

CERTIFICATION OF COMPLETION OF ALL
ARF-3 FORMS, REGARDING DISCLOSURES OF
FINANCIAL TRANSACTIONS FOR THE LAST 48 MONTHS
FOR ALL BOARD OF DIRECTORS, GENERAL MANAGER AND
PERSONS, WHO OWN OVER 10%; TO COMPLY WITH
KY PSC DEFICIENCY LETTER

Whereas, Harrison County Water Association, Inc. filed on May 11, 2023, an alternative rate filing with multiple required documents necessary to complete minimum filing requirements for an alternative rate adjustment;

And Whereas, Harrison County Water Association, Inc. is a member owned corporation, with no person and/or individual and/or entity owning more than 10% of the corporation;

And Whereas, Harrison County Water Association, Inc. did complete and file with its initial alternative rate application, ARF-3 disclosures for board members, J. Frank Marsh, Lewis Furnish, Russell Gray, and Patty Hanna, showing the financial transactions for the period in question, but did not file ARF-3 disclosures for three of the board members, namely, Gary Carter, Lincoln Clifford and Paul Wilson., and current manager, Nathan Fields. The ARF-3 form for Gary Carter, was mistakenly left out of the filing with the submitted ARF-3 filings, and is being submitted with the supplemental filing to correct the KY PSC noted deficiency.

Board Members, Lincoln Clifford and Paul Wilson, and current manager, Nathan Fields, had no financial transactions, but ARF-3 forms verifying no transactions for those board members and current manager is being filed simultaneously with this certification to comply and satisfy the deficiency in its initial filing (The Harrison County Water Association, Inc. only consists of seven, 7, board members, namely, J.Frank Marsh, Russell Gray, Lewis Furnish, Patty Hanna, Gary Carter, Paul Wilson and Lincoln Clifford, these board members were the only board members during the period in question, i.e., January 1, 2021 to May 4, 2023).

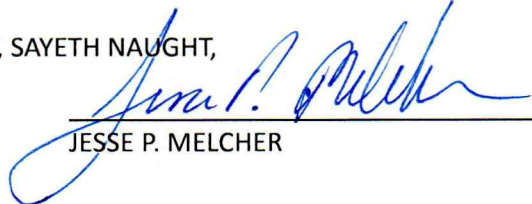
And Whereas, Harrison County Water Association, Inc did fill out and submit an ARF-3 disclosure for its former manger, Mark Patrick who deceased on or about March 2022, but not for its current manager, Nathan Fields, employed since on or about September 1, 2022, who had no financial transactions during the period from hire to date, but an ARF-3 disclosure form, verifying no transactions for him, is being filed simultaneously with this certification to comply and satisfy the deficiency in its initial filing.

Whereas, this counsel with these three ARF-3 supplemental filings along with the initial ARF-3 filings filed with the initial petition and the statement of this certification that the corporation is not owned by any person and/or entity with over 10% ownership interest, as it is owned by its members as verified to herein.

Further, Harrison County Water Association, Inc. is overseen by its Board of Directors, and no CEO exists for Harrison County Water Association, Inc., and day to day operations are run by its manger, Nathan Fields.

I, Jesse P. Melcher, Attorney for Harrison County Water Association, Inc., do hereby certify that this is a true and accurate statement regarding the fillings of ARF-3 financial disclosure of all board members and the general manger of HCWA for the period of January 1, 2021 to May 4, 2023.

FURTHER AFFIANT, SAYETH NAUGHT,




JESSE P. MELCHER

COMMONWEALTH OF KENTUCKY

COUNTY OF Kentucky

The foregoing affidavit and certification, was signed, sworn, and acknowledged before me by, Jesse P. Melcher, Attorney for Harrison County Water Association, Inc., this the 15th day of May 2023.



NOTARY PUBLIC, STATE AT LARGE
My Commission Expires: 7-6-24
Notary ID: KYNP7508