

# EXHIBIT I

**STATEMENT OF DISCLOSURE OF  
RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between COLUMBIA/ADAIR UTILITIES COMMISSION ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members\* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Joseph Pyles Excavating, Inc.	Purchase blocks, brick and related supplies	\$57.98

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.
- Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the supplemental page entitled "Employees Related to Utility Officials."

William Harris  
(Print Name)

*William Harris*  
(Signed)

Chairman  
(Position/Office)

\* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF Adair

Subscribed and sworn to before me by William Harris  
(Name)

this 4th day of August, 2021.

Jennifer Carter  
NOTARY PUBLIC  
State-at-Large  
Exp 9/24/2024  
KYNP 15710


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Richard Grant  
(Print Name)

  
(Signed)

Secretary-Treasurer  
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Orlaine

Subscribed and sworn to before me by Richard Grant  
(Name)

this 4th day of August, 2021.

Annika Carter  
NOTARY PUBLIC  
State-at-Large  
Exp 9/24/2024  
KY NP 15710

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Melvin Brown  
(Print Name)

*Melvin Brown Jr*  
(Signed)

Commissioner  
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Adair

Subscribed and sworn to before me by Melvin Brown, Jr.  
(Name)

this 12 day of August, 2021.

Jennifer Cook  
NOTARY PUBLIC  
State-at-Large  
Exp 9-24-2024  
KY NP15710




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David Jones  
\_\_\_\_\_  
(Print Name)

  
\_\_\_\_\_  
(Signed)

Vice Chairman  
\_\_\_\_\_  
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Adair

Subscribed and sworn to before me by David Jones  
(Name)

this 12 day of August, 2021.

Jennifer Carter  
NOTARY PUBLIC  
State-at-Large

Exp 9-24-2024  
Ky NP15710

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Lennon Stone  
(Print Name)

Lennon Stone  
(Signed)

General Manager  
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Adair

Subscribed and sworn to before me by Lemmon Stone  
(Name)

this 4<sup>th</sup> day of August, 2021.

Jennifer Carter  
NOTARY PUBLIC  
State-at-Large

Mr. Joseph Pyles, a Commissioner has serious health issues and has had an extensive hospitalization. He has not been able to be seen or visited to obtain a signature on the Disclosure Form. When he is better, the District will obtain his signature and upload the Disclosure form to the Case File.



# EXHIBIT J

**RESOLUTION NO. \_\_\_\_\_**

**A RESOLUTION OF THE BOARD OF  
COMMISSIONERS OF COLUMBIA/ADAIR UTILITIES  
DISTRICT AUTHORIZING AN APPLICATION TO THE  
KENTUCKY PUBLIC SERVICE COMMISSION FOR  
AUTHORITY TO ADJUST RATES FOR RETAIL SEWER  
SERVICE**

**WHEREAS**, Columbia/Adair Utilities District is a water district created pursuant to the provisions of KRS Chapter 74;

**WHEREAS**, pursuant to KRS 278.015, the Kentucky General Assembly has declared water districts to be public utilities and subject to the jurisdiction of the Kentucky Public Service Commission in the same manner and to the same extent as any other utility as defined in KRS 278.010;

**WHEREAS**, on September 4, 2020, in Case No. 2020-00148, the Kentucky Public Service Commission ordered Columbia/Adair Utilities District to file an application for rate adjustment within one year;

**WHEREAS**, in response to the Kentucky Public Service Commission's Order, Columbia/Adair Utilities District has retained Kentucky Engineering Group, PLLC to perform a study of retail sewer rates based upon Columbia/Adair Utilities District's operations for the calendar year ending December 31, 2020;

**WHEREAS**, Kentucky Engineering Group, PLLC has advised Columbia/Adair Utilities District, that using the ratemaking methodology that the Kentucky Public Service Commission has historically employed for water districts to establish the appropriate level of revenue from retail rates, Columbia/Adair Utilities District's present retail rates for water service are producing less than the level of revenue required to meet Columbia/Adair Utilities District's operating expenses, service its debt obligations and provide for adequate working capital and that an increase in Columbia/Adair Utilities District's current retail rates is necessary to obtain the appropriate level of revenue to ensure adequate and reliable service;

**WHEREAS**, Columbia/Adair Utilities District proposes to adjust its rates for retail sewer service to produce the appropriate level of revenue to ensure adequate and reliable service to persons within its territory and to reflect the actual cost of providing retail service;

**WHEREAS**, the schedule of rates attached to this Resolution as Exhibit A are the: retail rates that, based upon Columbia/Adair Utilities District's adjusted operations for the year ending December 31, 2020, will produce the appropriate level of revenue; and,

**WHEREAS**, KRS 278.180 and 807 KAR 5:076 require Columbia/Adair Utilities District to serve notice of its proposed rate adjustment on and to make application for rate adjustment to the Kentucky Public Service Commission;

**NOW, THEREFORE, IT IS HEREBY RESOLVED BY THE BOARD OF COMMISSIONERS OF COLUMBIA/ADAIR UTILITIES DISTRICT AS FOLLOWS:**

**Section 1.** The facts, recitals, and statements contained in the foregoing preamble of this Resolution are true and correct and are hereby affirmed and incorporated as a part of this Resolution.

**Section 2.** The Chairman is authorized and directed to take all actions reasonably necessary to apply to the Kentucky Public Service Commission, pursuant to 807 KAR 5:076, for authority to adjust Columbia/Adair Utilities District's rates for retail sewer service to those set forth in Exhibit A to this Resolution.

**Section 3.** The Chairman, or his designated agent, is hereby authorized and directed to execute an application to the Kentucky Public Service Commission necessary to obtain an adjustment of rates for retail sewer service.

**ADOPTED BY THE BOARD OF COMMISSIONERS OF COLUMBIA/ADAIR UTILITIES DISTRICT at a meeting held on August 25, 2021, signed by the Chairman, and attested by the Secretary.**

  
William Harris, Chairman

**ATTEST:**

  
Richard Grant, Secretary

# EXHIBIT K



COMPLIANCE WITH 807 KAR 5:076, SECTION 5

In accordance with 807 KAR 5:075 Section 5(2)(b), Columbia/Adair Utilities District aka Adair County Water District has caused the notice on the next page to be published in a prominent manner in the *Adair Progress*, a newspaper of general circulation in Columbia/Adair Utilities District's service area, once a week for three (3) consecutive weeks, beginning September 2, 2021.

In accordance with 807 KAR 5:076, Section 5(1)(a), Columbia/Adair Utilities District has posted at its office a copy of the notice on the next page as of September 2, 2021.

As of September 2, 2021, in accordance with 807 KAR 5:076, Section 5(1)(b), Columbia/Adair Utilities District has posted on its website a copy of the notice on the next page and a hyperlink to the location on the Public Service Commission's website where the case documents are available.

## NOTICE

The Kentucky Public Service Commission has ordered the Columbia/Adair Utilities District to file a rate adjustment application in Case No. 2020-00148 on September 4, 2020. To comply with this Order, on or about **September 2, 2021**, Columbia/Adair Utilities District will file an application with the Kentucky Public Service Commission for the purpose of adjusting its monthly rates for water service. This application will be filed pursuant to the procedures set forth in 807 KAR 5:076. Under those procedures, the proposed rates may not be placed into effect until the Kentucky Public Service Commission has issued an order approving the proposed rates or six (6) months from the date of the filing of the application, whichever occurs first.

The proposed rates are as follows:

SEWER				
	Present Rate	Proposed Rate	Change (\$)	Change (%)
No Usage	\$7.00 Minimum	\$8.20 Per 1,000 Gallons	\$1.20	17.09%
	\$7.20 Per 1,000 Gallons	\$8.43 Per 1,000 Gallons	\$1.23	17.09%

If the Public Service Commission approves the proposed sewer rate, the increase in a customer's monthly bill will be:

Meter Size	Average Usage (gals)	Monthly Bill Current Rate	Monthly Bill Proposed Rate
All Customers	5,299	\$45.15	\$52.87

The Kentucky Public Service Commission has established **Case No. 2021-00316** to review Columbia/Adair Utilities District's application. Any person may examine this application at Columbia/Adair Utilities District's office at 109 Grant Lane, Columbia, Kentucky or at the Kentucky Public Service Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky, Monday through Friday, 8:00 a.m. to 4:30 p.m., or through the Public Service Commission's Web site at <https://psc.ky.gov/Case/ViewCaseFilings/21-00316>.

Comments regarding the application may be submitted to the Kentucky Public Service Commission through the Commission's Web Site at <http://psc.ky.gov> or by e-mail to [psc.info@ky.gov](mailto:psc.info@ky.gov) or by mail to the Public Service Commission, P.O. Box 615, Frankfort, Kentucky 40602.

The rates contained in this notice are the rates proposed by Columbia/Adair Utilities District. However, the Kentucky Public Service Commission may order rates to be charged that differ from the proposed rates. Such action may result in rates for consumers other than the rates in this notice.

A person may submit a timely written request for intervention to the Kentucky Public Service Commission, P.O. Box 615, Frankfort, Kentucky 40602, establishing the grounds for the request including the person's status and interest. If the Kentucky Public Service Commission does not receive a written request for intervention within thirty (30) days of the initial publication of notice, it may take final action on the proposed rates.

Columbia/Adair Utilities District  
 First Publication Date: **September 2, 2021**