

*Office:*

8955 Main Street • P.O. Box 219  
Campbellsburg, KY 40011

*Telephones:*

(502) 532-6279 • (502) 532-6280  
1-800-256-2350

*Fax:*

(502) 532-0027



*Water Treatment Plant:*

3278 Morton Ridge Road  
Bedford, KY 40006

*Telephone:*

(502) 255-0126

*Fax:*

(502) 255-0347

4/11/2023

Executive Director  
Linda Bridwell  
Public Service Commission  
211 Sower Blvd  
Frankfort KY, 40601

Response to Deficiency letter

Dear Director and Staff,

Attached are responses to each of the deficiencies that were sent back to me on 4/3/23:

- 807 KAR 5:076, Section 4 (1) (a) SAO Form
- 807 KAR 5:076, Section 4 (1) (a) Revenue Requirement Calculation Form
- 807 KAR 5:076, Section 5 (4) Public Notice
- 807 KAR 5:076, Section 4 (1) (h) Completed ARF Form-3
- 807 KAR 5:076, Section 4 (2) (b) Letter to Attorney General Rate Intervention Office

Thank you for your time and effort on this matter!

Keith Morris

A handwritten signature in black ink, appearing to read "Keith Morris", is written over the printed name.

Henry County Water District #2  
Chief Operating Officer

# Attachment 1

## **A Completed Statement of Adjusted Operations**

**SCHEDULE OF ADJUSTED OPERATIONS - WATER UTILITY**

TYE 12/31/20 21

	Test Year	Adjustment	Ref.	Pro Forma
<b><u>Operating Revenues</u></b>				
<b>Sales of Water</b>				
Unmetered Water Sales				0.00
Metered Water Sales	3,246,684.00			3,246,684.00
Bulk Loading Stations				0.00
Fire Protection Revenue				0.00
Sales for Resale	262,804.00			262,804.00
<b>Total Sales of Water</b>	<b>3,509,488.00</b>	<b>0.00</b>		<b>3,509,488.00</b>
<b>Other Water Revenues</b>				
Forfeited Discounts	75,003.00			75,003.00
Miscellaneous Service Revenues	25,620.00			25,620.00
Rents from Water Property				0.00
Other Water Revenues	52,920.00			52,920.00
<b>Total Other Water Revenues</b>	<b>153,543.00</b>	<b>0.00</b>		<b>153,543.00</b>
<b>Total Operating Revenues</b>	<b>3,663,031.00</b>	<b>0.00</b>		<b>3,663,031.00</b>
<b><u>Operating Expenses</u></b>				
<b>Operation and Maintenance Expenses</b>				
Salaries and Wages - Employees	951,196.00			951,196.00
Salaries and Wages - Officers	30,000.00			30,000.00
Employee Pensions and Benefits	478,143.00			478,143.00
Purchased Water				0.00
Purchased Power	436,393.00	130,000.00		566,393.00
Fuel for Power Production				0.00
Chemicals	30,965.00			30,965.00
Materials and Supplies	360,984.00			360,984.00
Contractual Services	127,308.00			127,308.00
Water Testing	18,122.00			18,122.00
Rents				0.00
Transportation Expenses	12,349.00			12,349.00
Insurance	59,507.00			59,507.00
Regulatory Commission Expenses				0.00
Bad Debt Expense	1,630.00			1,630.00

Miscellaneous Expenses	<u>64,606.00</u>			<u>64,606.00</u>
Total Operation and Maintenance Expenses	2,571,203.00	130,000.00	1	2,701,203.00
Depreciation Expense	822,176.00			822,176.00
Amortization Expense				0.00
Taxes Other Than Income	86,044.00			86,044.00
Income Tax Expense				0.00
<b>Total Operating Expenses</b>	<u>3,479,423.00</u>	<u>130,000.00</u>		<u>3,609,423.00</u>
<b>Utility Operating Income</b>	<u>183,608.00</u>	<u>-130,000.00</u>		<u>53,608.00</u>

References

1. Power costs have escalated due to increased rates by the Energy providers. No other vendor for these services is available in our area. This number was projected using 2022 actual costs.

## Attachment 2

### **A Completed Revenue Requirement Calculation** **Form**

**REVENUE REQUIREMENT CALCULATION - DEBT COVERAGE METHOD**  
 (This method is used commonly by non-profits that have long-term debts outstanding.)

Pro forma Operating Expenses	\$3,609,423.00
Plus: Average Annual Debt Principal and Interest Payments*	905,566.00
Debt Coverage Requirement**	
Total Revenue Requirement	<u>4,514,989.00</u>
Less: Other Operating Revenue	
Non-operating Revenue	
Interest Income	<u>-16,010.00</u>
Revenue Required from Rates	4,498,979.00
Less: Revenue from Sales at Present Rates	<u>-3,663,031.00</u>
Required Revenue Increase	<u><u>\$835,948.00</u></u>

Required Revenue Increase stated as a Percentage of Revenue at Present Rates 22.82%

\* This should be a 3 year average calculated using the debt principal and interest payments for the three years following the test year.

\*\* This amount is calculated by multiplying the average annual debt principal and interest payments by the debt service requirement of the utility's lending agency.

Attachment 3

**Revised Notice**



# NOTICE

Proposed Rates to be Filed with the Kentucky Public Service Commission on March 30th, 2023

Current Rates	Percentage Increase	Dollar Amount Increase	New Rate Proposal
First 1,500 Gallons (19.74 Minimum Bill)	15%	\$2.96	\$22.70 Minimum Bill
Next 3,500 Gallons \$7.09 per 1,000 Gallons	15%	\$1.06	\$ 8.15 next 3,500 Gallons
Next 5,000 Gallons \$6.47 per 1,000 Gallons	15%	\$0.97	\$7.44 next 5,000 Gallons
Next 10,000 Gallons \$5.24 per 1,000 Gallons	15%	\$0.79	\$6.03 next 10,000 Gallons
Next 30,000 Gallons \$4.01 per 1,000 Gallons	15%	\$0.60	\$4.61 next 30,000 Gallons
Next 50,000 Gallons \$2.72 per 1,000 Gallons	15%	\$0.41	\$3.13 next 50,000 Gallons
Wholesale \$2.72 per 1,000 Gallons	15%	\$0.41	\$3.13 next 1,000 Gallons

The average Residential bill, of 4,800 Gallons a month, will increase from \$43.14 to \$49.60. Anyone may examine this application at the office of the Henry County Water District located at 8955 Main Street Campbellsburg, KY 40011 and may also be examined at the Public Service Commission's Office located at 211 Sower Boulevard, Frankfort, KY Monday through Friday, 8:00am to 4:30p.m. or through the commission's web site at <http://psc.ky.gov>. Any statement or comment regarding this application may be submitted to the Public Service Commission through the Commission's website or by mail to Public Service Commission, Post Office 615 Frankfort, KY 40602. The rates contained in this request are proposed by the Henry County Water District, but the Public Service Commission may order rates to be charged that differ from the proposed rates in this Notice. Any person may submit a timely written request for intervention to the Public Service Commission, Post Office Box 615, Frankfort KY, 40602 establishing grounds for the request including the status and interest of the party; and if the commission does not receive a written request for intervention within thirty (30) days of the initial publication or mailing of the notice, the commission may take final action of the application.

Management,

Henry County Water District #2

Attachment 4

Completed ARF-Form 3 by ALL Commissioners

**STATEMENT OF DISCLOSURE OF  
RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between Henry County Water District #2 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members\* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Larry Bohannon		

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.
- Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the supplemental page entitled "Employees Related to Utility Officials."

LARRY C. BOHANNON  
(Print Name)

Larry C. Bohannon  
(Signed)

Secretary Larry C. Bohannon  
(Position/Office)

\* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

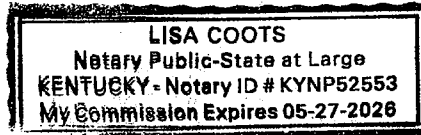
COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by Larry Bohannon  
(Name)

this 12<sup>th</sup> day of April, 2023.

Lisa Coots  
NOTARY PUBLIC  
State-at-Large



**STATEMENT OF DISCLOSURE OF  
RELATED PARTY TRANSACTIONS**

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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Joe Dedman		

- Check this box if the Utility has no related party transactions.
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JOE DEDMAN  
(Print Name)

Joe Dedman  
(Signed)

Commissioner  
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by Joe Dedman  
(Name)

this 12<sup>th</sup> day of April, 20 23.

Lisa Coats  
NOTARY PUBLIC  
State-at-Large

LISA COOTS  
Notary Public-State at Large  
KENTUCKY - Notary ID # KYNP52553  
My Commission Expires 05-27-2026

**STATEMENT OF DISCLOSURE OF  
RELATED PARTY TRANSACTIONS**

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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Ricky Jones		

- Check this box if the Utility has no related party transactions.
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Ricky Jones  
(Print Name)

Ricky Jones  
(Signed)

Vice Chairman  
(Position/Office)

\* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

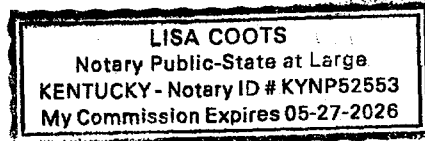
COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by Ricky Jones  
(Name)

this 12<sup>th</sup> day of April, 20 23.

Lisa Coots  
NOTARY PUBLIC  
State-at-Large





**STATEMENT OF DISCLOSURE OF  
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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
<u>Denise Coombs</u>		

- Check this box if the Utility has no related party transactions.
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Denise Coombs  
(Print Name)

*Denise Coombs*  
(Signed)

Commissioner  
(Position/Office)

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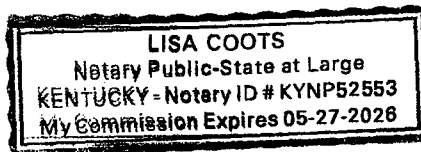
COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by Denise Coombs  
(Name)

this 12<sup>th</sup> day of April, 20 23.

Lisa Coats  
NOTARY PUBLIC  
State-at-Large



**STATEMENT OF DISCLOSURE OF  
RELATED PARTY TRANSACTIONS**

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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
<u>Don St. Claire</u>		

- Check this box if the Utility has no related party transactions.
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Don St. Claire  
(Print Name)

*Don St. Claire*  
(Signed)

Commissioner  
(Position/Office)

\* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

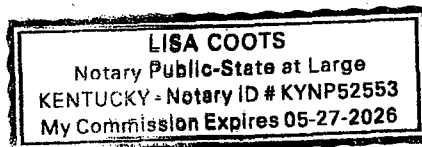
COUNTY OF HENRY

Subscribed and sworn to before me by Don St. Clair  
(Name)

this 17<sup>th</sup> day of April, 2023.

Lisa Coats

NOTARY PUBLIC  
State-at-Large




**STATEMENT OF DISCLOSURE OF  
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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wendell Hawkins		

- Check this box if the Utility has no related party transactions.
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Wendell Hawkins  
(Print Name)

  
(Signed)

Commissioner  
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by Wendell Hawkins  
(Name)

this 12<sup>th</sup> day of April, 20    .

Lisa Coats  
NOTARY PUBLIC  
State-at-Large

LISA COOTS  
Notary Public-State at Large  
KENTUCKY - Notary ID # KYNP52553  
My Commission Expires 05-27-2026

**STATEMENT OF DISCLOSURE OF  
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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Charles Richardson		

- Check this box if the Utility has no related party transactions.
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Charles A. Richardson  
(Print Name)

Charles A. Richardson  
(Signed)

Commissioner  
(Position/Office)

\* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by Charles Richardson  
(Name)

this 12<sup>th</sup> day of April, 2023.

Lisa Coats  
NOTARY PUBLIC  
State-at-Large

LISA COOTS  
Notary Public-State at Large  
KENTUCKY - Notary ID # KYNP52553  
My Commission Expires 05-27-2026



**STATEMENT OF DISCLOSURE OF  
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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Ralph Crouch		

- Check this box if the Utility has no related party transactions.
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Ralph Crouch  
(Print Name)

Ralph Crouch  
(Signed)

Commissioner  
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by Ralph Crouch  
(Name)

this 12<sup>th</sup> day of April, 20 23.

Lisa Coots  
NOTARY PUBLIC  
State-at-Large

LISA COOTS  
Notary Public-State at Large  
KENTUCKY - Notary ID # KYNP52553  
My Commission Expires 05-27-2026

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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
James Matthew Nelson		

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.
- Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the supplemental page entitled "Employees Related to Utility Officials."

James Matthew Nelson  
(Print Name)

*James Matthew Nelson*  
(Signed)

Commissioner  
(Position/Office)

\* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF HENRY

Subscribed and sworn to before me by James Matthew Nelson  
(Name)

this 12<sup>th</sup> day of April, 20 23.

Lisa Coats  
NOTARY PUBLIC  
State-at-Large

LISA COOTS  
Notary Public-State at Large  
KENTUCKY - Notary ID # KYNP52553  
My Commission Expires 05-27-2026

## Attachment 5

A copy of the application, including these revised forms, have been sent to the Office of Rate Intervention, Office of Attorney General