

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ALTERNATIVE RATE ADJUSTMENT FILING OF)	CASE NO.
CARROLL COUNTY WATER DISTRICT #1)	2021-00475

RESPONSE OF CARROLL COUNTY WATER DISTRICT #1
TO THE COMMISSION STAFF'S FIRST REQUEST FOR
INFORMATION DATED JANUARY 27, 2022

COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ALTERNATIVE RATE ADJUSTMENT FILING OF) CASE NO.
CARROLL COUNTY WATER DISTRICT #1) 2021-00475

VERIFICATION OF OBE D. COX

COMMONWEALTH OF KENTUCKY)
COUNTY OF Carroll)

Obe D. Cox, General Manager of Carroll County Water District #1, states that he has supervised the preparation of certain responses to the Request for Information in the above-referenced case and that the matters and things set forth therein are true and accurate to the best of his knowledge, information, and belief, formed after reasonable inquiry.



Obe D. Cox

The foregoing Verification was signed, acknowledged, and sworn to before me this 22 day of February 2022, by Obe D. Cox.



Commission expiration: 01/31/2026

Carroll County Water District #1
Case No. 2021-00475
Commission Staff's First Request for Information

Witnesses: Obe Cox (Items 1 – 9 and 12)
Alan Vilines (Items 10 and 11)

1. Provide copies of each of the following, and when appropriate, provide in Excel spreadsheet format with all formulas, rows, and columns unprotected and fully accessible:

a. The general ledger for the calendar years 2020 and 2021 to date; and the trial balance for the calendar years 2020, and 2021 to date.

Response: See files CC 1.1a – 2020 GL
CC 1.1a – 2021 GL
CC 1.1a – 2020 TB
CC 1.1a – 2021 TB

b. Adjusted Trial Balance showing unaudited account balances, audit adjustments, and audited balances for the calendar years 2020 and 2021 to date.

Response: See file CC 1.1b – 2020 Adjusted TB. This information is not yet available for 2021.

c. The schedule of notes and bonds payable on December 31, 2020; December 31, 2021; and the current period.

Response: See file CC 1.1c – Debt Payable

d. All debt agreements/bond ordinances and amortization schedules, including related party debt.

Response: This information was provided with the application.

e. Insurance policies for 2020 and the current period, if available.

Response: See file CC 1.1e – Insurance Policies

f. Hours worked by each employee for the calendar years 2020, 2021, and the current period.

Response: See file CC 1.1f – Employee Hrs Worked 20-21-22

g. A document listing the names, job titles, job description, and pay rates for each employee on December 31, 2021, and for those currently employed.

Response: See file CC 1.1g – Employee Information. There have been no changes since the end of 2021.

h. A list that describes all employee benefits, other than salaries and wages, paid to, or on behalf of, each employee for each of the previous five years.

Response: See file CC 1.1h – Employee Benefits

i. Minutes from Carroll District #1's commissioner meetings for the calendar years 2020, 2021, and the current period.

Response: See files CC 1.1i – 2020 Board Minutes
CC 1.1i – 2021 Board Minutes

j. A document listing the name of all commissioners for each of the five previous years, and state, individually, the total amount of each benefit paid to, or on the behalf of, each commissioner during each year (i.e., wages, health insurance premiums, life insurance premiums, FICA taxes, etc.).

Response: See file CC 1.1j – Commissioner Compensation

k. Fiscal Court minutes approving each commissioner's appointment and compensation.

Response: See file CC 1.1k – Fiscal Ct Minutes

2. Provide a breakdown for the number of board meetings each commissioner attended during the test year.

Response: See file CC 1.2 – Board Mtg Attendance

3. Provide the number of occurrences for which late fees were assessed during the test year.

Response: In 2020 there were a total of 1,576 occurrences of late fees charged.

4. Provide the total amount collected for each nonrecurring charge and the number of occurrences for each nonrecurring charge that was assessed during the test year. If the revenue for any nonrecurring charge was zero, include that charge and indicate that zero revenue was received.

Response: See file CC 1.4 – Nonrecurring Charges

5. Provide the cost justification for all nonrecurring charges listed in Carroll District #1's tariff.

Response: See file CC 1.5 – Cost Justifications

6. Provide the rate for each nonrecurring charge collected in the test year and the rate for all nonrecurring charges contained in Carroll District #1's tariff.

Response: The rates collected for all nonrecurring charges during the test year are the same rates included in the tariff. See file CC 1.6 – Tariff Sheet 7. Also see the response to Item #4 above.

7. Provide an overview of any actions planned or taken by Carroll District #1 to reduce its water loss, including any water loss reduction plan.

Response: See file CC 1.7 – Water Loss Reduction Plan

8. Identify the number of new water connections that Carroll District #1 installed in calendar year 2020.

Response: There were 38 new water meters installed in 2020.

9. Provide the amount of labor and materials recorded for each new water connection used during calendar year 2020.

Response: This information is not collected and recorded by the District.

10. a. Provide the date when Carroll District #1 last performed a cost of service study (COSS) to review the appropriateness of its current rates and rate design.

Response: It appears that a COSS may have been performed in 2009 related to Case No. 2009-00447. However, no detailed computations of that study are available.

b. Explain whether any material changes to Carroll District #1's system has occurred that would cause a new COSS to be prepared since the date of Carroll District #1's most recent COSS.

Response: There have been no material changes to the District's system that would create the need for a new COSS to be prepared.

c. If there have been no material changes to Carroll District #1's system, explain when Carroll District #1 anticipates completing a new COSS.

Response: A new COSS will be appropriate when material changes in customer usage patterns occur.

11. Refer to Carroll District #1's Application, Billing Analysis. Provide a list of any adjustments made to the data and include an explanation of each adjustment.

Response: No adjustments were made to the billing data provided.

12. Refer to the Annual Report for 2020, page 46. Provide information as to the debts owed to both Carrollton Utilities and Kentucky Infrastructure Authority including the basis for the debt and expected pay-off of the debt.

Response: See file CC 1.12 – KIA Loan Information