

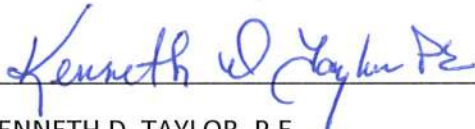
VERIFICATION

COMMONWEALTH OF KENTUCKY )

) SS:

COUNTY OF FRANKLIN )

The undersigned, KENNETH D. TAYLOR, P.E., being duly sworn, deposes and states he has personal knowledge of the matters set forth in the responses for which he is identified as the witness, and that the answers contained therein are true and correct to the best of his information, knowledge, and belief.

  
KENNETH D. TAYLOR, P.E.

Subscribed and sworn to before me, a Notary Public in and before said County and State, this 18<sup>th</sup> day of March 2024.

 (Seal)

Notary Public

My Commission Expires: 11-01-27

Notary ID: KYNP82393

**KNOX COUNTY UTILITY COMISSION**

RESPONSE TO COMMISSON'S MARCH 13, 2024, NOTICE  
OF FILING DEFICIENCIES

CASE NO. 2023-00430

DEFICIENCY NO. 1

Responding Witness: Kenneth D. Taylor, PE

- 1. 807 KAR 5:076, SECTION 4 (1) (h): Completed ARF Form-3 for each member of board of directors/commissioners, CEO or general manager, ownership of 10% or more; Commission staff requests the required Form ARF-3 for General Manager Michelle Stewart.**

Response:

- Pursuant to the staff's specific request attached to this response is a completed ARF Form-3 for Michelle Stewart. It should be noted that Ms. Stewart's position is Office Manager/Water Treatment Plant Operator and not General Manager. Marshall Ramey is the Superintendent/General Manager and a completed Form ARF-3 was submitted for him in the original application.

**STATEMENT OF DISCLOSURE OF RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between January 1, 2022 and December 31, 2023 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members\* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.
- Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the supplemental page entitled "Employees Related to Utility Officials."

Michelle Stewart  
(Print Name)

  
(Signed)

Office Manager  
(Position/Office)

\* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF     Knox    

Subscribed and sworn to before me by     Michelle Stewart      
(Name)

this 14<sup>th</sup> day of March, 2024.

Denise Crawford  
NOTARY PUBLIC  
State-at-Large