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PUBLIC SERVICE
COMMISSION

November 1, 2007

Ms. Elizabeth O'Donnell
Executive Director
Kentucky Public Service Commission
211 Sower Boulevard
P.O. Box 615
Frankfort, KY 40602-0615

RE: Interconnection Agreement between Windstream Kentucky
West, Inc. and ALEC, Inc.

Dear Ms. O'Donnell:

00927-AI 01

Please find enclosed two copies of an Interconnection Agreement between Windstream Kentucky West, Inc. and ALEC, Inc.

Also enclosed is an electronic version of the agreement in CD format.

Please return a date stamped copy of this cover letter in the enclosed envelope at your convenience. Please call me at 501-748-5686 if you have any questions regarding this filing.

Sincerely,

W. Lee Elliott

Attachments

Cc: Mark Hayes – ALEC, Inc.

INTERCONNECTION AGREEMENT

BETWEEN

WINDSTREAM KENTUCKY WEST

&

ALEC

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GENERAL TERMS AND CONDITIONS

This Agreement ("Agreement") is between, ALEC Inc. (ALEC"ALEC") a Kentucky corporation, having an office at 2704 Old Rose Bud Rd., Lexington, KY 40509 and Windstream Kentucky West, Inc. ("Windstream") a Kentucky corporation, having an office at 4001 Rodney Parham Road, Little Rock, Arkansas, 72212, hereinafter referred to collectively as the "Parties".

WHEREAS, pursuant to the Telecommunications Act of 1996 (the "Act"), the Parties wish to establish terms for the provision of certain services and Ancillary Functions as designated in the Attachments hereto for the purpose of determining the rates, terms, and conditions for the interconnection of the Parties' Telecommunications networks within the State of Kentucky.

NOW, THEREFORE, in consideration of the premises and the mutual covenants of this Agreement, the Parties hereby agree as follows:

1.0 Introduction

- 1.1 This Agreement, in accordance with §§251 and 252 of the Act, sets forth the terms, conditions and prices under which Windstream may provide (a) services for interconnection, and (b) Ancillary Functions to ALEC. The specific services, functions, or facilities that Windstream agrees to provide are those specifically identified in appendixes attached to this Agreement, and executed simultaneously with this general terms and conditions. Further this Agreement sets forth the terms, conditions, and prices under which ALEC will provide services to Windstream, where applicable.
- 1.2 This Agreement includes and incorporates herein the Attachments of this Agreement, and all accompanying Appendices, Addenda and Exhibits.
- 1.3 The Parties acknowledge and agree that by entering into and performing in accordance with this Agreement, the Parties have not waived or relinquished any applicable exemptions that are provided by or available under the Act, including but not limited to those described in §251(f) of the Act, or under state law.
- 1.4 Prior to execution of this Agreement, ALEC agrees to provide Windstream in writing ALEC's CLEC certification for the state covered by this Agreement prior to the filing of this Agreement with the appropriate Commission for approval.

2.0 Effective Date

- 2.1 The effective date of this Agreement will be the first business day following receipt of final approval of this Agreement by the relevant state Commission or, where approval by such state Commission is not required, the date that both Parties have executed the Agreement.

3.0 Intervening Law

- 3.1 In the event that any effective legislative, regulatory, judicial or other legal action materially changes any rule, law or judicial or administrative decision that was the basis of the requirement, obligation or right upon which any provision of this Agreement was negotiated, or materially impairs the ability of Windstream or LSP to perform any material terms of this Agreement, LSP or Windstream may, on thirty (30) days' written notice require that such terms be renegotiated, and the Parties shall renegotiate in good faith such mutually acceptable new terms as may be required. In the event that

such new terms are not renegotiated within ninety (90) days after such notice, the Dispute shall be referred to the Dispute Resolution procedure set forth in Section 9.0.

4.0 Term of Agreement

- 4.1 The Parties agree to the provisions of this Agreement for an initial term of two (2) years from the Effective Date of this Agreement, and thereafter on a month to month basis, unless terminated or modified pursuant to the terms and conditions of this Agreement.
- 4.2 Either Party may request for this Agreement to be renegotiated upon the expiration of the initial two (2) year term or upon any termination of this Agreement. The Party desiring renegotiation shall provide written notice to the other Party. Not later than thirty (30) days from receipt of said notice, the receiving Party will acknowledge receipt of the written notice and the Parties will commence negotiation, which shall be conducted in good faith, except in cases in which this Agreement has been terminated for Default pursuant to Section 4.6 or has been terminated for any reason not prohibited by law pursuant to Section 4.5.
- 4.3 If, within one hundred and thirty-five (135) days of commencing the negotiation referred to in Section 4.2 above, the Parties are unable to negotiate new terms, conditions and prices for a Subsequent Agreement, either Party may petition the applicable state Commission to establish appropriate terms, conditions and prices for the Subsequent Agreement pursuant to 47 U.S.C. 252. Should the Commission decline jurisdiction, either Party may petition the FCC under the Act or resort to a commercial provider of arbitration services.
- 4.4 If, as of the termination of this agreement, pursuant to 4.1 above, a Subsequent Agreement has not been executed by the Parties, and the Parties have entered into good faith negotiations for a Subsequent Agreement, this Agreement shall continue on an interim basis so that service to their respective end users will not be interrupted should a Subsequent Agreement not be consummated prior to the expiration date. Windstream shall continue to offer services to CLEC pursuant to the terms, conditions and rates set forth in this Agreement until the earlier to occur of (i) the Parties execute a Subsequent Agreement, or (ii) the issuance of an order by the Commission or FCC approving an agreement resulting from resolution of issues set forth in such Arbitration or Mediation requests. If CLEC has not entered in Good Faith negotiations with Windstream, then Windstream shall continue to offer services to CLEC pursuant to the terms, conditions and rates in Windstream's then current standard interconnection agreement.
- 4.5 After completion of the initial two (2) year term, this Agreement may be terminated by either Party for any reason not prohibited by law upon sixty (60) days written notice to the other Party. By mutual Agreement, the Parties may amend this Agreement in writing to modify its terms.
- 4.6 In the event of Default, as defined in this §4.6, the non-defaulting Party may terminate this Agreement provided that the non-defaulting Party so advises the defaulting Party in writing ("Default Notice") of the event of the alleged Default and the defaulting Party does not cure the alleged Default with sixty (60) after receipt of the Default Notice thereof. Default is defined as:
 - 4.6.1 Either Party's insolvency or initiation of bankruptcy or receivership proceedings by or against the Party; or
 - 4.6.2 A decision under §9.0, Dispute Resolution that a Party has materially breached any of the terms or conditions hereof, or
 - 4.6.3 A Party has notified the other Party in writing of the other Party's material breach of any of the material terms hereof, and the default remains uncured for sixty (60) days from receipt of such notice, provided, however, that if the alleged material breach involves a

material interruption to, or a material degradation of, the E911 services provided under this Agreement, the cure period shall be five (5) days from receipt of such notice.

5.0 Assignment

- 5.1 Any assignment by either Party to any non-affiliated entity of any right, obligation or duty, or of any other interest hereunder, in whole or in part, without the prior written consent of the other Party shall be void. A Party may assign this Agreement in its entirety to an Affiliate of the Party without the consent of the other Party; provided, however, that the assigning Party shall notify the other Party in writing of such assignment thirty (30) days prior to the Effective Date thereof and, provided further, if the assignee is an assignee of ALEC, the assignee must provide evidence of Commission CLEC certification. The Parties shall amend this Agreement to reflect such assignments and shall work cooperatively to implement any changes required due to such assignment. All obligations and duties of any Party under this Agreement shall be binding on all successors in interest and assigns of such Party. No assignment or delegation hereof shall relieve the assignor of its obligations under this Agreement in the event that the assignee fails to perform such obligations. Notwithstanding anything to the contrary in this Section, ALEC shall not assign this Agreement to any Affiliate or non-affiliated entity unless either (1) ALEC pays all bills, past due and current, under this Agreement, or (2) ALEC's assignee expressly assumes liability for payment of such bills.
- 5.2 As a minimum condition of any assignment or transfer of this Agreement (or any rights hereunder) that is permitted under or consented to by Windstream pursuant to this Section 5, ALEC agrees that any change, modification or other activity required for Windstream to accommodate or recognize the successor to or assignee of ALEC shall be a CLEC Change. Windstream shall have no obligation to proceed with such activities nor shall any otherwise acceptable assignment or transfer be effective against Windstream until the Parties agree upon the charges that apply to such CLEC Change.
- 5.3 In the event that ALEC makes any corporate name change (whether it involves a merger, consolidation, assignment or transfer, and including addition or deletion of a d/b/a), change in OCN/ACNA, or makes or accepts a transfer or assignment of interconnection trunks or facilities (including leased facilities), or a change in any other CLEC identifier (collectively, a "CLEC Change"), ALEC shall submit written notice to Windstream within thirty (30) days of the first action taken to implement such CLEC Change. Within thirty (30) days following receipt of that notice, the Parties shall negotiate rates to compensate Windstream for the expenses to be incurred by Windstream to make the CLEC Change to the applicable Windstream databases, systems, records and/or recording announcement(s) for ALEC branded/repair calls. In addition, ALEC shall compensate Windstream for any service order charges and/or service request charges, as specified in Appendix B, associated with such CLEC Change. Windstream's Agreement to implement a CLEC Change is conditioned upon ALEC's Agreement to pay all reasonable charges billed to ALEC for such CLEC Change.

6.0 Confidential and Proprietary Information

- 6.1 For the purposes of this Agreement, confidential information means confidential or proprietary technical, Customer, End User, network, or business information disclosed by one Party (the "Discloser") to the other Party (the "Recipient"), which is disclosed by one Party to the other in connection with this Agreement, during negotiations or the term of this Agreement ("Confidential Information"). Such Confidential Information shall automatically be deemed proprietary to the Discloser and subject to this §6.0, unless otherwise confirmed in writing by the Discloser. All other information which is indicated and marked, as Confidential Information at the time of disclosure shall also be treated as Confidential Information under §6.0 of this Agreement. The

Recipient agrees (i) to use Confidential Information only for the purpose of performing under this Agreement, (ii) to hold it in confidence and disclose it to no one other than its employees or agents having a need to know for the purpose of performing under this Agreement, and (iii) to safeguard it from unauthorized use or disclosure using at least the same degree of care with which the Recipient safeguards its own Confidential Information. If the Recipient wishes to disclose the Discloser's Confidential Information to a third-party agent or consultant, such disclosure must be agreed to in writing by the Discloser, and the agent or consultant must have executed a written Agreement of nondisclosure and nonuse comparable to the terms of this Section.

- 6.2 The Recipient may make copies of Confidential Information only as reasonably necessary to perform its obligations under this Agreement. All such copies will be subject to the same restrictions and protections as the original and will bear the same copyright and proprietary rights notices as are contained on the original.
- 6.3 The Recipient agrees to return all Confidential Information to the Discloser in tangible form received from the Discloser, including any copies made by the Recipient within thirty (30) days after a written request is delivered to the Recipient, or to destroy all such Confidential Information if directed to do so by Discloser except for Confidential Information that the Recipient reasonably requires to perform its obligations under this Agreement. If either Party loses or makes an unauthorized disclosure of the other Party's Confidential Information, it will notify such other Party immediately and use reasonable efforts to retrieve the lost or wrongfully disclosed information.
- 6.4 The Recipient will have no obligation to safeguard Confidential Information: (i) which was in the possession of the Recipient free of restriction prior to its receipt from the Discloser; (ii) after it becomes publicly known or available through no breach of this Agreement by the Recipient, (iii) after it is rightfully acquired by the Recipient free of restrictions on its disclosure, or (iv) after it is independently developed by personnel of the Recipient to whom the Discloser's Confidential Information had not been previously disclosed. In addition, either Party will have the right to disclose Confidential Information to any mediator, arbitrator, state or federal regulatory body, or a court in the conduct of any mediation, arbitration or approval of this Agreement, as long as, in the absence of an applicable protective order, the Discloser has been previously notified by the Recipient in time sufficient for the Recipient to undertake lawful measures to avoid disclosing such information and for Discloser to have reasonable time to seek or negotiate a protective order before or with any applicable mediator, arbitrator, state or regulatory body or a court.
- 6.5 The Parties recognize that an individual End User may simultaneously seek to become or be a Customer of both Parties. Nothing in this Agreement is intended to limit the ability of either Party to use Customer specific information lawfully obtained from End Users or sources other than the Discloser, subject to applicable rules governing use of Customer Propriety Network Information (CPNI).
- 6.6 Each Party's obligations to safeguard Confidential Information disclosed prior to expiration or termination of this Agreement will survive such expiration or termination.
- 6.7 Except as otherwise expressly provided elsewhere in this Agreement, no license is hereby granted with respect to any patent, trademark, or copyright, nor is any such license implied solely by virtue of the disclosure of any Confidential Information.
- 6.8 Each Party agrees that the Discloser may be irreparably injured by a disclosure in breach of this Agreement by the Recipient or its representatives and the Discloser will be entitled to seek equitable relief, including injunctive relief and specific performance, in the event of any breach or threatened breach of the confidentiality provisions of this Agreement. Such remedies will not be deemed to be the exclusive remedies for a breach of this Agreement, but will be in addition to all other remedies available at law or in equity.

7.0 Liability and Indemnification

7.1 Limitation of Liabilities

With respect to any claim or suit for damages arising out of mistakes, omissions, defects in transmission, interruptions, failures, delays or errors occurring in the course of furnishing any service hereunder, the liability of the Party furnishing the affected service, if any, shall not exceed a credit for the actual cost of the services or functions not performed or improperly performed for the period of that particular service during which such mistakes, omissions, defects in transmission, interruptions, failures, delays or errors occurs and continues; provided, however, that any such mistakes, omissions, defects in transmission, interruptions, failures, delays, or errors which are caused by the gross negligence or willful, wrongful act or omission of the complaining Party or which arise from the use of the complaining Party's facilities or equipment shall not result in the imposition of any liability whatsoever upon the other Party furnishing service.

7.2 No Consequential Damages

EXCEPT AS SPECIFICALLY PROVIDED IN THIS AGREEMENT, NEITHER PARTY WILL BE LIABLE TO THE OTHER PARTY FOR ANY INDIRECT, INCIDENTAL, CONSEQUENTIAL, OR SPECIAL DAMAGES SUFFERED BY SUCH OTHER PARTY (INCLUDING WITHOUT LIMITATION DAMAGES FOR HARM TO BUSINESS, LOST REVENUES, LOST SAVINGS, OR LOST PROFITS SUFFERED BY SUCH OTHER PARTY), REGARDLESS OF THE FORM OF ACTION, WHETHER IN CONTRACT, WARRANTY, STRICT LIABILITY, OR TORT, INCLUDING WITHOUT LIMITATION NEGLIGENCE OF ANY KIND WHETHER ACTIVE OR PASSIVE, AND REGARDLESS OF WHETHER THE PARTIES KNEW OF THE POSSIBILITY THAT SUCH DAMAGES COULD RESULT. EACH PARTY HEREBY RELEASES THE OTHER PARTY (AND SUCH OTHER PARTY'S SUBSIDIARIES AND AFFILIATES, AND THEIR RESPECTIVE OFFICERS, DIRECTORS, EMPLOYEES, AND AGENTS) FROM ANY SUCH CLAIM. NOTHING CONTAINED IN THIS SECTION WILL LIMIT EITHER PARTY'S LIABILITY TO THE OTHER PARTY FOR (i) WILLFUL OR INTENTIONAL MISCONDUCT (INCLUDING GROSS NEGLIGENCE) OR (ii) BODILY INJURY, DEATH, OR DAMAGE TO TANGIBLE REAL OR TANGIBLE PERSONAL PROPERTY.

7.3 Obligation to Indemnify

- 7.3.1 Each Party shall be indemnified and held harmless by the other Party against claims, losses, suits, demands, damages, costs, expenses, including reasonable attorneys' fees ("Claims"), asserted, suffered, or made by third parties arising from (i) any act or omission of the indemnifying Party in connection with its performance or non-performance under his Agreement; and (ii) provision of the indemnifying Party's services or equipment, including but not limited to claims arising from the provision of the indemnifying Party's services to its End Users (e.g., claims for interruption of service, quality of service or billing disputes) unless such act or omission was caused by the negligence or willful misconduct of the indemnified Party. Each Party shall also be indemnified and held harmless by the other Party against claims and damages of persons for services furnished by the indemnifying Party or by any of its subcontractors, under worker's compensation laws or similar statutes.
- 7.3.2 Each Party, as an Indemnifying Party agrees to release, defend, indemnify, and hold harmless the other Party from any claims, demands or suits that asserts any infringement or invasion of privacy or confidentiality of any person or persons caused or claimed to be

caused, directly or indirectly, by the Indemnifying Party's employees and equipment associated with the provision of any service herein. This provision includes but is not limited to suits arising from unauthorized disclosure of the End User's name, address or telephone number.

7.3.3 Windstream makes no warranties, express or implied, concerning ALEC's (or any third party's) rights with respect to intellectual property (including without limitation, patent, copyright and trade secret rights) or contract rights associated with ALEC's interconnection with Windstream's network use or receipt of Windstream services.

7.3.4 When the lines or services of other companies and carriers are used in establishing connections to and/or from points not reached by a Party's lines, neither Party shall be liable for any act or omission of the other companies or carriers.

7.4 Obligation to Defend; Notice; Cooperation

Whenever a claim arises for indemnification under this Section (the "Claim"), the relevant Indemnitee, as appropriate, will promptly notify the Indemnifying Party and request the Indemnifying Party to defend the same. Failure to so notify the Indemnifying Party will not relieve the Indemnifying Party of any liability that the Indemnifying Party might have, except to the extent that such failure prejudices the Indemnifying Party's ability to defend such Claim. The Indemnifying Party will have the right to defend against such Claim in which event the Indemnifying Party will give written notice to the Indemnitee of acceptance of the defense of such Claim and the identity of counsel selected by the Indemnifying Party. Except as set forth below, such notice to the relevant Indemnitee will give the Indemnifying Party full authority to defend, adjust, compromise, or settle such Claim with respect to which such notice has been given, except to the extent that any compromise or settlement might prejudice the Intellectual Property Rights of the relevant Indemnities. The Indemnifying Party will consult with the relevant Indemnitee prior to any compromise or settlement that would affect the Intellectual Property Rights or other rights of any Indemnitee, and the relevant Indemnitee will have the right to refuse such compromise or settlement and, at such Indemnitee's sole cost, to take over such defense of such Claim. Provided, however, that in such event the Indemnifying Party will not be responsible for, nor will it be obligated to indemnify the relevant Indemnitee against any damages, costs, expenses, or liabilities, including without limitation, attorneys' fees, in excess of such refused compromise or settlement. With respect to any defense accepted by the Indemnifying Party, the relevant Indemnitee will be entitled to participate with the Indemnifying Party in such defense if the Claim requests equitable relief or other relief that could affect the rights of the Indemnitee and also will be entitled to employ separate counsel for such defense at such Indemnitee's expense. In the event the Indemnifying Party does not accept the defense of any indemnified Claim as provided above, the relevant Indemnitee will have the right to employ counsel for such defense at the expense of the Indemnifying Party, and the Indemnifying Party shall be liable for all costs associated with Indemnitee's defense of such Claim including court costs, and any settlement or damages awarded the third party. Each Party agrees to cooperate and to cause its employees and agents to cooperate with the other Party in the defense of any such Claim.

8.0 Payment of Rates and Late Payment Charges

8.1 Windstream, at its discretion may require ALEC to provide Windstream a security deposit to ensure payment of ALEC's account. The security deposit must be an amount equal to three (3) months anticipated charges (including, but not limited to, recurring, non-recurring, termination charges and advance payments), as reasonably determined by Windstream, for the interconnection, resale services, network elements, collocation or any other functions, facilities, products or services to be furnished by Windstream under this Agreement.

- 8.1.1 Such security deposit shall be a cash deposit or other form of security acceptable to Windstream. Any such security deposit may be held during the continuance of the service as security for the payment of any and all amounts accruing for the service.
- 8.1.2 If a security deposit is required, such security deposit shall be made prior to the activation of service.
- 8.1.3 The fact that a security deposit has been provided in no way relieves ALEC from complying with Windstream's regulations as to advance payments and the prompt payment of bills on presentation nor does it constitute a waiver or modification of the regular practices of Windstream providing for the discontinuance of service for non-payment of any sums due Windstream.
- 8.1.4 Windstream reserves the right to increase the security deposit requirements when, in its sole judgment, circumstances so warrant and/or gross monthly billing has increased beyond the level initially used to determine the security deposit.
- 8.1.5 In the event that ALEC is in breach of this Agreement, service to ALEC may be terminated by Windstream; any security deposits applied to its account and Windstream may pursue any other remedies available at law or equity.
- 8.1.6 In the case of a cash deposit, interest at a rate as set forth in the appropriate Windstream tariff shall be paid to ALEC during the possession of the security deposit by Windstream. Interest on a security deposit shall accrue annually and, if requested, shall be annually credited to ALEC by the accrual date.
- 8.2 Windstream may, but is not obligated to, draw on the cash deposit, as applicable, upon the occurrence of any one of the following events.
 - 8.2.1 ALEC owes Windstream undisputed charges under this Agreement that are more than thirty (30) calendar days past due; or
 - 8.2.2 ALEC admits its inability to pay its debts as such debts become due, has commenced a voluntary case (or has had an involuntary case commenced against it) under the U.S. Bankruptcy Code or any other law relating to insolvency, reorganization, wind-up, composition or adjustment of debts or the like, has made an assignment for the benefit of creditors or, is subject to a receivership or similar proceeding; or
 - 8.2.3 The expiration or termination of this Agreement.
- 8.3 If Windstream draws on the security deposit, upon request by Windstream, ALEC will provide a replacement deposit conforming to the requirements of Section 8.1.
- 8.4 Except as otherwise specifically provided elsewhere in this Agreement, the Parties will pay all rates and charges due and owing under this Agreement within thirty (30) days of the invoice date in immediately available funds. The Parties represent and covenant to each other that all invoices will be promptly processed and mailed in accordance with the Parties' regular procedures and billing systems.
 - 8.4.1 If the payment due date falls on a Sunday or on a Holiday which is observed on a Monday, the payment due date shall be the first non-Holiday following such Sunday or Holiday. If the payment due date falls on a Saturday or on a Holiday which is observed on Tuesday, Wednesday, Thursday, or Friday, the payment due date shall be the last non-

Holiday preceding such Saturday or Holiday. If payment is not received by the payment due date, a late penalty, as set forth in §8.5 below, will be assessed.

- 8.5 If the amount billed is received by the billing Party after the payment due date or if any portion of the payment is received by the billing Party in funds which are not immediately available to the billing Party, then a late payment charge will apply to the unpaid balance.
- 8.6 Except as otherwise specifically provided in this Agreement interest on overdue invoices will apply at the lesser of the highest interest rate (in decimal value) which may be levied by law for commercial transactions, compounded daily and applied for each month or portion thereof that an outstanding balance remains, or shall not exceed 0.0004930% compounded daily and applied for each month or portion thereof that an outstanding balance remains.

9.0 Dispute Resolution

9.1 Notice of Disputes

Notice of a valid contractual dispute must be in writing, specifically documenting the nature of the dispute, and must include a detailed description of the underlying dispute (the "Dispute Notice"). Billing disputes from either party must be submitted on the Billing Dispute Form contained in Appendix A or the dispute will not be accepted as a valid billing dispute and therefore rejected by the billing Party. The billing dispute form must be completed with all fields populated by the disputing Party or the form will be rejected by the billing Party.

9.1.1 Billing Disputes

The disputing Party must submit billing disputes ("Billing Disputes") to the billing Party on the Billing Dispute Form contained in Appendix A by the due date on the disputed bill. The dispute form must be complete, with all fields populated with the required information for the billable element in dispute. If the billing dispute form is not complete with all information, the dispute will be rejected by the billing Party. After receipt of a completed dispute, the billing Party will review to determine the accuracy of the billing dispute. If the billing Party determines the dispute is valid, the billing Party will credit the disputing Party's bill by the next bill date. If the billing Party determines the billing dispute is not valid, the disputing Party may escalate the dispute as outlined in section 9.1.1.1. If escalation of the billing dispute does not occur within the 30 days as outlined below, the disputing Party must remit payment for the disputed charge, including late payment charges, to the billing Party by the next bill date and these charges are no longer considered disputed charges. The Parties will endeavor to resolve all Billing Disputes within sixty (60) calendar days from receipt of the Dispute Form.

9.1.1.1 Resolution of the dispute is expected to occur at the first level of management, resulting in a recommendation for settlement of the dispute and closure of a specific billing period. If the issues are not resolved within the allotted time frame, the following resolution procedure will be implemented:

9.1.1.1.1 If the dispute is not resolved within thirty (30) calendar days of receipt of the Dispute Notice, the dispute will be escalated to the second level of management for each of the respective Parties for resolution. If the dispute is not resolved within sixty (60) calendar days of the notification date, the dispute will be escalated to the third level of management for each of the respective Parties for resolution.

9.1.1.1.2 If the dispute is not resolved within ninety (90) calendar days of the receipt of the Dispute Form, the dispute will be escalated to the fourth level of management for each of the respective Parties for resolution.

9.1.1.1.3 Each Party will provide to the other Party an escalation list for resolving billing disputes. The escalation list will contain the name, title, phone number, fax number and email address for each escalation point identified in this section 9.1.1.1. A Party's failure to pay all undisputed amounts by the due date listed on the bill, is a material breach of this Agreement.

9.1.1.1.4 If the dispute is not resolved within one hundred twenty (120) days of receipt of the Dispute Form or either Party is not operating in good faith to resolve the dispute, the Formal Dispute Resolution process, outlined in section 9.4, may be invoked.

9.1.1.2 If the disputing Party disputes a charge and does not pay such charge by the payment due date, such charges shall be subject to late payment charges as set forth in subsection 8.3 above. If the disputing Party disputes charges and the dispute is resolved in favor of the disputing Party, the billing Party shall credit the bill of the disputing Party for the amount of the disputed charges, along with any late payment charges assessed, by the next billing cycle after the resolution of the dispute. Accordingly, if the disputing Party disputes charges and the dispute is resolved in favor of the billing Party, the disputing Party shall pay the billing Party the amount of the disputed charges and any associated late payment charges, by the next billing due date after the resolution of the dispute.

9.1.1.3 For purposes of this subsection 9.1.1, a billing dispute shall not include the refusal to pay other amounts owed to a Party pending resolution of the dispute. Claims by the disputing Party for damages of any kind will not be considered a Bona Fide Dispute for purposes of this subsection 9.1.1. Parties failure to pay all undisputed amounts by the due date listed on the bill, is a material breach of this agreement.

9.1.1.4 Once the billing dispute has been processed in accordance with this subsection 9.1.1, the disputing Party will make immediate payment on any of the disputed amount owed to the billing Party, or the billing Party shall have the right to pursue normal treatment procedures. Any credits due to the disputing Party resulting from the Dispute process will be applied to the disputing Party's account by the billing Party immediately upon resolution of the dispute.

9.1.1.5 Neither Party shall bill the other Party for charges incurred more than twelve (12) months after the service is provided to the non-billing Party.

9.1.2 **All Other Disputes**

All other disputes (*i.e.*, contractual disputes) shall be valid only if reasonable within the scope of this Agreement, and the applicable statute of limitations shall govern such disputes

9.2 **Alternative to Litigation**

9.2.1 The Parties desire to resolve disputes arising out of this Agreement without litigation. Accordingly, except for action seeking a temporary restraining order, an injunction, or similar relief from the PUC related to the purposes of this Agreement, or suit to compel compliance with this Dispute Resolution process, the Parties agree to use the following

Dispute Resolution procedure with respect to any controversy or claim arising out of or relating to this Agreement or its breach.

- 9.2.2 Each Party agrees to promptly notify the other Party in writing of a dispute and may in the Dispute Notice invoke the informal dispute resolution process described in §9.4. The Parties will endeavor to resolve the dispute within thirty (30) days after the date of the Dispute Notice.

9.3 **Informal Resolution of Disputes**

In the case of any dispute and upon receipt of the Dispute Notice each Party will appoint a duly authorized representative knowledgeable in telecommunications matters, to meet and negotiate in good faith to resolve any dispute arising under this Agreement. The location, form, frequency, duration, and conclusion of these discussions will be left to the discretion of the representatives. Upon Agreement, the representatives may, but are not obligated to, utilize other alternative dispute resolution procedures such as mediation to assist in the negotiations. Discussions and the correspondence among the representatives for purposes of settlement are exempt from discovery and production and will not be admissible in the arbitration described below or in any lawsuit without the concurrence of both Parties. Documents identified in or provided with such communications, which are not prepared for purposes of the negotiations, are not so exempted and, if otherwise admissible, may be admitted in evidence in the arbitration or lawsuit. Unless otherwise provided herein, or upon the Parties' Agreement, either Party may invoke formal dispute resolution procedures including arbitration or other procedures as appropriate, not earlier than thirty (30) days after the date of the Dispute Notice, provided the Party invoking the formal dispute resolution process has in good faith negotiated, or attempted to negotiate, with the other Party.

9.4 **Formal Dispute Resolution**

- 9.4.1 The Parties agree that all unresolved disputes arising under this Agreement, including without limitation, whether the dispute in question is subject to arbitration, may be submitted to PUC for resolution in accordance with its dispute resolution process and the outcome of such process will be binding on the Parties, subject to any right to appeal a decision reached by the PUC under applicable law.
- 9.4.2 If the PUC does not have or declines to accept jurisdiction over any dispute arising under this Agreement, either Party may proceed with any remedy available to it pursuant to law, equity or agency mechanisms; provided that, upon mutual agreement of the Parties, such disputes may also be submitted to binding arbitration by a single arbitrator pursuant to the Commercial Arbitration Rules of the American Arbitration Association. A Party may demand such arbitration in accordance with the procedures set out in those rules. Discovery shall be controlled by the arbitrator and shall be permitted to the extent set out in this section or upon approval or order of the arbitrator. Each Party may submit in writing to a Party, and that Party shall so respond, to a maximum of any combination of thirty-five (35) (none of which may have subparts) of the following: interrogatories; demands to produce documents; requests for admission. Additional discovery may be permitted upon mutual Agreement of the Parties. The arbitration hearing shall be commenced within ninety (90) days of the demand for arbitration. The arbitration shall be held in Kentucky, unless otherwise agreed to by the Parties or required by the FCC. The arbitrator shall control the scheduling so as to process the matter expeditiously. The Parties shall submit written briefs five days before the hearing. The arbitrator shall rule on the dispute by issuing a written opinion within thirty (30) days after the close of hearings. The arbitrator has no authority to order punitive or consequential damages. The times specified in this section may be extended upon mutual Agreement of the Parties or by the arbitrator upon a showing of good cause. Judgment upon the award rendered by the arbitrator may be entered in any court having jurisdiction.

- 9.4.3 Each Party shall bear its own costs of these procedures unless the Kentucky PUC or other presiding arbitrator, if any, rules otherwise. A Party seeking discovery shall reimburse the responding Party for the costs of production of documents (including search time and reproduction costs).

9.5 Conflicts

- 9.5.1 The Parties agree that the Dispute Resolution procedures set forth in this Agreement are not intended to conflict with applicable requirements of the Act or the state commission with regard to procedures for the resolution of disputes arising out of this Agreement and do not preclude a Party from seeking relief under applicable rules or procedures of the PUC.

9.6 Arbitration

- 9.6.1 Any disputes involving amounts which represent Fifty Thousand Dollars (\$50,000) or less annually, not resolved pursuant to the informal dispute resolution procedures set forth in § 9.3 within ninety (90) days of the Dispute Notice may be submitted to binding arbitration by a single arbitrator pursuant to the Commercial Arbitration Rules of the American Arbitration Association. A Party may demand such arbitration in accordance with the procedures set out in those rules. Discovery shall be controlled by the arbitrator and shall be permitted to the extent set out in this Section. Each Party may submit in writing to a Party, and that Party shall so respond, to a maximum of any combination of thirty-five (35) (none of which may have subparts) of the following: interrogatories; demands to produce documents; requests for admission.
- 9.6.2 Additional discovery may be permitted upon mutual agreement of the Parties. The arbitration hearing shall be commenced within ninety (90) days of the demand for arbitration. The arbitration shall be held in the state capitol of the state where the Interconnection Services are provided. The arbitrator shall control the scheduling so as to process the matter expeditiously. The Parties shall submit written briefs five days before the hearing. The arbitrator shall rule on the dispute by issuing a written opinion within thirty (30) days after the close of hearings. The arbitrator shall have no authority to order punitive or consequential damages. The times specified in this Section may be extended upon mutual agreement of the Parties or by the arbitrator upon a showing of good cause. Judgment upon the award rendered by the arbitrator may be entered in any court having jurisdiction.

9.7 Costs

- 9.7.1 Each Party shall bear its own costs of these procedures. A Party seeking discovery shall reimburse the responding Party for the costs of production of documents (including search time and reproduction costs).

10.0 INTENTIONALLY LEFT BLANK

11.0 Notices

- 11.1 Except as otherwise specifically provided in this Agreement, all notice, consents, approvals, modifications, or other communications to be given under this Agreement shall be in writing and sent postage prepaid by registered mail return receipt requested. Notice may also be effected by personal delivery or by overnight courier. All notices will be effective upon receipt, and should be directed to the following:

If to ALEC:

Matthew Phillips
ALEC, Inc., Chief Executive Officer
2704 Old Rose Bud Rd.
Suite 270
Lexington, KY 40509

Copy to:

DWT c/o Michael Sloane
1919 Pennsylvania Ave
Suite 200
Washington, DC 20006

If to Windstream:

Windstream
Staff Manager – Interconnection Services
4001 Rodney Parham Road
1170 B3F03-84A
Little Rock, AR 72212

Copy to:

Windstream
Attn: Windstream Legal Department
1170 B1F03-53A
Little Rock, AR 72212

- 11.2 Either Party may unilaterally change its designated representative and/or address, telephone contact number or facsimile number for the receipt of notices by giving seven (7) days' prior written notice to the other Party in compliance with this Section.

12.0 Taxes

- 12.1 Each Party purchasing services hereunder shall pay or otherwise be responsible for all federal, state, or local sales, use, excise, gross receipts, transaction or similar taxes, fees or surcharges (hereinafter "Tax") levied against or upon such purchasing Party (or the providing Party when such providing Party is permitted to pass along to the purchasing Party such taxes, fees or surcharges), except for any tax on either Party's corporate existence, status or income. Whenever possible, these amounts shall be billed as a separate item on the invoice. Purchasing Party may be exempted from certain taxes if purchasing Party provides proper documentation, e.g., reseller certificate, from the appropriate taxing authority. Failure to timely provide said resale tax exemption certificate will result in no exemption being available to the purchasing Party until such time as the purchasing Party presents a valid certification.
- 12.2 With respect to any purchase of services, facilities or other arrangements, if any Tax is required or permitted by applicable law to be collected from the purchasing Party by the providing Party, then

(i) the providing Party shall bill the purchasing Party for such Tax, (ii) the purchasing Party shall remit such Tax to the providing Party and (iii) the providing Party shall remit such collected Tax to the applicable taxing authority, except as otherwise indicated below.

- 12.3 The Parties agree that each Party shall generally be responsible for collecting and remitting to the appropriate city, any franchise fees or taxes for use of city rights of way, in accordance with the terms of that Party's franchise Agreement. In the event a city attempts to require both Parties to pay franchise fees on the same revenues with respect to resold services or unbundled network elements then the Parties agree to cooperate in opposing such double taxation.
- 12.4 With respect to any purchase hereunder of services, facilities or arrangements that are resold to a third party, if any Tax is imposed by applicable law on the End User in connection with any such purchase, then (i) the purchasing Party shall be required to impose and/or collect such Tax from the End User and (ii) the purchasing Party shall remit such Tax to the applicable taxing authority. The purchasing Party agrees to indemnify and hold harmless the providing Party on an after-tax basis for any costs incurred by the providing Party as a result of actions taken by the applicable taxing authority to collect the Tax from the providing Party due to the failure of the purchasing Party to pay or collect and remit such tax to such authority.
- 12.5 If the providing Party fails to collect any Tax as required herein, then, as between the providing Party and the purchasing Party, (i) the purchasing Party shall remain liable for such uncollected Tax and (ii) the providing Party shall be liable for any penalty and interest assessed with respect to such uncollected Tax by such authority. However, if the purchasing Party fails to pay any taxes properly billed, then, as between the providing Party and the purchasing Party, the purchasing Party will be solely responsible for payment of the taxes, penalty and interest.
- 12.6 If the purchasing Party fails to impose and/or collect any Tax from End Users as required herein, then, as between the providing Party and the purchasing Party, the purchasing Party shall remain liable for such uncollected Tax and any interest and penalty assessed thereon with respect to the uncollected Tax by the applicable taxing authority. With respect to any Tax that the purchasing Party has agreed to pay or impose on and/or collect from End Users, the purchasing Party agrees to indemnify and hold harmless the providing Party on an after-tax basis for any costs incurred by the providing Party as a result of actions taken by the applicable taxing authority to collect the Tax from the providing Party due to the failure of the purchasing Party to pay or collect and remit such Tax to such authority.
- 12.7 All notices, affidavits, exemption certificates or other communications required or permitted to be given by either Party to the other Party under this §12.0, shall be made in writing and sent postage prepaid by registered mail return receipt requested. All notices shall be effective upon receipt. All notices sent pursuant to this Section shall be directed to the following:

To Windstream:

Windstream
Attn: Director State and Local Taxes
4001 Rodney Parham Road
1170 B1F03-70A
Little Rock, AR 72212

Copy to:

Windstream
Attn: Staff Manager - Interconnection Services
4001 Rodney Parham Road
1170 B3F03-84A
Little Rock, AR 72212

To ALEC:

Matthew Phillips
ALEC, Inc. Chief Executive Officer
2704 Old Rose Bud Rd.
Suite 270
Lexington, KY 40509

Copy to:

DWT c/o Michael Sloane
1919 Pennsylvania Ave
Suite 200
Washington, DC 20006

- 12.8 Either Party may unilaterally change its designated representative and/or address, telephone contact number or facsimile number for the receipt of notices by giving seven (7) days' prior written notice to the other Party in compliance with this Section.

13.0 Force Majeure

- 13.1 Except as otherwise specifically provided in this Agreement, neither Party shall be liable for delays or failures in performance resulting from acts or occurrences beyond the reasonable control of such Party, regardless of whether such delays or failures in performance were foreseen or foreseeable as of the date of this Agreement, including, without limitation: fire, explosion, power failure, acts of God, war, revolution, civil commotion, or acts of public enemies; epidemics, riots, insurrections, earthquakes, tornadoes, hurricanes, nuclear accidents, floods, or labor unrest, including, without limitation strikes, slowdowns, picketing or boycotts or delays caused by the other Party or by other service or equipment vendors; or any other similar circumstances beyond the Party's reasonable control. In such event, the Party affected shall, upon giving prompt notice to the other Party, be excused from such performance on a day-to-day basis to the extent of such interference (and the other Party shall likewise be excused from performance of its obligations on a day-for-day basis to the extent such Party's obligations relate to the performance so interfered with). The affected Party shall use its reasonable commercial efforts to avoid or remove the cause of nonperformance and both Parties shall proceed to perform with dispatch once the causes are removed or cease.

14.0 Publicity

- 14.1 The Parties agree not to use in any advertising or sales promotion, press releases or other publicity matters, any endorsements, direct or indirect quotes or pictures implying endorsement by the other Party or any of its employees without such Party's prior written approval. The Parties will submit to each other for written approval, prior to publication, all such publicity endorsement matters that mention or display the other's name and/or marks or contain language from which a connection to said name and/or marks may be inferred or implied.
- 14.2 Neither Party will offer any services using the trademarks, service marks, trade names, brand names, logos, insignia, symbols or decorative designs of the other Party or its affiliates without the other Party's written authorization.

15.0 Network Maintenance and Management

- 15.1 The Parties will work cooperatively to implement this Agreement. The Parties will exchange appropriate information (e.g., maintenance contact numbers, network information, information required to comply with law enforcement and other security agencies of the Government, etc.) to achieve this desired reliability, subject to the confidentiality provisions herein.
- 15.2 Each Party will provide a 24-hour contact number for Network Traffic Management issues to the other's surveillance management center. A facsimile (FAX) number must also be provided to facilitate event notifications for planned mass calling events. Additionally, both Parties agree that they will work cooperatively to ensure that all such events will attempt to be conducted in such a manner as to avoid disruption or loss of service to other End Users.

15.2.1 24 Hour Network Management Contact:

For Windstream:

Contact Number: 800-800-6609

For ALEC:

Contact Number: 859-254-9667 Opt 4

- 15.3 Neither Party will use any service provided under this Agreement in a manner that impairs the quality of service to other carriers or to either Party's subscribers. Either Party will provide the other Party notice of said impairment at the earliest practicable time.

16.0 Law Enforcement and Civil Process

16.1 Intercept Devices

Local and federal law enforcement agencies periodically request information or assistance from local telephone service providers. When either Party receives a request associated with a Customer of the other Party, the receiving Party will refer such request to the appropriate Party, unless the request directs the receiving Party to attach a pen register, trap-and-trace or form of intercept on the Party's own facilities, in which case that Party will comply with any valid requirement, to the extent the receiving Party is able to do so; if such compliance requires the assistance of the other Party such assistance will be provided.

16.2 Subpoenas

If a Party receives a subpoena for information concerning an End User the Party knows to be an End User of the other Party, the receiving Party will refer the subpoena to the requesting entity with an indication that the other Party is the responsible company.

16.3 Law Enforcement Emergencies

If a Party receives a request from a law enforcement agency to implement at its switch a temporary number change, temporary disconnect, or one-way denial of outbound calls for an End User of the other Party, the receiving Party will comply so long as it is a valid emergency request. Neither Party will be held liable for any claims or damages arising from compliance with such requests,

and the Party serving the End User agrees to indemnify and hold the other Party harmless against any and all such claims.

- 16.4 The Parties will provide five (5) day a week 8:00 a.m. to 5:00 p.m. installation and information retrieval pertaining to lawful, manual traps and information retrieval on Customer invoked CLASS services pertaining to non-emergency calls such as annoyance calls. The Parties will provide assistance twenty-four (24) hours per day for situations involving immediate threat of life or at the request of law enforcement officials. The Parties will provide a twenty-four (24) hour contact number to administer this process.

17.0 Changes in Subscriber Carrier Selection

- 17.1 Each Party will abide by applicable state or federal laws and regulations in obtaining End User authorization prior to changing End User's Local Service Provider to itself and in assuming responsibility for any applicable charges as specified in §258 (b) of the Telecommunications Act of 1996. Either Party shall make authorization available to the other Party upon reasonable requests and at no charge.

- 17.2 Either Party can initiate a challenge to a change in its local exchange service provider. If an End User notifies either Party that the End User requests local exchange service, the Party receiving such request shall be free to immediately provide service to such End User.

- 17.3 When an End User changes or withdraws authorization, each Party will release Customer specific facilities in accordance with the Customers' direction or the End User's authorized agent.

- 17.4 Subject to applicable rules, orders, and decisions, Windstream will provide ALEC with access to Customer Proprietary Network Information (CPNI) for Windstream End Users upon ALEC providing Windstream a signed Letter of Agency (LOA) for Windstream's Customer of record, based on ALEC's representation that subscriber has authorized ALEC to obtain such CPNI.

- 17.4.1 The Parties agree that they will conform to FCC and/or state regulations regarding the provisioning of CPNI between the Parties, and regarding the use of that information by the requesting Party.

- 17.4.2 The requesting Party will document end user permission obtained to receive CPNI, whether or not the end user has agreed to change Local Service Providers. CLEC will provide Windstream with a blanket LOA representing that it has received authorization from all customers whose service is transferred to CLEC. For end users changing service from one Party to the other, specific end user LOAs or similar third party verification data, may be requested by the Party receiving CPNI requests to investigate possible slamming incidents, and for other reasons agreed to by the Parties. The receiving Party may also request documentation of an LOA if CPNI is requested and a subsequent service order for the change of local service is not received.

- 17.4.3 It is the responsibility of ALEC to provide Windstream with a Letter of Agency when ALEC is working on behalf of a party not a party to this agreement.

- 17.4.4 CPNI requests will be processed in accordance with the following:

17.4.4.1 For Customers with 1-25 lines: two (2) business days.

17.4.4.2 For Customers with 26+ lines: three (3) business days.

17.4.5 If the Parties do not agree that ALEC requested CPNI for a specific End User, or that Windstream has erred in not accepting proof of an LOA, the Parties may immediately request dispute resolution in accordance with General Terms & Conditions, §9.0, Dispute Resolution.

17.5 Windstream will only accept an LOA for a Windstream Customer of record. ALEC may not delegate its obligation to obtain written authorization from Windstream's Customer of record to a third party.

18.0 Amendments or Waivers

18.1 Except as otherwise provided in this Agreement, no amendment to this Agreement will be effective unless the same is in writing and signed by an authorized representative of each Party. In addition, no course of dealing or failure of a Party strictly to enforce any term, right or condition of this Agreement will be construed as a waiver of such term, right, or condition. The Parties recognize that Windstream is a 2 % Rural Telephone Company and is entitled to all rights afforded 2% Rural Telephone Companies under the Act including, but not limited to, exemptions, suspensions, and modifications under 47 USC § 251(f). This Agreement does not affect, and Windstream does not waive, any rights including, but not limited to, the rights afforded Windstream under 47 USC § 251(f). The Parties enter into this Agreement without prejudice to any positions they have taken previously, or may take in the future in any legislative, regulatory, other public forum, contract negotiation, bona fide request, or arbitration addressing any matters, including matters related to the types of arrangements prescribed by this Agreement.

19.0 Authority

19.1 Each person whose signature appears below represents and warrants that they have the authority to bind the Party on whose behalf they executed this Agreement.

20.0 Binding Effect

20.1 This Agreement will be binding on and inure to the benefit of the respective successors and permitted assigns of the Parties.

21.0 Consent

21.1 Where consent, approval, or mutual Agreement is required of a Party, it will not be unreasonably withheld or delayed.

22.0 Expenses

22.1 Except as specifically set out in this Agreement, each Party will be solely responsible for its own expenses involved in all activities related to the subject of this Agreement.

23.0 Headings

- 23.1 The headings in this Agreement are inserted for convenience and identification only and will not be considered in the interpretation of this Agreement.

24.0 Relationship of Parties

- 24.1 This Agreement will not establish, be interpreted as establishing, or be used by either Party to establish or to represent their relationship as any form of agency, partnership or joint venture. Neither Party will have any authority to bind the other Party, nor to act as an agent for the other Party unless written authority, separate from this Agreement, is provided. Nothing in the Agreement will be construed as providing for the sharing of profits or losses arising out of the efforts of either or both of the Parties. Nothing herein will be construed as making either Party responsible or liable for the obligations and undertakings of the other Party.

25.0 Conflict of Interest

- 25.1 The Parties represent that no employee or agent of either Party has been or will be employed, retained, paid a fee, or otherwise received or will receive any personal compensation or consideration from the other Party, or any of the other Party's employees or agents in connection with the arranging or negotiation of this Agreement or associated documents.

26.0 Multiple Counterparts

- 26.1 This Agreement may be executed in multiple counterparts, each of which will be deemed an original but all of which will together constitute but one, and the same document.

27.0 Third Party Beneficiaries

- 27.1 Except as may be specifically set forth in this Agreement, this Agreement does not provide and will not be construed to provide third parties with any remedy, claim, liability, reimbursement, cause of action, or other privilege.

28.0 Regulatory Approval

- 28.1 Each Party agrees to cooperate with the other Party and with any regulatory agency to obtain regulatory approval. During the term of this Agreement, each Party agrees to continue to cooperate with the other Party and any regulatory agency so that the benefits of this Agreement may be achieved.

- 28.2 Upon execution of this Agreement, it shall be filed with the appropriate state regulatory agency pursuant to the requirements of §252 of the Act. If the state regulatory agency imposes any filing(s) or public interest notice(s) regarding the filing or approval of the Agreement, ALEC shall assume sole responsibility in making such filings or notices. All costs associated with the aforementioned filing(s) or notice(s) shall borne by ALEC. Notwithstanding the foregoing, this Agreement shall not be submitted for approval by the appropriate state regulatory agency unless and until such time as ALEC is duly certified as a local exchange carrier in such state, except as otherwise required by a Commission.

29.0 Trademarks and Trade Names

- 29.1 Each Party warrants that, to the best of its knowledge, the services provided under this Agreement do not or will not violate or infringe upon any patent, copyright, trademark, or trade secret rights of any other persons.
- 29.2 Except as specifically set out in this Agreement, nothing in this Agreement will grant, suggest, or imply any authority for one Party to use the name, trademarks, service marks, or trade names of the other Party for any purpose whatsoever, absent written consent of the other Party.

30.0 Regulatory Authority

- 30.1 Each Party will be responsible for obtaining and keeping in effect all Federal Communications Commission, state regulatory commission, franchise authority and other regulatory approvals that may be required in connection with the performance of its obligations under this Agreement. Each Party will reasonably cooperate with the other Party in obtaining and maintaining any required approvals necessary for fulfilling its obligations under this Agreement.

31.0 Verification Reviews

- 31.1 Subject to each Party's reasonable security requirements and except as may be otherwise specifically provided in this Agreement, either Party may audit the other Party's relevant books, records and other documents pertaining to services provided under this Agreement once in each Contract Year solely for the purpose of evaluating the accuracy of the other Party's billing and invoicing. Such audit will take place at a time and place agreed on by the Parties no later than sixty (60) days after notice thereof and shall be conducted at the requesting Party's sole expense. Notwithstanding the forgoing, all costs associated with requests for industry standard records needed for bill verification (e.g., CDR) shall be borne by the party producing the records.
- 31.2 The review will consist of an examination and verification of data involving records, systems, procedures and other information related to the services performed by either Party as related to settlement charges or payments made in connection with this Agreement as determined by either Party to be reasonably required. Each Party shall maintain reasonable records for a minimum of twelve (12) months and provide the other Party with reasonable access to such information as is necessary to determine amounts receivable or payable under this Agreement.
- 31.3 Adjustments, credits, or payments shall be made and any corrective action shall commence within thirty (30) days from the Requesting Party's receipt of the final audit report to compensate for any errors or omissions which are disclosed by such audit and are agreed to by the Parties. Audit findings may be applied retroactively for no more than twelve (12) months from the date the audit

began. Interest shall not exceed one and one-half (1 ½%) of the highest interest rate allowable by law for commercial transactions shall be assessed and shall be computed by compounding daily from the time of the overcharge, not to exceed twelve (12) months from the date the audit began to the day of payment or credit. Any disputes concerning audit results will be resolved pursuant to the Dispute Resolution procedures described in §9.0 of this Agreement.

- 31.4 Each Party will cooperate fully in any such audit, providing reasonable access to any and all appropriate employees and books, records and other documents reasonably necessary to assess the accuracy of the Party's bills.
- 31.5 Verification reviews will be limited in frequency to once per twelve (12) month period, with provision for staged reviews, as mutually agreed, so that all subject matters are not required to be reviewed at the same time. Verification reviews will be scheduled subject to the reasonable requirements and limitations of the audited Party and will be conducted in a manner that will not interfere with the audited Party's business operations.
- 31.6 The Party requesting a verification review shall fully bear its costs associated with conducting a review. The Party being reviewed will provide access to required information, as outlined in this Section, at no charge to the reviewing Party. Should the reviewing Party request information or assistance beyond that reasonably required to conduct such a review, the Party being reviewed may, at its option, decline to comply with such request or may bill actual costs incurred in complying subsequent to the concurrence of the reviewing Party.
- 31.7 For purposes of conducting an audit pursuant to this Agreement, the Parties may employ other persons or firms for this purpose (so long as said Parties are bound by this Agreement). The Parties will bear their own reasonable expenses associated with the audit.
- 31.8 Information obtained or received by either Party in conducting the audit described in §31.0 shall be subject to the confidentiality provisions of §6.0 of this Agreement, whether or not marked as confidential.

32.0 Complete Terms

- 32.1 This Agreement sets forth the entire understanding and supersedes prior Agreements between the Parties relating to the subject matter contained herein and merges all prior discussions between them, and neither Party shall be bound by any definition, condition, provision, representation, warranty, covenant or promise other than as expressly stated in this Agreement or as is contemporaneously or subsequently set forth in writing and executed by a duly authorized officer or representative of the Party to be bound thereby.

33.0 Cooperation on Preventing End User Fraud

- 33.1 The Parties agree to cooperate with one another to investigate, minimize, and take corrective action in cases of fraud. The Parties' fraud minimization procedures are to be cost-effective and implemented so as not to unduly burden or harm one Party as compared to the other Party.
- 33.2 In cases of suspected fraudulent activity by an End User, at a minimum, the cooperation referenced in the above paragraph will include providing to the other Party, upon request, information concerning End Users who terminate services to that Party without paying all outstanding charges. The Party seeking such information is responsible for securing the End User's permission to obtain such information.

34.0 Notice of Network Changes

- 34.1 The Parties agree to provide each other with reasonable notice consistent with applicable FCC rules of changes in the information necessary for the transmission and routing of services using the other Party's facilities or networks, as well as other changes that affect the interoperability of those respective facilities and networks. Nothing in this Agreement is intended to limit either Party's ability to upgrade or modify its network, including without limitation, the incorporation of new equipment, new software or otherwise so long as such upgrades are not inconsistent with the Parties' obligations under this Agreement.

35.0 Modification of Agreement

- 35.1 If ALEC changes its name or makes changes to its company structure or identity due to a merger, acquisition, transfer or any other reason, it is the responsibility of ALEC to notify Windstream of said change and request that an amendment to this Agreement, if necessary, be executed to reflect said change.

36.0 Responsibility of Each Party

- 36.1 Each Party is an independent contractor, and has and hereby retains the right to exercise full control of and supervision over its own performance of its obligations under this Agreement and retains full control over the employment, direction, compensation and discharge of its employees assisting in the performance of such obligations. Each Party will be solely responsible for all matters relating to payment of such employees, including compliance with social security taxes, withholding taxes and all other regulations governing such matters. Each Party will be solely responsible for proper handling, storage, transport and disposal at its own expense of all (i) substances or materials that it or its contractors or agents bring to, create or assume control over at Work Locations or, (ii) waste resulting therefrom or otherwise generated in connection with its or its contractors' or agents' activities at the Work Locations. Subject to the limitations on liability and except as otherwise provided in this Agreement, each Party will be responsible for (i) its own acts and performance of all obligations imposed by applicable law in connection with its activities, legal status and property, real or personal and, (ii) the acts of its own affiliates, employees, agents and contractors during the performance of the Party's obligations hereunder.

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38.0 Governmental Compliance

- 38.1 Each Party will comply at its own expense with all applicable law that relates to i) its obligations under or activities in connection with this Agreement; of ii) its activities undertaken at, in connection with or relating to Work Locations. The Parties agree to indemnify, defend, (at the other Party's request) and save harmless the other Party, each of its officers, directors and employees from and against any losses, damages, claims, demands, suits, liabilities, fines, penalties, and expenses (including reasonable attorneys' fees) that arise out of or result from i) its failure or the failure of its contractors or agents to so comply or ii) any activity, duty or status of it or its contractors or agents that triggers any legal obligation to investigate or remediate environmental contamination.

39.0 Responsibility for Environmental Contamination

- 39.1 ALEC will in no event be liable to Windstream for any costs whatsoever resulting from the presence or release of any Environmental Hazard that ALEC did not introduce to the affected work location. Windstream will indemnify, defend (at ALEC's request) and hold harmless ALEC, each of its officers, directors and employees from and against any losses, damages, claims, demands, suits, liabilities, fines, penalties and expenses (including reasonable attorneys' fees) that arise out of or result from (i) any Environmental Hazard that Windstream, its contractors or agents introduce to the Work Locations or (ii) the presence or release of any Environmental Hazard for which Windstream is responsible under applicable law.
- 39.2 Windstream will in no event be liable to ALEC for any costs whatsoever resulting from the presence or release of any Environmental Hazard that Windstream did not introduce to the affected work location. ALEC will indemnify, defend (at Windstream's request) and hold harmless Windstream, each of its officers, directors and employees from and against any losses, damages, claims, demands, suits, liabilities, fines, penalties and expenses (including reasonable attorneys' fees) that arise out of or result from i) any Environmental Hazard that ALEC, its contractors or agents introduce to the Work Locations or ii) the presence or release of any Environmental Hazard for which ALEC is responsible under applicable law.

40.0 Subcontracting

- 40.1 If a Party through a subcontractor performs any obligation under this Agreement, such Party will remain fully responsible for the performance of this Agreement in accordance with its terms, including any obligations either Party performs through subcontractors, and each Party will be solely responsible for payments due the Party's subcontractors. No subcontractor will be deemed a third party beneficiary for any purposes under this Agreement. Any subcontractor who gains access to Confidential Information covered by this Agreement will be required by the subcontracting Party to protect such Confidential Information to the same extent the subcontracting Party is required to protect the same under the terms of this Agreement.

41.0 Referenced Documents

- 41.1 Whenever any provision of this Agreement refers to a technical reference, technical publication, any publication of telecommunications industry administrative or technical standards, Windstream handbooks and manuals, or any other document specifically incorporated into this Agreement, it will be deemed to be a reference to the most recent version or edition (including any amendments, supplements, addenda, or successors) of each document that is in effect, and will include the most recent version or edition (including any amendments, supplements, addenda, or successors) of each document incorporated by reference in such a technical reference, technical publication, or publication of industry standards. However, if such reference material is substantially altered in a more recent version to significantly change the obligations of either Party as of the Effective Date of this Agreement and the Parties are not in Agreement concerning such modifications, the Parties agree to negotiate in good faith to determine how such changes will impact performance of the Parties under this Agreement, if at all. Until such time as the Parties agree, the provisions of the last accepted and unchallenged version will remain in force.

42.0 Severability

- 42.1 If any term, condition or provision of this Agreement is held to be invalid or unenforceable for any reason, such invalidity or unenforceability will not invalidate the entire Agreement, unless such

construction would be unreasonable. The Agreement will be construed as if it did not contain the invalid or unenforceable provision or provisions, and the rights and obligations of each Party will be construed and enforced accordingly; provided, however, that in the event such invalid or unenforceable provision or provisions are essential elements of this Agreement and substantially impair the rights or obligations of either Party, the Parties will promptly negotiate a replacement provision or provisions. If impasse is reached, the Parties will resolve said impasse under §9.0, Dispute Resolution.

43.0 Survival of Obligations

- 43.1 Any liabilities or obligations of a Party for acts or omissions prior to the cancellation or termination of this Agreement, any obligation of a Party under the provisions regarding indemnification, Confidential Information, limitations on liability, and any other provisions of this Agreement which, by their terms, are contemplated to survive (or to be performed after) termination of this Agreement, will survive cancellation or termination thereof.

44.0 Governing Law

- 44.1 This Agreement shall be governed by and construed in accordance with federal law, the Act, and the FCC's Rules and Regulations, except insofar as state law may control any aspect of this Agreement, in which case the domestic laws of Kentucky, without regard to its conflicts of laws principles, shall govern. The Parties submit to personal jurisdiction in Kentucky.

45.0 Other Obligations of ALEC

- 45.1 To establish service and provide efficient and consolidated billing to ALEC, ALEC is required to provide a CLEC Profile, which includes its authorized and nationally recognized Operating Company Number ("OCN"), to establish ALEC's billing account. ALEC will be provided with a billing account number ("BAN") for each CLEC Profile submitted. ALEC will pay Windstream \$380.00 for the establishment of each billing account number ("BAN").
- 45.2 ALEC shall use Windstream's electronic operations support system access platform (Windstream Express) to submit orders and requests for maintenance and repair of services, and to engage in other pre-ordering, ordering, provisioning, maintenance and repair transactions and billing disputes for resale services. If Windstream Express is not available, ALEC shall use such other processes as Windstream has made available for performing such transaction (including, but not limited, to submission of orders by telephonic facsimile transmission and placing trouble reports by voice telephone transmission). If ALEC chooses to submit orders, requests for maintenance and repair of services and resale billing disputes manually, when Windstream's electronic operations support system access platform (Windstream Express) is available, ALEC will pay a manual order charge as reflected in the applicable Windstream tariff.
- 45.3 ALEC represents and covenants that it will only use Windstream Express pursuant to this Agreement for services related to UNEs, resold services or other services covered by this Agreement, for which this Agreement contains explicit terms, conditions and rates and will not provide its access to a third party.
- 45.4 A service order processing charge (Service Order Charge), listed in Appendix B, will be applied to each service order issued by Windstream to process a request for installation, disconnection, rearrangement, or changes to orders or existing service pursuant to this Agreement.

46.0 Customer Inquiries

- 46.1 Each Party will refer all questions regarding the other Party's services or products directly to the other Party at a telephone number specified by that Party.
- 46.2 Each Party will ensure that all of their representatives who receive inquiries regarding the other Party's services or products: (i) provide the numbers described in §47.1; and (ii) do not in any way disparage or discriminate against the other Party or its services or products.

47.0 Disclaimer of Warranties

- 47.1 EXCEPT AS OTHERWISE SPECIFICALLY PROVIDED IN THIS AGREEMENT, NEITHER PARTY MAKES ANY REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY AS TO MERCHANTABILITY OR FITNESS FOR INTENDED OR PARTICULAR PURPOSE WITH RESPECT TO SERVICES PROVIDED HEREUNDER. ADDITIONALLY, NEITHER PARTY ASSUMES ANY RESPONSIBILITY WITH REGARD TO THE CORRECTNESS OF DATA OR INFORMATION SUPPLIED BY THE OTHER PARTY WHEN THIS DATA OR INFORMATION IS ACCESSED AND USED BY A THIRD PARTY.

48.0 INTENTIONALLY LEFT BLANK**49.0 INTENTIONALLY LEFT BLANK****50.0 INTENTIONALLY LEFT BLANK****51.0 INTENTIONALLY LEFT BLANK****52.0 INTENTIONALLY LEFT BLANK****53.0 Definitions and Acronyms****53.1 Definitions**

For purposes of this Agreement, certain terms have been defined in Attachment 20: Definitions and elsewhere in this Agreement to encompass meanings that may differ from, or be in addition to, the normal connotation of the defined word. Unless the context clearly indicates otherwise, any term defined or used in the singular will include the plural. The words "will" and "shall" are used interchangeably throughout this Agreement and the use of either connotes a mandatory requirement. The use of one or the other will not mean a different degree of right or obligation for either Party. A defined word intended to convey its special meaning is capitalized when used.

53.2 Acronyms

Other terms that are capitalized and not defined in this Agreement will have the meaning in the Act. For convenience of reference only, Attachment 21: Acronyms provides a list of acronyms used throughout this Agreement.

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58.0 Other Requirements and Attachments

58.1 This Agreement incorporates a number of listed Attachments, which, together with their associated Appendices, Exhibits, and Addenda, constitute the entire Agreement between the Parties.

58.1.1 Each Party agrees that if at anytime a discrepancy arises between the General Terms and Conditions and one of the Attachments, the Attachments will control.

58.1.2 Appended to this Agreement and incorporated herein are the Attachments listed below. To the extent that any definitions, terms or conditions in any given Attachment differ from those contained in the main body of this Agreement, those definitions, terms or conditions will supersede those contained in the main body of this Agreement, but only in regard to the services or activities listed in that particular Attachment. In particular, if an Attachment contains a term length that differs from the term length in the main body of this Agreement, the term length of that Attachment will control the length of time that services or activities are to occur under the Attachment, but will not affect the term length of other attachments.

THIS AGREEMENT CONTAINS A BINDING ARBITRATION PROVISION, WHICH MAY BE ENFORCED BY THE PARTIES.

IN WITNESS WHEREOF, the Parties hereto have caused this Agreement to be executed as of this ____ day of _____, 2007.

Local Service Provider

Windstream

Matthew Phillips

Print Name

MICHAEL Rhoda

Print Name

Matthew Phillips

Sign Name:

10/1/07

Date

Michael Rhoda

Sign Name:

10/30/07

Date

Chief Executive Officer

Position/Title

Local Service Provider

SVP- Gov't Affair

Position/Title

Windstream

ATTACHMENT 1: INTENTIONALLY LEFT BLANK

ATTACHMENT 2: RESALE

All services made available to ALEC by Windstream for resale by ALEC pursuant to the Agreement (Resale Services) will be subject to the terms and conditions set forth in the Agreement and in this Attachment 2: Resale.

1.0 General Provisions

- 1.1 Resale Services will be made available to ALEC by Windstream in the Windstream West exchanges.
- 1.2 The applicable rules, regulations and rates in the Windstream Local Exchange Tariff shall be applicable to Resale Services and to the extent of conflict herewith this Agreement shall control.
- 1.3 ALEC will be the Customer of record for all services purchased from Windstream, pursuant to this Agreement. Except as specified herein, Windstream will take service orders from, bill and collect payment from ALEC for all services purchased pursuant to this Agreement.
- 1.4 ALEC will be Windstream's single point of contact for all services purchased pursuant to this Agreement.
- 1.5 ALEC may resell Windstream services only within the Windstream West local service area as specified in the appropriate Windstream tariff.
- 1.6 Except where otherwise explicitly provided in the Windstream Local Exchange Tariff, ALEC shall not permit the sharing of a service by multiple End Users or the aggregation of traffic from multiple End Users onto a single service.
- 1.7 ALEC shall resell telecommunications services only to the same class of Customers to which Windstream sells the services; e.g. residential service may not be resold to business Customers.
- 1.8 ALEC shall not use a resold service to avoid the rates, terms and conditions of Windstream's Local Exchange Tariff, as applicable.
- 1.9 If Windstream at its sole discretion provides non-regulated services to CLEC for resale, said services shall be resold to CLEC according to terms and conditions established by Windstream.
- 1.10 ALEC shall not use resold local exchange telephone service to provide access services to Interexchange Carriers (IXCs), wireless carriers, competitive access providers (CAPs), or other telecommunication service providers.
- 1.11 Subject to the availability of facilities, Windstream shall provide Resale Services to ALEC pursuant to this Agreement.
- 1.12 ALEC has no right to the telephone number or any other call number designation associated with services furnished by Windstream, and no right to the continuance of service through any particular central office or number. Windstream reserves the right to change numbers or the central office designation associated with such numbers, or both, whenever Windstream deems it necessary to do so in the conduct of its business.
- 1.13 Service is furnished to ALEC on the condition that it will not be used for any unlawful purpose.
- 1.14 Service will be discontinued if any law enforcement agency advises that the service is being used in violation of the law.

- 1.15 Windstream can refuse service when it has grounds to believe that service will be used in violation of the law.
- 1.16 Windstream accepts no responsibility for any unlawful act committed by ALEC or its End Users as part of providing service to ALEC for purposes of resale or otherwise.
- 1.17 Windstream is authorized, but not required to cooperate with law enforcement agencies with respect to their investigation of any alleged unlawful activity of ALEC or its End Users. Law enforcement agency subpoenas and court orders regarding the End Users of ALEC will be directed to ALEC. Windstream shall be entitled to bill ALEC for any cost associated with complying with any requests by law enforcement agencies regarding ALEC or ALEC's End Users.
- 1.18 White Page Directory Services shall be provided as set forth in Attachment 9 - White Page Directories.
- 1.19 Interexchange carried traffic (e.g. sent-paid, information services and alternate operator services messages) received by Windstream with respect to ALEC end-user accounts will be returned to the IXC as unbillable, and will not be passed on to ALEC for billing. An unbillable code returned with those messages to the carrier will indicate that the messages originated from a resold account and will not be billed by Windstream.
- 1.20 All necessary information with respect to an end-user, including telephone number, requested service dates, and products and services desired will be provided to Windstream by ALEC in accordance with the practices and procedures established by Windstream.
- 1.21 Except as otherwise provided in this Agreement, if Windstream notifies ALEC in writing of a violation of a provision of this Agreement, ALEC shall have thirty (30) days from notice to correct the violation and notify Windstream in writing that the violation has been corrected.
- 1.22 Windstream shall continue to have the right to serve and market directly to any End User within Windstream's service area, including but not limited to ALEC's End Users. Windstream shall have the right to continue to directly market its own telecommunications products and services, and in doing so may establish independent relationships with ALEC's End Users.
- 1.23 ALEC shall not interfere with the right of any person or entity to obtain service directly from Windstream.
- 1.24 The circuits, facilities or equipment provided by any person or entity other than Windstream and use, operation, maintenance or installation thereof shall not:
 - 1.24.1 interfere with or impair service over any facilities of Windstream, its affiliates, or its connecting and concurring carriers involved in its service;
 - 1.24.2 cause damage to plant;
 - 1.24.3 impair the privacy of any communications; or
 - 1.24.4 create hazards to any employees or the public.
- 1.25 ALEC assumes the responsibility of notifying Windstream regarding any less than standard operations with respect to services provided by ALEC.
- 1.26 Facilities and/or equipment utilized by Windstream to provide service to ALEC shall remain the property of Windstream.

- 1.27 Windstream will provide Customer record information to ALEC, only after ALEC has provided Windstream the appropriate Letter(s) of Authorization (LOA) from the relevant Customer. Windstream may provide Customer record information to ALEC via Windstream Express.
- 1.28 All costs incurred by Windstream for providing services to ALEC that are not covered in the Windstream tariffs shall be recovered from ALEC for utilizing such services.
- 1.29 The Parties agree that this Agreement shall not be proffered by either Party in another jurisdiction or proceeding as evidence of any concession or as a waiver of any position taken by the other Party in that jurisdiction or for any other purpose.
- 1.30 The rates applicable to ALEC for purchase of services from Windstream for resale shall be the retail rate for the telecommunications services as provided in Windstream's applicable Local Exchange Tariff, less a fifteen percent discount.

2.0 General Obligations

- 2.1 Windstream shall attempt to implement ALEC service orders within the same time intervals that Windstream uses to implement service orders for similar services for its own End Users.
- 2.2 The appropriate Windstream trouble reporting centers shall accept ALEC trouble reports with respect to ALEC End Users services 24 hours a day, 7 days a week. ALEC will be assigned a Customer contact center when initial service Agreements are made. ALEC End Users calling Windstream will be referred to ALEC at the number provided by ALEC.
- 2.3 If Windstream determines that an unauthorized change in local service by a local service provider has occurred, Windstream will reestablish service with the appropriate local service provider, and will assess against the local service provider an unauthorized change charge similar to that described in the Windstream F.C.C. Tariff No. 1. Appropriate nonrecurring charges, as set forth in the Local Exchange Tariff as applicable, will also be assessed to the local service provider.
- 2.4 To the extent allowable by law, ALEC shall be responsible for Primary Interexchange Carrier (PIC) change charges associated with such local exchange line. These charges will be assessed regardless if the ALEC or the End User made the change. ALEC shall pay for PIC changes at the tariffed rate.
- 2.5 ALEC shall resell the services provided herein only in those service areas in which such Resale Services or any feature or capability thereof are offered at retail by Windstream as the incumbent local exchange carrier to its End Users.
- 2.6 ALEC is solely responsible for the payment of charges for all service furnished under this Agreement, including, but not limited to, calls originated or accepted at ALEC location and its End Users' service locations, with the exception of any retail services provided directly by Windstream to the End User which Windstream is responsible for billing.
- 2.7 Windstream shall not be responsible for the manner in which the use of Resale Services or the associated charges are billed to others by ALEC. All applicable rates and charges for such services will be billed to and shall be the responsibility of ALEC, with the exception of other retail services provided directly to the End User by Windstream as described in paragraph 2.6 above.
- 2.8 If ALEC does not wish to be responsible for toll, collect, third number billed, 900 and 976 calls, ALEC must order blocking services as outlined in the Windstream Local Exchange Tariff and pay any applicable charges.

- 2.9 ALEC shall be responsible for providing to its End Users, and to Windstream a telephone number or numbers that ALEC End Users can use to contact ALEC in the event of service or repair requests. In the event that ALEC End Users contact Windstream with regard to such requests, Windstream shall provide the End User ALEC's contact number and inform the End User to contact ALEC.

3.0 Establishment of Service

- 3.1 Upon receiving the applicable certification from the appropriate state regulatory agency, ALEC will provide Windstream with the necessary documentation to enable Windstream to establish a master account for ALEC. Such documentation shall include, but is not limited to, the Application for Master Account, proof of authority to provide telecommunications services, an Operating Company Number ("OCN") assigned by the National Exchange Carriers Association ("NECA"), and a tax exemption certificate, if applicable. When necessary deposit requirements are met, Windstream will begin taking orders from ALEC for Resale Services.
- 3.2 ALEC will be charged a Master Account Establishment charge of \$380.00. The Master Account Establishment charge is a one-time charge that will be applied for the establishment of each Billing Account Number ("BAN").
- 3.3 Service orders will be submitted in the format designated in Windstream handbooks and manuals.
- 3.4 When Windstream receives notification from ALEC that a current Windstream Customer will be subscribing to ALEC's services, standard service order intervals for the appropriate class of service will apply.
- 3.5 Except as required by applicable laws or rules, Windstream will not require End User confirmation prior to establishing service for ALEC's Customers. ALEC must, however, be able to demonstrate End User authorization upon request.
- 3.6 ALEC will be the single point of contact with Windstream for all subsequent ordering activity resulting in additions or changes to Resale Services, except that Windstream will accept a request directly from the End User for conversion of the End User's service from ALEC to Windstream, or will accept a request from another local service provider for conversion of the End User's service from ALEC to the other local service provider.
- 3.7 Windstream will provide ALEC at their request per Customer, blocking of calls (e.g., toll, 900, international calls, and third party or collect calls) by line or trunk on an individual switching element basis, to the extent that Windstream provides such blocking capabilities to its Customers and to the extent required by law.
- 3.8 When ordering Resale Services via a service order, ALEC may where available, order from Windstream separate InterLATA and IntraLATA service providers (i.e., two PICs) on a line or trunk basis where IntraLATA presubscription has been introduced. Windstream will accept PIC change orders for IntraLATA and long distance services through the Windstream service provisioning process.
- 3.9 Windstream will provide order format specifications to ALEC with respect to all services, features, and functions available and with respect to ancillary data required by Windstream to provision these services through Windstream manuals and handbooks.

4.0 Maintenance of Services

- 4.1 Windstream's facilities and equipment provided by Windstream shall be maintained by Windstream.
- 4.2 Windstream will provide maintenance for all Resale Services ordered under this Agreement at levels equal to the maintenance provided by Windstream in serving its Customers. Windstream technicians will provide repair service on Resale Services that is at least equal in quality to that provided to Windstream Customers; trouble calls from ALEC will receive response time and priorities that are at least equal to that of Windstream Customers.
- 4.3 ALEC or its End Users may not rearrange, move, disconnect, remove or attempt to repair any facilities owned by Windstream, other than by connection or disconnection to any interface means used, without the written consent of Windstream.
- 4.4 ALEC shall promptly notify Windstream of any situations that arise that may result in a service problem.
- 4.5 ALEC will be Windstream's single point of contact for all repair calls on behalf of ALEC's End Users with respect to Resale Services. All misdirected repair calls to Windstream from ALEC Customers will be given a recording (or live statement) directing them to call the number designated by ALEC. ALEC on a reciprocal basis will refer all misdirected repair calls that ALEC receives for Windstream Customers to Windstream.
- 4.6 ALEC will contact the appropriate Windstream repair center in accordance with procedures established by Windstream. ALEC will refer repair calls to Windstream via Windstream Express.
- 4.7 For all repair requests, ALEC shall adhere to Windstream's prescreening guidelines prior to referring the trouble to Windstream.
- 4.8 For purposes of this Section, Resale Services is considered restored or a trouble resolved when the quality of Resale Services is equal to that provided before the outage or the trouble occurred.
- 4.9 Windstream will bill ALEC for handling troubles that are found not to be in Windstream's network pursuant to its standard time and material charges. The standard time and material charges will be no more than what Windstream charges to its retail Customers for the same services.
- 4.10 Windstream will provide ALEC with written escalation procedures for maintenance resolution to be followed if, in ALEC's judgment, any individual trouble ticket or tickets are not resolved in a timely manner. The escalation procedures as provided on Windstream's Website, which includes names and telephone numbers of Windstream management personnel who are responsible for maintenance issues .
- 4.11 Windstream Maintenance of Service Charges, when applicable, will be billed by Windstream to ALEC, and not to ALEC's end-user Customers.
- 4.12 Dispatching of Windstream technicians to ALEC Customer premises shall be accomplished by Windstream pursuant to a request received from ALEC.
- 4.13 When a Windstream employee visits the premises of a "CLEC ACRONYM TXT" local Customer, the Windstream employee will inform the Customer or occupant, directly or indirectly, that he or she is there acting on behalf of "their local service provider". Materials left at the Customer premises(e.g a a door hanger notifying the Customer of the service visit) must also inform the Customer that Windstream was on their premises acting on behalf of "their local service provider".

- 4.14 If trouble cannot be cleared without access to ALEC local Customer's premises and the Customer is not at home, the Windstream technician will leave at the Customer's premises a non-branded "no access" card requesting the Customer to call "their local service provider" for rescheduling of repair.
- 4.15 Windstream reserves the right, but is not required, to contact ALEC's Customers for any lawful purpose.
- 4.16 Windstream will perform testing (including trouble shooting to isolate any problems) of Resale Services purchased by ALEC in order to identify any new circuit failure performance problems.

5.0 Discontinuance of Service

5.1 End User Disconnect Procedures

- 5.1.1 At the request of ALEC, Windstream will disconnect a ALEC Customer.
- 5.1.2 All requests by ALEC for denial or disconnection of an End User for nonpayment must be provided to Windstream in writing in the manner and format prescribed by Windstream.
- 5.1.3 ALEC will be solely responsible for notifying the End User of the proposed disconnection of service.
- 5.1.4 Upon restoration of the End User's service, charges will apply and will be the responsibility of ALEC.
- 5.1.5 Windstream will continue to process calls made to Windstream for annoyance calls and will advise ALEC when it is determined that annoyance calls are originated from one of their End User's locations. Windstream shall be indemnified, defended and held harmless by ALEC against any claim, loss or damage arising from providing this information to ALEC. It is the responsibility of ALEC to take the corrective action necessary with its Customers who make annoying calls. Failure to do so will result in Windstream's disconnecting the End User's service.

6.0 Dispute Resolution

6.1 Notice of Disputes

Notice of a valid contractual dispute must be made through the Windstream Express System's billing dispute form , specifically documenting the nature of the dispute, and must include a detailed description of the underlying dispute (the "Billing Dispute "). Billing Disputes must be submitted via Windstream Express, on the billing dispute form or the dispute will not be accepted as a valid

Billing Dispute and therefore denied by the billing Party. The billing dispute form must be completed with all fields populated by the disputing Party or the form will be denied by the billing Party.

6.1.1 Billing Disputes

The billing dispute form must be complete, with all fields populated with the required information for the billable element in dispute. If the billing dispute form is not complete

with all information, the dispute will be denied by the billing Party. After receipt of a completed billing dispute form, the billing Party will review to determine the accuracy of the Billing Dispute. If the billing Party determines the dispute is valid, the billing Party will credit the disputing Party's bill within thirty (30) calendar days of receipt of the Billing Dispute. If the billing Party determines the Billing Dispute is not valid, the disputing Party may escalate the dispute as outlined in Section 6.1.1.1.

6.1.1.1 Resolution of the dispute is expected to occur at the first level of management, resulting in a recommendation for settlement of the dispute and closure of a specific billing period. If the issues are not resolved within the allotted time frame, the following resolution procedure will be implemented:

6.1.1.1.1 If the dispute is not resolved within thirty (30) calendar days of receipt of the billing dispute form, the dispute will be escalated to the second level of management for each of the respective Parties for resolution. If the dispute is not resolved within sixty (60) calendar days of the receipt of the billing dispute form the dispute will be escalated to the third level of management for each of the respective Parties for resolution.

6.1.1.1.2 If the dispute is not resolved within ninety (90) calendar days of the receipt of the billing dispute form, the dispute will be escalated to the fourth level of management for each of the respective Parties for resolution.

6.1.1.1.3 Each Party will provide to the other Party an escalation list for resolving Billing Disputes. The escalation list will contain the name, title, phone number, fax number and email address for each escalation point identified in this section 6.1.1.1.

6.1.1.1.4 If the dispute is not resolved within one hundred twenty (120) days of receipt of the billing dispute form or either Party is not operating in good faith to resolve the dispute, the Formal Dispute Resolution process, outlined in section 6.4, may be invoked.

6.1.1.2 If the disputing Party disputes charges and the dispute is resolved in favor of the disputing Party, the billing Party shall credit the bill of the disputing Party for the amount of the disputed charges by the next billing cycle after the resolution of the dispute.

6.1.1.3 Claims by the disputing Party for damages of any kind will not be considered a Bona Fide Dispute for purposes of this subsection 6.1.1.

6.1.1.4 Any credits due to the disputing Party resulting from the Billing Dispute process will be applied to the disputing Party's account by the billing Party immediately upon resolution of the dispute.

6.1.1.5 Neither Party shall bill the other Party for charges incurred more than twelve (12) months after the service is provided to the non-billing Party.

6.1.2 **All Other Disputes**

All other disputes (*i.e.*, contractual disputes) shall be valid only if reasonable within the scope of this Agreement, and the applicable statute of limitations shall govern such disputes.

6.2 **Alternative to Litigation**

- 6.2.1 The Parties desire to resolve disputes arising out of this Agreement without litigation. Accordingly, except for action seeking a temporary restraining order, an injunction, or similar relief from the PUC related to the purposes of this Agreement, or suit to compel compliance with this Dispute Resolution process, the Parties agree to use the following Dispute Resolution procedure with respect to any controversy or claim arising out of or relating to this Agreement or its breach.

6.3 **Informal Resolution of Disputes**

In the case of any dispute and upon receipt of the billing dispute form each Party will appoint a duly authorized representative knowledgeable in telecommunications matters, to meet and negotiate in good faith to resolve any dispute arising under this Agreement. The location, form, frequency, duration, and conclusion of these discussions will be left to the discretion of the representatives. Upon Agreement, the representatives may, but are not obligated to, utilize other alternative dispute resolution procedures such as mediation to assist in the negotiations. Discussions and the correspondence among the representatives for purposes of settlement are exempt from discovery and production and will not be admissible in the arbitration described below or in any lawsuit without the concurrence of both Parties. Documents identified in or provided with such communications, which are not prepared for purposes of the negotiations, are not so exempted and, if otherwise admissible, may be admitted in evidence in the arbitration or lawsuit. Unless otherwise provided herein, or upon the Parties' Agreement, either Party may invoke formal dispute resolution procedures including arbitration or other procedures as appropriate, not earlier than thirty (30) days after the date of the billing dispute form, provided the Party invoking the formal dispute resolution process has in good faith negotiated, or attempted to negotiate, with the other Party.

6.4 **Formal Dispute Resolution**

- 6.4.1 The Parties agree that all unresolved disputes arising under this Agreement, including without limitation, whether the dispute in question is subject to arbitration, may be submitted to PUC for resolution in accordance with its dispute resolution process and the outcome of such process will be binding on the Parties, subject to any right to appeal a decision reached by the PUC under applicable law.
- 6.4.2 If the PUC does not have or declines to accept jurisdiction over any dispute arising under this Agreement, the dispute may be submitted to binding arbitration by a single arbitrator pursuant to the Commercial Arbitration Rules of the American Arbitration Association. A Party may demand such arbitration in accordance with the procedures set out in those rules. Discovery shall be controlled by the arbitrator and shall be permitted to the extent set out in this section or upon approval or order of the arbitrator. Each Party may submit in writing to a Party, and that Party shall so respond, to a maximum of any combination of thirty-five (35) (none of which may have subparts) of the following: interrogatories; demands to produce documents; requests for admission. Additional discovery may be permitted upon mutual Agreement of the Parties. The arbitration hearing shall be commenced within ninety (90) days of the demand for arbitration. The arbitration shall be held in Kentucky, unless otherwise agreed to by the Parties or required by the FCC. The arbitrator shall control the scheduling so as to process the matter expeditiously. The Parties shall submit written briefs five days before the hearing. The arbitrator shall rule on the dispute by issuing a written opinion within thirty (30) days after the close of hearings. The arbitrator has no authority to order punitive or consequential damages. The times specified in this section may be extended upon mutual Agreement of the Parties or by the arbitrator upon a showing of good cause. Judgment upon the award rendered by the arbitrator may be entered in any court having jurisdiction.

- 6.4.3 Each Party shall bear its own costs of these procedures unless the Kentucky PUC or other presiding arbitrator, if any, rules otherwise. A Party seeking discovery shall reimburse the responding Party for the costs of production of documents (including search time and reproduction costs).

6.5 Conflicts

- 6.5.1 The Parties agree that the Dispute Resolution procedures set forth in this Agreement are not intended to conflict with applicable requirements of the Act or the state commission with regard to procedures for the resolution of disputes arising out of this Agreement and do not preclude a Party from seeking relief under applicable rules or procedures of the PUC.

6.6 Arbitration

- 6.6.1 Any disputes involving amounts which represent Fifty Thousand Dollars (\$50,000) or less annually, not resolved pursuant to the informal dispute resolution procedures set forth in § 6.3 within ninety (90) days of the Dispute Notice shall be submitted to binding arbitration by a single arbitrator pursuant to the Commercial Arbitration Rules of the American Arbitration Association. A Party may demand such arbitration in accordance with the procedures set out in those rules. Discovery shall be controlled by the arbitrator and shall be permitted to the extent set out in this Section. Each Party may submit in writing to a Party, and that Party shall so respond, to a maximum of any combination of thirty-five (35) (none of which may have subparts) of the following: interrogatories; demands to produce documents; requests for admission.
- 6.6.2 Additional discovery may be permitted upon mutual Agreement of the Parties. The arbitration hearing shall be commenced within ninety (90) days of the demand for arbitration. The arbitration shall be held in the state capitol of the state where the Interconnection Services are provided. The arbitrator shall control the scheduling so as to process the matter expeditiously. The Parties shall submit written briefs five days before the hearing. The arbitrator shall rule on the dispute by issuing a written opinion within thirty (30) days after the close of hearings. The arbitrator shall have no authority to order punitive or consequential damages. The times specified in this Section may be extended upon mutual Agreement of the Parties or by the arbitrator upon a showing of good cause. Judgment upon the award rendered by the arbitrator may be entered in any court having jurisdiction.

6.7 Costs

Each Party shall bear its own costs of these procedures. A Party seeking discovery shall reimburse the responding Party for the costs of production of documents (including search time and reproduction costs).

7.0 Termination of Service to ALEC

- 7.1 Windstream reserves the right to suspend or terminate service for nonpayment or in the event of prohibited, unlawful or improper use of facilities or service, abuse of facilities, or any other violation or noncompliance by ALEC of the rules and regulations of the Windstream Local Exchange Tariff.

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- 7.2 Disputes hereunder shall be resolved in accordance with the procedures identified in § 6.0 Dispute Resolution. Failure of ALEC to pay charges owed to Windstream shall be grounds for termination under this Agreement
 - 7.3 Failure of ALEC to pay all charges, including disputed amounts, shall be grounds for suspension or termination of this Agreement. If ALEC fails to pay when due, all charges billed to ALEC under this Agreement, and any portion of such charges remain unpaid more than fifteen (15) days after the due date of such charges, Windstream will notify ALEC in writing that in order to avoid having service disconnected and or suspension of order acceptance, ALEC must remit all charges to Windstream within fifteen (15) days after receipt of said notice (the "Embargo Notice").
 - 7.4 If any ALEC charges remain unpaid at the conclusion of the time period as set forth in § 7.3 above (15 days from the due date of such unpaid charges), Windstream will notify ALEC, (the "Disconnect Notice") and the appropriate commission(s) in writing, pursuant to state public service commission's rules and regulations, that ALEC's service will be disconnected and ALEC's End Users may be switched to Windstream local service and Windstream will suspend order acceptance. Applicable service establishment charges for switching End Users from ALEC to Windstream will be assessed to ALEC. In the case of such discontinuance, all billed charges, as well as applicable termination charges, shall become due. If Windstream does not discontinue the provision of the services involved on the date specified in the fifteen (15) day Embargo Notice, and ALEC's noncompliance continues, nothing contained herein shall preclude Windstream's right to discontinue the provisions of the services to ALEC without further notice.
 - 7.5 Within five (5) calendar days of the transfer to Windstream's service, (30 days past ALEC's due date), Windstream will notify all affected End Users that because of a ALEC's failure to pay all charges, their service is now being provided by Windstream.
 - 7.6 After disconnect procedures have begun, Windstream will not accept service orders from ALEC until all charges are paid in full, in immediately available funds. Windstream will have the right to require a deposit equal to three (3) months charges (based on the highest previous month of service from Windstream) prior to resuming service to ALEC after disconnect for nonpayment.
 - 7.7 Beyond the specifically set out limitations in this Section, nothing herein will be interpreted to obligate Windstream to continue to provide service to any such End Users or to limit any and all disconnection rights Windstream may have with regard to such End Users.

8.0 Billing and Payments

- 8.1 Pursuant to this Agreement, Windstream shall bill ALEC those charges, which ALEC incurs as a result of ALEC purchasing Resale Services from Windstream.
- 8.2 Windstream shall provide ALEC a monthly bill including all charges incurred by and credits and/or adjustments due to ALEC for the Resale Services ordered, established, utilized, discontinued or performed pursuant to this Agreement. Each bill provided by Windstream to ALEC will include:
 - 8.2.1 all non-usage sensitive charges incurred for the period beginning with the day after the current bill date and extending to, and including, the next bill date;
 - 8.2.2 any known unbilled non-usage sensitive charges for prior periods;

- 8.2.3 unbilled usage sensitive charges for the period beginning with the last bill date and extending up to, but not including, the current bill date; and
- 8.2.4 any known unbilled usage sensitive charges for prior periods. Windstream will also bill all charges, including but not limited to 911 and E911 charges, telecommunications relay charges, and franchise fees, to ALEC.
- 8.3 Any switched access charges associated with Interexchange carrier access to the resold local exchange lines will be billed by, and due Windstream from the Interexchange Carrier.
- 8.4 All End User common line (EUCL) charges, subscriber line charges (SLC), or other similar charges will continue to apply for each local exchange line resold under this Agreement. All applicable federal and state rules, tariffs and regulations associated with such charges shall be applicable, as may be amended from time to time.
- 8.5 Each Party will provide the other Party at no charge a contact person for the handling of any Resale Billing questions or problems.
- 8.6 Windstream will render bills each month on established bill days for each of ALEC's accounts.
- 8.7 If ALEC requests an additional copy(ies) of a bill, ALEC will pay Windstream a reasonable fee per additional bill copy, unless such copy(ies) was requested due to errors, omissions, or corrections, or the failure of the original transmission to comply with the specifications set forth in this Agreement.
- 8.8 Payment of all charges will be the responsibility of ALEC. ALEC shall make payment to Windstream for all Resale Services billed. Windstream is not responsible for payments not received by ALEC from ALEC's Customer. Windstream will not become involved in Billing Disputes that arise between ALEC and its Customer. Payments made to Windstream, as payment on account, will be credited to an accounts receivable master account and not to an End User's account.
- 8.9 Payment of all charges will be due within thirty (30) days of the invoice date and payable in immediately available funds. Payment is considered to have been made when received by Windstream.

9.0 Customer Usage Data

- 9.1 Usage Data with respect to End Users will be provided by Windstream to ALEC when the End User has been transferred to ALEC, and ALEC purchases Resale Services from Windstream.
- 9.2 Windstream will provide usage data for ALEC Customers using Windstream-provided Resale Services. Usage Data includes, but is not limited to, the following categories of information:
 - 9.2.1 All record types that are currently processed for Windstream Customers.
 - 9.2.2 Use of CLASS/ Custom Features;
 - 9.2.3 Station level detail for Windstream - provided CENTREX families of services; and
 - 9.2.4 Complete call detail and complete timing information for Resale Services;

- 9.3 Windstream will provide Usage Data for completed calls only for service offerings that Windstream records for itself (e.g., Local Measured Services) and recordings performed on the behalf of Windstream for operator services and directory assistance.
- 9.4 Windstream will provide Usage Data to ALEC only for ALEC Customers. Windstream will not submit other carriers' local usage data as part of the ALEC Usage Data.
- 9.5 Windstream will provide Usage Data in EMI format.
- 9.6 Windstream will include the Working Telephone Number (WTN) of the call originator on each EMI call record.
- 9.7 Customer usage records and station level detail records will be in packs in accordance with EMI guidelines.
- 9.8 Windstream will transmit formatted Usage Data to ALEC via CONNECT: Direct or as otherwise agreed to by the Parties. ALEC is responsible for the connection.
- 9.9 ALEC and Windstream will test and certify the CONNECT: Direct interface to ensure the accurate transmission of Usage Data. ALEC will pay to Windstream a per message charge for Windstream's transmission of usage data to ALEC.
- 9.10 Windstream will provide Usage Data to ALEC daily (Monday through Friday) on a time schedule to be determined by the Parties. By mutual Agreement of both Parties, this schedule can be amended with thirty (30) days written notice.
- 9.11 Windstream will establish a single point of contact to respond to ALEC call usage, data error, and record transmission inquiries.
- 9.12 Windstream will bill and ALEC will pay the applicable charges for Usage Data set forth in this Agreement. Billing and payment will be in accordance with the applicable terms and conditions set forth in this Agreement.

ATTACHMENT 3: INTENTIONALLY LEFT BLANK

ATTACHMENT 4: NETWORK INTERCONNECTION ARCHITECTURE**1.0 Scope**

- 1.1 This Attachment describes the arrangements that may be utilized by the Parties for interconnection of their respective networks for the transmission and routing of Telephone Exchange Service and Exchange Access Service pursuant to §251 of the Act. Network Interconnection will be provided by the Parties at any technically feasible point(s) within Windstream's interconnected network within a LATA. It is ALEC's responsibility to establish interconnection, pursuant to this Attachment, within Windstream's interconnected network within each LATA. In each Windstream Exchange Area where the Parties interconnect their networks, the Parties will utilize the interconnection method as specified below unless otherwise mutually agreed to in writing by the Parties. The Parties shall not route to one another Interexchange Traffic over local interconnection facilities.
- 1.2 Each Party is responsible for the cost, related to the appropriate sizing, operation, and maintenance of the facilities on its side of each IP. Each IP must be located within Windstream's serving territory in the LATA in which traffic is originating. An IP determines the point up to which the originating Party shall be responsible for providing at its own expense, the call transport with respect to its Local traffic and IntraLATA Traffic.
- 1.3 An Interconnection Point ("IP"), as defined in §2.0 of this Attachment will be designated for each interconnection arrangement established pursuant to this Agreement. Street address and/or Vertical and Horizontal (V & H) Coordinates will be provided to identify each IP.

2.0 Interconnection

- 2.1 Direct interconnection facilities provide for network interconnection between the Parties at a technically feasible point on Windstream's interconnected network within the Windstream West exchanges as described in Section 2.1.1. The Parties shall not route to one another Interexchange Traffic over local interconnection facilities. Wireless traffic may not be routed through direct interconnection facilities. Direct interconnection shall be accomplished by, including but not limited to, one or more of the following methods: 1) lease arrangements, and 2) jointly provisioned facilities arrangements.
 - 2.1.1 In order to gain connectivity, the IP is required at one of the following locations:
 - a) IP at the Windstream Tandem Office where available and;
 - b) IP at the Windstream End Office;
 - c) IP at the Windstream Access Tandem, where available, or End Office for a Windstream remote central office;
 - d) Mid-span fiber meet on mutually agreed-upon terms.
 - 2.1.2 Each Party will be responsible for the engineering and construction of its own network facilities on its side of the IP, however, should Windstream be required to modify its network to accommodate the interconnection request made by ALEC, ALEC agrees to pay Windstream reasonable charges for such modifications. If ALEC uses a third party network Carrier to reach the IP, ALEC will bear all third party Carrier charges for facilities and traffic.
 - 2.1.3 Each Party will be responsible for its costs on either side of the IP.
 - 2.1.4 Lease arrangements will be governed by the applicable Windstream interstate, intrastate or local, special access or private line tariffs under which CLEC orders service.

- 2.2 The Parties shall utilize direct end office facilities under any one of the following conditions:
- 2.2.1 Tandem Exhaust - If a tandem through which the Parties are interconnected is unable to, or is forecasted to be unable to support additional traffic loads for any period of time, the Parties will mutually agree on an end office direct facilities that will alleviate the tandem capacity shortage and ensure completion of traffic between ALEC and Windstream.
 - 2.2.2 Traffic Volume – To the extent either Party has the capability to measure the amount of traffic between CLEC's switch and a Windstream end office and where traffic exceeds or is forecasted to exceed a single DS1 of traffic per month, then the Parties shall install and retain direct end office facilities sufficient to handle such traffic volumes. Either Party will install additional capacity between such points when overflow traffic exceeds or is forecasted to exceed a single DS1 of traffic per month. In the case of one-way facilities, additional facilities shall only be required by the Party whose facilities has achieved the preceding usage threshold.
 - 2.2.3 Mutual Agreement - The Parties may install direct end office trunking upon mutual agreement in the absence of conditions (2.2.1) or (2.2.2) above.
- 2.3 Both Parties agree only to deliver traffic to the other pursuant to and consistent with the terms of this Agreement. Neither Party shall utilize a third party for the delivery of traffic to the other pursuant to this Agreement without the consent of all Parties and without the establishment of mutually agreeable terms and conditions among all Parties governing any intermediary arrangement with a third party. Windstream routes traffic based upon the LERG). This Agreement does not obligate either Party to utilize any intermediary or transit traffic functions of the other Party or to accept transit traffic or intermediary arrangements with third parties. Notwithstanding the foregoing, CLEC may provide intermediary functions to its customers whose end user's traffic is Local Traffic, and CLEC may terminate such traffic to Windstream, under the terms of this Agreement. CLEC agrees to compensate Windstream, pursuant to this Agreement, for all traffic CLEC terminates to Windstream, regardless of whether the traffic originated from a CLEC Customer or other third party's customer.
- 2.4 Neither Party shall deliver: (i) traffic destined to terminate at the other Party's end office via another LEC's end office, or (ii) traffic destined to terminate at an end office subtending the other Party's tandem via another LEC's tandem, or (iii) traffic through a third party provider when a direct interconnection facility exists between the Parties.
- 2.5 Indirect Interconnection. Notwithstanding anything to the contrary, when the Parties interconnect their networks indirectly via a third LEC's tandem, compensation shall be in accordance with the terms of this Agreement. For purposes of exchanging indirect traffic, there is no physical or direct point of interconnection between the Parties. Windstream will only be responsible for the interconnection facilities located within the Windstream exchange boundary utilized in the routing of the indirect traffic. Each Party acknowledges that it is the originating Party's responsibility to enter into transiting arrangements with the third party providing transit services.
- 2.5.1 Once the indirect traffic volume between CLEC and Windstream exceeds a DS1 of traffic or 250,000 minutes of use to a Windstream End Office, CLEC will establish a Physical Point of Interconnection pursuant to 2.1 by establishing a direct facility to the Windstream End Office for the exchange of traffic.

3.0 Signaling Requirements

- 3.1 Signaling protocol. The Parties will interconnect their networks using SS7 signaling where technically feasible and available as defined in FR 905 Bellcore Standards including ISDN user part ("ISUP") for trunk signaling and

Transaction Capabilities Application Part ("TCAP") for CCS-based features in the interconnection of their networks. All Network Interoperability Interface Forum (NIIF) adopted standards shall be adhered to.

- 3.2 Where available, CCS signaling shall be used by the Parties to set up calls between the Parties' Telephone Exchange Service networks. If CCS signaling is unavailable, the Parties shall use MF (Multi-Frequency) signaling.
- 3.3 The following list of publications describe the practices, procedures and specifications generally utilized by the industry for signaling purposes and are listed herein to assist the Parties in meeting their respective interconnection responsibilities related to signaling:

GR-000246-CORE, Bell Communications Research Specifications of Signaling System 7 ("SS7")

GR-000317-CORE, Switching System Requirements for Call Control Using the Integrated Services Digital Network User Part

GR-000394-CORE, Switching System Requirements for Interexchange Carrier Interconnection Using the Integrated Services Digital Network User Part

GR-000606-CORE, LATA Switching Systems Generic Requirements-Common Channel Signaling-§6.5

GR-000905-CORE, Common Channel Signaling Network Interface Specification Supporting Network Interconnection Message Transfer Part ("MTP") and Integrated Digital Services Network User Part ("ISDNUP")

- 3.4 The Parties will cooperate on the exchange of Transactional Capabilities Application Part (TCAP) messages to facilitate interoperability of CCS-based features between their respective networks, including all CLASS features and functions, to the extent each Party offers such features and functions to its End Users. All CCS signaling parameters will be provided including, without limitation, Calling Party Number (CPN), Originating Line Information ("OLI"), calling party category and charge number.
- 3.5 Where available each Party shall cooperate to ensure that all of its trunk groups are configured utilizing the B8ZS ESF protocol for 64 kbps clear channel transmission to allow for ISDN interoperability between the Parties' respective networks.
- 3.6 The Parties shall jointly develop a grooming plan (the "Joint Grooming Plan") which shall define and detail, inter alia,
 - 3.6.1 disaster recovery provisions and escalations;
 - 3.6.2 direct/high usage trunk engineering guidelines; and
 - 3.6.3 such other matters as the Parties may agree.
- 3.7 If a Party makes a change in its network, which it believes will materially affect the interoperability of its network with the other Party, the Party making the change shall provide thirty (30) days advance written notice of such change to the other Party.

4.0 Interconnection and Trunking Requirements

4.1 Local Traffic and IntraLATA Traffic

- 4.1.1 The Parties shall reciprocally terminate Local Traffic and IntraLATA calls originating on each other's networks as follows:
 - 4.1.1.1 Where technically feasible, the Parties shall make available to each other two-way trunks for the reciprocal exchange of combined Local Traffic and IntraLATA traffic. In such case, each Party will provide to each other its Percentage of Local Use (PLU) for billing purposes. If either Party questions the accuracy of the other's PLU, that issue may be included in a verification review as provided in §32.0 of the General Terms and Conditions. If at any time during the term of this Agreement, the average monthly number of minutes of use (combined Local Traffic and IntraLATA traffic) terminated by either Party on the network of the other exceeds the generally accepted engineering practices as mutually agreed to by the Parties, the Party on whose network those minutes have been terminated may elect to require jurisdictionally separate trunks for Local Traffic and IntraLATA traffic.
 - 4.1.1.2 Each Party's operator bureau shall accept BLV and BLVI inquiries from the operator bureau of the other Party in order to allow transparent provisioning of BLV/BLVI traffic between the Parties' networks. Each Party shall route BLV/BLVI inquiries between the Parties respective operator bureaus.
- 4.1.2 Neither Party shall utilize the Local/IntraLata facilities for delivery of any other type of traffic to the other Party.

4.2 Trunking and Facilities

- 4.2.1 Trunking will be established at the DS-1 level or DS-0 level, and facilities will be established at the DS1, DS-3/OC-3 level, or higher, as agreed upon by the Parties. All trunking will be jointly engineered to an objective P.01 grade of service.
- 4.2.2 Where Windstream is a 911 provider, separate trunks connecting ALEC's switch to Windstream's E911 routers will be established by ALEC. If ALEC purchases such services from Windstream, they will be provided at full applicable tariff rates. For all 911/E911 traffic originating from ALEC, it is the responsibility of ALEC and the appropriate state or local public safety answering agency to negotiate the manner in which 911/E911 traffic from ALEC will be processed.
- 4.2.3 ALEC will not route traffic to Windstream's local end office switches to act as a tandem on ALEC's behalf nor will Windstream route traffic to ALEC's local end office switches to act as a tandem on Windstream's behalf.
- 4.2.4 This Agreement is applicable only to Windstream's serving areas. Windstream will not be responsible for interconnections or contracts relating to any of ALEC's interconnection with any other Carrier.

5.0 Network Management

5.1 Protective Protocols

Either Party may use protective network traffic management controls such as 7-digit and 10-digit code gaps on traffic toward each others network, when required to protect the public switched network from congestion due to facility failures, switch congestion or failure or focused overload. The Parties will immediately notify each other of any protective control action planned or executed.

5.2 Expansive Protocols

Where the capability exists, originating or terminating traffic reroutes may be implemented by either Party to temporarily relieve network congestion due to facility failures or abnormal calling patterns. Reroutes will not be used to circumvent normal trunk servicing. Expansive controls will only be used when mutually agreed to by the Parties.

5.3 Mass Calling

The Parties shall cooperate and share pre-planning information, where available, regarding cross-network call-ins expected to generate large or focused temporary increases in call volumes, to prevent or mitigate the impact of these events on the public switched network.

6.0 Forecasting/Service Responsibilities

- 6.1 Both Parties agree to provide an initial forecast for establishing the initial interconnection facilities. Subsequent forecasts will be provided on a semi-annual basis.
- 6.2 Windstream shall be responsible for forecasting and servicing the trunk groups terminating to ALEC. ALEC shall be responsible for forecasting and servicing the trunk groups terminating to Windstream End Users. Standard trunk traffic engineering methods will be used as described in Bell Communications Research, Inc. (Bellcore) document SR-TAP-000191, Trunk Traffic Engineering Concepts and Applications.
- 6.3 The Parties shall both be responsible for efficient planning and utilization of the network and employ all reasonable means of forecasting, monitoring and correcting for inefficient use of the network. The Parties will conduct facility planning meetings to determine initial and subsequent utilization standards subsequent to execution of this Agreement but prior to direct interconnection in accordance with §3.5 of this Appendix preceding.
- 6.4 Each Party shall provide a specified point of contact for planning, forecasting and trunk servicing purposes.

7.0 Trunk Servicing

- 7.1 Orders between the Parties to establish, add, change or disconnect trunks shall be processed by use of an Access Service Request ("ASR") or another industry standard method subsequently adopted by the Parties to replace the ASR for local trunk ordering.
- 7.2 The Parties shall jointly manage the capacity of local Interconnection Trunk Groups. Either Party may send the other Party an ASR to initiate changes to the Local Interconnection Trunk Groups that the ordering Party desires based on the ordering Party's capacity assessment.
- 7.3 Orders that comprise a major project (i.e., new switch deployment) shall be submitted in a timely fashion, and their implementation shall be jointly planned and coordinated.
- 7.4 Each Party shall be responsible for engineering its networks on its side of the IP.
- 7.5 Each Party will provide trained personnel with adequate and compatible test equipment to work with each other's technicians.
- 7.6 The Parties will coordinate and schedule testing activities of their own personnel, and others as applicable, to ensure its interconnection trunks/trunk groups are installed per the interconnection order, meet agreed-upon acceptance test requirements, and are placed in service by the due date.

- 7.7 Each Party will perform sectionalization to determine if a trouble is located in its facility or its portion of the interconnection trunks prior to referring the trouble to each other.
- 7.8 The Parties will advise each other's Control Office if there is an equipment failure, which may affect the interconnection trunks.
- 7.9 Each Party will provide to each other test-line numbers and access to test lines.
- 7.10 The Parties will cooperatively plan and implement coordinated repair procedures for the local interconnection trunks to ensure trouble reports are resolved in a timely and appropriate manner.
- 7.11 A blocking standard of one-half of one percent (.005) during the average busy hour for final trunk groups between an ALEC end office and Windstream access tandem carrying meet point traffic shall be maintained. All other final trunk groups are to be engineered with a blocking standard of one percent (.01). Windstream will engineer all interconnection trunks between the Parties to a 6 db of digital pad configuration.

ATTACHMENT 5: INTENTIONALLY LEFT BLANK

ATTACHMENT 6: UNBUNDLED NETWORK ELEMENTS (UNEs)**1.0 Introduction**

- 1.1 This Attachment sets forth rates, terms and conditions for Network Elements, subject to facility availability and technical feasibility, that Windstream agrees to offer to ALEC in accordance with its obligations under Section 251(c)(3) of the Act. The rates for each Network Element set forth in this Attachment.
- 1.2 Windstream's sole obligation is to provide and maintain Unbundled Network Elements in accordance with such specifications herein. Windstream DOES NOT WARRANT THAT UNBUNDLED NETWORK ELEMENTS ARE COMPATIBLE WITH ANY SPECIFIC FACILITIES OR EQUIPMENT OR CAN BE USED FOR ANY PARTICULAR PURPOSE OR SERVICE. Transmission characteristics may vary depending on the length of the unbundled local loop and may vary due to characteristics inherent in the physical network. Unbundled Local Loop specifications described in this Agreement apply only to the local loop as defined herein. Windstream, in order to properly maintain and modernize the network, may make necessary modifications and changes to the Network Elements in its network on an as needed basis. Such changes may result in minor changes to transmission parameters.
- 1.3 Unbundled Network Elements may not be used to provide any service that would degrade or otherwise adversely affect Windstream's network services, e.g., introduce harmful voltages or electrical currents in excess of standards used in common industry practice. Windstream will determine the medium over which the Unbundled Local Loop is provisioned to meet the appropriate technical parameters, except that, if ALEC requires a specific type of Unbundled Local Loop to meet the technical requirements of a proposed service, Windstream will consider the request on a case-by-case basis.
- 1.4 It is ALEC's responsibility to provision and provide E911 Services to its End Users that are provisioned utilizing Windstream's Unbundled Network Elements.
- 1.5 Unbundled Network Elements are only available to ALEC for use in its provisioning of local exchange service to its End Users within the Windstream West exchanges.
- 1.6 Access to the facility or functionality of a network element will be provided separately from access to other elements, and for a separate charge.
- 1.7 Windstream retains duty to maintain, repair, or replace the element.
- 1.8 Each Party is solely responsible for the services it provides to its End Users and to other Telecommunications Carriers.
- 1.9 Network elements provided to ALEC under the provisions of this Attachment will remain the property of Windstream.
- 1.10 To the extent that Windstream files a tariff that specifies terms, conditions, or rates for the performance of any action or obligation that would otherwise be governed by this Agreement and such tariff is duly approved by an appropriate governmental agency with jurisdiction over its subject matter, the terms, conditions, and/or rates of this Agreement will be superseded by the tariff

2.0 **Unbundled Loops**

- 2.1 The loop is a transmission path on which a subscriber's traffic is carried from the Main Distribution Frame or similar terminating device in a Windstream central office, in the local exchange, up to the termination at the Network Interface Device (NID) at the Customer's premise. Each unbundled loop will be provisioned with a NID.
- 2.2 Unbundled Local Loop Service will be available on an unbundled basis, first-come first-served, and subject to the availability of Windstream facilities.
- 2.3 Windstream will provide, subject to facility availability and technical feasibility, the following for the Windstream West, KY exchanges at the rates, terms, and conditions outlined in this Agreement and Exhibit A: Windstream WestKY UNE Price List.
 - 2.3.1 2-Wire Analog
 - 2.3.2 2-Wire Digital
 - 2.3.3 DS1
 - 2.3.3.1 DS1 Loop Caps. Windstream is not obligated to provide ALEC more than ten (10) DS1 unbundled loops to any single building (the "DS1 Cap"). Accordingly, ALEC will cease ordering DS1 unbundled loops once ALEC has obtained ten (10) DS1 unbundled loops at the same building. If, notwithstanding this Section, ALEC submits such an order, at Windstream's option it may accept the order, but convert any requested DS1 unbundled loop(s) in excess of the cap to Special Access and any applicable Special Access charges will apply to ALEC for such DS1 loop(s) as of the date of provisioning.
 - 2.3.3.2 Windstream will provide written notice to ALEC in the event ALEC currently has more than ten (10) DS1 unbundled loops to any single building. Within thirty (30) calendar days from the date of such notice, ALEC will submit requests to disconnect or convert to Special Access the unbundled loops exceeding the DS1 Cap. All unbundled loops converted to Special Access will be billed applicable Special Access charges as listed in the appropriate Windstream tariffs.
- 2.4 The provisioning of service to a Customer will require cross-office cabling and cross-connections within the central office to connect the loop to transmission equipment in co-located space.
- 2.5 ALEC will be responsible for testing and isolating network failures. Once ALEC has isolated a trouble to the Windstream provided loop, ALEC will issue a trouble report to Windstream on the loop. Windstream will take the actions necessary to repair the loop if a trouble actually exists.
- 2.6 If ALEC reports a trouble on a loop and no trouble actually exists, Windstream will charge ALEC for any dispatching and testing, (both inside and outside the central office) required by Windstream in order to confirm the loop's working status.
- 2.7 Where Windstream uses Integrated Digital Loop Carrier (IDLCs) systems to provide the local loop and Windstream has an alternate facility available, Windstream will make alternative arrangements to permit ALEC to order a contiguous unbundled local loop. To the extent it is technically feasible, these arrangements will provide ALEC with the capability to serve End Users at the same level Windstream provides its Customers. If no alternate facility is available then ALEC can use the Bona Fide Request (BFR) process, specified in Attachment 19, to request that Windstream

place facilities in order to meet ALEC's loop requirements. Standard Loop intervals shall not apply to the BFR process.

- 2.8 In addition to any liability provisions in this Agreement, Windstream does not guarantee or make any warranty with respect to unbundled local loops when used in an explosive atmosphere. ALEC will indemnify, defend and hold Windstream harmless from any and all claims by any person relating to ALEC's or ALEC End User's use of unbundled loops in an explosive atmosphere.
- 2.9 Windstream agrees that upon receiving an LSR from ALEC for any Customer of Windstream who wishes to disconnect its service and receive ALEC's service, the cut-over shall be completed within the intervals set forth in Attachment 18: Performance Measures. ALEC may request a coordinated cut-over between the Parties to avoid breaks in service to the Customer. Such coordinated cut-over shall be subject to the prices set forth in Exhibit A: UNE Price List and the intervals set forth in Attachment 18: Performance Measures.

3.0 Network Interface Device (NID)

- 3.1 The NID is defined as any means of interconnection of Customer premises wiring to Windstream's distribution plant, such as a cross-connect device used for that purpose. The NID is a single-line termination device or that portion of a multiple-line termination device required to terminate a single line or circuit at the premises. The NID features two independent chambers or divisions that separate the service provider's network from the End User's Customer-premises wiring. Each chamber or division contains the appropriate connection points or posts to which the service provider and the End User each make their connections. The NID provides a protective ground connection and is capable of terminating cables such as twisted pair cable.
- 3.2 With respect to multiple dwelling units or multiple-unit business premises, that are pursuant to applicable law, ALEC will provide its own NID and will connect directly with the Customer's inside wire. ALEC will not require any connection to the Windstream NID, unless such premises are served by "single subscriber" type NIDs.
- 3.3 The Windstream NIDs that ALEC uses under this Attachment will be those installed by Windstream to serve its Customers.
- 3.4 Where feasible, the NID shall be physically accessible to ALEC designated personnel. In cases where entrance to the Customer premises is required to give access to the NID, ALEC shall obtain entrance permission directly from the Customer.
- 3.5 ALEC will not attach to or disconnect Windstream's ground. ALEC will not cut or disconnect Windstream's loop from its protector. ALEC will not cut any other leads in the NID. ALEC will protect all disconnected leads with plastic sleeves and will store them within the NID enclosure. ALEC will tighten all screws or lugs loosened by ALEC in the NID's enclosure and replace all protective covers.
- 3.6 Any repairs, upgrades, and/or rearrangements required by ALEC will be performed by Windstream based on time and material charges.
- 3.7 If an existing NID is accessed, it shall be capable of transferring electrical analog or digital signals between the End User's Customer premises and the distribution media and/or cross connect to ALEC's NID.
- 3.8 Existing Windstream NIDs will be provided in "as is" condition. ALEC may request Windstream to do additional work to the NID on a time and material basis. When ALEC deploys its own local

Loops in a multiple-line termination device, ALEC shall specify the quantity of NIDs connections that it requires within such device.

4.0 Provisioning/Maintenance of Network Elements on an Unbundled Basis

- 4.1 Subject to the terms herein, Windstream is responsible only for the installation, operation and maintenance of the Network Elements it provides. Windstream is not otherwise responsible for the Telecommunications Services provided by ALEC through the use of those elements.
- 4.2 Where unbundled network elements provided to ALEC are dedicated to a single End User, if such elements are for any reason disconnected, they will be made available to Windstream for future provisioning needs, unless such element is disconnected in error. ALEC agrees to relinquish control of any such unbundled network element concurrent with the disconnection of ALEC's End User's service.
- 4.3 The elements provided pursuant to this Attachment will be available to Windstream at times mutually agreed upon in order to permit Windstream to make tests and adjustments appropriate for maintaining the services in satisfactory operating condition. No credit will be allowed for any interruptions involved during such tests and adjustments.
- 4.4 ALEC's use of any Windstream unbundled network element, or of its own equipment or facilities in conjunction with any Windstream network element, will not materially interfere with or impair service over any facilities of Windstream, or its connecting and concurring carriers involved in its services, cause damage to their plant, impair the privacy of any communications carried over their facilities or create hazards to the employees of any of them or the public. Upon reasonable written notice and opportunity to cure, Windstream may discontinue or refuse service if ALEC violates this provision, provided that such termination of service will be limited to ALEC's use of the element(s) causing the violation.
- 4.5 When a Windstream provided tariffed or resold service is replaced by an ALEC facility based service using any Windstream provided unbundled network elements (including service provided exclusively via Windstream provided UNE), both the disconnect order and the new connect order will be issued by ALEC. A service order charge on the requested network elements will be assessed. Similarly, when an End User is served by one local service provider using Windstream provided UNE is converted to ALEC's service which also uses a Windstream provided UNE, a disconnect order will be issued by Windstream (with an appropriate service order charge being generated to ALEC) of the existing UNE and a new connect order will be issued by ALEC (with an appropriate service order charge being generated to ALEC) of the new UNE.
- 4.6 ALEC will connect equipment and facilities that are compatible with the Windstream Network Elements and will use Network Elements in accordance with the applicable regulatory standards and requirements.
- 4.7 Unbundled Network Elements may not be connected to or combined with Windstream access services or other Windstream tariffed service offerings.

5.0 Maintenance of Elements

- 5.1 The network elements provided by Windstream pursuant to this Attachment will be maintained by Windstream. ALEC or others may not rearrange, move, disconnect, remove or attempt to repair any facilities provided by Windstream, other than by connection or disconnection to any interface means used, except with the written consent of Windstream.

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- 5.2 If trouble occurs with unbundled network elements provided by Windstream, ALEC will first determine whether the trouble is in ALEC's own equipment and/or facilities or those of the End User. If ALEC determines the trouble is in Windstream's equipment and/or facilities, ALEC will issue a trouble report to Windstream via Windstream Express.
- 5.3 ALEC will pay Time and Material charges when ALEC reports a suspected failure of a network element and Windstream dispatches personnel to the End User's premises or a Windstream central office and trouble was not caused by Windstream's facilities or equipment. Time and Material charges will include all technicians dispatched, including technicians dispatched to other locations for purposes of testing.
- 5.4 ALEC will pay Time and Material charges when Windstream dispatches personnel and the trouble is in equipment or communications systems provided an entity by other than Windstream or in detariffed CPE (Customer Provider Equipment) provided by Windstream, unless covered under a separate maintenance Agreement.
- 5.5 If ALEC issues a trouble report allowing Windstream access to the End User's premises and Windstream personnel are dispatched but denied access to the premises, then Time and Material charges will apply for the period of time that Windstream personnel are dispatched. Subsequently, if Windstream personnel are allowed access to the premises, the charges discussed herein will still apply.
- 5.6 Time and Material charges apply on a half-hour basis. If more than one technician is necessary in accordance with Windstream standard practices and is dispatched in conjunction with the same trouble report, the total time for all technicians dispatched will be aggregated prior to the distribution of time between the "First Half Hour or Fraction Thereof" and "Each Additional Half Hour or Fraction Thereof" rate categories. Basic Time is work-related efforts of Windstream performed during normally scheduled working hours on a normally scheduled workday. Overtime is work-related efforts of Windstream performed on a normally scheduled workday, but outside of normally scheduled working hours. Premium Time is work related efforts of Windstream performed other than on a normally scheduled workday.
- 5.7 If ALEC requests or approves a Windstream technician to perform services in excess of or not otherwise contemplated by the nonrecurring charges herein, ALEC will pay for any additional work to perform such services, including requests for installation or conversion outside of normally scheduled working hours.

6.0 Performance of Network Elements

- 6.1 Access to Network Elements provided by Windstream to ALEC will meet applicable regulatory performance standards and be at least equal in quality and performance as that which Windstream provides to itself. ALEC may request, and Windstream will provide, to the extent technically feasible, access to Network Elements that is lesser quality than Windstream provides to itself and such service will be requested pursuant to the Bona Fide Request process.
- 6.2 Nothing in this Attachment will limit either Party's ability to modify its network through the incorporation of new equipment, new software or otherwise. Each Party will provide the other Party written notice of any such upgrades in its network which will materially impact the other Party's service consistent with the timelines established by the FCC in the Second Report and Order, CC Docket 96-98. ALEC will be solely responsible, at its own expense, for the overall design of its telecommunications services and for any redesigning or rearrangement of its telecommunications services which may be required because of changes in facilities, operations or

procedure of Windstream, minimum network protection criteria, or operating or maintenance characteristics of the facilities.

7.0 Pricing

- 7.1 Exhibit A: UNE Price List is a schedule which reflects the prices at which Windstream agrees to furnish Unbundled Network Elements and associated ancillary items to ALEC. ALEC agrees to compensate Windstream for unbundled Network elements at the rates contained in this Exhibit.
- 7.2 For any rate element and/or charge contained in or referenced to in this Attachment that are not listed in the pricing, including Bona Fide Requests, the rate for the specific service or function will be as set forth in the applicable Windstream tariff.
- 7.3 Windstream will render a monthly bill for Network Elements provided hereunder. Remittance in full will be due within thirty (30) days of receipt of invoice. Interest will apply on overdue amounts.
- 7.4 Windstream will recover the costs of modifying its outside plant facilities for ALEC space requirements. These costs will be recovered via the Bona Fide Request process described herein.

7.5 Recurring Charges

- 7.5.1 Unless otherwise listed below, where Rates are shown as monthly, a month will be defined as a calendar month. The minimum term for each monthly rated element will be one (1) month. After the initial month, billing will be on the basis of whole or fractional months used. The minimum service period for elements provided under the Bona Fide Request process might be longer.
- 7.5.2 Where rates will be based on minutes of use, usage will be accumulated at the end of the month and rounded to the next higher minute. ALEC will pay for all usage on such calls including those that are not completed due to busy or don't answer conditions.
- 7.5.3 Where rates are based on miles, the mileage will be calculated on the airline distance involved between the locations. To determine the rate to be billed, Windstream will first compute the mileage using the V&H coordinates method, as set forth in the National Exchange Carrier Association, Inc. Tariff F.C.C. No 4. When the calculation results in a fraction of a mile, Windstream will round up to the next whole mile before determining the mileage and applying rates.

7.6 Non-Recurring Charges

- 7.6.1 ALEC will pay a non-recurring charge when ALEC adds or removes a signaling point code. This charge also applies to point code information provided by ALEC allowing other telecommunications providers to use ALEC's SS7 signaling network.
- 7.6.2 A service order processing charge (Service Order Charge), listed in Exhibit A UNE Price List, will be applied to each service order issued by ALEC for Windstream to process a request for installation, disconnection, rearrangement, changes to or record orders for unbundled network elements.
- 7.6.3 ALEC will pay a non-recurring Coordinated Cut-over charge, contained in Exhibit A Price List, when ALEC requests a coordinated cut-over.

Exhibit A: WindstreamWest, KY UNE Price List

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ATTACHMENT 7: PHYSICAL COLLOCATION

1.0 General Provisions

- 1.1 This Attachment sets forth the rates, terms, and conditions upon which Windstream will offer physical collocation in the Windstream West exchanges to ALEC as provided under the Act. These rates, terms, and conditions are in addition to those contained elsewhere in this Agreement.
- 1.2 ALEC shall be allowed to obtain dedicated space (the "Collocation Space") in Windstream West's Wire Centers and to place equipment in such space to interconnect with Windstream's network.
 - 1.1.2 ALEC shall not occupy or use the Collocation Space, or permit the Collocation Space to be occupied or used, for any purpose, act or thing, whether or not otherwise permitted by the Agreement, if such purpose, act, or thing (i) is in violation of any public law, ordinance, or governmental regulation; (ii) may be dangerous to persons or property; (iii) violates the terms of this Agreement.
- 1.3 Physical collocation shall be provided on a first-come, first-served basis.
- 1.4 Physical collocation also includes Windstream providing resources necessary for the operation and economical use of collocated equipment.
- 1.5 ALEC will disclose appropriate information about the equipment to be installed to allow Windstream to engineer the power, floor loading, heat release, environmental particulate level, and HVAC for the collocated space.
- 1.6 When Windstream personnel are used, the related charges by Windstream shall be just, reasonable, and non-discriminatory.
- 1.7 If ALEC chooses to physically collocate in premises which was initially prepared for virtual collocation, ALEC may
 - 1.7.1 retain its virtual collocation in that premise and expand that virtual collocation according to the rates, terms, and conditions contained in Attachment 8: Virtual Collocation; or
 - 1.7.2 unless it is not practical for technical reasons or because of space limitations, convert its virtual collocation to physical at such premises, in which case ALEC shall coordinate the construction and rearrangement with Windstream of its equipment and circuits for which ALEC shall pay Windstream at applicable rates, and pursuant to the other terms and conditions in this Attachment. In addition, all applicable physical collocation recurring and nonrecurring rates as listed in Exhibit A: Collocation Pricing shall apply.
- 1.8 ALEC is responsible for the installation, maintenance and repair of its equipment located within the collocated space rented from Windstream.
- 1.9 ALEC's collocated space will be separated from other CLEC's collocated space and Windstream space through cages constructed by Windstream or Windstream's contractors.
- 1.10 Once construction is complete for physical collocation and ALEC has accepted its physical collocation space, ALEC may order Cross-Connects.
- 1.11 If, at any time, Windstream reasonably determines that the equipment or the installation does not meet requirements, it will provide a notice of noncompliance to ALEC along with an identification

of the problem and recommendations for its solution. ALEC will be responsible for the costs associated with the removal, modification to, or installation of the equipment to bring it into compliance. If ALEC fails to commence the correction of any noncompliance within thirty (30) days of written notice of non-compliance, Windstream may have the equipment removed or the condition reasonably corrected at ALEC's expense.

- 1.12 During installation if Windstream determines ALEC activities or equipment are unsafe, non-standard, or in violation of any applicable laws or regulations, Windstream has the right to stop work until the situation is remedied. If such conditions pose an immediate threat to the safety of Windstream's employees, interfere with the performance of Windstream's service obligations, or pose an immediate threat to the physical integrity of the conduit system or the cable facilities, Windstream may perform such work and/or take reasonable action as is necessary to correct the condition at ALEC's sole expense.
- 1.13 Windstream may refuse ALEC's requests for additional space if ALEC is in material breach of this Attachment, including having any past due charges hereunder.
- 1.14 Any collocation element requested by ALEC that is not contained in this Attachment or Exhibit A: Collocation Pricing will be handled on a individual case basis ("ICB").

2.0 Collocation Intervals

- 2.1 All requests for Collocation are evaluated on an individual Wire Center basis. Standard requests are for one (1) Wire Center. Non-standard requests will be addressed on an individual case basis.
- 2.2 Acknowledgment of Floor Space Availability

Within fifteen (15) business days of the receipt by Windstream from ALEC of a Bona Fide Request for Collocation and the associated Application Fee, Windstream will notify ALEC whether the sufficient floor space is available in the requested Wire Center to accommodate ALEC's request and provide floor plans necessary for use by a Windstream approved contractor.
- 2.3 Buildout Quote Preparation.

Within thirty-five (35) business days of the receipt by Windstream from ALEC of a Bona Fide Request for Collocation and the associated Application Fee, Windstream will provide ALEC with a written quotation containing all nonrecurring charges for the requested Collocation Space arrangement.
- 2.4 Quote Acceptance

Within thirty (30) business days of the receipt by ALEC of the Windstream quotation, ALEC will accept or reject the Windstream proposed quotation. Acceptance shall require payment to Windstream of fifty percent (50%) of the non-recurring charges provided on the quotation.
- 2.5 Completion of Cage Construction

Within seventy (70) business days of the acceptance of the quotation by ALEC, the construction of the necessary cage enclosure shall be completed. At this time, the leased floor space will be available to ALEC for installation of its collocated equipment.
- 2.6 Delays in Windstream's receipt of equipment or material required for physical collocation that are beyond Windstream's control shall not leave Windstream liable for any claims of delay by ALEC.

- 2.7 Windstream shall notify ALEC that the Collocation Space is ready for occupancy. ALEC's operational telecommunications equipment must be placed and connected with Windstream's network within sixty (60) business days of such notice. If ALEC fails to place operational telecommunications equipment in the Collocation Space within sixty (60) business days and such failure continues for a period of twenty (20) business days after receipt of written notice from Windstream, then and in that event ALEC's right to occupy the Collocation Space terminates and Windstream shall have no further obligations to ALEC with respect to said Collocation Space. Termination of ALEC's rights to the Collocation Space pursuant to this Section shall not operate to release ALEC from its obligations to reimburse Windstream for all cost reasonably incurred by Windstream in preparing the Collocation Space, but rather such obligation shall survive this Attachment. For purposes of this paragraph, ALEC's telecommunications equipment will be deemed operational when cross-connected to Windstream's network for the purpose of service provision.

3.0 Termination of Collocation Arrangement

- 3.1 ALEC may terminate occupancy in the Collocation Space upon thirty (30) days' prior written notice to Windstream.
- 3.2 At the termination of a Collocation Space license by lapse of time or otherwise:
- 3.2.1 ALEC shall surrender all keys, access cards and Windstream provided photo identification cards to the Collocation Space and the building to Windstream, and shall make known to Windstream the combination of all combination locks remaining on the Collocation Space.
- 3.2.2 ALEC, at its sole expense, shall remove all its equipment from the Collocation Space within thirty (30) days to complete such removal; provide, however that ALEC shall continue payment of monthly fees to Windstream until such date as ALEC has fully vacated the Collocation Space.
- 3.2.2.1 If ALEC fails to vacate the Collocation Space within thirty (30) days from the termination date, Windstream reserves the right to remove ALEC's equipment and other property of ALEC, with no liability for damage or injury to ALEC's property unless caused by the negligence or intentional misconduct of Windstream. All expenses shall be borne by ALEC.
- 3.2.3 ALEC shall return to Windstream the Collocation Space and all equipment and fixtures of Windstream in as good a condition and state of repair as when ALEC originally took possession, normal wear and tear or damage by fire or other casualty excepted. ALEC shall be responsible to Windstream for the cost of any repairs that shall be made necessary by the acts or omissions of ALEC or of its agents, employees, contractors, or business invitees. Windstream reserves the right to oversee ALEC's withdrawal from the Collocation Space, and ALEC agrees to comply with all directives of Windstream regarding the removal of equipment and restoration of the Collocation Space, including, without limitation, Windstream's directive to return the Collocation Space in other than its original condition on the date of occupancy; provided, however, that ALEC shall not be responsible for putting the Collocation Space in other than its original condition if to do so would put ALEC to additional expense above and beyond that which would be necessary to return the Collocation Space in its original condition.

- 3.2.4 Prior to any termination or within thirty (30) days thereafter, ALEC shall promptly remove any installations, additions, hardware, non-trade fixtures and improvements, place in or upon the Collocation Space by ALEC, failing which Windstream may remove the same, and ALEC shall, upon demand, pay to Windstream the cost of such removal and of any necessary restoration of the Collocation Space. No cable shall be removed from inner duct or outside cable duct except as directed by Windstream.
- 3.2.5 All fixtures, installations, and personal property belonging to ALEC not removed from the Collocation Space upon termination of a Collocation Space license and not required by Windstream to have been removed as provided in this Attachment, shall be conclusively presumed to have been abandoned by ALEC and title thereto shall pass to Windstream under this Attachment as if by bill of sale.
- 3.2.6 If the Collocation Space is not surrendered at the termination of the Collocation Space license, ALEC shall indemnify Windstream against loss or liability resulting from delay by ALEC in so surrendering the Collocation Space, including, without limitation, any claims made by any succeeding tenant founded on such delay.
- 3.3 Should ALEC default in its performance and said default continues for thirty (30) days after receipt of written notice, or if the ALEC is declared bankrupt or insolvent or makes an assignment for the benefit of creditors, Windstream may, immediately or at any time thereafter, without notice or demand, enter and repossess the Collocation Space, expel ALEC, remove ALEC property, forcibly if necessary, and thereupon this Attachment shall terminate, without prejudice to any other remedies Windstream might have. Windstream may also refuse additional applications for service and/or refuse to complete any pending orders for additional space or service by ALEC at any time thereafter.

4.0 Collocation Space

- 4.1 If Windstream determines it necessary for ALEC's Collocation Space to be moved within the building in which the Collocation Space is located ("Building") or to another Windstream Wire Center, ALEC is required to do so. ALEC shall be responsible for the preparation and all costs associated with the new Collocation Space at the new location, if such relocation arises from circumstances beyond the reasonable control of Windstream, including condemnation or government order or regulation that makes the continued occupancy of the Collocation Space or Building uneconomical in Windstream's sole judgment. Otherwise Windstream shall be responsible for any such preparation and costs.
- 4.2 If ALEC requests the Collocation Space to be moved within the Building or to another Windstream Wire Center, Windstream shall permit ALEC to relocate the Collocation Space, subject to the availability of space and associated requirements. ALEC shall be responsible for all applicable charges associated with the move, including the reinstallation of its equipment and facilities and the preparation of the new Collocation Space and the new Wire Center as applicable.
- 4.3 Should either event as described in § 4.1 and § 4.2 occur, the new Collocation Space shall be deemed the "Collocation Space" hereunder and the new Wire Center the "Building."
- 4.4 Windstream agrees, at ALEC's sole cost and expense as set forth herein, to prepare the Collocation Space in accordance with working drawings and specifications. The preparation shall be arranged by Windstream in compliance with all applicable codes, ordinances, resolutions, regulations and laws. After ALEC has made the initial payments required and the state regulatory approval is obtained for this attachment, Windstream agrees to pursue diligently the preparation of the Collocation Space for use by ALEC.

5.0 Entrance Facilities and Demarcation Point

- 5.1 ALEC will place its own entrance facilities for connection to the collocated equipment. Windstream will designate the point of interconnection in proximity to the central office building housing the Collocation Space, such as an entrance manhole or a cable vault. ALEC will provide and place cable at the point of interconnection of sufficient length to be pulled through conduit and into the spliced location. No splicing will be permitted in the entrance manhole. ALEC will provide a sufficient length of fire retardant riser cable, to which the entrance cable will be spliced, which will extend from the spliced location to ALEC's equipment in the Collocation Space. ALEC must contact Windstream for instructions prior to placing the entrance facility cable in the manhole. ALEC is responsible for maintenance of the entrance facilities.
- 5.2 Windstream shall designate the point(s) of termination within the Building as the point(s) of physical demarcation between ALEC's network and Windstream's network, with each being responsible for maintenance and other ownership obligations and responsibilities on its side of that demarcation point. The demarcation point between Windstream and ALEC will be at the POT Bay.

6.0 Use of Collocation Space

- 6.1 Subject to § 6.13 below, ALEC shall only use the Collocation Space for purposes of locating equipment and facilities within Windstream's central offices to connect with Windstream services only. Consistent with the nature of the Building and the environment of the Collocation Space, ALEC shall not use the Collocation Space for office, retail, or sales purposes. ALEC shall place no signs or markings of any kind (except for a plaque or other identification affixed to ALEC's equipment and reasonably necessary to identify ALEC's equipment and which shall include a list of emergency contacts with telephone numbers) in the Building or on the grounds surrounding the Building.
- 6.2 ALEC is solely responsible for the design, engineering, testing, performance, monitoring, maintenance, and repair of the equipment and facilities used by ALEC in the Collocation Space.
- 6.3 From time to time Windstream may require access to the Collocation Space. Windstream retains the right to access such space for the purpose of making equipment and building modifications (e.g., running, altering or removing racking, ducts, electrical wiring, HVAC, and cables). Windstream will give reasonable notice to ALEC when access to the Collocation Space is required. ALEC may elect to be present whenever Windstream performs work in the Collocation Space. The Parties agree that ALEC will not bear any of the expense associated with this work.
- 6.4 **ALEC Access to ALEC's Collocation Space**

ALEC shall have access to its Collocation Space twenty-four (24) hours a day, seven (7) days a week. A security escort will be required at Central Offices where separate, secured ingress and egress are not available and access would require ALEC to traverse restricted areas. All employees, agents and contractors of ALEC having access to the Collocation Space shall comply with Windstream's policies and practices pertaining to fire, safety and security, and each such employee, agent or contractor shall display an identification badge issued by Windstream which contains a current photo, the individual's name and company name/logo. ALEC agrees to comply with all laws, ordinances and regulations affecting the use of the Collocation Space. Upon expiration of this Attachment, ALEC shall surrender the Collocation Space to Windstream in the same condition as when first occupied by ALEC except for ordinary wear and tear.

- 6.5 ALEC must submit an application listing all of ALEC's telecommunications equipment and facilities that will be placed within the Collocation Space with the associated power requirements, floor loading and heat release of each piece. ALEC warrants and represents that the application is complete and accurate and acknowledges that any incompleteness or inaccuracy, which remains uncorrected after thirty (30) days' notification by Windstream, would be a material breach of this Attachment. ALEC shall not place or leave any telecommunications equipment or facilities within the Collocation Space beyond those listed on the application without the express written consent of Windstream.
- 6.6 In the event that subsequent to the execution of this Attachment ALEC desires to place in the Collocation Space any equipment or facilities not set forth on the application, ALEC shall furnish to Windstream a written list and description of the equipment or facilities. Windstream may provide such written consent or may condition any such consent on additional charges arising from the request, including any engineering design charges and any additional requirements such as power and environmental requirements for such listed and described equipment and/or facilities. Upon the execution by both Parties of a final list and description, including any applicable charges, this Attachment shall be deemed to have been amended to include the terms and conditions of the final list and description.
- 6.7 The foregoing imposes no obligation upon Windstream to purchase additional plant or equipment, relinquish used or forecasted space or facilities, to undertake the construction of new quarters or to construct additions to existing quarters in order to satisfy a subsequent request for additional space or the placement of additional equipment or facilities.
- 6.8 ALEC shall indicate its intent to proceed with equipment installation in a Windstream Central Office by submitting a Bona Fide Firm Order to Windstream. A Bona Fide Firm Order requires ALEC to complete the Application/Inquiry process described in § 6.5 preceding, submit an updated Application document based on the outcome of the Application/Inquiry process, and pay all applicable fees referenced in § 14.0, following. The Bona Fide Firm Order must be received by Windstream no later than thirty (30) days after Windstream's response to ALEC's Application/Inquiry. Space preparation for the Collocation Space will not begin until Windstream receives the Bona Fide Firm Order and all applicable fees.
- 6.9 ALEC shall bare all costs of any renovation or upgrade to Central Office space or support mechanisms which is required to accommodate physical collocation. For this Section, support mechanisms provided by Windstream may include, but not be limited to heating/ventilation/air conditioning (HVAC) equipment, HVAC duct work, cable support structure, fire wall(s), mechanical upgrade, asbestos abatement, ground plane addition, or separate ingress/egress construction. Such renovation or upgrade will be evaluated and the charges assessed on a per Central Office basis. Windstream will make reasonable efforts to provide for occupancy of the Collocation Space on the negotiated date and will advise ALEC of delays. ALEC agrees Windstream shall not be liable to ALEC for delays in providing possession of the Collocation Space.
- 6.10 Pursuant to the terms contained in this Attachment, Windstream shall construct an equipment arrangement enclosure in increments of one hundred (100) square feet, with a minimum of one hundred (100) square feet.
- 6.11 ALEC equipment or operating practices representing a significant demonstrable technical threat to Windstream's network or facilities, including the building, is strictly prohibited.
- 6.12 Notwithstanding any other provision hereof, the characteristics and methods of operation of any equipment or facilities placed in the Collocation Space shall not interfere with or impair service over any facilities of Windstream or the facilities of any other person or entity located in the

building; create hazards for or cause damage to those facilities, the Collocation Space, or the building; impair the privacy of any communications carried in, from, or through the building; or create hazards or cause physical harm to any individual or the public. Any of the foregoing events would be a material breach of this Attachment.

- 6.13 Windstream will permit interconnection between two collocated local service providers at the rates specified in § 14.9 Direct Connection. Windstream will provide nothing more than the labor and physical structure(s) necessary for the local service providers to pull facilities provided by one local service provider from its collocation node to the collocation node of another local service provider. If the local service providers are not located on the same floor, Windstream will perform the cable pull on a time and materials basis, in addition to the charges specified in § 14.0 of this Attachment. At no time, in connection with such interconnection with other local service providers, will the local service providers be allowed access to any portion of the central office other than the collocation area. Windstream will not make the physical connection within the local service provider's collocation node. Windstream will not accept any liability for the cable or the connections and Windstream will not maintain any records concerning these connections.
- 6.14 Subject to this Attachment, ALEC may place or install in or on the Collocation Space such fixtures and equipment as it shall deem desirable for the conduct of business. Personal property, fixtures and equipment placed by ALEC in the Collocation Space shall not become a part of the Collocation Space, even if nailed, screwed or otherwise fastened to the Collocation Space, but shall retain their status as personality and may be removed by ALEC at any time. ALEC shall promptly repair any damage caused to the Collocation Space by the removal of such property at its expense.
- 6.15 In no case shall ALEC or any person purporting to be acting through or on behalf of ALEC make any rearrangement, modification, improvement, addition, repair, or other alteration to the Collocation Space or the building without the advance written permission and direction of Windstream. Windstream shall consider a modification, improvement, addition, repair, or other alteration requested by ALEC, provided that Windstream shall have the right to reject or modify any such request. ALEC shall pay the cost of any such construction in accordance with Windstream's then-standard custom work order process.

7.0 Standards

- 7.1 The Parties warrant that the services provided hereunder this Attachment is made available subject to and in accordance with the Bellcore Network Equipment Building System (NEBS) Generic Requirements and the National Electric Code Standards. However, if such reference material is substantially altered in a more recent version to significantly change the obligations of ALEC as of the Effective Date of this Attachment and the Parties are not in Agreement concerning such modifications, the Parties agree to negotiate in good faith to determine how such changes will impact performance of the Parties under this Attachment, if at all. Until such time as the Parties agree, the provisions of the last accepted and unchallenged version will remain in force. This condition shall not apply to any statutory and/or regulatory requirements in effect at the execution of this Attachment or that subsequently become effective and then when effective shall also apply to this Attachment regardless of ALEC concurrence. ALEC shall strictly observe and abide by each.
- 7.2 ALEC warrants and represents compliance with the Bellcore Network Equipment Building System (NEBS) Generic Requirements for each item set forth on the application form. ALEC also warrants and represents that any equipment or facilities that may be placed in the Collocation Space pursuant to § 6.6 shall be so compliant.

- 7.2.1 DISCLOSURE OF ANY NON-COMPLIANT ITEM ON THE APPLICATION FORM, PURSUANT TO § 6.6, OR OTHERWISE SHALL NOT QUALIFY THIS ABSOLUTE CERTIFICATION IN ANY MANNER.

8.0 Responsibilities of ALEC

- 8.1 ALEC is responsible for providing to Windstream personnel a contact number for ALEC technical personnel who are readily accessible twenty-four (24) hours a day, seven (7) days a week.
- 8.2 ALEC is responsible for providing trouble report status when requested by Windstream.
- 8.3 Regeneration of either DS1 or DS3 signal levels must be provided by ALEC, or Windstream under its then-standard custom work order process, including payment requirements prior to the installation of the regeneration equipment. ALEC must provide any regeneration caused by cross-office extension.
- 8.4 A vendor who has been approved as a Windstream certified vendor to perform all engineering and installation work must install all equipment. Windstream shall provide ALEC with a list of certified vendors upon request. The certified vendor shall be responsible for installing ALEC's equipment and components, extending power cabling to the Windstream power distribution frame, performing operational tests after installation is complete and notifying Windstream's engineers and ALEC upon successful completion of installation. The certified vendor shall bill ALEC directly for all work performed for ALEC pursuant to this Attachment and Windstream shall have no liability for nor responsibility to pay such charges imposed by the certified vendor. Equipment ownership, maintenance and insurance are the full responsibility of ALEC. ALEC will be responsible for servicing, supplying, installing, repairing, and maintaining the following facilities within the Collocation Space:
- 8.4.1 Entrance Facilities;
- 8.4.2 ALEC Equipment;
- 8.4.3 Required Point of Termination Cross Connects;
- 8.4.4 Point of Termination maintenance, including replacement of fuses and circuit breaker restoration, if and as required;
- 8.4.5 The connection cable(s) and associated equipment which may be required within the Collocation Space to the point(s) of termination.

Windstream NEITHER ACCEPTS NOR ASSUMES ANY RESPONSIBILITY WHATSOEVER IN ANY OF THESE AREAS.

- 8.5 ALEC is responsible for immediate verbal notification to Windstream of significant outages or operations problems which could impact or degrade Windstream's network, switches, or services, and for providing an estimated clearing time for restoral. In addition, written notification must be provided within twenty-four (24) hours.
- 8.6 ALEC is responsible for coordinating with Windstream to ensure that services are installed in accordance with the service request.

- 8.7 ALEC is responsible for testing, to identify and clear a trouble when the trouble has been isolated to an ALEC provided facility or piece of equipment. If Windstream testing is also required, it will be provided at charges specified in Windstream's F.C.C. Tariff No. 1.

9.0 Assignment

- 9.1 ALEC shall not assign, sublet, or otherwise transfer this Attachment, neither in whole nor in part, or permit the use of any part of the Collocation Space by any other person or entity, without the prior written consent of Windstream. Any purported assignment or transfer made without such prior written consent shall be deemed a material breach of this Attachment and voidable at the option of Windstream. ALEC shall not permit any third party to jointly occupy the Collocation Space. ALEC acknowledges that this Attachment does not convey any right, title or interest in the Central Office to ALEC.

10.0 Casualty Loss

- 10.1 If fire or other casualty damages the Collocation Space, and the Collocation Space is not rendered untenantable in whole or in part, Windstream shall repair the same at its expense (as hereafter limited) and the rent shall not be abated. If the Collocation Space is rendered untenantable in whole or in part and such damage or destruction can be repaired within ninety (90) days, Windstream has the option to repair the Collocation Space at its expense (as hereafter limited) and rent shall be proportionately abated while ALEC was deprived of the use. If the Collocation Space cannot be repaired within ninety (90) days, or Windstream opts not to rebuild, then this Attachment shall (upon notice to ALEC within thirty (30) days following such occurrence) terminate as of the date of such damage.
- 10.2 Any obligation on the part of Windstream to repair the Collocation Space shall be limited to repairing, restoring and rebuilding the Collocation Space as originally prepared for ALEC and shall not include any obligation to repair, restore, rebuild or replace any alterations or improvements made by ALEC or by Windstream to the Collocation Space at the request of ALEC; or any fixture or other equipment installed in the Collocation Space by ALEC or by Windstream on request of ALEC.
- 10.3 In the event that the Building shall be so damaged by fire or other casualty that closing, demolition or substantial alteration or reconstruction thereof shall, in Windstream's opinion, be necessary, then, notwithstanding that the Collocation Space may be unaffected thereby, Windstream, at its option, may terminate this Attachment by giving ALEC ten (10) days prior written notice within thirty (30) days following the date of such occurrence, if at all possible.

11.0 Limitation of Liability

- 11.1 In addition to the General Terms and Conditions, § 7.0 Liability and Indemnification, § 11.0 Limitation of Liability shall also apply.
- 11.2 ALEC acknowledges and understands that Windstream may provide space in or access to the building to other persons or entities ("Others"), which may include competitors of ALEC; that such space may be close to the Collocation Space, possibly including space adjacent to the Collocation Space and/or with access to the outside of the Collocation Space; and that the collocation node around the Collocation Space is a permeable boundary that will not prevent the Others from observing or even damaging ALEC equipment and facilities. In addition to any other applicable limitation, Windstream shall have absolutely no liability with respect to any action or omission by

any Other, regardless of the degree of culpability of any such Other or Windstream, and regardless of whether any claimed Windstream liability arises in tort or in contract. ALEC shall save and hold Windstream harmless from any and all costs, expenses, and claims associated with any such acts or omission by any other acting for, through, or as a result of ALEC.

12.0 Services, Utilities, Maintenance and Facilities

- 12.1 Windstream, at its sole cost and expense, shall maintain the customary building services; utilities (excluding telephone facilities), including janitor and elevator services, twenty-four (24) hours a day. ALEC shall be permitted to have a single-line business telephone service for the Collocation Space subject to applicable Windstream tariffs.
- 12.2 Windstream will provide negative DC and AC power, back-up power, heat, air conditioning, ventilation and other environmental support necessary for ALEC equipment, in the same manner that it provides such support items for its own equipment within that Wire Center. Additionally, Windstream shall provide smoke/fire detection and any other building code requirements.
- 12.3 Windstream shall maintain the exterior of the Building and grounds, and all entrances, stairways, passageways, and exits used by ALEC to access the Collocation Space.
- 12.4 Windstream agrees to make, at its expense, all changes and additions to the Collocation Space required by laws, ordinances, orders or regulations of any municipality, county, state or other public authority including the furnishing of required sanitary facilities and fire protection facilities, except fire protection facilities specially required because of the installation of telephone or electronic equipment and fixtures in the Collocation Space.
- 12.5 Windstream will not provide ALEC with guaranteed parking. ALEC is required to park in public parking.
- 12.6 Where available without a security escort, Windstream shall provide access to eyewash stations, bathrooms, and drinking water within the collocated facility on a twenty-four (24) hours per day, seven (7) days per week basis for ALEC personnel and its designated agents. Immediate access will be given to eyewash stations in an emergency situation. In situations which require a security escort, ALEC shall be assessed the appropriate security escort fees.

13.0 Compliance with Laws

- 13.1 ALEC and all persons acting through or on behalf of ALEC shall comply with the provisions of the Fair Labor Standards Act, the Occupational Safety and Health Act, and all other applicable federal, state, county, and local laws, ordinances, regulations and codes (including identification and procurement of required permits, certificates, approvals and inspections) in its performance hereunder.

14.0 Rates and Charges

- 14.1 ALEC shall pay for Collocation Space(s) according to the rates contained in Exhibit A attached hereto. Any collocation element requested by ALEC, or that is needed for the operation of ALEC's collocation space, not contained in this Attachment or Exhibit A: Collocation Pricing will be handled on an individual case basis ("ICB").

14.2 Application Fee

ALEC shall submit to Windstream an Application fee to cover the work involved in developing a quotation for ALEC for the total costs involved in its collocation request for one (1) Wire Center. ALEC must pay the Application Fee to Windstream prior to Windstream beginning any collocation work for ALEC. The Application Fee is non-refundable.

14.3 Subsequent Application Fee

Windstream requires the submission of an Application Fee for modifications to an existing arrangement.

14.4 Buildout Space Preparation Fee

The Space Preparation Fee is a one-time fee, assessed per arrangement, per location. It recovers costs associated with the shared physical collocation area within a central office, which include survey, engineering, design and building modification costs. This charge may vary depending on the location and the type of arrangement requested.

14.5 Cable Installation Fee

The Cable Installation Charge applies for each cable ordered within a location. Cable installation involves activities associated with pulling the fiber cable from the interconnection point to the terminating equipment or the vault, installing fire retardant riser cable, and splicing the entrance fiber cable to the riser cable. The Cable Installation Charge will not apply on subsequent orders within the same location for ALEC if ALEC and Windstream jointly determine that efficient cable facilities exist to accommodate the subsequent Physical Collocation arrangement(s).

14.6 Cable Support Structure

The Cable Support Structure monthly recurring charge applies for the use of conduit from the point of interconnection to the cable vault or other central office entrance, and for entrance and riser cable rack space.

14.7 Floor Space

The floor space charge includes charges for lighting, heat, air conditioning, ventilation, amperage and other allocated expenses associated with maintenance of the Central Office. When walls or other divider encloses the Collocation Space, ALEC shall pay floor space charges based upon the number of square feet so enclosed. Floor space charges are due beginning with the date on which Windstream releases the Collocation Space for occupancy or on the date ALEC first occupies the Collocation Space, whichever is sooner.

14.8 Power

This provides 48 volt DC A and B power and ground feeds from the local power panel to ALEC's collocated space. Power feeds are provided in forty (40) ampere increments. A separate ground cable for the ALEC collocated space will also be provided.

14.9 Security Escort

A security escort will be required whenever ALEC or its approved agent desires access to the entrance manhole or must traverse a restricted area within Windstream's central office. Rates for a Windstream security escort are assessed in one-half (1/2) hour increments according to the schedule appended hereto as Exhibit A: Collocation Pricing. A request resulting in the dispatch of

a Windstream employee at a time not consecutive with the employee's scheduled work period is subject to a minimum charge of three (3) hours.

14.10 Cross-Connects

The provisioning of a Loop to Windstream's collocation space will require cross-office cabling and cross-connections within the central office to connect the Loop to a local switch or to other transmission equipment. These cross-connects are separate components that are not considered a part of the Loop, and thus, have a separate charge as listed in Exhibit A.

15.0 Insurance

15.1 ALEC shall, at its sole cost and expense, procure, maintain, pay for and keep in force the insurance as specified in this Section underwritten by insurance companies licensed to do business in the state where physical collocation is offered, and ALEC's insurance company's rating need not be higher than what Windstream requires of its own underwriters. So long as ALEC has assets that equal or exceed ten billion dollars (\$10,000,000,000.00) all or any portion of the insurance required may be effected by a plan of self-insurance. As appropriate, Windstream shall be named as an additional insured and/or as a loss payee on all applicable policies.

15.2 Types of Coverage and Limits

15.2.1 Commercial general liability, including contractual liability, insuring against liability for personal injury and property damage in an amount not less than ten million dollars (\$10,000,000.00) combined single limit per occurrence, naming Windstream as an additional insured. The insurance shall also contain coverage for bodily injury and property damage, with a policy aggregate of ten million dollars (\$10,000,000.00). Said coverage shall include premises operations, independent contractors, products/completed operations, broad form property, and personal injury endorsements.

15.2.2 Umbrella/excess liability coverage in an amount of five million dollars (\$5,000,000.00) excess of coverage specified in § 15.2.1 proceeding.

15.2.3 Statutory Workers Compensation coverage and Employers Liability coverage in the amount of one million dollars (\$1,000,000.00) each employee by accident and disease.

15.2.4 ALEC may elect to purchase business interruption and contingent business interruption insurance, having been advised that Windstream assumes no liability for loss of profit or revenues should an interruption of service occur.

15.2.5 All risk property coverage on a full replacement cost basis insuring all of ALEC's personal property situated on or within Windstream location(s). ALEC may also elect to purchase business interruption or contingent business interruption insurance, knowing that Windstream has no liability for loss of profit or revenues should an interruption of service occur.

15.2.6 ALEC may purchase and secure such other and further insurance coverage as it may deem prudent and the Parties shall cooperate with each other and their respective insurance providers to review and coordinate such insurance coverage so as to avoid unneeded or duplicative coverage.

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- 15.3 The limits set forth in § 15.2 above may be increased by Windstream from time to time during the term of the Attachment upon thirty (30) days notice to ALEC to at least such minimum limits as shall then be customary with respect to comparable occupancy of Windstream structures.
- 15.4 All policies purchased by ALEC shall be deemed to be primary and not contributing to or in excess of any similar coverage purchased by Windstream.
- 15.5 All insurance must be in effect on or before the date equipment is delivered to Windstream's Central Office and shall remain in effect for the term of this Attachment or until all ALEC property has been removed from Windstream's Central Office, whichever period is longer. If ALEC fails to maintain required coverage, Windstream may pay the premiums thereon and seek reimbursement of it from ALEC.
- 15.6 ALEC releases Windstream from and waives any and all right of recovery, claim, action or cause of action against Windstream, its agents, directors, officers, employees, independent contractors, and other representatives for any loss or damage that may occur to equipment or any other personal property belonging to ALEC or located on or in the space at the instance of ALEC by reason of fire or water or the elements or any other risks would customarily be included in a standard all risk casualty insurance policy covering such property, regardless of cause or origin, including negligence of Windstream, its agents, directors, officers, employees, independent contractors, and other representatives. Property insurance on ALEC fixtures and other personal property shall contain a waiver of subrogation against Windstream, and any rights of ALEC against Windstream for damage to ALEC fixtures or personal property are hereby waived. ALEC may also elect to purchase business interruption and contingent business interruption insurance, knowing that Windstream has no liability for loss of profit or revenues should an interruption of service occur.
- 15.7 ALEC shall submit certificates of insurance and copies of policies reflecting the coverage specified above prior to the commencement of the work called for in this Attachment. ALEC shall arrange for Windstream to receive thirty (30) days advance written notice from ALEC insurance company(ies) of cancellation, non-renewal or substantial alteration of its terms.
- 15.8 ALEC must also conform to the recommendation(s) made by Windstream's insurance company.
- 15.9 Failure to comply with the provisions of this Section will be deemed a material violation of this Attachment.

16.0 Windstream's Right of Way

- 16.1 Windstream, its agents, employees, and other Windstream-authorized persons shall have the right to enter the Collocation Space at any reasonable time to examine its condition, make repairs required to be made by Windstream hereunder, and for any other purpose deemed reasonable by Windstream. Windstream may access the Collocation Space for purpose of averting any threat of harm imposed by ALEC or its equipment or facilities upon the operation of Windstream equipment, facilities and/or personnel located outside of the Collocation Space. If routine inspections are required, they shall be conducted at a mutually agreeable time.

ATTACHMENT 8: VIRTUAL COLLOCATION

1.0 Service Description

- 1.1 This Attachment sets forth terms and conditions that provide ALEC the capability to collocate Customer provided transmission, concentration and multiplexing equipment at the Windstream West wire center or other designated Windstream premises for use as permitted under this contract or other authorized uses provided pursuant to applicable tariffs. ALEC may terminate basic fiber optic transmission facilities at Windstream's premises for connection to their designated equipment or may lease facilities from Windstream. The terms and conditions of Attachment 8: Virtual Collocation, are in addition to those of the General Terms and Conditions, that are applicable to the direct interconnection arrangements, maintenance, repair, and support of the direct interconnection of ALEC's network to Windstream's network at specific locations established in accordance with the terms of this Attachment (Windstream Virtual Collocation Service). To the extent that any provisions of this Attachment are inconsistent with the applicable express requirements, if any, of the FCC rules on collocation and other applicable law, the Parties agree to amend this Attachment so as to conform it to the applicable express requirements of the FCC rules and other applicable law.
- 1.2 Windstream Virtual Collocation Service is provided at central offices, tandems or remote nodes/switches designated by Windstream ("Locations"). ALEC will install fiber optic cable up to a Windstream designated Interconnection Point(s) (IP) that will be located outside of the Location, such as a manhole, as indicated and defined in the Attachment Network Interconnection. ALEC will provide Windstream sufficient length of fiber at the Interconnection Point to extend between the IP and the Location (Entrance Fiber). Windstream will purchase the Entrance Fiber under the provisions of 2.1 following, and will install the Entrance Fiber into the Location for connection to the Virtual Collocation transmission equipment that may be allowed under this Attachment. If multiple entry points are available, and ALEC so desires, multiple entry points will be provided to ALEC.
- 1.3 Windstream Virtual Collocation Service will be made available subject to the availability of space and facilities in each Location.
- 1.4 General provisions, rates and charges applicable to all Windstream Virtual Collocation Services are contained in this Attachment.

2.0 General Provisions

- 2.1 In order to ensure the compatibility of the transmission capabilities of the facilities and equipment used in the provision of Windstream Virtual Collocation Service, such equipment and facilities, including the Entrance Fiber, associated riser cable/fiber, terminal transmission equipment, plug-ins, software, unique tools and test equipment will be provided by ALEC.
- 2.2 Title
 - 2.2.1 ALEC agrees to sell to Windstream all the equipment and support structure components required to provision and maintain/repair Windstream Virtual Collocation on an ongoing basis, for the sum of one dollar (\$1.00).
 - 2.2.2 Upon the termination or expiration of this Agreement or any Virtual Collocation arrangement, Windstream agrees to sell to ALEC for the sum of one dollar (\$1.00), all the

equipment and support structure components which were sold to Windstream pursuant to §2.2.1 above.

- 2.2.3 This Agreement does not convey to ALEC any right, title, or interest in Windstream facility; interconnection space; cable space; cable racking; vault or conduit space used in the provisioning of a Windstream Virtual Collocation arrangement.
- 2.3 The Parties will designate the IP in proximity to the premises, which is normally an entrance manhole. Windstream reserves the right to prohibit all equipment and facilities, other than cable, within its entrance manholes. No splicing will be permitted in the entrance manhole. ALEC must provide a length of underground fiber optic cable in the entrance manhole specified by Windstream which is of sufficient length to be pulled through the conduit and into the cable vault splice locations. ALEC is responsible for placement of the fiber optic facility within the manhole and for the maintenance of the fiber optic cable(s) on ALEC's side of the IP. Before placing the fiber optic facility in the manhole, ALEC will contact Windstream for instructions. ALEC agrees to comply with the Windstream's safety and security rules. Access to the manhole is covered by the terms and conditions as may be specified by Windstream.
- 2.4 Windstream will pull the Entrance Fiber from the IP to the cable vault where the cable will be spliced to fire retardant riser cable, which Windstream will install.
- 2.5 ALEC must provide the fiber transmission equipment that Windstream will purchase and use pursuant to paragraph 2.1 preceding to provision the Windstream Virtual Collocation Service. ALEC provided equipment must comply with the Bellcore Network Equipment Building System (NEBS) General Equipment Requirements and National Electrical Code standards. Additionally, this equipment must comply with any local, state or federal statutory and/or regulatory requirements in effect at the time or subsequent to equipment installation.
- 2.6 ALEC is responsible for providing the fiber transmission equipment, e.g., fiber optic terminals, DS3/DS1 channelization equipment, fiber terminating device. ALEC must also specify all software options for the transmission equipment and associated plug-ins. In addition, ALEC shall provide the following:
- 2.6.1 All necessary plug-ins/circuit packs (both working and spare) including any required options that must be physically set on the plug-ins.
- 2.6.2 All unique tools and test equipment.
- 2.6.3 Initial and subsequently added equipment should be sized and equipped to handle a minimum of 12 months forecasted growth.
- 2.6.4 Rack mounted storage unit to house spare plug-ins, tools, and test equipment. Any desired equipment for remote monitoring and control.
- 2.6.5 Fuse panel(s) with sufficient capacity for all Windstream Virtual Collocation transmission equipment.
- 2.6.6 Network facility rack(s), i.e., relay racks, to mount all of the above referenced equipment and Company-provided interconnection panel(s).
- 2.7 Any equipment provided under §2.5 preceding shall be provided to Windstream in accordance with §2.1 preceding.
- 2.8 Performance monitoring alarm monitoring and software cross-connect control of all facilities and equipment used in provisioning an arrangement will be the responsibility of ALEC. ALEC will be

responsible for initiating maintenance/repair requests for said facilities and equipment, pursuant to §8.0 following.

- 2.9 If ALEC desires Windstream to provide the transport for monitoring and control functions, such transport will be ordered and billed pursuant to the applicable Windstream service tariff provisions.
- 2.10 Windstream will have responsibility for installation and maintenance/repair of the facilities and equipment used to provide Virtual Collocation from the IP up to and including the fiber transmission equipment.
- 2.11 Windstream will work cooperatively with ALEC to facilitate joint testing and maintenance/repair related activities.
- 2.12 ALEC will be responsible for notifying Windstream of significant outages of any portion of its network, which could impact or degrade Windstream switches and services. ALEC will also provide, if possible, an estimated time for restoral.
- 2.13 Troubles reported to or observed by ALEC should be tested and isolated by ALEC prior to reporting the trouble to Windstream.
- 2.14 Windstream or a Windstream-authorized vendor must perform all installation work performed on behalf of ALEC. Authorization procedures may be obtained from Windstream upon request.
- 2.15 Windstream will provide, at rates set forth in §13.0 following, dc Power with generator and/or battery back-up, heat, air conditioning and other environmental support to ALEC's designated equipment in the same standards and parameters required for Windstream equipment. ALEC will provide Windstream with specifications for any non-standard or special requirements at the time of application. Windstream reserves the right to assess ALEC any additional charges on an individual case basis associated with complying with the requirements or to refuse an application where extensive modifications are required.

3.0 Limitations on Provision of Service

- 3.1 The following provisions address Windstream Virtual Collocation Service interconnections to the Windstream network and service prohibitions for Windstream Virtual Collocation Service:
 - 3.1.1 ALEC will not have access to the Windstream buildings, except as provided in §3.1.2 following.
 - 3.1.2 An Windstream security escort will accompany ALEC from the interconnection point outside of the Windstream Virtual Collocation location. The security escort will be provided at ALEC's expense in accordance with charges described in §13.0 following.
 - 3.1.3 An ALEC facility will not interconnect at less than DS1/DS3 level high capacity services within the Premises
- 3.2 Windstream is not responsible for the design, engineering, or performance of ALECs designated termination equipment and ALEC provided facilities for Virtual Collocation Service.
- 3.3 Windstream is not required to purchase additional plant or equipment, to relinquish floor space or facilities designated for internal use, to undertake construction of new wire centers or premises, or to construct additions to existing wire centers or premises to satisfy a Customer request.

4.0 Ordering Services

- 4.1 ALEC shall complete and provide to Windstream a written application requesting a Windstream Virtual Collocation service arrangement and an application fee per request, per location. Details on the specific requirements of the requested Windstream Virtual Collocation arrangement, including interconnect drawings technical specifications, monitor and control design and other equipment related documentation, must be provided with the written application.
- 4.2 Windstream will process applications for Windstream Virtual Collocation arrangement on a first-come, first-serve basis by location as determined through the receipt of the application fee.
- 4.3 Windstream will accept letters of agency in conjunction with an application for Windstream Virtual Collocation.
- 4.4 Upon receipt of the application fee, Windstream will conduct the following design and planning activities:
 - 4.4.1 Engineering record search and review to determine availability of conduit, rack, floor space and multiple entry points.
 - 4.4.2 Determination of requirements of the requested Windstream Virtual Collocation design.
 - 4.4.3 Administrative activities required to process the application.
- 4.5 Once Windstream has completed the design and planning activities, ALEC will be informed of the floor space and power requirements. Within thirty (30) days Windstream will provide to ALEC a list of vendors certified to perform equipment installations.
- 4.6 ALEC shall have thirty (30) days from receipt of the information to place a firm order.
- 4.7 Windstream's engineering and other labor time associated with establishing and maintaining Windstream Virtual Collocation Service will be billed under the provisions of §10.0 following.
- 4.8 ALEC agrees to meet with Windstream on an as needed basis to review the design and work plans and schedules for the installation of the equipment and facilities. ALEC is responsible for ordering electronics. Windstream is responsible to provide installation within a thirty (30) day interval once Windstream has taken receipt of the electronics.

5.0 Service Activation

- 5.1 Windstream will notify ALEC in writing upon completion of the installation work and prior to activating the Windstream Virtual Collocation arrangement.
- 5.2 Windstream will provide ALEC with the circuit identifications associated with the terminating transmission equipment as well as specific location of the equipment, e.g., Windstream Virtual Collocation location, bay location, shelf, etc., at the time of installation.
- 5.3 Windstream will utilize existing test equipment, or ALEC unique test equipment, for acceptance and repair in cooperation with ALEC.

6.0 Training

- 6.1 If ALEC selects terminating transmission equipment hardware and/or software which is not currently in use in the Windstream location where Windstream Virtual Collocation will be provided, ALEC will be responsible for payment of the charges as set forth in Appendix A following, for any necessary training for Windstream personnel needed to repair said equipment. Additionally, ALEC will be responsible for payment of any applicable tuition fees associated with said training.
- 6.2 In the event that ALEC does not provide the necessary training to enable Windstream personnel to repair said equipment, Windstream may call a certified vendor to make repairs of said equipment. ALEC will reimburse Windstream for any charges submitted by the certified vendor for repair of said equipment. In addition, charges for security escort will apply as set forth in Appendix A following.

7.0 Inspections

- 7.1 ALEC shall call to schedule a time to enter the Windstream Virtual Collocation location(s) for the purpose of inspecting the terminating transmission equipment dedicated for its use. An Windstream security escort will accompany ALEC during said inspections at the expense of ALEC. Security escort rates are as set forth in Exhibit A following.

8.0 Maintenance

- 8.1 Except in emergency situations, the fiber optic cable facilities and terminating transmission equipment will be maintained/repared only upon request of ALEC. In an emergency, Windstream will use reasonable efforts to notify ALEC, but nevertheless may perform such maintenance/repair as deemed necessary without prior notification or request. When initiating maintenance/repair requests on equipment, ALEC must provide Windstream with the associated circuit identifications and specific location of the Windstream Virtual Collocation equipment, as well as a detailed description of the trouble. Charges for maintenance/repair performed upon request from, or on behalf of, ALEC will be billed to ALEC on a time and material basis. No charges will be assessed to ALEC for maintenance if said maintenance is required as a result of negligence or willful misconduct on the part of Windstream or from incidental damage resulting from Windstream activities. ALEC is responsible for providing equipment required for maintenance/repair spares under the terms of §2.0 of this Attachment.
- 8.2 All maintenance/repair on Windstream Virtual Collocation terminating transmission equipment will be performed by Windstream.

9.0 Insurance and Liability Requirements

- 9.1 Risk of loss with respect to the collocation equipment shall remain with ALEC throughout the term of this Agreement, and ALEC shall obtain and maintain insurance in such amounts and with respect to such coverage as to adequately compensate ALEC in the event of loss or damage to the equipment. ALEC shall assure that any such insurance policies provide for a waiver of subrogation by the insurer with respect to any such loss.

10.0 Rate Elements

- 10.1 ALEC shall pay for Collocation Space(s) according to the rates contained in Exhibit A attached hereto. Any collocation element requested by ALEC, or that is needed for the operation of ALEC's collocation space, not contained in this Attachment or Exhibit A: Collocation Pricing will be handled on a individual case basis ("ICB").
- 10.2 An Application Fee in the amount specified in Appendix A following must be submitted with ALEC's application for Windstream Virtual Collocation Service. The first-come, first-served policy of processing applications for Windstream Virtual Collocation arrangements will be determined based upon the order of receipt of applications for Windstream Virtual Collocation Service along with the Application Fee. The Application Fee will be used for design and planning activities which include an engineering record search for conduit, rack, and floor space availability and a determination of requirements for the requested Windstream Virtual Collocation design. An Application Fee is required with each Windstream Virtual Collocation arrangement application submitted per location. If more than one Windstream Virtual Collocation arrangement is ordered at the same location on the initial Windstream Virtual Collocation arrangement application, then only one Application Fee will apply. A subsequent application for an additional arrangement within the same location must be submitted with another Application Fee.
- 10.3 The Cable Installation Charge applies for each Windstream Virtual Collocation cable ordered within a location. Cable installation involves activities associated with pulling the Entrance Fiber from the IP to the Windstream Virtual Collocation Service fiber equipment, installing fire retardant riser cable, and splicing the entrance fiber cable to the riser cable. Payment of the Cable Installation Charge must be made prior to Windstream commencing work on the Windstream Virtual Collocation arrangement work order. The Cable Installation Charge will not apply on subsequent Windstream Virtual Collocation arrangement orders within the same location for ALEC if the Parties jointly determine that efficient cable facilities exist to accommodate the subsequent Windstream Virtual Collocation Service.
- 10.4 The Cable Support Structure monthly recurring charge applies for the use of conduit from the IP to the cable vault or other central office entrance, and for entrance and riser cable rack space.
- 10.5 The DC power charge is a monthly recurring charge associated with the provision of DC power to ALEC's designated equipment for virtual collocation. The DC power charge applies on a per 40 amp increment.
- 10.6 The maintenance charge is a monthly recurring charge associated with maintenance of the Customer designated termination equipment. The charge is applicable per base module.
- 10.7 The engineering/installation charge is associated with work performed by Windstream to determine space requirements, engineer adequate amounts of power to the equipment, and ensure adequate fire protection and install Customer designated termination equipment for virtual collocation. An engineering/installation charge is applicable for the installation of the base unit and each DSX or OCN card.
- 10.8 A security escort is provided to ALEC whenever ALEC, or approved agent, desires access to the entrance manhole or to inspect the fiber transmission equipment. Charges for a security escort are assessed in half-hour increments as Basic, Overtime, or Premium Time charges. A request resulting in the dispatch of a Windstream employee at a time not consecutive with the employee's scheduled work period is subject to a minimum charge of three hours.
- 10.9 When the leased equipment (hardware and/or software) is identical to that already in use in the Windstream location no training charges are applicable. When ALEC equipment (hardware and/or

software) is not identical to that already in use in the Windstream location, charges as set forth in Appendix A are applicable.

Exhibit A:

Collocation Pricing

Contract will be amended to include pricing when requested by ALEC.

ATTACHMENT 9: DIRECTORIES

This Attachment 9: Directories sets forth terms and conditions with respect to the printing and distribution of White Pages directory in addition to the General Terms and Conditions. Windstream will provide the service of accepting service orders for listing ALEC customers, printing and distribution of White Pages until Windstream's sale of Windstream Yellow Pages is complete. At that point, it is ALEC's responsibility to secure Directory Listings directly from the directory publisher.

Exhibits incorporated herein:

- Exhibit A: Directories Price List

1.0 Introduction

- 1.1 Windstream obtains the publication of White Pages and Yellow Pages directories (Windstream Directories) for geographic areas in which ALEC may also provide local exchange telephone service, and ALEC wishes to include listing information for its Customers in the appropriate Windstream Directories.
- 1.2 Windstream will include ALEC's Customer listings in the appropriate Windstream White Pages directory in accordance with § 2.0 Resale and § 3.0 Other, as specified in this Attachment. The Parties agree that § 2.0 Resale shall be applicable to Customers which ALEC serves through a Resale Agreement, and § 3.0 Other relates to all other Customers served by ALEC.
- 1.3 Any references in this Attachment to Windstream procedures, practices, requirements, or words of similar meaning, shall also be construed to include those of Windstream's contractors that produce directories on its behalf.
- 1.4 A service order processing charge (Service Order Charge), listed in Appendix B, will be applied to each service order issued by ALEC for Windstream to process a directory listing request or change to an existing directory listing.

2.0 Service Provided - Resale

- 2.1 Windstream will include in appropriate White Pages directories the primary alphabetical listings of all ALEC Customers (other than non-published or non-list Customers) located within the local directory area.
- 2.2 ALEC will furnish to Windstream subscriber listing information pertaining to ALEC Customers located within the Windstream local directory area, along with such additional information as Windstream may require to prepare and print the alphabetical listings of said directory.
- 2.3 Windstream will include the listing information for ALEC's Customer for Resale Services in Windstream's White Pages directory database in the same manner as it includes listing information for Windstream's Customers.
- 2.4 Windstream will provide ALEC with format requirements and procedures for submitting directory listings and directory updates.
- 2.5 ALEC may purchase Enhanced White Pages listings for residential Customers on a per listing basis, and will pay Windstream amounts attributable to such Enhanced Listings used by its Customers.

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- 2.6 ALEC's subscriber listings will be inter-filed (interspersed) with Windstream's and other local service provider's subscriber listings in the White Pages directory with no discernible differentiation in the listings to indicate to the reader that the listings are served by another local service provider.
- 2.7 Windstream will deliver White Pages directories to ALEC Customers. The timing of such delivery and the determination of which White Pages directories will be delivered (by Customer address, NPA/NXX or other criteria), and the number of White Pages directories to be provided per Customer, will be on the same terms that Windstream delivers White Pages directories to its own End Users.
- 2.8 Windstream will distribute any subsequent directories in accordance with the same practices and procedures used by Windstream.
- 2.9 At its option, ALEC may purchase information pages (Customer Guide Pages) in the informational section of the Windstream White Pages directory covering the geographic area(s) it is serving. These pages will be in alphabetical order with other local service providers and will be no different in style, size, color and format than Windstream information pages. Sixty (60) days prior to the directory close date, ALEC will provide to Windstream the information page(s) in camera ready format. Windstream will have the right to approve or reject the format and content of such information page(s) and, with ALEC's Agreement, Windstream may, but is not required to, revise the format and content of such information page(s).
- 2.10 Windstream will include ALEC specific information (i.e., business office, residence office, repair bureau, etc.) in the White Pages directory on an "index-type" information page, in alphabetical order along with other local service providers, at no charge. The space available to ALEC on such page will be 1/8th page in size. In order to have such information published, ALEC will provide Windstream, sixty (60) days prior to the directory close date, with its logo and information in the form of a camera ready copy, sized at 1/8th of a page. ALEC will be limited to a maximum of 1/8th of a page in any single edition of a Windstream White Pages directory.
- 2.11 The Parties shall cooperate so that Yellow Page advertisements purchased by Customers who switch to ALEC as their local service provider (including Customers utilizing ALEC-assigned telephone numbers and ALEC Customers utilizing local number portability (LNP)) are provided in accordance with standard Windstream practices. Yellow Page services will be offered to ALEC's Customers on the same basis that they are offered to Windstream's Customers. Such services will be provided through Windstream's yellow pages affiliate, its agent or assignee.

3.0 Service Provided - Other

- 3.1 Windstream will include in appropriate White Pages directories the primary alphabetical listings of all ALEC End Users located within the local directory scope.
- 3.2 Windstream agrees to include one basic White Pages listing for each ALEC Customer located within the geographic scope of its White Page Directories, and a courtesy Yellow Page listing for each ALEC business Customer located within the geographical scope of its Yellow Page directories.
- 3.2.1 Service order charges apply pursuant to section 1.4 of this attachment.
- 3.2.2 A basic White Page listing is defined as a Customer name, address, and either the ALEC assigned number for a Customer or the number for which number portability is provided,

but not both numbers. Basic White Pages listings of ALEC Customers will be inter-filed with listings of Windstream and other LEC Customers.

- 3.3 Windstream agrees to provide ALEC's Customers secondary White Page listings at the rate listed in Exhibit A: Directories Price List.
- 3.4 ALEC will furnish to Windstream subscriber listing information pertaining to ALEC End Users located within the local directory scope, along with such additional information as Windstream may require to prepare and print the alphabetical listings of said directory.
- 3.5 ALEC will provide its subscriber listing information to Windstream, in a manner and format prescribed by Windstream, via Windstream Express.
- 3.6 ALEC will provide to Windstream a forecasted amount of the number of directories, which ALEC will need, for its Customers prior to directory publication.
- 3.7 Windstream makes no guarantee as to the availability of directories beyond the forecasted amount provided by ALEC.
- 3.8 ALEC agrees to pay Windstream an Initial Book charge at the Wholesale rates as indicated in Exhibit A: Directories Price List.
- 3.9 If ALEC desires subsequent directories after the initial distribution, Windstream, subject to the availability of such directories, agrees to provide subsequent directories at the Retail rates in Exhibit A: Directories Price List.
- 3.10 Windstream will deliver White Pages directories to ALEC Customers. The timing of delivery and the determination of which White Pages directories will be delivered (by Customer address, NPA/NXX or other criteria), and the number of White Pages directories to be provided per Customer, will be provided under the same terms that Windstream delivers White Pages directories to its own End Users.
- 3.11 Windstream will distribute any subsequent directories in accordance with the same practices and procedures used by Windstream.
- 3.12 At its option, ALEC may purchase information pages (Customer Guide Pages) in the informational section of the Windstream White Pages directory covering the geographic area(s) it is serving. These pages will be in alphabetical order with other local service providers and will be no different in style, size, color and format than Windstream information pages. Sixty (60) days prior to the directory close date, ALEC will provide to Windstream the information page(s) in camera ready format. Windstream will have the right to approve or reject the format and content of such information page(s), and, with ALEC's Agreement, Windstream may, but is not required to, revise the format and content of such information page(s).
- 3.13 Windstream will include ALEC specific information (i.e., business office, residence office, repair bureau, etc.) in the White Pages directory on an "index-type" information page, in alphabetical order along with other local service providers, at no charge. The space available to ALEC on such page will be 1/8th page in size. In order to have such information published, ALEC will provide Windstream with its logo and information in the form of a camera ready copy, sized at 1/8th of a page. ALEC will be limited to a maximum of 1/8th of a page in any single edition of a Windstream White Pages directory.
- 3.14 The Parties shall cooperate so that Yellow Page advertisements purchased by Customers who switch to ALEC as their local service provider (including Customers utilizing ALEC-assigned

telephone numbers and ALEC Customers utilizing LNP) are provided in accordance with standard Windstream practices. Yellow Page services will be offered to ALEC's Customers on the same basis that they are offered to Windstream's Customers. Such services will be provided through Windstream's yellow pages affiliate, its agent or assignee.

4.0 Limitation of Liability and Indemnification

- 4.1 Windstream will not be liable to ALEC for any losses or damages arising out of errors, interruptions, defects, failures, delays, or malfunctions of the White Pages services, including any and all associated equipment and data processing systems, unless said losses or damages result from Windstream's gross negligence or willful or wanton or intentional misconduct. Any losses or damages for which Windstream is held liable under this Agreement to ALEC, shall in no event exceed the amount of the charges billed to ALEC for White Pages services with respect to the period beginning at the time notice of the error, interruption, defect, failure, or malfunction is received by Windstream to the time Service is restored.
- 4.2 ALEC agrees to defend, indemnify, and hold harmless Windstream from any and all losses, damages, or other liability that Windstream may incur as a result of claims, demands, wrongful death actions, or other claims by any Party that arise out of ALEC's Customers' use of the White Pages services, or the negligence or wrongful act of ALEC except to the extent any such losses, damages or other liability solely from Windstream's gross negligence or willful misconduct. ALEC will defend Windstream against all Customer claims just as if ALEC had provided such service to its Customer with ALEC's own employees and will assert its contractual or tariff limitation of liability, if any, for the benefit of both Windstream and ALEC.
- 4.3 ALEC agrees to release, defend, indemnify, and hold harmless Windstream from any claims, demands, or suits with respect to any infringement or invasion of privacy or confidentiality of any person or persons caused or claimed to be caused, directly, or indirectly, by Windstream employees or equipment associated with provision of the White Pages services, except to the extent any such losses, damages or other liability is based on or results from Windstream's gross negligence or willful misconduct. This provision includes but is not limited to suits arising from disclosure of the telephone number, address, or name associated with the telephone called or the telephone used in connection with White Pages services.

5.0 Pricing

- 5.1 Prices for White Pages services are as contained on Exhibit A: Directories Price List, attached hereto and incorporated herein.

EXHIBIT A: DIRECTORIES PRICE LIST

Price Disclaimer: The following prices are for purchasing Windstream directories and Information Pages. Based on fluctuating printing and publishing expenses, Windstream reserves the right to increase these prices by no more than 3% annually.

Price Per Initial White Page listing: \$0.00

Price Per Additional White Page listing: \$3.00

Price Per Single Sided Informational Page:

6x9

1 additional information page	\$475.00
2 additional information pages	\$750.00

9x11

1 additional information page	\$1,225.00
2 additional information pages	\$1,440.00

Price Per Book Copy for Initial Book Order: **Respective Directory Wholesale Price (See Below)**

Price Per Book Copy Ordered after Initial Order: **Respective Directory Retail Price (See Below)**

ST	DIR (YPPA) CODE	DIRECTORY NAME	2007 WHOLESALE PRICE	2007 RETAIL PRICE
AL	001364	Eclectic	10.00	15.00
AL	001634	Leeds	11.80	17.70
AR	004195	Crossett	10.00	15.00
AR	004267	Elaine	10.00	15.00
AR	004270	Elkins	15.55	23.33
AR	004313	Fordyce	10.00	15.00
AR	004360	Greenbrier	10.00	15.00
AR	004382	Harrison/Mountain Home	11.05	16.58
AR	004658	Mulberry	10.00	15.00
AR	004725	Perryville	11.10	16.65
AR	004221	Southwest Ar Reg/Glenwood Reg	11.40	17.10
AR	004897	Tuckerman	10.00	15.00
FL	012365	Alachua (High Springs)	14.15	21.23
FL	012113	Callahan	10.00	15.00
FL	012142	Citra	13.50	20.25
FL	012342	Hastings	16.85	25.28
FL	012526	Live Oak	11.70	17.55
GA	013030	Adel	10.25	15.38

GA	013580	Canton	18.65	27.98
GA	014010	Dalton	15.35	23.03
GA	014070	Douglas	11.40	17.10
GA	015390	Effingham & Screven Counties	13.15	19.73
GA	014230	Fitzgerald	10.00	15.00
GA	014250	Folkston	10.00	15.00
GA	014320	Glennville	10.00	15.00
GA	013520	Grady County	10.95	16.43
GA	013820	Greater Jackson/Banks	25.50	38.25
GA	013960	Greater Lumpkin (Gr Towns)	18.45	27.68
GA	013800	Greater Madison	16.10	24.15
GA	013710	Greater Rabun Cty	12.20	18.30
GA	015610	Greater Stephens/Franklin	11.85	17.78
GA	013880	Habersham, White & Surr. Counties	14.85	22.28
GA	014560	Homerville	10.00	15.00
GA	014570	Irwinton	10.00	15.00
GA	014600	Jasper	24.65	36.98
GA	013350	Lower Chattahoochee Valley	10.30	15.45
GA	014880	Manchester	10.00	15.00
GA	014940	Mcrae	10.00	15.00
GA	014980	Milledgeville/Gray	13.75	20.63
GA	015030	Monroe	14.85	22.28
GA	015070	Moultrie	10.00	15.00
GA	014680	Northwest Ga (Lafayette)	10.00	15.00
GA	013510	Perry Regional	11.60	17.40
GA	015150	Quitman	13.40	20.10
GA	013940	Southwest Georgia	14.65	21.98
GA	015430	Summerville	10.00	15.00
GA	015520	Thomas County	14.80	22.20
GA	015530	Thomaston	10.80	16.20
GA	015850	Winder	14.10	21.15
KY	103351	Ashland Area	10.55	15.83
KY	103350	Bardwell Area	14.45	21.68
KY	103349	Berea/Richmond/McKee Area	14.00	21.00
KY	103363	Bracken County	11.40	17.10
KY	103348	Calvert City/Smithland	12.90	19.35
KY	103369	Campbellsville	14.50	21.75
KY	103354	Columbia - Liberty	15.20	22.80
KY	103364	Cumberland	14.50	21.75
KY	103356	Elizabethtown Area	10.70	16.05
KY	103347	Flemingsburg	12.00	18.00

KY	103376	Glasgow Area	20.60	30.90
KY	103370	Gr Lexington	24.30	36.45
KY	103370	Gr Lexington - Mini	12.15	18.23
KY	103352	Grayson/Olive Hill	13.35	20.03
KY	103361	Greensburg	10.65	15.98
KY	103358	Hazard Area	16.95	25.43
KY	103353	Irvine/Ravenna	10.45	15.68
KY	103368	Lebanon	10.15	15.23
KY	103365	Leitchfield	15.35	23.03
KY	103360	London Area	12.15	18.23
KY	103362	Monticello	13.65	20.48
KY	103367	Morehead/Owingsville Area	15.30	22.95
KY	103371	Nicholasville Area	10.00	15.00
KY	103359	Scottsville	20.05	30.08
KY	029886	Shepherdsville	12.00	18.00
KY	103357	Somerset Area	11.25	16.88
KY	103355	Tompkinsville	13.20	19.80
KY	103346	Uniontown	17.25	25.88
KY	103366	Vanceburg	11.25	16.88
KY	103372	Versailles Area	10.00	15.00
MO	040012	Albany-Gallatin Area	11.15	16.73
MO	040136	Bolivar	13.60	20.40
MO	040522	Dixon	10.00	15.00
MO	040538	Doniphan	10.00	15.00
MO	041055	Liberal	10.00	15.00
MO	041145	Madison	10.00	15.00
MO	041243	Milan/Mendon	10.00	15.00
MO	041564	Purdy	10.00	15.00
MO	041802	Silex/Vandalia	10.00	15.00
MO	041852	Stover	10.00	15.00
MS	039302	Florence	13.50	20.25
NC	053002	Aberdeen	15.90	23.85
NC	054746	Anson & Union Counties	15.90	23.85
NC	053315	Denton	10.00	15.00
NC	053526	Granite Quarry	14.65	21.98
NC	053802	Laurel Hill	10.05	15.08
NC	054098	Mooresville	19.50	29.25
NC	054265	Norwood	14.20	21.30
NC	054945	Old Town	10.00	15.00
NC	054449	Sanford	15.50	23.25
NC	054727	Tryon	13.25	19.88

NC	054024	Union County/Matthews	22.90	34.35
NE	044156	Beatrice	10.00	15.00
NE	044385	Hastings	10.00	15.00
NE	44458	Lincoln	26.15	39.23
NE	044532	Southeastern Nebraska	10.00	15.00
NE	044925	Wahoo	10.00	15.00
NE	044970	York	10.00	15.00
NM	048205	Carlsbad	31.00	46.50
NM	048274	Espanola	31.00	46.50
NM	048380	Hobbs	31.50	47.25
NM	048536	Lovington	25.90	38.85
NM	048653	Ruidoso	31.00	46.50
NM	048877	Truth Or Consequences	20.80	31.20
NY	052371	Fulton/Sub-Syracuse	18.90	28.35
NY	050734	Jamestown-Warren	11.95	17.93
NY	051282	Munnsville	19.50	29.25
NY	052140	Shortsville	11.95	17.93
NY	052652	West Winfield	15.35	23.03
OH	056112	Ashtabula Co	10.90	16.35
OH	056711	Coolville	12.35	18.53
OH	056753	Covington	12.00	18.00
OH	056854	Delta	11.75	17.63
OH	056938	Elyria	12.15	18.23
OH	056514	Geauga County	11.20	16.80
OH	057403	Greater W Reserve Area	14.50	21.75
OH	057394	Hopedale	11.55	17.33
OH	057485	Kenton	10.00	15.00
OH	058044	Newark-Licking County-Zanesville	12.80	19.20
OH	058265	Paulding	10.00	15.00
OH	058370	Quaker City	13.10	19.65
OH	058435	St. Paris	10.80	16.20
OK	059285	Broken Arrow/Tulsa South	45.90	68.85
OK	060481	Burns Flat	10.00	15.00
OK	059333	Checotah	20.75	31.13
OK	059798	Hominy	20.80	31.20
OK	059866	Kiowa	10.00	15.00
OK	060281	Leflore Cty Area	10.05	15.08
OK	059893	Lindsay	20.80	31.20
OK	060291	Prague / Tecumseh	20.80	31.20
OK	060331	Purcell	20.75	31.13
OK	060514	Stilwell	10.00	15.00
OK	060531	Stroud	20.80	31.20
OK	060646	Velma	10.00	15.00
OK	060671	Wagoner	20.75	31.13

PA	062010	Albion	10.00	15.00
PA	063335	Apollo-Leechburg	18.55	27.83
PA	062388	Brookville	12.25	18.38
PA	064120	Cameron & Elk Counties	10.80	16.20
PA	062605	Coalport-Glasgow	17.20	25.80
PA	062926	Enon Valley	12.85	19.28
PA	062047	Kittanning	13.25	19.88
PA	063359	Knox	10.75	16.13
PA	063417	Lansford	13.15	19.73
PA	063620	Meadville-Jamestown	13.60	20.40
PA	063721	Muncy	15.20	22.80
PA	062981	Murrysville	17.00	25.50
PA	063777	New Bethlehem	10.55	15.83
PA	064138	Rimersburg	10.75	16.13
PA	064267	Sheffield	10.30	15.45
PA	064591	Warriors Mark	18.55	27.83
PA	064637	Waynesburg	13.10	19.65
SC	066445	Inman	12.70	19.05
SC	066454	Kershaw	12.20	18.30
SC	066538	Lexington	16.95	25.43
SC	066730	St Matthews	10.00	15.00
TX	069118	Anahuac	10.00	15.00
TX	069132	Andrews	20.80	31.20
TX	069248	Avery - Bogata	20.75	31.13
TX	073238	Baird	24.00	36.00
TX	069552	Brownfield	22.25	33.38
TX	069818	Clarksville	20.80	31.20
TX	069980	Coolidge	13.80	20.70
TX	070080	Crockett	24.00	36.00
TX	070136	Daingerfield	20.80	31.20
TX	070150	Dalhart	20.80	31.20
TX	070255	Denver City	21.05	31.58
TX	071951	Dimmitt / Mid Plains	20.80	31.20
TX	070325	Dumas Vega	20.80	31.20
TX	070479	Fabens	20.80	31.20
TX	070486	Fairfield	20.80	31.20
TX	070662	Garrison	11.85	17.78
TX	070820	Grandview	15.85	23.78
TX	070932	Happy	20.80	31.20
TX	070946	Haskell	20.80	31.20
TX	071137	Hubbard	20.80	31.20
TX	071309	Kamay	20.80	31.20
TX	071312	Karnack	20.80	31.20
TX	071400	Kerrville	36.80	55.20
TX	071512	Lamesa	20.75	31.13
TX	071568	Levelland	22.05	33.08
TX	071596	Littlefield / Muleshoe	20.75	31.13

TX	071890	Memphis	20.80	31.20
TX	072120	Newton / Colmesneil	20.80	31.20
TX	072142	Nocona	10.00	15.00
TX	072310	Panhandle	20.80	31.20
TX	072380	Pecos	20.75	31.13
TX	072394	Perryton	20.80	31.20
TX	072436	Plains	13.75	20.63
TX	072520	Post	22.25	33.38
TX	072562	Ralls	20.75	31.13
TX	072770	Rosebud	20.80	31.20
TX	072952	Seymour	20.75	31.13
TX	073164	Sugarland/Katy/Fort Bend County	21.35	32.03
TX	073192	Sweeny	10.00	15.00
TX	073276	Tenaha-Gary	20.75	31.13
TX	073304	Texarkana	38.70	58.05
TX	103688	Trinity	20.80	31.20
TX	073568	Wellington	20.80	31.20
TX	073624	Wheeler	20.80	31.20
TX	073636	Whitney/Glen Rose	20.75	31.13

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ATTACHMENT 12: COMPENSATION

1.0 Introduction

- 1.1 For purposes of compensation under this Agreement, the traffic exchanged between the Parties will be classified as one of four types: Local Traffic, ISP Bound Traffic, IntraLATA Interexchange Traffic, or InterLATA Interexchange Traffic. The Parties agree that, notwithstanding the classification of traffic by ALEC with respect to its End Users, the classification of traffic provided in this Agreement shall control with respect to compensation between the Parties under the terms of this Agreement. The provisions of this Attachment shall not apply to services provisioned by Windstream to ALEC as local Resale Services.
- 1.2 Calls originated by ALEC and terminated to Windstream (or vice versa) will be classified as "Local Traffic" under this Agreement if: (i) the call originates and terminates in the same Windstream Exchange; or (ii) originates and terminates within different Windstream Exchanges that share a common mandatory local calling area, e.g., mandatory Extended Area Service (EAS), mandatory Extended Local Calling Service (ELCS), or other like types of mandatory expanded local calling scopes as specified or defined by Windstream tariffs. ISP Bound traffic is not included in the compensation of Local Traffic. The terms "originate" and "terminate" refer to the end points of the call, regardless of the classification of the Party's End User.
- 1.3 Traffic, other than ISP Bound Traffic and Local Traffic, shall be terminated to a Party subject to that Party's tariffed access charges.
- 1.4 The Parties agree that all traffic, other than ISP Bound Traffic and Local Traffic, that is terminated on the public switched network, regardless of the technology used to originate or transport such traffic, including but not limited to Voice Over Internet Protocol (VoIP), will be assessed either interstate or intrastate (depending on the end points of the call) terminating charges at the rates provided in the terminating Party's access tariff.
- 1.5 By entering into this Agreement, both Parties expressly reserve the right to advocate their respective positions before state and/or federal regulatory bodies, whether in complaint dockets, arbitrations under Section 252 of the Act, rulemaking dockets, or in any legal challenges stemming from such proceedings with regard to the treatment of Voice Over Internet Protocol (VoIP) traffic for intercarrier compensation purposes.
- 1.6 The Parties agree that until the FCC enters a final, binding, and non-appealable order ("Final Order") determining the appropriate compensation mechanism for VoIP traffic, the Parties shall exchange traffic and compensate each other in accordance with Section 1.5. At such time as a Final Order becomes applicable, the Parties agree to amend this Agreement to conform with the findings of the Final Order.

2.0 Responsibilities of the Parties

- 2.1 Each Party will be responsible for the accuracy and quality of the data it submits to the other Party.
- 2.2 Each Party will provide the other Party the originating Calling Party Number (CPN) with respect to each call terminated on the other Party's network to enable each Party to issue bills in a complete and timely fashion. All CCS signaling parameters will be provided including CPN.
- 2.3 Neither Party shall strip, modify or alter any of the data signaling or billing information provided to the other Party. In the event a Party strips, modifies or alters any of the data signaled or strips,

modifies or alters any of the billing information provided to the other Party, such event will be a material breach of this Agreement.

- 2.4 Each Party shall identify and make available to the other Party, at no additional charge, a contact person for the handling of any billing questions or problems that may arise during the implementation and performance of this Attachment.
- 2.5 All calls exchanged without CPN will be billed as IntraLATA Interexchange Traffic, if the failure to transmit CPN is not caused by technical malfunctions. In the event that technical malfunctions result in lack of transmission of CPN, the Parties will cooperate in attempting to resolve such technical malfunctions and the Parties will develop and utilize mutually agreeable surrogate methods for determining compensation that shall be utilized until the technical malfunctions are resolved.

3.0 Reciprocal Compensation for Termination of Local Traffic and ISP-Bound Traffic

- 3.1 Each Party will be compensated for the exchange of Local Traffic, as defined in §1.2 of this Attachment, at the rate of \$.01 per minute and as listed in Appendix B.
- 3.2 The terminating Party will bill the originating Party for ISP Bound Traffic delivered to the terminating Party. ISP Bound Traffic will be compensated at the rate of \$.0009 per minute and as listed in Appendix B.
- 3.3 If the Parties are unable to determine the type of traffic for purposes of reciprocal compensation, then all traffic will be billed at the ISP Bound rate defined in Section 3.2 above..
- 3.4 If any legislative, regulatory, judicial or other governmental decision, order, determination or action requires Windstream to compensate CMRS providers at the rate established for ISP Bound traffic pursuant to Section 3.3 of Attachment 12 of this Agreement, then compensation for the transport and termination for all Local Traffic, including ISP Bound traffic, shall be at the rate for Local Traffic pursuant to Section 3.1 in Attachment 12 of this Agreement.
- 3.5 Any traffic, excluding ISP Bound Traffic, utilizing the Public Switched Telephone Network, regardless of transport protocol method, where the originating and terminating points (end-to-end points), are in different local calling areas as defined by the terminating Party and delivered to the terminating Party using switched access services shall be considered Switched Access Traffic. The traffic described herein shall not be considered Local Traffic. Irrespective of origination or transport protocol method used, a call that originates in one local calling area and terminates in another local calling area (i.e. the end-to-end points of the call) shall not be compensated as Local Traffic.

4.0 Compensation for Termination of IntraLATA and Interstate Traffic

- 4.1 Compensation for termination of Intrastate traffic will be at the terminating access rates for Message Telephone Service (MTS) and originating access rates for 800 Service, including the Carrier Common Line (CCL) charge, as set forth in the relevant Party's Intrastate Access Service Tariff or price list. Compensation for termination of interstate traffic will be at the terminating access rates for MTS and originating access rates for 800 Service including the CCL charge, as set forth in the relevant Party's Interstate Access Service Tariff.
- 4.2 In the event that ALEC does not have a filed Intrastate tariff for access service, ALEC agrees to utilize rates that do not exceed Windstream's tariffed Intrastate access rates.

5.0 Billing Arrangements for Compensation for Termination of IntraLATA, Local Traffic

- 5.2 With respect to those Exchanges where ALEC intends to provide Local Exchange Service, ALEC will, at a minimum, obtain a separate NXX code for each Exchange or group of Exchanges that share a common Mandatory Local Calling Scope. At such time as both Parties have implemented billing and routing capabilities to determine traffic jurisdiction on a basis other than NXX codes separate NXX codes as specified in this paragraph will not be required. At such time as ALEC requests Windstream to establish interconnection to enable ALEC to provide Exchange Services, the Parties will determine the number of NXXs necessary to identify the jurisdictional nature of traffic for intercompany compensation. At such time as ALEC requests additional points of interconnection, the Parties will appropriately define the number of NXXs necessary for the new interconnection points.
- 5.3 Bills rendered by either Party to the other will be due and payable as specified in the General Terms and Conditions, §8.0.

6.0 Alternate Billed Traffic

- 6.1 All call types routed between the networks must be accounted for, and revenues settled among the Parties. Certain types of calls will require exchange of billing records between the Parties including IntraLATA alternate billed calls (e.g. calling card, bill-to-third party, and collect records and LEC/CTU-provided Toll Free Service records). The Parties will utilize, where possible existing accounting and settlement systems to bill, exchange records and settle revenue.
- 6.1.1 The exchange of billing records for alternate billed calls (e.g., calling card, bill-to-third, and collect) will be through the existing CMDS processes, unless otherwise agreed to by the Parties in writing.
- 6.1.2 Inter-Company Settlements ("ICS") revenues will be settled through the Calling Card and Third Number Settlement System ("CATS"). Each Party will make its own arrangements with respect to participation in the CATS processes, through direct participation or a hosting arrangement with a direct participant.
- 6.1.3 Non-ICS revenue is defined as revenues associated with collect calls, calling card calls, and billed to third number calls which originate, terminate and are billed within the same Bellcore Client Company Territory. The Parties will negotiate and execute an agreement within 30 days of the execution of this Agreement for settlement of non-ICS revenue. This separate arrangement is necessary since existing CATS processes do not permit the use of CATS for non-ICS revenue. The Parties agree that the CMDS system can be used to transport the call records for this traffic.
- 6.1.4 Each Party will provide the appropriate call records to the other for toll free IntraLATA Interexchange Traffic, thus permitting each Party to bill its subscribers for the inbound Toll Free Service. Each Party may charge its tariffed rate for such record provision. No adjustments to data contained in tapes, disks or Network Data Mover will be made by a Party without the mutual Agreement of the Parties.

7.0 Issuance of Bills

- 7.1 Each Party shall establish monthly billing dates and the bill date will be the same day each month. All bills will be delivered to the other Party no later than ten (10) calendar days from the bill date and at least twenty (20) calendar days prior to the payment due date (as described in this Attachment), whichever is earlier. If a Party fails to receive a billing within the time period specified in this Section, the corresponding payment due date will be extended by the number of days the bill is late in being delivered.

ATTACHMENT 13: NUMBERING

1.0 Numbering

- 1.1 Nothing in this Section will be construed to limit or otherwise adversely impact in any manner either Party's right to employ or to request and be assigned any NANP numbers including, but not limited to, central office (NXX) codes pursuant to the Central Office Code Assignment Guidelines, or to establish, by tariff or otherwise, Exchanges and Rating Points corresponding to such NXX codes. Each Party is responsible for administering the NXX codes assigned to it.
- 1.2 Each Party agrees to make available to the other, up-to-date listings of its own assigned NPA-NXX codes, along with associated Rating Points and Exchanges.
- 1.3 It will be the responsibility of each Party to program and update its own switches and network systems to recognize and route traffic to the other Party's assigned NXX codes at all times. Neither Party will impose fees or charges on the other Party for such required programming and updating activities.
- 1.4 It will be the responsibility of each Party to input required data into the Routing Data Base Systems (RDBS) and into the Bellcore Rating Administrative Data Systems (BRADS) or other appropriate system(s) necessary to update the Local Exchange Routing Guide (LERG).
- 1.5 Neither Party is responsible for notifying the other Parties' End Users of any changes in dialing arrangements, including those due to NPA exhaust, unless otherwise ordered by the Commission, the FCC, or a court.

2.0 NXX Migration

- 2.1 Where a Party (first Party) has activated, dedicated or reserved an entire NXX for a single End User, if such End User chooses to receive service from the other Party (second Party), the first Party shall cooperate with the second Party to have the entire NXX reassigned in the LERG (and associated industry databases, routing tables, etc.) to an end office operated by the second Party. Such transfer will require development of a transition process to minimize impact on the network and on the End User(s) service and will be subject to appropriate industry lead-times (currently 45 days) for movements of NXXs from one switch to another.

ATTACHMENT 14: NUMBER PORTABILITY

1.0 Service Provider Number Portability (SPNP)

- 1.1 The FCC First Report and Order in CC Docket 95-116 requires “...all LECs to implement a long term service provider portability solution that meets our performance criteria in the 100 large Metropolitan Statistical Areas (MSA) no later than October 1, 1997, and to complete deployment in those MSAs by December 31, 1998.” While the FCC declined “.to choose a particular technology for providing number portability”, they did establish performance criteria for permanent number portability and aligned expectations with the statutory definition of the Telecommunication Act of 1996 ordering Service Provider Number Portability (SPNP). In a follow-up First Memorandum Opinion and Order on Reconsideration, the commission determined that the technology that meets the performance criteria is Location Routing Number (LRN). LRN is being used by the telecommunications industry to provide SPNP.

2.0 Terms, Conditions Under Which Windstream Will Provide SPNP

- 2.1 Windstream will not offer SPNP services for NXX codes 555, 976, 950.
- 2.2 Prior to commencement of any service porting or LRN query service, the Parties must have an approved interconnection Agreement along with a conforming, functional direct network interconnection, between and among involved switches and exchanges.
- 2.3 Windstream will only provide SPNP services and facilities where technically feasible, subject to the availability of facilities, and only from properly equipped central offices. SPNP applies only when a Customer with an active account wishes to change local Carriers while retaining the telephone number or numbers associated with the account.
- 2.4 An SPNP telephone number may be assigned by ALEC only to ALEC’s Customers located within Windstream’s rate center, which is associated with the NXX of the ported number.
- 2.5 Windstream will deploy SPNP at a location within six (6) months after receipt of a Bona Fide Request from ALEC as provided in §6.0, and subject to approval of this Agreement by the Commission and completion of the network preparation specified herein.
- 2.6 CLEC will be charged a Service Order Charge pursuant to Appendix B, for each LSR submitted under this Attachment.
- 2.7 If ALEC requests a coordinated cutover the charges contained in Appendix B will be applied.
- 2.8 If ALEC cancels a conversion of an End User, ALEC will notify Windstream of the cancellation by 2:00 Central Time on the day prior to the due date requested on the LSR and charges listed in Section 2.9 below will apply. If ALEC notifies Windstream of a cancellation after 2:00 Central Time on the day prior to the due date requested on the LSR (“Late Notice”), ALEC will pay the applicable time and material charge contained in Exhibit A Price List. In the event of a Late Notice, Windstream does not guarantee that service disruption will not occur to the End User.
- 2.9 If ALEC cancels or makes a change to an LSR due date, the original LSR will be cancelled, ALEC will issue a new LSR and ALEC shall be charged an additional Service Order charge, listed in Appendix B, for each LSR submitted under this Attachment.

3.0 Obligations of ALEC

- 3.1 Each Party must offer proof of its certification with applicable regional Number Portability Administration Center (NPAC) prior to requesting SPNP from the other Party.
- 3.2 Each Party must advise the NPAC of telephone numbers that it imports and the associated data identified in industry forums as is required for SPNP.
- 3.3 After the initial deployment of SPNP in an MSA, if ALEC wants a Windstream switch to become LRN capable, ALEC must submit a Bona Fide request as provided in §6.0. Windstream will make requested switch LRN capable within the time frame required by the FCC.
- 3.4 ALEC will conform to NANC guidelines and LERG administration rules in requesting Windstream to open an NPA-NXX for portability in an LRN capable switch.
- 3.5 ALEC is responsible to coordinate with the local E911 and Public Services Answering Point (PSAP) coordinators to insure a seamless transfer of End User emergency services.
- 3.6 ALEC is required to conform to industry standard Local Service Request (LSR) format and guidelines in ordering and administration of individual service/number ports.

4.0 Obligations of Both Parties

- 4.1 When a ported telephone number becomes vacant, e.g., the telephone number is no longer in service by the original End User; the ported telephone number will be released back to the Local Service Provider owning the switch in which the telephone number's NXX is native.
- 4.2 Either Party may block default routed calls from entering the public switched network when necessary to prevent network overload, congestion, or failure.
- 4.3 The Parties will conform to industry guidelines referenced herein in preparing their networks for SPNP and in porting numbers from one network to another.
- 4.4 The Parties will perform all standard SPNP certification and intra-company testing prior to scheduling intercompany testing between the Parties' interconnected networks.
- 4.5 Each Party will designate a single point of contact (SPOC) to schedule and perform required test. These tests will be performed during a mutually agreed time frame and must conform to industry portability testing and implementation criteria in force in the NPAC region.

5.0 Limitations of Service

- 5.1 Telephone numbers will be ported only within Windstream rate centers as approved by the State Commission.
- 5.2 Windstream and ALEC porting rate center areas must comprise identical geographic locations and have common boundaries.
- 5.3 Telephone numbers associated with Windstream Official Communications Services (OCS) NXXs will not be ported.
- 5.4 Telephone numbers in NXXs dedicated to choke networks will not be ported.

6.0 Service Provider Number Portability (SPNP) Bona Fide Request (BFR) Process

- 6.1 The Service Provider Number Portability (SPNP) Bona Fide Request (BFR) Process is the process for ALEC to request that SPNP be deployed in Windstream exchanges that are not then capable of LRN query service.
- 6.2 ALEC may request that SPNP be deployed by Windstream in its switches located in the MSAs. Windstream will enable SPNP in the requested switches within six (6) months of receipt of BFR, based on the beginning dates for each MSA and subject to State Commission approval of an interconnection Agreement with respect to the location of the requested switch.
- 6.3 A BFR with respect to opening a Windstream switch for SPNP must be made in the form of a letter from ALEC to:
- Windstream
Attn: Interconnection Services
Rodney Parham Road
1170 B3F03-84A
Little Rock, AR 72212
- 6.4 The BFR must specify the following:
- 6.4.1 The MSA in which requested switch(es) are located.
- 6.4.2 Windstream switch(es), by CLLI codes, which are being requested to become SPNP capable.
- 6.4.3 Specific, resident NXX codes requested to open in each Windstream switch on the BFR.
- 6.4.4 The date when SPNP capability is requested for each Windstream switch on the BFR; however, the requested date must fall within the governing FCC schedules and interval guidelines. .
- 6.4.5 CLLI and NXXs of ALEC switches serving the exchanges associated with the relevant Windstream switches.

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ATTACHMENT 18: PERFORMANCE MEASURES

1.0 General

- 1.1 Windstream will use its best efforts to satisfy all service standards, intervals, measurements, specifications, performance requirements, technical requirements, and performance standards that are specified in this Agreement or are required by law or regulation. In addition, Windstream's performance under this Agreement shall be provided to ALEC at parity with the performance Windstream provides itself for like service(s).

2.0 Interconnection

2.1 Trunk Provisioning Intervals

2.1.1 Access Service Request (ASR)

Positive acknowledgment of receipt of a non-valid ASR will be made within two business days, provided the ASR is received before 3PM Eastern Standard Time (1PM Mountain Standard Time.) The start time for determining the FOC interval will commence with receipt of a valid ASR. A non-valid ASR will not start the FOC interval.

2.1.2 Firm Order Confirmation (FOC)

An FOC confirming the due date will be sent within 2 business days (16 business hours) after receipt of a valid ASR subject to facility availability. Subject to availability of facilities service will be implemented (trunks in service) within 20 business days of receipt of a valid ASR.

2.1.3 Performance Expectation

Provided the conditions are met under 2.1.1 and 2.1.2 proceeding, Windstream's performance expectation is to provide 100% due dates met within reporting month. If service levels fall below 95% of the performance expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days.

2.2 Trunking Grade of Service

2.2.1 Exchange Access (IXC Toll Traffic)

For exchange access traffic routed via an access tandem blocking on each leg will be held to .005 (1/2% blockage).

2.2.2 All Other

All other final routed traffic will be held to .01 (1% blockage).

2.2.3 Performance Expectation

Provided the conditions are met under 2.2.1 and 2.2.2 preceding, Windstream's performance expectation is to provide traffic flow 100% of the time. If service levels fall

below the performance expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days.

2.3 Trunk Service Restoration

2.3.1 Service Affecting

Service affecting trunk service trouble will be responded to at parity with the performance Windstream provides itself for like service(s). Service affecting trouble is defined as a condition or event affecting 20% or more of the total trunk group and overflows are experienced.

2.3.2 Non Service Affecting

Non service affecting trouble will be responded to at parity with the performance Windstream provides itself for like service(s).

2.3.3 Performance Expectation

Zero loss of service due to downtime. If service levels fall below the Performance Expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days. Specific time-frames will be listed relative to performance.

3.0 Maintenance Intervals

3.1. Service Affecting

Service affecting maintenance trouble will be responded to at parity with the performance Windstream provides itself for like service(s).

3.2 Non Service Affecting

Non service affecting trouble will be responded to at parity with the performance Windstream provides itself for like service(s).

3.3 Performance Expectation

Zero loss of service due to downtime. If service levels fall below the Performance Expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days. Specific time-frames will be listed relative to performance.

4.0 Local Service Provisioning Intervals

4.1 Local Service Request (LSR)

Positive acknowledgement of receipt of a non-valid LSR will be made within two business days, provided the LSR is received before 3PM Eastern Standard Time (1PM Mountain Standard Time). The start time for determining the Local Service Request Confirmation (LSCN) interval will commence with receipt of a valid LSR. A non-valid LSR will not start the LSCN interval.

4.2 Local Service Request Confirmation (LSCN)

An LSCN confirming the due date will be sent within 2 business days (16 business hours) after receipt of a valid LSR subject to facility availability.

4.3 Performance Expectation

Provided the conditions are met under 4.1.1 and 4.1.2 proceeding, Windstream's performance expectation is to provide 100% due dates within the reporting month. If service levels fall below 95% of the performance expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days.

ATTACHMENT 19: BONA FIDE REQUEST (BFR) PROCESS

- 1.1 A Bona Fide Request (BFR) must be used when ALEC requests a change to any Services and/or Elements provided hereunder, including features, capabilities, or functionality.
- 1.2 A BFR shall be submitted in writing by ALEC and shall specifically identify the required service date, technical requirements, space requirements and/or such specifications that clearly define the request such that Windstream has sufficient information to analyze and prepare a response. Such a request also shall include ALEC's designation of the request as being (i) pursuant to the Telecommunications Act of 1996 or (ii) pursuant to the needs of the business.
- 1.3 Although not expected to do so, ALEC may cancel, without penalty, a BFR in writing at any time. Windstream will then cease analysis of the request.
- 1.4 Within two (2) business days of its receipt, Windstream shall acknowledge in writing, the receipt of the BFR and identify a single point of contact and any additional information needed to process the request.
- 1.5 Except under extraordinary circumstances, within twenty (20) days of its receipt of a BFR, Windstream shall provide to ALEC a preliminary analysis of the BFR. The preliminary analysis will include Windstream's proposed price (plus or minus 25 percent) and state whether Windstream can meet ALEC's requirements, the requested availability date, or, if Windstream cannot meet such date, provide an alternative proposed date together with a detailed explanation as to why Windstream is not able to meet ALEC's requested availability date. Windstream also shall indicate in this analysis its Agreement or disagreement with ALEC's designation of the request as being pursuant to the Act or pursuant to the needs of the business. If Windstream does not agree with ALEC's designation, it may utilize the Dispute Resolution Process described in the General Terms and Conditions §9.0. In no event, however, shall any such dispute delay Windstream's process of the request. If Windstream determines that it is not able to provide ALEC with a preliminary analysis within twenty (20) days of Windstream's receipt of a Bona Fide Need request, Windstream will inform ALEC as soon as practicable. The Parties will then determine a mutually agreeable date for receipt of the preliminary analysis.
- 1.6 As soon as possible, but in no event more than forty-five (45) days after receipt of the request, Windstream shall provide ALEC with a BFR quote which will include, at a minimum, the firm availability date, the applicable rates and the installation intervals, and a price quote.
- 1.7 Unless ALEC agrees otherwise, all proposed prices shall be the pricing principles of this Agreement, in accordance with the Act, and any applicable FCC and Commission rules and regulations. Payments for services purchased under a BFR will be made as specified in this Agreement, unless otherwise agreed to by ALEC.
- 1.8 Within thirty (30) days after receiving the firm BFR quote from Windstream, ALEC will notify Windstream in writing of its acceptance or rejection of Windstream's proposal. If at any time an Agreement cannot be reached as to the terms and conditions or price of the request, or if Windstream responds that it cannot or will not offer the requested item in the BFR and ALEC deems the item essential to its business operations, and deems Windstream's position to be inconsistent with the Act, FCC, or Commission regulations and/or the requirements of this Agreement, the Dispute Resolution Process set for in the General Terms and Conditions, §9.0 of the Agreement may be used by either Party to reach a resolution.

ATTACHMENT 20: DEFINITIONS

Definitions of the terms used in this Agreement are listed below. The Parties agree that certain terms may be defined elsewhere in this Agreement, as well as terms not defined shall be construed in accordance with their customary meaning in the telecommunications industry as of the Effective Date of this Agreement.

"Access Service Request" or "ASR" means the industry standard forms and supporting documentation used for ordering Access Services. The ASR may be used to order trunking and facilities between Windstream and ALEC for local interconnection.

"Act" means the Communications Act of 1934 (47 U.S.C. §151 et seq.), as amended by the Telecommunications Act of 1996, as may be subsequently amended or, as from time to time interpreted in the duly authorized rules and regulations of the FCC or the Commission having authority to interpret the Act within its state of jurisdiction.

"Ancillary Services" are services which support, but, are not required for interconnection of telecommunications networks between two or more parties, e.g., 911 (if applicable) and Directory Services.

"Automatic Location Identification" or "ALI" is a feature developed for E911 systems that provides for a visual display of the caller's telephone number, address, and the means of the emergency response agencies that are responsible for that address. The Competitive Local Exchange Company will provide ALI record information in the National Number Association (NENA) version #2 format.

"Automatic Location Identification/Data Management System" or "ALI/DMS" means the emergency service (E911/911) database containing subscriber location information (including name, address, telephone number, and sometimes special information from the local service provider) used to determine to which Public Safety Answering Point (PSAP) to route the call.

"Calling Party Number" or "CPN" is a feature of Signaling System 7 ("SS7") protocol whereby the 10-digit number of the calling party is forwarded from the end office.

"CLASS (Custom Local Area Signaling Service) and Custom Features" means a grouping of optional enhancements to basic local exchange service that offers special call handling features to residential and single-line business Customers (e.g., call waiting, call forwarding and automatic redial).

"Commission" or "PUC" or "PSC" means the state administrative agency to which the United States Congress or state legislature has delegated authority to regulate the operations of Local Exchange Carriers ("LECs") as defined in the Act.

"Common Channel Signaling" or "CCS" means a special network, fully separate from the transmission path of the public switched network that digitally transmits call setup and network control data.

"Confidential Information" has the meaning set forth in §6.0 of the General Terms and Conditions.

"Contract Year" means a twelve (12) month period during the term of the contract commencing on the Effective Date and each anniversary thereof.

"Customer" means, whether or not capitalized, any business, residential or governmental Customer of services covered by the Agreement, and includes the term "End User". More specific meanings of either of such terms are dependent upon the context in which they appear in the Agreement and the provisions of the Act.

"Customer Proprietary Network Information" or "CPNI" means information that relates to the quantity, technical configuration, type, destination, and amount of a Telecommunications Service subscribed to by any Customer of a Telecommunications Carrier, and that is made available to the carrier by the Customer solely by virtue

of the carrier Customer relationship; and information contained in the bills pertaining to telephone exchange service or telephone toll service received by a Customer of a carrier.

"Discloser" means that Party to this Agreement which has disclosed Confidential Information to the other Party.

"E911 Service" is a method of routing 911 calls to a PSAP that uses Customer location data in the ALI/DMS to determine the PSAP to which a call should be routed.

"Effective Date" is the date indicated in the Preface on which the Agreement shall become effective.

"End Office" means a local Windstream switching point where Windstream Customer station loops are terminated for purposes of interconnection to each other and to the network.

"End User" means, whether or not capitalized, any business, residential or governmental Customer of services covered by the Agreement and includes the term "Customer". More specific meanings of either of such terms are dependent upon the context in which they appear in the Agreement and the provisions of the Act.

"Enhanced White Pages Listings" means optional features available for residential White Pages Directory Listings (e.g., bold, italics, lines of distinction).

"Exchange" is the geographic territory delineated as an exchange area for Windstream by official commission boundary maps.

"Exchange Access" is defined in the Act.

"Exchange Services" are two-way switched voice-grade telecommunications services with access to the public switched network with originate and terminate within an exchange.

"FCC" means the Federal Communications Commission.

"ICB" means individual case basis.

"Incumbent Local Exchange Carrier" or "ILEC" has the meaning given the term in the Act.

"Interconnection" has the meaning given the term in the Act and refers to the connection of separate pieces of equipment, facilities, or platforms between or within networks for the purpose of transmission and routing of Telephone Exchange Service traffic and Exchange Access traffic.

"Interconnection Agreement" means the Agreement between the Parties entitled "Interconnection Agreement Under §§251 and 252 of the Telecommunications Act of 1996," dated July 16, 1996.

"Interexchange Carrier" or "IXC" means a telecommunications provider that provides long distance communications services between LATAs and authorized by the Commission to provide long distance communications services.

"InterLATA" has the meaning given the term in the Act.

"IntraLATA Traffic" means all IntraLATA calls provided by a LEC other than traffic completed in the LECs local exchange boundary.

"Interconnection Point" or "IP" is the point of demarcation at a technically feasible point within Windstream's interconnected network within the LATA, as specified in *Attachment 4* Section 2.1.1, where the networks of Windstream and ALEC interconnect for the exchange of traffic.

"Local Access and Transport Area" or "LATA" has the meaning given to the term in the Act.

"Local Exchange Carrier" or "LEC" means the incumbent carrier that provides facility-based Exchange Services, which has universal-service and carrier-of-last-resort obligations.

"Local Service Provider" or "ALEC" means a non-incumbent carrier licensed by the Commission with the appropriate certification (e.g., a Certificate of Authorization or Service Provider Certificate of Authorization) and authority necessary to provide Exchange Services.

"Local Service Request" or "LSR" means an industry standard form used by the Parties to add, establish, change or disconnect services provided under this Agreement.

"911 Service" means a universal telephone number, which gives the public direct access to the PSAP. Basic 911 service collects 911 calls from one or more local exchange switches that serve a geographic area. The calls are then sent to the correct authority designated to receive such calls.

"Operating Company Number" or "OCN" means nationally recognized company codes set forth in Bellcore's LERG that will be used as the official identification code for each company that provides local exchange telephone service.

"Parties," means Windstream and ALEC collectively.

"Party" means either Windstream or ALEC as applicable.

"P.01 Transmission Grade of Service" means a trunk facility provisioning standard with the statistical probability of no more than one call in 100 blocked on initial attempt during the average busy hour.

"Percent Interstate Local Usage" or "PLU" is a calculation which represents the ratio of the local minutes to the sum of local IntraLATA minutes between exchange carriers sent over Local Interconnection Trunks. Directory assistance, BLV/BLVI, 900, 976, transiting calls from other exchange carriers and switched access calls are not included in the calculation of the PLU.

"Public Safety Answering Point" or "PSAP" is the public safety communications center where 911 calls placed by the public for a specific geographic area will be answered.

"Recipient" means the Party to this Agreement, which has received Confidential Information from the other Party.

"Service Provider Number Portability/Number Portability" or "SPNP" means the ability of users of telecommunications services to retain, at the same location, existing telecommunications numbers without the impairment of quality, reliability, or convenience when switching from one telecommunications carrier to another.

"Signaling System 7" or "SS7" means a signaling protocol used by the CCS network.

"Telephone Exchange Service" means wireline exchange connections amongst LEC End Users.

"Telecommunications" has the meanings given in the Act.

"Termination" means the switching of Local Traffic at the terminating carrier's end office switch, or equivalent facility, and delivery of such traffic to the called Party.

"Territory" means the incumbent local exchange areas within the states identified in Appendix A

“Undefined Terms” The Parties acknowledge that terms may appear in the Agreement that are not defined and agree that any such terms shall be construed in accordance with their end-user usage in the telecommunications industry as of the Effective Date of this Agreement.

“Windstream” has the meaning set forth in the preamble.

“Work Locations” means any real estate that Windstream owns, leases or licenses or in which it holds easements or other rights to use, or does use, in connection with this Agreement.

ATTACHMENT 21: ACRONYMS

AMA	Automated Message Accounting
ASR	Access Service Request
BAN	Billing Account Number
BFR	Bona Fide Request
BRADS	Bellcore Rating Administrative Data Systems
CAP	Competitive Access Provider
CATS	Calling Card and Third Number Settlement System
CCL	Carrier Common Line
CCS	Common Channel Signaling
ALEC	ALEC
CLASS	Custom Local Area Signaling Service
CMD5	Centralized Message Distribution System
CPN	Calling Party Number
CPNI	Customer Propriety Network Information
EAS	Extended Area Service
ELCS	Extended Local Calling Service
EMI	Exchange Message Interface
EUCL	End User Common Line
FCC	Federal Communications Commission
FOC	Firm Order Commitment
ILEC	Incumbent Local Exchange Carrier
IP	Interconnection Point
ISDN	Integrated Digital Services Network
ISDNUP	Integrated Digital Services Network User Part
IXC	Interexchange Carrier
LATA	Local Access and Transport Area
LEC	Local Exchange Carrier
LERG	Local Exchange Routing Guide
LOA	Letter of Authority
LRN	Local Routing Number
LSCN	Local Service Request Confirmation
LSP	Local Service Provider
LSR	Local Service Request
MSA	Metropolitan Statistical Area
MTP	Message Transfer Part
MTS	Message Telephone Service
NEBS	Network Equipment Building System
NECA	National Exchange Carrier Association
NIIF	Network Interoperability Interface Forum
NPA	Numbering Plan Area
NPAC	Number Portability Administration Center
OCN	Operating Company Number
OLI	Originating Line Information
PIC	Primary Interexchange Carrier
PLU	Percent Local Usage
PON	Purchase Order Number
PSC	Public Service Commission
PUC	Public Utilities Commission
RDBS	Routing Data Base Systems
SLC	Subscriber Line Charge

SONET	Synchronous Optical Network
SPNP	Service Provider Number Portability
SS7	Signaling System 7
STP	Signaling Transfer Point
TCAP	Transaction Capabilities Application Part
Windstream	Windstream

APPENDIX A – Billing Dispute Form

Billing Company Contact Information Section:				
1. Billing Company Name:		2. Billing Contact Name:		
3. Billing Contact Address:		4. Billing Contact Phone:		
		5. Billing Contact Fax #:		
		6. Billing Contact Email:		
Disputing Company Contact Information Section:				
7. Disputing Company Name:		8. Disputing Contact Name:		
9. Disputing Contact Address:		10. Disputing Contact Phone:		
		11. Disputing Contact Fax #:		
		12. Disputing Contact Email:		
General Dispute Section:				
13. Date of Claim: (yyyy-mm-dd):		14. Status:		15. Claim/Audit Number:
16. Service Type:				
17. ACNA:	18. OCN:	19. CIC:	20. BAN:	21. Invoice Number(s):
22. Bill Date:		24. Dispute Reason Code:		25. Dispute Desc:
23. Billed Amount: \$ _____				
26. Disputed Amount: \$			29. Dispute Bill Date From:	
27. Disputed Amount Withheld: \$			Dispute Bill Date Thru:	
28. Disputed Amount Paid: \$				
Dispute Information Section:				
30. Rate Element/USOC:		31. Rate: Billed Correct		
Factor Information: 32. PIU: Billed Correct 33. PLU: Billed Correct 34. BIP: Billed Correct 35. Other Factors: Billed Correct		36: Jurisdiction <input type="checkbox"/> Non Jurisdictional <input type="checkbox"/> Inter/Interstate <input type="checkbox"/> Intra/Interstate <input type="checkbox"/> Intra/Intrastate <input type="checkbox"/> Inter/Intrastate <input type="checkbox"/> Local 37. Mileage: Billed Correct 38. Contract Name/#: 39. Business/Residence Indicator: 40: State: 41: LATA:		
Facilities/Dedicated Circuit Dispute Information Section:				
42. PON: 43. SON: 44. EC Circuit ID: 45. Circuit Location: 46. IC Circuit ID: 47. CFA :		48. TN/All: 49. Point Code: 50. USOC Quantity: 51. Two-Six Code:		
52. Facilities From Date:		Thru Date:		

Usage Dispute Information Section:		
53. End Office CLLI:		54. TN/All:
55. Usage Billed Units/Quantity:		56. Usage Billed Units/Quantity Disputed:
57. Directionality: <input type="checkbox"/> N/A <input type="checkbox"/> Orig. <input type="checkbox"/> Term. <input type="checkbox"/> Combination		58. Query: 59. Query Type:
60. OC&C SON:		61. OC&C PON:
62. Usage From Date: Thru Date:		
Information Section:		
63. Tax Dispute Amount:		64. Tax exemption form attached : <input type="checkbox"/>
65. Invoice(s) LPC billed:		
66. LPC paid, date of payment:		
OTHER		
67. Other remarks		
Resolution Information Section:		
68. Resolution Date:		
69. Resolution Amount: \$		70. Resolution Reason:
71. Adjustment Bill Date:		72. Adjustment Invoice Number:
73. Adjustment Phrase Code(s):	74. Adjustment BAN/	75. Adjustment SON:
76. Disputed Amount: \$		77. Amount Credited: \$
78. Bill Section Adjustment will appear on: OC&C_____ Adjustment_____		
79. Resolution remarks:		

Appendix B: Price List

	Nonrecurring Charges	
	Initial	Additional
<u>Service Order Charges</u>		
Initial Service order, per order ¹	\$47.25	\$24.00
Subsequent service order, per order ²	\$24.00	
Directory service order fee per submission ³	\$9.00	
Manual Service Order Charges ⁴	\$65.00	
<u>Time and Material Charges</u>		
Basic Time per half (1/2) hour	\$45.00	\$45.00
Overtime per half (1/2) hour	\$62.50	\$62.50
Premium Time per half (1/2) hour	\$85.00	\$85.00
<u>Coordinated Cut-Over Charge</u>		
Basic Time per half (1/2) hour	\$45.00	\$45.00
Overtime per half (1/2) hour	\$62.50	\$62.50
Premium Time per half (1/2) hour	\$85.00	\$85.00

Reciprocal Compensation MOU Rate	Local	\$0.01
	ISP	\$0.0009

¹ **Initial Service Order** – Applies to the first order to establish service or after service has been established the first order to change service.

² **Subsequent Service Order** – Prior to completion of the initial order, changes are made to the account.

³ **Directory Service Order** – Applies to changes to the directory listing after the initial service order.

⁴ **Manual Service Order** – applies to orders sent via facsimile, electronic mail or US Postal Service when Windstream Express is available.

¹ **New** – includes each local number portability request and each new directory listing request.

² **Change** - includes any rearrangement or change to an existing service, supplemental requests or existing directory listing.

³ **Expedite** - applies if ALEC requests service prior to the standard due date intervals and the expedite request can be met by Windstream.

⁴ **Manual** – applies to orders sent via facsimile, electronic mail or US Postal Service when Windstream Express is available.