % % %

#### Case No. 2009-202 Attach. AG-DR-01-017 B Page 49 of 51

20-2777218

	Rev. 12-2005)				Page 3
Part I	Additional Stock Information (see instructions)				
1 [	During the tax year, did the corporation have more than one class of stock of	utstanding?	* * * * * * * * * * * * * *	Yes	∐ No
(	"Yes," enter the name of the corporation and list and describe each class	of stock.			
⊶rp. No.	Name of corporation YES NO		Class of stock		
72	GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY				
73	KO TRANSMISSION COMPANY				
74	MIAMI POWER CORPORATION				
75	M-P SUPPLY, INC.	· · · · · · · · · · · · · · · · · · ·			
76	NorthSouth Insurance Company Limited				
******				···	
2 [	L During the tax year, was there any member of the consolidated group that re	Affiliated within 60 months			W
	f disaffiliation?		İ	Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances				
·			······································		
Corp.	Name of corporation		Explanation		
No.	YES NO				
72	GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY				
73	KO TRANSMISSION COMPANY				
74	MIAMI POWER CORPORATION				
75	M-P SUPPLY, INC.				
76	NorthSouth Insurance Company Limited				
3 D	uring the tax year, was there any arrangement in existence by which one of	r more persons that were no	ot		
: m	nembers of the affiliated group could acquire any stock, or acquire any votin	g power without acquiring s	tock,		
in	the corporation, other than a de minimis amount, from the corporation or a	nother member of the offiliat	ed group?		
		nomer member of me animat	· · · · · · · · · · · · · · · · · · ·	Yes	No No
	"Yes," enter the name of the corporation and see the instructions for what			Yes	No
Corp.		to enter in Items 3a, 3b, 3c,	and 3d.		
No.	Name of corporation YES NO	to enter in Items 3a, 3b, 3c, Item 3a	and 3d. Item 3b		m 3c
No. 72	Name of corporation YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY	to enter in Items 3a, 3b, 3c, Item 3a 0.00 %	and 3d. Item 3b 0.00 %		m 3c
No. 72 73	Name of corporation YES NO  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY	to enter in Items 3a, 3b, 3c, Item 3a  0.00 % 0.00 %	and 3d. Item 3b 0.00 % 0.00 %		om 3c % 0.00 %
No. 72 73 74	Name of corporation  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY  MIAMI POWER CORPORATION	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %		0.00 % 0.00 % 0.00 %
No. 72 73 74 75	Name of corporation  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY  MIAMI POWER CORPORATION  M-P SUPPLY, INC.	o enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %
No. 72 73 74	Name of corporation  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY  MIAMI POWER CORPORATION	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %
No. 72 73 74 75	Name of corporation  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY  MIAMI POWER CORPORATION  M-P SUPPLY, INC.	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %	and 3d.  Item 3b  0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %		orm 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %
No. 72 73 74 75	Name of corporation  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY  MIAMI POWER CORPORATION  M-P SUPPLY, INC.	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		orm 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76	Name of corporation  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY  MIAMI POWER CORPORATION  M-P SUPPLY, INC.	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %	and 3d.  Item 3b  0.00 % 0.00 % 0.00 % 0.00 % 0.00 % 0.00 %		orm 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %
No. 72 73 74 75	Name of corporation  GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY  KO TRANSMISSION COMPANY  MIAMI POWER CORPORATION  M-P SUPPLY, INC.	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76  Corp.	Name of corporation  YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY KO TRANSMISSION COMPANY MIAMI POWER CORPORATION M-P SUPPLY, INC. NorthSouth Insurance Company Limited	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76  Corp. No.	Name of corporation  YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY KO TRANSMISSION COMPANY MIAMI POWER CORPORATION M-P SUPPLY, INC. NorthSouth Insurance Company Limited	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76 Corp. No. 72	Name of corporation  YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY KO TRANSMISSION COMPANY MIAMI POWER CORPORATION M-P SUPPLY, INC. NorthSouth Insurance Company Limited	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76 Corp. No. 72 73	Name of corporation  YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY KO TRANSMISSION COMPANY MIAMI POWER CORPORATION M-P SUPPLY, INC. NorthSouth Insurance Company Limited	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76 Corp. No. 72 73 74	Name of corporation  YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY KO TRANSMISSION COMPANY MIAMI POWER CORPORATION M-P SUPPLY, INC. NorthSouth Insurance Company Limited	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76 Corp. No. 72 73 74 75	Name of corporation  YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY KO TRANSMISSION COMPANY MIAMI POWER CORPORATION M-P SUPPLY, INC. NorthSouth Insurance Company Limited	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %
No. 72 73 74 75 76 Corp. No. 72 73 74 75	Name of corporation  YES NO GREENVILLE GAS AND ELECTRIC LIGHT AND POWER COMPANY KO TRANSMISSION COMPANY MIAMI POWER CORPORATION M-P SUPPLY, INC. NorthSouth Insurance Company Limited	to enter in Items 3a, 3b, 3c, Item 3a  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %	and 3d.  Item 3b  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  % %		om 3c  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  0.00 %  %  %

## Case No. 2009-202 Attach. AG-DR-01-0176 Page 50 of 51

20-2777218

Form 851 (	Rev. 12-2005)			Pa	age 3
Part I	Additional Stock Information (see instructions)				
1 [	During the tax year, did the corporation have more than one class of stock or	utstanding?		Yes	No
	"Yes," enter the name of the corporation and list and describe each class of	f stock.			
p.	Name of corporation		Class of stock		
No.	YES NO				
77	Pan Services Company				
78	PanEnergy Corp				
79	SOUTH CONSTRUCTION COMPANY, INC.				
80	Southeastern Energy Services, Inc.				
81	SOUTHERN POWER COMPANY				
		- (C)			
	During the tax year, was there any member of the consolidated group that re		Г	¬ <sub>V</sub>	М-
		A P A W T N A P N A P N A P		Yes	No
	"Yes," enter the name of the corporation(s) and explain the circumstances.				
Corp.	Name of corporation		Explanation		
No.	YES NO				
77	Pan Services Company		<del></del>		
78	PanEnergy Corp				
79	SOUTH CONSTRUCTION COMPANY, INC.				
80	Southeastern Energy Services, Inc.				
81	SOUTHERN POWER COMPANY				
		· · · · · · · · · · · · · · · · · · ·			
3 D	buring the tax year, was there any arrangement in existence by which one o	r more persons that were not			
	nembers of the affiliated group could acquire any stock, or acquire any votin	·			
ir	the corporation, other than a de minimis amount, from the corporation or a	nother member of the affiliate	d group?	Yes	No
<u>If</u>	"Yes," enter the name of the corporation and see the instructions for what t	o enter in Items 3a, 3b, 3c, a	nd 3d.		
Corp.	Name of corporation	Item 3a	Item 3b	Item 3c	
No.	YES NO				
77	Pan Services Company	0.00 %	0.00 %	0.00	%
78	PanEnergy Corp	0.00 %	0.00 %	0.00	%
79	SOUTH CONSTRUCTION COMPANY, INC.	0.00 %	0.00 %	0.00	%
80	Southeastern Energy Services, Inc.	0.00 %	0.00 %	0.00	%
81	SOUTHERN POWER COMPANY	0.00 %	0.00 %	0.00	<del>%</del>
		%	%		<u>%</u>
		%	%		%
		%	%		<u>%</u>
Corp. No.	Item 3d - Provide a description of any arrangement.				
77					
78					
79					
80					
81					
- 01			<del></del>		
		······································		**************************************	

#### Case No. 2009-202 Attach. AG-DR-01-017 B Page 51 of 51

20-2777218

	Rev. 12-2005)			rage J
Part I	Additional Stock Information (see instructions)			
1 E	During the tax year, did the corporation have more than one class of stock ou	itstanding?		Yes No
. <u>If</u>	"Yes," enter the name of the corporation and list and describe each class o	f stock.		
ρ.	Name of corporation		Class of stock	
No.	YES NO		Jaco or otoon	
82	TRI-STATE IMPROVEMENT COMPANY			
83	WATEREE POWER COMPANY			
84	WESTERN CAROLINA POWER COMPANY			
0		`		
0				
2 [	During the tax year, was there any member of the consolidated group that rea	affiliated within 60 months	_	
0	f disaffiliation?			Yes No
<u>if</u>	"Yes," enter the name of the corporation(s) and explain the circumstances.			
0				
Corp. No.	Name of corporation		Explanation	
110.	YES NO			
82	TRI-STATE IMPROVEMENT COMPANY			
83	WATEREE POWER COMPANY			
84	WESTERN CAROLINA POWER COMPANY			
0				
0			· · · · · · · · · · · · · · · · · · ·	
				· · · · · · · · · · · · · · · · · · ·
	ouring the tax year, was there any arrangement in existence by which one or			
	nembers of the affiliated group could acquire any stock, or acquire any voting			¬., ¬.,
	the corporation, other than a de minimis amount, from the corporation or an			Yes No
	"Yes," enter the name of the corporation and see the instructions for what t	o enter in Items 3a, 3b, 3c,	and 3d.	
Corp.	Name of corporation YES NO	Item 3a	Item 3b	Item 3c
<u>No.</u> 82	TRI-STATE IMPROVEMENT COMPANY	0.00 %	0.00 %	0.00 %
83	WATEREE POWER COMPANY	0.00 %	0.00 %	0.00 %
84	WESTERN CAROLINA POWER COMPANY	0.00 %	0.00 %	0.00 %
04	WESTERN CAROLINA FOWER COMPANT	0.00 %	0.00 %	0.00 %
0		0.00 %	0.00 %	0.00 %
- 0		%	%	%
		%	%	%
		%	%	%
		/0 [	70]	/6
Corp. No.	Item 3d - Provide a description of any arrangement.			
82				
83				
84				
04	·		**************************************	
0			······································	
U				
1				

#### **Affiliations Schedule**

► File with each consolidated income tax return.

OMB No. 1545-0025

Departm	nent of the Treasury Revenue Service	For tax year e	endingApril 3	, 200	)6		,			
	of common parent co							Employe	er identification nu	ımber
~inc	ergy Corp							31-1	385023	
		r suite no. If a P.O. box, see instruc	ctions				A Commission of the Commission			
	East Fourth						~			
	town, state, and ZIP									
	cinnati, OH 4	5202	ay Daymants	and	Tay	Donocito	(coo inc	tructions)		
Part	Overpaym	ent Credits, Estimated T	ax Payments	i, anu	lax	Employer	Por	tion of	Portion of	
Corp. No.		Name and address of corporatio	n			entification number	tax p	tion of ment credits stimated ayments	deposited Form 70	04
	Common parent of				2755		27,	824,053	20,00	0,000
	Subsidiary corpor See Attached									
	Totals (Must equi	al amounts shown on the cons	olidated tax retu	ırn.) .			1	824,053	27,82	4,053
Part	Principal E	Business Activity, Voting	Stock Inform	natior Did		: (see ins	tructions	)		
Corp.			РВА	subsi	idiary e any		Stock h	oldings at begin	ning of year	
No.	Princip	al business activity (PBA)	Code No.	distribu	vidend utions?	Numi of		Percent of voting	Percent of value	Owned by corporation
	Common parent See Attached			Yes	No	shar	es	power		no.
	Subsidiary corpo	rations:								
								%	%	
								%	%	
								%	%	
								70	70	
								%	%	
								%	%	

Pari	Changes in Stock Holdings Du	ring the 1	Tax Year		*****		
Corp	Name of corporation	Share- holder of	Date of		hanges	(b) Shares changes de colum	scribed in
No.		Corpora- tion No.	Iransaction	Number of shares acquired	Number of shares disposed of	Percent of voting power	Percent of value
	See Attached Schedule					%	%
						%	%
						%	%
						%	%
						%	%
						%	%
						%	%
						%	%
(e)	If the equitable owners of any capital stock	shown ab	ove were other	han the holders c	f record, provide	details of the c	hanges.
(f)	If additional stock was issued, or if any sto	ck was reti	red during the ye	ear, list the dates	and amounts of th	ese transaction	ns.
							and the second s

Form 8	51 (Rev. 12-2005)			Page 3
Part	Additional Stock Information (see instructions)			
1 E	During the tax year, did the corporation have more than one class of stock outs "Yes," enter the name of the corporation and list and describe each class of s	standing? stock.	LJ	Yes No
Corp. No.	Name of corporation		Class of stock	
	See Attached Schedule			and the book of the second of
2 [	During the tax year, was there any member of the consolidated group tha	ıt reaffiliated within 6	0 months	
_	f disaffliction?			Yes No
Corp. No	Name of corporation		Explanation	
	See Attached Schedule			
			Lathagaph Malaithiadh le Bailea air de l. 1999 (1997) (1997)	management to the second secon
s a li	nembers of the affiliated group could acquire any stock, or acquire any volock, in the corporation, other than a de minimis amount, from the corporation group?  "Yes," enter the name of the corporation and see the instructions for what and 3d.	ation or another mem	ber of the	Yes No
Corp No.	Name of corporation	Item 3a	Item 3b	Item 3c
	See Attached Schedule	%	%	%
		%	%	%
		%	%	%
Corp	Item 3d - Provide a description of any arrangement	%	%	%
No.				
			Fo.— 91	51 (Rev. 12-2005)

Answer to Questions: Changes in Stock during yea Percent of Voting Power No. of Shares Owned By Carp Nondividend Distribution PBA Code No. EIN# Cinergy Global Holdings, Inc.
Cinergy Global Holdings, Inc.
Cinergy Wir linc.
Cinergy Wholesale Energy, Inc.
Cinergy Prehindogles, Inc.
Cinergy Technidogles, Inc.
Cinergy Technidogles, Inc.
Cinergy Technidogles, Inc.
Cinergy Griatle Change Investments, Inc.
Cinergy Retail Safes, L.C.
Cinergy Solutions of San Diego, Inc.
Cinergy Solutions of San Diego, Inc.
Cinergy Solutions of San Diego, Inc.
Cinergy Solutions of San Diego, Inc.
Cinergy Solutions of San Diego, Inc.
Cinergy Solutions of San Diego, Inc.
Cinergy Residual Safes, L.C.
Cinergy Residual Safes, L.C.
Cinergy Residual Safes, L.C.
Cinergy Residual Safes, L.C.
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Cinergy Residual Safes, L.C.
Cinergy Residual Safes, L.C.
Ci Corp No.

Duke Energy Kentucky, Inc. Case No. 2009-00202

First Set Attorney General Data Requests Date Received: August 17, 2009

AG-DR-01-018

**REQUEST:** 

For each of the last three years 2006 - 2008, provide (1) the annual taxable income/loss amounts for each of the entities/affiliates participating in Duke Energy's consolidated income tax filings that are regulated entities (indicate that the participating entity is regulated vs. unregulated); (2) the annual taxable income/loss amounts for each of the entities/affiliates participating in Duke Energy's consolidated income tax filings that are unregulated entities (indicate that the participating entity is unregulated vs. regulated); and (3) any AMT payments made.

**RESPONSE:** 

See Attachment AG-DR-01-018a and Attachment AG-DR-01-018b for the 2006 and 2007 taxable income summaries, respectively. The 2008 information will be provided after the federal return is filed.

The attachments have the columns for regulated entities noted. Those not noted as regulated are unregulated.

Attachment AG-DR-01-018c is the taxable income summary for the Cinergy Corp short period return from January 1 through March 31, 2006.

The companies did not pay any AMT during the years 2006 through 2008.

PERSON RESPONSIBLE: Robert M. Parsons

huka Energy Corporation & Sutaidiarles 20-2777210 Year: 2006		2005 COME, FE.	2008 come. Frderal 1120 tax return ${\cal R}$	Reculated			·B.	, #96 1 of
•	14707 420 105	Elimination JOIOSE	SUB-TOTAL	DECorp 20-2777218 30105	TE TERMITAL 72-0708996 10000	7E CRYOGENICS 72-6031086 10003	HCC 76-0270060 30036	
	***	1120 - U.S. CGRPORNTIGN INCOME TAX RETURN	1120 - U.S. CGRPONTICH INCOME TAX RETURN	ETURK				
TOTAL ASSETS	63, 700, 372, 264	173, 501, 168, 330)	137,201,540,594	61964758752		0 (2, 349, 341)		510 116
B LESS RETURNS AND ALLOWANCES.	9,572,332,640	(328, 307, 0 <u>3</u> );	9,900,639,651	1,902,766,102				
BALANCE >10	9,572,332,640	(328, 347, 011)	9,900,639,631	1,802,766,102			0 0	0 0
A GROSS PROFIT (LINE FICE LESS LINE 2), A DIVIDENDE PROFIT (LINE FICE LESS LINE 2)	5, 215, 277, 728	(237,755,264)	5, 453, 032, 992	2, 637, 995, 302		W	1861	0000
NTEREST	117,927,820	(42,717,500)	82, 480, 692	\$7,163,930			0.00	095
SECOND REPUTS	25, 239, 909	0	25, 239, 236	11, 472, 165		00	0	0
CAPITAL CAIN NET INCOME (SCHEDULE D)	200,401,661	0 000 000	2,805,524	233, 794		• 0		0
9 NET GAIN (1055) FACH FORM 4197	391, 215, 752	148, 627, 939	243, 229, 540 242, 387, 773 1, 230, 688, 569	159, 202, 514)		000	00	00
1) TOTAL INCOME (LINES 3 THROUGH 10),	7, 300, 126, 592	(1.600,777,763)	8, 900, 904, 355	2,178,196,790			0	0
11 COMPENSATION OF OFFICERS (SCHERULE E) , , , , , 12	4.056.977		2000				n e	(380)
13 SALARIES AND WAGES.	412,396,450	00	412, 390, 450	36,511,099		00	00	00
IS BAD DEBTS	50,815,504	03	552, 539, 504	500, 443, 825			. 0	00
17 TAXES	124, 562, 532	0 50 000	124, 562, 532	1,124,725		20	00	00
18 INTEREST.	830, 606, 929	(1, 396, 911, 244)	638,123,826	261, 351, 903		0	745	2,050
20 DEPRECIATION FROM FORM 4562 20 21 DEPLETION FROM FORM 4562	1,286,162,337	00	24,604,017	20,910,960		, u o	200	000
13 PENSION PROFIT SHABING PTC FLANC	4, 992, 931	co	4, 992, 931	00			,,,	000
24 EMPLOYEE BENEFIT PROGRAMS	231,512,770	0 10	365, 062, 764	25, 329, 199			000	00
26 OTHER EEDUCTIONS	2, 167, 971, 320	(135, 247, 478)	2,323,218,798	668, 451, 356		000	200	00
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	6, 604, 033, 381	(1, 561, 537, 296)	6,245,570,677	2,918,605,581		The second secon		0
28 TAXABLE INCOME REFORE NO. 6 SPECIAL MEDICT. 28	616.093.313						/45	2,050
29 LESS: A. NET OPERATING LOSS DEFOCION	2,717,670	(42,717,500)	255, 333, 678 2, 717, 670 47, 259, 631	2,717,670		(6)	(934)	(2, 430)
C. TOTAL	100.550.603	1003 717 667					0	0
in Thyable facome (Line 20 Less 19C) , , , , , , , , , , , , ,	608, 833, 410	3, 477, 033	45, 356, 377	(790, 335, 694)		0 0	0 143	(2, 430)

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Reguloted

buka Enardy Corporation & Subsidiarias 20-2772;44 Tear: 2066		2006 00	2006 COMB. FEDERAL 1120 TAX RETURN	RETURN			e Du	- age 1 of Set
	TE COMBUNICAT 72-1770A994 10037	TE SLUKKY 74-211 3024 110078	7E O1L 76-6101940 1D095	CHAMBERS 76-0185267 10108	TEPPCO HOLD 76-046672 10139	DEG1 CORP 76-0632855 10177	DE NATURAL GAS 64-1006841 1501	લક
		1120 - U.S.	1120 - U.S. CORPORATION INDOME TAX RETURN	X RETURN		Transfer of the same of the sa		
TOTAL ASSETS	(623)			*******				
IA GROSS RECEIPTS OF SALES.  B LESS RETURNS AND ALLUMANCES		0		0 3,395,726	26	0	(1) (44,	(44, 472, 547)
2 COST OF GOOUS SOLD (SCHEDULE A)			0	0	0	0	0 0	00
3 GROSS PROFIT (LINE 1(C) LESS LINE 2),	120		0	(6, 825)	251	• •	0.0	0
5 INVEREST STANDOLE CI.			00	6,825	25.	0		0
IST INCOME (SCHEDULE D)	000		000	00	200	004	000	0 0
P WET GAIN (LOSS) FROM FORM 4797	98		200	00	0.00	001	00	N 0 0
12 TOTAL INCOME (LINES 3 THRONGH 10)	0		0 0	00	) D C	001		329,997,950
12 COMPENSATION OF OFFICED STATES OF	(120)		0	0		0	0	٥٥
13 SALARIES AND NAGES, (SCHELCLE E) 12	00		0.5	0 0	n c	0	330,(	330,000,919
15 DAP Deers	000			•••		90	0 0	0 27 870 87
17 TAXES	00				000	00:		0
19 DEPRECIATION FROM FORM 4562	00			• •		200	00	2, 467
2 ADVENTISING	00				.00	201		100
24 EMPLOYEE BENEFIT SHARING, ETC. FLAUS	00					20:	00	000
25 CTHER DEDUCTION ACTIVITIES DEDUCTION, 25	••					50	00	<b>&gt;</b> 0 :
707AL CECUCTIONS (LINES 12 TOWNS 12	0					00		406, 265
78 TEXABLE INCOME BEFORE ASS.	0	٥				0		(679, (89)
19 LESS: A NET OFERATING LOSS DELUCTION 29 B. SPECIAL DESIGNATION 299	(120)	0			0	0	0 (6,6	(6, 632, 540)
G. 107PAL.	7	0		0		00	336,61	336, 633, 459
39 TAXABLE INCOME (LINE IS LESS 21C)	(120)	00	0.0			0 0	. 0	0
			,	6, 825		. 0	0 336,633,459	3. 459

HE PETERS - CONTRACTOR								
Doth Enorgy Corporation a Subsidianter Jacquerika Jacquerika Tear, Zuma		2006 COMB.	2006 COHS, FEDERAL 1120 TAX RITURN	JUEN				200 10 1 0604
	00KE ENERGY EN 58-1511043 10247	6E SERVICES 46-0656320 15303	EPIC 74-2723673 1031ú	Duka Luig Salas 43-1036433 15315	PACQ3 76-0451513 10319	PELLUS. 74~1064531 10320	PAJH 76-0 1D32	Pamhandle four 76-0452251 10321
		***************************************	化非异异己 医非非性性 医阴道性 医皮肤 医皮肤 医皮肤 医电子 医电子氏性 医电子 医医生生性 医皮肤 医皮肤 医皮肤 医二甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲基苯甲	***********				
		* 1120 - U.S. CC	* 1120 - U.S. CORPORATION INCOME TAX RETURN *	* ***********				
TOTAL ASSETS	19,754,204,944	3, 124, 272, 296	(12, 596, 648)	18,679,177	7.7	309, 8	309, 817, 346	٥
A GROSS RECEIPTS OR SALIS	1,308,001,631		00	0	00	٥٥	00	0
2 COST OF GOODS SOLD (SCHEDULE A) 3 1 1 2 2 2	1,300,001,631	0 335.857		0	• •	0	00	
GROSS FROFIT (LINE 1 (C) LESS LINE 2).	1,307,137,22	(335, 857)	(180)	0)	0		, , ,	
S INTEREST.	269,030,547			00	. 0.0		3 a c	<b>&gt;</b> 00
GROSS ROYALTES				0 5	0.0		000	90
CAPITAL GAIN NET INCOME (SCHEDULE D), NEI GAIN (LOSS) FECH FORM 4797,	(112,130,553)	- 0		392,343	⊃ m (	, e	0 78,049	00
10 OTHER INCOME (ATTACH SCHEDULE)					0 0	<b>0</b> 0	00	00
	2,086,883,362	(335, 857)	1 (180)	0) 392, 343	43	D 31	378,049	0
11 COMPENSATION OF OFFICERS (SCHEFULE E) 13 SALARIES AND WAGES.				۰	0	0		c
14 REPAIRS 15 BAD DEBTS	137,479			••	00	00	20	
16 RENTS				• •	00			00
19 INTEREST.	63, 113, 987 577, 382, 669	(7,78	-	• • •	۰۰,	· • •	90	00
20 UEPRECIATION FROM FORM 4562				. • •	000		00	00
21 ADVERTIGING		. 0			>0	<b>.</b> .	00	00
23 PENSION, PROFIT SHARING, ETC. FLANS 23 24 EMPLOYER BENEFIT PROGRAMS	[4, 295, 547]	00		2 5	00	ටෙස	00	00
25 ECHESTIC PRODUCTION ACTIVITIES DEDUCTION. 25					9	0 1	. 0	,0
and winder deliverables and the second secon	\$91,720,960	0	***************************************	4,788,51	6	0	1,593	00
27 TOTAL DEDUCTIONS (LINES II THROUGH 26). ,>27	1,566,745,430	(7,7,7)		0 4,788,519	61	0	1,593	0
25 TAXAGLE INCOME BECORE NOL E SPECIAL DEDUCT 29 29 LESS: A. NGT OPERATING LOSS DEFAILTION	566,137,932	(758, 670)	(1)	01 (4, 396, 176)	761		376.456	0
B. SPECIAL GEDUCTIONS (SCHEDULE C) 198	2 6	0		0	0	00	00	000
C. TOTAL.  JU TAXABLE INCOME. (LINE 20 LESS 24C)	586,137,93 <u>2</u>	0 (070, 83E)	(18	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	0	0 376.456	0.0
								•

2006 COMS. FEBERAL 1120 TAX RETURN

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(303, 488, 570) (175, 420) 24, 468, 877 22, 921, 136 (1, 041, 793) 165, 771 3, 084, 020 112, 280, 147) 56, 788, 950 440,963,202 1,403,994,983 (445, 636) 2,935,720 4,868,285 4,058,977 1,429,235 9,632,118 717,902,337 276, 939, 135 303,042,934 156, 711, 391 440,963,202 DETHI M95 84-1274542 10368 0000000 TEXAS-LA P/L 76-0466091 10353 (17,447,865) 124,511,713 1,433,293 2,087,176 2,087,176 2,087,176 114, 188, 323 (10,667,852 11,826 414,238 901 812, 429 5,246,294 6,780,013 (17, 447, 865) ил наимсенент 76-0490071 1<u>15944</u> 0 00 РЕ DEVELOPMENT 76-0438733 1D337 (5, 232) (149, 519) 4, 494, 775 95, 931, 641 95, 931, 641 6,167,559 96,081,166 (149, 5191 95, 931, 641 39, 318, 742 95, 931, 647 01X1LYN-FIELD 36-2905817 1D327 2,918 254.487 (251, 569) 604,696 539, 024 (22, 870) 323, 254 (1,719,9201 (251, 544) 12, 320, 340 1,467,476 11,719,0201 23, 372 PAN SERVICE 43-1090167 1D325 ткомктие оп 76-0474611 10323 11 TOTAL INCOME LILITES 3 THREAGH 10). >>11
COMPRISATION OF OFFICERS (SCHELULE E) 12
14 REALIES AND WAGES. | 13
15 BAD DEBTS
16 RENTS
17 TAKES
17 TAKES
19 CONTRIBUTIONS (SEE INSTRUCTIONS) | 13
19 CONTRIBUTIONS (SEE INSTRUCTIONS) | 13
19 CONTRIBUTIONS (SEE INSTRUCTIONS) | 13
19 CONTRIBUTIONS (SEE INSTRUCTIONS) | 13
21 COPPRESSIONS (SEE INSTRUCTIONS) | 13
22 CONTRIBUTIONS (SEE INSTRUCTIONS) | 13
23 CONTRIBUTIONS (SEE INSTRUCTIONS) | 13
24 CAPLATION ROAD THE SHALID, ETC. FLAUS | 23
25 CONTEST ROADTANS | 24
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20 CON 1A GROSS RECEIPTS OR SALES.

D LESS RETURNS AND ALLOHANCES. TOTAL DEPUCTIONS ILINES 12 THROUGH 261. , 527 TAXABLE INCOME (LINE 18 LESS 28C) CAOSS PROFIT (LINE LIC) LESS LINE 2).

4 DIVIDENDE SCHEDULE ().

5 INTEREST.

6 GROSS HENTS

6 GROSS PROYALITES

6 CAPILA GAIN HET PROCMS ECKEDULE D).

9 NET GAIN (LOSS) FROM 1997.

10 OTHER INCOME (ATTACH SCHEDULE). tuke Energy Carporation a Subsidienies 24-277-198 Year: Sude

2006 CONS. FIDERAL 1120 TAX RETURN

09/11/2007 - 43:51:33 PM Cube Energy Corporation & Subsidiaries 20-277318 Year: 2046

	PE SERVICES LP 76-0522689 10378	PE COLORADO 91-1770520 10385	TE CROSSBAY 76-0538969 10389	DE NE TRANSH 76-0555012 10396	PEC Midwestinc 75-0508382 10397	PEC 74-2150460 10399	BISON 90-6008144 1D510
		Astatatatatatatatatatatatatatatatatatata	1120 - U.S. ORFORATOR DICKE TAX RETURE :	versequents			
TOTAL ASSETS	3,288,130,396	171, 280, 915	15	(40, 812)	121	3. 588,489,411	343,269,451
1A GROSS RECEIPTS OR SALES 1A B LESS RETURNS AND ALLOWANCES 1B	17,416,419		00	2,181,205	505	0 0	96, 338, 910
2 COST OF GOODS SOLD (SCHEDULE A)	17,416,419		00	2,182,205	205 799	0 0 0 1,119,793	96,336,910
3 GROSS PROFIT (LIME LIC) LESS LINE 2)	17, 416, 419		0	0 2,191,405	90	=	
5 INTEREST. COURT OF THE PROPERTY OF THE PROPE	209, 698, 501		000	<b>&gt;</b> • •	000	122, 966, 36	0 1,120,196
6 CRPITAL GAIN NET INCOME (SCHEDULE D)	. 60		) c c	>00	000		
9 RET GAIN (LOSS) FROM FORM 4797.	455, 91			) <del>Q</del> C	0 0 0 0 0	3 O M	0 001.69
11 TOTAL INCOME DINES I THROUGH IG	727, 570, 737		Ú	0 2,191,406	1,	3 121, 846, 574	9,004,861
II COMPENSATION OF OFFICERS (SCHETCLE E) 12			0	¢	0	٥	
14 REPAIRS	9, 736, 361		<b>ు</b> చ	<b>~ ~</b>	00	124,298	•
15 DAD CEBTS			<b>C</b> O	90	٥٢		666, 667
17 INVEST.	124,778)	_	· • •	0 124,028	28 (249,021)	=	•
19 CONTRIBUTIONS (SEE INSTRUCTIONE)		. =	00	•	00	0 8,324,078 0 0	00
2) DEPERTION			٥.	00		00	00
23 PENSION, PROFIT SHRRING, ETC. FLANS			00	٥0	<b>\$</b>	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 (
24 EMPLOYEE BENEFIT PROGRAMS 25 DOMESTIC PROBUCTION ACTIVITIES DEDICTION 25	(1, 562, 344)	-		۰۰۰	11	(2, 379, 589)	20
26 OTHER LEDUCTIONS	3, 644, 590	***************************************	> 0	2,055,611	31 96,118	3,343,595	17,711,718
77 TOTAL DECOCTIONS (LINES 12 THROUGH 26), \$7	222, 399, 402		0	0 2,179,697	97 (152,903)	1148,901	18, 379, 385
28 TAXXBLE INCOME BEFORE NOL & SPECIAL DEDUCT. , 28	5, 180, 335		0	90، 1, 0	09 154,156	6 113,097,673	(10, 373, 524)
13 LESS: A. NEJ OFERSHING LOSS DECOTION	O O		00	00	00	00	00
SO TRAVALE INCORE (LINE 26 LESS 28C) 30	0 9, 180,081		00	0 0 0	0 0 09 154,156	0 0 6 113,097,673	0 (10,373,524)

luke Energy Corporation & Subsidiaries 29-2777218 Year: 2006		2006 CONS.	2006 COMS. PEDERAL 1120 TAX RETURN	tons			rage 1 of Set
	DESEPLC 76-0598401 10515	NorthSouth Ins 98-0398638 1D521	UEGT Res Inc 76-06(9513 10502	DE GS Pund Co 14-3078462 1D607	EASTOVER MINE 61-0709091 35110	EASTOYER LAND 61-0706843 30120	CLAIBORNE ENGY 56-1688941 30150
TOPAL ASSETS	-	1120 - U.S. COR	* 1120 - U.S. CORPOTATION INCOME TAX RETURN	ARETURN .			
14 GROSS RECEIPTS OR SALES.	396, 451, 437	775,090,377	2,710,864,355	3,130.542,717	0.063		
B LESS RETURNS AND ALLDWANCES,	00	44,639,087	00			1,746,628	1,729,169
	00	44,639,007	0			0.0	1,228,891
3 GROSS PROFIT (LINE 1(C) LESS LINE 2).		44.639.047	0	0	2,646	00	1,220,891
5 INTEREST. 6 GROSS RENTS		63	009.697.066	0 0	(2,646)	0.4	1, 228, 891
CAPATAL GAIN WET INCOKE (SCHEDULE DI) PO NET DATA (SCHEDULE DI) PO NET	75, 567 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	000		203; 597; 465 0 0	000	000	<b>0</b> 0
	(2, 201, 468)	2,445,372	000	00	000	00	00
	(2, 135, 705,	47,1,84,459	2000	0	0	00	• •
1 SALARIES ARR WAGES.		٥	203,1991,485	209, 697, 465	(2, 646)	0	1,228,891
15 SECTION 15 15 15 15 15 15 15 15 15 15 15 15 15	0 0	00	000	901	٥٥	00	۰
17 TAXES	467,75E 442,594	00		000		000	<b>6</b> 6 6
19 CONTRIRUTIONS (SEE INSTRUCTIONS)		9 9 9	0 0 0	209, 697, 465	25, 223	080	9 5 0
21 DEVIGATION 22 22 23 FOUNDATION PROFIT CURE NO. 22 23 PENJOIN. PROFIT CURE NO. 22 22 23 PENJOIN.	000	.00	00	000	,00	000	(1, 131, 710)
24 EMPLOYEE BENEFIT PROGRAMS 25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION: 24	000	C C C	800		000		000
20 TANK DEPOLITIONS	835,584	40, 213, 676	16 23 0	00		90	00
28 Taxabr Michael Lines 12 Through 261, .>27	1,766,540	40,213,676	16 232	1,729	572,117	9 0	0 0
29 LESS: A. HET OPERATING LOSS GECUCTICN 29	(3, 902, 245)	6,970,783	209 681 232	203, 699, 194	597, 340	350	(1,131,710)
C. foral.	0 0	0	0	(1,729)	(399, 986)	1350)	2,360,601
JOYANABLE INCOME (LINE 28 LESS 25C)	(3, 902, 245)	6,870,783	209, 681, 233	0	0	0	
				(67) (6)	1599, 986)	(350)	2,360,601

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UNKe Energy Corporation & Substdieries 20-2777218 Year: 2006	2006 CONG. FEDERAL 1120 TAX RETURN

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2.756.956.202 2.756.956.202 2.125.466 2.10.734.965 9.715.466 10.734.965 9.715.466 10.734.965 10.734.966 10.734.965 10.734.966 10.734		97-0622575 30172	DE ADM SEV 87-0622574 30173	DCCHSRVINC 56-2117886 3D174	DS Cap Texas 76-0636723 30175	SoEast Energy 43-1971602 30181	BUKE JAVA 88-0358008	DE NYC Canada 81-0616665
State   Stat			1120 ~ U.S. C	ORFORATION INCOME TAX	######################################			30483
9,715,466 10,794,965 9,715,466 10,794,965 9,715,466 10,794,965 11,794,965 11,794,966 11,794,965 11,	TAL ASSETS	2, 765, 954, 202	48, 145, 14	9 (201, 138				
9,715,466 10,794,965 1	GROSS RECEIFTS OR SALES.	9,715,466	10, 794, 96					
9,715,466  10,734,965  12,519,856  139,751,537  9,715,466  1,073,496		9,715,466	10,794,96					257,285,938
9,715,466 10,734,965 10,734,965 119,751,537 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	KOSS PROFIT LEINE 11CT LESS LINE 21	0						257, 285, 938
9,715,466 10,724,985 1139,751,537 9,715,466 10,724,985 1139,751,357 9,715,466 1,072,4985	IVIDENDS (SCHEDULE C)	9, 715, 466	10, 734, 965					
9,715,466 10,724,265 125,13,866 1139,751,357 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	AOSS RENTS	00				, ,		116,548,278
9,715,466 10,794,965 (2,519,956) 139,751,1357 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	APITAL GAIN NET INCOME (SCHEDGLE O)	0	00			,,,		129,341
9,715,466 10,724,965 (2,519,866) 139,751,357 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	THER INCINE (ATTACH SCHEDULE)	09	0.0					
9,715,466 10,794,965 (2,519,896) 139,731,357 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		0	0		00			
9,715,466 1,079,495 (2,520,026) 59,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	DEDUCTIONS THROUGH 16). FILE		10,794,965					(1,528,891)
9,715,466 1,075,456 12,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	MARLES AND GAGES.		o	0		•		(17,947,828)
9,715,466 1,075,456 175,824 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ND DESTS		00		9 63	00		
9,715,466 1,075,495 (2,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	NTS XES				00	00		
9,715,466  9,715,466  1,079,498	TEREST		00			00		126, 592
9,715,466 1,079,495 (2,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	PRECIATION FROM FORM 4562		00		46, 673, 833	00		100
9,715,466 1,079,495 (2,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	PLETION 21				00	0		
9,715,466 1,079,495 (2,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	ASION, PROFIT SHARING, ETC. FLANS				• • •	00		
9,715,466 1,075,466 170 46,672,932 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	AESTIC PRODUCTION PURICITIES 24		00	0	00	00		
9,715,466 1,079,495 (2,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	IER DEDUCTIONS.		•	00		•		
-25 9,715,466 1,079,495 (2,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	TOTAL DEDUCTIONS CLAUSE 12 THE PARTY IN		9,715,466	0	00	00		
254 9,715,466 1,079,495 (2,520,026) 93,077,524 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Cable Turbur Comment (Line of Induction 26), 527	0	9,715,466	170	46. 677. 833			(5, 495, 641
295 0 9,715,466 1,075,000 0 0 0 0 0 0		9,715,466	1.030 / 96		000000000000000000000000000000000000000	0	0	(5, 108, 880)
295 9,715,466 1,070,00 0 0 0 0	B. SPECIAL DEDUCTIONS (SCHEDULE C), 298	0.0		(2, 520, 026)	93,077,524	0	0	(12, 838, 948)
30 9,715,466 3,075,400	C. TOTAL.			0	0		•	
0 25 25 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	HELE INCOME (LINE 28 LESS 2PC) 30	9, 715, 466	1,079,499	(2,520,026)	0	0		

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Page 1 of Set 8

DE F-H CA, Inc	20465		(2,133)	0 0	3,500	0000	00	00	(3,500)	000	000	000	00	00	0	(3,500)	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
DE Lavaca Inc 57-115928g 30462				0	12	9,336	001		מח ימי מי מי מי מי מי מי מי מי מי מי מי מי	0000	000	000	200	9 6	876	9, 335, 180	9, 335, 180
0ENATradePTRLP 76-0700136 31457		۵		0				-		900	000	000	000	0	0	00	00
DE LEE H.D 75-0622199 35423	**************************************		3, 522, 080	3, 522, 080	3,052,940		00	3,052,940	00	196	0	3,438,444 0 0 0	21,290	93	3, 545, 962	(493, 022) 0	1493, 022)
DENA AssetPets 76-0700129 3D456	1120 - U.S. CORPORATION INCOME TAX RETURN	75, 635, 617	68,434,792	68,434,792	68,432,127	000	000	68, 432, 127	000	31, 952	212	500	900	64, 195, 997	64,228,784	4,203,343	4,203,343
GLOBAL MARKETS 88-0356429 JL400	1120 - U.S. CORROBATION INCOME TAX RETURN	2,294, 525, 351	37.548.110	17,546,110	18,445,977	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	120, 427, 990	101,712,023	762,690 3,128,690	5, 611, 855 68, 035 7, 373, 673	253,694 0 2,458,207	000	693, 063	7, 729, 603	28, 039, 590	73, 612, 433	73,612,433
DE Design Supp 76-0603363 10516		O	00	٥٥	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	000	00	37, 339, 507	900	9000	000	200	000	99	17. 110 117.	0	37, 339, 447
	COTAL ASSETS		ES.	2 COST OF GOODS SOLD (SCHENUIG A)	4 EVIDENTS (CHEDULE C) 4 EVIDENTS (CHEDULE C) 5 TWITHERST 6 GROSS REMYS 5 GROSS REMYS	GROSS ROYALTIES  GRANTAL GAIN NET INCOME ISCHECULE D)  GRANTAL GAIN 'LIOSS FROM FORM 4777.	TO OTHER INCOME (ATDACH SCHEDULE).	12 COMPENSATION OF OFFICERS (SCHEGULE E)	APPAIRS AND MAGES APPAIRS APPAIRS APPAIRS APPAIRS APPAIRS	TAKES THIEREST CONTRIBUTIONS CEFF THERESTORY	DEPRECIATION FROM FORM 1562 DEPLETION	23 PENNION, PROFIT SHARING, ETC. FLANS 22 EMPLOYEE BENEFIT PROGRAMS		27 TOTAL DEDUCTIONS (LIMES 12 THROUGH 26)>27	29 LESS: h was committee NOL & SPECIAL DEDUCT 26	B. SPECIAL DEDUCTIONS (SCHEDULE C) 298	30 TAMABLE INCOME (LINE 26 LESS 25C)

2006 COMS. PEDERAL 1120 TAX RETURN

2006 COMS. FEDERA

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Duke Energy Corporation ( Subaidieries 20-2717214 Year: 2006		2006 COM5	2006 COMS, FEDERAL 1120 TAX RETURN	L TUPN			
	Ventures LLC 31-1743975 30530	DE MRKTG 48-0331743 30500	FROJ SERV GRP 51-0364523 30700	DUKE PROJ SERV 56-0858436 30708	M-P 50PPLY 56-0122840 1D907	Westcst Enter 31-1216956 1D719	DENA, LLC 76-0666086 3DA 25
		1120 - U.S. C	1120 - U.S. CORPORATION THUCKE TAX RETURN **	**************************************			
TOTAL ASSETS	142, 535, 6451	(20, 491, 469)	9>	0 (68,611,140)	6, 903, 413	135, 687, 206	(140,409,412)
IA GROSS RECEIPTS OR SALES	385, 911, 080		00	00			1,770,640,751
2 COST OF GOODS SOLD (SCHEDULE ALL)	385, 911, 669 159, 118, 377	255	5 5	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			1,778,640,751
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	226,792,703	(25)	(5	0 114,111	0		(692, 143, 917)
S INTEREST.	3,293,049		000	0 402,861	00	1,880,742	00
GROSS ROYALTES	1,068,662	000	. 00	200		000	000
10 OTHER INCOME (ATTACH SCHEDULE).	102,499,982		(o	~	76,11	0 0 (19, 517, 467)	23.446.379
1) TOTAL INCOME (LINES 3 THROUGH 10),	337, 484, 576	(495)	(5)	0 516,980	76,134		(668, 647, 538)
12 COMPENSATION OF OFFICENS (SCHELULE E) 12			<b>0</b> · 0	0 200 150	0 (	0.	
14 REPAIRS	675, 110		, , ,	7. 1057	900		00
16 RENTS							15,062,708
14 INTEREST		(6,710,138)	8) (1)	0 (140, 672) 0		12,470	(1,598,055)
20 COMPACTATION FROM FORM 4562 20 20 20 20 20 20 20 20 20 20 20 20 20	11,916,420		0 5	00	00		
22 ADVERTISING			0 0	00	90		:04
13 PENSION, PROFIT SHARING, ETC. FLAMS 23 24 EMPLOYEE BENEFIT PROGRAMS	1, 371, 145		5.0	297,92			<b>3</b> 6
25 COMESTIC PRODUCTION ACTIVITIES DEDUCTION. 25							23, 989 0
	201, 274, 034			920,674	0	18, 792, 640	6, 675, 691
27 total deductions (lines 12 through 26), .>27	141,061,794	(8, 461, 418)	81	0 1,376,583	0	18, 322, 713	20,613,045
2% TAXABLE INCOME BEFORE FOLE, SEECTAL DEDUCT. 28 29 LESS: A. NET OPERATING LOSS BENGTION 7.29A 6. SPECIAL DEDUCTIONS ISCHEDULE (). 298	196, 422, 782	6,460,923	800	(665,628)	76, 114	(35,959,438)	(689,260,583)
SU TAXABLE JACONE (LINE 28 LESS 25C) 30	196, 422, 782	8,460,923	0.77	0 0 0	76, 114	(35,959,438)	(689,250,583)

5 10 1 8622	,			1	1							,													. 1				
بره م <u>ي</u>	TPC Scor Hold 35-2123749 1D182		٥	00	0	0	0	0.0	3 (3)		00	c	•	0	0 (	0	0	00	0 (3	9	00		•	0	0	0	0	00	00
	Emprets U.S. 43-2068272 1124716		38,711	3,581,802	3,581,802 0	3, 581, 802	Ð	0	9	0	00	3 581 802	700 1700 10	0	00	0	3, 496.971	0 (	0	0	0 0	•	•	0	308, 505	3,005,576	(223, 774)	00	(223, 774)
	DEFSCHI 84-1575739 1D250		274,167,659	00	00	٥	0	00	00	0	۵٥		•	o	0 (	<b>•</b>	0	0 1	9 0	<b>a</b>	00	9 0	0	0	09	99	(09)	00	0 (60)
	VG Storage 54-1650936 10249	: :	4,950,851	1,714,218	1,714,218	1.714.218	0	01	9 0	. 0	00	815 115 1	7,114,610	0	0	00	74,210	46,063	-	341,805	00		31,730	0	713, 595	1,228,349	485,874	٥٥	985,874
O TAX RETURA	VG Pape 54-1795425 10248	COEE TAX REIURA	22,357,615	5, 199,756	5, 199, 756	5. 499. 756	C	0		. 5	(150,869)	991 945 3	2, 349, 100	0	0	<b>5</b> C	10,954	258, 947	482	2,551,257	00	> 0	92,350	0	1,723,362	4, 637, 352	711,816	60	711,816
2006 CONS, EEDERAL 1120 TAX RETURN	DE Group Mald V 29-2834760 30330	1 1120 - U.S. CORPORATION INCOME TAX RETURN	(3, 186, 438)	ဝေၖ	1458,802)	458.802	23, 928, 707	38, 269, 149	0, 571, 730	(54, 972, 030)	15, 922, 223	טין פרו פינ	10, 1 /9, 200	0	0		. 0	3, 199, 822	(2, 525)	10	c •	> 6	• •	0	28, 422, 771	31, 620,068	[15,441,488]	00	0 (15,441,488)
5006	DE Allow MGMT 74-3002227	1 1320 - U.	16,219,042	90	0 0	a		0	9 6		0 25.005	300 36	78,002	0	0	0 6	0	0	00	0	0 (	9 6	. 0	0	0	٥	25,005	00	0 25,005
	DE Westheim LP		(1,458,183,3381	00	93		• •	٥	17, 545, 836	• •	00	200 200 20	1 ' 545' 636	9		1, 426, 953		1,859,553	00			9 6	3,730		109, 196	10, 990, 063	6,555,773	00	6.558.773
69/11/2017 - 112:51:33 PM Duke Energy Corporation & Subsidiaties 20-277314 Tear: 2006	0 0 0		TOTAL ASSETS	1A GROSS RECTIFIS ON SALES.  1 LESS RETURNS AND ALLONANCES.	2 COST OF GOODS SOLD (SCHEDULE A)	C PALL PROF. (2) PROF. STOCOG 92/92 L	4 DIVIDENDS (SCHEDULE C)	5 INTEREST.	S GROSS RERIS	CENTRAL GATH NET INCOME (SCHEDULE D)	9 NET GAIN (LOSS) FROM FORM 4797,		11 TOTAL INCOME (LINES 3 THROUGH 101.	COMP	13 SALARIES AND WAGES	IN REPAIRS	6 SERTS	17 TAXES	INTEREST. 10 10 10 10 10 10 10 10 10 10 10 10 10	20 DEPRETIATION FROM FORM 4562	21 DEPLETION 21	22 ADVENTISTING :	24 ENGLOWER BENEFIT PROGRAMS	25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	26 OTHER DEDUCTIONS	27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26). ,>27	2% TAXABLE INCOME BIFORE NOL & SPECIAL DEDUCT 28	29 LESS: A. MET OPERATING LOSS DEFUCTION	C. TOTAL

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2006 CONS. FEDERAL 1120 TAX RETURN

Duie Energy Corporation & Subsidiaries 14-2777210 Tear: 2016

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85, 100, 570 DEGS of Tuscol 31-1624696 6D222 DEGS of Ban D1 35-2226032 6D223C 1,581,855 DEGS of Philad 31-1785993 6D223B 7,491,834 516, 633, 739 DEGS 31-1735353 60223 AREA TO THE TOTAL OF THE TAX RETURN REALISM REALISM REALISM RETURN REALISM TAX RETURN REALISM 435, 330, 331 DEGS Moid Co 31-1503859 GD220 CIN SOL PEH 31-1603247 6D221 Regulated 51-0240030 5 65100 11247487246 DE Gener LLC 56-2162190 30108

TOTAL ASSETS	D	13247487246	0	435, 330, 331	516, 633, 739	7,491,834	1,581,855	85,100,570
IA GROSS RECEIPTS OR SALES	00	2,007,540,537	00	7, 628, 043	64,471,730	2,470,536	1,396,465	00
2 CCST OF GDOUS SOLD (SCHEDULE A)	6.245	2,007,540,537	00	7, 626, 043	64,471,730	2,470,538	1,396,465	3,055,911
3 GHOSS FROFIT (LINE 1(C) LESS LINE 2)	(6,245)	182, 114, 186	••	4,232,950	11,307,141	1,362,775	(70, 906)	(1,055,911)
	00	14, 869, 446	00	17,518,264	4,194,575	223,615	31,522	. 5 0
7 GROSG ROTALITES	64,537,364	3,620	001	001	00	<b>0</b> 0	<b>a</b> 0	00
OTHER INCOME (ATTACH SCHEDULE).	0 776 1646 100	53, 329, 604	20	1,004,922	11,534,691	00	o c	00
11 TOTAL INCOME (LINES 3 THROUGH 10)	151, 480, 441	838,944,054	0	22,756,136	27,036,407	1,586,390	(39, 384)	(3,055,911)
-	C	0	9	0	O	0	0	0
13 SALARIES AND MAGES	00	68,786,224	٥٥	1,781,084	5, 667, 290	239,565	\$6,173	(3, 406, 755)
BAD DEBTS	0	20, 011, 708	0	(3,490)				0
10 TAXES	0 1.13	17, 510, 395	0 67	2,103,578	165, 994	1,088	o (	4,072
INTEREST.	900	63, 891, 399	0 (65)	14,002,302	13, 336, 995	112,27;	2,418	101,205
	9 69 4	170,279,459	00	2,235,951	0,111,732	4,227	4,616	<b>0</b> 0
ADVERTISING	00	621	00	00	00	00	00	00
PENSION, FROFIT SHARING, ETC. FLANS EMPLOYEE BENEFIT PROGRAMS	00	51,764,863	00	6,119,703	30,212	1,337	00	) Q (
		0			0	0 0 11	00	00
		F/1/501/66	152, 433	27,646,246	6, 290, 219	197, 103	166, 939	239, 589
27 TOTAL GEDUCTIONS (LINES 12 THROUGH 26), . >27	41,170	722, 868, 167	92.800	\$5, 179, 913	27, 686, 398	565, 686	237,419	786,350
20 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT, , 28 29 LESS: A. NET GFERATING LOSS DELUCTION , , ,29A B. SPECIAL DELUCTIONS (SCHEGULE C) , ,29B	151,419,271	116, 075, 887	(\$2,960}	(32, 423,777)	(649,991)	1,020,704 0 0	(276, 803) 0 0	(3,842,261)
SO TAXABLE INCOME (LINE 20 LESS 25C) 30	151,439,271	50,400	(95,809)	(177, (22, 425)	0 (649, 891)	1,020,104	(275, 803)	(3,842,261)

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Culba Energy furporation & Subsidisties Insittis Veet: Ions	·	220	2006 CONE. FEDERAL 1120 TAX REYTHY RESULATION	Regulation	Regulati	<b>-</b> ⊌(	Regulated	Segulatice
-	Cinergy Cap 35-1878271 6D290	Cinergy N67 76-0403617 60296	Spectra 20-5413139 119900	GE Indlana 35-0594457 60920	DE Kentucky 31-0473080 60130	DE Shared Serv 31-1413899 6D010	KO Transmissio 31-1408986 60110	Miani Power 31-6035703 6D150
		1120 -	.ectibatistici U.S. Corporation :etturestates	1120 - U.S. CORPURATION INCRE DX REIGH	* * *			
TOTAL ASSETS	1,403,866,771	٥	58, 320	6, 657, 656, 553	980,130,649	208,346,236	2, 545, 698	41.626
IA GROSS RECEIPTS OR SALES	(7,403,550)	19,846,767	60	1,621,413,887	275,649,322	511	1,191,923	74,698
2 COST OF GOODS SOLD (SCHEDULE A)	(7,403,550) 6,144,519	19,846,767	0	1,621,413,887	275,649,322	511 67,517,001	1,191,923	74,698
3 GROSS PROFIT (LINE LIC) LESS LINE 21.	(13,548,069)	24,255,376	0	635,761,210	79,873,035	(67, 516, 490)		74,698
5 INTEREST. 5 6 GROSS SWITTER	40, 693, 739	3,549,991	000	18, 596, 411	2,027,910	114,918	O 89 C	<b>၁</b> 🛭 c
9 CADATALLALIA 9 CADATALLALIA ST. INCOME (SCHEDULE D)	0 (22,847,475) (114,321,976) (40,469,142)	276,588,384 0 (22,533,615)	0000	(14,854,103) (14,854,187) 81,767,979	_	(48, 554) (48, 554) 0 0		<b>3</b> D O O C
11 TOTAL INCOKE (LINES 3 THROUGH 10), , , , >11	(150,472,923)	281,660,136	686, 211	721,151,707		520,599,898	993,946	74,698
12 COMPENSATION OF OFFICERS (SCHEGGLE E) 12				0	•	6		
14 REFAIRS 114	125,346		15, 44	22, 340, 829	9,568,298	210,843,514	16,393	102
16 KENTS 16 11 TAXES	1,282,451		004	12, 289, 455	2,479,516	0 27,990,310	1,291	• • •
16 INTEREST. 18 (SEE INSTRUCTIONE) 19 CONTRIBUTIONS (SEE INSTRUCTIONE)	68, 636, 201	9, 568, 736 0, 568, 736	000	49, 787, 724 78, 354, 018	13,443,968	7,075,631	95,489	(1,169) 872
21 DEPLETION FROM FORM 4562 20 21 DEPLETION 21	15,097,001	42,927	000	179, 522, 480	39,751,966	(2,892,566)	167,821	\$6\$
22 ADVENTISING 23 BEKSION, BOOGT SIGASING, ETC. FLAMS 24 DMELOUGE BENEZIT PROGRAMS 25 DMERGYTC GEORGICATION PERMITTED	3, 126, 475 332, 232	305,186	000	30,834 76,788,837 10,830,012	496 7.007,564 2,210,464	32,716	0000	D & Ø 6
26 OTHER DEDUCTIONS	(24,770,125)	12,528,024	00	208,911,052	24, 442, 133	189,341,381	0 0 128,321	0 0 1
27 TOTAL DEFUCTIONS (LIMES LZ THROUGH 26)>27	67, 295, 834	40,282,360	15, 444	678,294,964	109, 520, 792	663,463,779	402,460	1, 396
29 TAXABLE INCOME BEFORE HOL & SPECIAL DEDUCT 28 29 LESS: A. NET CPERATING LOSS DEGUCTION 29A 8. SPECIAL DEDUCTIONS ISCHEDULE CS 28B	(527,768,753) 0 0	241,577,776 0 0	£70, 767 0 0	42,856,743	(21,286,133)	1147, 863, 881)	481,486	73,302
TOTAL 1870ME LUNE 28 LESS 2541 (1) 100 (1) 30	0 (727,768,757)	241,577,776	0 00, 167	42,856,743	(21, 286, 133)	(147,863,881)	491,486	73,302
				Regulated	- Passular	پ-	Registra	L Regulated

2006 CONS. FEDERAL 1120 TAX RETURN

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Subsidiaries	
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Corporation	
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Duke Energy	20-2112

	Tri-St Improv 3)-0677812 60140	CIN WHOLESALE 31-1342083 60060	Duke Cadence 35-1603618 6D250	fin Glob Power 31-1573140 60310	Duke-Reliant R 31-1582985 6D230	CIN GLOBAL RES 11-1600199 6D300	C14EKGY INVEST 31-1421190 6D200	Cin Tachnology 35-1961260 5D210
		# 1120 =	. U.S. CORPORATION	1120 - U.S. OSEORATON INCRE TAX RETURN	* * * * * * * * * * * * * * * * * * *			
TOTAL ASSETS	50,943,719	95,139,473	0	360,396,396	34,628,285	164, 411, 954	559, 571, 322	1, 684, 944
1A GROSS RECEIPTS OR SALES	00	1,393,639	1	0 0	00	00	00	66
2 COST OF GOODS SOLD (SCHEDULE A)	00	1,391,639		0 0	00	2,533	24,184	50
	0	51B, 447		0	0	(2, 533)	}	0
4 DIVIDENUS (SCHEDULE C)	017	0 5,684,693		514,135	מ הפני ר	0 00 7	97	
	114,616					0	0	77
	0 4	50				Φ.	. 5	0
	0	, 0			0	•		0.0
	56, 117			19,157	(1,030,390)	392,406		0
11 TOIAL INCOME (LIMES 3 THROUGH 10) 11	171,216	3, 182, 940		1,571,939	18, 516, 993	7,273,256	65, 204, 603	12
	٥	0		•	0	•	c	c
	77,764	16,963	0	533,156	3,962	60,264	2,	, 5
	187			162	0	4,543		0
REMTS	12,055			1,175	5-0	33.770	2.614	0 6
	102, 382	143, 641)		.60	7,1	7)		(10
CONTRIBUTIONS (SEE INSTRUCTIONS)	(124, 330)		190, 537	431	51,152	10, 166, 654	63,727,563	1,234
20 DEPRECIATION FROM FORM 4562	0					• •	• •	a
ANVERTISING	•	9 6	9 5			0 6	0 0	
						254,707	90	2 6
	0 1	0		0	•	0	0	
	168,194	107.965	0 540	668.317	0 2	0 203	0 24 63 4 4	01
2" TOTAL DEDUCTIONS ILINES IN THROUGH 281, .>27	236, 546	3,934,37	191,	,1	1,84	10,946,231	69,297,050	1.330
26 TAXABLE INCOME BEFORE NOL . SPECIAL PEDICT 25	(65, 336)	(151,433)	(191, 977)	1 360,257	16, 669, 630			(1, 118)
IN LESS: A. NET OPERATING LOSS DETOCTION 198. B. SPECIAL DEUMCTIONS (SCHEDULE C) 198.	00			e 0		0 0		000
C. TOTAL. 12 LESS 25C1 30	165, 330)	1751, 4331	1,011,011	360, 257	16,669,630	(3,672,975)	(4,090,447)	(1,118)

2006 CONS. FEDERAL 1120 TAX RETURN

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	Cinergy UK 31-1480522 6D320	500 Commo Hold 31-1480521 6D260	DE Retail Sale 37-1484250 6D292	Cin Climate Ch 15-2212088 60291	CinFuel Resour 30-0023911 6D295	DE Engineering 31-1521743 60240	Cin Solu Utili 37-1496042 6D224	Cln-Centrus 31-1605377 6D270
		1120 -	* 1120 - U.S. CORTORATON INCOGE TAN REIGHN *	INCOME TAX REITHE	* * * * * * * * * * * * * * * * * * * *			
TOTAL ASSETS	O	61,066,953	8,953,978	100,199	8,041,401	14,550	7,572,705	200.024
1A GROSS RECEIPTS OR SALES 1A B LESS RETURNS AND ALLOWRACES 1B	00	6.0	(13,100,000)	00	38,239	<b>ө</b> с	8,328,891 0	00
BALANCE >1C COST OF GOODS SOLD (SCHEDULE A)	00	00	(13, 100, 000)	90	30, 239	0	8,326,881	00
			(13, 10	00	30,239	00	1,594,045	00
G CONSTRUCTION OF THE PROPERTY		1,089,880	4, 992	8 C C	1,446	00	229, 497	a 0
U CAPITAL MATTINGONE (SCHEDULE D). 9 NET CALL (LOSS) FROM FORM 4797.	000	1,133,651	000	000	(230, 782)		φ ο σ	034
		, 0	, 0	(32, 348)	(353, 302)		0	00
11 TOTAL INCOME (LINES 3 THROUGH 10), , , , )11	0	2,224,124	(13, 095, 000)	(91,710)	(544, 399)	0	1,823,542	0
12 COMPENSATION OF OFFICERS (SCHEIULE E) 12	•	0		0	Q.	0	٥	0
10 SAMMARES MAY RADES,		1,4,1	12,506	00	848	00	294, 311	00
LO DAN DEBIS	00			00	00	00	965'8	00
14 IAKES 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	3,842,600	(48,745)	2,927 697,322	3, 510	1, 652	300 0	132,937	00
	200	TE 1	000	001	<b>0 0 0</b>	001	<b>0</b> 0	50
			0 6	90	90	90	00	00
A SAPLOYER BENEFIT PROCNEYS OF THE SALES OF				000	9 0 4	00	00	00
	5	114,452	è11: 62	200	22, 723	096	220.279	o
TOTAL MENIOTIONS (LIMES II THROUGH 26) 1827	3, 842, 600	1, 304, 657	942,073	3, #10	25, 348	1,260	892,136	0
26 INNABLE INICME BEFORE NOL & SPECIAL CEDUCT. 28 39 LESS: A. NET OPERATING LOSS DRIVETION	(3,842,600)	1 919,437	(14,637,081)	135, 520)	1569,7471	(1, 260)	931,406	00
•	0	0	-	0	0	0	, 0	0
20 TAXABLE INCOME 1UINE 28 LESS 25¢1 , , , , 30	ή (3,642,600)	919,437	0 (14,037,081)	0 (35, 524)	(569,747)	0 2,260	931,406	00

2006 CONB. PEDERAL 1120 TAX REIUMN

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Duke Engrgy Corporation & Subsidisties 20-2777218 Year: I(4)6

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00000000 Alpha Ins 20-5067657 IDB01 (26,918,852) 13281266596 (26, 935, 319) 36, 828, 940 36, 0044 2, 081, 457 269, 661 (6, 604, 736) 60, 835, 153 2, 336, 645 2, 336, 745 (26, 918, 852) 66,960 2, 678, 213 (1, 969, 660) 94, 164, 253 (56, 913, 298) (\$6,913,298) 61, 441,041 37, 250, 955 Cinargy Corp 31-1385023 60000 139 133 Cinergy Two 31-1756300 6D052 943,018 2,713,616 2,713,616 10,267 488, 512 910 9, 713 11, 070 57, 348 2, 734 69, 138 1,644,418 69, 136 1,654,685 , 585, 547 DE One 31-1751104 6D051 \* 1120 - U.S. CORPORATION INCOME TAX RETURN \* Dake Technolog 31-1734648 6D050 25, 830 (294, 131) 7, 481, 284 140, 377, 163 834,569 (14, 690, 924) (834,569) 0 7.03,019, 212,392 (1,402,014) (8, 477, 382) (6, 635, 748) (14, 690, 924) 8, 561 8,055,176 Cin Risk Solut 74-3133751 61040 3, 353, 361 46, 532 (46, 532) 15.296 2,766 7,560 (347, 553) 350, 319 350, 319 44, 818, 732 906, 755 906, 755 (769, 795) (748,795) , 500, 900 906,755 1,124,515 372,010 , 873, 310 Dukejec 52-2291457 6D070 Cin-Contr Comm 31-1614694 6D280 10,828 11 TUTAL INCOME (LINES 3 THICOME 10)
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9 LESS RETURNS AND ALLOHANCES. 29 LISS: 2. MIT OPERATING LOSS DECUCTION . . . 29A. 8. SPECIAL DECUCTION . . . . 29A. B. SPECIAL DETUCTIONS (SCHEDULE C). . . . 29B TATAL DEDUCTIONS (LIMES IN THROUGH 26), . .27 1 ITTGEST.

9 CONTAINED CASE INSTRUCTIONS)

10 CONTAINED GROSS PROFIT (LINE | (C) LESS LINE 2).
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#### 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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\*\*\*\*\*\*\*\*\*\*\*\* TOTAL ASSETS 49131061108 (61710102804) 110841163912 1A GROSS RECEIPTS OR SALES. . . . . . . . . . . 1A 12642797548 12642839332 41.784 B LESS RETURNS AND ALLOWANCES. . . . . . . . . 1B 0 n Ω BALANCE >1C 12642839332 41,784 12642797548 5,687,330,921 41,784 5,687,289,137 6,955,508,411 0 6,955,508,411 34,362,505 0 34,362,605 242,299,201 (159, 343, 054) 401,642,255 247,998 1,879,258 (1,631,260) 3,490,097 0 3,490,097 8 CAPITAL GAIN NET INCOME (SCHEDULE D). . . . . . 8 1,104,930 (22,060,433) 23,165,363 (118, 380, 157) 22,060,433 (140,440,590) 905, 455, 927 0 905,455,927 TOTAL INCOME (LINES 3 THROUGH 10). ...>11 8,024,089,012 (157, 463, 796) 8,181,552,808 DEDUCTIONS 12 COMPENSATION OF OFFICERS (SCHEDULE E) . . . . 12 3, 148, 720 0 3,148,720 960,074,015 0 960,074,015 860.189.008 a 860.189.008 66,789,370 66,789,370 0 182,545,906 1,879,258 180,666,648 723,796,551 723,796,551 0 708,934,486 (159, 343, 054) 868,277,540 66,822,430 400,000 66,422,430 20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON 1,138,035,478 1,188,035,478 0 0 42,429 0 42,429 23 PENSION, PROFIT SHARING, ETC. PLANS . . . . . . 23 184,495,150 0 184,495,150 333,110,081 0 333,110,081 25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION. . . 25 56,697,966 4,744,917 51,953,049 1,506,396,217 0 1,506,396,217 TOTAL DEDUCTIONS (LINES 12 THROUGH 26) . .>27 6,841,077,807 (152, 318, 879) 6,993,396,686 28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT. . 28 1,183,011,205 (5, 144, 917)1,188,156,122 2,717,670 0 2,717,670 B. SPECIAL DEDUCTIONS (SCHEDULE C). . . 29B 8,334,899 0 8,334,899 11,052,569 0 11.052.569 30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . 30 1,171,958,636 (5, 144, 917)1,177,103,553

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#### 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP	Regulated
Year: 2007	REGULATIC
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#### \*\*\*\*\*\*\*\*\*\*\*\*\* 1120 - U.S. CORPORATION INCOME TAX RETURN \*\*\*\*\*\*\*\* TOTAL ASSETS 58373615940 281,469,382 n 1A GROSS RECEIPTS OR SALES. . . . . . . . . . . . 1A 5,843,507,572 74,839,048 ō B LESS RETURNS AND ALLOWANCES. . . . . . . . . 18 0 0 BALANCE >1C 5,843,507,572 74,839,048 0 2,222,825,077 48,222,399 n 3,620,682,495 26.616.649 0 33,955,837 0 0 50,918,406 0 0 0 3,490,097 0 8 CAPITAL GAIN NET INCOME (SCHEDULE D). . . . . . 8 3,217,058 (18,404,074) 181,667,944 12,351,018 TOTAL INCOME (LINES 3 THROUGH 10). . . >11 3,875,527,763 38,967,667 DEDUCTIONS 12 COMPENSATION OF OFFICERS (SCHEDULE E) . . . . 12 3,148,720 330,305,370 540, 105, 848 12,050,630 0 20,861,126 0 337,812,354 94,087 0 329,096,868 0 19 CONTRIBUTIONS (SEE INSTRUCTIONS). . . . . . . 19 45,260,184 0 20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSEWHERE . . . . . . . . . . . 20 610,550,591 0 0 0 0 0 0 0 23 PENSION, PROFIT SHARING, ETC. PLANS . . . . . 23 159, 489, 407 0 0 24 EMPLOYEE BENEFIT PROGRAMS . . . . . . . . . . . 24 8,798,383 0 0 25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION. . . 25 26,985,788 0 G 849,937,658 68,903,105 0 TOTAL DEDUCTIONS (LINES 12 THROUGH 26). .>27 3,274,402,917 68,997,192 0 28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT. . 28 601, 124, 846 (30,029,525) 0 29 LESS: A. NET OPERATING LOSS DEDUCTION . . . . . 29A 0 0 0 B, SPECIAL DEDUCTIONS (SCHEDULE C). . . . 29B 8,051,399 0 0 8,051,399 0 0

30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . . 30

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593,073,447

(30,029,525)

## 2007 CONS. FEDERAL 1120 TAX RETURN

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1120 - U.S. CORPORATION INCOME TAX RETURN *	
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TOTAL ASSETS	0	1,499,600,225	10,952
1A GROSS RECEIPTS OR SALES 1A	0	59,806,564	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	0	59,806,564	0
2 COST OF GOODS SOLD (SCHEDULE A)	0	55,623,908	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0	4,182,656	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	0	70,014,058	187
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	108,945	0
9 NET GAIN (LOSS) FROM FORM 4797	0	(76, 921, 475)	0
10 OTHER INCOME (ATTACH SCHEDULE) 10	0	(51,749,222)	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11	0	(54, 365, 038)	187
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	20,470,631	0
14 REPAIRS	0	13,068	0
15 BAD DEBTS	0	0	0
16 RENTS	0	195,167	0
17 TAXES	0	2,474,780	50
18 INTEREST	0	89,714,444	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	322	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	14,209,399	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	674,120	0
24 EMPLOYEE BENEFIT PROGRAMS	0	468,951	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	O	(19,539,404)	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	108,681,478	50
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	0	(163,046,516)	137
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 298	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	0	(163,046,516)	137

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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# \* 1120 - U.S. CORPORATION INCOME TAX RETURN \*

TOTAL ASSETS	284,716	108,201	16497743262
1A GROSS RECEIPTS OR SALES 1A	0	0	(17,761,098)
B LESS RETURNS AND ALLOWANCES 18	0	0	0
BALANCE >1C	0	0	(17,761,098)
2 COST OF GOODS SOLD (SCHEDULE A)	0	0	(2,581,885)
3 GROSS PROFIT (LINE 1(C) LESS LINE 2) 3	0	0	(15, 179, 213)
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	5,167	119	60,861,979
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D)	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	. 0	0	0
10 OTHER INCOME (ATTACH SCHEDULE) 10	0	(1,230)	(3,290,426)
11 TOTAL INCOME (LINES 3 THROUGH 10) >11	5,167	(1,111)	42,392,340
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	0	(378, 962)
14 REPAIRS	0	0	8,346
15 BAD DEBTS	0	0	(3,674,931)
16 RENTS	0	0	135,037
17 TAXES	0	0	(2,286,799)
18 INTEREST	0	6,292	62,762,723
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	5,063,102
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE 20	0	0	389,282
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS 24	0	0	47,368
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	200	(20,712,540)
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	9	6,492	41,352,626
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	5,167	(7,603)	1,039,714
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	5,167	(7,603)	1,039,714

## 2007 CONS. FEDERAL 1120 TAX RETURN

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* 1120 - U.S. CORPORATION INCOME		•	
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TOTAL ASSETS	0	0	97,059,741
1A GROSS RECEIPTS OR SALES	0	0	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C		0	
2 COST OF GOODS SOLD (SCHEDULE A)	0	0	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0	0	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	0	0	2,605,236
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	0	0	69,283
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	0	0	2,674,519
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	0	23,724
14 REPAIRS	0	0	0
15 BAD DEBTS	0	0	0
16 RENTS	0	0	1,028
17 TAXES	0	0	1,765
18 INTEREST	0	. 0	5,670
19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE 20	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS 24	0	0	11,174
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	0	420,620
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	0	463,981
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	0	0	2,210,538
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	0	0	2,210,538

## 2007 CONS. FEDERAL 1120 TAX RETURN

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* 1120 ~ U.S. CORPORATION INCOME TAX RETURN		
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TOTAL ASSETS 238,623,486	1,449,585,085	0
1A GROSS RECEIPTS OR SALES	0	
B LESS RETURNS AND ALLOWANCES 1B 0	0	0
BALANCE >1C 0	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	955	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2) (165)	(955)	0
4 DIVIDENDS (SCHEDULE C)	0	0
5 INTEREST	77,057,313	0
6 GROSS RENTS	0	0
7 GROSS ROYALTIES	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8 0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9 0	0	0
10 OTHER INCOME (ATTACH SCHEDULE) 0	800	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 10,047,328 DEDUCTIONS	77,057,158	0
12 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0
13 SALARIES AND WAGES	311	0
14 REPAIRS	0	0
15 BAD DEBTS	0	0
16 RENTS	1,780	0
17 TAXES	297,553	0
18 INTEREST	66,100,639	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON		
SCHEDULE A OR ELSEWHERE	0	0
21 DEPLETION	0	0
22 ADVERTISING	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23 412,103	0 .	0
24 EMPLOYEE BENEFIT PROGRAMS	146	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0
26 OTHER DEDUCTIONS	(121,053)	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27 15,522,982	66,279,376	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28 (5,475,654)	10,777,782	0
29 LESS: A. NET OPERATING LOSS DEDUCTION 29A 0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)	0	0
C. TOTAL	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C)	10,777,782	0

## 2007 CONS. FEDERAL 1120 TAX RETURN

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* 1120 - U.S. CORPORATION INCOME TAX RETURN *		
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TOTAL ASSETS 0	0	0
1A GROSS RECEIPTS OR SALES 1A 0	0	0
B LESS RETURNS AND ALLOWANCES 1B 0	0	0
BALANCE >1C 0	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	0	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2) 3	0	0
4 DIVIDENDS (SCHEDULE C)	0	0
5 INTEREST	0	0
6 GROSS RENTS	0	0
7 GROSS ROYALTIES	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8 0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9	0	0
10 OTHER INCOME (ATTACH SCHEDULE) 10 0	0	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 0 DEDUCTIONS	0	0
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12 0	0	C
13 SALARIES AND WAGES	0	C
14 REPAIRS	0	C
15 BAD DEBTS	0	C
16 RENTS	0	C
17 TAXES	0	C
18 INTEREST	0	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON		
SCHEDULE A OR ELSEWHERE	0	C
21 DEPLETION	0	0
22 ADVERTISING	0	C
23 PENSION, PROFIT SHARING, ETC. PLANS 23 0	0	C
24 EMPLOYEE BENEFIT PROGRAMS	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25 0	0	0
26 OTHER DEDUCTIONS	0	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 25) .>27 0	0	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	0	0
29 LESS: A. NET OPERATING LOSS DEDUCTION 29A 0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C). 29B 0	0	0
C. TOTAL	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30 0	0	0

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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\* 1120 - U.S. CORPORATION INCOME TAX RETURN

TOTAL ASSETS	998,058	11,769,145	1,684,947
1A GROSS RECEIPTS OR SALES 1A	0	12,061,080	0
B LESS RETURNS AND ALLOWANCES 18	0	0	0
BALANCE >1C	0	12,061,080	0
2 COST OF GOODS SOLD (SCHEDULE A)	300	9,776,671	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	(300)	2,284,409	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	31,322	277,045	3
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	0	0	0
11 TOTAL INCOME (LINES 3 THROUGH 10) >11	31,022	2,561,454	3
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	532,811	0
14 REPAIRS	0	201	0
15 BAD DEBTS	0	0	0
16 RENTS	0	2,568	0
17 TAXES	7,500	137,345	0
18 INTEREST	0	444,274	1,767
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	0	109,455	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	2,462	4,375	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	9, 962	1,231,029	1,767
28 TAXABLE INCOME BEFORE NOL 6 SPECIAL DEDUCT 28	21,060	1,330,425	(1,764)
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	21,060	1,330,425	(1,764)

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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* 1120 - U.S. CORPORATION INCO		*	
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TOTAL ASSETS	139	0	96,812,077
1A GROSS RECEIPTS OR SALES	0	0	1,985,666
B LESS RETURNS AND ALLOWANCES	0	0	0
BALANCE >1C	0	0	1,985,666
2 COST OF GOODS SOLD (SCHEDULE A)	0	0	792,754
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	G	0	1,192,912
4 DIVIDENDS (SCHEDULE C)4	0	0	0
5 INTEREST	0	0	3,734,241
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D)	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	O	0	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEPUCTIONS	0	0	4,927,153
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	0	266,427
14 REPAIRS	0	0	574,255
15 BAD DEBTS	0	0	0
16 RENTS	0	0	0
17 TAXES	0	0	(24, 459)
18 INTEREST	19	5,469,726	5,397,421
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	0	0	156,558
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	0	450
TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	19	5,469,726	6,370,652
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT. 28	(19)	(5, 469, 726)	(1,443,499)
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)	0	0	0
C. TOTAL	0	0	0

30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . . 30 (19) (5,469,726) (1,443,499)

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## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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* 1120 - U.S. CORPORATION INCOME TAX RETURN *					
***********		***			
TOTAL ASSETS	10,661,051	7,076,916	0		
1A GROSS RECEIPTS OR SALES	55,763	1,228,891			
B LESS RETURNS AND ALLOWANCES 1B	0	0	0		
BALANCE >1C	55,763	1,228,891	0		
2 COST OF GOODS SOLD (SCHEDULE A)	19,709	0	0		
3 GROSS PROFIT (LINE 1(C) LESS LINE 2) 3	36,054	1,228,891	0		
4 DIVIDENDS (SCHEDULE C)	0	0	9		
5 INTEREST	255,601	0	3		
6 GROSS RENTS	0	0	0		
7 GROSS ROYALTIES	0	0	0		
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0		
9 NET GAIN (LOSS) FROM FORM 4797 9	(807, 903)	0	0		
10 OTHER INCOME (ATTACH SCHEDULE) 10	(520, 965)	0	0		
11 TOTAL INCOME (LINES 3 THROUGH 10) >11	(1,037,213)	1,228,891	0		
DEDUCTIONS					
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	o		
13 SALARIES AND WAGES	52	0	0		
14 REPAIRS	0	0	0		
15 BAD DEBTS	0	0	O		
16 RENTS	0	0	0		
17 TAXES	58,018	0	0		
18 INTEREST	2,608	0	G		
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	0		
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON					
SCHEDULE A OR ELSEWHERE 20	0	0	0		
21 DEPLETION	0	0	C		
22 ADVERTISING	0	0	0		
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0		
24 EMPLOYEE BENEFIT PROGRAMS	25	0	0		
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0		
26 OTHER DEDUCTIONS	0	0	0		
TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	60,703	0	0		
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	(1,097,916)	1,228,891	0		
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0		
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0		
C. TOTAL	0	0	0		

30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . . 30 (1,097,916) 1,228,891

## 2007 CONS. FEDERAL 1120 TAX RETURN

TOTAL ASSETS  0 0 9,330,873  1A GROSS RECEIPTS OR SALES. 1A 0 0 3,395,814  B LESS RETURNS AND ALLOWANCES. 1B 0 0 0 1,526,120  2 COST OF GOODS SOLD (SCHEDULE A)	DE CONTROL DE CONTROL	Nuclear Eng DEGS Biogo	is; DEG	S PHILADELP
TOTAL ASSETS 0 0 0 9,330,873 1A GROSS RECEIPTS OR SALES. 1A 0 0 3,395,814 B LESS RETURNS AND ALLOWANCES. 1B 0 0 0 3,395,814 2 COST OF GOODS SOLD (SCHEDULE A)	**********	*******		***************************************
TOTAL ASSETS 0 0 0 9,330,873 1A GROSS RECEIPTS OR SALES. 1A 0 0 3,395,814 B LESS RETURNS AND ALLOWANCES. 1B 0 0 0 3,395,814 2 COST OF GOODS SOLD (SCHEDULE A)	·			
Table   Tabl				
1A GROSS RECEIPTS OR SALES. 1A B LESS RETURNS AND ALLOWANCES. 1B B LESS RETURNS AND ALLOWANCES. 1B BBALANCE >1C O O O O O O O O O O O O O O O O O O O	TOTAL ASSETS	0	0	9,330,873
BALESS RETURNS AND ALLOMANCES. 18 BALANCE >1C CO 0 0 3,395,814 CO 0 0 3,395,814 CO 0 0 3,395,814 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,526,120 CO 0 0 1,669,694 CO 0 0 1,669,694 CO 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	1A GROSS RECEIPTS OR SALES 1A	0	0	
2 COST OF GOODS SOLD (SCHEDULE A)	B LESS RETURNS AND ALLOWANCES 18	0	0	
2 COST OF GOODS SOLD (SCHEDULE A)	BALANCE >1C	0	0	3, 395, 814
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	2 COST OF GOODS SOLD (SCHEDULE A)	0	0	, ,
4 DIVIDENDS (SCHEDULE C)	- Table 1	0	0	
S INTEREST.	•	0	-	
6 GROSS RENTS	·	0	0	
8 CAPITAL GAIN NET INCOME (SCHEDULE D)	6 GROSS RENTS	0	0	
8 CAPITAL GAIN NET INCOME (SCHEDULE D)	7 GROSS ROYALTIES	0	0	
10 OTHER INCOME (ATTACH SCHEDULE). 10 0 0 2,221,131  TOTAL INCOME (LINES 3 THROUGH 10). >11 0 0 2,221,131  DEDUCTIONS  12 COMPENSATION OF OFFICERS (SCHEDULE E) 12 0 0 0 384,337  13 SALARIES AND WAGES. 13 0 0 384,337  14 REPAIRS 14 0 0 0 4,104  15 BAD DEBTS 15 0 0 0 336  16 RENTS 16 0 0 336  17 TAXES 17 0 0 0 40,040  18 INTEREST 18 0 0 0 230,084  19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19 0 0 0 230,084  19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19 0 0 0 982  20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSEWHERE 20 0 0 0 0 0  21 DEPLETION 21 0 0 0 0 0  22 ADVERTISING 22 0 0 0 0 0 0  23 PENSION, PROFIT SHARING, ETC. PLANS 23 0 0 0 (2,227)  24 EMPLOYEE BENEFIT PROGRAMS 24 0 0 0 263,800  25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25 0 0 0 192,738  26 OTHER DEDUCTIONS (LINES 12 THROUGH 26) >27  TOTAL DEDUCTIONS (LINES 12 THROUGH 26) >27  TOTAL DEDUCTIONS (LINES 12 THROUGH 26) >27  TOTAL DEDUCTIONS (LINES 12 THROUGH 26) >27  TOTAL DEDUCTIONS (SCHEDULE C) 298  B. SPECIAL DEDUCTIONS (SCHEDULE C) 298  C. TOTAL		0	0	0
TOTAL INCOME (LINES 3 THROUGH 10)	9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
DEDUCTIONS  12 COMPENSATION OF OFFICERS (SCHEDULE E) 12 0 0 0 384,337  13 SALARIES AND WAGES. 13 0 0 384,337  14 REPAIRS . 14 0 0 0 4,104  15 BAD DEBTS . 15 0 0 0 336  16 RENTS . 16 0 0 336  17 TAXES . 17 0 0 0 40,040  18 INTEREST . 18 0 0 0 230,084  19 CONTRIBUTIONS (SEE INSTRUCTIONS) . 19 0 0 0 230,084  19 CONTRIBUTION (SEE INSTRUCTIONS) . 19 0 0 0 982  20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON  SCHEDULE A OR ELSEWHERE . 20 0 0 0 982  21 DEPLETION . 21 0 0 9 982  22 ADVERTISING . 22 0 0 0 0 0 263,800  23 PENSION, PROFIT SHARING, ETC. PLANS . 23 0 0 0 (2,227)  24 EMPLOYEE BENEFIT PROGRAMS . 24 0 0 263,800  26 OTHER DEDUCTIONS ACTIVITIES DEDUCTION . 25 0 0 0 192,738  27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26) .>27 0 0 1,114,194  28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT . 28 0 0 0 1,106,937  29 LESS: A NET OPERATING LOSS DEDUCTION29A 0 0 0 0 0 0  B SPECIAL DEDUCTIONS (SCHEDULE C)29B 0 0 0 0 0 0	10 OTHER INCOME (ATTACH SCHEDULE)	0	0	0
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12 0 0 0 384,337 13 SALARIES AND WAGES 13 0 0 384,337 14 REPAIRS 14 0 0 0 4,104 15 BAD DEBTS 15 0 0 0 336 16 RENTS 16 0 0 336 17 TAXES 17 0 0 0 40,040 18 INTEREST 18 0 0 0 230,084 19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19 0 0 0 230,084 19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19 0 0 982 20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSEWHERE 20 0 0 9 982 21 DEPLETION 21 0 0 9 982 22 ADVERTISING 22 0 0 0 0 0 0 0 23 PENSION, PROFIT SHARING, ETC. PLANS 23 0 0 0 (2,227) 24 EMPLOYEE BENEFIT PROGRAMS 24 0 0 263,800 25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION. 25 0 0 0 192,738 26 OTHER DEDUCTIONS (LINES 12 THROUGH 26) >27 0 0 1,114,194 28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28 0 0 0 0 0  B. SPECIAL DEDUCTIONS (SCHEDULE C) 298 0 0 0 0 0	11 TOTAL INCOME (LINES 3 THROUGH 10)>11	0	9	2,221,131
13 SALARIES AND WAGES. 13 0 0 384,337 14 REPAIRS 114 0 0 0 4,104 15 BAD DEBTS 15 0 0 0 336 16 RENTS 16 0 0 336 17 TAXES 17 0 0 0 40,040 18 INTEREST 18 0 0 0 230,084 19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19 0 0 0 230,084 19 CONTRIBUTION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSEWHERE 20 0 0 982 21 DEPLETION 21 0 0 982 22 DEVELTISING 22 0 0 0 0 0 263,800 23 PENSION, PROFIT SHARING, ETC. PLANS 23 0 0 0 (2,227) 24 EMPLOYEE BENEFIT PROGRAMS 24 0 0 263,800 25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25 0 0 1,22,738 26 OTHER DEDUCTIONS (LINES 12 THROUGH 26) >27 0 0 1,114,194 28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28 0 0 0 0 0 29 LESS: A NET OPERATING LOSS DEDUCTION 29A 0 0 0 0 0 20 C. TOTAL	DEDUCTIONS			
14 REPAIRS	12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
15 BAD DEBTS	13 SALARIES AND WAGES	0	0	384,337
16 RENTS	14 REPAIRS	0	0	4,104
17 TAXES	15 BAD DEBTS	0	0	0
18 INTEREST	16 RENTS	0	0	336
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	17 TAXES	. 0	0	40,040
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON  SCHEDULE A OR ELSEWHERE	18 INTEREST	0	0	230,084
SCHEDULE A OR ELSEWHERE	19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19	0	0	0
21 DEPLETION	20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
22 ADVERTISING	SCHEDULE A OR ELSEWHERE 20	0	0	982
23 PENSION, PROFIT SHARING, ETC. PLANS	21 DEPLETION	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	22 ADVERTISING	0	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION	23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	(2,227)
26 OTHER DEDUCTIONS		0	0	263,800
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26). >27	25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT. 28 0 0 1,106,937 29 LESS: A. NET OPERATING LOSS DEDUCTION	26 OTHER DEDUCTIONS	0	0	192,738
29 LESS: A. NET OPERATING LOSS DEDUCTION	TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	0	1,114,194
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B 0 0 0 C. TOTAL	28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	0	0	1,106,937
C. TOTAL	29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
	B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30 0 1,106,937		0	0	0
	30 TAXABLE INCOME (LINE 28 LESS 29C) 30	0	0	1,106,937

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP

2007 GROUP Year: 2007			
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* 1120 - U.S. CORPORATION I	NCOME TAX RETURN	*	
*********	*****	****	
TOTAL ASSETS	2,279,537	97,245,711	68,122,58
1A GROSS RECEIPTS OR SALES	1,946,595	0	3, 406, 94
B LESS RETURNS AND ALLOWANCES 1B	0	0	0,100,21
BALANCE >1C	1,946,595	0	3,406,94
2 COST OF GOODS SOLD (SCHEDULE A)	522, 321	4,894,588	855,65
3 GROSS PROFIT (LINE 1(C) LESS LINE 2).	1,424,274	(4,894,588)	2,551,28
4 DIVIDENDS (SCHEDULE C)	0	0	2,332,20
5 INTEREST	36,762	0	1
6 GROSS RENTS	. 0	0	1
7 GROSS ROYALTIES	0	0	
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	
9 NET GAIN (LOSS) FROM FORM 4797	0	0	
O OTHER INCOME (ATTACH SCHEDULE)	0	0	
TOTAL INCOME (LINES 3 THROUGH 10)>11  DEDUCTIONS	1,461,036	(4,894,588)	2,551,28
.2 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	
3 SALARIES AND WAGES	80,073	(5,418,065)	3,933,52
4 REPAIRS	484,424	19,655	
5 BAD DEBTS	0	0	
6 RENTS	0	3,006	
7 TAXES	20,338	136,449	(2,950
8 INTEREST	632	6,030,357	
9 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	
O DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	3,853	0	31
1 DEPLETION	0	0	
2 ADVERTISING	0	0	
3 PENSION, PROFIT SHARING, ETC. PLANS 23	0	D	
4 EMPLOYEE BENEFIT PROGRAMS	99,887	155,538	
5 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	
6 OTHER DEDUCTIONS	30,586	193, 301	1,747,25
7 TOTAL DEDUCTIONS (LINES 12 THROUGH 26) >27	719,793	1,120,241	5,678,14
8 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	741,243	(6,014,829)	(3,126,860
9 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	1
B. SPECIAL DEDUCTIONS (SCHEDULE C)29B	0	0	
C. TOTAL	0	0	(
0 TAXABLE INCOME (LINE 28 LESS 29C) 30	741,243	(6,014,829)	(3,126,860)

### 2007 CONS. FEDERAL 1120 TAX RETURN

Year: 2007	ETML Mgt DLX	ilyn-fieid de c	ap Texas
[美国的大型的复数形式 [1] [1] [1] [1] [1] [1] [1] [1] [1] [1]			
**********	************	****	
* 1120 - U.S. CORPORATION		*	
**********	******	****	
TOTAL ASSETS	360,094,724	4,481,071	C
1A GROSS RECEIPTS OR SALES	0	0	(
B LESS RETURNS AND ALLOWANCES 1B	0	0	(
BALANCE >1C	0	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	15,239,621	1,906	C
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	(15, 239, 621)	(1,906)	(
4 DIVIDENDS (SCHEDULE C)4	0	0	(
5 INTEREST	1,246	0	C
6 GROSS RENTS	0	0	(
7 GROSS ROYALTIES	0	0	(
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	(
9 NET GAIN (LOSS) FROM FORM 4797	0	0	(
O OTHER INCOME (ATTACH SCHEDULE)	(3,651,244)	0	(
1 TOTAL INCOME (LINES 3 THROUGH 10)>11	(18,889,519)	(1,906)	(
DEDUCTIONS			
2 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	(
3 SALARIES AND WAGES	0	0	(
4 REPAIRS	0	0	(
5 BAD DEBTS	0	0	(
6 RENTS	0	0	(
7 TAXES	948,937	11,598	(
8 INTEREST	1	0	(
9 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	(
O DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE 20	0	0	1
1 DEPLETION	0	0	
2 ADVERTISING	0	0	1
3 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	
4 EMPLOYEE BENEFIT PROGRAMS	0	0	1
5 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	
6 OTHER DEDUCTIONS	0	0	
7 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	948,938	11,598	
8 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	(19,838,557)	(13,504)	
9 LESS: A. NET OPERATING LOSS DEDUCTION 29A	0	0	ı
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	(
C. TOTAL	0	0	
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	(19,838,557)	(13,504)	C
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## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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\* 1120 - U.S. CORPORATION INCOME TAX RETURN

TOTAL ASSETS	63,763,113	676,151	16,219,042
1A GROSS RECEIPTS OR SALES 1A	0	0	0
B LESS RETURNS AND ALLOWANCES 18	. 0	0	0
BALANCE >1C	0	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	0	(51)	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0	51	0
4 DIVIDENDS (SCHEDULE C)	1,691	0	0
5 INTEREST	1,775,095	0	0
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	2,957,493	0	0
9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	0	0	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	4,734,279	51	0
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	23	0	0
14 REPAIRS	0	0	0
15 BAD DEBTS	0	0	0
16 RENTS	0	0	0
17 TAXES	243,908	1,085	0
18 INTEREST	1,639,888	7	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	11	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS 26	279,881	0	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	2,163,711	1,092	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	2,570,568	(1,041)	0
29 LESS: A. NET OPERATING LOSS DEDUCTION 29A	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	2,570,568	(1,041)	0

### 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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*********	******		
* 1120 - U.S. CORPORATION INCOM	E TAX RETURN	•	
**********	******	,	
TOTAL ASSETS	0	0	(2,134)
1A GROSS RECEIPTS OR SALES	0	0	
B LESS RETURNS AND ALLOWANCES 1B	0	0	C
BALANCE >1C	0	0	C
2 COST OF GCODS SOLD (SCHEDULE A)	0	0	c
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0	0	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	0	0	0
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	0	0	C
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	0	0	C
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARYES AND WAGES	0	0	0
14 REPAIRS	0	0	0
15 BAD DEBTS	0	0	0
16 RENTS	0	0	0
17 TAXES	0	0	a
18 INTEREST	0	0	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)19	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	0	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	0	0
TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	0	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	0	0	0
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)29B	0	0	0
C. TOTAL	0	0	0

30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . . 30

## 2007 CONS. FEDERAL 1120 TAX RETURN

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**********		*****	
* 1120 - U.S. CORPORATION :			
****************	***********	*****	
TOTAL ASSETS	794,125,993	817,385,854	2,864,930,295
1A GROSS RECEIPTS OR SALES	10,418,390	260,402,042	3,871,028
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	10,418,390	260,402,042	3,871,028
2 COST OF GOODS SOLD (SCHEDULE A)	11,340,089	280,013,862	(2,962,964)
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	(921,699)	(19,611,820)	6,833,992
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	22,198,191	7,875,496	42,144
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9	0	0	(1,608)
10 OTHER INCOME (ATTACH SCHEDULE) 10	5,911,442	23,563,573	(33,618,291)
11 TOTAL INCOME (LINES 3 THROUGH 10)>11	27, 187, 934	11,827,249	(26,743,763)
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	0
13 SALARIES AND WAGES	3,012,657	8,876,771	309,509
14 REPAIRS	405,439	1,271,954	3,850
15 BAD DEBTS	(138,564)	0	1,022,269
16 RENTS	2,594,142	230,987	31,186
17 TAXES	321,966	1,216,881	(3,682,309)
18 INTEREST	22,649,535	19,603,590	109,672
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	3,640	0	88
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE 20	2,276,692	917,434	1,526
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	104,371	23,285	0
24 EMPLOYEE BENEFIT PROGRAMS	(881,223)	4,277,506	318,434
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	1,217,750	2,806,463	178,572
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	31,566,405	39,224,871	(1,707,203)
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	(4, 378, 471)	(27, 397, 622)	(25,036,560)
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)	0	0	0
C TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	(4,378,471)	(27, 397, 622)	(25,036,560)

2007 CONS. FEDERAL 1120 TAX RETURN

2007	GROUP
Year:	2007

Regulated

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* 1120 - U.S. CORPORATION	TNCOME TAX RETURN	*	
*********		*****	
TOTAL ASSETS	6,464,396,222	988,008,646	0
1A GROSS RECEIPTS OR SALES	2,220,995,559	491,584,290	
B LESS RETURNS AND ALLOWANCES	0	0	0
BALANCE >1C	2,220,995,559	491,584,290	
2 COST OF GOODS SOLD (SCHEDULE A)	1,022,201,372	298,663,488	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	1,198,794,187	192,920,802	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	20,510,181	3,874,663	0
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9	(27, 498, 536)	(3,689,575)	0
10 OTHER INCOME (ATTACH SCHEDULE)	29,428,172	6,684,007	0
11 TOTAL INCOME (LINES 3 THROUGH 10) >11	1,221,234,004	199,789,897	0
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	41,530,953	5,884,490	0
14 REPAIRS	133,007,930	22,458,886	0
15 BAD DEBTS	16,705,896	4,156,006	0
16 RENTS	27,493,333	5,671,371	0
17 TAXES	84,895,567	14,519,905	0
18 INTEREST	92,110,638	18,992,221	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	1,048,432	8,219	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE 20	254,629,756	43,807,641	0
21 DEPLETION	0	0	0
22 ADVERTISING	42,022	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	15,280,583	230,733	0
24 EMPLOYEE BENEFIT PROGRAMS 24	120,893,440	24,398,596	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	9,964,235	3,370,399	0
26 OTHER DEDUCTIONS 26	172,976,748	14,521,237	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	970,579,533	158,019,704	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	250,654,471	41,770,193	0
29 LESS: A. NET OPERATING LOSS DEDUCTION 29A	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	250,654,471	41,770,193	0
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## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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\* 1120 - U.S. CORPORATION INCOME TAX RETURN \*

**********	********	****	
TOTAL ASSETS	(321, 476, 822)	(105, 630, 994)	21,937,737
1A GROSS RECEIPTS OR SALES 1A	(1,228,506)	0	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	(1,228,506)	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	11,650,817	(4,141)	62
3 GROSS PROFIT (LINE 1(C) LESS LINE 2) 3	(12,879,323)	4,141	(62)
4 DIVIDENDS (SCHEDULE C)4	0	0	0
5 INTEREST	1	28,317	0
6 GROSS RENTS 6	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D)8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE) 10	(1,336,958)	6,577,194	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11	(14,216,280)	6,609,652	(62)
DEDUCTIONS		_	_
12 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	0
13 SALARIES AND WAGES	0	0	0
14 REPAIRS	0	0	0
15 BAD DEBTS	0	76,988	0
16 RENTS	6,278	0	0
17 TAXES	(151, 906)	0	3,545,157
18 INTEREST	(13,785)	0	(239,188)
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON	٥	٥	•
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0 n	0	0
23 PENSION, PROFIT SHARING, ETC PLANS	0	0	0 0
24 EMPLOYEE BENEFIT PROGRAMS	0	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION	0	0	0
26 OTHER DEDUCTIONS	6,673,333	0	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27			2 205 060
	6,513,920	76,988	3,305,969
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	(20,730,200)	6,532,664	(3,306,031)
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	(20,730,200)	6,532,664	(3,306,031)

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## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007	Regulated		
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***********	*****	****	
* 1120 - U.S. CORPORATION		*	
TOTAL ASSETS	11406478000	11,019,360	0
1A GROSS RECEIPTS OR SALES	3,028,654,634	3,884,039	0
B LESS RETURNS AND ALLOWANCES 18	0	0	0
BALANCE >1C	3,028,654,634	3,884,039	0
2 COST OF GOODS SOLD (SCHEDULE A)	1,423,367,330	2,234,116	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	1,605,287,304	1,649,923	0
4 DIVIDENDS (SCHEDULE C)	405,077	0	0
5 INTEREST	55,013,252	125,918	0
6 GROSS RENTS	33,615	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	21,653	0	0
9 NET GAIN (LOSS) FROM FORM 4797	(13,958,825)	0	0
10 OTHER INCOME (ATTACH SCHEDULE) 10	305,413	(2,094)	0
11 TOTAL INCOME (LINES 3 THROUGH 19)>11 DEDUCTIONS	1,647,107,489	1,773,747	0
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	125,468,423	67,646	0
14 REPAIRS	145, 152, 835	0	0
15 BAD DEBTS	33,097,269	8,747	0
16 RENTS	33,548,347	3,837	0
17 TAXES	206,167,302	95,592	0
18 INTEREST	112,920,154	349	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	58,329	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	233,095,776	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	(11,698,246)	0	0
24 EMPLOYEE BENEFIT PROGRAMS 24	60,868,660	2,218	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	11,632,627	0	0
26 OTHER DEDUCTIONS	157,442,205	2,398	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	1,107,753,681	180,787	0

28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT. . 28

29 LESS: A. NET OPERATING LOSS DEDUCTION . . . . 29A

30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . . 30

B. SPECIAL DEDUCTIONS (SCHEDULE C). . .29B

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539, 353, 808

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539,070,308

283,500

283,500

1,592,960

1,592,960

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## 2007 CONS. FEDERAL 1120 TAX RETURN

*** 1120 - U.S. CORPORATION INCOME TAX RETURN ***  **** 1120 - U.S. CORPORATION INCOME TAX RETURN ***  **** 1120 - U.S. CORPORATION INCOME TAX RETURN ***  **** 1120 - U.S. CORPORATION INCOME TAX RETURN ***  **** 1120 - U.S. CORPORATION INCOME TAX RETURN ***  **** 1120 - U.S. CORPORATION INCOME TAX RETURN ***  **** 120 - U.S. CORPORATION INCOME TAX RETURN ***  *	2007 GROUP Year: 2007			
TOTAL ASSETS  1A GROSS RECEIPTS OR SALES. 1A 0 13,242,988,071 6,012,023  1B AGROSS RECEIPTS OR SALES. 1B 0 70,663,626 (17,232,529)  B LESS RETURNS AND ALLOWANCES. 1B 0 27,046,135 (17,232,529)  C COST OF GOODS SOLD (SCHEDULE A) . 2 0 27,046,135 (17,232,529)  1 DROSS PROFIT (LINE 1(C) LESS LINE 2)		r LLC D	regrsev	e retalu sale
TOTAL ASSETS  1A GROSS RECEIPTS OR SALES. 1A 0 13,242,988,071 6,012,023  1B AGROSS RECEIPTS OR SALES. 1B 0 70,663,626 (17,232,529)  B LESS RETURNS AND ALLOWANCES. 1B 0 27,046,135 (17,232,529)  C COST OF GOODS SOLD (SCHEDULE A) . 2 0 27,046,135 (17,232,529)  1 DROSS PROFIT (LINE 1(C) LESS LINE 2)				
TOTAL ASSETS   0   3,242,988,071   6,012,823   1A GROSS RECEIPTS OR SALES.   1A   0   (3,663,626)   (17,232,529)   B LESS RETURNS AND ALLOMANCES.   1B   0   0   (3,663,626)   (17,232,529)   2 COST OF GOODS SOLD (SCHEDULE A)   2   0   27,046,135   (17,232,529)   2 COST OF GOODS SOLD (SCHEDULE A)   2   0   27,046,135   (17,232,529)   3 GROSS FROFIT (LINE 1(C) LESS LINE 2)   3   0   (30,709,761)   (17,232,529)   4 DIVIDENDS (SCHEDULE C)   4   0   0   0   0   0   5 INTEREST.   5   0   5,128,250   58,846   6 GROSS RENTS   6   0   (743,515)   0   0   7 GROSS ROYALTIES   7   0   0   0   0   0   8 CAPITAL GAIN NET INCOME (SCHEDULE D)   8   0   19,271,480   0   0   9 NET GAIN (LOSS) FROM FORM 4797   9   0   922,314   0   0   10 OTHER INCOME (LINES 3 THROUGH 10)   > 11   0   99,513,640   (17,173,683)   11 TOTAL INCOME (LINES 3 THROUGH 10)   > 11   0   99,513,640   (17,173,683)   12 COMPENSATION OF OFFICERS (SCHEDULE E)   12   0   0   3,485,060   0   14 REPAIRS   14   0   122,958   0   15 GADDETS   15   0   3,485,060   0   0   16 RENTS   16   0   2,098,781   0   17 TAMES   17   0   (8,439,454)   7,844   18 INTEREST   18   0   796,203   1,846,466   19 CONTRIBUTIONS (SEE INSTRUCTIONS)   19   0   14,670,538   0   20 DEFRECIATION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSERHERE   20   0   0   0   0   21 DEPLETION   21   0   5,51,939   0   22 ADMENTISING   22   0   0   5,51,939   0   23 PENSION, PROFIT SHARING, ETC PLANS   23   0   5,51,939   0   24 EMPLOYEE BENEFIT FROGRAMS   24   0   1,531,944   23,517   25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION   26   0   12,301,122   159,921   27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)   >27   0   29,193,479   2,097,672   28 TANABLE INCOME BEFORE NOL & SPECIAL DEDUCTION   298   0   0   0   0   0 C. TOTAL . 290   0   0   0   0   0   0 C. TOTAL . 290   0   0   0   0   0   0 C. TOTAL . 290   0   0   0   0   0   0 C. TOTAL . 290   0   0   0   0   0   0 C. TOTAL . 290   0   0   0   0   0   0 C. TOTAL . 290   0   0   0   0   0   0 C. TOTAL . 290   0   0   0   0   0   0 C. TOTAL . 290   0	**********	*****	*****	
TOTAL ASSETS  1A GROSS RECEIPTS OR SALES. 1A B LESS RETURNS AND ALLOMANCES. 1B B LESS RETURNS AND ALLOMANCES. 1B B LESS RETURNS AND ALLOMANCES. 1B BALANCE > 1C C COST OF GOODS SOLD (SCHEDULE A)	* 1120 - U.S. CORPORATION INCOME	TAX RETUR	1 *	
A GROSS RECEIPTS OR SALES.	**********	*****	*****	
1	TOTAL ASSETS	0	3,242,988,071	6,012,823
B LESS RETURNS AND ALLOWANCES	1A GROSS RECEIPTS OR SALES 1A	0		
2 COST OF GOODS SOLD (SCHEDULE A)	B LESS RETURNS AND ALLOWANCES 1B	0		
2 COST OF GOODS SOLD (SCHEDULE A)	BALANCE >1C	0	(3, 663, 626)	(17, 232, 529)
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)		0		· · · · · ·
4 DIVIDENDS (SCHEDULE C)	3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0		(17, 232, 529)
S INTEREST.	4 DIVIDENDS (SCHEDULE C)4	0		
7 GROSS ROYALTIES		0	5,128,250	58,846
8 CAPITAL GAIN NET INCOME (SCHEDULE D)	6 GROSS RENTS	0	(743, 515)	0
9 NET GAIN (LOSS) FROM FORM 4797	7 GROSS ROYALTIES	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	19,271,480	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 0 99,513,640 (17,173,683) DEDUCTIONS  12 COMPENSATION OF OFFICERS (SCHEDULE E) . 12 0 0 0 0 0 13 SALARIES AND WAGES	9 NET GAIN (LOSS) FROM FORM 4797	0	922,314	0
DEDUCTIONS  12 COMPENSATION OF OFFICERS (SCHEDULE E) 12 0 0 0 0 0  13 SALARIES AND WAGES 13 0 2,014,348 49,924  14 REPAIRS 14 0 182,958 0  15 BAD DEBTS 15 0 3,485,060 0  16 RENTS 16 0 2,098,781 0  17 TAXES 17 0 (8,439,454) 7,844  18 INTEREST 18 0 796,203 1,846,466  19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19 0 14,670,538 0  20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSEWHERE 20 0 0 0 0 0 0  21 DEPLETION 21 0 0 0 0 0 0  22 ADVERTISING 22 0 0 0 0 0 0 0  23 PENSION, PROFIT SHARING, ETC PLANS 23 0 551,939 0  24 EMPLOYEE BENEFIT PROGRAMS 24 0 1,531,984 23,517  25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25 0 0 12,301,122 159,921  27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26) >27 0 29,193,479 2,087,672  28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28 0 70,320,161 (19,261,355)  29 LESS: A. NET OPERATING LOSS DEDUCTION 29A 0 0 0 0 0  B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B 0 0 0 0 0 0  C. TOTAL	10 OTHER INCOME (ATTACH SCHEDULE)	0	105,644,872	9
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12 0 0 0 0 0 0 1 1 1 1 1 1 1 1 1 1 1 1	11 TOTAL INCOME (LINES 3 THROUGH 10)>11	0	99,513,640	(17, 173, 683)
13 SALARIES AND WAGES. 13 0 2,014,348 49,924 14 REPAIRS 14 0 182,958 0 15 BAD DEBTS 15 0 3,485,060 0 16 RENTS 16 0 2,098,781 0 17 TAXES 17 0 (8,439,454) 7,844 18 INTEREST. 18 0 796,203 1,846,466 19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19 0 14,670,538 0 20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSEWHERE 20 0 0 0 0 0 21 DEPLETION 21 0 0 0 0 0 22 ADVERTISING 22 0 0 0 0 0 0 23 PENSION, PROFIT SHARING, ETC PLANS 23 0 551,939 0 24 EMPLOYEE BENEFIT PROGRAMS 24 0 1,531,984 23,517 25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25 0 0 0 0 0 26 OTHER DEDUCTIONS (LINES 12 THROUGH 26) >27 0 29,193,479 2,087,672 28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28 0 70,320,161 (19,261,355) 29 LESS: A. NET OPERATING LOSS DEDUCTION 29A 0 0 0 0 0 B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B 0 0 0 0 0 0	DEDUCTIONS			
14 REPAIRS       14       0       182,958       0         15 BAD DEBTS       15       0       3,485,060       0         16 RENTS       16       0       2,098,781       0         17 TAXES       17       0       (8,439,454)       7,844         18 INTEREST       18       0       796,203       1,846,466         19 CONTRIBUTIONS (SEE INSTRUCTIONS)       19       0       14,670,538       0         20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON       0       0       0       0         21 DEPLETION       21       0       0       0       0         22 ADVERTISING       22       0       0       0       0         23 PENSION, PROFIT SHARING, ETC PLANS       23       0       551,939       0         24 EMPLOYEE BENEFIT PROGRAMS       24       0       1,531,984       23,517         25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION       25       0       0       0         26 OTHER DEDUCTIONS       (LINES 12 THROUGH 26)       >27       0       29,193,479       2,087,672         28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT       28       0       70,320,161       (19,261,355)         29 LESS: A. NET OPERATING LOSS DEDUCTION	12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
15 BAD DEBTS	13 SALARIES AND WAGES	0	2,014,348	49,924
16 RENTS	14 REPAIRS	0	182,958	0
17 TAXES	15 BAD DEBTS	0	3,485,060	0
18 INTEREST	16 RENTS	0	2,098,781	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	17 TAXES	0	(8, 439, 454)	7,844
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON SCHEDULE A OR ELSEWHERE	18 INTEREST	0	796,203	1,846,466
SCHEDULE A OR ELSEWHERE	19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19	0	14,670,538	0
21 DEPLETION	20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
22 ADVERTISING	SCHEDULE A OR ELSEWHERE	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS	21 DEPLETION	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS		0	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION	23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	551,939	0
26 OTHER DEDUCTIONS			1,531,984	23,517
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27		=	0	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28 0 70,320,161 (19,261,355) 29 LESS: A. NET OPERATING LOSS DEDUCTION			12,301,122	159,921
29 LESS: A. NET OPERATING LOSS DEDUCTION	TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	29,193,479	2,087,672
B. SPECIAL DEDUCTIONS (SCHEDULE C)29B 0 0 0 0 0 C. TOTAL		_	70,320,161	(19, 261, 355)
C. TOTAL	29 LESS: A. NET OPERATING LOSS DEDUCTION		0	0
•	B. SPECIAL DEDUCTIONS (SCHEDULE C)29B	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30 0 70,320,161 (19,261,355)		_	· ·	0
	30 TAXABLE INCOME (LINE 28 LESS 29C) 30	0	70,320,161	(19, 261, 355)

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP

2007 GROUP			
Year: 2007	00000000000000000000000000000000000000		Salarin Marian Company
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*********	**********	*****	
* 1120 - U.S. CORPORATION	INCOME TAX RETURN	*	
***********	*****	****	
MODEL ADDRESS			
TOTAL ASSETS	4,096,701,360	100,665,843	0
1A GROSS RECEIPTS OR SALES 1A	15,537,779	539,823,681	0
B LESS RETURNS AND ALLOWANCES 18	0	0	0
BALANCE >1C	15,537,779	539,823,681	0
2 COST OF GOODS SOLD (SCHEDULE A)	(396, 054, 377)	580,519,819	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	411,592,156	(40,696,138)	0
4 DIVIDENDS (SCHEDULE C)4	0	0	0
5 INTEREST	1,000,320	5,712	0
6 GROSS RENTS	(1, 135, 743)	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D)	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	(12, 526)	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	76,860,421	545,066,679	0
TOTAL INCOME (LINES 3 THROUGH 10)>11	488,304,628	504,376,253	0
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	0
13 SALARIES AND WAGES	244,211,337	173,047,874	0
15 BAD DEBTS	9,130,386	7,329,512	0
16 RENTS	0	0	0
17 TAXES	58,539,485 18,871,875	28,819,068	0
18 INTEREST	(1,960,905)	7,605,732 8,882,532	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	341,998	(33,885)	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON	341,390	(33,003)	0
SCHEDULE A OR ELSEWHERE	17,320,154	457,149	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	407	0
23 PENSION, PROFIT SHARING, ETC. PLANS	(21,980,366)	33,948,284	0
24 EMPLOYEE BENEFIT PROGRAMS	41,941,013	69,500,339	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	47,345,781	199, 371, 921	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	413,760,758	528,928,933	
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT . 28	74,543,870	(24,552,680)	0
29 LESS: A. NET OPERATING LOSS DEDUCTION	2,717,670	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)	2,717,070	0	0
C. TOTAL	2,717,670	0	0
30 TAXABLE INCOME (LINE 20 LESS 29C) 30	71,826,200	(24,552,680)	0
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## 2007 CONS. FEDERAL 1120 TAX RETURN

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• 1120 - U.S. CORPORATION INC	COME TAX RETURN	•	
*********	******	*****	
TOTAL ASSETS	848,476	(68, 605, 135)	149,530,329
1A GROSS RECEIPTS OR SALES 1A	0	0	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	0	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	0	(1,126,840)	844,937
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0	1,126,840	(844,937)
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	0	301,240	5,364,437
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	(2,411,266)
9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	0	2,675,737	(1,744,469)
11 TOTAL INCOME (LINES 3 THROUGH 10) >11	0	4,103,817	363,765
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	199,353	815,870
14 REPAIRS	0	0	17,040
15 BAD DEBTS	0	0	0
16 RENTS	0	0	72,936
17 TAXES	0	171,036	(167, 363)
18 INTEREST	0	0	10,069,020
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	59
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	8,151
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	0	0	(48,736)
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	51,140	867,579
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	421,529	11,634,556
28 TAXABLE INCOME BEFORE NOL 6 SPECIAL DEDUCT 28	0	3,682,288	(11,270,791)
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	0	3,682,288	(11,270,791)

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP

100 ¥ 100 ×	KE-CADENCE DU	KENET Du	ke-Reliant R
***********	******	****	
* 1120 - U.S. CORPORATION I	NCOME TAX RETURN	*	
*********	*****	****	
TOTAL ASSETS	33,043	106,816,633	30,090,162
1A GROSS RECEIPTS OR SALES	0	47,502,794	(
B LESS RETURNS AND ALLOWANCES 1B	0	0	(
BALANCE >1C	0	47,502,794	(
2 COST OF GOODS SOLD (SCHEDULE A)	0	18,144,887	2,167
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0	29,357,907	(2,167)
4 DIVIDENDS (SCHEDULE C)	0	0	(
5 INTEREST	0	0	1,728,556
6 GROSS RENTS	0	0	(
7 GROSS ROYALTIES	0	0	C
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	(
9 NET GAIN (LOSS) FROM FORM 4797	0	(126,762)	(17,734)
0 OTHER INCOME (ATTACH SCHEDULE)	33,043	0	694,878
1 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	33,043	29,231,145	2,403,53
2 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	
3 SALARIES AND WAGES	0	776,422	21:
4 REPAIRS	0	15,228	21:
5 BAD DEBTS	0	15,220	(
.6 RENTS	0	298,040	(
7 TAXES	150	1,739,739	(802,297)
8 INTEREST	261,813	87,701	(002,237)
9 CONTRIBUTIONS (SEE INSTRUCTIONS)19	0	1,141	
O DEPRECIATION FROM FORM 4562 NOT CLAIMED ON	-	~/ * * *	`
SCHEDULE A OR ELSEWHERE	0	10,096,598	(
1 DEPLETION	0	0	. (
2 ADVERTISING	0	0	(
3 PENSION, PROFIT SHARING, ETC. PLANS 23	0	(126,886)	
4 EMPLOYEE BENEFIT PROGRAMS	0	76,798	101
5 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	(
6 OTHER DEDUCTIONS	0	14,000	(25,000)
7 TOTAL DEDUCTIONS (LINES 12 THROUGH 26) >27	261,963	12,968,781	(826, 981)
8 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	(228, 920)	16,262,364	3,230,510
9 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	(
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	(
C. TOTAL	0	0	
O TAXABLE INCOME (LINE 28 LESS 29C) 30	(228, 920)	16,262,364	3,230,514
	,,	,,	-,, 51.

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP

2007 GROUP Year: 2007			
DOK	E≒CADENCE DU	KENET Du	ke=Reliant R:
**********	******	*****	
• 1120 - U.S. CORPORATION II	NCOME TAX RETURN	*	
*************	******	****	
TOTAL ASSETS	33,043	106,816,633	30,090,162
1A GROSS RECEIPTS OR SALES	0	47,502,794	0
B LESS RETURNS AND ALLOWANCES 18	0	0	0
BALANCE >1C	0	47,502,794	0
2 COST OF GOODS SOLD (SCHEDULE A)	0	18,144,887	2,167
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)3	0	29,357,907	(2,167)
4 DIVIDENDS (SCHEDULE C)4	0	0	0
5 INTEREST	0	0	1,728,556
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	. 0
B CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	0	(126,762)	(17,734)
10 OTHER INCOME (ATTACH SCHEDULE)	33,043	0	694,878
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	33,043	29,231,145	2,403,533
12 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	0
13 SALARIES AND WAGES	0	776,422	215
14 REPAIRS	0	15,228	0
15 BAD DEBTS	0	0	0
16 RENTS	0	298,040	0
17 TAXES	150	1,739,739	(802,297)
18 INTEREST	261,813	87,701	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)19	0	1,141	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE 20	0	10,086,598	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	(126, 886)	0
24 EMPLOYEE BENEFIT PROGRAMS	0	76,798	101
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	14,000	(25,000)
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	261,963	12,968,781	(826, 981)
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	(228, 920)	16,262,364	3,230,514
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0

30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . . . 30 (228,920) 16,262,364 3,230,514

## 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP

(ear: 2007 Service de la companya de la companya de la companya de la companya de la companya de la companya de la company	Manager (1975) to the condense of		Park Salaran Commission
DU	KETEC EAS	TOVER LAND EA	STOVER MINE
**********	*****	****	
* 1120 - U.S. CORPORATION I	NCOME TAX RETURN	*	
************	*******	****	
TOTAL ASSETS	48,221,109	1,746,628	(3,650,973
1A GROSS RECEIPTS OR SALES	1,209,007	0	
B LESS RETURNS AND ALLOWANCES 1B	0	0	
BALANCE >1C	1,209,007	0	22 - 22
2 COST OF GOODS SOLD (SCHEDULE A)	0	0	7,222,64
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)3	1,209,007	0	(7,222,648
4 DIVIDENDS (SCHEDULE C)	0	0	
5 INTEREST	351,129	0	
6 GROSS RENTS	0	0	
7 GROSS ROYALTIES	0	0	
8 CAPITAL GAIN NET INCOME (SCHEDULE D)8	0	0	
9 NET GAIN (LOSS) FROM FORM 4797	0	0	
O OTHER INCOME (ATTACH SCHEDULE)	0	0	
1 TOTAL INCOME (LINES 3 THROUGH 10)>11	1,560,136	0	(7,222,648
DEDUCTIONS			
2 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	
3 SALARIES AND WAGES	0	0	
4 REPAIRS	0	0	
5 BAD DEBTS	0	0	
6 RENTS	0	0	
7 TAXES	250	0	
8 INTEREST	494,658	0	
9 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	
O DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	
1 DEPLETION	0	0	
2 ADVERTISING	0	0	
3 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	
4 EMPLOYEE BENEFIT PROGRAMS	0	0	
5 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	
6 OTHER DEDUCTIONS	1,001,775	0	(2,308,458
7 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	1,496,683	0	(2,308,458
8 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	63,453	0	(4,914,190
9 LESS: A. NET OPERATING LOSS DEDUCTION 29A	0	0	
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	
C. TOTAL	0	0	
O TAXABLE INCOME (LINE 28 LESS 29C) 30	63,453	0	(4,914,190

### 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP

Year: 2007	The state of the s		
	TC TABLE DRUF	1 COGEN GREENVI	LLE
1	HARD MACHINES AND DESIGNATIONS OF THE PROPERTY	2003-112-2-2002-01 (SECTION 100)	7 (1979), 102 (1983)
* 1120 - U.S. CORPORATION :			
***************************************			
TOTAL ASSETS	(12,588,648)	0	0
1A GROSS RECEIPTS OR SALES	0	0	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	0	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	0	0	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)3	0	0	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	0	0	0
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D)	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797 9	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	0	0	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11	0	0	0
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	0	0
14 REPAIRS	0	0	0
15 BAD DEBTS	0	0	0
16 RENTS	0 .	0	0
17 TAXES	0	0	0
18 INTEREST	0	0	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS 24	0	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	0	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	0	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	, 0	0	0
29 LESS: A. NET OPERATING LOSS DEDUCTION 29A	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	. 0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	0	0	0

# 2007 CONS. FEDERAL 1120 TAX RETURN

2007 CONS. FEDER	RAL 1120 TAX RETURN	,	
2007 GROUP Year: 2007	Regulated	Regulated	
real. 2007	KO TRANSMISSIO I		UPPLYXES!
***************	******	*****	
* 1120 - U.S. CORPORATI			
**********	*******	*****	
TOTAL ASSETS	3,061,332	62,351	6,893,800
1A GROSS RECEIPTS OR SALES 1A	1,648,397	49,839	(
B LESS RETURNS AND ALLOWANCES 18	0	0	(
BALANCE >1C	1,648,397	49,839	0
2 COST OF GOODS SOLD (SCHEDULE A)	271,132	269	C
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	1,377,265	49,570	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	535	0	C
6 GROSS RENTS	0	0	C
7 GROSS ROYALTIES	0	0	C
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	C
9 NET GAIN (LOSS) FROM FORM 4797	0	0	76,114
10 OTHER INCOME (ATTACH SCHEDULE) 10	62,219	0	(
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	1,440,019	49,570	76,11
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	(
13 SALARIES AND WAGES	104,956	20	(
14 REPAIRS	592	0	(
15 BAD DEBTS	0	0	(
16 RENTS	7,695	0	(
17 TAXES	70,408	31,699	(
18 INTEREST	(7,840)	0	(
19 CONTRIBUTIONS (SEE INSTRUCTIONS) 19	. 29	0	(
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	279,533	659	(
21 DEPLETION	0	0	(
22 ADVERTISING	0	0	(
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	C
24 EMPLOYEE BENEFIT PROGRAMS	69,860	9	C
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	C
26 OTHER DEDUCTIONS	150,466	0	C
TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	675,699	32,387	0
8 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	764,320	17,183	76,114
9 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	C
B. SPECIAL DEDUCTIONS (SCHEDULE C)	0	0	0
C. TOTAL	0	0	C
30 TAXABLE INCOME (LINE 28 LESS 29C) 30	764,320	17,183	76,114
	Regulated	Regulated	

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#### 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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\* 1120 - U.S. CORPORATION INCOME TAX RETURN \*

*********	******	****	
TOTAL ASSETS	115,667,701	(14,648,824)	851,208,286
1A GROSS RECEIPTS OR SALES 1A	54,867,871	22	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	54,867,871	22	0
2 COST OF GOODS SOLD (SCHEDULE A)	42,868,096	762,583	2,563,436
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)3	11,999,775	(762,561)	(2,563,436)
4 DIVIDENDS (SCHEDULE C)4	0	0	0
5 INTEREST	0	0	62,355
6 GROSS RENTS	0	o	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	3,766,367	0	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11	15,766,142	(762,561)	(2,501,081)
DEDUCTIONS			
12 COMPENSATION OF OFFICERS (SCHEDULE E) 12	0	0	0
13 SALARIES AND WAGES	0	136,807	3,207,112
14 REPAIRS	0	0	0
15 BAD DEBTS	0	C	0
16 RENTS	0	4,281	0
17 TAXES	0	250,332	57,488,965
18 INTEREST	0	0	749
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE 20	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	O	0	7,588,050
24 EMPLOYEE BENEFIT PROGRAMS	O	3,619	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	5,803,807	2,941	4,102,336
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	5,803,807	397,980	72,387,212
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	9,962,335	(1,160,541)	(74,888,293)
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0
30 TAXABLE INCOME (LINE 28 LESS 29C) , 30	9,962,335	(1,160,541)	(74,888,293)

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#### 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP

2007 GROUP Year: 2007			
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***********	******	**	
* 1120 - U.S. CORPORATION INCOME	E TAX RETURN	*	
***********	*****	**	
TOTAL ASSETS	0	(71)	0
1A GROSS RECEIPTS OR SALES	0	0	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	0	0	
2 COST OF GOODS SOLD (SCHEDULE A)	0	0	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2) 3	0	0	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
5 INTEREST	0	0	0
6 GROSS RENTS	0	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE)	0	0	C
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	0	0	C
12 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	0
13 SALARIES AND WAGES	0	0	C
14 REPAIRS	0	υ	C
15 BAD DEBTS	0	0	0
16 RENTS	0	0	0
17 TAXES	0	0	0
18 INTEREST	0	0	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	0	0	C
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	C
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	0	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	0	0	0
TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	0	0	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	0	0	0
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C) 29B	0	0	0
C. TOTAL	0	0	0
BO TAXABLE INCOME (LINE 28 LESS 29C) 30	0	0	0

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#### 2007 CONS. FEDERAL 1120 TAX RETURN

2007 GROUP Year: 2007

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**********	*****		at the second section and
* 1120 - U.S. CORPORATION II	NCOME TAX RETURN *	•	
********	******	,	
TOTAL ASSETS	49,621,319	0	0
1A GROSS RECEIPTS OR SALES	0	0	0
B LESS RETURNS AND ALLOWANCES 1B	0	0	0
BALANCE >1C	0	0	0
2 COST OF GOODS SOLD (SCHEDULE A)	0	0	0
3 GROSS PROFIT (LINE 1(C) LESS LINE 2)	0	0	0
4 DIVIDENDS (SCHEDULE C)	0	0	0
S INTEREST	2	0	0
6 GROSS RENTS	214,383	0	0
7 GROSS ROYALTIES	0	0	0
8 CAPITAL GAIN NET INCOME (SCHEDULE D) 8	0	0	0
9 NET GAIN (LOSS) FROM FORM 4797	0	0	0
10 OTHER INCOME (ATTACH SCHEDULE) 10	7,764	0	0
11 TOTAL INCOME (LINES 3 THROUGH 10)>11 DEDUCTIONS	222,149	0	. 0
12 COMPENSATION OF OFFICERS (SCHEDULE E)	0	0	0
13 SALARIES AND WAGES	118,435	0	0
14 REPAIRS	1,881	0	0
15 BAD DEBTS	0	0	0
16 RENTS	35,895	0	0
17 TAXES	9,765	0	0
18 INTEREST	3,580	0	0
19 CONTRIBUTIONS (SEE INSTRUCTIONS)	175	0	0
20 DEPRECIATION FROM FORM 4562 NOT CLAIMED ON			
SCHEDULE A OR ELSEWHERE	0	0	0
21 DEPLETION	0	0	0
22 ADVERTISING	0	0	0
23 PENSION, PROFIT SHARING, ETC. PLANS 23	0	0	0
24 EMPLOYEE BENEFIT PROGRAMS	22,650	0	0
25 DOMESTIC PRODUCTION ACTIVITIES DEDUCTION 25	0	0	0
26 OTHER DEDUCTIONS	164,985	0	0
27 TOTAL DEDUCTIONS (LINES 12 THROUGH 26)>27	357,366	0	0
28 TAXABLE INCOME BEFORE NOL & SPECIAL DEDUCT 28	(135, 217)	0	0
29 LESS: A. NET OPERATING LOSS DEDUCTION	0	0	0
B. SPECIAL DEDUCTIONS (SCHEDULE C)	0	0	0

(135,217)

30 TAXABLE INCOME (LINE 28 LESS 29C) . . . . . . 30

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Cinergy Corp.

Adjustments

Combination Elimination

Combined

Conn	
Cinergy	

1 b Less returns and allowances 1 c Balance 2 Cost of goods sold 3 Gross profit 4 Dividends 5 Interest 6 Gross rante	1,0/0,44/,164.	-107,395,418.	1.563.051.746.
1 c Balance 2 Cost of goods sold 3 Gross profit 4 Dividends 5 Interest 6 Gross rante			
	1,670,447,164.	-107, 395, 418.	1, 563, 051, 746,
	781,807,800.	-31,498,294.	750.309.506.
	888, 639, 364.	-75,897,124.	812,742,240,
	72,000.		72, 400
	78,748,752.	-58, 269, 149.	20.479.603.
1	7,412,914.	-5,112,794.	2,300,120,
7 Gross royalties			
	8,821,922.		8,821,922.
9 Net gain or (loss)			
	918,711.		918,711,
10 Other income	89,408,230.	-97,822,917,	-8.414.687.
11 Total income	1,074,021,893.	-237, 101, 984.	836 919 909
12 Compensation of	-	4	
13 Salaries and wages	88,313,967.	-63,974,000.	24, 339, 967.
14 Repairs and maintenance	5,788,164.	-1,624,207.	4, 163, 957,
15 Bad debts	4,939,145.	-46.	4, 939, 199.
- 1	27,578,770.	-19,711,097.	7, 867, 673,
1	72,858,835.	-4,750,348.	68,106,487,
- 1	141,948,679.	-57,866,475.	84, 082, 204,
19 Charitable contributions	5,229,849.	46,807.	5, 276, 656.
20a Depreciation	109,972,459.		109, 972, 459
20b Less depreciation			
ပါ	109, 972, 459.		109, 972, 459,
			107771671011
22 Advertising	144,129.	-21,101.	123.028
23 Pension, protit-sharing, etc., plans			1 / L V L V L V L V L V L V L V L V L V L
	64,885,428.	-21,368,143.	43, 517, 285,
- 1	3,405,148.		3, 405, 148,
	361, 671, 690.	9,302,064.	370,973,754,
	886, 736, 263.	-159,966,546.	726,769,117,
28 Taxable income before			
NOL & Spec. Deductions	187, 285, 630.	-77,135,438.	110.150.192.
ام	50,400.		50,400,
30 Taxable income	187,235,230.	-77, 135, 438.	110, 099, 792,

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	Cinergy Corp.	Brownsville Power I LLC	Caledonia Power I LLC	Cinergy Centrus Communications, Inc.	Cinergy Centrus, Inc.	Cinergy Energy Solutions, Inc.	Cinergy Engineering, Inc	Cinergy EPCOM College Park LLC
Consolidated Schedules								
1120 Page 1	31-1385023	DIVISION	DIVISION	31-1624694	31-1665377	31-1758230	31-1521743	NOISIAIG
1 a Gross receipts or sales	16,613.							
1 b Less returns and allowances								
1 c Balance	16, 613.							
2 Cost of goods sold	106,570.	242,014.	338,464.					
3 Gross profit	-89,957.	-242,014,	-338, 464.					
4 Dividends								
5 Interest	20,569,278.	321,449.	531,848.					
6 Gross rents								
7 Gross royaltles								
8 Capital gain net	-							
from Form 4797								-
10 Other income	103,407,267.		471.					
11 Total Income	123,886,588.	79,435.	193,855.					
12 Compensation of								
	1,096,402.	4,360.	4,318.					
14 Repairs and maintenance	10,802.	193.	193.					
15 Bad debts								
16 Rents	331,901.	1,724.	2,228.				The state of the s	
17 Taxes and licenses	241,358.	5,118,	6,440.	.03			50,	
18 Interest	17,175,101.	1,891,386.	1,933,004.					5,454
19 Charitable contributions	4,844,396.							
20a Depreciation	153,570,	3,742,632.	3,839,235.			٠		
20b claimed elsewhere								
20 c Net depreciation	153, 570.	3,742,632.	3,839,235.					
21 Depletion								
.22 Advertising								
23 Pension profit-sharing, etc., plans								
24 Employee benefit	368, 616.	29, 180.	35,743.					
	18,244,658.	60,124.	. 896, 968.					-
27 Total deductions	42,466,804.	5,734,717.	5,888,129.	.05	and the second s		50.	5,454
28 Taxable income before								-
NOL & Spec. Deductions	81,419,784.	-5,655,282,	-5,694,274.	-50.			-50,	-5,454
29 a NOL deduction								and the second s
29b Special deductions					THE RESERVE THE PROPERTY OF TH			
30 Taxable income	81,419,784.	-5, 655, 282.	-5, 694, 274,	-50,			-50,	-5,454
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	Cinergy e-Supply	Cinergy GASCO	Cinergy Global	Cinergy Global	Cinergy Global	Cinergy Global	Cinergy Investments Inc	Cinergy
								Trading LP
Consolidated Schedules								3
1120 Page 1	DIVISION	DIVISION	31-1637639	31-1637643	31-1573140	31-1600199	31-1421190	76-0483617
1 a Gross receipts or sales		124,968.						-44,459,266
1 b Less returns and allowances								
1 c Balance		124,968.						-44,459,266
2 Cost of goods sold		224,464.		-	12.	9,360.	15,512.	17,373
3 Gross profit	-	-99,496.			-12.	-9,360.	-15,512.	-44,476,639
4 Dividends					*		The state of the s	
5 Interest	575.				320,726.	2,099,308.	20,312,965.	1,311,873
6 Gross rents								
7 Gross royalties								
8 Capital gain net								
9 Net gain or (loss)								
from Form 4797								
10 Other Income						-112,545.	-22,649,760.	5, 494
11 Total income	575.	-99,496.			320,714.	1,977,403.	-2,352,307.	-43,159,272
12 Compensation of								
13 Salaries and wages		1,847.			74,788.	51,088.	354,	5,784,725
14 Repairs and maintenance					289.	1,848.	486.	9,886
15 Bad debts	-							-495,567
16 Rents		346.			3,048.	35,411.	4,849.	514,187
17 Taxes and licenses		120.	2		6,155,	10,860.	792,	412,063
18 Interest	52,836.	184,218.			-41.	3,107,491.	19, 586, 798.	3,083,250
19 Charitable contributions								
20a Depreciation								43,789
20b claimed elsewhere								
20c Net depreciation								43,788
- 1								
22 Advertising								
23 Pension, profit-sharing, etc., plans			·					
24 Employee benefit		235.			-1,507,162.	1,578,451.	228.	1,206,761
- 1							-	
26 Other deductions		18,733.			-930,743.	-1,123,484.	3,277.	3,060,494
- 1	52,836.	205,499.			-2,353,666.	3,661,665.	19,596,784.	13,619,587
28 Taxable income before								
NOL & Spec. Deductions	-52, 261.	-304,995.			2,674,380.	-1,684,262.	-21,949,091.	-56,778,859
29 a NOL deduction	-							
29 b Special deductions								
30 Taxable income	-52,261.	-304,995.			2,674,380.	-1, 684, 262.	-21,949,091.	-56,778,859
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Consolidated Schedules 1120 Page 1 1 a Gross receipts or sales 1 b Less returns and allowances 1 c Balance 2 Cost of goods sold 3 Gross profit		Services LLC		Inc.	Inc.		Holding Company,	Philadelphia LLC
allowances								
s receipts or sales returns and allowances toe goods sold s profit		10:0:0:0				-	inc.	•
1 a Gross receipts or sales 1 b Less returns and allowances 1 c Balance 2 Cost of goods sold 3 Gross profit	31-1751104	DIVISION	31-1758336	31-1814220	31-1814221	31-1413899	31-1503859	31-1785993
1 b Less returns and allowances 1 c Balance 2 Cost of goods sold 3 Gross profit	736,951,	387,087.				218,005,926.	***************************************	796,019
	736,951,	387,087.				218,005,926.		796,019
	101,931.	244,714.				25, 499, 492.	2,205.	512,330
	635,020.	142,373.				192,506,434.	-2,205.	283, 689
5 Interest	5,142.	210,549,				648,049.	4,291,632.	55,276
7 Gross royaltles								
8 Capital gain net								
1								
from Form 4797								
10 Other Income						358,887,	-4,814,379.	-2
11 Total income	640.162	352, 922.				193,513,370.	-524,952.	338,963
1				The state of the s		7		
1	240,453.	2,170.				55,163,210.	104,098,	71,339
14 Repairs and maintenance	4,036.					1,624,207.	61,000.	2,432
15 Bad debts	2,324.					46.	3,490,	
16 Rents	37,675.	106.				11,410,312.	411,418.	13,937
17 Taxes and licenses	19,224.	4,530.				5, 605, 773,	84,302.	17,914
18 Interest	3, 631.	562,590.				256,961.	4,389,400.	26, 932
19 Charitable contributions		1,130.				1,168.		
20a Depreclation						3,392,109.		343
20b claimed elsewhere		-	1					
20c Net depreciation						3,392,109.		343
21 Depletion								
22 Advertising	32,059.					21,101.		
23 Pension, profit-sharing,   etc., plans							A Commission of the Commission	
24 Employee benefit	.606,86	31,109.		,		21,368,143.	104,968.	46,140
25 Domestic production activities								
26 Other deductions	192,532.	602.				98,741,006.	1, 168, 702.	91,961
27 Total deductions	630,843.	602,237.				197,584,036.	6, 327, 378.	270,998
28 Taxable income before								
NOL & Spec, Deductions	9,31.9.	-249,315.				-4,070,666.	-6,852,330.	67, 965
29 a NOL deduction		Account of the second s					the second secon	
29 b Special deductions								
30 Taxable income	9,319.	-249,315.				-4,070,666.	-6,852,330.	67,965

.Cinergy Cc. ..

	Cinergy	Cinergy	Cinergy Supply	Cinergy	Cinergy	Cinergy	Cinergy	Cinergy Two, Inc
	Solutions of Tuscola, Inc.	Solutions, Inc.	Network, Inc.	Technologies, Inc.	Technology, Inc.	Telecommunications ns Holding	Transportation LLC	
Consolidated Schedules	•					Company, I		
1120 Page 1	31~1624696	31-1735353	31-1582985	31-1734646	35-1861260	31-1480521	31-1725909	31-1756300
1 a Gross receipts or sales		Activities of the first Advantagements are successful to the first and the first are successful to the first are s						
	مد جواريد شده المراسطة والمستقدمة والمراسطة والمراسطة والمراسطة والمراسطة والمراسطة والمراسطة والمراسطة		and a second graph of the memory construction of the second particular and the second particular and the second					
1 c Balance						And the desire of the control of the		
2 Cast of goods sold	1,165,940.	490,221,	And the second s					
3 Gross profit	-1,165,940.	-490,221.					The second secon	
4 Dividends				A CONTRACTOR OF THE PARTY OF TH		A second and second second second second second second second second second second second second second second	AAA A TORAN I AAA AAA AAA AAA AAA AAA AAA AAA AAA	Trust of the spirit of the spi
5 Interest	571.	77,873.		1,211,216.	4.	321,412.		
6 Gross rents								
7 Gross royaltles								
8 Capital gain net								
9 Net gain or (loss)								
from Form 4797								
10 Other income		5,155,374.	-1,694,940,	-6,418,548,				
11 Total income	-1, 165, 369.	4,743,026.	-1,694,940.	-5,207,332.	4	321,412.		
12 Compensation of								
13 Salaries and wages	-1,271,576.	1,542,203.	2,282.			272.		
14 Repairs and maintenance	44,305.	12,402.						
15 Bad debts								
16 Rents	22, 983.	175,429.						
17 Taxes and licenses	33, 366,	158,163.	100,169.		50,	27,820.		
18 Interest	1,044,928.	2,861,312.	26,797,	1,224,529.	362.	405,808.		5
19 Charitable contributions						A THE PARTY OF THE		
20a Depreciation		1,213,						
20b claimed elsewhere								
20c Net depreciation		1,213.				The state of the s	ament in onest employing the first of the second section of the second second	
- 1								
22 Advertising								
23 Pension, profit-sharing, etc., plans								
24 Employee benefit	53,487.	502,233,	947,			113.		
25 Domestic production activities								
26 Other deductions	69,601,	8,174,287.	221,			17,		
27 Total deductions	-2,906.	13, 427, 242.	130,416.	1,224,529,	412.	434,030.		5
28 Taxable income before								
NOL & Spec. Deductions	-1,162,463.	-8,684,216.	-1,825,356.	-6,431,861.	-408.	-112,618.		-5
29 a NOL deduction								
29 b Special deductions								
30 Taxable income	-1,162,463.	-8,684,216.	-1,825,356.	-6,431,861.	-408.	-112,618.	Andrews and the state of the st	-5
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	Cinergy UK, Inc.	Cinergy Ventures LLC	Cinergy Wholesale Energy Inc.	Cinergy-Cadence, Inc.	Cinfec I LLC	Cinfec LLC	CSGP of Southeast Texas LLC	KO Transmission Company
Consolidated Schedules	31-1480522	DIVISLON	31-1742083	35~1803018	MOISIAID	52-2291457	DIVISION	31-1408986
1 a Gross receipts or sales								
1 b Less returns and anowances								449,481
o		60, 00					255,	60,219
2 Cost of goods sold		93, 10:				-	-255.	389,262
3 Gross profit		-89,187,						
4 Dividends					The state of the s	62,045.	347,397,	43
5 interest		149,967.	562,590.			-		
6 Gross rents								
7 Gross royalties								
B Capital gain net			A CONTRACTOR OF THE PARTY OF TH	Annual designation of the second seco				
1								a real and a second
from Form 4797			***************************************			-		3.026
10 Other Income			-149,553.			-1.	676 676	307 309
1		60,780.	413,037.			62,044.	397,192,	351356
							(1 d d d = 0.00)	32C V
		54.980.					.000,86	0077
		19 582					10,494.	
1								
		27 551					44,195.	6677
		10.702	-362,900.	150,		500.	12, 471.	2,245
	000 000	877 763	573,846.	60,369.		122, 648.	133, 195,	7
1	7,146,000.							
19 Charitable contributions		790 6						27,528
0a Depreciation		, FCB / 7						
20 b claimed elsewhere			The second secon			-		27,528
20 c Net depreciation		2,854.					Article Article Article Service (1 to 1 to 1 to 1 to 1 to 1 to 1 to 1 t	
21 Depletion								
22 Advertising								
23 etc., plans		C30 F6		and the statement of th			18,591.	18,855
- 1		. 500 / 17						
		200 61.					40,644.	17,442
1	1 120 000	1.141.384	210,946.	60,519.		123,148.	358,140.	78,832
27 jotal deductions	1 4 4 4 5 4 5 4 5 4 5 4 5 4 5 4 5 4 5 4	Japan - Japan			A CONTRACT OF THE PROPERTY OF			07 616
V8 laxable income before	178 888	-1.080,604.	202,091.	-60,519,	NONE	-61,104.	-10,998.	313,497
29 a NOL deduction		And the second s					and the state of t	
29 h Special deductions							999 44	213 265
As a special concession	178 888	-1,080,604.	202,091.	-60,519.	NONE	-61,104	-10, 998.	1,515
30 (axable incollic JSA \$C9080 1.000	.000,1027,17							Kegulated
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	Cinergy Cr. ).		Regulated	Rexolated	Rexolated Regulated		31-1385023		
Extraction   1,24,000   1,24,12,120   1,24,120   1,24		Lansing Grand River Otilities	Miami Power Corporation	The Cincinnati Gas & Electric	The Union Light, Heat & Power	Tri-State Improvement	Cinergy Solutions Demand	3036243 Nova Scotia Co.	Ohio River Valley Propane,
1, 12, 12, 12, 12, 12, 12, 12, 12, 12,	Consolidated Schedules	FPC		Company	Сомрапу	Company	Inc.		LLC
Control of State   Control of	1120 Page 1	DIVISION	31-6035703	31-0240030	31-0473080	31-0677812	31-1603247	DIVISION	DIVISION
turns         43 256         ESO 911, RG1         128, 294, BB         25, 284, BB           turns         40 256         (21,711, RB)         81, 825, St3         2, 129, 284, BB           turns         40 256         (21,711, RB)         45, 474, 641         1, 129, 734, BB           turns         40 256         (21,711, RB)         45, 474, 641         1, 129, 734, BB           turns         40 251, RB         45, 1715, BB         45, 1715, BB         20, 1715, BB           turns         40 251, RB         45, 1715, BB         45, 1715, BB         20, 1715, BB           turns         40 251, RB         45, 1715, BB         45, 1715, BB         45, 1715, BB           turns         40 251, RB         45, 1715, BB         45, 1715, BB         45, 1715, BB           turns         40 251, RB         41, 156, 233, BB         41, 156, 233, BB         41, 156, BB         41, 156, BB           turns         40 251, RB         41, 176, 178, BB         41, 176, BB         41, 176, BB         41, 176, BB         41, 176, BB           turns         40 251, RB         41, 176, RB         41, 176, RB         41, 176, RB         41, 176, RB         41, 176, RB           turns         40 251, RB         41, 176, RB         41, 176, RB         41, 176, RB	1 a Gross receipts or sales		-9,226.	850,941,601.	128,304,010.		939, 394.		68,972
Geometric   -3-2266   629-541-861   20-25-95-63   20-25-94-86   20-25-96-96   20-25-96-96   20-25-96-96   20-25-96   20	1 b Less returns and allowances						· · · · · · · · · · · · · · · · · · ·		
Protect   Prot	. 1 c Balance		-9,226.	850, 941, 601.	128,304,010.		939, 394.		68, 972
Total Contro	.			421,774,888.	82,829,363.		2,739,188.	The state of the s	2, 653
contact         17,200.         11,64,456.         81.           certs         13,299,126.         1,164,436.         81.           certs         13,290,126.         497,175.         56,133.           certs         13,290,126.         497,175.         56,133.           conditions         Notice (less)         8,221,222.           nincome         9,226.         6,690,645.         -231,296.         3,231,297.           nincome         -9,226.         4(1,650,33).         4(6,905,567.         3,231,197.         2,132,197.           nincome         -9,226.         4(1,650,33).         4(6,905,567.         3,231,197.         2,130,197.         2,132,197.           se and vocates         2,226.         14,150,107.         2,130,197.         2,130,197.         2,130,197.           se and vocates         2,226.         14,150,197.         3,121,197.         2,130,197.         1,130,197.           se and vocates         3,524,66.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,123,187.         3,1			-9,226.	429,166,713.	45,474,647,		-1,799,794.		66,319
1,254,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,436,   1,154,132,134,   1,154,132,134,   1,154,1				72,000.		de planting and de la company of the second grant by the company of the company o			
Notice   1,290,725   191775   56,115   191775				8,397,856.	1,164,436.	83.			41
Total black				3,390,725.	497,775.	56,118.		A THE RESERVE THE PROPERTY OF	
Action   A			-						
Term 4787   18,111				NONE		8,821,922.			
County 1997   County 1997								AND THE RESERVE THE PROPERTY OF THE PROPERTY O	
Income   Page	from Form 4797					918,711.	***************************************		
Page   Page				6, 608, 045.	-231,295.	-167,059.	***************************************		
ggs action of ggs atom of ggs			-9,226.	447, 635, 339.	46, 905, 563,	9, 629, 773.	-1,799,794.		66,361
se and wages         926.         14,146,038         3,217,002.         2,980.           rs and maintenance         1,319,516.         3,217,002.         2,980.           rs and maintenance         2,244,667.         44,889.         1,133,812.         1,816.           st and licenses         15,911.         4,184.99.         1,133,812.         1,686.         1,646.           st and licenses         18.4.         25,244,667.         4,133,812.         1,646.         24,064.           st and licenses         18.6.         313,131.         3,632,281.         1,646.         1,646.           st condition         465.         33,331,287.         5,920,289.         305,996.         1,646.           respectation         165.         33,331,287.         5,920,289.         305,996.         1,648.           control contributions         165.         33,331,287.         5,920,289.         305,996.         1,648.           control contributions         165.         33,331,287.         5,920,289.         305,996.         1,648.           properties         161         33,131,788.         1,237.         2,862.367.         1,386.           properties         1,427.27,869.         14,749,189.         1,4749,189.         1,386. <td></td> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>		2							
12.319.516   522.617   54.890   1.518.657   1.518.65			926,	14,746,038.	3,217,072.	2,980.			3,715
oblish         5.254,667         44,899.         1,816.         48.89           and licenses         15,811         41,818,433,02,         1,816.         24,664.           st         124,121         3,624,489         1,212.         24,664.           st         13,211         3,624,489         124,674         70,715.           stable contributions         184         22,035,773         3,372         124,674         70,715.           stable contributions         165         33,331,287         5,924,289         305,996         1,648           midel elisavines         165         33,331,287         5,924,289         305,996         1,648           on protectation         165         33,331,287         5,924,289         305,996         1,648           nition         12,006         2,926,289         3,031,375         1,237         2,848           plants         12,172         12,178         3,121,728         3,121,728         3,121,728         3,121,728           deductions         17,672         275,391,410         31,932,153         4,124,188         -1,38           deductions         26,896         122,237,869         14,333,164         9,187,263         -4,749,188         -138 <t< td=""><td></td><td></td><td></td><td>2,379,516.</td><td>522, 617.</td><td></td><td></td><td></td><td></td></t<>				2,379,516.	522, 617.				
and licentees         1,135,802, 1,135,802, 1,135,802, 1,135,802, 1,135,802, 1,135,802, 1,135,802, 1,137,1				5,254,667,	44,890.			e de la companya de l	
15,871   41,891,672   3,911,71   13,221   24,064   19,614   19,6				7,718,489,	1,153,802.	1,816.			977
est         184.         25,075,773.         3,662,488.         124,674.         70,715.           table contributions         165.         33,131,787.         5,928,289.         305,996.         1,648.           rable contributions         165.         33,331,287.         5,928,289.         305,996.         1,648.           rapid collection         165.         33,331,287.         5,928,289.         305,996.         1,648.           rapid collection         112,056.         2,406.         1,737.         1,737.         1,738.           rapid periodic contributions         142.         11,278,457.         -7,414.         2,852,967.         138.           deductions         1,672.         275,397,470.         31,972,599.         442,510.         2,949,394.         138.           le income before         -26,896.         172,237,869.         14,933,164.         9,187,263.         -4,749,188.         -138.           Regularity         Regularity         Regularity         2,187,263.         -4,749,188.         -138.	}		15,871.	41,897,672.	3,091,271.	13, 221.	24,064.	The second secon	282
table contributions  165. 33,331,287. 5,928,289. 305,996. 1,648.  Gegredation  Gegredation  165. 33,331,287. 5,928,289. 305,996. 1,648.  Free claim of the claim			184.	25,075,773.	3, 662, 488.	124,674.	70,715.		477
165.   33,331,287.   5,928,289.   305,996.   1,648.   1				131,173,	33,732.			- The second sec	***************************************
165, 33,331,287, 5,928,289, 305,996, 1,648.   1,648.			165.	33, 331, 287,	5,928,289.	305,996,	1,648.	ond ordered the state of the st	19,029
155, 33,31,287, 5,928,289. 305,996. 1,648.   1	20b claimed elsewhere								
tising protections activities as 12,056, 2,406.  12,056, 2,406.  12,056, 2,406.  12,056, 2,406.  12,056, 2,406.  1384, 17,566,524, 3,037,375, 1,237.  1384, 17,566,524, 3,037,375, 1,237.  142. 124,160,547, 11,218,4577,414, 2,852,967, 138.  deductions at vities activities activities activities at 172, 275, 397,470, 31,972,399, 442,510, 2,899,394, 138.  deductions at deductions activities at 14,933,164, 9,187,263, -4,749,188, -138.  Regulation	20c Net depreciation	, the second sec	165.	33, 331, 287.	5, 928, 289.	305, 996.	1,648.	entered to the state of the sta	19,029
tising profitchaning, On profitchaning, On profitchaning, On profitchaning, On profitchaning, On profitchaning, On profitchaning, On profitchaning, On profitchaning, Orders Orde				7					
On profit-chaning, on profit-chaning, on profit profits and profit profits are income before     384.     17,568,524.     3 (037,375.     1,237.       Lights benefit from before before before actually income before     142.     124,160,547.     11,278,457.     -7,414.     2,852,967.     138.       deductions before before before before before before before before conductions     -26,896.     172,237,869.     14,933,164.     9,187,263.     -4,749,188.     -138.       As Spec. Deductions     50,400.     14,933,164.     9,187,263.     -4,749,188.     -138.       Assignment     Regulation     Assignment     Regulation     -4,749,188.     -138.				12,056.	2,406.				
Agrical benefit         384.         17,568,524.         3,037,375.         1,237.           stic production activities         3,121,728.         3,121,728.         1,278,457.         -7,414.         2,652,967.         138.           deductions         17,672.         275,397,470.         31,972,399.         442,510.         2,949,394.         138.           deductions         -26,898.         172,237,869.         14,933,164.         9,187,263.         -4,749,188.         -138.           all electrotions         50,400.         26,400.         26,187.263.         4,749,188.         -138.           Asylinite         Regulation         Regulation         4,749,188.         -138.									
stite production activities     3,121,728.       stite production activities     142.     124,160,547.     11,278,457.     -7,414.     2,852,967.     138.       deductions     17,672.     275,397,470.     31,972,399.     442,510.     2,949,394.     130.       ale income     26,898.     172,237,869.     14,933,164.     9,187,263.     -4,749,188.     -138.       deductions     50,400.     50,400.     14,933,164.     9,187,263.     -4,749,188.     -138.       Ragulation     Ragulation     Regulation     Regulation     14,933,164.     9,187,263.     -4,749,188.     -138.			384.	17, 568, 524.	3,037,375.	1,237,			995
deductions         142.         124,160,547.         11,278,457.         -7,414.         2,852,367.         138.           deductions         17,672.         275,397,470.         31,972,399.         442,510.         2,949,394.         136.           alle income before         -26,898.         172,237,869.         14,933,164.         9,187,263.         -4,749,188.         -138.           deductions         50,400.         50,400.         4,749,188.         -4,749,188.         -138.           Asgulatic         Regulatic         Regulatic         Regulatic         8,510.460.         -4,749,188.         -138.				3,121,728.					
deductions         17,672,         275,397,470,         31,972,399,         442,510.         2,949,394,         128.           ale income         2.56,898         172,237,869,         14,933,164,         9,187,263.         -4,749,188.         -138.           deductions         50,400.         50,400.         14,933,164.         9,187,263.         -4,749,188.         -138.           Regulation         Regulation         Regulation         Action of the complexity of the comp			142.	124,160,547.	11,278,457.	-7,414.	2,852,967.	138.	11,167
A Spec. Deductions  4. Spec. Deductions  5. Spec. Deductions  4. Spec. Deductions  50, 400.  7. Spec. Deductions  1. Spec. Deductions			17,672,	275, 397, 470.	31,972,399.	442,510.	2,949,394.	138.	36,444
4. Spec. Deductions -26,898. 172,237,869. 14,933,164. 9,187,2634,749,188138. deduction 50,400. 172,187,469. 14,933,164. 9,187,2634,749,188138.									
deduction       50,400.         al deductions       -26,898.       172,187,469.       14,933,164.       9,187,263.       -4,749,188.       -138.         Asgulated       Regulated       Regulated       Regulated	NOL & Spec. Deductions		-26,898.	172,237,869.	14,933,164.	9,187,263.	-4,749,188.	-138.	716,25
al deductions 50,400.  -26,898. 172,187,469. 14,933,164. 9,187,2634,749,188138.  Regulated Regulated Regulated	29 a NOL deduction			and the state of t					
Nagulatid Regulated Regula	29 b Special deductions			50,400,					
Ragulated Regulated			-26,898.		14,933,164.	9,187,263.	-4,749,188.	-138.	29,917
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Cinergy Comp.						31-1385023	RESULATED	
	CSGP Services LF	CSGP Limited, LLC	CinFuel Resources, Inc.	Cinergy Limited Holdings, LLC	Cinergy General Holdings, LLC	Cinergy Ventures II LLC	PSI Energy, Inc.	South Construction Company, Inc.
Consolidated Schedules	NOISIAN	NOISIAIG	30-0023971	NOISIAIG	NOISINIO	DIVISION	35-0594457	35-6038829
1 a Gross receipts or sales	125,000.						490,227,639.	
1 b Less returns and allowances								
1 c Balance	125,000.			And the second s			490,227,639.	
2 Cost of goods sold							224,086,807.	
3 Gross profit	125,000.						266,140,832.	
4 Dividends								
5 Interest	306,260.			375, 354.	375.		5,383,033,	
6 Gross rents							3,468,296.	
7 Gross royalties								
8 Capital gain net								
from Form 4797								
10 Other Income		270,770.	-19,096.	3,393,984.	11,581.		10,206,314.	
11 Total income	431,260.	270,770.	-19,096.	3,769,338.	11,956.		285,198,475.	
12 Compensation of								
1.3 Salaries and wages			2,109.				5,319,701.	
14 Repairs and maintenance		•					1,016,465.	
15 Bad debts							129,295.	
16 Rents				****		- Annual material substitute of the substitute o	5, 255, 416.	
17 Taxes and licenses	170.		5,206.		-3,000.		21,007,084.	
18 Interest	-26.	,	300.	371,519,	347.	167, 635.	33,833,831.	
19 Charitable contributions							218,250.	
20 a Depreciation							58,204,960,	
20b Claimed elsewhere								
20c Net depreciation							58,204,960.	
- 1								
22 Advertising							76,507,	
23 Pension, profit-sharing, etc., plans								
24 Employee benefit	3,619,	The state of the s	875.				18,892,517,	
25 Domestic production activities							283,420.	
26 Other deductions	~35,978.		1,478.				88,915,617.	
27 Total deductions	-32,215.		9,968.	371,519.	-2, 653.	167,635.	233, 153, 063.	
28 Taxable Income before	***************************************							
NOL & Spec. Deductions	463,475.	270,770.	-29,064,	3,397,819,	14,609.	-167,635.	52,045,412.	
29 a NOL deduction								
ام						A STATE OF THE PROPERTY OF THE		
30 Taxable income	463,475.	270,770.	-29,064.	3,397,819.	14,609,	-167,635.	52,045,412.	Parties and Constitution of the Constitution o
JSA 5C9080 1,000			-				REXULATED	****

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Consolidated Schedules 1120 Page 1 1 a Gross receipts or sales 1 b Less returns and allowances 1 c Balance	٠		Broadband LLC	Change	Solutions of	Solutions of	Solutions of	Solutions of
ales allowances								
allowances				Investments, LLC	Boca Raton	Cincinnati	Narrows LLC	Rock Mill LLC
1 a Gross receipts or sales 1 b Less returns and allowances 1 c Balance	DIVISION	DIVISION	DIVISION	35-2212098	DIVISION	DIVISION		35-2203631
1 b Less returns and allowances 1 c Balance	19,507.	-6,124.			786,089,	1,013,805.	8,317,808.	
							A COMMISSION OF THE PERSON OF	
	19,507,	-6,124.			788,089,	1,013,805.	8,317,808,	
2 Cost of goods sold			98,016.		394,998,	533, 668.	8,062,246.	
3 Gross profit	19,507.	-6,124.	-98,016.		393,091.	480,137.	255, 562.	
4 Dividends								
5 Interest	1,567,457.	2,580,835,	530,		77, 239.	16,552.	31,489.	
6 Gross rents								
7 Gross royalties								
8 Capital gain net								
1								
fram Form 4797								
10 Other income			-1.		1,548.	1,247,	902,026.	
	1,586,964	2,574,711.	-97,487.		471,878.	497, 936.	1,189,077,	
Jo u								
13 Salaries and wages			30,374.		10,308.	35,131.	174,877.	
14 Repairs and maintenance			20,150.		57,	57,	9,457.	
15 Bad debts								
16 Rents			4,306.		2,737,	34,878.	78,312.	
17 Taxes and licenses			23,265.		5,853.	14, 667,	63, 904.	
18 Interest 1.	1,444,882.	2,449,475.	62,587.	1,058.	16,884.	133,794.	138,446.	
19 Charitable contributions		- Park Street St		Annual State of the State of th		And the second s		
20a Depreciation				The second secon	247, 995.	496, 138.	14,913,	
20 b claimed elsewhere				3				
20c Net depreclation					247, 995.	496,138.	14,913.	
21 Depletion								
22 Advertising					-			
23 Pension, profit-snaring, etc., plans								
24 Employee benefit			9,658.		28,567.	73,252.	307, 382.	
25 Domestic production activities deduction								
	140,001.	115,049.	30,812.		81,463.	350,278.	342,881,	
27 Total deductions 1,	584,883.	2,564,524,	181,152.	1,058.	393,864.	1, 138, 195.	1, 130, 172.	
28 Taxable income before								
NOL & Spec. Deductions	2,081.	.10,187,	-278, 639.	-1,058	78,014.	-640,259.	58,905.	
29 a NOL deduction								
29b Special deductions				***************************************	is and the second secon	A STATE OF THE PERSON NAMED IN COLUMN TO PER		
30 Taxable income	2,081.	10,187.	-278, 639.	-1,058.	78,014.	-640,259.	58, 905.	

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	Cinergy Solutions of St. Bernard LLC	Cinergy Solutions of St. Paul	CSOS of Lansing LLC	CSOS of Oklahoma LLC	CSOS of Shreveport LLC	Cinergy Capital & Trading, Inc.	Cinergy Retail Sales LLC	Cinergy Solutions of Monaca, LLC
Consolidated Schedules 1120 Page 1.	33-1039904	13-4031005	DIVISION	DIVISION	DIVISION	35-1878271 1,756,725.	37-1484250 -4,800,000.	DIVISION 6,464,251
1 b Less returns and allowances		nones processores de la constitución de la fina de la fina describentes de la constitución de la constitució		e de la companya de la companya de la companya de la companya de la companya de la companya de la companya de				
1 c. Balance	6,174,364.	21,532.				1,756,725.	-4, 800, 000.	404 LC2 4
2 Cost of goods sold	4,538,573.		a de la companya de l	2.		56, 192.	000	100717070
3 Gross profit	1, 635, 791.	21,532.		-2.		1, 689, 933.	-4,800,000.	432, 141
4 Dividends			-			300 000 1	7.7	335 186
5 Interest	856.	4,708.	394.	14,815.	5,316.	4, 682, 340.	, A. 1.	200
6 Gross rents								
7 Gross royalties								
-								
9 Net gain or (loss)				and definition of the first of	THE THE PARTY NAMED AND PARTY NAMED AND PARTY NAMED AND PARTY.			-m
1			***************************************	A. A. AAAA AAAAAAAAAAAAAAAAAAAAAAAAAAA	· Variantification of the control of	-5.039.219.		
10 Other Income	5,173,	-350, 330.			316 3	1 562 640	950 001. 7-	767.933
11 Total Income	1,641,820.	-324,090.	394,	14,813,	3,310.	1,000,000,000		
12 Officers				312	000 0	773 806	gra	91.816
13 Salaries and wages	141,795.	6,001.	2,529.	6/3	0,630.	.000 201		1 014
14 Repairs and maintenance	3,904.		289.		674,	766,437		LTE 17
15 Bad debts								205 21
16 Rents	34,350,		1,472.		3,437.	195,760.		207 77
17 Taxes and licenses	42,801.	501.	185.	49.	444.	74,295.	2, 200.	40,039
18 interest	293,618.	3,863.	2,454.	9,386,	.669	12, 638, 056.	1/5,633.	302,114
19 Charitable contributions								
20a Depreciation	198,082,							7, 530
20 b claimed elsewhere								0.9 6
20 c Net depreciation	198,082.			And the second s				
- 1			The state of the s				admirhmeters for the second se	
22 Advertising			and the second s					
23 etc., plans					-	610 707	000	243 088
24 Employee benefit	117,677.	2,451.	886.	257,	2,060.	134,713.	. 707	000 1117
25 Domestic production activities						170 700 0	10 C V	100 880
26 Other deductions	229,547,	11,248.	3,719	728.	10,902.	7, 390, 351,	1021	020,000
	1,061,774.	24,104.	11,534,	11,099.	24,054.	16, 239, 948.	183,040.	333,443
28 Taxable income before							300	000 35.
NOL & Spec. Deductions	580,046.	-348,194	-11, 140.	3,714.	-18,738.	-14,676,308.	-4, 982, 999.	102,230
29a NOL deduction		and the state of t			The second section of the section of the section of the second section of the section of t			
29 b Special deductions	The second secon				4 .	000	000 000 7	185 290
30 Taxable income	580,046.	-348,194.	-11,140,	3,714.	-18, /38,	-14, 5/5, 308.		10041504
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3.         DIVISION         74-313751         OTWISION         31-1495622         DIVISION         30-0236167         M. 1.           1.         315,000.         302,252.         1,167,823.         1,157,823.         1,17           1.         -1903,533.         302,252.         356,813.         1,1           1.         -1903,533.         302,252.         36,813.         1,1           1.         -1,284,935.         302,252.         36,813.         1,1           1.         59,500.         40,704.         65,518.         1,2           1.         59,500.         40,704.         65,518.         1,2           1.         59,500.         40,704.         48,136.         1,3           1.         51,445.         734,455.         313,465.         13,466.           1.         51,445.         734,456.         302,552.         48,136.         11,9           1.         51,445.         734,456.         31,465.         11,9         11,9           1.         51,437.         56,945.         11,9         11,9         11,1           1.         51,437.         50,000.         14,1,254.         14,1,2         14,1,2         14,1,2         14,1,2	13, 12, 12, 12, 12, 13, 13, 13, 13, 13, 13, 13, 13, 13, 13		Cinergy Solutions of San Diego, Inc.	Cinergy. Solutions O&M IAC	Cinergy Risk Solutions Ltd.	eVent Resources I LLC	Cinergy Solutions Utility, Inc.	CSGP General, LLC	Cinergy Mexico Holdings, LP	Cinergy Solutions of South Charleston
Figure 1998 (1997)  Figure 1999 (1997)  Figure	1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,	Consolidated Schedules	000000000000000000000000000000000000000	MOTOTOTO	124-010-45	MOTSTUTA	C189601-75	NOTSTATO	30-0236167	LLC N/A
Table   Tabl	Control of the cont	1120 Page 1	35-2220032 47E 403	NOT STATE	14.00000	302 202	1 167 823			1.731.470
Page page and   (45, 153, 11, 12, 150, 125, 100.   310, 125.   310, 125.   31, 131, 125.   31, 131, 125.   31, 131, 125.   31, 131, 125.   31, 131, 131, 131, 131, 131, 131, 131	See (5,153, 125,000 and 2,121, 125,000 and 2,121, 125,000 and 2,121, 125,000 and 2,121, 125,123,	1 a Gross receipts of sales 1 b Less returns and allowances	465, 455.	373,000.		302,232	1110110			
Cost of goods sold         224 111         -469 593         807,612         31.00           Order of goods sold         243 382         1,581,383         302,222         360,513         31.00           Order of cost sents         13 1/11         53 500         40,704         55,218         40,511         31.00           Order sents         Gost sents         65,218         40,704         55,218         40,518         40,518           Gross for sents         Core sents         65,218         40,218         734,434         302,252         45,238         45,238           Gross for collection         224,483         1,514,483         734,434         302,252         452,338         4	Proof to be part   24,111   24,049.   300,202   300,011   1000	1 c Balance	465.493.	375,000.		302, 252.	1,167,823.		ALL AND THE SECOND SECO	1,731,470
Second point   2.11.312,   1.281, 934,   1.281, 936,   1.281, 931,   1.281, 932,   1.281, 932,   1.281, 932,   1.281, 932,   1.281, 932,   1.281, 932,   1.281,   1	portit         211,382.         1,284,583.         307,282.         365,513.           rind         13,101.         59,500.         40,104.         65,578.           rind         13,101.         59,500.         40,104.         65,578.           rooffeles         1000         1000         1000         1000           rind cross 1         11,101.         50,255.         426,383.         426,383.           rind cross 1         11,101.         131,459.         134,459.         134,450.         134,		224,111.	-909,993.	***************************************		807,012.			1,407,686
Objection of the content of	First Title	Ì	241,382.	1,284,993.		302,252.	360,811.			323, 784.
Page 1982   Page 2000   Page	tt tit tit tit tit tit tit tit tit tit									
Goods enists         Goods enists           Concear positions of the control for for for for for for for for for for	Page   Page	1	13,101.	59,500.	40,704.	-	65,578.			41
Control Percent	Section   Sect									
Capitalia gain not characteristic gain not gain n	gain net   mile   mil									
Next gain or (loss)         Front part (loss)         693,150.         426,289.         426,389.         426,489.         4	In Form AT927         Bit of (reces)           In Form AT927         659,150.         150,453.         302,552.         426,389.           In Form AT927         1,344,493.         734,454.         302,552.         426,389.           Residence         10,637.         372,323.         32,454.         302,552.         426,389.           eds         10,637.         372,323.         372,453.         313,465.         313,465.           eds         3,644.         693.         46,113.         48,113.         48,113.           eds         3,627.         65,482.         48,113.         48,113.         19.           and licentes         5,602.         65,482.         48,113.         19.         48,113.           and licentes         5,602.         65,482.         48,113.         19.         48,113.           and licentes         5,602.         65,482.         48,113.         19.         48,113.           and licentes         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606.         1,606. <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>									
Total Form 4797         693,150.         426,389.         426,389.           Other Income         254,483.         1,344,493.         734,454.         302,282.         426,389.           Total Income         254,483.         1,344,493.         734,454.         302,282.         426,389.           Complexities and veaces         10,637.         317,233.         734,454.         313,465.           Bed debts         5,644.         653.         6,542.         8,2187.         19.           Repairs and loenses         5,662.         65,482.         8,2187.         19.           Taxes and loenses         5,644.         653.         32,437.         19.           Taxes and loenses         5,648.         653.         1,56.         19.           Dependence         1,606.         1,606.         1,606.         1,606.         1,606.           Dependence         1,606.         337,663.         1,674,101.         500,000.         141,334.           Advertising production activities         37,266.         1,674,101.         500,000.         141,334.           Page debt decided controllers         35,507.         337,663.         4,674,101.         500,000.         141,346.         159,467.         159,467.           <	Form 4797   Form 4797   Form 4797   Form 4797   Form 4797     Form 6797   Form 4797   Form 4797   Form 4797     Form 6797   Form 6797   Form 4797							***************************************	***	
Other Income         25, 423         1,34,431         733,454         302,252         426,389           Todal Income         25, 483         1,34,454         302,252         426,389         426,389           Salaries and vedes         10, 631         37,273         312,454         302,252         426,389           Repairs and maintenance         578         37,273         31,346         31,346           Repairs and maintenance         5,602         65,482         32,437         44,133           Takes and Incomes         5,602         65,182         44,133         19.           Penns and Incomes         1,606         32,437         44,133         19.           Charitable contributions         1,606         33,437         56,945         19.           Degree addition         1,606         4,483         33,437         56,945         19.           Charitable contributions         1,606         4,483         33,437         56,945         19.           Charitable contributions         1,606         33,743         4,483         4,483         19.           Charitable contribution         1,606         33,766         337,663         1,614,101         500,000         14,136           Pergustration </td <td>Income  Income  td> <td>from Form 4797</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	Income  Income	from Form 4797								
Total Income         254, 483         1,344,493         734,454         302,252         426,389           Opplies stand modes         10,617         312,222         313,465         313,465           Repairs and watered         578         312,222         3,236         3,236           Repairs and watered         5, 602         65,482         3,247         3,236           Read debts         5, 602         65,482         32,437         19.           Teams and licenses         5, 602         65,482         19.           Interest         1, 606         2, 2437         19.           Charleade contributions         1, 606         1, 606         19.           Dept depreciation         1, 606         1, 606         19.           Net depreciation         1, 606         1, 606         1, 606           Net depreciation         1, 606         1, 606         1, 606           Advertising         Advertising         1, 606         1, 606         1, 606           Advertising         22, 507         337, 663         1, 614, 101         500, 000         661, 912         19.           Advertising         22, 52, 683         1, 614, 101         1, 614, 101         1, 614, 101         1, 614, 101	Property   Property	0			693,750.					The state of the s
Organgeries and virgos         10,637.         312,233.         313,465.           Salantes and virgos         10,637.         312,233.         313,465.           Repairs and maintenance         578.         659.         29,877.           Repairs and maintenance         57.602.         65.462.         19.           Rent Search and centres         5,002.         65.462.         19.           Rent Search and Centres         4,403.         32,437.         46.113.         19.           Rent Search Activation Centre and Centres and Icenses         1,606.         19.         19.         19.           Chainfield elsewhere         1,606.         1,606.         1,606.         19.         19.           Chainfield elsewhere         1,606.         1,606.         1,606.         1,606.         19.           Depletion         1,606.         1,606.         1,606.         1,606.         19.         19.           Depletion         1,606.         33,507.         337,663.         350,000.         141,364.         19.           Chainfield elsewhere         35,507.         337,663.         350,000.         141,364.         19.           Chainfield elsewhere         35,508.         355,508.         1,674,101.         500,000. <td>es and vages         10, 637, 332, 365.         312,465.           es and vages         10, 637, 312,233.         312,465.           es and vages         10, 637, 312,465.         32,247.         32,296.           eds         3, 644, 693.         229,877.         229,877.           ed closes         5, 602, 65,482.         48,137.         19.           and lenses         5, 602, 65,482.         48,137.         19.           st and lenses         5, 602, 65,482.         48,137.         19.           st contributions         1, 606.         48,137.         19.           expectation of precision of</td> <td></td> <td>254,483.</td> <td>1,344,493.</td> <td>734,454.</td> <td>302,252.</td> <td>426,389.</td> <td></td> <td></td> <td>323,825</td>	es and vages         10, 637, 332, 365.         312,465.           es and vages         10, 637, 312,233.         312,465.           es and vages         10, 637, 312,465.         32,247.         32,296.           eds         3, 644, 693.         229,877.         229,877.           ed closes         5, 602, 65,482.         48,137.         19.           and lenses         5, 602, 65,482.         48,137.         19.           st and lenses         5, 602, 65,482.         48,137.         19.           st contributions         1, 606.         48,137.         19.           expectation of precision of		254,483.	1,344,493.	734,454.	302,252.	426,389.			323,825
Repaires and wages         10,631         312,323         313,465           Repaires and maintenance         578         40,432         57.86           Rents         5,624         65,482         40,113           Taxes and licenses         5,622         65,482         40,113           Taxes and licenses         5,622         65,482         40,113           Total related contributions         1,606         4,433         32,437         19.           Description or percentation         1,606         6,606         1,606         1,606           Description or percentation         1,606         6,606         1,606         1,606         1,606           Description or percentation         1,606         1,606         1,606         1,606         1,606           Description or percentation         32,507         337,663         46,326         1,606         1,606           Engloyee percentation         32,566         1,607,101         500,000         141,364         1,906           Other deductions         35,266         1,674,101         500,000         661,972         19.           And & Spec. Deductions         35,266         -356,472         -157,148         -235,483         -139           NOL & Sp	es and wages         10,537,         312,333,         313,465.           ebls         578.         48.138.         3,246.         4.05.           ebls         3,644.         693.         29,877.         29,877.           and licenses         5,627.         65,482.         19.         19.           st able contributions         1,606.         4,183.         32,437.         19.         19.           stable contributions         1,606.         4,183.         4,606.         19.         19.           degletion contributions         1,606.         4,606.         4,606.         1,606.         1,606.           page Septimen         1,606.         4,606.         66,806.         1,606.	l								
Repairs and maintenance         \$18 it         \$2.987.           Bad debts         1,564         \$63.3         \$2.987.           Rents         \$1,544         \$63.3         \$2.987.           Rents         \$1,504         \$2.437.         \$6.945.         \$1.9.           Charitable contributions         \$1,606         \$2.987.         \$6.945.         \$1.9.           Charitable contributions         \$1,606         \$2.987.         \$2.945.         \$1.9.           Charitable contributions         \$1,606         \$2.987.         \$2.987.         \$2.987.           Charitable contributions         \$1,606         \$2.987.         \$2.987.         \$2.987.           Not deterrible contributions         \$2.507.         \$337,663.         \$68,806.         \$68,806.           Employees benefit         \$2.507.         \$337,663.         \$60,000.         \$41,354.         \$2.507.           Advertising production advintes         \$6,325.         \$96,988.         \$1,674,101.         \$500,000.         \$41,354.         \$1.9.           About advertising productions         \$6,325.         \$98,988.         \$1,674,101.         \$500,000.         \$41,354.         \$1.9.           About advertising productions         \$6,325.         \$235,647.         \$127,748. </td <td>ts and maintenance         \$184.         693.         3,296.           botks         3,644.         693.         29,877.           and leenses         5,622.         65,482.         19.           st and leenses         5,622.         65,482.         19.           st add contributions         1,606.         69,137.         19.           stable contributions         1,606.         66,945.         19.           dependence         1,606.         66,945.         19.           preclassion of precla</td> <td>1</td> <td>10, 637.</td> <td>372,323.</td> <td></td> <td></td> <td>313,465.</td> <td></td> <td></td> <td></td>	ts and maintenance         \$184.         693.         3,296.           botks         3,644.         693.         29,877.           and leenses         5,622.         65,482.         19.           st and leenses         5,622.         65,482.         19.           st add contributions         1,606.         69,137.         19.           stable contributions         1,606.         66,945.         19.           dependence         1,606.         66,945.         19.           preclassion of precla	1	10, 637.	372,323.			313,465.			
Bead debts         3,644.         693.         29,877.           Rents         3,644.         693.         29,877.           Taxes and licenses         5,602.         65,482.         19.           Interest         4,483.         32,437.         56,945.         19.           Chart bale contributions         1,606.         1,606.         19.         19.           Description Legistrates         1,606.         1,606.         19.         19.           Net description Legistration         1,606.         1,606.         1,606.         1,606.         1,606.           Advertising Legistration Advertising Registrated Legistration Sequence Control activities         32,507.         337,663.         1,614,101.         500,000.         61,812.         15.           Pomes light productions activities         37,268.         1,614,101.         500,000.         621,812.         15.           Other dedictions         37,268.         1,614,101.         500,000.         621,87.         15.           NOL & Spec. Deductions         158,128.         355,505.         -935,647.         -157,748.         -13.           Special deductions         158,128.         355,505.         -239,647.         -197,748.         -137,748.         -137,748.	ebits         3, 544.         693.         223,817.           and leanses         5, 602.         65, 182.         19.           and leanses         5, 602.         65, 182.         19.           at and leanses         5, 602.         65, 182.         19.           stable contributions         1, 506.         15.         19.           celation add elaswhere         1, 606.         1, 606.         19.           precision add elaswhere         1, 606.         1, 606.         19.           precision add elaswhere         1, 606.         1, 606.         19.           precision add elaswhere         1, 606.         1, 606.         14, 1364.           deal control on activities         37, 268.         1, 674, 101.         500,000.         14, 1364.           deal control on activities         37, 268.         1, 674, 101.         500,000.         661, 972.         19.           deal control on activities         37, 268.         1, 674, 101.         500,000.         661, 972.         19.           deal control on activities         35, 505.         -339, 647.         -191,748.         -235, 483.         -19.           deal control on activities         355, 505.         -359, 647.         -197,748.         -235, 483. <td>1</td> <td>578.</td> <td></td> <td></td> <td></td> <td>3,296.</td> <td></td> <td></td> <td></td>	1	578.				3,296.			
Rents         3, 644, 693.         693.         29,877.           Taxes and licenses         5 602.         65,482.         40,113.           Tinterast         4,493.         32,437.         46,113.           Chartieval contributions         1,606.         1,606.         1,606.           Description contributions of damined sizewine and charteristic distribution.         1,606.         1,606.         1,606.           Advertising contributions         1,606.         337,663.         4,674,101.         500,000.         141,364.           Advertising contributions contributions activities         37,268.         1,674,101.         500,000.         141,364.           Advertising profit contributions activities         37,268.         1,674,101.         500,000.         141,364.           Advertising profit count before         1,674,101.         500,000.         141,364.         15.           Advertising profit count before         1,674,101.         500,000.         141,364.         15.           Advertising profit count before         1,674,101.         500,000.         661,972.         15.           Advertising profit count before         1,674,101.         200,000.         141,364.         15.           Advertising profit count before         1,674,101.         2,17,748.	and licenses 5, 602, 65, 482, 48, 119, 560, 602, 65, 482, 64, 119, 56, 245, 19, 560, 62, 62, 482, 64, 119, 56, 245, 19, 56, 245, 19, 56, 245, 19, 56, 245, 19, 56, 245, 19, 56, 245, 19, 56, 24, 21, 21, 24, 24, 24, 24, 24, 24, 24, 24, 24, 24			-						
Taxes and licenses         5, 502, 62, 482, 73, 73, 73, 73, 73, 73, 73, 73, 73, 73	st         69,132, stronger         69,132, stronger         48,133, stronger         41,134, stronger         48,133, stronger         <	1	3, 644.	693.			29,877.			371
Detection	Second Property   Second Pro		5, 602.	65,482.			48,119.			7,533
CharItable contributions         CharItable contributions           Description class where class depreciation class depreciation and the pre-ciation class depreciation class depr	table contributions  1, 606.  Tight declaration  1, 606.  Tight declaration  1, 606.  Tight declaration  1, 606.  Tight declaration  1, 606.  Tight declaration  1, 606.  Tight declaration  1, 606.  Tight declaration  1, 606.  Tight declaration  1, 606.  1, 606.  1, 607.  1		4,483.	32,437.			56,945.	19.		29, 580
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Legs depreciation claimed elevation of definition of a finite depreciation         L 506.           Net depreciation of definition of defini	Application   1,606.   1,606		1,606.					and the second s		16,449
c Net depreciation         1, 606.           Depletion         Advantising           Advantising Pension Profits a Pension Profits and Section and States and Section and States and Section Sect	tion tion tion tion tion tion tion tion									
Depletion           Advertising Period         Recruitability         68,806.           Policylea, panelity etc.; plans         32,507, 337,663.         337,663.         68,806.           Employer, panelity etc.; plans         37,268.         180,390.         1,674,101.         500,000.         141,364.           Total deductions Other deductions         36,325.         988,988.         1,674,101.         500,000.         661,972.         15.           Total deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           NOL & Special deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           Taxable income         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.	tising tising tising tising tising tising tight sharing, tight sha	20c Net depreciation	1,606.				The state of the s			16,449
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Persion persion, etc.; plans         Persion profits and p	profit-sharing, 22,507, 337,663. 663. 663. 663. 663. 663. 663. 663.								and a second property of the second s	
Engloyear benefit         32,507,         337,663.         68,806.           Domestic production activities deductions         180,326.         1,674,101.         500,000.         141,364.           Other deductions         96,325.         986,988,         1,674,101.         500,000.         661,872.         19.           Taxable income before         NOL & Spec. Deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           a NOL deductions         Descript deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           Taxable income         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.	Expression of the filt of the f						****			The second secon
Domestic production activities         Domestic production activities         180,390.         1,674,101.         500,000.         141,364.         19.           Other deductions         96,325.         988,988.         1,674,101.         500,000.         661,872.         19.           Taxable income before         NOL & Spec. Deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           a NOL deductions         b Special deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           Taxable income         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.	ilic production activities  37, 268. 180, 390. 1, 674, 101. 500, 000. 141, 364.  deductions  96, 325. 988, 988, 1, 674, 101. 500, 000. 661, 872. 19.  deductions  8 Spec. Deductions  4 Spec. Deductions  al deductions  al deductions  al deductions  158, 158. 355, 505939, 647197, 748235, 48319.  al deductions  al deductions  158, 158. 355, 505939, 647197, 748235, 48319.		. 32,507,	337, 663.			.908,896.			41,237
Other deductions         37,268.         180,390.         1,674,101.         500,000.         661,872.         19.           Total deductions         96,325.         988,988.         1,674,101.         500,000.         661,872.         19.           Taxable income before         NOL & Spec. Deductions         355,505.         -939,647.         -197,748.         -235,483.         -19.           a NOL deductions         b Special deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           Taxable income         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.	deductions         37, 268         180, 390         1,674,101         500,000         141,364         19.           deductions         96,325         986,988         1,674,101         500,000         661,872         19.           ale income before         255,505         -939,647         -197,748         -235,483         -19.           A Spec. Deductions         al deduction         al deductions         -197,748         -235,483         -19.	1								
Total deductions         96,325.         988,988.         1,674,101.         500,000.         661,972.         19.           Taxable income before         NOL & Spec. Deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.           a NOL deduction         b Special deductions         158,158.         355,505.         -939,647.         -197,748.         -235,483.         -19.	deductions         96,325,         988, 988,         1,674,101,         500,000,         661,872,         19.           lie income         befulctions         158,158,         355,505,         -939,647,         -157,748,         -235,483,         -19.           deduction         al deductions         158,158,         355,505,         -939,647,         -197,748,         -235,483,         -19.		37,268.	180,390.	1,674,101.	500,000.	141,364.			32, 317
Taxable income before       Taxable income before       158,158,       355,505.       -939,647.       -197,748.       -235,483.       -19.         NOL & Special ceductions       b Special deductions       b Special deductions       -235,483.       -19.         Taxable income       158,158.       355,505.       -939,647.       -197,748.       -235,483.       -19.	le income before  & Spec. Deductions 158, 158. 355, 505939, 647197, 748235, 48319.  deduction al deductions  158, 158, 158, 355, 505939, 647, -197, 748235, 48319.		96,325,	988, 988,	1,674,101.	500,000.	661,872.	19,		127,487
NOL & Spec. Deductions       158,158.       355,505.       -939,647.       -197,748.       -235,483.       -19.         a NOL deduction       b Special deductions         b Special deductions         Taxable income       158,158.       355,505.       -939,647.       -197,748.       -235,483.       -19.	& Spec. Deductions 158, 158. 355, 505939, 647197, 748235, 48319.  deduction al deductions le income 158, 158. 355, 505939, 647197, 748235, 48319.									
a NOL deduction b Special deductions Taxable income 158,158, 355,505, -939,647, -197,748, -235,483, -19.	deduction al deductions ale income 158, 158, 158, 355, 505, -939, 647, -197, 748, -235, 483, -19.	NOL & Spec. Deductions	158,158,	355, 505.	-939, 647.	-197,748.	-235, 483.	-19.		196,338
b Special deductions 158,158. 355,505939,647197,748235,48319.	al deductions 158, 158, 158, 158, 158, 158, 158, 158,	29a NOL deduction								
Taxable income 158,158. 355,505239,647197,748235,48319.	ale income 158, 158, 355, 505939, 647, -197, 748, -235, 48319.	29 b Special deductions								
		- 1	158,158.	355,505.	-939, 647,	-197,748.	-235, 483.	-19.		196, 338

Statement

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Cinergy Corn.

	Cinergy Solutions	
	Operating	
Consolidated Schedules	Services of	_
1 a Gross receipts or sales		
1b Less returns and allowances		
1 c Balance		
2 Cost of goods sold	23.	
l	-23.	-
1		<del></del> -
5 Interest	12, 262.	<del></del> -
1		<del>-</del>
7 Gross royalties		
S capital gain net		
1		
l		7-
10 Other income		_
11 Total Income	12,239,	
12 Compensation of		<del>-</del>
1	29, 901,	T
1	578.	т-
		_
16 Rents	2,950.	1
17 Taxes and licenses	1,210,	T
18 Interest	19,890.	τ
19 Charitable contributions		т-
20a Depreciation		Т
20b claimed elsewhere		T
20 c Net depreciation		Г
21 Depletion		T
22 Advertising		Т
23 etc., plans		Γ
	1,776.	Τ
25 Domestic production activities deduction		7
•	45,222,	
27 Total deductions	92.517	Т
28 Taxable income before		T
NOL & Spec. Deductions	-80, 278	Т
29 a NOL deduction		T
29b Special deductions		T
30 Taxable income	-80,278.	1
JSA. 5C9080 1.000		

AG-DR-01-019

### REQUEST:

With regard to property taxes, please provide the following information:

- a. Provide the actual Gas property taxes booked by the Company for the years 2006, 2007, 2008, the 12-month period ended 6/30/2009 and for each of the first six months of 2009.
- b. As shown on Schedule C-2.1, pages 7 and 15, line 10, the Company has projected to increase its property taxes from \$1,578,322 in the base period to \$4,388,684 in the Forecasted Period. Provide a detailed explanation for this very large projected property tax increase. In addition, provide copies of any actual source documentation in support of this anticipated large tax increase.

#### **RESPONSE:**

- a. See Attachment AG-DR-01-019.
- b. See the response to Staff-DR-02-021.

**PERSON RESPONSIBLE:** Robert M. Parsons

## **DUKE ENERGY KENTUCKY**

Gas Property Taxes Booked

<u>Period</u>	<b>Taxes Booked</b>
2006	\$2,439,132
2007	\$2,964,638
2008	\$1,247,440
12 month ending 6/30/09	\$1,359,775
Jan 2009	\$304,268
Feb 2009	\$304,268
March 2009	\$304,268
April 2009	\$304,268
May 2009	\$304,268
June 2009	\$304,268

AG-DR-01-020

#### **REQUEST:**

With regard to property taxes, please provide the following additional information:

- a. An exhibit in the exact same format as per Attachment AG-2-13(a) in the prior rate case, but showing the relevant "Tentative" vs. "Final" property tax information for each of the years 2005, 2006, 2007 and 2008.
- b. Explanation whether in the derivation of the adjusted Forecasted Period property taxes of \$3,428,458, the Company assumed the Tentative property tax rates that do not reflect negotiations with the KRD to bring the Tentative results down to lower Final results.
- c. Confirmation that the proposed adjusted Forecasted Period property taxes of \$3,428,458 do not include property taxes associated with Non-Jurisdictional plant. If it does include such non-jurisdictional property taxes, please identify this tax amount.

#### **RESPONSE:**

- a. See Attachment AG-DR-01-020.
- b. As shown on Schedule D-2.21 and the related workpapers, the Company calculated an average of the last three years final property tax rates (2006 2008) and used that average to determine the adjusted Forecasted Period property tax expense. These final property tax rates do reflect the results of negotiations with the KDR.
- c. The proposed adjusted Forecasted Period property taxes of \$3,428,458 **do not** include property taxes associated with Non-Jurisdictional plant.

**PERSON RESPONSIBLE:** Robert M. Parsons

DUKE ENERGY KENTUCKY COMPARISON OF 2005 - 2008 TENTATIVE KENTUCKY ASSESSMENTS TO FINAL KENTUCKY ASSESSMENTS

	2008	~	2007	21	2006	9	2005	5
1	Tentative	Final	Tentative	Final	Tentative	Final	Tentative	Final
	042 219 760	226 200 000	242 219 760	220.415.000	209.500.300	209,500,300	184,193,967	158,000,000
Keal Estate Tandible Property	234 108 494	131,640,000	184,007,986	127,940,800	259,476,178	130,915,310	265,362,832	92,000,000
Manufacturing Machinery	261,845,211	238,920,000	261,845,211	238,327,000	63,511,260	63,511,260	92,000,000	66,008,538
Business Inventory	11,826,535	3,240,000	11,826,535	8,390,500	1,841,070	1,841,070	1,991,462	1,991,462
Assessment	750,000,000	000'000'009	699,899,492	595,073,300	534,328,808	405,767,940	543,548,261	318,000,000
Average Tax Rate	0.9011%	0.9011%	0.8892%	0.8892%	1.2352%	1.2352%	1.1369%	1.1369%
Taxes Proposed/Paid	\$6,758,250	\$5,406,600	\$6,223,506	\$5,291,392	\$6,600,029	\$5,012,046	\$6,179,600	\$3,615,342
Tax Savings Proposed vs. Paid	111	\$1,351,650		\$932,114	"	\$1,587,984		\$2,564,258
Net Book Value	689,543,442	689,543,442	653,871,551	653,871,551	405,767,882	405,767,882	380,429,710	380,429,710
% of Net Book Value	109%	87%	107%	91%	132%	100%	143%	84%

AG-DR-01-021

R	E	Q	U	E	S	T	:

Attachment TAP-2, page 1 of 2 is not legible in its current form. Please provide a legible copy.

## **RESPONSE:**

See Attachment AG-DR-01-021.

# KENTUCKY

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STATION	JUL	AUG	SEP	001	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	ANN
ASHLAND BARBOSTONN BARBSTONN BARBEN RIVER LAKE BAKIER	3 0 0 0 0	E0000	72 35 36 37 46	336 336 263 261	621 646 532 533	#44 956 963 971	1101 1009 1014 1014	310 101 303 312	599 5555 511	383 303 370 264 325	155 123 198 195 195	13 0 0 6	5225 4540 4437 4426 4693
BEAVER DAN BEREA COLLEGE BERNHEIM FOREST BOWLING SREET, FAA AD BRADFORESTILLE	3 0 0 7 0	50005	32 30 33 37 19	238 239 244 251 203	501 495 513 525 570	534 543 543 543 646	995 951 1904 195 1954	162 163 187 178 146	525 531 545 552	225 255 255 319	35 18 15 139	0000	4193 4174 4321 4328 4783
CAMPBELLSYLLE 2 55W CARPOLLION COCK 1 CECILIA 2 55 COVINGION W3C 4P DANVILLE	0 0 0 9	00000	36 51 51	245 267 308 327 285	507 528 588 621 561	818 974 915 977 305	977 1023 1085 1144 1060	162 820 868 930 960	530 586 626 682 629	248 282 303 354 317	100 119 128 151 141	0 0 0 11 5	4223 4537 4872 5248 4807
DIX DAM FALMOUTH FARMERS 2 S FRANKFORT LOCK 1 GLASCOM	0 0 0 0	91500	29 68 57 47 32	243 360 329 310 259	498 642 594 582 528	931 980 915 921 937	985 1147 1082 1091 983	787 935 985 990 762	565 713 654 663 532	269 400 354 318 237	110 177 145 145 31	0 10 8 5 0	4318 5443 5028 5002 4261
GOUDEN POND 5 N SPAYSON 3 SK GREENSBURN SPEENVILLE 2 N HEIDELBERG LUCK 11	9 0 0 0	94000	22 71 35 28 52	230 359 275 227 316	495 627 5492 5495	937 946 965 922 993	983 1101 1020 958 1051	778 913 918 742 951	540 648 531 632	232 399 284 218 344	32   41   22   37   36	0 14 0 0 0	4205 5315 4548 4085 4366
HENDERSON 7.55W HODGENVILLE-LINCOLN NP HOPKINSVILLE JACKSON HSO AP LEITCHFIELD U.N.	0 0 0 0	00000	26 35 29 38 43	226 242 250 264 265	507 513 525 519 540	971 849 971 840 871	1017 995 1023 998 1017	798 178 909 798 904	552 539 570 549 554	238 249 259 262 270	98 99 101 108	0 0 0 0	4323 4299 4437 4393 4482
LEXINGIDH WSO AP LONDON FAA AP LOUISVILLE HOFO AP LOVELACEVILLE HADISONVILLE	0 0 0 0	35000	47 56 36 25 26	287 302 254 212 225	570 555 537 501 499	902 853 871 837 940	1963 1001 1032 971 986	854 790 820 759	611 573 590 528 529	312 290 273 216 216	135 129 135 77	5 T 6 O 0	4793 4558 4514 4132 4167
MAMMOIH CAYE PARK HANGHESIER 4 M HAYFIELD RADIO MNGO HAYFYILLE SEARE PLAYF HIDDLESBORD	0 0 0 0	00000	35 54 21 51	252 327 202 312 305	510 576 480 600 558	931 977 918 946 959	983 1020 945 1113 989	762 926 734 916 801	533 620 505 688 545	231 215 372 319	97 137 73 159	250	4234 4783 3997 5179 4611
MONTICELLO 3 NE MOUNT VERNON KURAN VERNON OHENSBORO 3 K PADUCAH WSO	0 0 0 0	20000	41 52 19 28 24	296 305 202 228 228	546 564 468 519 513	346 393 309 865 959	1004 1048 949 1017	801 840 722 792 787	536 614 443 552 550	321 315 195 241 231	130 125 59 72 93	00000	4561 4756 3932 4334 4279
PADUCAH SEHARE FLANT PRINCEION I SE ROCHESTER FERR RUSSELVILLE SCOTTSVILLE 3 SSM	0 0 0 0	00000	27 24 48 35 23	235 216 297 265 201	525 483 555 519 462	974 925 884 862 784	1020 970 1033 1014 927	915 753 934 915 708	572 515 598 561 478	237 216 287 259 204	98 24 15 109 86	00000	4393 4076 4657 4439 3873
SHELBYVILLE 1 E SOMERSE 2 N STEARNS SUMMER SHADE TOMAHAUK 1 WSW	0 0 0	5 0 0 5	64 47 63 35 83	346 295 326 252 372	624 540 564 519 630	964 940 974 928 946	1129 983 1008 977 1091	918 778 626 762 999	698 555 601 539 65?	381 279 326 253 357	108 155 151	707.00	5294 4415 4750 4276 5213
HARSAH MARKLAND DAM HAYMESBURG 7 NE HEST LIBERTY HILLIAMSBURG HILLIAMSTORN 3 NW	0000	20000	47 44 70 38 41	331 282 349 292 270	609 531 618 534 564	761 953 736 837 721	1128 998 1085 973 1079	724 792 893 790 868	694 561 663 574 626	372 282 366 292 320	160 127 162 121 140	B.6800	5234 4476 5146 4451 4829

Duke Energy Kentucky, Inc. Case No. 2009-00202

First Set Attorney General Data Requests Date Received: August 17, 2009

AG-DR-01-022

**REQUEST:** 

Reference page 7, lines 7-8 of Mr. Phillips's testimony:

a. Provide the actual HDDs for the Covington, Ky weather station, as reported by NOAA, for

each year from 1961 through 2000.

b. Based on the annual HDDs to be provided in response to part (a) above, provide the

calculations to derive the 30-year normal HDD numbers of 5,248 and 5,148.

c. Confirm that HDDs to be provided in response to part (a) are measured based on a base

temperature of 65 degree Fahrenheit. If you do not agree, explain your disagreement.

**RESPONSE:** 

a. See Attachment AG-DR-01-022.

b. Duke Energy Kentucky did not calculate the 30-year normal HDD numbers of 5,248 and

5,148. They were taken straight from the NOAA charts in TAP-2.

c. The numbers provided in part (a) are measured based on a base temperature of 65 degrees

Fahrenheit.

	Actual HDD
1961	5,025
1962	5,404
1963	5,741
1964	4,944
1965	5,077
1966	5,589
1967	5,064
1968	5,184
1969	5,377
1970	5,037
1971	4,819
1972	5,474
1973	4,784
1974	4,953
1975	4,713
1976	5,522
1977	5,699
1978	6,031
1979	5,670
1980	5,805
1981	5,486
1982	4,854
1983	5,392
1984	5,239
1985	5,126
1986	4,867
1987	4,745
1988	5,418
1989	5,316
1990	4,171
1991	4,581
1992	4,898
1993	5,152
1994	4,939
1995	5,321
1996	5,632
1997	5,330
1998	4,322
1999	4,750
2000	5,187

Duke Energy Kentucky, Inc. Case No. 2009-00202

First Set Attorney General Data Requests

Date Received: August 17, 2009

AG-DR-01-023

**REQUEST:** 

On page 9, line 4 Mr. Phillips states that "For the years 1971 through 2008, HDD have experienced a downward trend." In this regard, please provide the following information:

a. For each of the years 1971 through 2008, provide the HDDs used in Mr. Phillips's analysis.

b. Explain whether the HDDs for each of the years 1971 through 2008 are as reported by NOAA or whether there is another source for these annual HDD numbers. If so, identify this

source.

**RESPONSE:** 

a. See Attachment AG-DR-01-023.

b. The numbers in part (a) are from NOAA.

	Actual HDD
1971	4,819
1972	5,474
1973	4,784
1974	4,953
1975	4,713
1976	5,522
1977	5,699
1978	6,031
1979	5,670
1980	5,805
1981	5,486
1982	4,854
1983	5,392
1984	5,239
1985	5,126
1986	4,867
1987	4,745
1988	5,418
1989	5,316
1990	4,171
1991	4,581
1992	4,898
1993	5,152
1994	4,939
1995	5,321
1996	5,632
1997	5,330
1998	4,322
1999	4,750
2000	5,187
2001	4,672
2002	4,938
2003	5,180
2004	4,847
2005	4,925
2006	4,430
2007	4,723
2008	5,155

AG-DR-01-024

### **REQUEST:**

With regard to Attachment TAP-6, please provide the following information:

- a. Source for the HDD numbers from 1999 through 2008.
- b. Calculation in support of the normal HDD level of 4881.
- c. Confirm that the 30-year normal HDD level of 5,148 shown at the top part of TAP-6 represents the 30-year normal level for 1971 through 2000, not the most recent 30-year period 1979 through 2008 which would include the 10-year period 1999 through 2008.
- d. Provide your calculation of the 30-year normal HDD level for the most recent 30-year period 1979 through 2008 as compared to the 30-year normal HDD level of 5,148 for the 30-year period 1971 through 2000.
- e. Provide your calculation of the 25-year normal HDD level for the most recent 25-year period 1984 through 2008.
- f. Provide the actual HDDs for the most recent 12-month period for which actual date is available (i.e., either May or June 2009). This should be measured based on a base temperature of 65 degree Fahrenheit.
- g. Please confirm that all HDD numbers shown on TAP-6 are measured based on a base temperature of 65 degree Fahrenheit. If you do not agree, explain your disagreement.

#### **RESPONSE:**

- a. The source for the HDD numbers is NOAA.
- b. See Attachment AG-DR-01-024.
- c. The 30-year normal HDD level of 5,148 is for the years 1971-2000 and that is NOAA's most recent 30-year normal because they only update it every 10 years.
- d. See Attachment AG-DR-01-024.

- e. See Attachment AG-DR-01-024.
- f. See Attachment AG-DR-01-024.
- g. All HDD numbers shown on TAP-6 are measured based on a base temperature of 65 degrees Fahrenheit.

	Actual HDD		Actual HDD
1979	5,670	Aug-08	-
1980	5,805	Sep-08	6
1981	5,486	Oct-08	283
1982	4,854	Nov-08	673
1983	5,392	Dec-08	958
1984	5,239	Jan-09	1,205
1985	5,126	Feb-09	813
1986	4,867	Mar-09	534
1987	4,745	Apr-09	340
1988	5,418	May-09	83
1989	5,316	Jun-09	10
1990	4,171	Jul-09	4
1991	4,581		4,909
1992	4,898		
1993	5,152		
1994	4,939		
1995	5,321		
1996	5,632		
1997	5,330		
1998	4,322		
1999	4,750		
2000	5,187		
2001	4,672		
2002	4,938		
2003	5,180		
2004	4,847		
2005	4,925		
2006	4,430		
2007	4,723		
2008	5,155		
10-year normal	4,881		
30-year normal	5,036		
25-year normal	4,955		

AG-DR-01-025

## **REQUEST:**

Please confirm that this Commission has never before accepted the use of a weather-normalized period in a gas rate case of 10 years. If you do not agree, provide the Order.

#### **RESPONSE:**

The Commission has never before accepted the use of a 10-year weather normalization methodology in a Duke Energy Kentucky, Inc., gas rate case.