

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC INVESTIGATION INTO)
EXCESSIVE WATER LOSS BY KENTUCKY'S) CASE NO. 2019-00041
JURISDICTIONAL WATER UTILITIES)

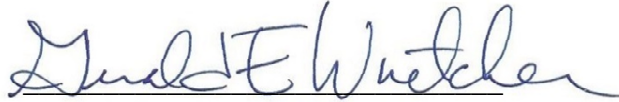
**NOTICE OF COMPLIANCE WITH THE COMMISSION'S ORDER
OF JULY 1, 2019**

Pursuant to the Commission's Order of July 1, 2019, Hyden-Leslie County Water District ("Hyden-Leslie District") files the following documents:

1. At Tab 1, the minutes of the meetings of Hyden-Leslie District's Board of Commissioners for the period from January 1, 2018 to May 31, 2019.
2. At Tab 2, Hyden-Leslie District's monthly water loss reports for the months of May and June of this year.
3. At Tab 3, a calculation of Hyden-Leslie District's excessive water loss cost. This calculation considers only the variable costs related to the production and transmission of water as set forth Hyden-Leslie District's financial and statistical report for calendar year 2018. Expenses that do not vary with the amount of water produced are not reflected in this calculation. Hyden-Leslie District assumes that, if its unaccounted-for water loss for 2018 had not exceeded 15 percent of total water production, the level of the non-variable expenses would have been the same as those actually incurred in 2018.

Dated: July 15, 2019

Respectfully submitted,



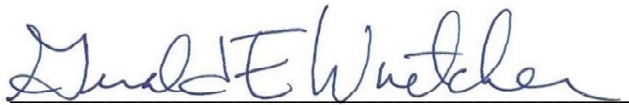
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Counsel Hyden-Leslie County Water District No. 1

CERTIFICATE OF SERVICE

In accordance with 807 KAR 5:001, Section 8, I certify that Hyden-Leslie County Water District's electronic filing of this Notice is a true and accurate copy of the same document being filed in paper medium; that the electronic filing was transmitted to the Public Service Commission on July 15, 2019; that there are currently no parties that the Public Service Commission has excused from participation by electronic means in this proceeding; and that on or before July 17, 2019 this Notice in paper medium will be delivered to the Public Service Commission.



TAB 1

Hyden-Leslie County Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on January 25, 2018 at 4:00pm, at the business office of the Hyden-Leslie County Water District located at 356 Wendover Road, Hyden , Kentucky.

1. Call to order: Meeting was called to order at 4:00 pm. All commissioners were present. Manager L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD was present
3. Public Comment: None
4. Approval of previous meeting minutes: Minutes were reviewed. Timothy Helton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. Motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard informed the board that the public meeting was held last week and the only items needed are the Eligibility Certification and a signed letter confirming the adoption of a conflict of interest policy. The Eligibility Certification Document was presented to the board and signed by Chairman Augustus Roberts.
6. Conflict of Interest Policy: The policy was reviewed by the board. A motion was made to adopt the policy by William T Horton. The motion was seconded by Timothy Helton. Motion was carried unanimously.
7. Water Line Relocation / Wooton HWY Bridge: Mr. Maggard informed the board that the contractor was only one joint from being finished and was waiting on the Water District to allow them to perform the tie in.

Pay request number 5 was presented for H2O construction for \$3,065.34. Timothy Helton made the motion to pay the request. Motion was seconded by William J Wooton. Motion carried unanimously.

Pay request number 3 was presented for SME in the amount of \$543.75. Motion was made to approve the request by William T Horton and seconded by William Wooton. Motion was carried unanimously.

Mr. Maggard stated that there were extra tie ins and other quantities added to the contract making it more expensive. He stated the state acknowledged the contractors increase but didn't increase the engineering fees. Because of this, he state told him he'd need to do a change order based on that amount. Mr. Maggard presented change order number 1 in the amount of \$14,198. He also stated this change order was at no cost to the district and would be completely state funded. William T Horton made the motion to approve the change order. The motion was seconded by Ronnie Gay. Motion carried unanimously.

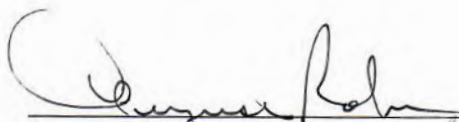
8. Hospital Hill System Improvements: Mr. Maggard said no new progress had been made but were expecting the pumps and tanks to arrive soon.

Pay request number 6 was presented for ASH MTN. in the amount of \$11,984.57. William Wooton made the motion to approve the request and was seconded by William T Horton. The motion was carried unanimously.

Pay request number 8 was presented for MSE in the amount of \$819.38. The motion to approve the request was made by William Wooton and seconded by William T Horton. Motion carried unanimously.


9. Financial Report: William T Horton made the motion to approve the financial report and was seconded by Ronnie Gay. The motion passed unanimously.
10. Telemetry Expenses: Manager L.J. Turner informed the board that C.I. Thornburg company had contacted him informing him that the Radios we currently use will soon be taken off the market. This could potentially cost the district up to \$75,000. He informed the board that he would be looking at other options for telemetry and would present them to the board at a later date. Jennifer McIntosh said that she'd been informed that the commissioner has some ARC money that we may be able to get to do telemetry work.
11. Other New Business: Documents were presented from Ruben and Hayes to be signed to complete the refinancing of the KRWFC Loan. The loan documents were signed by Chairman Roberts and Secretary Horton.
12. Executive Session: Motion was made to enter executive session to discuss personnel policies by William T Horton and seconded by Ronnie Gay. Motion carried unanimously. Motion was made by Augustus Roberts to enter into open session and seconded by William Wooton. Motion was carried unanimously.
13. Motion was made to adjourn by William T Horton and seconded by Timothy Helton. Motion was carried unanimously.

Approval of Minutes:



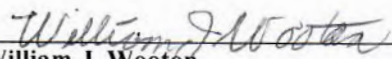
Augustus Roberts, Chairman

2-22-18
Date



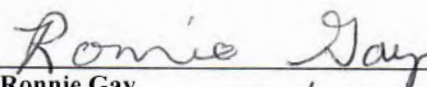
Timothy Helton

2-22-18
Date



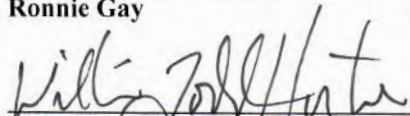
William J. Wooton

2-22-18
Date



Ronnie Gay

2-22-18
Date



William Todd Horton, Secretary/Treasurer

2/22/18
Date

**WATER AND WASTE
ELIGIBILITY CERTIFICATION**

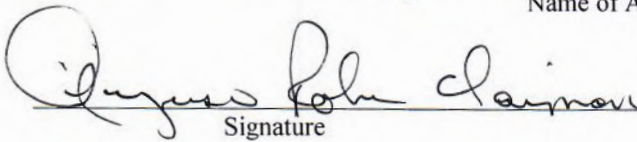
Certification for commercial credit and outstanding judgments

The undersigned certifies, to the best of their knowledge and belief, that:

1. The organization is unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms.
2. No outstanding judgment has been obtained and recorded by the United States of America in a Federal Court (other than in the United States Tax Court).

Hyden - Leslie County Water District
Name of Organization

Augustus Roberts
Name of Authorized Official


Signature

01-25-18
Date

Hyden-Leslie County Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager

December 27, 2017

Mr. Barry Turner
USDA Rural Development
100 Fortress Properties Street, Suite 3
London, KY 40741

RE: Conflict of Interest – Hyden-Leslie County Water District – WTP/Dam Repair

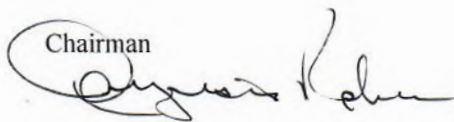
Dear Mr. Turner:

The Hyden-Leslie County Water District certifies that it has an up-to-date written policy on conflict of interest.

The District does not have any planned or potential transactions related to the use of Federal funds that may constitute or present the appearance of personal or organizational conflict of interest.

Thank you for your attention to this matter. If you have any questions please do not hesitate to contact me.

Sincerely,

Chairman


**Hyden-Leslie County Water District
Conflict of Interest Policy – WTP/Dam Repair Project**

**Article I
Purpose**

The purpose of the conflict of interest policy is to protect this tax-exempt organization's (Organization) interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or director of the Organization or might result in a possible excess benefit transaction. This policy is intended to supplement but not replace any applicable state and federal laws governing conflict of interest applicable to nonprofit and charitable organizations.

**Article II
Definitions**

1. Interested Person

Any director, principal officer, or member of a committee with governing board delegated powers, who has a direct or indirect financial interest, as defined below, is an interested person.

2. Financial Interest

A person has a financial interest if the person has, directly or indirectly, through business, investment, or family:

- a. An ownership or investment interest in any entity with which the Organization has a transaction or arrangement,
- b. A compensation arrangement with the Organization or with any entity or individual with which the Organization has a transaction or arrangement, or
- c. A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Organization is negotiating a transaction or arrangement.

Compensation includes direct and indirect remuneration as well as gifts or favors that are not insubstantial.

A financial interest is not necessarily a conflict of interest. Under Article III, Section 2, a person who has a financial interest may have a conflict of interest only if the appropriate governing board or committee decides that a conflict of interest exists.

Article III Procedures

1. Duty to Disclose

In connection with any actual or possible conflict of interest, an interested person must disclose the existence of the financial interest and be given the opportunity to disclose all material facts to the directors and members of committees with governing board delegated powers considering the proposed transaction or arrangement.

2. Determining Whether a Conflict of Interest Exists

After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the governing board or committee meeting while the determination of a conflict of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists.

3. Procedures for Addressing the Conflict of Interest

a. An interested person may make a presentation at the governing board or committee meeting, but after the presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement involving the possible conflict of interest.

b. The chairperson of the governing board or committee shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

c. After exercising due diligence, the governing board or committee shall determine whether the Organization can obtain with reasonable efforts a more advantageous transaction or arrangement from a person or entity that would not give rise to a conflict of interest.

d. If a more advantageous transaction or arrangement is not reasonably possible under circumstances not producing a conflict of interest, the governing board or committee shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in the Organization's best interest, for its own benefit, and whether it is fair and reasonable. In conformity with the above determination it shall make its decision as to whether to enter into the transaction or arrangement.

4. Violations of the Conflicts of Interest Policy

a. If the governing board or committee has reasonable cause to believe a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.

b. If, after hearing the member's response and after making further investigation as warranted by the circumstances, the governing board or committee determines the member has failed to disclose an actual or

possible conflict of interest, it shall take appropriate disciplinary and corrective action.

Article IV Records of Proceedings

The minutes of the governing board and all committees with board delegated powers shall contain:

- a.** The names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the governing board's or committee's decision as to whether a conflict of interest in fact existed.
- b.** The names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection with the proceedings.

Article V Compensation

- a.** A voting member of the governing board who receives compensation, directly or indirectly, from the Organization for services is precluded from voting on matters pertaining to that member's compensation.
- b.** A voting member of any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Organization for services is precluded from voting on matters pertaining to that member's compensation.
- c.** No voting member of the governing board or any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Organization, either individually or collectively, is prohibited from providing information to any committee regarding compensation.

Article VI Annual Statements

Each director, principal officer and member of a committee with governing board delegated powers shall annually sign a statement which affirms such person:

- a.** Has received a copy of the conflicts of interest policy,
- b.** Has read and understands the policy,
- c.** Has agreed to comply with the policy, and
- d.** Understands the Organization is charitable and in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

**Article VII
Periodic Reviews**

To ensure the Organization operates in a manner consistent with charitable purposes and does not engage in activities that could jeopardize its tax-exempt status, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

- a. Whether compensation arrangements and benefits are reasonable, based on competent survey information, and the result of arm's length bargaining.
- b. Whether partnerships, joint ventures, and arrangements with management organizations conform to the Organization's written policies, are properly recorded, reflect reasonable investment or payments for goods and services, further charitable purposes and do not result in inurement, impermissible private benefit or in an excess benefit transaction.

**Article VIII
Use of Outside Experts**

When conducting the periodic reviews as provided for in Article VII, the Organization may, but need not, use outside advisors. If outside experts are used, their use shall not relieve the governing board of its responsibility for ensuring periodic reviews are conducted.

**Hyden - Leslie County Water District
 January 25, 2018 Meeting
 Monthly Contractor expenditures**

Hospital Hill Project				
Contractor	Invoice #	Amount	Budget	Funds Remaining
Ash Mountain	6	\$11,984.57	\$287,603.46	\$23,554.62
SME	17006 - 8	\$819.38	\$57,150.00	\$2,458.12
Total		\$12,803.95	\$344,753.46	\$26,012.74

KV 80 Wooton Bridge Relocate

Contractor	Invoice #	Amount	Budget	Funds Remaining
H2O Const.	5	\$3,065.34	\$99,787.00	\$40,987.76
SME	3	\$543.75	\$16,620.00	\$751.25
Total		\$3,609.09	\$116,407.00	\$41,739.01

PERIODIC ESTIMATE

ESTIMATE NO 6
 PERIOD: From December 30, 2017 To January 24, 2018

PROJECT NO: 17006

CONTRACTOR: ASH MOUNTAIN, INC.
110 North First Street Suite 203 (P.O. Box 982)
HARLAN, KY 40631

2 SCHEDULE OF CONTRACT CHANGE ORDERS:

NO	DATE	DESCRIPTION	TOTAL ADD	TOTAL DEDUCT	TOTAL C.O.	COMPLETED TO DATE
TOTALS			<u>\$0.00</u>	<u>\$0.00</u>		<u>\$0.00</u>

3 ANALYSIS OF ADJUSTED CONTRACT AMOUNT TO DATE:

A	ORIGINAL CONTRACT AMOUNT	\$397,795.53
B	PLUS ADDITIONS SCHEDULED ABOVE	
C	LESS DEDUCTIONS SCHEDULED ABOVE	
	ADJUSTED CONTRACT AMOUNT TO DATE	<u>\$397,795.53</u>

4 ANALYSIS OF WORK PERFORMED

A	COST OF ORIGINAL CONTRACT WORK PERFORMED TO DATE	\$0.00
B	EXTRA WORK PERFORMED TO DATE (CHANGE ORDERS)	
C	TOTAL COST OF WORK PERFORMED TO DATE	<u>\$277,946.15</u>
D	ADD MATERIALS STORED AT CLOSE OF THIS PERIOD	
E	TOTAL COST OF WORK PERFORMED AND MATERIAL STORED TO DATE	<u>\$277,946.15</u>
F	LESS AMOUNT RETAINED IN ACCORDANCE WITH CONTRACT (5 %)	<u>\$13,897.31</u>
G	NET AMOUNT EARNED ON CONTRACT WORK TO DATE	<u>\$264,048.84</u>
H	LESS AMOUNT OF PREVIOUS PAYMENTS	<u>\$252,064.27</u>
I	<u>BALANCE DUE THIS PAYMENT</u>	<u>\$11,984.57</u>

ITEM	PAGE	WATERLINE - SECTION "A" DESCRIPTION OF ITEM	EST. QTY	UNITS	UNIT COST	TOTAL COST	FROM	PERIOD		TOTAL QTY.	TOTAL COST TO DATE	COST OF UNCOMPLETED WORK	COST OF COMPLETED WORK	%
							PERIOD	PERIOD	PERIOD					
1		6" W.L. CL. 250 PVC	3000	LF	\$11.00	33,000.00				2,069	\$ 22,756.00	\$ 10,244.00	\$ 22,756.00	89%
2		3" W.L. CL. 250 PVC	100%	LF	\$9.00	27,000.00				3,462	\$ 31,623.00	\$ (4,623.00)	\$ 22,756.00	1.18%
3		2" CL. 200 YELLOW MINE PVC W.L. (LABOR ONLY)	3,000	LF	\$5.00	15,000.00				986	\$ 4,930.00	\$ 10,500.00	\$ 4,930.00	32%
4		1" W.L. CL. 200 PVC	500	LF	\$4.36	2,180.00				1,238	\$ 5,397.68	\$ (3,217.68)	\$ 4,930.00	246%
5		3/4" W.L. CL. 200 Polyethylene Service Line	200	LF	\$4.20	840.00				1,805	\$ 7,661.00	\$ (6,741.00)	\$ 5,397.68	903%
6		6" GATE VALVE	2	EA	\$1,300.00	2,600.00				3	\$ 3,900.00	\$ (1,300.00)	\$ 3,900.00	150%
7		6" GATE VALVE	2	EA	\$875.00	1,750.00				5	\$ 4,375.00	\$ (2,625.00)	\$ 4,375.00	250%
8		BLOWOFF ASSEMBLY VALVE	2	EA	\$2,300.00	4,600.00				1	\$ 2,300.00	\$ 2,300.00	\$ 2,300.00	50%
9		FLUSH HYDRANTS	2	EA	\$4,100.00	8,200.00				1	\$ 4,100.00	\$ 4,100.00	\$ 4,100.00	50%
10		PAVEMENT SURFACE REPLACEMENT HEAVY DUTY	250	SY	\$3.00	750.00				1	\$ 750.00	\$ 750.00	\$ 750.00	0%
11		GRAVEL SURFACE REPLACEMENT	100	SY	\$2.00	200.00				1	\$ 1,042.18	\$ (842.18)	\$ 1,042.18	521%
12		The New 6" to Existing 6" W/WEI TAP	2	EA	\$2,367.60	4,735.20				1	\$ 2,367.60	\$ 2,367.60	\$ 2,367.60	50%
13		The New 3" to Existing 3" W/WEI TAP	1	EA	\$750.00	750.00				2	\$ 1,500.00	\$ 1,500.00	\$ 1,500.00	200%
14		The New 3" to Existing 2" W/WEI TAP	1	EA	\$750.00	750.00				50	\$ 13,500.00	\$ (12,750.00)	\$ 13,500.00	192%
15		Meters to Reconnect	26	EA	\$270.00	7,020.00						\$ 4,500.00	\$ 4,500.00	0%
16		OPEN CUT Street Casing	100	EA	\$45.00	4,500.00						\$ 200.00	\$ 200.00	0%
17		Fiberlass Meters	8	EA	\$25.00	200.00						\$ 58,414.31	\$ 58,414.31	0%
18		5,000 GALL. ON STORAGE TANK	1	LS	\$58,414.31	58,414.31				0.89	\$ 61,038.62	\$ 61,038.62	\$ 61,038.62	89%
19		PUMP STATION	1	LS	\$89,583.95	89,583.95						\$ 43,000.00	\$ 43,000.00	0%
20		TELEMETRY	1	LS	\$43,000.00	43,000.00						\$ 2,400.32	\$ 2,400.32	100%
21		CONCRETE ENCASUREMENT	104	SY	\$23.08	2,400.32				104.00	\$ 2,400.32	\$ 2,400.32	\$ 2,400.32	100%
22		4" G- 800 PVC Waterline	240	IF	\$10.50	2,520.00				340	\$ 3,570.00	\$ (1,050.00)	\$ 3,570.00	142%
23		4" GATE VALVE	1	EA	\$1,150.00	1,150.00				4	\$ 4,600.00	\$ (3,450.00)	\$ 4,600.00	400%
24		6" G- 800 PVC Waterline (WB MUNICIY LABOR ONLY)	765	IF	\$12.05	9,207.75				765	\$ 9,207.75	\$ 9,207.75	\$ 9,207.75	100%
25		6" G- 800 PVC Waterline (material)	160	IF	\$12.05	1,928.00				160	\$ 1,928.00	\$ 1,928.00	\$ 1,928.00	100%
26		RIP RAP INSTALLED	45	SY	\$45.00	2,025.00				15.00	\$ 675.00	\$ (1,350.00)	\$ 675.00	33%
27		VAID HYDRANT	1	EA	\$650.00	650.00				1	\$ 650.00	\$ 650.00	\$ 650.00	100%
28		Pump Station Durable Iron Pipe Bypass	1	LS	\$3,546.34	3,546.34				1	\$ 3,546.34	\$ 3,546.34	\$ 3,546.34	100%
29		BLADDER TANK BUILDING	1	LS	\$2,000.00	2,000.00				0.08	\$ 4,980.00	\$ 5,980.00	\$ 5,980.00	81%
30		RELOCATE RECONNECT FH	1	EA	\$2,100.00	2,100.00				1	\$ 2,100.00	\$ 2,100.00	\$ 2,100.00	100%
31		RECONNECT BEECHWOOD APARTMENTS FLUSH MASTER METER	1	LS	\$2,000.00	2,000.00				1	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00	100%
32		2" GATE VALVE	1	EA	\$875.00	875.00				2	\$ 1,350.00	\$ (475.00)	\$ 1,350.00	200%
33		Hickory Street Asphalt Paving	85	BN	\$88.20	7,497.21				85	\$ 7,547.21	\$ 7,547.21	\$ 7,547.21	100%
34		Water Meter to relocate	1	EA	\$550.00	550.00				1	\$ 550.00	\$ 550.00	\$ 550.00	100%
35		The n at Bladder Tank Building	1	EA	\$2,387.80	2,387.80				1	\$ 2,387.80	\$ 2,387.80	\$ 2,387.80	100%
36		The n at Pump Station Building	1	EA	\$2,387.80	2,387.80				1	\$ 2,387.80	\$ 2,387.80	\$ 2,387.80	100%
37		6" C- 900 PVC Waterline IN STREET	942	IF	\$17.50	11,285.00				942	\$ 11,285.00	\$ 11,285.00	\$ 11,285.00	100%
TOTAL						\$ 387,795.83	\$ 12,615.33	\$ 277,946.15	\$ 119,846.38	\$ 277,946.15	70%			

ELAPSED TIME FROM NOTICE TO PROCEED 74 Days =
 PERCENTAGE OF WORK COMPLETED TO DATE = 82.22%
 74 DAYS OUT OF 90 TOTAL DAYS = 82.22%

PERIODIC ESTIMATE

ESTIMATE NO: 6 (six)
FROM: 12/30/17 TO: 1/24/18

5 CERTIFICATION OF CONTRACTOR:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, I CERTIFY THAT ALL ITEMS AND AMOUNTS SHOWN ON THE FACE OF THIS PERIODIC ESTIMATE FOR PARTIAL PAYMENT ARE CORRECT. THAT ALL WORK HAS BEEN PERFORMED AND/ OR MATERIAL SUPPLIED IN FULL ACCORDANCE WITH THE REQUIREMENTS OF THE REFERENCED CONTRACT, AND/OR DULY AUTHORIZED DEVIATIONS, SUBSTITUTIONS, ALTERATIONS, AND/OR ADDITIONS, THAT THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE CONTRACT ACCOUNT UP TO AND INCLUDING THE LAST DAY OF THE PERIOD COVERED BY THIS PERIODIC ESTIMATE; THAT NO PART OF THE 'BALANCE DUE THIS PAYMENT' HAS BEEN RECEIVED, AND THAT THE UNDERSIGNED AND HIS SUBCONTRACTORS HAVE (CHECK APPLICABLE LINE):

- A COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT
- B COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT EXCEPT IN THOSE INSTANCES WHERE AN HONEST DISPUTE EXISTS WITH RESPECT TO SAID LABOR PROVISIONS (IF 'B' IS CHECKED, BRIEFLY DESCRIBE NATURE OF DISPUTE.)

CONTRACTOR: ASH MOUNTAIN, INC.
P.O. 982
HARLAN, Ky. 40631

APPROVED BY: _____ TITLE: PRESIDENT DATE: 1/4/2018

6 CERTIFICATION OF ENGINEER

I CERTIFY THAT I HAVE CHECKED AND VERIFIED THE ABOVE AND FOREGOING PERIODIC ESTIMATE FOR PARTIAL PAYMENT; THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF IT IS A TRUE AND CORRECT STATEMENT OF WORK PERFORMED AND/OR MATERIAL SUPPLIED BY THE CONTRACTOR; THAT ALL WORK AND/OR MATERIAL INCLUDED IN THIS PERIODIC ESTIMATE HAS BEEN INSPECTED BY ME AND/OR MY DULY AUTHORIZED REPRESENTATIVE OR ASSISTANTS AND THAT IS HAS BEEN PERFORMED AND/OR SUPPLIED IN FULL ACCORDANCE WITH REQUIREMENTS OF THE REFERENCED CONTRACT; AND THAT PARTIAL PAYMENT CLAIMED AND REQUESTED BY THE CONTRACTOR IS CORRECTLY COMPUTED ON THE BASIS OF WORK PERFORMED AND/OR MATERIAL SUPPLIED TO DATE.

ENGINEER: SISLER-MAGGARD ENGINEERING, PLLC
220 EAST REYNOLDS ROAD / SUITE A3
LEXINGTON, KY 40517

APPROVED BY: _____ TITLE: _____ DATE: 1/1/18

Michael K. Maggard TITLE: V.P.
ENGINEER

DATE: 1/25/18

7 APPROVAL BY OWNER: HYDEN - LESLIE COUNTY WATER DISTRICT

APPROVED BY: _____ DATE: 1/1/18

Dennis Kober

PERIODIC ESTIMATE

ESTIMATE NO 6
PERIOD : FROM 12/30/2017 TO 1/24/2018

FORM OF WAIVER AND RELEASE OF LIEN

TO ALL WHOM IT MAY CONCERN

WHEREAS, the undersigned has performed or furnished, is performing, or furnishing, or will perform or furnish labor or material, fuel, equipment, equipment, tools, etc. in connection with the construction of

HOSPITAL HILL WATERLINE REPAIR AND REPLACEMENT

for HYDEN - LESLIE COUNTY WATER DISTRICT
at HYDEN, KY 41749

NOW, THEREFORE, THESE PRESENTS WITNESS, that the undersigned, for a good and valuable consideration to the undersigned will and truly paid at ofr before the signing and delivery hereof, the receipt whereof is hereby acknowledged, does hereby waive, release and relinquish any and all claims, liens and rights and claimsof liens which the undersigned now has, or may hereafter have, on or against the said premises and the building, plant equipment and machinery of their Owner:

HYDEN - LESLIE COUNTY DISTRICT

or on or against

HYDEN - LESLIE COUNTY DISTRICT

on account of labor performed or to be performed or material, fuel, equipment, tools, etc furnished or to be furnished by the undersigned for use in connection with the construction and erection of said project; so that

HYDEN - LESLIE COUNTY DISTRICT

its successors and assigns, shall and may have, hold and enjoy the same, freed and discharged from all liens, claims, and demands whatsoever which the undersigned now has or might or could have if these presents had not been made.

IN WITNESS WHEREOF, the undersigned has hereunto set his hand and seal this
24 th day of JANUARY, 2018.

ASH MOUNTAIN, INC.

By: _____
SIGNATURE OF OFFICER OR PARTNER

Member
TITLE OF OFFICER

WITNESS: _____

Hyden-Leslie Water District
Operation & Maintenance Fund
PO Box 906
Hyden, KY 41749

517
421

26877


DATE 2-2-18

PAY TO THE
ORDER OF

Ash Mountain Spc.

\$ 11,984.57

Eleven thousand nine hundred eighty four and 57/100

DOLLARS  Security features included. Details on back.



MEMO

#17006 Est. #6

Dequed Baker

[Signature]



HYDEN-LESLIE COUNTY WATER DISTRICT

Payee Name Ash Mountain Inc. Company _____

Amount \$ 11984.57 Check Date 2-2-18 Check No. 26877

Ledger No 1595 Date Materials or Services Received _____


Prepared By A.B. Date Prepared 1-30-18

Description of Materials or Services Purchased (Tape small invoices on back)

Control Checklist

- 1. Evidence of receipt of goods attached? _____
- 2. Correct prices or rate charged? _____
- 3. Other purchase documents attached? _____
- 4. Disbursement properly classified in Ledger? _____
- 5. If equipment purchase, make copy for fixed asset file. _____

Final Check Authorization

Signature 

2-2-18

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: January 25, 2018
INVOICE NO. 17006-8
Sheet 1 of 2

SERVICE FOR:
HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749

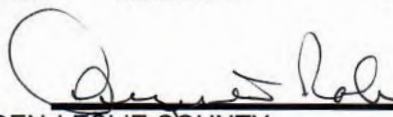
JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
Hospital Hill Water Line Repair and Replacement

CONTRACT:

DESCRIPTION OF WORK
EXHIBIT C: BASIC SERVICES
ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Plans & Specs					
A. Final Design	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
TOTAL	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
TOTAL FOR BASIC DESIGN	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
Phase B Bid Proposal					
A. Bidding and Negotiating	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
TOTAL	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
TOTAL FOR BIDDING	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
Phase C Right of Way Easements					
A. Right of Way	\$3,000.00	100.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL FOR RIGHT OF WAY	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00



 HYDEN LESLIE COUNTY WATER DISTRICT DATE

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: January 25, 2018
INVOICE NO. 17006-8
Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Hospital Hill Water Line Repair and Replacement

CONTRACT:

DESCRIPTION OF WORK

Phase D Construction admin

A. Construction Admin	\$7,125.00	92.50%	\$6,590.63	\$6,412.50	\$178.13
TOTAL	<u>\$7,125.00</u>	<u>92.50%</u>	<u>\$6,590.63</u>	<u>\$6,412.50</u>	<u>\$178.13</u>

TOTAL FOR CONSTRUCTION ADM	\$7,125.00	92.50%	\$6,590.63	\$6,412.50	<u>\$178.13</u>
----------------------------	------------	--------	------------	------------	-----------------

Phase E Construction Insp

A. Construction Insp	\$25,650.00	92.50%	\$23,726.25	\$23,085.00	\$641.25
TOTAL	<u>\$25,650.00</u>	<u>92.50%</u>	<u>\$23,726.25</u>	<u>\$23,085.00</u>	<u>\$641.25</u>

TOTAL FOR CONSTRUCTION INSP	\$25,650.00	92.50%	\$23,726.25	\$23,085.00	<u>\$641.25</u>
-----------------------------	-------------	--------	-------------	-------------	-----------------

TOTAL CONTRACT PHASE II	<u>\$57,150.00</u>	<u>95.70%</u>	<u>\$54,691.88</u>	<u>\$34,997.50</u>	<u>\$819.38</u>
-------------------------	--------------------	---------------	--------------------	--------------------	-----------------

INVOICES NOT PAID					<u>\$0.00</u>
-------------------	--	--	--	--	---------------

TOTAL NOW DUE					\$819.38
---------------	--	--	--	--	----------

Hyden-Leslie Water District
Operation & Maintenance Fund
PO Box 906
Hyden, KY 41749

517
421


26878

DATE 2-2-18

PAY TO THE
ORDER OF

Sisler-Magyard Engineering
Eight hundred ninety and 38/100

\$ 819.38

DOLLARS  Security features included. Details on back.



2302 MAIN STREET • HYDEN, KY 41749
(606) 772-3249 • Member FDIC

MEMO _____

David Holm

[REDACTED]

Tracy [Signature]

HYDEN-LESLIE COUNTY WATER DISTRICT

Payee Name SME LLC Company _____

Amount \$ 819.38 Check Date 2-2-18 Check No 26878

Ledger No 1596 Date Materials or Services Received _____

Prepared By A.B. Date Prepared 1-30-18

Description of Materials or Services Purchased (Tape small invoices on back)

Hospital Hill

Control Checklist

- 1. Evidence of receipt of goods attached? _____
- 2. Correct prices or rate charged? _____
- 3. Other purchase documents attached? _____
- 4. Disbursement properly classified in Ledger? _____
- 5. If equipment purchase, make copy for fixed asset file. _____

Final Check Authorization

[Signature]
Signature



**Kentucky Transportation Cabinet
Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES**

INSTRUCTIONS

- Company shall **fully** complete this form.
- Submit this fully completed form, Company invoice and documentation of charges in **triplicate**.
- Final invoicing is to be submitted within 1 year of completion of work, per agreement and statute, to be considered for payment.
- Records of invoiced work are to be retained, as defined in the referenced agreement, and may be subject to audit.
- **MAIL TO:** Highway Chief District Engineer ATTN: District Utility Agent (**find at:** <http://transportation.ky.gov/district.htm>)

GENERAL ROAD PROJECT INFORMATION *(This section is as defined in the Agreement as executed)*

Location / Description:	Fiscal:
County (if more than one, use page 2): <u>Leslie</u>	Federal Number (if applicable): _____
Route/Road Name: <u>KY 80 Bridge</u>	State Number: _____
Project Description: <u>New Bridge over Cutshin Creek</u>	Item or AAR-DOT Number: _____

COMPANY INFORMATION

Company Name: Hyden - Leslie County Water District
Company Contact Name: LJ Turner
Company Invoice Number (if applicable): 5

Company Address (as identified in the Agreement):
P.O. Box 906

Hyden, KY 41749

DATE INVOICED WORK BEGAN (not to precede State Letter date): 11/23/2017 **DATE INVOICED WORK COMPLETED:**
1/24/2018

(Enter all dates using m/d/yyyy format)

INVOICING INFORMATION:

PARTIAL **FINAL** **BILL NO.** 5

AMOUNT OF THIS BILL	<u>\$3065.34</u>
TOTAL AMOUNT OF PREVIOUS BILLS	<u>\$55733.90</u>
TOTAL BILLS TO DATE	<u>\$58799.24</u>

Agreement Start Date :	<u>8/1/2016</u>
Purchase Order Number:	_____
Statute/Agreement Type:	KRS 177.035 <u>Keep Cost</u>
Agreement/PRDO Amount:	<u>\$99787.00</u>
Change Orders:	#1 <u>\$0.00</u>
	#2 \$ _____
	(For additional orders, use page 2) #3 \$ _____
	TOTAL FROM PG 2 <u>\$0.00</u>
TOTAL:	<u>\$99787.00</u>

COMPANY CERTIFICATION:

I certify, to the extent applicable: the attached invoice is a true statement of costs incurred by our Company in constructing the most economical type of facilities in the new location as will satisfactorily meet the same service requirements as the old facilities in the old location on subject project; all materials for which we seek reimbursement adhere to federal Buy America provisions as required; and all costs listed are eligible for payment by KYTC.

Signature: [Signature]
 Title: Chairman
 Date: 1/25/18

FOR CABINET USE ONLY:

Checked: District Utility Agent	_____	Date	_____
Recommended/Approved: Chief District Engineer	_____	Date	_____
Approved: Director, Division of Right of Way & Utilities	_____	Date	_____



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADDT'L CHANGE ORDERS:	#4	\$ _____
	#5	\$ _____
	#6	\$ _____
	#7	\$ _____
	#8	\$ _____
	#9	\$ _____
	#10	\$ _____
	#11	\$ _____
	#12	\$ _____
	#13	\$ _____
	#14	\$ _____
	#15	\$ _____
TOTAL PAGE 2		<u>\$0.00</u>

(automatically transfers to page 1)

NOTE: If the amount of this bill applies to more than one county the correct distribution **MUST** be shown on the following lines.

COUNTY:	ACTUAL AMOUNT:	PERCENTAGE (if applicable):
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

**** This section is only needed if any information is entered on page 2 ****

COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



PERIODIC ESTIMATE

ESTIMATE NO 5
PERIOD: From November 23, 2017 To January 24, 2018

PROJECT NO: 15045

CONTRACTOR: H2O CONSTRUCTION
240 MARE CREEK
STANVILLE, KY 41659

2 SCHEDULE OF CONTRACT CHANGE ORDERS:

NO	DATE	DESCRIPTION	TOTAL ADD	TOTAL DEDUCT	TOTAL C.O.	COMPLETED TO DATE
TOTALS			\$0.00	\$0.00		\$0.00

3 ANALYSIS OF ADJUSTED CONTRACT AMOUNT TO DATE:

A	ORIGINAL CONTRACT AMOUNT	\$99,787.00
B	PLUS ADDITIONS SCHEDULED ABOVE	
C	LESS DEDUCTIONS SCHEDULED ABOVE	
ADJUSTED CONTRACT AMOUNT TO DATE		\$99,787.00

4 ANALYSIS OF WORK PERFORMED

A	COST OF ORIGINAL CONTRACT WORK PERFORMED TO DATE	\$0.00
B	EXTRA WORK PERFORMED TO DATE (CHANGE ORDERS)	
C	TOTAL COST OF WORK PERFORMED TO DATE	\$61,893.93
D	ADD MATERIALS STORED AT CLOSE OF THIS PERIOD	
E	TOTAL COST OF WORK PERFORMED AND MATERIAL STORED TO DATE	\$61,893.93
F	LESS AMOUNT RETAINED IN ACCORDANCE WITH CONTRACT (5 %)	\$3,094.70
G	NET AMOUNT EARNED ON CONTRACT WORK TO DATE	\$58,799.24
H	LESS AMOUNT OF PREVIOUS PAYMENTS	\$55,733.90
I	<u>BALANCE DUE THIS PAYMENT</u>	<u>\$3,065.34</u>

PERIODIC ESTIMATE

ESTIMATE NO: 5 (five)
FROM: 11/23/17 TO: 1/24/18

5 CERTIFICATION OF CONTRACTOR:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, I CERTIFY THAT ALL ITEMS AND AMOUNTS SHOWN ON THE FACE OF THIS PERIODIC ESTIMATE FOR PARTIAL PAYMENT ARE CORRECT. THAT ALL WORK HAS BEEN PERFORMED AND/ OR MATERIAL SUPPLIED IN FULL ACCORDANCE WITH THE REQUIREMENTS OF THE REFERENCED CONTRACT, AND/OR DULY AUTHORIZED DEVIATIONS, SUBSTITUTIONS, ALTERATIONS, AND/OR ADDITIONS, THAT THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE CONTRACT ACCOUNT UP TO AND INCLUDING THE LAST DAY OF THE PERIOD COVERED BY THIS PERIODIC ESTIMATE; THAT NO PART OF THE 'BALANCE DUE THIS PAYMENT' HAS BEEN RECEIVED, AND THAT THE UNDERSIGNED AND HIS SUBCONTRACTORS HAVE (CHECK APPLICABLE LINE):

- A COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT
- B COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT EXCEPT IN THOSE INSTANCES WHERE AN HONEST DISPUTE EXISTS WITH RESPECT TO SAID LABOR PROVISIONS (IF 'B' IS CHECKED, BRIEFLY DESCRIBE NATURE OF DISPUTE.)

CONTRACTOR: H2O CONSTRUCTION
240 MARE CREEK
STANVILLE, KY 41659

APPROVED BY: _____ TITLE: PRESIDENT DATE: 1/25/2018

6 CERTIFICATION OF ENGINEER

I CERTIFY THAT I HAVE CHECKED AND VERIFIED THE ABOVE AND FOREGOING PERIODIC ESTIMATE FOR PARTIAL PAYMENT; THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF IT IS A TRUE AND CORRECT STATEMENT OF WORK PERFORMED AND/OR MATERIAL SUPPLIED BY THE CONTRACTOR; THAT ALL WORK AND/OR MATERIAL INCLUDED IN THIS PERIODIC ESTIMATE HAS BEEN INSPECTED BY ME AND/OR MY DULY AUTHORIZED REPRESENTATIVE OR ASSISTANTS AND THAT IS HAS BEEN PERFORMED AND/OR SUPPLIED IN FULL ACCORDANCE WITH REQUIREMENTS OF THE REFERENCED CONTRACT; AND THAT PARTIAL PAYMENT CLAIMED AND REQUESTED BY THE CONTRACTOR IS CORRECTLY COMPUTED ON THE BASIS OF WORK PERFORMED AND/OR MATERIAL SUPPLIED TO DATE.

ENGINEER: SISLER-MAGGARD ENGINEERING, PLLC
220 EAST REYNOLDS ROAD / SUITE A3
LEXINGTON, KY 40517

APPROVED BY: _____ TITLE: _____ DATE: 1/1/18

Michael K. Maggard TITLE: V.P. DATE: 1/25/18
ENGINEER

7 APPROVAL BY OWNER: HYDEN - LESLIE COUNTY WATER DISTRICT

APPROVED BY: Douglas Kohn DATE: 1/1/18

THE BACKGROUND OF THIS DOCUMENT IS BLUE WITH A GREEN WARNING BAND. THERE IS A SECURITY MARK ON THE BACK. DO NOT ACCEPT IF THIS IS NOT THE CASE.



JPMorgan
Chase Bank, N.A.
Louisville, KY

COMMONWEALTH OF KENTUCKY
DEPARTMENT OF THE TREASURY
Frankfort, Kentucky

21-13
830

Check Number
GA 21996057

Date: 03/13/2018

THREE THOUSAND, SIXTY FIVE DOLLARS AND THIRTY FOUR CENTS

Pay to the
Order of

HYDEN LESLIE CO WATER
PO BOX 906
HYDEN KY 41749

Amount

\$*****3,065.34

Alison Ball

State Treasurer



HYDEN LESLIE CO. WATER DIST.
2004 WATER LINE EXTENSION
325 WENDOVER RD.
HYDEN, KY 41749

73-517/421
3028607

255

DATE 3-21-18

PAY TO THE ORDER OF H2O Construction \$ 3045.34
Three thousand sixty five and 34/100 DOLLARS

H HYDEN
CITIZENS
CB BANK
Main Street • Hyden
678-2544 • Member FDIC

MEMO

See estimate #5

W. J. Hatcher

[Signature]

Security Features
Included
Details on Back

MP

SPECIALTY LEMON



**Kentucky Transportation Cabinet
Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES**

INSTRUCTIONS

- Company shall **fully** complete this form.
- Submit this fully completed form, Company invoice and documentation of charges in **triplicate**.
- Final invoicing is to be submitted within 1 year of completion of work, per agreement and statute, to be considered for payment.
- Records of invoiced work are to be retained, as defined in the referenced agreement, and may be subject to audit.
- **MAIL TO:** Highway Chief District Engineer ATTN: District Utility Agent (**find at:** <http://transportation.ky.gov/district.htm>)

GENERAL ROAD PROJECT INFORMATION *(This section is as defined in the Agreement as executed)*

Location / Description:

County (if more than one, use page 2): Leslie
Route/Road Name: KY 80/KY 699
Project Description: Bridge Replacement over Cutshin Creek

Fiscal:

Federal Number (if applicable): _____
State Number: _____
Item or AAR-DOT Number: _____

COMPANY INFORMATION

Company Name: Hyden - Leslie County Water District
Company Contact Name: Larry J Turner
Company Invoice Number (if applicable): 3

Company Address (as identified in the Agreement):

P.O. Box 906

Hyden, KY 41749

DATE INVOICED WORK BEGAN (not to precede State Letter date): _____ **DATE INVOICED WORK COMPLETED:** 8/31/17

(Enter all dates using m/d/yyyy format)

INVOICING INFORMATION:

PARTIAL FINAL BILL NO. 3

AMOUNT OF THIS BILL \$543.75
TOTAL AMOUNT OF PREVIOUS BILLS \$15325.00
TOTAL BILLS TO DATE \$15868.75

Agreement Start Date : _____
Purchase Order Number: _____
Statute/Agreement Type: KRS 177.035 Keep Cost
Agreement/PRDO Amount: \$16620.00
Change Orders: #1 \$ _____
#2 \$ _____
(For additional orders, use page 2) #3 \$ _____
TOTAL FROM PG 2 \$0.00
TOTAL: \$16620.00

COMPANY CERTIFICATION:

I certify, to the extent applicable: the attached invoice is a true statement of costs incurred by our Company in constructing the most economical type of facilities in the new location as will satisfactorily meet the same service requirements as the old facilities in the old location on subject project; all materials for which we seek reimbursement adhere to federal Buy America provisions as required; and all costs listed are eligible for payment by KYTC.

Signature: [Signature]
Title: Chairman
Date: 1/25/18

FOR CABINET USE ONLY:

Checked: District Utility Agent _____ Date _____
Recommended/Approved: Chief District Engineer _____ Date _____
Approved: Director, Division of Right of Way & Utilities _____ Date _____



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADDT'L CHANGE ORDERS:

#4	\$	_____
#5	\$	_____
#6	\$	_____
#7	\$	_____
#8	\$	_____
#9	\$	_____
#10	\$	_____
#11	\$	_____
#12	\$	_____
#13	\$	_____
#14	\$	_____
#15	\$	_____

NOTE: If the amount of this bill applies to more than one county the correct distribution **MUST** be shown on the following lines.

COUNTY:	ACTUAL AMOUNT:	PERCENTAGE (if applicable):
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

TOTAL PAGE 2 \$0.00

(automatically transfers to page 1)

**** This section is only needed if any information is entered on page 2 ****

COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: January 25, 2018
INVOICE NO.: 15045-3 revised by kdot
Sheet 1 of 2

SERVICE FOR:
HYDEN LESLIE COUNTY WATER DISTRICT

JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
KY 80 Smith Camp Waterline Relocation

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749


CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES
ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Plans & Specs					
A. Final Design	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
TOTAL	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
TOTAL FOR BASIC DESIGN	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
Phase B Bid Proposal					
A. Bidding and Negotiating	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
TOTAL	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
TOTAL FOR BIDDING	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
Phase C Right of Way Easements					
A. Right of Way	\$3,000.00	100.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL FOR RIGHT OF WAY	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00


HYDEN LESLIE COUNTY WATER DISTRICT

DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: January 25, 2018
 INVOICE NO.: 15045-3 revised by kdot
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 KY 80 Smith Camp Waterline Relocation

CONTRACT:

DESCRIPTION OF WORK

Phase D Construction admin					
A. Construction Admin	\$2,175.00	25.00%	\$543.75	\$0.00	\$543.75
TOTAL	<u>\$2,175.00</u>	<u>25.00%</u>	<u>\$543.75</u>	<u>\$0.00</u>	<u>\$543.75</u>
TOTAL FOR CONSTRUCTION ADM	\$2,175.00	25.00%	\$543.75	\$0.00	<u>\$543.75</u>
Phase E Construction Insp					
A. Construction Insp	\$10,150.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL	<u>\$10,150.00</u>	<u>0.00%</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>
TOTAL FOR CONSTRUCTION INSP	\$10,150.00	0.00%	\$0.00	\$0.00	<u>\$0.00</u>
<hr/>					
TOTAL CONTRACT PHASE II	<u>\$27,650.00</u>	<u>57.39%</u>	<u>\$15,868.75</u>	<u>\$5,175.00</u>	<u>\$543.75</u>
INVOICES NOT PAID					<u>\$0.00</u>
TOTAL NOW DUE					\$543.75



Post Office
Frankfort, KY
Louisville, KY

COMMONWEALTH OF KENTUCKY
DEPARTMENT OF THE TREASURY
Frankfort, Kentucky

Check Number
GA 21996058

Date: 03/13/2018

FIVE HUNDRED FORTY THREE DOLLARS AND SEVENTY FIVE CENTS

Pay to the
Order of

HYDEN LESLIE CO WATER
PO BOX 906
HYDEN KY 41749

Amount

\$*****543.75

Alison Ball

State Treasurer



Hyden Electric Water District
Operation & Maintenance Fund
PO Box 306
Hyden, KY 41749

517
421


26880

DATE 2-2-18

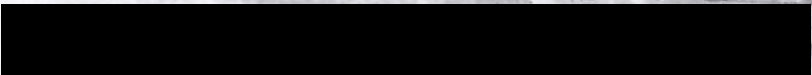
PAY TO THE ORDER OF

State of Ky
Five hundred forty three and 75/100
Coggard Engineering

\$ 543.75

DOLLARS  Security feature
Details on back

MEMO



Coggard
[Signature]

Written from the wrong account. Corrected
March 2018. Transferred 543.75 from 2004 Project
account into the O+M account. Check # 257-2004 Project
Account

LJT
3-21-18

HYDEN LESLIE CO. WATER DIST.
2004 WATER LINE EXTENSION
325 WENDOVER RD.
HYDEN, KY 41749

73-517/421
3028607

DATE 3-21-18

PAY TO THE ORDER OF H.L.C.W.D. Operation & Maint. Fund \$ 543.75
Five hundred forty three and 75/100 DOLLARS

© DELUXE WALSH OR DUPLICATE



MEMO

W. Todd Hunter
[Signature]

⑆042105170⑆ 302 860 7⑈ 0257

SPECIALTY LEMON

Payee Name SME Company _____

Amount \$ 543.75 Check Date 2-7-18 Check No 26880

Ledger No 1582 Date Materials or Services Received _____

Prepared By A.B. Date Prepared 1-29-18

Description of Materials or Services Purchased (Tape small invoices on back)

Ky-80 Smiths Camp Waterline

Control Checklist

- 1. Evidence of receipt of goods attached? _____
- 2. Correct prices or rate charged? _____
- 3. Other purchase documents attached? _____
- 4. Disbursement properly classified in Ledger? _____
- 5. If equipment purchase, make copy for fixed asset file. _____

Final Check Authorization

Signature [Handwritten Signature]

Date 2-2-18



Kentucky Transportation Cabinet
Division of Right of Way & Utilities
UTILITY / RAIL AGREEMENT CHANGE ORDER

GENERAL ROAD PROJECT INFORMATION

(This section is as defined in the agreement as executed)

County: Leslie
Federal Number (if applicable): STP BRO 527 1041
State Number: FD52 066 87659 01U
Route/Road Name: KY80
Item or AAR-DOT Number: 11-1089.00

COMPANY INFORMATION

Company Name: Hyden-Leslie Co. Water
Company Contact Name: _____
Company Address (as identified in the Agreement):
PO Box 906

Hyden, KY 41749

Change Order No. 1 Agreement Number: PO2 625 17*0872 Agreement Type: Eng. Services

PROPOSED CHANGES IN CONNECTION WITH UTILITY AGREEMENT *(use page two for more than three proposed changes)*

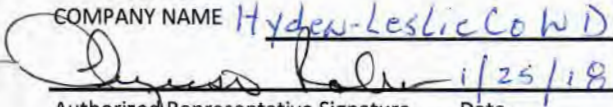
Line	Description of item needed	Units	Quantity	Unit Price	Increase	Decrease
1	Additional time for resident observer	hrs	172.00	\$59.00	\$10,148.00	\$10,148.00
2	additional time for Engineer design	hrs	30.00	\$85.00	\$2,550.00	\$2,550.00
3	Additonal Time for water district rep	hrs	30.00	\$50.00	\$1,500.00	\$1,500.00
Total Lines 1-3					\$14,198.00	\$14,198.00
Total from Page 2 (Lines 4-18)					\$0.00	\$0.00
Total Increase/Decrease					\$14,198.00	\$14,198.00
Net Increase/Decrease					\$0.00	
Agreement participating percentage <u>100.00</u> % applied					Change Order Total	\$0.00

REASON FOR PROPOSED CHANGES *In order to approve this change order, the Cabinet needs documentation justifying the additional expense.*

Project discovered additional existing waterline and connections during construction that required additional time for the resident observer and Engineer as well as field time for the Water District in line location and reconnection.

This box will hold 5 lines of text (500 characters)

IF APPROVED BY THE TRANSPORTATION CABINET, THE UNDERSIGNED COMPANY AGREES TO DO THE WORK OUTLINED ABOVE, AND TO ACCEPT, AS PAYMENT IN FULL, THE BASIS OF PAYMENT SET FORTH HEREIN.

COMPANY NAME Hyden-Leslie Co W D

Authorized Representative Signature Date 1/25/18

FOR CABINET USE ONLY:

RECOMMENDED: District Utility Agent	Date
RECOMMENDED: Highway Chief District Engineer	Date
RECOMMENDED: T.E.B.M, Utilities and Rail Branch	Date
RECOMMENDED: Director, Division of Right of Way & Utilities	Date

SUBMIT THIS FORM TO DISTRICT UTILITY SUPERVISOR IN TRIPLICATE
<http://transportation.ky.gov/district.htm>

Kentucky Transportation Cabinet
Division of Right of Way & Utilities
CHANGE ORDER

TC 69-004
11/2015
Page 2 of 2



SUPPLEMENTART LIST OF PROPOSED CHANGES IN CONNECTION WITH UTILITY AGREEMENT:

Line	Description of item needed	Units	Quantity	Unit Price	Increase	Decrease
4					\$0.00	\$0.00
5					\$0.00	\$0.00
6					\$0.00	\$0.00
7					\$0.00	\$0.00
8					\$0.00	\$0.00
9					\$0.00	\$0.00
10					\$0.00	\$0.00
11					\$0.00	\$0.00
12					\$0.00	\$0.00
13					\$0.00	\$0.00
14					\$0.00	\$0.00
15					\$0.00	\$0.00
16					\$0.00	\$0.00
17					\$0.00	\$0.00
18					\$0.00	\$0.00
TOTALS (automatically transfers to page 1)					\$0.00	\$0.00

SUPPLEMENTARY REASON FOR PROPOSED CHANGES *In order to approve this change order, the Cabinet needs documentation justifying the additional expense. This section is intended to provide a summary. Fully detailed backup documentation must be provided and attached to this form.:*

This box will hold 5 lines of text (500 characters)

This section is only needed if any information is entered on page 2

COMPANY VALIDATION: |

accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
December 2017

	December 2017		Budget	Jan - Dec 17	Annual Budget	
	Dec 17	Budget				
Primary Income/Expense						
Income						
4029 · Water Operating Revenues						
4010 · Metered Sales Residential	89,065.68	97,809.00	91%	1,230,474.26	1,244,796.00	-14,321.74
4020 · Metered Sales Commercial	16,242.93	17,911.00	91%	234,406.72	239,100.00	-4,693.28
4021 · Less Adjustment Residential	-577.44	0.00		-5,014.71	0.00	-5,014.71
4022 · Less Adjustments Commercial	0.00	0.00		-502.93	0.00	-502.93
Total 4029 · Water Operating Revenues	104,731.17	115,720.00	91%	1,459,363.34	1,483,896.00	-24,532.66
Total Income	104,731.17	115,720.00	91%	1,459,363.34	1,483,896.00	-24,532.66
Gross Profit						
104,731.17	115,720.00	91%	1,459,363.34	1,483,896.00	-24,532.66	
Expense						
5099 · Personnel						
5010 · Salaries and Wages	32,362.84	28,600.00	113%	367,349.27	371,808.00	-4,458.73
5011 · Over Time Wages	4,239.42	3,750.00	113%	62,172.89	48,756.00	13,416.89
5013 · 401-K Retirement Wages	1,362.50	1,640.00	83%	19,247.51	21,324.00	-2,076.49
5015 · Capitalized Labor	0.00	0.00		4,454.65	0.00	4,454.65
5017 · Employer 401K Contribution	0.00	0.00		0.00	0.00	0.00
5020 · Payroll Taxes	3,115.46	2,633.00	118%	35,975.79	34,236.00	1,739.79
5040 · Casual Labor	0.00	0.00		0.00	0.00	0.00
5060 · Employee Health Ins.	17,923.50	18,920.00	95%	216,208.89	227,040.00	-10,831.11
5070 · Uniform Expense	2,514.24	375.00	670%	8,176.55	4,500.00	3,676.55
Total 5099 · Personnel	61,517.96	55,918.00	110%	713,585.55	707,664.00	5,921.55
5199 · Plant						
5110 · Utilities	25,819.93	23,400.00	110%	275,654.18	280,800.00	-5,145.82
5120 · Chemicals	5,487.86	6,400.00	86%	69,716.45	76,800.00	-7,083.55
5130 · Repair and Maintenance / Plant	2,017.64	1,000.00	202%	5,738.19	12,000.00	-6,261.81
5140 · Operating Supplies	857.24	0.00		1,902.45	0.00	1,902.45
5150 · Small Tools	0.00	100.00	0%	7,022.84	1,200.00	5,822.84

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
December 2017

	Dec 17	Budget	#DIV/0!	Jan - Dec 17	Annual Budget	
5155 · Purchased Water	0.00	0.00		0.00	0.00	0.00
5160 · Water Withdrawal Fee	0.00	740.00	0%	6,983.73	8,880.00	-1,896.27
5165 · Back-up Generator Maint.	0.00	400.00	0%	500.00	4,800.00	-4,300.00
5170 · Misc. Plant Expense	0.00	0.00		0.00	0.00	0.00
Total 5199 · Plant	34,182.67	32,040.00	107%	367,517.84	384,480.00	-16,962.16
5299 · Field Operations						
5220 · Repair and Maintenance / Field	7,451.77	3,985.00	187%	63,964.21	47,820.00	16,144.21
5230 · Vehicle / Repair and Maint.	141.31	1,400.00	10%	15,572.38	16,800.00	-1,227.62
5240 · Gas and Diesel	1,303.87	1,600.00	81%	14,368.70	19,200.00	-4,831.30
Total 5299 · Field Operations	8,896.95	6,985.00	127%	93,905.29	83,820.00	10,085.29
5399 · Contractual Services						
5310 · Legal and Accounting	0.00	0.00		13,200.00	13,500.00	-300.00
5320 · Meter Testing	0.00	0.00		0.00	0.00	0.00
5330 · Meter Repair	0.00	0.00		0.00	0.00	0.00
5340 · Water Analysis	1,948.00	1,540.00	126%	18,740.29	18,480.00	260.29
5360 · Electrical Maintenance	0.00	200.00	0%	1,810.00	2,400.00	-590.00
5370 · Communication Maintenance	0.00	125.00	0%	0.00	1,500.00	-1,500.00
Total 5399 · Contractual Services	1,948.00	1,865.00	104%	33,750.29	35,880.00	-2,129.71
5499 · Office Expenses						
5410 · Postage	7.50	1,400.00	1%	12,815.74	16,800.00	-3,984.26
5420 · Office Supplies	258.51	520.00	50%	5,555.62	6,240.00	-684.38
5430 · Telephone Expense	371.06	400.00	93%	4,244.59	4,800.00	-555.41
5440 · Sanitation Pick-up Services	121.17	130.00	93%	1,445.64	1,560.00	-114.36
5450 · Computer Repair and Maintenance	0.00	275.00	0%	4,008.52	3,300.00	708.52
5460 · Hyden Waste Water	0.00	15.00	0%	0.00	180.00	-180.00
5490 · Other Office Expenses	0.00	0.00		349.67	0.00	349.67
Total 5499 · Office Expenses	758.24	2,740.00	28%	28,419.78	32,880.00	-4,460.22
5599 · Administrative						

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
December 2017

	Dec 17	Budget	Jan - Dec 17	Annual Budget	
5510 · PSC Assessment	0.00	0.00	3,040.02	3,600.00	-559.98
5520 · Insurance-General	4,125.84	4,400.00	49,057.70	52,800.00	-3,742.30
5530 · Bad Debts	3,546.83	1,250.00	22,160.15	15,000.00	7,160.15
5540 · Board Fees	4,500.00	1,125.00	9,000.00	4,500.00	4,500.00
5550 · Fees and Dues	359.85	300.00	3,054.85	3,600.00	-545.15
5560 · Publication Expense	0.00	225.00	749.70	2,700.00	-1,950.30
5570 · Certification Training Expenses	649.84	375.00	1,099.84	4,500.00	-3,400.16
5590 · Other Administrative Exp.	0.00	75.00	633.90	900.00	-266.10
Total 5599 · Administrative	13,182.36	7,750.00	88,796.16	87,600.00	1,196.16
5699 · Interest and Depreciation Exp.					
5620 · Interest Expense KRWFC (1989)	1,138.13	1,176.00	14,456.68	14,112.00	344.68
5621 · Interest Exp. KRWFC 2013	0.00	0.00	450.00	0.00	450.00
5625 · Interest Exp. KIA (1991)	0.00	0.00	0.00	0.00	0.00
5626 · Interest Expense RD (2000)	911.75	921.00	11,048.00	11,052.00	-4.00
5627 · Service Fee KIA (1991)	0.00	0.00	0.00	0.00	0.00
5628 · Interest Exp. RD Series A 2012	3,264.06	3,282.00	39,379.67	39,384.00	-4.33
5629 · Interest Exp. RD Series B 2012	3,416.25	3,444.00	41,318.39	41,328.00	-9.61
5630 · Interest Exp. Customer Dep.	6.61	0.00	29.61	0.00	29.61
5640 · Depreciation Expense	70,531.00	70,900.00	846,340.12	850,800.00	-4,459.88
Total 5699 · Interest and Depreciation Exp.	79,267.80	79,723.00	953,022.47	956,676.00	-3,653.53
Total Expense	199,753.98	187,021.00	2,278,997.38	2,289,000.00	-10,002.62
Net Ordinary Income	-95,022.81	-71,301.00	-819,634.04	-805,104.00	-14,530.04
Other Income/Expense					
Other Income					
4199 · Other Income					
4030 · Service Charges	354.00	250.00	3,166.00	3,000.00	166.00
4040 · Late Payment Penalties	4,978.30	3,600.00	46,887.66	43,200.00	3,687.66
4090 · Miscellaneous Revenues	11.14	50.00	352.04	600.00	-247.96

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
December 2017

	Dec 17	Budget	Jan - Dec 17	Annual Budget
4110 - Interest Income	-2,312.93	500.00	2,774.74	6,000.00
4180 - Gain/(Loss) on Sale of Equip	0.00	0.00	-1,514.01	0.00
4190 - Miscellaneous Non-Utility Rev.	0.00	0.00	0.00	0.00
Total 4199 - Other Income	3,030.51	4,400.00	51,666.43	52,800.00
Total Other Income	3,030.51	4,400.00	51,666.43	52,800.00
Net Other Income	3,030.51	4,400.00	51,666.43	52,800.00
Net Income	-91,992.30	-66,901.00	-767,967.61	-752,304.00
Net Income Less Depreciation	-21,461.3	3,999.0	78,372.5	98,496.0
Payables				
Bonds				
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	\$ 35,000.04
1991 Bonds Payable	\$ -	\$ -	\$ -	\$ -
2000 Bonds Payable	\$ 500.00	\$ 500.00	\$ 6,000.00	\$ 6,000.00
2012 A Bonds Payable	\$ 1,125.00	\$ 1,125.00	\$ 13,500.00	\$ 13,500.00
2012 B Bonds Payable	\$ 2,635.42	\$ 2,635.42	\$ 31,625.04	\$ 31,625.04
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 86,125.08	\$ 86,125.08
Net Position	\$ (28,638.39)	\$ (3,178.09)	\$ (7,752.57)	\$ 12,370.92
Other Expenditures				
Hospital Hill Rehab	\$ 70,266.04		\$ 322,504.25	
Net Position	\$ (98,904.43)		\$ (330,256.82)	

Hyden-Leslie County Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on February 22, 2018 at 4:00pm, at the business office of the Hyden-Leslie County Water District located at 356 Wendover Road, Hyden , Kentucky.

1. Call to order: The meeting was called to order at 4:00 pm. All commissioners were present. Manager L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD was present
3. Public Comment: None
4. Approval of previous meeting minutes: Minutes were reviewed. Timothy Helton made the motion to approve the previous meeting minutes. The motion was seconded by William Wooton. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard informed the board that we had received a letter from RD stating that our project was eligible but there were no funds available at this time. He also stated that the next scheduled budget meeting would be March 23rd. He will begin working on the "summary addendum" and have it ready when the funding comes available.
6. Water Line Relocation / Wooton HWY Bridge: Mr. Maggard informed the board that everything was ready to tie in when the Water District was ready for them to do so.

Pay request No.6 was presented for H2O in the amount of \$10,021.56. Timothy Helton made the motion to pay the request and was seconded by Ronnie Gay. Motion carried unanimously.

Pay request No. 4 was presented for SME in the amount of \$587.25. William T Horton made the motion to pay the request. The motion was seconded by William Wooton. Motion was carried unanimously.

Manager Turner informed the board that Change Order No.1 was denied by the transportation cabinet due to various reasons that were stated in a letter. A copy of the letter was presented to the commissioners. Mr. Turner also informed the board of a complaint by homeowner Lucille Day located at 5090 HWY 80 in Wooton. She made the complaint that the contractor installed the water line on her property without the proper easement and during the construction her home was damaged by their equipment. Mr. Maggard stated that the construction was on the state right of way and contactor has acknowledged the issue of damaging her trees and has replaced a spindle on her porch. Mr. Maggard stated that the homeowner told the contractor that it would be ok to run it through her yard. He stated that her initial complaints were that her trees were brown from the exhaust of the excavator, a window was cracked and the foundation was cracked due to the impact. She initially requested that her window be replaced, new trees installed and blocks replaced. He stated that

the last request was for \$7,000.00. He also stated that the contractor has pictures before the excavation began. He stated that he had a release for her to sign when the contractor satisfies her request.

7. Hospital Hill System Improvements: Pumps and tanks have been installed. We're still waiting on the telemetry panels. Mr. Maggard asked if Manager Turner had approved the proposal. Mr. Turner requested that Mr. Maggard have Randy Clark with C.I. Thornburg contact him with the proposals.

Pay request number 7 was presented for ASH MTN. in the amount of \$6,322.86. William T Horton made the motion to approve the request and was seconded by William Wooton. The motion was carried unanimously.

Pay request number 9 was presented for SME in the amount of \$819.37. The motion to approve the request was made by Timothy Helton and seconded by Ronnie Gay. Motion carried unanimously.

8. Claims List: January Claims list was presented. The motion was made to approve the claims list by Augustus Roberts and seconded by William T Horton. Motion carried unanimously.
9. Financial Report: Financial report was presented. Timothy Helton made the motion to approve the financial report and was seconded by Ronnie Gay. The motion passed unanimously.
10. Other New Business: Timothy Helton made the motion to begin a payment plan for customers who want to start water service. The customer must pay \$150.00 down then \$25.00 monthly in addition to the current bill. If a payment is missed the service will be disconnected and the full amount will be due before service can be restored. The motion was seconded by Ronnie Gay. The motion was passed unanimously.

Mr. Maggard informed the board that he was still working on the power for WB Muncy sites and was waiting to get some info from the Wooton Action Committee. He also stated that the KY Transportation Cabinet has sent him a new set of plans for the project on Stinnett Hill. He said he'd have it ready for bid by next month. Manager Turner stated that he was concerned about the current design that placed the new water line along the top of the cut. He's concerned that there may be an access issues if repairs are needed. Mr. Maggard stated that he would review the design and get back with him. Mr. Turner proposed that we lay a temporary line until construction is complete then install the permanent line along the new road.

Chairman Augustus Roberts asked why Leeco customers lost water during the flooding events. Mr. Turner stated that during the flood we had a section of road that sat down and we had to replace 160 feet of line. He also stated that he would be replacing the busted check valve in the Leeco Tank vault so we can attempt to use it.

Mr. Turner stated that while working in the Distribution system he discovered a pump station at the mouth of Bowens creek. The station was not in use and in like new condition. He asked the Board and Mr. Maggard with SME for some history on it. Mr. Maggard said it was installed by another firm around 2000 and was designed to be a high demand pump. Chairman Augustus Roberts ask Mr. Maggard why we hadn't used this station elsewhere in our system. Mr. Maggard stated that he knew the station was there but didn't know that it wasn't in use. Mr. Turner said he'd send Mr. Maggard the pump station info so he can determine if it can be relocated and used elsewhere.

Mr. Turner also addressed the issue of low pressure in Sams Branch, War Branch and Saylor BPS. He stated he intended to feed this area by supplying this area with Essie Tank across Army Trail. He asked Mr. Maggard to check the hydraulics to make sure he didn't overlook any potential issues. If successful, this would not only eliminate a proposed BPS but it would increase the pressure to the Saylor BPS and supply water to customers who have water lines but no water in Sam's Branch and War Branch. Mr. Maggard said he'd check the hydraulics to see if it would work.

11. Executive Session: None

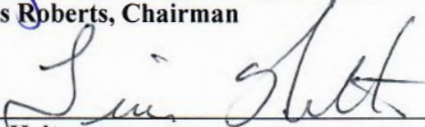
12. Adjournment: Motion was made by Timothy Helton and seconded by William Wooton. Motion was carried unanimously.

Approval of Minutes:



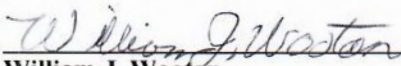
Augustus Roberts, Chairman

03-29-18
Date



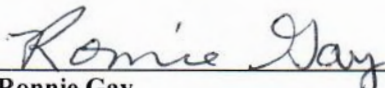
Timothy Helton

3-29-18
Date



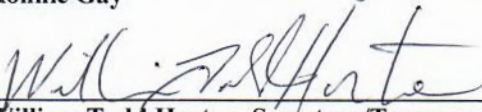
William J. Wooton

3-29-18
Date



Ronnie Gay

3-29-18
Date



William Todd Horton, Secretary/Treasurer

3-29-18
Date

February 7, 2018

Augustus Roberts, Chairman
Hyden-Leslie Co. Water District
P.O. Box 906
Hyden, KY 41749

RE: WTP & Dam Repair

Dear Chairman Roberts:

We have reviewed your application for federal assistance from the USDA/Rural Utilities Service and have determined that your proposal is:

- eligible for funding by this Agency and can compete with similar applications from other grantees.
 eligible, but does not have the priority necessary for further consideration at this time.

Based upon the funds available for this program over the last two fiscal years and the number of applications reviewed or pending, we:

- anticipate that funds for which you are competing will be available within twelve (12) months.
 are unable to determine at this time when funds will be available.

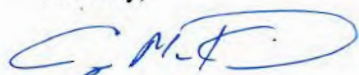
In your application, you requested \$4,827,000 in Federal funding and we: (see attachment)

- are agreeable to consideration of approximately this amount.
 will need to analyze the amount requested in more detail.

A processing conference will will not be necessary. We are recommending that it be held at a date & time to be determined. **For confirmation, please contact the undersigned at 100 Fortress Properties Street, Suite 3, London, KY 40741. Telephone number (606) 401-2266 for Clay McKnight.**

The Attachment hereto will provide additional details on the application status and processing.

Sincerely,



For BARRY R. TURNER
Area Director

Attachment

cc: RD State Office - Lexington, KY
- KY River ADD

Sisler-Maggard Engineering
File Copy

Rural Development • London Area Office
100 Fortress Properties Street, Suite 3, London, KY 40741
Voice (606) 401-2297 Fax 1-855-454-4516
USDA is an equal opportunity employer, provider and lender.

If you wish to file a Civil Rights program complaint of discrimination, complete the USDA Program Discrimination Complaint Form (PDF), found online at http://www.ascr.usda.gov/complaint_filing_cust.html, or at any USDA office, or call (866) 632-9992 to request the form. You may also write a letter containing all of the information requested in the form. Send your completed complaint form or letter to us by mail at U.S. Department of Agriculture, Director, Office of Adjudication, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, by fax (202) 690-7442 or email at program.intake@usda.gov.

ATTACHMENT

"Notice of Application Review Action"

Date: February 7, 2018

Applicant: Hyden-Leslie Co. Water District

- A. At the present time Rural Development is unable to continue the processing of the WTP and Dam Repair project application request for financial assistance due to the following:
1. Lack of available funds. FY 2017 loan/grant funding has been exhausted.
 2. Priority limitations.
- B. You are advised against incurring obligations which would limit the range of alternatives to be considered or which cannot be fulfilled without RHS funds until the funds are actually made available. Therefore, you should refrain from such actions as initiating engineering and legal work, taking actions which could have an adverse effect on the environment, taking options on land rights, developing detailed plans and specifications, or inviting construction bids until notified by Rural Development to proceed.
- D. **Hyden-Leslie Co. Water District's application request for financial assistance through Rural Housing Service will be retained and considered for funding as additional funds are made available to this Agency. Any significant changes to the applicant, project scope or cost should be provided to Rural Development by filing a revised or new application. You are hereby advised to maintain current KY Governor's Office for Local Development (A-95) comments.**

Hyden - Leslie County Water District
February 22, 2018 Meeting
Monthly Contractor expenditures

Hospital Hill Project				
Contractor	Invoice #	Amount	Budget	Funds Remaining
Ash Mountain	7	\$6,322.86	\$287,603.46	\$17,231.76
SME	17006 - 9	\$819.37	\$57,150.00	\$1,638.75
Total		\$7,142.23	\$344,753.46	\$18,870.51

KY 80 Wooton Bridge Relocate

Contractor	Invoice #	Amount	Budget	Funds Remaining
H2O Const.	6	\$10,021.56	\$99,787.00	\$30,966.20
SME	4	\$587.25	\$16,620.00	\$164.00
Total		\$10,608.81	\$116,407.00	\$31,130.20



**Kentucky Transportation Cabinet
Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES**

INSTRUCTIONS

- Company shall **fully** complete this form.
- Submit this fully completed form, Company invoice and documentation of charges in **triplicate**.
- Final invoicing is to be submitted within 1 year of completion of work, per agreement and statute, to be considered for payment.
- Records of invoiced work are to be retained, as defined in the referenced agreement, and may be subject to audit.
- **MAIL TO:** Highway Chief District Engineer ATTN: District Utility Agent (**find at:** <http://transportation.ky.gov/district.htm>)

GENERAL ROAD PROJECT INFORMATION (This section is as defined in the Agreement as executed)

Location / Description:

County (if more than one, use page 2): Leslie
Route/Road Name: KY 80 Bridge
Project Description: New Bridge over Cutshin Creek

Fiscal:

Federal Number (if applicable): _____
State Number: _____
Item or AAR-DOT Number: _____

COMPANY INFORMATION

Company Name: Hyden - Leslie County Water District
Company Contact Name: LJ Turner
Company Invoice Number (if applicable): 6

Company Address (as identified in the Agreement):

P.O. Box 906

Hyden, KY 41749

DATE INVOICED WORK BEGAN (not to precede State Letter date): 11/23/2017
2/20/2018

DATE INVOICED WORK COMPLETED:

(Enter all dates using m/d/yyyy format)

INVOICING INFORMATION:

PARTIAL FINAL BILL NO. 6

AMOUNT OF THIS BILL \$10021.56
TOTAL AMOUNT OF PREVIOUS BILLS \$58799.24
TOTAL BILLS TO DATE \$68820.80

Agreement Start Date : 8/1/2016

Purchase Order Number: _____

Statute/Agreement Type: KRS 177.035 Keep Cost

Agreement/PRDO Amount: \$99787.00

Change Orders: #1 \$0.00

#2 \$_____

(For additional orders, use page 2) #3 \$_____

TOTAL FROM PG 2 \$0.00

TOTAL: \$99787.00

COMPANY CERTIFICATION:

I certify, to the extent applicable: the attached invoice is a true statement of costs incurred by our Company in constructing the most economical type of facilities in the new location as will satisfactorily meet the same service requirements as the old facilities in the old location on subject project; all materials for which we seek reimbursement adhere to federal Buy America provisions as required; and all costs listed are eligible for payment by KYTC.

Signature: [Signature]
Title: Chairman
Date: 02-22-18

FOR CABINET USE ONLY:

Checked: District Utility Agent _____ Date _____

Recommended/Approved: Chief District Engineer _____ Date _____

Approved: Director, Division of Right of Way & Utilities _____ Date _____



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADDT'L CHANGE ORDERS:	#4	\$ _____
	#5	\$ _____
	#6	\$ _____
	#7	\$ _____
	#8	\$ _____
	#9	\$ _____
	#10	\$ _____
	#11	\$ _____
	#12	\$ _____
	#13	\$ _____
	#14	\$ _____
	#15	\$ _____
TOTAL PAGE 2		\$0.00

(automatically transfers to page 1)

NOTE: If the amount of this bill applies to more than one county the correct distribution **MUST** be shown on the following lines.

COUNTY:	ACTUAL AMOUNT:	PERCENTAGE (if applicable):
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

**** This section is only needed if any information is entered on page 2 ****

COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



PERIODIC ESTIMATE

ESTIMATE NO 6
PERIOD: From January 25, 2018 To February 20, 2018

PROJECT NO: 15045

CONTRACTOR: H2O CONSTRUCTION
240 MARE CREEK
STANVILLE, KY 41659

2 SCHEDULE OF CONTRACT CHANGE ORDERS:

NO	DATE	DESCRIPTION	TOTAL ADD	TOTAL DEDUCT	TOTAL C.O.	COMPLETED TO DATE
TOTALS			\$0.00	\$0.00		\$0.00

3 ANALYSIS OF ADJUSTED CONTRACT AMOUNT TO DATE:

A	ORIGINAL CONTRACT AMOUNT	\$99,787.00
B	PLUS ADDITIONS SCHEDULED ABOVE	
C	LESS DEDUCTIONS SCHEDULED ABOVE	_____
	ADJUSTED CONTRACT AMOUNT TO DATE	\$99,787.00

4 ANALYSIS OF WORK PERFORMED

A	COST OF ORIGINAL CONTRACT WORK PERFORMED TO DATE	\$0.00
B	EXTRA WORK PERFORMED TO DATE (CHANGE ORDERS)	_____
C	TOTAL COST OF WORK PERFORMED TO DATE	\$72,442.95
D	ADD MATERIALS STORED AT CLOSE OF THIS PERIOD	_____
E	TOTAL COST OF WORK PERFORMED AND MATERIAL STORED TO DATE	\$72,442.95
F	LESS AMOUNT RETAINED IN ACCORDANCE WITH CONTRACT (5 %)	\$3,622.15
G	NET AMOUNT EARNED ON CONTRACT WORK TO DATE	\$68,820.80
H	LESS AMOUNT OF PREVIOUS PAYMENTS	\$58,799.24
I	<u>BALANCE DUE THIS PAYMENT</u>	<u>\$10,021.56</u>

PERIODIC ESTIMATE

ESTIMATE NO: 6 (six)
FROM: 1/25/18 TO: 2/20/18

5 CERTIFICATION OF CONTRACTOR:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, I CERTIFY THAT ALL ITEMS AND AMOUNTS SHOWN ON THE FACE OF THIS PERIODIC ESTIMATE FOR PARTIAL PAYMENT ARE CORRECT. THAT ALL WORK HAS BEEN PERFORMED AND/ OR MATERIAL SUPPLIED IN FULL ACCORDANCE WITH THE REQUIREMENTS OF THE REFERENCED CONTRACT, AND/OR DULY AUTHORIZED DEVIATIONS, SUBSTITUTIONS, ALTERATIONS, AND/OR ADDITIONS, THAT THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE CONTRACT ACCOUNT UP TO AND INCLUDING THE LAST DAY OF THE PERIOD COVERED BY THIS PERIODIC ESTIMATE; THAT NO PART OF THE 'BALANCE DUE THIS PAYMENT' HAS BEEN RECEIVED, AND THAT THE UNDERSIGNED AND HIS SUBCONTRACTORS HAVE (CHECK APPLICABLE LINE):

- A COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT
 - B COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT EXCEPT IN THOSE INSTANCES WHERE AN HONEST DISPUTE EXISTS WITH RESPECT TO SAID LABOR PROVISIONS (IF 'B' IS CHECKED, BRIEFLY DESCRIBE NATURE OF DISPUTE.)
- _____
- _____
- _____

CONTRACTOR: H2O CONSTRUCTION
240 MARE CREEK
STANVILLE, KY 41659

APPROVED BY: _____ TITLE: PRESIDENT DATE: 2/22/2018

6 CERTIFICATION OF ENGINEER

I CERTIFY THAT I HAVE CHECKED AND VERIFIED THE ABOVE AND FOREGOING PERIODIC ESTIMATE FOR PARTIAL PAYMENT; THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF IT IS A TRUE AND CORRECT STATEMENT OF WORK PERFORMED AND/OR MATERIAL SUPPLIED BY THE CONTRACTOR; THAT ALL WORK AND/OR MATERIAL INCLUDED IN THIS PERIODIC ESTIMATE HAS BEEN INSPECTED BY ME AND/OR MY DULY AUTHORIZED REPRESENTATIVE OR ASSISTANTS AND THAT IS HAS BEEN PERFORMED AND/OR SUPPLIED IN FULL ACCORDANCE WITH REQUIREMENTS OF THE REFERENCED CONTRACT; AND THAT PARTIAL PAYMENT CLAIMED AND REQUESTED BY THE CONTRACTOR IS CORRECTLY COMPUTED ON THE BASIS OF WORK PERFORMED AND/OR MATERIAL SUPPLIED TO DATE.

ENGINEER: SISLER-MAGGARD ENGINEERING, PLLC
220 EAST REYNOLDS ROAD / SUITE A3
LEXINGTON, KY 40517

APPROVED BY: _____ TITLE: _____ DATE: 2/22/18

Michael K. Maggard TITLE: V.P.
ENGINEER

7 APPROVAL BY OWNER: HYDEN - LESLIE COUNTY WATER DISTRICT

APPROVED BY: _____ DATE: 02/22/18

[Signature]

PERIODIC ESTIMATE

ESTIMATE NO 6
PERIOD : FROM 1/25/2018 TO 2/20/2018

FORM OF WAIVER AND RELEASE OF LIEN

TO ALL WHOM IT MAY CONCERN

WHEREAS, the undersigned has performed or furnished, is performing, or furnishing, or will perform or furnish labor or material, fuel, equipment, equipment, tools, etc. in connection with the construction of

WOOTON BRIDGE WATERLINE RELOCATION

for HYDEN - LESLIE COUNTY WATER DISTRICT
at HYDEN, KY 41749

NOW, THEREFORE, THESE PRESENTS WITNESS, that the undersigned, for a good and valuable consideration to the undersigned will and truly paid at ofr before the signing and delivery hereof, the receipt whereof is hereby acknowledged, does hereby waive, release and relinquish any and all claims, liens and rights and claims of liens which the undersigned now has, or may hereafter have, on or against the said premises and the building, plant equipment and machinery of their Owner:

HYDEN - LESLIE COUNTY DISTRICT

or on or against

HYDEN - LESLIE COUNTY DISTRICT

on account of labor performed or to be performed or material, fuel, equipment, tools, etc furnished or to be furnished by the undersigned for use in connection with the construction and erection of said project; so that

HYDEN - LESLIE COUNTY DISTRICT

its successors and assigns, shall and may have, hold and enjoy the same, freed and discharged from all liens, claims, and demands whatsoever which the undersigned now has or might or could have if these presents had not been made.

IN WITNESS WHEREOF, the undersigned has hereunto set his hand and seal this
22th day of February, 2018.

H2O CONSTRUCTION

By: _____
SIGNATURE OF OFFICER OR PARTNER

Member
TITLE OF OFFICER

WITNESS: _____



JPMorgan
Chase Bank, N.A.
Louisville, KY

COMMONWEALTH OF KENTUCKY
DEPARTMENT OF THE TREASURY
Frankfort, Kentucky

21-13
830

Check Number
GA 22000538

Date: 03/15/2018

TEN THOUSAND, TWENTY ONE DOLLARS AND FIFTY SIX CENTS

Pay to the Order of
HYDEN LESLIE CO WATER
PO BOX 906
HYDEN KY 41749

Amount

\$*****10,021.56

State Treasurer



HYDEN LESLIE CO. WATER DIST.
2004 WATER LINE EXTENSION
325 WENDOVER RD.
HYDEN, KY 41749

73-517/421
3028607

254

DATE 3-21-18

PAY TO THE ORDER OF H₂O Construction \$ 10,021.56
Ten thousand twenty one and 56/100 DOLLARS

H HYDEN
CITIZENS
CB BANK

Member FDIC

MEMO

pay estimate # 6

W. [Signature]

[Redacted area]

[Signature]

Security Features
Included
Details on Back

SPECIALTY LENS



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

INSTRUCTIONS

- Company shall **fully** complete this form.
- Submit this fully completed form, Company invoice and documentation of charges in **triplicate**.
- Final invoicing is to be submitted within 1 year of completion of work, per agreement and statute, to be considered for payment.
- Records of invoiced work are to be retained, as defined in the referenced agreement, and may be subject to audit.
- **MAIL TO:** Highway Chief District Engineer ATTN: District Utility Agent (**find at:** <http://transportation.ky.gov/district.htm>)

GENERAL ROAD PROJECT INFORMATION *(This section is as defined in the Agreement as executed)*

Location / Description:	Fiscal:
County (if more than one, use page 2): <u>Leslie</u>	Federal Number (if applicable): _____
Route/Road Name: <u>KY 80/KY 699</u>	State Number: _____
Project Description: <u>Bridge Replacement over Cutshin Creek</u>	Item or AAR-DOT Number: _____

COMPANY INFORMATION

Company Name: Hyden - Leslie County Water District
Company Contact Name: Larry J Turner
Company Invoice Number (if applicable): 4

Company Address (as identified in the Agreement):

P.O. Box 906

Hyden, KY 41749

DATE INVOICED WORK BEGAN (not to precede State Letter date): 1/26/18 **DATE INVOICED WORK COMPLETED:** 2/20/18
(Enter all dates using m/d/yyyy format)

INVOICING INFORMATION:

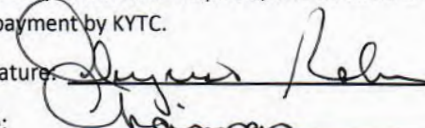
PARTIAL **FINAL** **BILL NO.** 4

AMOUNT OF THIS BILL	<u>\$587.25</u>
TOTAL AMOUNT OF PREVIOUS BILLS	<u>\$15868.75</u>
TOTAL BILLS TO DATE	<u>\$16456.00</u>

Agreement Start Date :	_____
Purchase Order Number:	_____
Statute/Agreement Type:	KRS 177.035 <u>Keep Cost</u>
Agreement/PRDO Amount:	<u>\$16620.00</u>
Change Orders:	#1 \$ _____
	#2 \$ _____
	(For additional orders, use page 2) #3 \$ _____
TOTAL FROM PG 2	<u>\$0.00</u>
TOTAL:	<u>\$16620.00</u>

COMPANY CERTIFICATION:

I certify, to the extent applicable: the attached invoice is a true statement of costs incurred by our Company in constructing the most economical type of facilities in the new location as will satisfactorily meet the same service requirements as the old facilities in the old location on subject project; all materials for which we seek reimbursement adhere to federal Buy America provisions as required; and all costs listed are eligible for payment by KYTC.

Signature: 
 Title: Chairman
 Date: 02-22-18

FOR CABINET USE ONLY:

Checked: District Utility Agent	_____	Date
Recommended/Approved: Chief District Engineer	_____	Date
Approved: Director, Division of Right of Way & Utilities	_____	Date



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADDT'L CHANGE ORDERS:	#4	\$ _____
	#5	\$ _____
	#6	\$ _____
	#7	\$ _____
	#8	\$ _____
	#9	\$ _____
	#10	\$ _____
	#11	\$ _____
	#12	\$ _____
	#13	\$ _____
	#14	\$ _____
	#15	\$ _____
TOTAL PAGE 2		<u>\$0.00</u>

(automatically transfers to page 1)

NOTE: If the amount of this bill applies to more than one county the correct distribution **MUST** be shown on the following lines.

COUNTY:	ACTUAL AMOUNT:	PERCENTAGE (if applicable):
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

**** This section is only needed if any information is entered on page 2 ****

COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: February 22, 2018
 INVOICE NO. 15045-4 revised by kdot
 Sheet 1 of 2

SERVICE FOR:
 HYDEN LESLIE COUNTY WATER DISTRICT

JOB DESIGNATION:
 HYDEN - LESLIE COUNTY WATER DISTRICT
 KY 80 Smith Camp Waterline Relocation


ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

CONTRACT: .

DESCRIPTION OF WORK
 EXHIBIT C: BASIC SERVICES
 ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Plans & Specs					
A. Final Design	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
TOTAL	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
TOTAL FOR BASIC DESIGN	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
Phase B Bid Proposal					
A. Bidding and Negotiating	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
TOTAL	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
TOTAL FOR BIDDING	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
Phase C Right of Way Easements					
A. Right of Way	\$3,000.00	100.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL FOR RIGHT OF WAY	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00


 HYDEN LESLIE COUNTY WATER DISTRICT
 DATE 2-22-18

ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: February 22, 2018
 INVOICE NO. 15045-4 revised by kdot
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 KY 80 Smith Camp Waterline Relocation

CONTRACT:

DESCRIPTION OF WORK

Phase D Construction admin

A. Construction Admin

TOTAL

\$2,175.00	52.00%	\$1,131.00	\$543.75	\$587.25
<u>\$2,175.00</u>	<u>52.00%</u>	<u>\$1,131.00</u>	<u>\$543.75</u>	<u>\$587.25</u>

TOTAL FOR CONSTRUCTION ADM

\$2,175.00	52.00%	\$1,131.00	\$543.75	<u>\$587.25</u>
------------	--------	------------	----------	-----------------

Phase E Construction Insp

A. Construction Insp

TOTAL

\$10,150.00	0.00%	\$0.00	\$0.00	\$0.00
<u>\$10,150.00</u>	<u>0.00%</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>

TOTAL FOR CONSTRUCTION INSP

\$10,150.00	0.00%	\$0.00	\$0.00	<u>\$0.00</u>
-------------	-------	--------	--------	---------------

TOTAL CONTRACT PHASE II

<u>\$27,650.00</u>	<u>59.52%</u>	<u>\$16,456.00</u>	<u>\$5,718.75</u>	<u>\$587.25</u>
--------------------	---------------	--------------------	-------------------	-----------------

INVOICES NOT PAID

<u>\$0.00</u>

TOTAL NOW DUE

\$587.25

THE BACKGROUND OF THIS DOCUMENT IS BLUE WITH A GREEN WARNING BAND. THERE IS A SECURITY MARK ON THE FRONT.



JPMorgan
Chase Bank, N.A.
Louisville, KY

COMMONWEALTH OF KENTUCKY
DEPARTMENT OF THE TREASURY
Frankfort, Kentucky

21 13
830

Check Number
GA 21996059

Date: 03/13/2018

FIVE HUNDRED EIGHTY SEVEN DOLLARS AND TWENTY FIVE CENTS

Pay to the Order of HYDEN LESLIE CO WATER
PO BOX 906
HYDEN KY 41749

Amount

\$*****587.25

Allison Ball

State Treasurer



HYDEN LESLIE CO. WATER DIST.
2004 WATER LINE EXTENSION
325 WENDOVER RD.
HYDEN, KY 41749

73-517/421
3028607

256

DATE 3-21-18

PAY TO THE ORDER OF Sister Margaret Eng. \$ 587.25
Five hundred eighty seven and 25/100 DOLLARS

MEMO Inv. # 15045-4

W. Rollhate

0256

SPECIALTY LITHO

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Matthew Bevin
Governor

TRANSPORTATION CABINET

Department of Highways District 11 Office
603 Railroad Avenue
Manchester, Kentucky 40962
Phone: (606) 598-2145
Email: Keenan.Jones@ky.gov

Greg Thomas
Secretary

Thursday February 1st, 2018

Mr. Augustus Roberts
Hyden-Leslie County Water District
PO Box 906
Hyden, KY 41749

**SUBJECT: ENGINEERING CHANGE ORDER
LESLIE COUNTY, STP BRO 527 1041
FD52 066 87659 01U
REPLACE BRIDGE ON KY80 OVER CUTSHIN CREEK
ITEM NO. 11-1089.00**

Mr. Roberts,

The requested engineering change order dated 1/25/18 is denied for the following reasons:

As all parties are aware, and as is explicitly stated in KYTC agreement documents, "the Water District shall submit any change orders necessary to the Cabinet for consideration and approval before initiation of the work detailed in said change order... If the Water District fails to obtain prior approval of a change order from the Cabinet, the Cabinet has the right to refuse reimbursement of expenditures for such change order." There were no change orders received during the project, and there certainly was no approval for additional work. On the contrary, David Fields & I stated multiple times to Mr. Maggard that the project utility budget had no unobligated funds available for any overruns, and there should not be any additional work undertaken.

The relocation plans originally submitted called for the waterline to be relocated almost entirely off right of way on utility easements. It wasn't until relocation began that it was revealed the Water District had not obtained an easement across parcel 10 & instead wanted to move the line into a proposed ditch on right of way. Nor had an easement been obtained for parcel 6 & the property owner was refusing to allow the line to be placed in its originally intended location, delaying the relocation. In completing engineering service agreements to allow for consultant engineer design services, KYTC expects to receive a relocation proposal that is complete & constructible before any relocation work is bid.



EQUAL OPPORTUNITY EMPLOYER M/F/D*

When easements are not obtained, constructability is not confirmed, existing lines are not located, etc., the delays caused can significantly impact the Cabinet's road construction schedule & cause the Cabinet to be financially liable for delays to the road contractor. It also leads to situations such as this where adequate funding cannot be obtained because we are not given accurate cost estimates. Much of the additional design hours requested were undoubtedly spent on the above mentioned redesign to avoid easements. The relocation could have easily remained on right of way from the outset, and in any case the decision to move from private property onto right of way could and should have happened much earlier in the design process after speaking with the property owners and learning they weren't willing to sign an easement.

With regards to schedule, I was told the relocation contractor was ready to proceed as early as the first week of February 2017. The relocation agreement was executed in late April and the contractor (via Sisler-Maggard) was officially given notice to proceed at this time. The relocation agreement specified 70 consecutive days for completion of the work after notice to proceed, which would put the completion date around July 4, 2017. Inspection reports indicate work had not even begun until August 2nd. If we use this as the start date, 70 days would put completion at October 11th, which would still be well ahead of the December 30th completion date specified by KYTC to the contractor in the utility impact note included in the contract proposal. If utility work is outstanding past this date, the contractor automatically has the right to file claims against KYTC due to changed conditions. The relocation was still not complete when KYTC held the preconstruction meeting for the road work on January 4th, 2018, and even now isn't complete. The Water District has the option to place liquidated damages in their contracts to cover additional inspection if, through fault of the contractor, the contract time is overrun. In any event, the District does not feel it caused the contract to be overrun and therefore does not find any responsibility to pay for additional inspection.

If you have any questions concerning this matter please feel free to contact me.

Best regards,



Keenan Jones, PE
District 11 Utilities Manager
Project Development

cc: David Fields, PE: KYTC District 11 Project Development TEBM
Mike Maggard, PE: Sisler-Maggard Engineering



EQUAL OPPORTUNITY EMPLOYER M/F/D*

PERIODIC ESTIMATE

ESTIMATE NO 7
PERIOD: From January 25, 2018 To February 20, 2018

PROJECT NO: 17006

CONTRACTOR: ASH MOUNTAIN, INC.
110 North First Street Suite 203 (P.O. Box 982)
HARLAN, KY 40631

2 SCHEDULE OF CONTRACT CHANGE ORDERS:

NO	DATE	DESCRIPTION	TOTAL ADD	TOTAL DEDUCT	TOTAL C.O.	COMPLETED TO DATE
TOTALS			\$0.00	\$0.00		\$0.00

3 ANALYSIS OF ADJUSTED CONTRACT AMOUNT TO DATE:

A	ORIGINAL CONTRACT AMOUNT	\$397,795.53
B	PLUS ADDITIONS SCHEDULED ABOVE	
C	LESS DEDUCTIONS SCHEDULED ABOVE	
ADJUSTED CONTRACT AMOUNT TO DATE		\$397,795.53

4 ANALYSIS OF WORK PERFORMED

A	COST OF ORIGINAL CONTRACT WORK PERFORMED TO DATE	\$0.00
B	EXTRA WORK PERFORMED TO DATE (CHANGE ORDERS)	
C	TOTAL COST OF WORK PERFORMED TO DATE	\$284,601.78
D	ADD MATERIALS STORED AT CLOSE OF THIS PERIOD	
E	TOTAL COST OF WORK PERFORMED AND MATERIAL STORED TO DATE	\$284,601.78
F	LESS AMOUNT RETAINED IN ACCORDANCE WITH CONTRACT (5 %)	\$14,230.09
G	NET AMOUNT EARNED ON CONTRACT WORK TO DATE	\$270,371.70
H	LESS AMOUNT OF PREVIOUS PAYMENTS	\$264,048.84
I	<u>BALANCE DUE THIS PAYMENT</u>	<u>\$6,322.86</u>

PERIODIC ESTIMATE NO. 6(SIX)

1. COST OF WORK COMPLETED TO DATE UNDER ORIGINAL CONTRACT ONLY													
ITEM	WATERLINE - SECTION "A" DESCRIPTION OF ITEM	% PAY	EST. QTY	UNITS	UNIT COST	TOTAL COST	PERIOD		TOTAL COST TO DATE	COST OF UNCOMPLETED WORK	COST OF COMPLETED WORK	% COMP	
							FROM	TO					
1	6" W.L. CL 200 PVC	100%	3000	LF	\$11.00	33,000.00							
2	3" W.L. CL 250 PVC	100%	3,000	LF	\$9.00	27,000.00							
3	2" CL 200 YELLOW MINE PVC WL (LABOR ONLY)		3,000	LF	\$5.00	15,000.00							
4	1" W.L. CL 200 PVC		500	LF	\$4.36	2,180.00							
5	3/4" W.L. CL 200 Polyethylene Service Line		200	LF	\$4.20	840.00							
6	6" GATE VALVE		2	EA	\$1,300.00	2,600.00							
7	3" GATE VALVE		2	EA	\$875.00	1,750.00							
8	BLOWOFF ASSEMBLY VALVE		2	EA	\$2,300.00	4,600.00							
9	FLUSH HYDRANTS		2	EA	\$4,100.00	8,200.00							
10	PAVEMENT SURFACE REPLACEMENT HEAVY DUTY		250	SY	\$3.00	750.00							
11	GRAVEL SURFACE REPLACEMENT		100	SY	\$2.00	200.00							
12	The New 3" to Existing 3" W/MET TAP		2	EA	\$2,367.60	4,735.20							
13	The New 3" to Existing 3" W/MET TAP		1	EA	\$750.00	750.00							
14	The New 3" to Existing 2" W/MET TAP		1	EA	\$750.00	750.00							
15	Meters to Reconnect		26	EA	\$270.00	7,020.00							
16	OPEN CUT Steel Casing		100	EA	\$45.00	4,500.00							
17	Fiberless Markers		8	EA	\$25.00	200.00							
18	5,000 GALLON STORAGE TANK		1	LS	\$58,414.31	58,414.31							
19	PUMP STATION		1	LS	\$69,593.95	69,593.95							
20	TELEMETRY		1	LS	\$43,000.00	43,000.00							
21	CONCRETE ENGAGEMENT		104	SY	\$23.08	2,400.32							
22	4" C - 900 PVC Waterline		240	LF	\$10.50	2,520.00							
23	4" GATE VALVE		1	EA	\$1,150.00	1,150.00							
24	8" C - 900 PVC Waterline (W/B MUNICY LABOR ONLY)		765	LF	\$10.00	7,650.00							
25	8" C - 900 PVC Waterline (materials)		160	LF	\$12.05	1,928.00							
26	RIP RAP INSTALLED		45	SY	\$45.00	2,025.00							
27	YARD HYDRANT		1	EA	\$650.00	650.00							
28	Pump Station Ductile Iron Pipe Bypass		1	LS	\$3,546.34	3,546.34							
29	BLADDER TANK BUILDING		1	LS	\$82,000.00	82,000.00							
30	RELOCATE RECONNECT FH		1	EA	\$2,100.00	2,100.00							
31	RECONNECT REECHWOOD APARTMENTS FLUSH MASTER METER		1	LS	\$2,000.00	2,000.00							
32	2" GATE VALVE		1	EA	\$675.00	675.00							
33	Hickory Street Asphalt Paving		85	sqn	\$892.00	75,720.00							
34	Water Meter to relocate		1	EA	\$2,987.60	2,987.60							
35	The In at Pump Station Building		1	EA	\$2,987.60	2,987.60							
36	The In at Pump Station Building		1	EA	\$2,987.60	2,987.60							
37	8" C - 900 PVC Waterline N STREET		642	LF	\$17.50	11,235.00							
TOTAL						\$ 397,795.53		\$ 6,555.64		\$ 284,601.78	\$ 115,193.75	\$ 284,601.78	72%

ELAPSED TIME FROM NOTICE TO PROCEED 74 Days = 74 DAYS OUT OF 90 TOTAL DAYS = 82.22%

PERIODIC ESTIMATE

ESTIMATE NO: 7 (seven)
FROM: 1/25/18 TO: 2/20/18

5 CERTIFICATION OF CONTRACTOR:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, I CERTIFY THAT ALL ITEMS AND AMOUNTS SHOWN ON THE FACE OF THIS PERIODIC ESTIMATE FOR PARTIAL PAYMENT ARE CORRECT. THAT ALL WORK HAS BEEN PERFORMED AND/OR MATERIAL SUPPLIED IN FULL ACCORDANCE WITH THE REQUIREMENTS OF THE REFERENCED CONTRACT, AND/OR DULY AUTHORIZED DEVIATIONS, SUBSTITUTIONS, ALTERATIONS, AND/OR ADDITIONS, THAT THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE CONTRACT ACCOUNT UP TO AND INCLUDING THE LAST DAY OF THE PERIOD COVERED BY THIS PERIODIC ESTIMATE; THAT NO PART OF THE 'BALANCE DUE THIS PAYMENT' HAS BEEN RECEIVED, AND THAT THE UNDERSIGNED AND HIS SUBCONTRACTORS HAVE (CHECK APPLICABLE LINE):

- A COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT
- B COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT EXCEPT IN THOSE INSTANCES WHERE AN HONEST DISPUTE EXISTS WITH RESPECT TO SAID LABOR PROVISIONS (IF 'B' IS CHECKED, BRIEFLY DESCRIBE NATURE OF DISPUTE.)

CONTRACTOR: ASH MOUNTAIN, INC.
P.O. 982
HARLAN, Ky. 40631

APPROVED BY: _____ TITLE: PRESIDENT DATE: 1/4/2018

6 CERTIFICATION OF ENGINEER

I CERTIFY THAT I HAVE CHECKED AND VERIFIED THE ABOVE AND FOREGOING PERIODIC ESTIMATE FOR PARTIAL PAYMENT; THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF IT IS A TRUE AND CORRECT STATEMENT OF WORK PERFORMED AND/OR MATERIAL SUPPLIED BY THE CONTRACTOR; THAT ALL WORK AND/OR MATERIAL INCLUDED IN THIS PERIODIC ESTIMATE HAS BEEN INSPECTED BY ME AND/OR MY DULY AUTHORIZED REPRESENTATIVE OR ASSISTANTS AND THAT IS HAS BEEN PERFORMED AND/OR SUPPLIED IN FULL ACCORDANCE WITH REQUIREMENTS OF THE REFERENCED CONTRACT; AND THAT PARTIAL PAYMENT CLAIMED AND REQUESTED BY THE CONTRACTOR IS CORRECTLY COMPUTED ON THE BASIS OF WORK PERFORMED AND/OR MATERIAL SUPPLIED TO DATE.

ENGINEER: SISLER-MAGGARD ENGINEERING, PLLC
220 EAST REYNOLDS ROAD / SUITE A3
LEXINGTON, KY 40517

APPROVED BY: _____ TITLE: _____ DATE: 1/1/

RESIDENT PROJECT REPRESENTATIVE
Michael K. Maggard TITLE: VP DATE: 2/22/18
ENGINEER

7 APPROVAL BY OWNER: HYDEN - LESLIE COUNTY WATER DISTRICT

APPROVED BY: [Signature] DATE: 2/22/18

PERIODIC ESTIMATE

ESTIMATE NO 7
PERIOD : FROM 1/25/2018 TO 2/20/2018

FORM OF WAIVER AND RELEASE OF LIEN

TO ALL WHOM IT MAY CONCERN

WHEREAS, the undersigned has performed or furnished, is performing, or furnishing, or will perform or furnish labor or material, fuel, equipment, equipment, tools, etc. in connection with the construction of

HOSPITAL HILL WATERLINE REPAIR AND REPLACEMENT

for HYDEN - LESLIE COUNTY WATER DISTRICT
at HYDEN, KY 41749

NOW, THEREFORE, THESE PRESENTS WITNESS, that the undersigned, for a good and valuable consideration to the undersigned will and truly paid at ofr before the signing and delivery hereof, the receipt whereof is hereby acknowledged, does hereby waive, release and relinquish any and all claims, liens and rights and claims of liens which the undersigned now has, or may hereafter have, on or against the said premises and the building, plant equipment and machinery of their Owner:

HYDEN - LESLIE COUNTY DISTRICT

or on or against

HYDEN - LESLIE COUNTY DISTRICT

on account of labor performed or to be performed or material, fuel, equipment, tools, etc furnished or to be furnished by the undersigned for use in connection with the construction and erection of said project; so that

HYDEN - LESLIE COUNTY DISTRICT

its successors and assigns, shall and may have, hold and enjoy the same, freed and discharged from all liens, claims, and demands whatsoever which the undersigned now has or might or could have if these presents had not been made.

IN WITNESS WHEREOF, the undersigned has hereunto set his hand and seal this

22nd day of February, 2018.

ASH MOUNTAIN, INC.

By: _____
SIGNATURE OF OFFICER OR PARTNER

Member
TITLE OF OFFICER

WITNESS: _____

Hyden-Leslie Water District
Operation & Maintenance Fund
PO Box 906
Hyden, KY 41749

517
421

26974


DATE 3-2-18

PAY TO THE
ORDER OF

Ash Mountain Inc.

\$ 6322.86

Six thousand three hundred twenty two and 86/100

DOLLARS  Security features included. Details on back.

**HYDEN
CITIZENS
CBBANK**

27023 Main Street • Hyden, KY 41749
606.672-2344 • Member FDIC

Est. # 7

MEMO



Augusta Palmer
JSP

MP

CHECK AUTHORIZATION FORM

HYDEN-LESLIE COUNTY WATER DISTRICT

Payee Name Ash Mountain Inc. Company _____

Amount \$ 6322.86 Check Date 3-2-18 Check No 24974

Ledger No 1595 Date Materials or Services Received _____

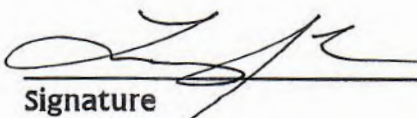
Prepared By A.S. Date Prepared 3-1-18

Description of Materials or Services Purchased (Tape small invoices on back)

Control Checklist

- 1. Evidence of receipt of goods attached? _____
- 2. Correct prices or rate charged? _____
- 3. Other purchase documents attached? _____
- 4. Disbursement properly classified in Ledger? _____
- 5. If equipment purchase, make copy for fixed asset file. _____

Final Check Authorization


Signature _____

3-2-18
Date _____

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: February 22, 2018
INVOICE NO. 17006-9
Sheet 1 of 2

SERVICE FOR:
HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
Hospital Hill Water Line Repair and Replacement

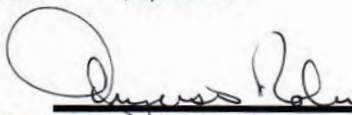
CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES
ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Plans & Specs					
A. Final Design	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
TOTAL	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
TOTAL FOR BASIC DESIGN	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
Phase B Bid Proposal					
A. Bidding and Negotiating	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
TOTAL	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
TOTAL FOR BIDDING	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
Phase C Right of Way Easements					
A. Right of Way	\$3,000.00	100.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL FOR RIGHT OF WAY	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00



 HYDEN LESLIE COUNTY WATER DISTRICT

02-22-18

 DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: February 22, 2018
 INVOICE NO. 17006-9
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 Hospital Hill Water Line Repair and Replacement

CONTRACT:

DESCRIPTION OF WORK

Phase D Construction admin					
A. Construction Admin	\$7,125.00	95.00%	\$6,768.75	\$6,590.63	\$178.12
TOTAL	<u>\$7,125.00</u>	<u>95.00%</u>	<u>\$6,768.75</u>	<u>\$6,590.63</u>	<u>\$178.12</u>
TOTAL FOR CONSTRUCTION ADM	\$7,125.00	95.00%	\$6,768.75	\$6,590.63	<u>\$178.12</u>
Phase E Construction Insp					
A. Construction Insp	\$25,650.00	95.00%	\$24,367.50	\$23,726.25	\$641.25
TOTAL	<u>\$25,650.00</u>	<u>95.00%</u>	<u>\$24,367.50</u>	<u>\$23,726.25</u>	<u>\$641.25</u>
TOTAL FOR CONSTRUCTION INSP	\$25,650.00	95.00%	\$24,367.50	\$23,726.25	<u>\$641.25</u>
<hr/>					
TOTAL CONTRACT PHASE II	<u>\$57,150.00</u>	<u>97.13%</u>	<u>\$55,511.25</u>	<u>\$35,816.88</u>	<u>\$819.37</u>
INVOICES NOT PAID					<u>\$0.00</u>
TOTAL NOW DUE					\$819.37

Hyden-Leslie Water District
Operation & Maintenance Fund
PO Box 906
Hyden, KY 41749

517
421

26975


DATE 3-2-18

PAY TO THE
ORDER OF

Sister-Margaret Eng.

\$ 819.37

Eight hundred nineteen and 37/100

DOLLARS  Security features included. Details on back.

H HYDEN
CITIZENS
CB BANK

2393 Main Street - Hyden, KY 41749
606-478-2544 - Member FDIC

MEMO

Inv #175016-9

[Signature]
[Signature]

MP



511

CHECK AUTHORIZATION FORM

HYDEN-LESLIE COUNTY WATER DISTRICT

Payee Name SME Company _____

Amount \$ 819.37 Check Date 3-2-18 Check No 26975

Ledger No 1596 Date Materials or Services Received _____

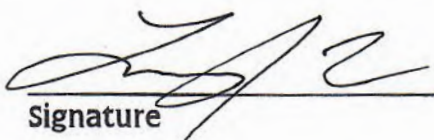
Prepared By A.B. Date Prepared 3-1-18

Description of Materials or Services Purchased (Tape small invoices on back)

Control Checklist

- 1. Evidence of receipt of goods attached? _____
- 2. Correct prices or rate charged? _____
- 3. Other purchase documents attached? _____
- 4. Disbursement properly classified in Ledger? _____
- 5. If equipment purchase, make copy for fixed asset file. _____

Final Check Authorization


Signature

3-2-18
Date

January Claims List

Date	Check #	Vendor	Amount	Check # or Payment Type
1/5/2018	3750	HLCWD Oper. & Maint. Fund	\$ 43,000.00	Transfer
1/5/2018	3751	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,427.10	Transfer
1/5/2018	3752	HLCWD Escrow Fund	\$ 330.00	Transfer
1/5/2018	3753	HLCWD Bond & Int. Sinking Fund 1989	\$ 4,054.79	Transfer
1/5/2018	3754	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,805.31	Transfer
1/5/2018	3755	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
1/5/2018	3756	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
1/5/2018	26793	Consolidated Pipe & Supply Co., Inc.	\$ 2,788.70	5220
1/5/2018	26794	Ky. Deferred Comp.	\$ 1,817.09	2045
1/5/2018	26795	C.I. Thornburg Co., Inc.	\$ 5,665.48	5220/5120
1/5/2018	26796	Cumberland Valley Electric Inc.	\$ 958.60	5110
1/5/2018	26797	Core & Main LP	\$ 1,080.86	5220
1/5/2018	26798	Postmaster	\$ 1,088.46	5410
1/5/2018	26799	Hyden Citizens Bank	\$ 5,286.65	2040
1/5/2018	26800	Ky. State Treasurer	\$ 475.96	2050
1/5/2018	26801	Ky. State Treasurer	\$ 1,700.96	2035
1/5/2018	26802	Thomas E. Baker	\$ 89.78	5220
1/5/2018	26803	Ky. State Treasurer	\$ 3,159.26	2070/2071/2072
1/5/2018	26804	Leslie Co. Occupational Tax Admin.	\$ 403.65	2048
1/5/2018	26805	Thomas E. Baker	\$ 435.29	5130/5220
1/5/2018	26806	Thomas E. Baker	\$ 405.22	5220
1/5/2018	26807	Frank W. Baker II	\$ 50.00	5450
1/5/2018	26808	Hyden Shoes & Boots	\$ 145.00	5070
1/5/2018		Payroll	\$ 14,704.64	
1/5/2018	26821	Mosley Auto Parts	\$ 1,521.74	5130/5130/5220/5130
1/16/2018	26822	Postmaster	\$ 187.68	5410
1/16/2018	26823	TDS	\$ 409.17	5430
1/19/2018	3757	HLCWD Oper. & Maint. Fund	\$ 75,000.00	Transfer
1/22/2018	3758	HLCWD Oper. & Maint. Fund	\$ 90,500.00	Transfer
1/19/2018	26824	Consolidated Pipe & Supply Co., Inc	\$ 8,052.94	5220
1/19/2018	26825	Piercy Mullins Ele.	\$ 1,051.06	5360
1/19/2018	26826	Hyden Citizens Bank	\$ 7,991.09	2040
1/19/2018	26827	Rumpke	\$ 121.17	5440
1/19/2018	26828	Horton Hardware	\$ 681.89	5220/5150/5130
1/19/2018	26829	Sizemore's Service Center	\$ 1,772.86	5230
1/19/2018	26830	Fred's Body Shop	\$ 962.62	5230
1/19/2018	26831	Quill Corporation	\$ 869.76	5420
1/19/2018	26832	The C.I. Thornburg CO., Inc.	\$ 2,898.80	5130
1/19/2018	26833	Ky. Deferred Compensation	\$ 2,917.88	2045
1/19/2018	26834	Begley Lumber & Bldg. Supply	\$ 119.81	5220
1/19/2018	26835	WIN-911 Software	\$ 495.00	5550
1/19/2018	26836	Home Lumber Co.	\$ 14.73	5220
1/19/2018	26837	Leslie Co. Treasurer	\$ 1,269.17	5240

1/19/2018	26838	McCoy & McCoy Labs, Inc.	\$	243.00	5340
1/19/2018	26839	Ky. Power Co.	\$	29,504.07	5110
1/19/2018	26840	Dana L. Campbell	\$	88.84	5220
1/19/2018	26841	Ky. Rural Water Assoc., Inc.	\$	199.00	5590
1/19/2018	26842	Cintas	\$	297.84	5070
1/19/2018		Payroll	\$	20,822.10	
1/19/2018	26855	Nixon Poser CO.	\$	1,375.00	5165
1/19/2018	26856	Core & Main LP		VOID	5220
1/19/2018	26857	Appalachian Wireless	\$	541.44	5430
1/19/2018	26858	KACo Benefits Group	\$	17,592.00	5060
1/22/2018	26859	Ash Mountain	\$	64,366.54	1595
1/22/2018	26860	Sisler-Maggard Eng.	\$	5,899.50	1596
1/30/2018		DLG Registration Fee	\$	500.00	DC
		Total	\$	212,522.30	

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
January 2018

	Jan 18	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	122,949.50	103,764.42	19,185.08	118.49%
4020 · Metered Sales Commercial	21,099.62	19,833.07	1,266.55	106.39%
4021 · Less Adjustment Residential	-219.18			
Total 4029 · Water Operating Revenues	143,829.94	123,597.49	20,232.45	116.37%
Total Income	143,829.94	123,597.49	20,232.45	116.37%
Gross Profit	143,829.94	123,597.49	20,232.45	116.37%
Expense				
5099 · Personnel				
5010 · Salaries and Wages	36,133.41	31,773.57	4,359.84	113.72%
5011 · Over Time Wages	14,431.83	3,012.00	11,419.83	479.14%
5013 · 401-K Retirement Wages	1,918.96	1,739.28	179.68	110.33%
5015 · Capitalized Labor	31.37			
5020 · Payroll Taxes	4,017.42	3,200.00	817.42	125.54%
5060 · Employee Health Ins.	17,592.00	23,360.47	-5,768.47	75.31%
5070 · Uniform Expense	442.84	450.00	-7.16	98.41%
Total 5099 · Personnel	74,567.83	63,535.32	11,032.51	117.36%
5199 · Plant				
5110 · Utilities	30,462.67	23,400.00	7,062.67	130.18%
5120 · Chemicals	4,749.69	6,250.00	-1,500.31	76.0%
5130 · Repair and Maintenance / Plant	3,020.68	1,000.00	2,020.68	302.07%
5140 · Operating Supplies	0.00	208.33	-208.33	0.0%
5150 · Small Tools	596.10	333.33	262.77	178.83%
5160 · Water Withdrawal Fee	0.00	733.33	-733.33	0.0%
5165 · Back-up Generator Maint.	1,375.00	400.00	975.00	343.75%
Total 5199 · Plant	40,204.14	32,324.99	7,879.15	124.38%
5299 · Field Operations				
5220 · Repair and Maintenance / Field	14,001.94	5,137.49	8,864.45	272.54%
5230 · Vehicle / Repair and Maint.	3,861.40	1,400.00	2,461.40	275.81%
5240 · Gas and Diesel	1,269.17	1,400.00	-130.83	90.66%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
January 2018

	Jan 18	Budget	\$ Over Budget	% of Budget
Total 5299 · Field Operations	19,132.51	7,937.49	11,195.02	241.04%
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	1,200.00	-1,200.00	0.0%
5340 · Water Analysis	243.00	1,583.33	-1,340.33	15.35%
5360 · Electrical Maintenance	1,051.06	200.00	851.06	525.53%
5370 · Communication Maintenance	0.00	125.00	-125.00	0.0%
Total 5399 · Contractual Services	1,294.06	3,108.33	-1,814.27	41.63%
5499 · Office Expenses				
5410 · Postage	1,276.14	1,400.00	-123.86	91.15%
5420 · Office Supplies	869.76	520.00	349.76	167.26%
5430 · Telephone Expense	409.17	477.14	-67.97	85.76%
5440 · Sanitation Pick-up Services	121.17	130.00	-8.83	93.21%
5450 · Computer Repair and Maintenance	50.00	333.33	-283.33	15.0%
5490 · Other Office Expenses	541.44	41.67	499.77	1,299.35%
Total 5499 · Office Expenses	3,267.68	2,902.14	365.54	112.6%
5599 · Administrative				
5510 · PSC Assessment	0.00	300.00	-300.00	0.0%
5520 · Insurance-General	4,125.84	4,333.33	-207.49	95.21%
5530 · Bad Debts	1,724.07	1,250.00	474.07	137.93%
5540 · Board Fees	0.00	2,516.67	-2,516.67	0.0%
5550 · Fees and Dues	995.00	300.00	695.00	331.67%
5560 · Publication Expense	0.00	166.67	-166.67	0.0%
5570 · Certification Training Expenses	0.00	375.00	-375.00	0.0%
5590 · Other Administrative Exp.	199.00	83.33	115.67	238.81%
Total 5599 · Administrative	7,043.91	9,325.00	-2,281.09	75.54%
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,138.13	1,138.13	0.00	100.0%
5626 · Interest Expense RD (2000)	910.75	899.63	11.12	101.24%
5628 · Interest Exp. RD Series A 2012	3,264.06	3,242.19	21.87	100.68%
5629 · Interest Exp. RD Series B 2012	3,416.25	3,382.97	33.28	100.98%
5630 · Interest Exp. Customer Dep.	4.16			
5640 · Depreciation Expense	70,528.00	71,531.00	-1,003.00	98.6%

1:12 PM
2/22/18
Accrual Basis

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
January 2018

	Jan 18	Budget	\$ Over Budget	% of Budget
Total 5699 - Interest and Depreciation Exp.	79,261.35	80,193.92	-932.57	98.84%
Total Expense	224,771.48	199,327.19	25,444.29	112.77%
Net Ordinary Income	-80,941.54	-75,729.70	-5,211.84	106.88%
Other Income/Expense				
Other Income				
4199 - Other Income				
4030 - Service Charges	92.00	250.00	-158.00	36.8%
4040 - Late Payment Penalties	3,264.15	3,750.00	-485.85	87.04%
4090 - Miscellaneous Revenues	36.78	33.33	3.45	110.35%
4110 - Interest Income	-3,946.34	416.67	-4,363.01	-947.11%
Total 4199 - Other Income	-553.41	4,450.00	-5,003.41	-12.44%
Total Other Income	-553.41	4,450.00	-5,003.41	-12.44%
Net Other Income	-553.41	4,450.00	-5,003.41	-12.44%
Net Income	-81,494.95	-71,279.70	-10,215.25	114.33%
Net Income Less Depreciation	-10,967.0	251.30	-11,218.3	
Payables				
Bonds				
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	
1991 Bonds Payable	\$ -	\$ -	\$ -	
2000 Bonds Payable	\$ 500.00	\$ 516.67	\$ 6,200.00	
2012 A Bonds Payable	\$ 1,125.00	\$ 1,166.67	\$ 14,000.00	
2012 B Bonds Payable	\$ 2,635.42	\$ 2,958.33	\$ 35,500.00	
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 90,700.04	
Net Position	-\$18,144.04	-\$6,925.79	-\$101,918.29	
Other Expenditures				
Hospital Hill Rehab	\$ 70,266.04			
Net Position	-\$88,410.08			

Hyden-Leslie County Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on March 29, 2018 at 4:00pm, at the business office of the Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:05 pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: No audience was present
3. Public Comment: None
4. Approval of previous meeting minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard announced he has a meeting scheduled with DLG April 10, 2018 do discuss project funding.
6. Water Line Relocation / Wooton HWY Bridge: Mr. Maggard stated the project was complete but isn't in service. Mr. Maggard stated that Carl Maggard made the complaint that someone had bent his fence post but he stated that it was most likely the highway contractor that caused the damages. His second concern involved some rocks that he wants picked up. Mr. Maggard told him that the water line crew would come back and clean up after the highway contractors are finished. Mr. Turner asked if there had been any progress on Lucille Day's complaint. Mr. Maggard stated that he hadn't heard anything else from her. He stated that her last request was for \$7,000.00. Mr. Maggard stated that H2O would try to settle with her if the subcontractor couldn't. Mr. Turner was concerned if it wasn't resolved the Water District would be liable at a later date. Mr. Maggard said that he would make sure it was resolved before the project was complete.

Mr. Maggard presented pay request for H2O construction and SME Construction. William T Horton made the motion to pay the H2O Construction Invoice #7 in the amount of \$16,129.92. The motion was seconded by Augustus Roberts. Motion carried unanimously. Timothy Helton made the motion to approve invoice #5 for SME in the amount of \$751.25. The motion was seconded by William T Horton. The motion carried unanimously.

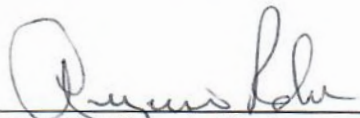
7. Hospital Hill: Mr. Maggard presented a quote from C.I. Thornburg for telemetry units to be installed at both new sites. Mr. Turner ask if the quote was for parts and installation. Mr. Maggard stated it was. Mr. Turner requested that he have time to review the quote before ordering the units. William Wooton made the motion to pull the telemetry units from the project and purchase them directly from C.I. Thornburg pending the approval from the District Manager. The motion was seconded by Ronnie Gay. The motion carried unanimously.

Mr. Maggard stated that he had looked at the possibility of moving the unused BPS from the mouth of Bowens creek to Nebraska Lane. He stated that it would work but we may need to reduce the pumping capacity. Mr. Turner added that installing VFD's would allow us to control the flow. Mr. Maggard stated that he would like to place the station on the right at the Singleton Cemetery. Mr. Turner questioned the pressures if the station was placed at the proposed location. He stated that one of the main goals he wants to achieve is being able to decrease the discharge pressure below 250 psi at the Camp Creek BPS. Mr. Maggard stated that putting the new station at the Singleton Cemetery would allow us to decrease the pressure roughly 80 psi which would put it around 230 psi. Mr. Maggard stated that he would look at all the options. Mr. Turner made the recommendation that we wait to move the station during the phase III project because of the financial condition of the district. He also stated that it isn't a pressing matter since we now have 24' of water in the Leeco tank. He also stated that the current discharge pressure of the Camp Creek BPS would not fill the tank using the fill line. In order to put water in the tank they had to open the check valve and fill from the discharge line. Although this is not ideal, the customers on Leeco do have a backup water supply if something happened to the BPS. Mr. Turner also informed the board that the Leeco Tank does have some leaks around the seams and that this could potentially be an expensive repair in the future.

8. Claims List: William T Horton made the motion to approve the claims list. William Wooton seconded the motion. Motion carried unanimously.
9. Financial Report: William T Horton made the motion to approve the financial report. The motion was seconded by Ronnie Gay. Motion carried unanimously.
10. Banking: Ronnie Gay made the motion to create a Payroll Account and close the CDBG Construction Project 2002 account. In addition, add online banking and transfer capability to the Payroll, Water Revenue, Operation and Maintenance and any other accounts that have the option. Manager Larry J Turner, Clerk Alyssa Bowling and Treasurer William T Horton will be the authorized users. The motion was seconded by Augustus Roberts. The motion carried unanimously.
11. Other New Business: Manager Turner asked Mr. Maggard if he had reviewed the possibility of using Essie Tank to feed across Army Trail. Mr. Maggard stated that there shouldn't be an issue with it. Mr. Turner also stated that while out in the system he had discovered that there was a station built at the mouth of Hell For Certain that didn't have any equipment in it. He asks for an explanation of why the station was built and never utilized. Mr. Maggard stated that it was intended for a BPS but previous Manager Leihman Howard Jr. had installed a PRV and didn't tell him. It was discovered after the station was built that we couldn't get water to the site said Mr. Maggard.

Ronnie Gay made the motion to give all employees one week of pay on the next check in order to hold the employees back one week. Motion was seconded by Augustus Roberts. Motion carried unanimously.
12. Executive Session: None
13. Adjournment: William T Horton made the motion to adjourn. The motion was seconded by William Wooton. Motion carried unanimously.

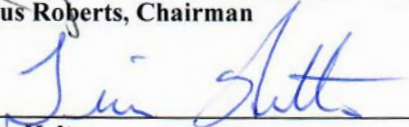
Approval of Minutes:



Augustus Roberts, Chairman

4-26-18

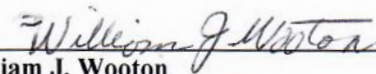
Date



Timothy Helton

4-26-18

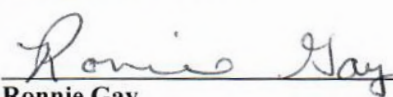
Date



William J. Wooton

4-26-18

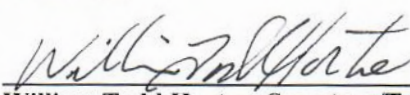
Date



Ronnie Gay

4-26-18

Date



William Todd Horton, Secretary/Treasurer

4-26-18

Date

**Hyden - Leslie County Water District
 March 29, 2018 Meeting
 Monthly Contractor expenditures**

Hospital Hill Project				
Contractor	Invoice #	Amount	Budget	Funds Remaining
Ash Mountain		\$0.00	\$287,603.46	\$17,231.76
SME		\$0.00	\$57,150.00	\$1,638.75
Total		\$0.00	\$344,753.46	\$18,870.51

KY 80 Wooton Bridge Relocate

Contractor	Invoice #	Amount	Budget	Funds Remaining
H2O Const.	7	\$16,129.92	\$99,787.00	\$14,836.28
SME	4	\$751.25	\$16,620.00	\$0.00
Total		\$16,881.17	\$116,407.00	\$14,836.28



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

INSTRUCTIONS

- Company shall **fully** complete this form.
- Submit this fully completed form, Company invoice and documentation of charges in **triplicate**.
- Final invoicing is to be submitted within 1 year of completion of work, per agreement and statute, to be considered for payment.
- Records of invoiced work are to be retained, as defined in the referenced agreement, and may be subject to audit.
- **MAIL TO:** Highway Chief District Engineer ATTN: District Utility Agent (*find at: <http://transportation.ky.gov/district.htm>*)

GENERAL ROAD PROJECT INFORMATION *(This section is as defined in the Agreement as executed)*

Location / Description:	Fiscal:
County <i>(if more than one, use page 2):</i> <u>Leslie</u>	Federal Number <i>(if applicable):</i> _____
Route/Road Name: <u>KY 80 Bridge</u>	State Number: _____
Project Description: <u>New Bridge over Cutshin Creek</u>	Item or AAR-DOT Number: _____

COMPANY INFORMATION

Company Name: Hyden - Leslie County Water District
Company Contact Name: J Turner
Company Invoice Number *(if applicable):* 7

Company Address *(as identified in the Agreement):*

P.O. Box 906

Hyden, KY 41749

DATE INVOICED WORK BEGAN *(not to precede State Letter date):* 11/23/2017 **DATE INVOICED WORK COMPLETED:**
3/29/2018

(Enter all dates using m/d/yyyy format)

INVOICING INFORMATION:

PARTIAL FINAL **BILL NO.** 7

AMOUNT OF THIS BILL	<u>\$16129.92</u>
TOTAL AMOUNT OF PREVIOUS BILLS	<u>\$68820.80</u>
TOTAL BILLS TO DATE	<u>\$84950.72</u>

Agreement Start Date :	<u>8/1/2016</u>
Purchase Order Number:	_____
Statute/Agreement Type:	KRS 177.035 <u>Keep Cost</u>
Agreement/PRDO Amount:	<u>\$99787.00</u>
Change Orders:	#1 <u>\$0.00</u>
	#2 \$ _____
	<i>(For additional orders, use page 2)</i> #3 \$ _____
	TOTAL FROM PG 2 <u>\$0.00</u>
	TOTAL: <u>\$99787.00</u>

COMPANY CERTIFICATION:

I certify, to the extent applicable: the attached invoice is a true statement of costs incurred by our Company in constructing the most economical type of facilities in the new location as will satisfactorily meet the same service requirements as the old facilities in the old location on subject project; all materials for which we seek reimbursement adhere to federal Buy America provisions as required; and all costs listed are eligible for payment by KYTC.

Signature:
 Title: Chairman
 Date: 3-29-18

FOR CABINET USE ONLY:

Checked: District Utility Agent Date

Recommended/Approved: Chief District Engineer Date

Approved: Director, Division of Right of Way & Utilities Date



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADDT'L CHANGE ORDERS:	#4	\$ _____
	#5	\$ _____
	#6	\$ _____
	#7	\$ _____
	#8	\$ _____
	#9	\$ _____
	#10	\$ _____
	#11	\$ _____
	#12	\$ _____
	#13	\$ _____
	#14	\$ _____
	#15	\$ _____
TOTAL PAGE 2		<u>\$0.00</u>

(automatically transfers to page 1)

NOTE: If the amount of this bill applies to more than one county the correct distribution **MUST** be shown on the following lines.

COUNTY:	ACTUAL AMOUNT:	PERCENTAGE (if applicable):
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

**** This section is only needed if any information is entered on page 2 ****

COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



PERIODIC ESTIMATE

ESTIMATE NO 7
PERIOD: From February 21, 2018 To March 23, 2018

PROJECT NO: 15045

CONTRACTOR: H2O CONSTRUCTION
240 MARE CREEK
STANVILLE, KY 41659

2 SCHEDULE OF CONTRACT CHANGE ORDERS:

NO	DATE	DESCRIPTION	TOTAL ADD	TOTAL DEDUCT	TOTAL C.O.	COMPLETED TO DATE
TOTALS			\$0.00	\$0.00		\$0.00

3 ANALYSIS OF ADJUSTED CONTRACT AMOUNT TO DATE:

A	ORIGINAL CONTRACT AMOUNT	\$99,787.00
B	PLUS ADDITIONS SCHEDULED ABOVE	
C	LESS DEDUCTIONS SCHEDULED ABOVE	
ADJUSTED CONTRACT AMOUNT TO DATE		\$99,787.00

4 ANALYSIS OF WORK PERFORMED

A	COST OF ORIGINAL CONTRACT WORK PERFORMED TO DATE	\$0.00
B	EXTRA WORK PERFORMED TO DATE (CHANGE ORDERS)	
C	TOTAL COST OF WORK PERFORMED TO DATE	\$89,421.81
D	ADD MATERIALS STORED AT CLOSE OF THIS PERIOD	
E	TOTAL COST OF WORK PERFORMED AND MATERIAL STORED TO DATE	\$89,421.81
F	LESS AMOUNT RETAINED IN ACCORDANCE WITH CONTRACT (5 %)	\$4,471.09
G	NET AMOUNT EARNED ON CONTRACT WORK TO DATE	\$84,950.72
H	LESS AMOUNT OF PREVIOUS PAYMENTS	\$68,820.80
I	<u>BALANCE DUE THIS PAYMENT</u>	<u>\$16,129.92</u>

PERIODIC ESTIMATE

ESTIMATE NO: 7 (seven)
FROM: 2/21/18 TO: 3/23/18

5 CERTIFICATION OF CONTRACTOR:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, I CERTIFY THAT ALL ITEMS AND AMOUNTS SHOWN ON THE FACE OF THIS PERIODIC ESTIMATE FOR PARTIAL PAYMENT ARE CORRECT. THAT ALL WORK HAS BEEN PERFORMED AND/ OR MATERIAL SUPPLIED IN FULL ACCORDANCE WITH THE REQUIREMENTS OF THE REFERENCED CONTRACT, AND/OR DULY AUTHORIZED DEVIATIONS, SUBSTITUTIONS, ALTERATIONS, AND/OR ADDITIONS, THAT THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE CONTRACT ACCOUNT UP TO AND INCLUDING THE LAST DAY OF THE PERIOD COVERED BY THIS PERIODIC ESTIMATE; THAT NO PART OF THE 'BALANCE DUE THIS PAYMENT' HAS BEEN RECEIVED, AND THAT THE UNDERSIGNED AND HIS SUBCONTRACTORS HAVE (CHECK APPLICABLE LINE):

- A COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT
- B COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT EXCEPT IN THOSE INSTANCES WHERE AN HONEST DISPUTE EXISTS WITH RESPECT TO SAID LABOR PROVISIONS (IF 'B' IS CHECKED, BRIEFLY DESCRIBE NATURE OF DISPUTE.)

CONTRACTOR: H2O CONSTRUCTION
240 MARE CREEK
STANVILLE, KY 41659

APPROVED BY: _____ TITLE: PRESIDENT DATE: 3/29/2018

6 CERTIFICATION OF ENGINEER

I CERTIFY THAT I HAVE CHECKED AND VERIFIED THE ABOVE AND FOREGOING PERIODIC ESTIMATE FOR PARTIAL PAYMENT; THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF IT IS A TRUE AND CORRECT STATEMENT OF WORK PERFORMED AND/OR MATERIAL SUPPLIED BY THE CONTRACTOR; THAT ALL WORK AND/OR MATERIAL INCLUDED IN THIS PERIODIC ESTIMATE HAS BEEN INSPECTED BY ME AND/OR MY DULY AUTHORIZED REPRESENTATIVE OR ASSISTANTS AND THAT IS HAS BEEN PERFORMED AND/OR SUPPLIED IN FULL ACCORDANCE WITH REQUIREMENTS OF THE REFERENCED CONTRACT; AND THAT PARTIAL PAYMENT CLAIMED AND REQUESTED BY THE CONTRACTOR IS CORRECTLY COMPUTED ON THE BASIS OF WORK PERFORMED AND/OR MATERIAL SUPPLIED TO DATE.

ENGINEER: SISLER-MAGGARD ENGINEERING, PLLC
220 EAST REYNOLDS ROAD / SUITE A3
LEXINGTON, KY 40517

APPROVED BY: _____ TITLE: _____ DATE: / /

RESIDENT PROJECT REPRESENTATIVE
Michael K. Maggard TITLE: V. P.
ENGINEER

DATE: 3-29-18

7 APPROVAL BY OWNER: HYDEN - LESLIE COUNTY WATER DISTRICT

APPROVED BY: [Signature]

DATE: 3-29-18

PERIODIC ESTIMATE

ESTIMATE NC 7
PERIOD : FROM 2/21/2018 TO 3/23/2018

FORM OF WAIVER AND RELEASE OF LIEN

TO ALL WHOM IT MAY CONCERN

WHEREAS, the undersigned has performed or furnished, is performing, or furnishing, or will perform or furnish labor or material, fuel, equipment, equipment, tools, etc. in connection with the construction of

WOOTON BRIDGE WATERLINE RELOCATION

for HYDEN - LESLIE COUNTY WATER DISTRICT
at HYDEN, KY 41749

NOW, THEREFORE, THESE PRESENTS WITNESS, that the undersigned, for a good and valuable consideration to the undersigned will and truly paid at ofr before the signing and delivery hereof, the receipt whereof is hereby acknowledged, does hereby waive, release and relinquish any and all claims, liens and rights and claimsof liens which the undersigned now has, or may hereafter have, on or against the said premises and the building, plant equipment and machinery of their Owner:

HYDEN - LESLIE COUNTY DISTRICT

or on or against

HYDEN - LESLIE COUNTY DISTRICT

on account of labor performed or to be performed or material, fuel, equipment, tools, etc furnished or to be furnished by the undersigned for use in connection with the construction and erection of said project; so that

HYDEN - LESLIE COUNTY DISTRICT

its successors and assigns, shall and may have, hold and enjoy the same, freed and discharged from all liens, claims, and demands whatsoever which the undersigned now has or might or could have if these presents had not been made.

IN WITNESS WHEREOF, the undersigned has hereunto set his hand and seal this

29th day of March, 2018.

H2O CONSTRUCTION

By: _____
SIGNATURE OF OFFICER OR PARTNER

Member _____
TITLE OF OFFICER

WITNESS: _____



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADDT'L CHANGE ORDERS:	#4	\$ _____
	#5	\$ _____
	#6	\$ _____
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TOTAL PAGE 2		<u>\$0.00</u>

(automatically transfers to page 1)

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_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

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COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: March 29, 2018
INVOICE NO. 15045-5
Sheet 1 of 2

SERVICE FOR:
HYDEN LESLIE COUNTY WATER DISTRICT

JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
KY 80 Smith Camp Waterline Relocation

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749


CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES
ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Plans & Specs					
A. Final Design	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
TOTAL	<u>\$10,150.00</u>	<u>100.00%</u>	<u>\$10,150.00</u>	<u>\$10,150.00</u>	<u>\$0.00</u>
TOTAL FOR BASIC DESIGN	<u>\$10,150.00</u>	<u>100.00%</u>	<u>\$10,150.00</u>	<u>\$10,150.00</u>	<u>\$0.00</u>
Phase B Bid Proposal					
A. Bidding and Negotiating	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
TOTAL	<u>\$2,175.00</u>	<u>100.00%</u>	<u>\$2,175.00</u>	<u>\$2,175.00</u>	<u>\$0.00</u>
TOTAL FOR BIDDING	<u>\$2,175.00</u>	<u>100.00%</u>	<u>\$2,175.00</u>	<u>\$2,175.00</u>	<u>\$0.00</u>
Phase C Right of Way Easements					
A. Right of Way	\$3,000.00	100.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL	<u>\$3,000.00</u>	<u>0.00%</u>	<u>\$3,000.00</u>	<u>\$3,000.00</u>	<u>\$0.00</u>
TOTAL FOR RIGHT OF WAY	<u>\$3,000.00</u>	<u>0.00%</u>	<u>\$3,000.00</u>	<u>\$3,000.00</u>	<u>\$0.00</u>


 HYDEN LESLIE COUNTY WATER DISTRICT

3-29-18
 DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: March 29, 2018
 INVOICE NO. 15045-5
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

 ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:
 HYDEN - LESLIE COUNTY WATER DISTRICT
 KY 80 Smith Camp Waterline Relocation

CONTRACT:

DESCRIPTION OF WORK

Phase D Construction admin					
A. Construction Admin	\$2,175.00	86.54%	\$1,882.25	\$1,131.00	\$751.25
TOTAL	<u>\$2,175.00</u>	<u>86.54%</u>	<u>\$1,882.25</u>	<u>\$1,131.00</u>	<u>\$751.25</u>
TOTAL FOR CONSTRUCTION ADM	\$2,175.00	86.54%	\$1,882.25	\$1,131.00	<u>\$751.25</u>
Phase E Construction Insp					
A. Construction Insp	\$10,150.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL	<u>\$10,150.00</u>	<u>0.00%</u>	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>
TOTAL FOR CONSTRUCTION INSP	\$10,150.00	0.00%	\$0.00	\$0.00	<u>\$0.00</u>
<hr/>					
TOTAL CONTRACT PHASE II	<u>\$27,650.00</u>	<u>62.23%</u>	<u>\$17,207.25</u>	<u>\$6,306.00</u>	<u>\$751.25</u>
INVOICES NOT PAID					<u>\$0.00</u>
TOTAL NOW DUE					\$751.25



THE C.I. THORNBURG CO., INC.
 4034 ALTIZER AVE.
 HUNTINGTON, WV 25705
 304-523-3484
 Fax 304-523-0510

EXPIRATION DATE	QUOTE NUMBER
01/02/2018	S100036021
THE C.I. THORNBURG CO., INC. 4034 ALTIZER AVE. HUNTINGTON, WV 25705 304-523-3484 Fax 304-523-0510	PAGE NO.
	1 of 3

QUOTE TO:

SHIP TO:

HYDEN-LESLIE COUNTY WATER DIST
 356 WENDOVER RD
 P O BOX 906
 HYDEN, KY 41749

HYDEN - LESLIE COUNTY WATER DIST
 356 WENDOVER RD
 P O BOX 906
 HYDEN, KY 41749

CUSTOMER NUMBER	CUSTOMER PO NUMBER	JOB NAME / RELEASE NUMBER	SALESPERSON	
4047	HOSPITAL HILL BPS		Tony Omohundro	
WRITER	SHIP VIA	TERMS	SHIP DATE	FREIGHT ALLOWED
Randall Clark	SERVICE DEPT	NET 30 DAYS	11/03/2017	No
ORDER QTY	DESCRIPTION		UNIT PRICE	EXT PRICE
1ea	THE FOLLOWING CONTROLS, PROGRAMMING LABOR, AND STARTUP/CONFIGURATION FOR THE "HOSPITAL HILL HYDRO BOOSTER STATION RTU" PER THE UPGRADED STATION SPECS PROVIDED TO US BY ENDOSOL, SME, AND THE HLWD, WITH OPTIONAL FIELD INSTALLATION. UPDATED MARCH 29, 2018: ***** CITCO CUSTOM PUMP STATION CONTROL PANEL INCLUDING PLC AND ANALOG OUTPUT MODULE FOR CONTROLLING VFD SPEED, POWER SUPPLY W/ BATTERY BACKUP/POWER FAIL INPUT, COLOR TOUCHSCREEN OPERATOR INTERFACE, ETHERNET SWITCH, RADIO/COAX/ANTENNA, CONDENSATION HEATER, C/B, SURGE ARRESTOR, ALL PRE-MOUNTED, WIRED AND TESTED IN NEMA 4 ENCLOSURE, INCLUDING STARTUP, CALIBRATION, AND HMI COMPUTER ADDITIONS, AND ALL PLC AND HMI PROGRAMMING			
** Continued on Next Page *			Subtotal	
			S&H Charges	
			Amount Due	



THE C.I. THORNBURG CO., INC.
 4034 ALTIZER AVE.
 HUNTINGTON, WV 25705
 304-523-3484
 Fax 304-523-0510

EXPIRATION DATE	QUOTE NUMBER
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THE C.I. THORNBURG CO., INC. 4034 ALTIZER AVE. HUNTINGTON, WV 25705 304-523-3484 Fax 304-523-0510	
PAGE NO. 3 of 3	

QUOTE TO:

SHIP TO:

HYDEN-LESLIE COUNTY WATER DIST
 356 WENDOVER RD
 P O BOX 906
 HYDEN, KY 41749

HYDEN - LESLIE COUNTY WATER DIST
 356 WENDOVER RD
 P O BOX 906
 HYDEN, KY 41749

CUSTOMER NUMBER	CUSTOMER PO NUMBER	JOB NAME / RELEASE NUMBER	SALESPERSON	
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WRITER	SHIP VIA	TERMS	SHIP DATE	FREIGHT ALLOWED
Randall Clark	SERVICE DEPT	NET 30 DAYS	11/03/2017	No
ORDER QTY	DESCRIPTION		UNIT PRICE	EXT PRICE
1ea	^LOT OF RTU INSTALLATION LABOR BY CITCO FIELD TECHNICIANS, INCLUDING: 1) MOUNTING THE RTU PANEL IN NEW BPS BUILDING 2) WIRE/CONDUIT FROM POWER SOURCE INTO RTU 3) MOUNT/WIRE ANTENNA AND COAX TO RTU PANEL. ANTENNA WILL MOUNT TO VERTICAL CONDUIT ATTACHED TO BUILDING 4) WIRE/CONDUIT FROM RTU TO NEW PUMP CONTROL PANEL FOR MONITORING/CONTROLLING PUMPS FROM DISCHARGE PRESSURE		2500.000/ea	2500.00
Extras not listed or spelled out are not included in pricing. We reserve the right to correct clerical errors.			Subtotal	17645.00
			S&H Charges	0.00
			Amount Due	17645.00

Mike@SislerMaggard.com

From: Randy Clark <Randy.Clark@CITHORNBURG.COM>
Sent: Thursday, March 29, 2018 9:16 AM
To: Mike@SislerMaggard.com
Cc: Todd Bennett; Jon Kuchenbrod
Subject: RE: HLWD Hospital Hill BPS Control Panel
Attachments: S100036021-0001 Hospital Hill BPS RTU REV A.pdf

Mike,

Attached is the revised quote for the Hospital Hill Booster Station RTU for Hyden Leslie. The station is designed and priced based the owner's requirements following our site visit, and on e-mails to and from Ellis King to integrate with the control panel furnished with the station.

The slight increase in price is due to 2 items: One is the increase price for the Calamp Integra TR Radio that is discontinuing in August 2018 (The District is aware of this "End of Life" radio issue), and the other is an increase in our panel building costs.

Please review and let me know if you have any questions.

I'll call today to follow up and go over the quote in detail.

Randy Clark, PE
Vice President – Engineered Prod. Div.

Did you know CITCO offers instrumentation and flow calibration services?

The C. I. Thornburg Co., Inc.

4034 Altizer Avenue
Huntington, WV 25705
Ph: 304-523-3484
Fax: 304-523-0510
e-mail: randy.clark@cithornburg.com

From: Mike@SislerMaggard.com <mike@sislermaggard.com>
Sent: Thursday, March 29, 2018 8:31 AM
To: Randy Clark <Randy.Clark@CITHORNBURG.COM>
Subject: RE: HLWD Hospital Hill BPS Control Panel

Need an updated quote please

I need to get it approved tonight so that we can proceed

Thanks

Michael K. Maggard
Vice – President

43147	26906	Jamie S. Bowling	\$ 62.53	5150
43147	26907	Leslie Co. Treasurer	\$ 2,369.19	5240
43147	26908	Living Waters Co., Inc.	\$ 2,041.07	5130
43147	26909	Cintas	\$ 372.30	5070
43147	26910	Larry J. Turner	\$ 7.50	5410
43147	26911	Maggard Electric	\$ 75.00	5220
43147	26912	Ky. Deferred Comp.	\$ 2,008.58	2045
43147	26913	TDS	\$ 874.85	5430
43147	26914	Clarks Auto Parts	\$ 792.54	5230/5220/5299/5150
43147	26915	McCoy & McCoy Labs, Inc.	\$ 376.00	5340
43147	26916	Kona Products	\$ 340.00	5140
43147	26917	Augustus Roberts	\$ 1,000.00	5540
43147	26918	Timothy Helton	\$ 1,000.00	5540
43147	26919	Todd Horton	\$ 1,000.00	5540
43147	26920	Ronnie Gay	\$ 1,000.00	5540
43147	26921	William Wooton	\$ 600.00	5540
43147	26922	KACO Benefits Group	\$ 19,831.92	5060
43147	26923	Postmaster	\$ 50.00	5410
43147	26924	Payroll Taxes	\$ 5,202.10	2040
43147	26925	Core & Main LP	\$ 627.95	5220
43147	26926	Consolidated Pipe & Supply Co., Inc.	\$ 2,586.00	5220
43147		Payroll	\$ 15,614.54	Payroll
43147	26939	Pennington Tire	\$ 62.00	5230
43147	26940	C.I. Thornburg Co., Inc.	\$ 5,476.45	5120
43153	26941	Postmaster	\$ 225.00	5410
43153	26942	Postmaster	\$ 132.66	5410

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
February 2018

	Feb 18	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	96,559.99	103,764.42	-7,204.43	93.06%
4020 · Metered Sales Commercial	17,747.10	19,833.07	-2,085.97	89.48%
4021 · Less Adjustment Residential	-379.12			
Total 4029 · Water Operating Revenues	113,927.97	123,597.49	-9,669.52	92.18%
Total Income	113,927.97	123,597.49	-9,669.52	92.18%
Expense				
Gross Profit	113,927.97	123,597.49	-9,669.52	92.18%
Expense				
5099 · Personnel				
5010 · Salaries and Wages	32,131.07	31,773.57	357.50	101.13%
5011 · Over Time Wages	7,371.57	3,012.00	4,359.57	244.74%
5013 · 401-K Retirement Wages	1,487.47	1,739.28	-251.81	85.52%
5015 · Capitalized Labor	545.06			
5020 · Payroll Taxes	3,177.45	3,200.00	-22.55	99.3%
5060 · Employee Health Ins.	19,831.92	23,360.47	-3,528.55	84.9%
5070 · Uniform Expense	686.89	450.00	236.89	152.64%
Total 5099 · Personnel	65,231.43	63,535.32	1,696.11	102.67%
5199 · Plant				
5110 · Utilities	31,573.19	23,400.00	8,173.19	134.93%
5120 · Chemicals	8,818.54	6,250.00	2,568.54	141.1%
5130 · Repair and Maintenance / Plant	2,872.84	1,000.00	1,872.84	287.28%
5140 · Operating Supplies	1,045.02	208.33	836.69	501.62%
5150 · Small Tools	303.41	333.33	-29.92	91.02%
5160 · Water Withdrawal Fee	2,369.05	733.33	1,635.72	323.05%
5165 · Back-up Generator Maint.	0.00	400.00	-400.00	0.0%
5170 · Misc. Plant Expense	15.44			
Total 5199 · Plant	46,997.49	32,324.99	14,672.50	145.39%
5299 · Field Operations				
5220 · Repair and Maintenance / Field	3,424.72	5,137.49	-1,712.77	66.66%
5230 · Vehicle / Repair and Maint.	1,225.56	1,400.00	-174.44	87.54%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
February 2018

	Feb 18	Budget	\$ Over Budget	% of Budget
5240 · Gas and Diesel	2,369.19	1,400.00	969.19	169.23%
Total 5299 · Field Operations	7,019.47	7,937.49	-918.02	88.43%
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	1,200.00	-1,200.00	0.0%
5340 · Water Analysis	716.00	1,583.33	-867.33	45.22%
5360 · Electrical Maintenance	0.00	200.00	-200.00	0.0%
5370 · Communication Maintenance	0.00	125.00	-125.00	0.0%
Total 5399 · Contractual Services	716.00	3,108.33	-2,392.33	23.04%
5499 · Office Expenses				
5410 · Postage	1,461.84	1,400.00	61.84	104.42%
5420 · Office Supplies	795.44	520.00	275.44	152.97%
5430 · Telephone Expense	886.99	477.14	409.85	185.9%
5440 · Sanitation Pick-up Services	121.17	130.00	-8.83	93.21%
5450 · Computer Repair and Maintenance	211.95	333.33	-121.38	63.59%
5490 · Other Office Expenses	0.00	41.67	-41.67	0.0%
Total 5499 · Office Expenses	3,477.39	2,902.14	575.25	119.82%
5599 · Administrative				
5510 · PSC Assessment	0.00	300.00	-300.00	0.0%
5520 · Insurance-General	4,125.84	4,333.33	-207.49	95.21%
5530 · Bad Debts	970.46	1,250.00	-279.54	77.64%
5540 · Board Fees	4,600.00	1,250.00	3,350.00	368.0%
5550 · Fees and Dues	0.00	300.00	-300.00	0.0%
5560 · Publication Expense	0.00	166.67	-166.67	0.0%
5570 · Certification Training Expenses	0.00	375.00	-375.00	0.0%
5590 · Other Administrative Exp.	224.00	83.33	140.67	268.81%
Total 5599 · Administrative	9,920.30	8,058.33	1,861.97	123.11%
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,488.13	1,138.13	350.00	130.75%
5626 · Interest Expense RD (2000)	911.25	899.63	11.62	101.29%
5628 · Interest Exp. RD Series A 2012	3,264.06	3,242.19	21.87	100.68%
5629 · Interest Exp. RD Series B 2012	3,416.25	3,382.97	33.28	100.98%
5630 · Interest Exp. Customer Dep.	1.36			

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
February 2018

	Feb 18	Budget	\$ Over Budget	% of Budget
5640 · Depreciation Expense	70,528.00	71,531.00	-1,003.00	98.6%
Total 5699 · Interest and Depreciation Exp.	79,609.05	80,193.92	-584.87	99.27%
Total Expense	212,971.13	198,060.52	14,910.61	107.53%
Net Ordinary Income	-99,043.16	-74,463.03	-24,580.13	133.01%
Other Income/Expense				
Other Income				
4199 · Other Income				
4030 · Service Charges	270.00	250.00	20.00	108.0%
4040 · Late Payment Penalties	5,047.29	3,750.00	1,297.29	134.59%
4090 · Miscellaneous Revenues	11.13	33.33	-22.20	33.39%
4110 · Interest Income	-1,134.01	0.00	-1,134.01	100.0%
Total 4199 · Other Income	4,194.41	4,033.33	161.08	103.99%
Total Other Income	4,194.41	4,033.33	161.08	103.99%
Net Other Income	4,194.41	4,033.33	161.08	103.99%
Net Income	-94,848.75	-70,429.70	-24,419.05	134.67%
Net Income Less Depreciation	-24,320.8	1,101.30	-25,422.1	
Payables				
Bonds				
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	
1991 Bonds Payable	\$ -	\$ -	\$ -	
2000 Bonds Payable	\$ 500.00	\$ 516.67	\$ 6,200.00	
2012 A Bonds Payable	\$ 1,125.00	\$ 1,166.67	\$ 14,000.00	
2012 B Bonds Payable	\$ 2,635.42	\$ 2,958.33	\$ 35,500.00	
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 90,700.04	
Net Position	-\$31,497.84	-\$6,075.79	-\$116,122.09	
Other Expenditures				
Hospital Hill Rehab	\$ 7,142.23			
Net Position	-\$38,640.07			

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager


The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on April 26, 2018 at 4:00pm, at the business office of the Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00 pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: No audience was present
3. Public Comment: None
4. Approval of previous meeting minutes: Minutes were reviewed. Commissioner Timothy Helton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that about two weeks ago, he met with the Governor's Office to discuss what we needed to do to move forward with the CDBG and the ARC Grant. He feels that when Rural Development is satisfied, CDBG and ARC will be also. He stated that after meeting with RD, he was informed that there was an issue with the budget. Within the budget was \$2,700,000.00 to permanently refinance funds that were used to complete a previous project. Mr. Maggard said the previous RD administration was okay with the structure of the budget, but the new administration interpreted RD1780 differently. RD stated that they will not refinance a loan that exceeds 50% of the total project and to move forward with the funding, the Water District must remove this from the budget and refinance this amount outside of the phase III project. Mr. Maggard recommended that the Water District permanently refinance the amount with KRWFC. He stated that he had spoken with them and they said it wouldn't be an issue. Since this amount has been rolled over multiple times the initial 2.4 million that was borrowed is now 2.7 million. After we pull that amount out of the project, the new budget total will be 4.5 Million and will then become fundable. There were also concerns about the budget because the estimates were a couple years old, so it has now been revised and ready for Water District approval. Mr. Maggard stated the new budget was presented to RD and they've verbally agreed to it. He also stated that he was working on the Summary Addendum which includes a projected budget. Mr. Maggard presented a new Phase III budget for board approval and explained that if we approve the budget he'll have to edit the project profile. Mr. Turner added that if the board moves forward with the new budget along the existing amount to be refinanced that RD would likely require a significant increase in water rates. After lengthy discussion concerning the topic William T Horton made the motion to approve the updated Phase III budget. The motion was seconded by William Wooton. The motion carried unanimously.

The board recommended that Mr. Maggard look at all options for refinancing the 2.7 Million that is currently financed with KRWFC.

6. Water Line Relocation/ Wooton Bridge: Mr. Maggard stated that the contractor was finished other than some clean up. Mr. Turner asked why the contractor hasn't put the new line in service. Mr. Maggard said that his understanding was that the water district would be switching it over. Mr. Turner asked for an update on Lucille Day's complaint, because her new service isn't hooked up on the new line. Mr. Maggard said he'd have the contractor come back and revisit the issue.
7. Hospital Hill Improvements: The project should be completed in 30 days. Mr. Turner stated that at the last board meeting, a motion was made to approve the purchase of a telemetry unit at the BPS in the amount of \$17,645.00, pending thorough review. He informed the board that after reviewing that quote, he decided the best option would be to add only one RTU in the new Hospital Hill Tank Station. This would replace an old outdated RTU while being able to monitor the system pressures. This option would only cost the District \$3,613.48.
8. Claims List: The Claims List was reviewed. Augustus Roberts made the motion to approve the claims list. The motion was seconded by Tim Helton. Motion carried unanimously.
9. Financial Report: Mr. Turner informed the board that some final adjustments had been made that will reflect on this statement. William Todd Horton made the motion to approve the financial report. The motion was seconded by Ronnie Gay. Motion was carried unanimously.
10. Banking: No new business
11. Other New Business: No new business
12. Executive Session: No new business
13. Adjournment: Motion was made to adjourn by Ronnie Gay. Motion was seconded by William Wooton. Motion carried unanimously.

Approval of Minutes:



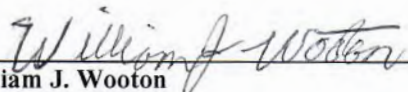
Augustus Roberts, Chairman

5-31-18

Date

Timothy Helton

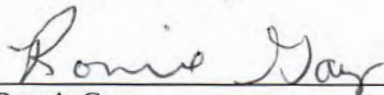
Date



William J. Wooton

5-31-18

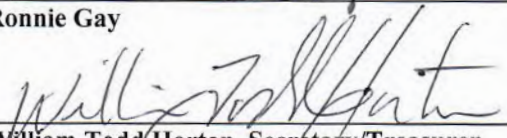
Date



Ronnie Gay

5-31-18

Date



William Todd Horton, Secretary/Treasurer

5/31/18

Date

HYDEN - LESLIE COUNTY WATER DISTRICT Phase III - PROJECT COST ESTIMATE WATER SYSTEM IMPROVEMENTS - WX 21131002						
ITEM NO.	ITEM DESCRIPTION	UNIT QUANTITY	UNIT COST	TOTAL COST	AML PERCENTAGE	AML PORTION
1	EXISTING DAM REPAIR SHEET PILING	1 LS	\$400,000.00	\$400,000.00	17.00%	\$68,000.00
2	EXISTING DAM REPAIR ADDITIONAL CONCRETE WORK	1 LS	\$400,000.00	\$400,000.00	17.00%	\$68,000.00
3	INSTALL VFD'S AT HIGH SERVICE PUMPS AT THE PLANT	1 LS	\$50,000.00	\$50,000.00	17.00%	\$8,500.00
4	INSTALL COVER AND INSTALL PIPING AT DRYING BEDS	1 LS	\$50,000.00	\$50,000.00	17.00%	\$8,500.00
5	REPAIR 1 MGD DOLLAR STORE TANK	1 LS	\$50,000.00	\$50,000.00	17.00%	\$8,500.00
6	REPAIR SPUR TANK	1 LS	\$10,000.00	\$10,000.00	17.00%	\$1,700.00
7	REPLACE WOLFE CREEK PUMP STATION	1 LS	\$125,000.00	\$125,000.00	50.00%	\$62,500.00
8	REPLACE HURRICANE CREEK PUMP STATION	1 LS	\$175,000.00	\$175,000.00	25.00%	\$43,750.00
9	REPLACE 100 GPM ESSIE WATER BOOSTER STATION	1 LS	\$175,000.00	\$175,000.00		
10	REPLACE 25 GPM WILDER WATER BOOSTER STATION	1 LS	\$75,000.00	\$75,000.00		
11	REPLACE 25 GPM HONEYSUCKLE LANE WATER BOOSTER STATION	1 LS	\$75,000.00	\$75,000.00		
12	REPLACE 25 GPM GLADY BRANCH WATER BOOSTER STATION	1 LS	\$75,000.00	\$75,000.00		
13	REPLACE 220 GPM MUNCY CREEK WATER BOOSTER STATION	1 LS	\$125,000.00	\$125,000.00		
14	PHILLIPS FORK WATERLINE EXTENSION (6.63 MILES 4" w.l.)	6.63 MILES	\$100,000.00	\$663,000.00		
15	YEADISS WATERLINE EXTENSION (4 MILES 4" w.l.)	4 MILES	\$100,000.00	\$400,000.00		
16	SAMS BRANCH WATERLINE EXTENSION (1 MILE 3" w.l.)	1 MILES	\$75,000.00	\$75,000.00		
17	HEAD OF CUTSHIN WATERLINE EXTENSION (1 MILE 3" w.l.)	1 MILES	\$75,000.00	\$75,000.00		
18	STINNETT WENDOVER ROAD WATERLINE EXTENSION (1 MILE 4" W.L.)	1 MILES	\$100,000.00	\$100,000.00		
19	200,000 gallon ground storage tank	1 LS	\$350,000.00	\$350,000.00		
20	INSTALL NEW WATER BOOSTER MIDDLEFORK	1 LS	\$75,000.00	\$75,000.00		
21	INSTALL NEW WATER BOOSTER NEBRASKA LANE	1 LS	\$125,000.00	\$125,000.00		
**Estimated Water System Improvements Construction Cost				\$3,648,000.00		\$269,450.00
Total Estimated Construction Cost				\$3,648,000.00		\$269,450.00
*** Waterline estimated cost includes tap fees and 100 ft of service line paid for by AML or CST						
CDBG Administrative Expenses				\$50,000.00	17.00%	\$8,500.00
Land and Right of Way				\$7,500.00		
Right of way Acquisition				\$7,500.00		
Interest During Construction				\$30,000.00		
Legal Expenses				\$30,000.00	17.00%	\$5,100.00
Planning/Preliminary Eng				\$10,000.00	17.00%	\$1,700.00
Engineering Design @ 6.95%				\$267,000.00	17.00%	\$45,390.00
Engineering Fees During Construction				\$0.00	17.00%	\$0.00
Engineering Inspection @ 3.75%				\$144,000.00	17.00%	\$24,480.00
Additional Engineering					17.00%	\$0.00
Environmental				\$10,000.00		
Geotechnical				\$15,000.00		
Surveying				\$10,000.00		
Permits				\$10,000.00		
PSC assistance				\$5,000.00		
Easements/deeds				\$10,000.00		
Contingency				\$311,000.00	17.00%	\$52,870.00
Total estimated project cost				\$4,565,000.00		\$407,490.00
PROPOSED PROJECT FUNDING						
AML GRANT				\$323,000.00		
COAL SEVERANCE GRANT				\$250,000.00		
CDBG GRANT				\$900,000.00		
RD GRANT				\$1,296,000.00		
ARC GRANT				\$500,000.00		
RD LOAN				\$1,296,000.00		
TOTAL PROJECT FUNDING				\$4,565,000.00		

HYDEN LESLIE

Water  District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
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Larry J. Turner
Manager

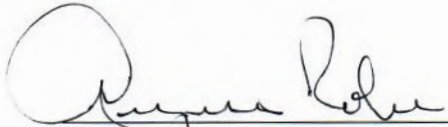
The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on May 31, 2018 at 4:00pm, at the business office of the Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:05pm. All commissioners were present with the exception of Timothy Helton. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: No audience was present.
3. Public Comment: None.
4. Approval of previous meeting minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that the revised RD application was submitted. KIA approved the two projects that had been separated out per CDBG recommendations. Mr. Maggard stated that the Clearinghouse and Environmental was submitted yesterday. The CDBG grant application was approved by the Fiscal Court yesterday, and the funds will go in by the first of July. He said by the next board meeting, he will try to submit the DOW, Dam improvements, pump stations and the tanks. CDBG said if we do that, they could almost guarantee we would get the money. Mr. Maggard said he got the \$2,500.00 grant and \$15,700.01 was left over from the Fiscal Court and that also came to the project.
6. Water Line Relocation/ Wooton Bridge/ Stinnett Line Relocation: Mr. Maggard stated that the new line was in service and the contractor planned to begin clean up next week. He also said, there is one meter that needs to be reset for Lucille Day and the contractor plans to do that next week as well. There is \$4,471.09 retainage being held until that conflict is resolved. Mr. Maggard talked to the Hwy Dept. and they have \$1,500.00 for the Water District and \$8,865.19 left for additional engineering and inspection. Mr. Maggard stated that if the Water District approves the pay request, then he could order that this month. William Horton made the motion to approve the pay request #8 in the amount of \$10,365.19, the motion was seconded by Ronnie Gay. The motion carried unanimously.

Mr. Maggard stated that he spoke with the Hwy Dept. today and they sent the last revision for the Stinnett HWY 421 Water Line Relocation. He also stated that he would get with Mr. Turner to review the plan in the following week. Once it is submitted back to the Hwy Dept., the Water District will bid the relocate soon.

7. Hospital Hill Improvements: Mr. Maggard stated that C. I. Thornburg has ordered the parts and the new repeater. Mr. Turner ask if he had an update on the control panel. Mr. Maggard stated that Mr. King had been waiting on Randy Clark with C.I. Thornburg. Mr. Turner stated that Mr. Kings stations has nothing to do with C.I. Thornburg because the telemetry was removed from the control panel. Mr. Turner also stated that he didn't understand why there was so much confusion. He explained that the only changes made were that we would not be purchasing an RTU for BPS and it would need to have the capability of running locally using pressure switches. In addition to that we would be adding a pressure transducer at the repeater/tank building. Mr. Turner stated if there is any more confusion after Mr. Maggard spoke with them that there should be a conference call with all parties involved. They expect those to be in, in the next couple weeks.
8. Claims List: The Claims List was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by William Wooton. Motion carried unanimously.
9. Audit Review: The 2017 audit was presented and reviewed. A motion was made by Ronnie Gay to approve the 2017 audit. Motion was seconded by William Horton. Motion carried unanimously.
10. Financial Report: The financial report was reviewed. A motion was made by Ronnie Gay to approve the financial report. Motion was seconded by William Horton. Motion carried unanimously.
11. Resolution for FEMA Designation of Applicants Agent: The Resolution was reviewed. A motion was made by William Horton to approve the Resolution for FEMA Designation of Applicants Agent. Motion was seconded by William Wooton. Motion carried unanimously.
12. State/ Federal Surplus Property Application: A motion was made by Ronnie Gay to approve the State/Federal Surplus Property Application. Motion was seconded by William Wooton. Motion carried unanimously.
13. Other New Business: Mr. Turner informed the board that he is looking at Employee Health Insurance options that will save the District a significant amount of money.
14. Executive Session: None.
15. Adjournment: Motion was made to adjourn by Ronnie Gay. Motion was seconded by William Wooton. Motion carried unanimously.

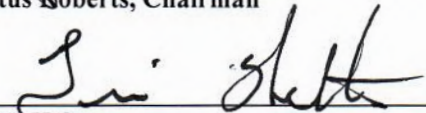
Approval of Minutes:



Augustus Roberts, Chairman

6-28-18

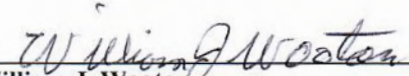
Date



Timothy Helton

6-28-18

Date



William J. Wooten

6-28-18

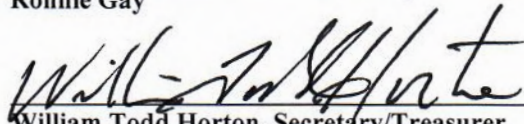
Date



Ronnie Gay

6-28-18

Date



William Todd Horton, Secretary/Treasurer

6-28-18

Date

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

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Sec/Trea.
Timothy Helton
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Larry J. Turner
Manager

May 31, 2018

Kennan Jones - Project Manager
K-DOT District 11
603 Railroad Avenue
Manchester, Ky. 40962

We are furnishing herewith the Statement of Charges #8 in the amount of \$10,365.19

The Hyden – Leslie County Water District has incurred these expenses for the month for the water line relocation for KY 80 Wooton bridge replacement project in the amount of \$10,365.19 and find it acceptable. The water district incurred expenses in the amount of \$1,500.00 for field locating existing waterlines and valves and Sisler – Maggard Engineering incurred expenses in amount of \$8,865.19 for construction inspection and contract administration. Please find enclosed Statement of Charges number 8.

If you have any questions, feel free to contact us or our engineer, Sisler-Maggard Engineering, PLLC.

Sincerely,



Augustus Roberts
Chairman



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

INSTRUCTIONS

- Company shall **fully** complete this form.
- Submit this fully completed form, Company invoice and documentation of charges in **triplicate**.
- Final invoicing is to be submitted within 1 year of completion of work, per agreement and statute, to be considered for payment.
- Records of invoiced work are to be retained, as defined in the referenced agreement, and may be subject to audit.
- **MAIL TO:** Highway Chief District Engineer ATTN: District Utility Agent (*find at:* <http://transportation.ky.gov/district.htm>)

GENERAL ROAD PROJECT INFORMATION (This section is as defined in the Agreement as executed)

Location / Description:	Fiscal:
County (if more than one, use page 2): <u>Leslie</u>	Federal Number (if applicable): _____
Route/Road Name: <u>KY 80 Bridge</u>	State Number: _____
Project Description: <u>New Bridge over Cutshin Creek</u>	Item or AAR-DOT Number: _____

COMPANY INFORMATION

Company Name: Hyden - Leslie County Water District
Company Contact Name: J Turner
Company Invoice Number (if applicable): 8

Company Address (as identified in the Agreement):
P.O. Box 906

Hyden, KY 41749

DATE INVOICED WORK BEGAN (not to precede State Letter date): 11/23/2017 **DATE INVOICED WORK COMPLETED:**
5/31/2018

(Enter all dates using m/d/yyyy format)

INVOICING INFORMATION:

PARTIAL **FINAL** **BILL NO.** 8

AMOUNT OF THIS BILL	<u>\$10365.19</u>
TOTAL AMOUNT OF PREVIOUS BILLS	<u>\$84950.72</u>
TOTAL BILLS TO DATE	<u>\$95315.91</u>

Agreement Start Date :	<u>8/1/2016</u>
Purchase Order Number:	_____
Statute/Agreement Type:	<u>KRS 177.035 Keep Cost</u>
Agreement/PRDO Amount:	<u>\$99787.00</u>
Change Orders:	#1 <u>\$0.00</u>
	#2 <u>\$ _____</u>
	(For additional orders, use page 2) #3 <u>\$ _____</u>
	TOTAL FROM PG 2 <u>\$0.00</u>
	TOTAL: <u>\$99787.00</u>

COMPANY CERTIFICATION:

I certify, to the extent applicable: the attached invoice is a true statement of costs incurred by our Company in constructing the most economical type of facilities in the new location as will satisfactorily meet the same service requirements as the old facilities in the old location on subject project; all materials for which we seek reimbursement adhere to federal Buy America provisions as required; and all costs listed are eligible for payment by KYTC.

Signature: [Signature]
 Title: Chairman
 Date: 06-05-15

FOR CABINET USE ONLY:

_____	_____
Checked: District Utility Agent	Date
_____	_____
Recommended/Approved: Chief District Engineer	Date
_____	_____
Approved: Director, Division of Right of Way & Utilities	Date



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADD'T'L CHANGE ORDERS:	#4	\$ _____
	#5	\$ _____
	#6	\$ _____
	#7	\$ _____
	#8	\$ _____
	#9	\$ _____
	#10	\$ _____
	#11	\$ _____
	#12	\$ _____
	#13	\$ _____
	#14	\$ _____
	#15	\$ _____
TOTAL PAGE 2		<u>\$0.00</u>

NOTE: If the amount of this bill applies to more than one county the correct distribution **MUST** be shown on the following lines.

COUNTY:	ACTUAL AMOUNT:	PERCENTAGE (if applicable):
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

(automatically transfers to page 1)

**** This section is only needed if any information is entered on page 2 ****

COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: May 31, 2018
INVOICE NO.: 15045-6
Sheet 1 of 2

SERVICE FOR:
HYDEN LESLIE COUNTY WATER DISTRICT

JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
KY 80 Smith Camp Waterline Relocation

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749

CONTRACT:

DESCRIPTION OF WORK
EXHIBIT C: BASIC SERVICES
ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Plans & Specs					
A. Final Design	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
TOTAL	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
TOTAL FOR BASIC DESIGN	\$10,150.00	100.00%	\$10,150.00	\$10,150.00	\$0.00
Phase B Bid Proposal					
A. Bidding and Negotiating	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
TOTAL	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
TOTAL FOR BIDDING	\$2,175.00	100.00%	\$2,175.00	\$2,175.00	\$0.00
Phase C Right of Way Easements					
A. Right of Way	\$3,000.00	100.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL FOR RIGHT OF WAY	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00


HYDEN LESLIE COUNTY WATER DISTRICT
DATE 06-08-18

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: May 31, 2018
 INVOICE NO.: 15045-6
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 KY 80 Smith Camp Waterline Relocation

CONTRACT:

DESCRIPTION OF WORK

Phase D Construction admin

A. Construction Admin

\$2,175.00	100.00%	\$2,175.00	\$1,295.00	\$880.00
\$2,175.00	100.00%	\$2,175.00	\$1,295.00	\$880.00

TOTAL

TOTAL FOR CONSTRUCTION ADM

\$2,175.00	100.00%	\$2,175.00	\$1,295.00	\$880.00
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Phase E Construction Insp

A. Construction Insp

\$10,150.00	78.67%	\$7,985.19	\$0.00	\$7,985.19
\$10,150.00	78.67%	\$7,985.19	\$0.00	\$7,985.19

TOTAL

TOTAL FOR CONSTRUCTION INSP

\$10,150.00	78.67%	\$7,985.19	\$0.00	\$7,985.19
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TOTAL CONTRACT PHASE II

\$27,650.00	92.17%	\$25,485.19	\$6,470.00	\$8,865.19
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INVOICES NOT PAID

\$0.00

TOTAL NOW DUE

\$8,865.19

April Claims List

Date	Check#	Vendor/Account	Amount	Type
4/13/2018	3778	HLCWD Oper. & Maint Fund	\$ 63,000.00	Transfer
4/13/2018	3779	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,427.92	Transfer
4/13/2018	3780	HLCWD Escrow Fund	\$ 900.00	Transfer
4/13/2018	3781	HLCWD Bond & Int. sinking Fund 1989	\$ 4,054.80	Transfer
4/13/2018	3782	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,805.31	Transfer
4/13/2018	3783	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
4/13/2018	3784	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
4/13/2018	2134	Robin Sizemore Boggs	\$ 30.31	Escrow checking
4/13/2018	2135	Clifford Feltner	\$ 30.20	Escrow checking
4/13/2018	2136	Kendra Adkins	\$ 9.29	Escrow checking
4/13/2018	27049	Ky. State Treasurer	\$ 20.00	5550
4/13/2018	27050	LJ Turner	\$ 7.62	5410
4/13/2018	27051	McCoy & McCoy Labs, Inc.	\$ 228.00	5340
4/13/2018	27052	Continental Hydrodyne Systems, Inc.	\$ 174.15	5340
4/13/2018	27053	Rumpke	\$ 121.17	5440
4/13/2018	27054	Buchanan Pump Service & Supply Co.	\$ 1,211.07	5220
4/13/2018	27055	TDS	\$ 574.56	5340
4/13/2018	27056	Ky. Deferred Comp.	\$ 1,777.73	2045
4/13/2018	27057	Thomas E. Baker	\$ 39.90	5130
4/13/2018	27058	Consolidated Pipe & Supply Co., Inc.	\$ 2,775.24	5220
4/13/2018	27059	Home Lumber Co.	\$ 41.84	5130
4/13/2018	27060	David S. Napier	\$ 80.00	5570
4/13/2018	27061	Clarks Auto Parts	\$ 639.55	5220/5230/5150
4/13/2018	27062	Hyden Citizens Bank /FICA	\$ 4,153.58	2040
4/13/2018	27063	Augustus Roberts	\$ 500.00	5540
4/13/2018	27064	Timothy Helton	\$ 500.00	5540
4/13/2018	27065	Todd Horton	\$ 500.00	5540
4/13/2018	27066	Ronnie Gay	\$ 500.00	5540
4/13/2018	27067	William Wooton	\$ 300.00	5540
4/13/2018	27068	Begley Lubmer & Bldg Supply	\$ 476.35	5220/5140/5150/5170
4/13/2018	27069	VOID	VOID	
4/13/2018	27070	Double H Auto	\$ 635.00	5230
4/13/2018	27071	Thomas E. Baker	\$ 52.48	5130
4/13/2018	27072-27083	Payroll	\$ 13,107.17	Payroll
4/13/2018	27084	Ky. State Treasurer/Training	\$ 600.00	5570
4/13/2018	27085	Ky. Power Co.	\$ 26,924.77	5110
4/27/2018	3785	HLCWD Oper. & Maint. Fund	\$ 60,000.00	Transfer
4/19/2018	27086	Postmaster	\$ 173.20	5410
4/27/2018	27087	C.I. Thornburg Co, Inc.	\$ 5,848.91	5120/5220
4/27/2018	27088	Jamie S Bowling	\$ 80.00	5570
4/27/2018	27089	Jamie S Bowling	\$ 33.25	5570
4/27/2018	27090	Jerry L Rice	\$ 25.08	5570
4/27/2018	27091	Thomas E Baker	\$ 33.25	5570
4/27/2018	27092	USA BlueBook	\$ 642.64	5220
4/27/2018	27093	Consolidated Pipe & Supply Co.	\$ 100.00	5220

4/27/2018	27094	Core & Main LLP	\$ 2,370.00	5220
4/27/2018	27095	Leslie Co. Treasurer/ Fuel	\$ 1,503.31	5240
4/27/2018	27096	Thomas E Baker	\$ 18.49	5220
4/27/2018	27097	Quill	\$ 192.44	5420
4/27/2018	27098	James Lewis, Leslie Co. Clerk	\$ 30.00	5590
4/27/2018	27099	Cintas	\$ 298.39	5070
4/27/2018	27100	Ky.Deferred Comp.	\$ 1,809.58	2045
4/27/2018	27101	Randy D Roberts	\$ 24.00	5220
4/27/2018	27102	Sizemore's Service Center	\$ 179.79	5230
4/27/2018	27103	KACo Benefits Group	\$ 20,941.81	5060
4/27/2018	27104	McCoy & McCoy Labs, Inc.	\$ 115.00	5430
4/27/2018	27105	Jamie S Bowling	\$ 10.00	5240
4/27/2018	27106	Postmaster	\$ 1,021.72	5420
4/27/2018	27107	Ky. State Treasurer	\$ 1,666.83	2035
4/27/2018	27108	Hyden Citizens Bank	\$ 4,238.36	2040
4/27/2018	27109	Ky. State Treasurer	\$ 587.73	2050
4/27/2018	27110	Ky. State Treasurer	\$ 3,680.58	2070/2071/2072
4/27/2018	27111	Leslie Co. Occup. Tax Admin.	\$ 375.69	2048
4/27/2018	27112-27123	Payroll	\$ 13,213.39	Payroll
4/30/2018	27124	Cumberland Valley	\$ 671.87	5110
4/30/2018	27125	Collins Lock Service	\$ 129.00	5130

Mach Claims List

Date	Check#	Vendor/Account	Amount	Type
3/2/2018	3769	HLCWD Oper. & Maint. Fund	\$ 60,000.00	Transfer
3/2/2018	3780	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,427.92	Transfer
3/2/2018	3781	HLCWD Escrow Fund	\$ 510.00	Transfer
3/2/2018	3782	HLCWD Bond & Int. Sinking Fund 1989	\$ 4,054.80	Transfer
3/2/2018	3783	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,805.31	Transfer
3/2/2018	3784	HLVWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3/2/2018	3785	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
3/2/2018	26943	Thomas E. Baker	\$ 58.75	5130
3/2/2018	26944	KACo UI Fund	\$ 567.21	2040
3/2/2018	26945	RVS Software	\$ 750.00	5420
3/2/2018	26946	Consolidated Pipe & Supply Co.	\$ 8,053.05	5220
3/2/2018	26947	Service Specialties LLC	\$ 2,566.10	5220
3/2/2018	26948	C.I. Thornburg Co., Inc.	\$ 4,616.18	5120
3/2/2018	26949	M & D Electrical Sales, Inc.	\$ 78.36	5220
3/2/2018	26950	Postmaster	\$ 1,082.10	5410
3/2/2018	26951	Sizemore's Service	\$ 198.35	5230
3/2/2018	26952	State Electric Supply Co.	\$ 42.06	5220
3/2/2018	26953	McCoy & McCoy Labs, Inc.	\$ 115.00	5340
3/2/2018	26954	USA BlueBook	\$ 1,560.94	5220
3/2/2018	26955	Ky. Deferred Comp.	\$ 1,920.95	2045
3/2/2018	26956	Quill Corp.	\$ 691.44	5420
3/2/2018	26957	Hyden Citizens Bank	\$ 5,088.07	2040
3/2/2018	26958	Ky. State Treasurer	\$ 3,429.22	2070/2071/2072
3/2/2018	26959	Leslie Co. Occupational Tax Admin.	\$ 220.41	2048
3/2/2018	26960	Ky. State Treasurer	\$ 531.20	2050
3/2/2018	26961	Cumberland Valley Electric	\$ 1,117.01	5110
3/2/2018	26962-26977	Payroll	\$ 15,476.45	Payroll
3/2/2018	26974	Ash Mountain Inc.	\$ 6,322.86	1595
3/2/2018	26975	Sisler-Maggard Eng.	\$ 819.37	1596
3/2/2018	26976	Clarks Auto Parts Inc.	\$ 11,697.31	5230/5220/5150
3/2/2018	26977	Larry J. Turner	\$ 143.09	5070
3/2/2018	26978	Ky. State Treasurer	\$ 1,893.00	2035
3/2/2018	26979	Sizemore's Service	\$ 712.54	5230
3/16/2018	3776	HLCWD Oper. & Maint. Fund	\$ 61,000.00	Transfer
3/16/2018	2132	Janice Thomas	\$ 30.15	Escrow Checking
3/16/2018	2133	Joseph Messer	\$ 30.32	Escrow Checking
3/16/2018	26981	RVS Software	\$ 2,499.00	1540
3/16/2018	26982	Cintas	\$ 299.49	5070
3/16/2018	26983	C.I. Thornburg Co., Inc.	\$ 1,261.80	5120/5220
3/16/2018	26984	Larry J Turner	\$ 7.62	5410
3/16/2018	26985	Bluegrass Materials Co., LLC	\$ 546.63	5220
3/16/2018	26986	Begley Lumber & Bldg. Supply	\$ 54.14	5220/5490/5130
3/16/2018	26987	Buchanan Pump Service & Supply Co.	\$ 841.07	5220
3/16/2018	26988	Rumpke	\$ 121.17	5440
3/16/2018	26989	Ky. Deferred Comp.	\$ 1,782.29	2045

3/16/2018	26990	McCOy & McCoy Labs ,Inc.	\$	1,161.00	5340
3/16/2018	26991	Leslie Co. Treasurer	\$	1,491.40	5240
3/16/2018	26992	Kruger	\$	1,343.13	5130
3/16/2018	26993	TDS	\$	584.92	5430
3/16/2018	26994	Ky. Power Co.	\$	26,646.48	5110
3/16/2018	26995	Postmaster	\$	123.76	5410
3/16/2018	26996	Hyden Citizens Bank	\$	4,701.29	2040
3/16/2018	26997	Augustus Roberts	\$	500.00	5540
3/16/2018	26998	William Wooton	\$	300.00	5540
3/16/2018	26999	Ronnie Gay	\$	500.00	5540
3/16/2018	27000	Todd Horton	\$	500.00	5540
3/16/2018	27001	Tim Helton	\$	500.00	5540
3/16/2018	27002-27013	Payroll	\$	14,601.76	Payroll
3/16/2018	27014	Thomas E. Baker	\$	32.24	5220
3/16/2018	27015	Ky. River ADD	\$	40.00	5570
3/30/2018	3777	HLCWD Oper. & Maint. Fund	\$	70,000.00	Transfer
3/30/2018	27016	McCoy & McCoy Labs, Inc.	\$	115.00	5340
3/30/2018	27017	Leslie Co. Occup. Tax Admin.	\$	614.13	2048
3/30/2018	27018	Cumberland Valley Electric	\$	784.60	5110
3/30/2018	27019	Ky. State Treasurer	\$	585.91	2035
3/30/2018	27020	Hyden Citizens Bank	\$	4,203.56	2040
3/30/2018	27021	Ky. State Treasurer	\$	2,800.68	2050
3/30/2018	27022	KACo Benefits Group	\$	23,296.56	5060
3/30/2018	27023	Thomas E. Baker	\$	66.41	5150
3/30/2018	27024	Consolidated Pipe & Supply CO., Inc.	\$	2,108.89	5220
3/30/2018	27025	C.I. Thornburg Co., Inc.	\$	10,970.29	5120/5220
3/30/2018	27026	Dana L. Campbell	\$	540.46	5570
3/30/2018	27027	Ky. Deferred Comp.	\$	1,555.11	2045
3/30/2018	27028	Jamie S. Bowling	\$	11.00	5230
3/30/2018	27029	Thomas E. Baker	\$	74.35	5150
3/30/2018	27030	Thomas E. Baker	\$	214.75	5150
3/30/2018	27031	Bluegrass Materials Co., LLC	\$	548.10	5220
3/30/2018	27032	Service Pump & Supply CO., Inc.	\$	482.00	5220
3/30/2018	27033	G & G Communications Inc.	\$	1,117.00	5370
3/30/2018	27034	Postmaster	\$	1,018.92	5410
3/30/2018	27035	Ky. State Treasurer	\$	3,456.73	2070/2071/2072
3/30/2018	27036-27047	Payroll	\$	13,358.70	Payroll
3/30/2018	27048	Quill Cop.	\$	154.86	5420

HYDEN LESLIE

Water  District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on June 28, 2018 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

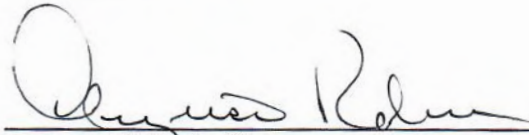
1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD was present.
3. Public Comments: Jennifer McIntosh stated the ARC pre applications are due September 28, 2018.
4. Approval of previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by William Wooton. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that the CDBG applications had been approved by the county, and the application would be turned in tomorrow. The Clearinghouse was approved on Monday. Mr. Maggard agreed to turn in the plans by July 15, 2018. He also said they are finishing up the budget for the Summary Addendum which is expected to be turned in by July 15, 2018 as well. He claims that by the next meeting, we will know the projected rate increase amount. William Horton questioned about the project on Stacy Fork. Mr. Maggard explained that if CDBG and RD do what they say, we'll be looking to start in February. Mr. Maggard stated that \$2500.00 had been awarded and deposited in the bank for the Phase III project. This is to pay the invoice that was presented in the June 2017 meeting.
6. Water Line Relocation/Wooton Bridge: Mr. Maggard stated that he had spoken to the contractor, and after July 4th was over, he planned to begin cleanup. The contractor also stated he would like to relinquish the money and let the Water District relocate Lucille Day's water meter.

7. Stinnett Water Line Relocation/DOT: Mr. Maggard presented his final plans for the project. Mr. Turner stated that he would review the document closely after the meeting. Mr. Maggard also stated that sent them a cost estimate last week, and state will be requesting more money for construction.
8. Hospital Hill System Improvements: Mr. Maggard said he had talked to everyone, and after the holiday, they planned on finishing everything up. Mr. Turner asked Mr. Maggard if everyone involved was ok and ready to move forward with the project. Mr. Maggard stated they were.
9. Claims List: The claims list was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by William Wooton. Motion carried unanimously.
10. Financial Report: The financial report was reviewed. A motion was made by Timothy Helton to approve the financial report. The motion was seconded by Ronnie Gay. Motion carried unanimously.
11. Other New Business: Mr. Turner brought to attention the pressure on JC Osborne Blvd. He says customers water shuts off at least twice a day. He said when the pump is off, the customers only have around 15 lbs. of pressure. Mr. Maggard stated that around 4 or 5 years ago, he designed an in line booster to fix the pressure problem. It was requested that the land developer pay for that, but he chose not to. He stated that he'd bring his plans to the next meeting.


A motion was made by William Horton to open a new Phase III bank account. Motion was seconded by Timothy Helton. Motion carried unanimously.

12. Executive Session: None.
13. Adjournment: Motion was made to adjourn by William Horton. Motion was seconded by Ronnie Gay. Motion carried unanimously.

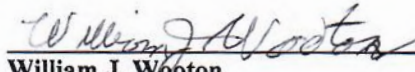
Approval of Minutes:


Augustus Roberts, Chairman

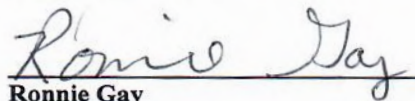
7-26-18
Date


Timothy Helton

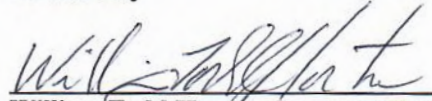
7-26-18
Date


William J. Wooton

7-26-18
Date


Ronnie Gay

7-26-18
Date


William Todd Horton, Secretary/Treasurer

7-26-18
Date

May Claims List

Date	Check#	Vendor/Account	Amount	Type
5/11/2018	3786	HLCWD Bond & Int. Sinking Fund 1989	\$ 4,054.80	Transfer
5/11/2018	3787	HLCWd Oper. & Maint. Fund	\$ 68,200.00	Transfer
5/11/2018	3788	HLCWD Bond & Int. Sinking Fund 2000	1427.89	Transfer
5/11/2018	3789	HLCWD Escrow Fund	\$ 630.00	Transfer
5/11/2018	3790	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,805.34	Transfer
5/11/2018	3791	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
5/11/2018	3792	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
5/11/2018	2138	Zackary Lewis	\$ 2.16	Escrow Checking
5/11/2018	2139	Stella Morgan	\$ 9.46	Escrow Checking
5/8/2018	27126	Ky. State Treasurer	\$ 190.00	5570
5/8/2018	27127	Ky. State Treasurer	\$ 190.00	5570
5/11/2018	27128	TDS	\$ 574.34	5430
5/11/2018	27129	McCoy & Mcoy Labs, Inc.	\$ 228.00	5340
5/11/2018	27130	Core & Main LP	\$ 6,795.79	5150/5220
5/11/2018	27131	Begley Lumber & Bldg. Supply	\$ 9.50	5220
5/11/2018	27132	Hazard Petroleum Inc.	\$ 1,051.79	5240
5/11/2018	27133	Ky. Power Co.	\$ 24,245.26	5110
5/11/2018	27134	Ky. Deferred Comp.	\$ 1,867.93	2045
5/11/2018	27135	Hannah Melton	\$ 19.00	5550
5/11/2018	27136	Alyssa Bowling	\$ 19.00	5550
5/11/2018	27137	Larry J Turner	\$ 7.62	5410
5/11/2018	27138	Thomas E. Baker	\$ 43.29	5220
5/11/2018	27139	Hayes Pipe Supply Inc.	\$ 1,727.60	5220
5/11/2018	27140	Clarks Auto Parts	\$ 728.41	5220/5130/5150/5230
5/11/2018	27141	Kona Products	\$ 819.75	5220
5/11/2018	27142	Horton Hardware	\$ 282.14	5220/5130/5150
5/11/2018	27143	C.I. Thornburg Co., Inc.	\$ 4,627.69	5220/5120
5/11/2018	27144	Cintas	\$ 223.38	5070
5/11/2018	27145	Hyden Citizens Bank	\$ 4,438.56	2040
5/11/2018	27146	Augustus Roberts	\$ 500.00	5540
5/11/2018	27147	Timothy Helton	\$ 500.00	5540
5/11/2018	27148	Todd Horton	\$ 500.00	5540
5/11/2018	27149	Ronnie Gay	\$ 500.00	5540
5/11/2018	27150	William Wooton	\$ 300.00	5540
5/11/2018	27151	Zoro Tool, Inc.	\$ 2,043.51	5490
5/11/2018	27152	Postmaster	\$ 147.00	5410
5/11/2018	27153-27164	Payroll	\$ 13,845.89	Payroll
5/11/2018	27165	Leslie Co. Treasurer	\$ 1,517.20	5240
5/11/2018	27166	Rumpke	\$ 121.17	5440
5/11/2018	27167	Ky. State Treasurer	\$ 2,390.37	5160
5/25/2018	3793	HLCWD Oper. & Maint. Fund	\$ 74,000.00	Transfer
5/25/2018	2140	Hannah F. Barger	\$ 7.42	Escrow Checking
5/25/2018	27168	Hyden Citizens Bank	\$ 4,608.64	2040
5/25/2018	27169	Core & Main LP	\$ 1,556.30	5220

5/25/2018	27170	Christian Sturgeon & Assoc. PSC	\$	13,350.00	5310
5/25/2018	27171	Ky. State Treasurer	\$	1,803.61	2035
5/25/2018	27172	Postmaster	\$	1,014.72	5410
5/25/2018	27173	Ky. State Treasurer	\$	595.84	2050
5/25/2018	27174	Sizemore's Service Center	\$	93.90	5230
5/25/2018	27175	Jamie S Bowling	\$	164.60	5220
5/25/2018	27176	Thomas E. Baker	\$	28.01	5150
5/25/2018	27177	Ky. Deferred Comp.	\$	1,813.55	2045
5/25/2018	27178	USA BlueBook	\$	74.52	5120
5/25/2018	27179	Nixon Power Service Co	\$	500.00	5165
5/25/2018	27180	Quill Corporation	\$	1,022.86	5420
5/25/2018	27181	KACo Benefits Group	\$	20,997.41	5060
5/25/2018	27182	McCoy & McCoy Labs, Inc.	\$	115.00	5340
5/25/2018	27183	Leslie Co. News	\$	431.20	5560
5/25/2018	27184	Leslie Co. Occupational Tax Admin.	\$	404.47	2048
5/25/2018	27185	Ky. State Treasurer	\$	3,811.66	2070/2071/2072
5/25/2018	27186-27198	Payroll	\$	14,752.13	Payroll
5/25/2018	27199	M & D Electrical Sales, Inc.	\$	8.06	5420
5/25/2018	27201	C.I. Thornburg Co., Inc.	\$	4,427.33	5120

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
May 2018

	May 18	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	106,267.80	103,764.42	2,503.38	102.41%
4020 · Metered Sales Commercial	20,787.24	19,833.07	954.17	104.81%
4021 · Less Adjustment Residential	-171.45			
Total 4029 · Water Operating Revenues	126,883.59	123,597.49	3,286.10	102.66%
Total Income	126,883.59	123,597.49	3,286.10	102.66%
Gross Profit	126,883.59	123,597.49	3,286.10	102.66%
Expense				
5099 · Personnel				
5010 · Salaries and Wages	34,996.80	31,773.57	3,223.23	110.14%
5011 · Over Time Wages	3,486.63	3,012.00	474.63	115.76%
5013 · 401-K Retirement Wages	1,843.76	1,739.28	104.48	106.01%
5015 · Capitalized Labor	118.28			
5020 · Payroll Taxes	3,094.10	3,200.00	-105.90	96.69%
5060 · Employee Health Ins.	20,997.41	23,360.47	-2,363.06	89.88%
5070 · Uniform Expense	223.38	450.00	-226.62	49.64%
Total 5099 · Personnel	64,760.36	63,535.32	1,225.04	101.93%
5199 · Plant				
5110 · Utilities	24,245.26	23,400.00	845.26	103.61%
5120 · Chemicals	9,086.79	6,250.00	2,836.79	145.39%
5130 · Repair and Maintenance / Plant	68.34	1,000.00	-931.66	6.83%
5140 · Operating Supplies	0.00	208.33	-208.33	0.0%
5150 · Small Tools	444.47	333.33	111.14	133.34%
5160 · Water Withdrawal Fee	2,390.37	733.33	1,657.04	325.96%
5165 · Back-up Generator Maint.	500.00	400.00	100.00	125.0%
Total 5199 · Plant	36,735.23	32,324.99	4,410.24	113.64%
5299 · Field Operations				
5220 · Repair and Maintenance / Field	11,256.51	5,137.49	6,119.02	219.11%
5230 · Vehicle / Repair and Maint.	122.94	1,400.00	-1,277.06	8.78%
5240 · Gas and Diesel	2,568.99	1,400.00	1,168.99	183.5%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
May 2018

	May 18	Budget	\$ Over Budget	% of Budget
Total 5299 · Field Operations	13,948.44	7,937.49	6,010.95	175.73%
5399 · Contractual Services				
5310 · Legal and Accounting	13,350.00	1,200.00	12,150.00	1,112.5%
5340 · Water Analysis	343.00	1,583.33	-1,240.33	21.66%
5360 · Electrical Maintenance	0.00	200.00	-200.00	0.0%
5370 · Communication Maintenance	0.00	125.00	-125.00	0.0%
Total 5399 · Contractual Services	13,693.00	3,108.33	10,584.67	440.53%
5499 · Office Expenses				
5410 · Postage	1,169.34	1,400.00	-230.66	83.52%
5420 · Office Supplies	971.03	520.00	451.03	186.74%
5430 · Telephone Expense	574.34	477.14	97.20	120.37%
5440 · Sanitation Pick-up Services	121.17	130.00	-8.83	93.21%
5450 · Computer Repair and Maintenance	0.00	333.33	-333.33	0.0%
5490 · Other Office Expenses	2,043.51	41.67	2,001.84	4,904.03%
Total 5499 · Office Expenses	4,879.39	2,902.14	1,977.25	168.13%
5599 · Administrative				
5510 · PSC Assessment	0.00	300.00	-300.00	0.0%
5520 · Insurance-General	4,125.84	4,333.33	-207.49	95.21%
5530 · Bad Debts	391.24	1,250.00	-858.76	31.3%
5540 · Board Fees	2,300.00	1,250.00	1,050.00	184.0%
5550 · Fees and Dues	67.95	300.00	-232.05	22.65%
5560 · Publication Expense	431.20	166.67	264.53	258.72%
5570 · Certification Training Expenses	380.00	375.00	5.00	101.33%
5590 · Other Administrative Exp.	0.00	83.33	-83.33	0.0%
Total 5599 · Administrative	7,696.23	8,058.33	-362.10	95.51%
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,138.13	1,138.13	0.00	100.0%
5626 · Interest Expense RD (2000)	911.25	899.63	11.62	101.29%
5628 · Interest Exp. RD Series A 2012	3,264.09	3,242.19	21.90	100.68%
5629 · Interest Exp. RD Series B 2012	3,416.25	3,382.97	33.28	100.98%
5630 · Interest Exp. Customer Dep.	1.53			
5640 · Depreciation Expense	71,090.00	71,531.00	-441.00	99.38%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
May 2018

	May 18	Budget	\$ Over Budget	% of Budget
Total 5699 · Interest and Depreciation Exp.	79,821.25	80,193.92	-372.67	99.54%
66000 · Payroll Expenses	0.00			
Total Expense	221,533.90	198,060.52	23,473.38	111.85%
Net Ordinary Income	-94,650.31	-74,463.03	-20,187.28	127.11%
Other Income/Expense				
Other Income				
4199 · Other Income				
4030 · Service Charges	302.00	250.00	52.00	120.8%
4040 · Late Payment Penalties	3,906.99	3,750.00	156.99	104.19%
4090 · Miscellaneous Revenues	67.87	33.33	34.54	203.63%
4110 · Interest Income	-1,514.70	0.00	-1,514.70	100.0%
Total 4199 · Other Income	2,762.16	4,033.33	-1,271.17	68.48%
Total Other Income	2,762.16	4,033.33	-1,271.17	68.48%
Net Other Income	2,762.16	4,033.33	-1,271.17	68.48%
Net Income	-91,888.15	-70,429.70	-21,458.45	130.47%
Net Income Less Depreciation	-20,798.2	9,764.22	-21,831.1	
Payables				
Bonds			Annual Budget	
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	
1991 Bonds Payable	\$ -	\$ -	\$ -	
2000 Bonds Payable	\$ 500.00	\$ 516.67	\$ 6,200.00	
2012 A Bonds Payable	\$ 1,125.00	\$ 1,166.67	\$ 14,000.00	
2012 B Bonds Payable	\$ 2,635.42	\$ 2,958.33	\$ 35,500.00	
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 90,700.04	
Net Position	-\$27,975.24	\$2,587.13		
Other Expenditures				
Hospital Hill Rehab				
Net Position	-\$27,975.24			

HYDEN LESLIE

Water  District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on July 26, 2018 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience- None
3. Public Comments - None
4. Approval of previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that Rural Development called two weeks ago and needed the Summary Addendum. He also stated that they planned on funding the project around October 1st. Mr. Maggard emailed them the Summary Addendum today. He stated that in the project we are looking at 66 new customers, plus a possible rate increase of approximately 30% for all customers.
6. Water Line Relocation/ Wooton Hwy Bridge: Mr. Maggard stated that Lucille Day had contacted the contractors stating they had done \$7,000.00 worth of damage to her property. Lucille has never formally contacted Mr. Maggard or the Water District. Mr. Maggard states he went and spoke with Lucille today to try and resolve her issues. He tried to reason with her and offer money that has been retained from the project, but she would not agree. Mr. Maggard requested a list of her complaints and so he can take it to the contractor and see what he will do. She stated that her attorney had a list and he was welcome to pick up a copy at his office.

7. Stinnett Water Line Relocation/DOT: Mr. Turner stated he met with David Fields who is in charge of construction. They talked about changing the layout of the water line and including it in the construction contract. Mr. Maggard says we have some time to finalize the plans since they are not going to bid this project until late October.
8. Hospital Hill System Improvements: Mr. Maggard says the contractor had to do some additional work. He faxed Mr. Maggard a copy of the budget, in which Mr. Maggard will decide what the contractor is justified in charging. Mr. Turner says we are currently waiting on equipment for the pump station. The contractor is holding our construction drawings of the control panels because he says we owe him money; in which we disagree. Mr. Maggard says the contractor will have to show a breakdown of his claims. Mr. Turner stated that moving forward we must have a progress meeting each month with the contractor.
9. Other Projects / System Issues: Mr. Turner informed the board that we have a pressure issue on J.C. Osborne blvd. Mr. Maggard stated that the board had made a proposal to the owner to install a BPS in the past. The owner refused to pay for the added BPS so the project was removed. Mr. Maggard will bring the old plans to the next meeting for further discussion.

Mr. Turner also stated that there are a couple trouble areas that are in great need of attention. Honey Suckle Branch has line failures on a regular basis. He suspects that the issue is because the line pressure exceeds the line pressure rating. The other area is Trace Branch in Wilder. This area has similar issues due to line pressure exceeding line pressure rating. Mr. Turner stated that if we have money left over from a project we need to resolve the issues in these areas. Commissioner Timothy asked Mr. Maggard if there were any updates on getting W.B. Muncy Tank put into service. Mr. Maggard said he hadn't spoke with James Lewis concerning the development plans but would try to speak with him tomorrow.

10. Claims List: The claims list was reviewed. Ronnie Gay made the motion to approve the claims list. The motion was seconded by William Wooton. Motion carried unanimously.
11. Financial Report: The financial report was reviewed. A motion was made by William Horton to approve the financial report. The motion was seconded by Augustus Roberts. Motion carried unanimously.
12. Adjournment: Motion was made to adjourn by Timothy Helton. Motion was seconded by William Wooton. Motion carried unanimously.

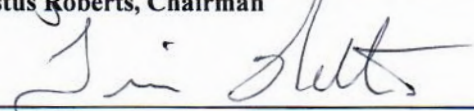
Approval of Minutes:



Augustus Roberts, Chairman

8-30-18

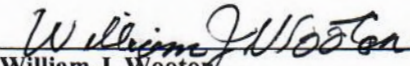
Date



Timothy Helton

8-30-18

Date

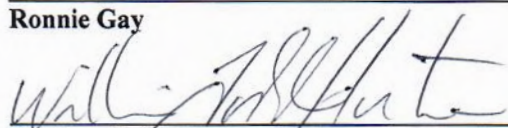


William J. Wooton

8-30-18

Date

Ronnie Gay



William Todd Horton, Secretary/Treasurer

Date
8/30/18

Date

VIII. CURRENT WATER CONNECTION FEES FOR EACH SIZE WATER METER CONNECTION PAGE 125 of 330

<i>Meter Size</i>	<i>Water Connection Fee</i>
5/8 "X 3/4"	\$300
1"	\$600
1 1/2"	\$650
2"	\$650
3"	0
> than 3"	

X. WATER RATES – RESIDENTIAL EXISTING SYSTEM

Existing Residential Rate Schedule:

5/8 inch x 3/4 inch Meter

First 2,000 Gallons @ \$ 20.24 Minimum.

Next 3,000 Gallons @ \$ 5.62 per 1,000 Gallons.

Next 5,000 Gallons @ \$ 4.45 per 1,000 Gallons.

Next 15,000 Gallons @ \$ 3.63 per 1,000 Gallons.

Next 25,000 Gallons @ \$ 3.16 per 1,000 Gallons.

Next 50,000 Gallons @ \$ 2.60 per 1,000 Gallons.

Next 100,000 Gallons @ \$ 2.22 per 1,000 Gallons.

ALL OVER 200,000 Gallons @ \$ 1.76 per 1,000 Gallons.

Existing Commercial Rate Schedule:

First 2,000 Gallons @ \$ 30.36 Minimum.

Next 3,000 Gallons @ \$ 8.43 per 1,000 Gallons.

Next 5,000 Gallons @ \$ 6.68 per 1,000 Gallons.

Next 15,000 Gallons @ \$ 5.45 per 1,000 Gallons.

Next 25,000 Gallons @ \$ 4.74 per 1,000 Gallons.

Next 50,000 Gallons @ \$ 4.04 per 1,000 Gallons.

Next 100,000 Gallons @ \$ 3.33 per 1,000 Gallons.

ALL OVER 200,000 Gallons @ \$ 2.64 per 1,000 Gallons.

XXII. WATER RATES - PROPOSED

A. Proposed Residential Rate Schedule without RUS Grant:

<i>First</i>	2,000	Gallons @ \$	26.30	Minimum.
<i>Next</i>	3,000	Gallons @ \$	8.91	per 1,000 Gallons.
<i>Next</i>	5,000	Gallons @ \$	7.46	per 1,000 Gallons.
<i>Next</i>	15,000	Gallons @ \$	6.27	per 1,000 Gallons.
<i>All Over</i>	25,000	Gallons @ \$	5.27	per 1,000 Gallons.

B. Proposed Commercial Rate Schedule without RUS Grant:

<i>First</i>	2,000	Gallons @ \$	39.45	Minimum.
<i>Next</i>	3,000	Gallons @ \$	12.89	per 1,000 Gallons.
<i>Next</i>	5,000	Gallons @ \$	11.19	per 1,000 Gallons.
<i>Next</i>	15,000	Gallons @ \$	9.41	per 1,000 Gallons.
<i>All Over</i>	25,000	Gallons @ \$	7.91	per 1,000 Gallons.

The above-proposed rate, without RUS grant, must be completed for each grant. If the applicant/engineer desires, there is no objection to recommending a proposed rate with an estimated RUS grant in the Table below. However, the preparer should remember that the Table (A) above must be completed prior to Table (B).

B. Recommended Residential Rate Schedule with RUS Grant:

<i>C. First</i>	2,000	Gallons @ \$	26.30	Minimum.
<i>D. Next</i>	3,000	Gallons @ \$	8.91	per 1,000 Gallons.
<i>E. Next</i>	5,000	Gallons @ \$	7.46	per 1,000 Gallons.
<i>F. Next</i>	15,000	Gallons @ \$	6.27	per 1,000 Gallons.
<i>G. All Over</i>	25,000	Gallons @ \$	5.27	per 1,000 Gallons.

C. Proposed Commercial Rate Schedule with RUS Grant:

<i>D. First</i>	2,000	Gallons @ \$	39.45	Minimum.
<i>E. Next</i>	3,000	Gallons @ \$	12.89	per 1,000 Gallons.
<i>F. Next</i>	5,000	Gallons @ \$	11.19	per 1,000 Gallons.
<i>G. Next</i>	15,000	Gallons @ \$	9.41	per 1,000 Gallons.
<i>H. All Over</i>	25,000	Gallons @ \$	7.91	per 1,000 Gallons.

- If more than one rate, use additional sheets.

June Claims List

Check#	Vendor/Account	Amount	Type
3794	HCWD Oper. & Maint. Fund	\$ 65,000.00	Transfer
3795	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,404.67	Transfer
3796	HLCWD Escrow Fund	\$ 720.00	Transfer
3797	HLCWD Bond & Int. Sinking fund 2012	\$ 10,695.00	Transfer
3798	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3799	HLCWD Bond & Int. Sinking Fund 1989	\$ 4,054.80	Transfer
3800	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
2141	Perlina Fugate	\$ 30.16	Escrow
2142	Rose Brewer	\$ 9.15	Escrow
2143	Stella Johnson	\$ 9.48	Escrow
27202	Augustus Roberts	\$ 500.00	5540
27203	Ronnie Gay	\$ 500.00	5540
27204	Todd Horton	\$ 500.00	5540
27205	William Wooton	\$ 300.00	5540
27206	Tim Helton	\$ 500.00	5540
27207	Hyden Citizens Bank	\$ 4,507.76	2040
27208	Perry Co. Tire Inc.	\$ 61.09	5230
27209	McIntosh Welding	\$ 350.00	5230
27210	Thomas E Baker	\$ 21.95	5220
27211	Ky. Deferred Compensation	\$ 1,896.48	2045
27212	USA BlueBook	\$ 944.25	5140
27213	Home Lumber	\$ 483.50	5140
27214	MCCoy & McCoy Labs, Inc.	\$ 633.00	5340
27215	TDS	\$ 574.70	5430
27216	Ditch Witch	\$ 1,546.86	5230
27217	Clark Auto Parts	\$ 145.83	5230/5150
27218	Cumberland Valley Elec. Inc.	\$ 507.55	5110
27219	Ky. Power Co.	\$ 22,827.25	5110
27220-27231	Payroll	\$ 13,987.04	Payroll
27232	VOID	VOID	
27233	Begley Lumber Bldg & Supply	\$ 31.63	5490
27234	C.I. Thornburg Co. Inc.	\$ 5,439.98	5120/5220
27235	Postmaster	\$ 122.10	5410
3801	HLCwD Oper. & Maint. Fund	\$ 57,000.00	Transfer
27236	Rumpke	\$ 121.17	5440
27237	Horton Hardware	\$ 73.21	5220
27238	RVS Software	\$ 348.74	5420
27239	RVS Software	\$ 1,775.00	5420
27240	Rental Pro	\$ 627.00	5170
27241	Ky. Deferred Comp.	\$ 1,759.98	2045
27242	C.I. Thornburg Co., Inc.	\$ 4,361.84	5120/5220
27243	KACo Workers Comp.	\$ 11,856.24	1350
27244	Buchanan Pump Service & Supply Co	\$ 773.42	5220
27245	Continental Hydrodyne Systems	\$ 174.22	5140

27246	Leslie Co. Treasurer	\$	1,798.85	5240
27247	Sizemore's Service Center	\$	144.85	5230
27248	Cintas	\$	70.50	5070
27249	Hyden Citizens Bank	\$	4,149.84	2040
27250	Ky. State Treasurer	\$	643.99	2050
27251	KACo Benefits Group	\$	13,099.02	5060
27252	Ky. State Treasurer	\$	1,711.01	2035
27253	Leslie Co. Occup. Tax Admin.	\$	386.18	2048
27254	Ky. State Treasurer	\$	3,999.06	2070/2071/2072
27255	Postmaster	\$	1,023.68	5410
27256-27268	Payroll	\$	13,174.48	Payroll
27269	Core & Main LP	\$	1,528.51	5220

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
June 2018

	Jun 18	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	109,532.53	103,764.42	5,768.11	105.56%
4020 · Metered Sales Commercial	23,769.22	19,833.07	3,936.15	119.85%
4021 · Less Adjustment Residential	-527.02			
Total 4029 · Water Operating Revenues	132,774.73	123,597.49	9,177.24	107.43%
Total Income	132,774.73	123,597.49	9,177.24	107.43%
Expense				
Gross Profit	132,774.73	123,597.49	9,177.24	107.43%
5099 · Personnel				
5010 · Salaries and Wages	30,929.41	31,773.57	-844.16	97.34%
5011 · Over Time Wages	3,260.66	3,012.00	248.66	108.26%
5013 · 401-K Retirement Wages	1,838.97	1,739.28	99.69	105.73%
5015 · Capitalized Labor	483.92			
5020 · Payroll Taxes	2,954.30	3,200.00	-245.70	92.32%
5060 · Employee Health Ins.	13,099.02	23,360.47	-10,261.45	56.07%
5070 · Uniform Expense	70.50	450.00	-379.50	15.67%
Total 5099 · Personnel	52,636.78	63,535.32	-10,898.54	82.85%
5199 · Plant				
5110 · Utilities	23,334.80	23,400.00	-65.20	99.72%
5120 · Chemicals	5,899.98	6,250.00	-350.02	94.4%
5130 · Repair and Maintenance / Plant	0.00	1,000.00	-1,000.00	0.0%
5140 · Operating Supplies	1,601.97	208.33	1,393.64	768.96%
5150 · Small Tools	54.99	333.33	-278.34	16.5%
5160 · Water Withdrawal Fee	0.00	733.33	-733.33	0.0%
5165 · Back-up Generator Maint.	0.00	400.00	-400.00	0.0%
5170 · Misc. Plant Expense	627.00			
Total 5199 · Plant	31,518.74	32,324.99	-806.25	97.51%
5299 · Field Operations				
5220 · Repair and Maintenance / Field	5,133.55	5,137.49	-3.94	99.92%
5230 · Vehicle / Repair and Maint.	2,193.64	1,400.00	793.64	156.69%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
June 2018

	Jun 18	Budget	\$ Over Budget	% of Budget
5240 · Gas and Diesel	1,798.85	1,400.00	398.85	128.49%
Total 5299 · Field Operations	9,126.04	7,937.49	1,188.55	114.97%
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	1,200.00	-1,200.00	0.0%
5340 · Water Analysis	633.00	1,583.33	-950.33	39.98%
5360 · Electrical Maintenance	0.00	200.00	-200.00	0.0%
5370 · Communication Maintenance	0.00	125.00	-125.00	0.0%
Total 5399 · Contractual Services	633.00	3,108.33	-2,475.33	20.37%
5499 · Office Expenses				
5410 · Postage	1,145.78	1,400.00	-254.22	81.84%
5420 · Office Supplies	2,123.74	520.00	1,603.74	408.41%
5430 · Telephone Expense	574.70	477.14	97.56	120.45%
5440 · Sanitation Pick-up Services	121.17	130.00	-8.83	93.21%
5450 · Computer Repair and Maintenance	0.00	333.33	-333.33	0.0%
5490 · Other Office Expenses	31.63	41.67	-10.04	75.91%
Total 5499 · Office Expenses	3,997.02	2,902.14	1,094.88	137.73%
5599 · Administrative				
5510 · PSC Assessment	0.00	300.00	-300.00	0.0%
5520 · Insurance-General	4,125.81	4,333.33	-207.52	95.21%
5530 · Bad Debts	777.40	1,250.00	-472.60	62.19%
5540 · Board Fees	2,300.00	1,250.00	1,050.00	184.0%
5550 · Fees and Dues	29.95	300.00	-270.05	9.98%
5560 · Publication Expense	0.00	166.67	-166.67	0.0%
5570 · Certification Training Expenses	535.60	375.00	160.60	142.83%
5590 · Other Administrative Exp.	68.90	83.33	-14.43	82.68%
Total 5599 · Administrative	7,837.66	8,058.33	-220.67	97.26%
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWF/C (1989)	1,138.13	1,138.13	0.00	100.0%
5626 · Interest Expense RD (2000)	888.00	899.63	-11.63	98.71%
5628 · Interest Exp. RD Series A 2012	3,220.31	3,242.19	-21.88	99.33%
5629 · Interest Exp. RD Series B 2012	3,349.69	3,382.97	-33.28	99.02%
5630 · Interest Exp. Customer Dep.	0.88			

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
June 2018

	Jun 18	Budget	\$ Over Budget	% of Budget
5640 · Depreciation Expense	71,090.00	71,531.00	-441.00	99.38%
Total 5699 · Interest and Depreciation Exp.	79,687.01	80,193.92	-506.91	99.37%
66000 · Payroll Expenses	2,105.52			
Total Expense	187,541.77	198,060.52	-10,518.75	94.69%
Net Ordinary Income	-54,767.04	-74,463.03	19,695.99	73.55%
Other Income/Expense				
Other Income				
4199 · Other Income				
4030 · Service Charges	201.00	250.00	-49.00	80.4%
4040 · Late Payment Penalties	4,342.55	3,750.00	592.55	115.8%
4090 · Miscellaneous Revenues	58.51	33.33	25.18	175.55%
4110 · Interest Income	424.93	0.00	424.93	100.0%
Total 4199 · Other Income	5,026.99	4,033.33	993.66	124.64%
Total Other Income	5,026.99	4,033.33	993.66	124.64%
Net Other Income	5,026.99	4,033.33	993.66	124.64%
Net Income	-49,740.05	-70,429.70	20,689.65	70.62%
Net Income Less Depreciation	21,350.0	9,764.22	20,182.7	
Payables				
Bonds			Annual Budget	
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	
1991 Bonds Payable	\$ -	\$ -	\$ -	
2000 Bonds Payable	\$ 500.00	\$ 516.67	\$ 6,200.00	
2012 A Bonds Payable	\$ 1,125.00	\$ 1,166.67	\$ 14,000.00	
2012 B Bonds Payable	\$ 2,635.42	\$ 2,958.33	\$ 35,500.00	
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 90,700.04	
Net Position	\$14,172.86	\$2,587.13		
Other Expenditures				
Hospital Hill Rehab				
Net Position	\$14,172.86			

HYDEN LESLIE

Water  District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on August 30, 2018 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.


1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present with the exception of Ronnie Gay. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD was present.
3. Public Comments: None
4. Approval of previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes from July 26th. The motion was seconded by William Wooton. The motion carried unanimously. Minutes from the special meeting on August 16th were reviewed. William Wooton made the motion to approve the special meeting minutes. The motion was seconded by William Horton. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that RD is finishing up the Summary Addendum. He said they have reviewed everything and are still on schedule. They plan to have everything finished in September, and a letter of conditions by October 1st.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard said that Lucille Day has agreed to allow us to move her meter. The Hwy Dept. told her it had to be moved. The Water District plans to relocate the meter tomorrow. Mr. Maggard discussed some of the items that she claims were damaged but there has not been an itemized list submitted to the district. She has requested \$7,000.00 for damages. Mr. Turner requested that MR. Maggard attempt to get an itemized list of damages. Mr. Maggard said he'd speak with her to get a list.

7. Stinnett Water Line Relocation / DOT: Mr. Maggard informed the board that we were going to allow DOT to put this project in the construction contract. He will be working with the state on building protections into the construction contract that will ensure that there are limited or no interruptions in service. Mr. Maggard stated that he has invoice #1 ready for the amount of \$16,581.60 which is to be paid for by the Hwy Dept. William Horton made the motion to approve invoice #1. The motion was seconded by Timothy Helton. Motion carried unanimously.
8. Hospital Hill System Improvements: Mr. Maggard stated that the Ash Mountain contractor has asked for his retainage, and an additional \$15,725.30 for a flow meter, and pump station changes that were made during the construction. Mr. Turner stated that he was opposed to paying the retainage until the project was completed. A motion was made to approve the pay request less the retainage in the amount of \$15,725.30 by William Horton. The motion was seconded by Augustus Roberts. The motion carried unanimously.
9. Other Projects / System Issues: Mr. Maggard says the quote from C. I. Thornburg was \$20,000.00 for the pump station without a building. This station would supply a portion of J.C. Osborne Blvd that has low pressure. The pump is 1.5 HP and would pump 25 gallons per minute. Mr. Maggard stated that he would be receiving other quotes and would discuss them at the next meeting.
10. Hwy 80 Disaster (August 16, 2018): After being declared a disaster, the contractor who won the bid was G&W Construction. They decided they could fix the leak without boring under the river. The repair was made and an invoice was submitted for \$4,128.00. Mr. Turner stated that even though the leak was repaired its very likely that we will have of another leak in that area. The line is severely deteriorated and needs to be replace.
11. Claims List: The claims list was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by Augustus Roberts. Motion carried unanimously.
12. Financial Report: The financial report was reviewed. A motion was made by Timothy Helton to approve the financial report. The motion was seconded by William Wooton. Motion carried unanimously.
13. Employee Handbook Revision: Mr. Turner stated he has revised the employee handbook and would like everyone to review it.
14. Other New Business: None.
15. Banking (Address Change): Mr. Turner advised that our address on file at the bank is wrong. A motion was made by Augustus Roberts to correct each bank account with our new address of 356 Wendover Rd. The motion was seconded by William Horton. Motion carried unanimously.

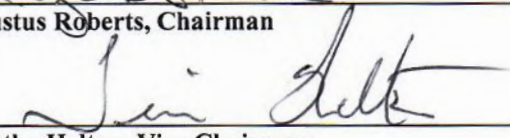
16. Executive Session: None.

17. Adjournment: Motion was made to adjourn by Timothy Helton. Motion was seconded by William Horton. Motion carried unanimously.

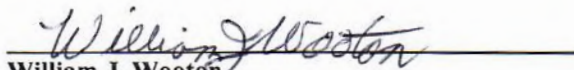
Approval of Minutes:


Augustus Roberts, Chairman

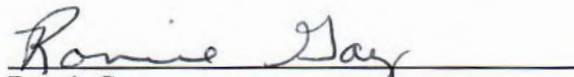
9-27-18
Date


Timothy Helton, Vice Chairman

9-27-18
Date


William J. Wooton

9-27-18
Date


Ronnie Gay

9-27-18
Date


William Todd Horton, Secretary/Treasurer

9-27-18
Date



Kentucky Transportation Cabinet
Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

INSTRUCTIONS

- Company shall **fully** complete this form.
- Submit this fully completed form, Company invoice and documentation of charges in **triplicate**.
- Final invoicing is to be submitted within 1 year of completion of work, per agreement and statute, to be considered for payment.
- Records of invoiced work are to be retained, as defined in the referenced agreement, and may be subject to audit.
- **MAIL TO:** Highway Chief District Engineer ATTN: District Utility Agent (*find at: <http://transportation.ky.gov/district.htm>*)

GENERAL ROAD PROJECT INFORMATION (This section is as defined in the Agreement as executed)

Location / Description:	Fiscal:
County (if more than one, use page 2): <u>Leslie</u>	Federal Number (if applicable): <u>FD52 066 84421 01U</u>
Route/Road Name: <u>US 421</u>	State Number: _____
Project Description: <u>Replace Bridge & Approaches over stinnett</u>	Item or AAR-DOT Number: <u>11-078</u>

COMPANY INFORMATION

Company Name: Hyden - Leslie County Water District
Company Contact Name: L Turner
Company Invoice Number (if applicable): 1

Company Address (as identified in the Agreement):

P.O. Box 906

Hyden, KY 41749

DATE INVOICED WORK BEGAN (not to precede State Letter date): _____ **DATE INVOICED WORK COMPLETED:** _____

(Enter all dates using m/d/yyyy format)

INVOICING INFORMATION:

PARTIAL **FINAL** **BILL NO.** 1

AMOUNT OF THIS BILL	<u>\$16581.00</u>
TOTAL AMOUNT OF PREVIOUS BILLS	<u>\$0.00</u>
TOTAL BILLS TO DATE	<u>\$16581.00</u>

Agreement Start Date :	_____
Purchase Order Number:	_____
Statute/Agreement Type:	KRS 177.035 <u>Keep Cost</u>
Agreement/PRDO Amount:	<u>\$47750.00</u>
Change Orders:	#1 \$ _____
	#2 \$ _____
	#3 \$ _____
	(For additional orders, use page 2)
TOTAL FROM PG 2	<u>\$0.00</u>
TOTAL:	<u>\$47750.00</u>

COMPANY CERTIFICATION:

I certify, to the extent applicable: the attached invoice is a true statement of costs incurred by our Company in constructing the most economical type of facilities in the new location as will satisfactorily meet the same service requirements as the old facilities in the old location on subject project; all materials for which we seek reimbursement adhere to federal Buy America provisions as required; and all costs listed are eligible for payment by KYTC.

Signature: _____
 Title: Manager
 Date: 12/04/17

FOR CABINET USE ONLY:

Checked: District Utility Agent	Date
Recommended/Approved: Chief District Engineer	Date
Approved: Director, Division of Right of Way & Utilities	Date



Kentucky Transportation Cabinet
 Division of Right of Way & Utilities
UTILITY/RAIL AGREEMENT STATEMENT OF CHARGES

ADDT'L CHANGE ORDERS:	#4	\$ _____
	#5	\$ _____
	#6	\$ _____
	#7	\$ _____
	#8	\$ _____
	#9	\$ _____
	#10	\$ _____
	#11	\$ _____
	#12	\$ _____
	#13	\$ _____
	#14	\$ _____
	#15	\$ _____
TOTAL PAGE 2		<u>\$0.00</u>

(automatically transfers to page 1)

NOTE: If the amount of this bill applies to more than one county the correct distribution **MUST** be shown on the following lines.

COUNTY:	ACTUAL AMOUNT:	PERCENTAGE (if applicable):
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%
_____	\$ _____	____%

**** This section is only needed if any information is entered on page 2 ****

COMPANY VALIDATION:

I accept the certification terms on page 1 in reference to the work performed as defined on page 1.

Initial: _____

Date: _____



SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: August 30, 2018
INVOICE NO. 17023-1
Sheet 1 of 2

SERVICE FOR:
HYDEN LESLIE COUNTY WATER DISTRICT

JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
KY 406 and US 421 Waterline Relocation

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES
ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Preliminary Design and Planning					
1. Preliminary Design	\$1,000.00	100.00%	\$1,000.00	\$0.00	\$1,000.00
TOTAL	\$1,000.00	100.00%	\$1,000.00	\$0.00	\$1,000.00
TOTAL FOR PRELIMINARY DESIGN	\$1,000.00	100.00%	\$1,000.00	\$0.00	\$1,000.00
Phase B Prep of Plans and Specs					
1. Perform Necessary Field Work	\$3,350.00	100.00%	\$3,350.00	\$0.00	\$3,350.00
2. Prepare Detailed cost estimate	\$6,179.00	100.00%	\$6,179.00	\$0.00	\$6,179.00
3. Prepare Specifications	\$5,750.00	100.00%	\$5,750.00	\$0.00	\$5,750.00
4. Submit water plans to DOW	\$5,892.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL	\$21,171.00	15.82%	\$3,350.00	\$0.00	\$15,279.00
TOTAL FOR PHASE B	\$21,171.00	15.82%	\$3,350.00	\$0.00	\$15,279.00
Phase C Prep of Bid Proposal					
1. Prepare to ad for bid	\$1,681.00	0.00%	\$0.00	\$0.00	\$0.00
2. Coordinate with other utilities	\$645.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL	\$2,326.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL FOR RIGHT OF WAY	\$2,326.00	0.00%	\$0.00	\$0.00	\$0.00



HYDEN LESLIE COUNTY
WATER DISTRICT

8-30-18
DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: August 30, 2018
 INVOICE NO. 17023-1
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 KY 406 and US 421 Waterline Relocation

CONTRACT:

DESCRIPTION OF WORK

Phase D Right of way/easements

1. Description Plats and Field	\$2,120.00	0.00%	\$0.00	\$0.00	\$0.00
2. Easemnt Acquisition	\$880.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL	\$3,000.00	0.00%	\$0.00	\$0.00	\$0.00

TOTAL FOR CONSTRUCTION ADM \$3,000.00 0.00% \$0.00 \$0.00 \$0.00

Phase E Construction Insp

1. Perform Field Staking	\$560.00	0.00%	\$0.00	\$0.00	\$0.00
2. Perform Resident Inspection	\$18,000.00	0.00%	\$0.00	\$0.00	\$0.00
3. Process Billing	\$1,513.00	20.00%	\$302.60	\$0.00	\$302.60
4. Mileage miscellaneous	\$180.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL	\$20,253.00	0.00%	\$0.00	\$0.00	\$302.60

TOTAL FOR CONSTRUCTION INSF \$20,253.00 0.00% \$0.00 \$0.00 \$302.60

TOTAL CONTRACT PHASE II \$47,750.00 9.11% \$4,350.00 \$0.00 \$16,581.60

INVOICES NOT PAID \$0.00

TOTAL NOW DUE \$16,581.60

Hyden - Leslie County Water District
August 30, 2018 Meeting
Monthly Contractor expenditures

Hospital Hill Project				
Contractor	Invoice #	Amount	Budget	Funds Remaining
Ash Mountain	MM CO	\$15,725.30	\$303,328.76	\$17,231.76
SME		\$0.00	\$57,150.00	\$1,638.75
Total		\$15,725.30	\$360,478.76	\$18,870.51

KY 80 Wooton Bridge Relocate

Contractor	Invoice #	Amount	Budget	Funds Remaining
H2O Const.	7	\$0.00	\$99,787.00	\$14,836.28
SME	4	\$0.00	\$16,620.00	\$0.00
Total		\$0.00	\$116,407.00	\$14,836.28

**ASH MOUNTAIN
 HYDEN - LESLIE COUNTY WATER DISTRICT
 HOSPITAL HILL WATERLINE EXTENSION
 8/30/2018
 SME #17006**

ITEM NO.	ITEM DESCRIPTION	UNITS	QUANTITY	UNIT COST	TOTAL COST
1	SUCTION DIFFUSER	LS	1	\$ 395.00	\$ 395.00
2	2" BADGER MASTER METER	LS	1	\$ 2,134.00	\$ 2,134.00
3	CONTROL PANEL CHANGES FOR LOCAL PRESSURE CONTROL	LS	1	\$ 2,018.00	\$ 2,018.00
4	PRESSURE RELIEF VALVES	LS	1	\$ 802.00	\$ 802.00
5	EXTRA PRESSURE SWITCHES FOR LOCAL PRESSURE CONTROL	LS	1	\$ 3,341.00	\$ 3,341.00
6	LABOR	LS	1	\$ 4,751.00	\$ 4,751.00
	TOTAL MATERIAL AND LABOR				\$ 13,441.00
7	OVERHEAD AND PROFIT	LS	1	17.00%	\$ 2,284.30
				TOTAL	\$ 15,725.30

G&W Const. Co., Inc.
6730 Flemingsburg Road
Morehead, KY 40351

Date	Invoice #
8/28/2018	1

Bill To
Hyden-Leslie Water District 325 Wendover Road Hyden, KY 41749

Ship To

P.O. Number	Terms	Rep	Ship	Via	F.O.B.	Project
1	Net 30		8/28/2018			

Quantity	Item Code	Description	Price Each	Amount
1	Stock	Emergency Repair @ Bridge in Hyden, KY		
		Labor for men \$1,440.00	1,440.00	1,440.00
1	Stock	Equipment \$570.00	570.00	570.00
1	Stock	Materials \$2,118.00	2,118.00	2,118.00
		Total Invoice \$4,128.00		
			Total	\$4,128.00

July Claims List

Check#	Vendor/Account	Amount	Type
3802	HLCWD Oper. & Maint. Fund	\$ 41,000.00	Transfer
3803	HLCwD Bond & Int. Sinking Fund 2000	\$ 1,404.67	Transfer
3804	HLCWD Escrow Fund	\$ 450.00	Transfer
3805	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,695.00	Transfer
3806	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3807	HLCWD Bond & Int. Sinking Fund 1989	\$ 4,054.80	Transfer
3808	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
2144	Melissa Lewis	\$ 30.33	Escrow checking
2145	Donna Melton	\$ 30.33	Escrow checking
2146	Janet Cornett	\$ 37.63	Escrow checking
2147	Eric Whitehead	\$ 30.33	Escrow checking
27270	Ky. State Treasurer	\$ 3,061.64	5510
27271	Augustus Roberts	\$ 500.00	5540
27272	Ronnie Gay	\$ 500.00	5540
27273	Todd Horton	\$ 500.00	5540
27274	Timothy Helton	\$ 500.00	5540
27275	william Wooton	\$ 300.00	5540
27276	Hyden Citizens Bank	\$ 4,276.00	2040
27277	Thomas E. Baker	\$ 9.54	5570
27278	Ky. Deferred Comp.	\$ 1,775.72	2045
27279	McCoy & McCoy Labs, Inc.	\$ 228.00	5340
27280	C.I. Thornburg Co.	\$ 5,829.89	5120
27281	Buchanan Pump & Service Supply Co.	\$ 1,900.00	5220
27282	Consolidated Pipe & Supply Co. Inc.	\$ 5,128.73	5220
27283	Cumberland Valley Elec.	\$ 573.23	5110
27284	Core & main LP	\$ 2,544.74	5220
27285	Quill Corp.	\$ 112.50	5420
27286-27297	Payroll	\$ 13,084.09	Payroll
27297	Hannah Melton	\$ 7.62	5410
3809	HLCWD Oper. & Maint. Fund	\$ 111,000.00	Transfer
27298	Hyden Citizens Bank	\$ 4,783.00	2040
27299	Ky. Deferred Comp.	\$ 1,990.75	2045
27300-27310	Payroll	\$ 12,878.57	Payroll
27311	KACo All Lines Fund	\$ 39,554.46	1350
27312	Begley Lumber Bldg & Supply	\$ 29.14	5220
27313	McCoy & McCoy Labs, Inc.	\$ 768.00	5340
27314	Clark Auto Parts	\$ 376.80	5220/5230
27315	Cintas	\$ 454.85	5070
27316	C.I. thornburg Co., Inc.	\$ 2,548.93	5120
27317	Horton Hardware	\$ 194.56	5220/5130
27318	Leslie Co. Treasurer	\$ 1,907.95	5240
27319	Ky. Power Co.	\$ 22,865.13	5110
27320	Rumpke	\$ 121.17	5440
27321	KACo Benefits Group	\$ 17,694.66	5060

27322	Sizemore's Service Center	\$	49.95	5230
27323	RVS Software	\$	1,597.18	5420
27324	TDS	\$	574.69	5430
27325	Postmaster	\$	1,030.40	5410
27326	Randy D Roberts	\$	73.57	5570
27327	Home Lumber	\$	48.50	5140
27328	Reflective Apparel Factory, Inc.	\$	59.02	5070

Ryaen-Leslie Co. Water District
Profit & Loss Budget vs. Actual
July 2018

	Jul 18	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
4029 - Water Operating Revenues				
4010 - Metered Sales Residential	111,216.68	103,764.42	7,452.26	107.18%
4020 - Metered Sales Commercial	22,523.61	19,833.07	2,690.54	113.57%
4021 - Less Adjustment Residential	-348.37			
4022 - Less Adjustments Commercial	-46.62			
Total 4029 - Water Operating Revenues	133,345.30	123,597.49	9,747.81	107.89%
Total Income	133,345.30	123,597.49	9,747.81	107.89%
Gross Profit	133,345.30	123,597.49	9,747.81	107.89%
Expense				
5099 - Personnel				
5010 - Salaries and Wages	29,994.09	31,773.57	-1,779.48	94.4%
5011 - Over Time Wages	6,167.31	3,012.00	3,155.31	204.76%
5013 - 401-K Retirement Wages	1,871.29	1,739.28	132.01	107.59%
5015 - Capitalized Labor	141.50			
5020 - Payroll Taxes	3,006.20	3,200.00	-193.80	93.94%
5060 - Employee Health Ins.	17,820.88	23,360.47	-5,539.59	76.29%
5070 - Uniform Expense	513.87	450.00	63.87	114.19%
Total 5099 - Personnel	59,515.14	63,535.32	-4,020.18	93.67%
5199 - Plant				
5110 - Utilities	23,438.36	23,400.00	38.36	100.16%
5120 - Chemicals	8,378.82	6,250.00	2,128.82	134.06%
5130 - Repair and Maintenance / Plant	138.07	1,000.00	-861.93	13.81%
5140 - Operating Supplies	483.50	208.33	275.17	232.08%
5150 - Tools	0.00	333.33	-333.33	0.0%
5160 - Water Withdrawal Fee	0.00	733.33	-733.33	0.0%
5165 - Back-up Generator Maint.	0.00	400.00	-400.00	0.0%
Total 5199 - Plant	32,438.75	32,324.99	113.76	100.35%
5299 - Field Operations				
5220 - Repair and Maintenance / Field	9,121.44	5,137.49	3,983.95	177.55%
5230 - Vehicle / Repair and Maint.	414.27	1,400.00	-985.73	29.59%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
July 2018

	Jul 18	Budget	\$ Over Budget	% of Budget
5240 · Gas and Diesel	1,907.95	1,400.00	507.95	136.28%
Total 5299 · Field Operations	11,443.66	7,937.49	3,506.17	144.17%
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	1,200.00	-1,200.00	0.0%
5340 · Water Analysis	1,189.00	1,583.33	-394.33	75.1%
5360 · Electrical Maintenance	0.00	200.00	-200.00	0.0%
5370 · Communication Maintenance	0.00	125.00	-125.00	0.0%
Total 5399 · Contractual Services	1,189.00	3,108.33	-1,919.33	38.25%
5499 · Office Expenses				
5410 · Postage	1,038.02	1,400.00	-361.98	74.14%
5420 · Office Supplies	1,760.56	520.00	1,240.56	338.57%
5430 · Telephone Expense	574.69	477.14	97.55	120.45%
5440 · Sanitation Pick-up Services	121.17	130.00	-8.83	93.21%
5450 · Computer Repair and Maintenance	0.00	333.33	-333.33	0.0%
5490 · Other Office Expenses	0.00	41.67	-41.67	0.0%
Total 5499 · Office Expenses	3,494.44	2,902.14	592.30	120.41%
5599 · Administrative				
5510 · PSC Assessment	3,061.64	300.00	2,761.64	1,020.55%
5520 · Insurance-General	4,408.94	4,333.33	75.61	101.75%
5530 · Bad Debts	-330.93	1,250.00	-1,580.93	-26.47%
5540 · Board Fees	2,300.00	1,250.00	1,050.00	184.0%
5550 · Fees and Dues	34.95	300.00	-265.05	11.65%
5560 · Publication Expense	0.00	166.67	-166.67	0.0%
5570 · Certification Training Expenses	759.11	375.00	384.11	202.43%
5590 · Other Administrative Exp.	0.00	83.33	-83.33	0.0%
Total 5599 · Administrative	10,233.71	8,058.33	2,175.38	127.0%
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,138.13	1,138.13	0.00	100.0%
5626 · Interest Expense RD (2000)	888.00	899.63	-11.63	98.71%
5628 · Interest Exp. RD Series A 2012	3,220.31	3,242.19	-21.88	99.33%
5629 · Interest Exp. RD Series B 2012	3,349.69	3,382.97	-33.28	99.02%
5630 · Interest Exp. Customer Dep.	2.73			

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
July 2018

	Jul 18	Budget	\$ Over Budget	% of Budget
5640 · Depreciation Expense	71,090.00	71,531.00	-441.00	99.38%
Total 5699 · Interest and Depreciation Exp.	79,688.86	80,193.92	-505.06	99.37%
66000 · Payroll Expenses	1,122.72			
Total Expense	199,126.28	198,060.52	1,065.76	100.54%
Net Ordinary Income	-65,780.98	-74,463.03	8,682.05	88.34%
Other Income/Expense				
Other Income				
4199 · Other Income				
4030 · Service Charges	211.00	250.00	-39.00	84.4%
4040 · Late Payment Penalties	4,591.85	3,750.00	841.85	122.45%
4090 · Miscellaneous Revenues	12.96	33.33	-20.37	38.88%
4110 · Interest Income	166.83	0.00	166.83	100.0%
Total 4199 · Other Income	4,982.64	4,033.33	949.31	123.54%
Total Other Income	4,982.64	4,033.33	949.31	123.54%
Net Other Income	4,982.64	4,033.33	949.31	123.54%
Net Income	-60,798.34	-70,429.70	9,631.36	86.33%
Net Income Less Depreciation	10,291.7	9,764.22	9,126.3	
Payables				
Bonds			Annual Budget	
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	
1991 Bonds Payable	\$ -	\$ -	\$ -	
2000 Bonds Payable	\$ 500.00	\$ 516.67	\$ 6,200.00	
2012 A Bonds Payable	\$ 1,125.00	\$ 1,166.67	\$ 14,000.00	
2012 B Bonds Payable	\$ 2,635.42	\$ 2,958.33	\$ 35,500.00	
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 90,700.04	
Net Position	\$3,114.57	\$2,587.13		

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman

William J. Wooton
Commissioner

Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.

Timothy Helton
Vice Chairman

Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on September 27, 2018 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD was present. Also, residents from Burnt House Branch were present.
3. Public Comment: Residents from Burnt House Branch are requesting that a service line be installed up their road. It is approximately 1 mile to the last house. Currently, there are 10 houses with water service. These customers have service line lengths up to 1 mile and would like for the District run a service line by their home. By, running a service line from the main line, 3 more homes would be able to receive service.
4. Approval of Previous Meeting Minutes: Minutes were reviewed. Timothy Helton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that everything was ready and Rural Development had planned on funding on October 1, 2018. When RD went through our Summary Addendum, they reduced our rate increase. He said we are waiting to get the letter of conditions. We are having to wait on a letter from Fish and Wildlife for the environmental section, due to endangered species in the area. Also, we are waiting on a letter from the Eastern Tribe of the Cherokees to make sure they are okay with construction in the affected areas . He stated they anticipate everything to be approved by December.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard says they have not heard from Lucille Day. Residents in the area believe we have a line leaking, but L.J stated that

he has tested it several times and it appears to be ground water. He says he will test it once more and let the residents know.

7. Stinnett Water Line Relocation / DOT: Mr. Maggard stated that he talked with the DOT and their anticipating a November bid. He also stated that he would review the plans for the final time with Mr. Turner before sending the final documents. Mr. Turner asked that Mr. Maggard work with the DOT to build protections in the contract document to reduce the liability of line damage during the project.
8. Hospital Hill System Improvements: Mr. Maggard says the panel is complete and is supposed to be getting shipped.
9. Other Projects / System Issues: None.
10. Meridian Wealth Management: L. J. stated that our investment management agreement has expired. They want us to enter into a new agreement. L.J. sent the new agreement to our auditors to review it. The auditors stated it was a standard agreement that we can cancel anytime if we need to. William Horton made a motion to sign the new Meridian Wealth Investment Management Agreement. Motion was seconded by William Wooton. Motion carried unanimously.
11. Claims List: The claims list was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by Ronnie Gay. Motion carried unanimously.
12. Financial Report: The financial report was reviewed. A motion was made by William Horton to approve the financial report. The motion was seconded by William Wooton. Motion carried unanimously.
13. Employee Handbook: The employee handbook was handed out to each commissioner in the previous meeting for review. A motion was made by Augustus Roberts to adopt the revised employee handbook. Motion was seconded by William Horton. Motion carried unanimously.
14. Other New Business: L.J. stated that we will be dropping the uniform contract with Cintas. We will be buying shirts, hats, jackets, etc. The guys will be allowed to purchase their work pants and the water district will reimburse them up to \$30.00 per pair.
15. Executive Session: None.
16. Adjournment: Motion was made to adjourn by William Horton. Motion was seconded by William Wooton. Motion carried unanimously.

Approval of Minutes:



Augustus Roberts, Chairman

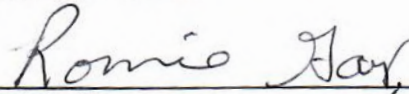
10-25/18
Date

Timothy Helton, Vice Chairman

Date

William J. Wooton

Date



Ronnie Gay

10/25/18
Date



William Todd Horton, Secretary/Treasurer

10/25/18
Date



MERIDIAN

WEALTH MANAGEMENT, LLC

250 West Main Street, Suite 3150
Lexington, KY 40507
Phone: (859) 543-4516
Fax: (859) 543-4523
www.meridianwealthllc.com

Investment Management Agreement

This Investment Management Agreement (Agreement) is by and between Meridian Wealth Management Group, LLC (Advisor), and Hyden Leslie Water District the (Client), to provide the Client with investment management services via the brokerage account (Account) established at the Client's designated custodian as listed in Item 4 of this Agreement.

This Agreement becomes effective on the date in which the Advisor receives the signed Agreement from the Client. The terms and conditions of this Agreement are as follows:

1. Discretionary Authority – Client grants Advisor ongoing and continuous discretionary authority to execute Advisor's investment recommendations in accordance with the objectives of the Client as communicated to the Advisor, without the Client's prior approval of each specific transaction. Under this authority, the Client shall allow the Advisor to purchase and sell securities and instruments in this Account, select and retain sub-advisors, and act on behalf of the Client in all matters necessary or incidental to the handling of the Account, including monitoring certain assets. Unless specifically directed otherwise in writing by the Client, Advisor is not authorized to vote proxies on issues held in the Account. Unless otherwise specifically and expressly indicated in this Agreement, Client acknowledges and understands that the services to be provided by Advisor under this Agreement are limited to the investment management of the Account. It is also agreed and acknowledged by all parties that Advisor does not engage in and does not render tax or legal advice. Client shall obtain his or her own tax or legal advice.

2. Client Authority and Responsibilities – The Client agrees to deliver to Advisor all account forms and corporate resolutions or similar documentation, as applicable, evidencing the Client's authority to execute and deliver this Agreement. The Client further agrees to deliver all amendments or supplements to the preceding documents to ensure that the Advisor has current and accurate information. Client shall provide to Advisor relevant information concerning Client's financial condition, needs, and investment objectives and shall keep such information up to date. The Client represents that they own all property deposited in the Account.

3. Expenses and Fees – Investment management fees are calculated based on the month-end security valuations as provided by the Client's designated custodian. Clients will receive statements issued from the custodian at least quarterly that display the investment management fees that are debited from the Account. The Clients will pay the investment management fees of .50% annually, billed on a quarterly basis in advance of each quarter. The investment management fees in the first quarter of the Agreement shall be prorated from the inception date to the end of the first quarter.

Expenses related to the ordinary servicing of the Account, including custody fees, and security transaction fees shall be paid by the Client and will normally be deducted directly from the Account. In addition, operating fees of mutual funds and other investment product fees are deducted from the asset value of those investments as defined in the prospectus of the sponsor for each product.

4. Custody and Brokerage Transactions - The Client has appointed Pershing to be the Broker-Dealer (Broker) and maintain custody of the assets of the Account. At no time will the Advisor accept, maintain possession or have custodial responsibility for the Client's funds or securities.

Per the instruction of the Client, the Advisor will direct and place all orders for the execution of transactions with or through the Broker, under the Client's independent, exclusive agreement with Broker.

The terms of the Account, which contains the assets to which this Agreement pertains, shall be determined solely by and between the Client and Broker. Advisor shall not be liable to the Client for any act, conduct or omission by the Broker or Custodian. Advisor shall not be responsible for ensuring Broker's compliance with the terms of the brokerage account and payment of brokerage or custodian charges and fees. Client acknowledges that Broker will provide duplicate confirms or electronic access to Advisor for all trades in the Account. Advisor is authorized and empowered to issue instructions to Broker and to request information about the Account from the Broker.

If a Client directs trades to a Broker other than Pershing LLC, the Client may not receive the best execution prices on trades placed in their accounts.

5. Aggregation – Advisor is authorized in its discretion to aggregate purchases and sales and other transactions made for the Account with purchases and sales and other transactions in the same or similar securities. When transactions are so aggregated, the actual prices applicable to the aggregated transactions will be averaged, and the Account will be deemed to have purchased or sold its proportionate share of the instruments involved at the average price.

6. Liability – Except as may otherwise be provided by law, Advisor will not be liable to Client for: (i) any loss that Client may suffer by reason of any investment decision made or other action taken or admitted by Advisor, except in the case of Advisor's negligence, intentional misconduct, or lack of good faith, or (ii) any loss arising from Advisor's adherence to Client's instructions. This Agreement does not constitute a waiver of any Client's legal rights under federal and state securities laws.

7. Conflicts of Interest – Any conflicts of interest between the Client and Advisor should be disclosed in writing now or at any time during the working relationship.

8. Nonexclusive Agreement – Client acknowledges and understands that the Advisor performs investment advisory services for various clients. Client agrees that Advisor may give advice and take action with respect to any of its other clients which may differ from advice given, or the timing or nature of action taken, with respect to Client. Nothing in this Agreement shall put us under any obligation to purchase or sell or to recommend for purchase or sale for the Client's account, any security which Advisor may purchase or sell for its own accounts or for the account of any other client.

9. Reliance on Information – The Client understands that the Advisor, in performance of its obligations and duties under the Agreement, is entitled to rely upon the accuracy of information furnished by the Client or on its behalf, without further investigation.

10. Risk Acknowledgment – The Advisor does not guarantee the future performance of the Account or any level of performance and Client understands that past investment performance is no guarantee of future performance. The Client understands that investment decisions made for the Client's Account by the Advisor are subject to various market, currency, economic, political, geopolitical, acts of terrorism, and business risks, and that those investment decisions will not always be profitable.

11. Governing Law Disputes – To the extent federal law does not apply to this Agreement, it shall be construed by the laws of the Commonwealth of Kentucky. Meridian Wealth Management, LLC is an SEC-registered firm.

12. Arbitration Provision – Any controversy or dispute which may arise between Client and the Advisor concerning any transaction or the construction, performance or breach of this Agreement shall be settled by arbitration. Any arbitration will be according to the rules, then applying, of the American Arbitration Association, except to the extent set forth herein. The arbitration panel will consist of at least three individuals, with at least one panelist having knowledge of investment advisory activities. The parties agree that any arbitration proceeding pursuant to this provision will be held in a location as determined by the rules of the American Arbitration Association. The award of the arbitrators will be final and binding on the parties, and judgment upon the award rendered may be entered into in any court, state or federal, having jurisdiction. Client notes the following provisions of arbitration:

Arbitration is final and binding on all parties.

- The parties are waiving their right to seek remedies in court, including the right to jury trial, except to the extent such a waiver would violate applicable law.
- Pre-arbitration discovery is more limited than and different from court proceedings.
- The arbitrators' award is not required to include factual findings or legal reasoning and any party's right to appeal or to seek modification of rulings by the arbitrators is strictly limited.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the

securities industry.

Notwithstanding the foregoing, the parties acknowledge that this agreement to arbitrate does not constitute a waiver of a judicial forum where such waiver would be void or cause a violation under applicable federal or state securities laws and regulations, including but not limited to the Investment Advisor's Act of 1940.

13. Disclosure of Form ADV Part 2A and 2B - By signing this contract, the Client acknowledges that they received Advisor's most recent Form ADV Part 2A and their investment advisor's Part 2B. For this provision, a contract is considered entered into when all parties to this contract have signed the Agreement. Clients will be informed of any changes in the ownership of the Advisor within a reasonable period of time.

14. Privacy - By signing this contract, the Client acknowledges that they received Advisor's Privacy Policy.

15. Electronic Delivery - If the Client includes their email address on this Agreement, the Client authorizes the Advisor to deliver, and agrees to accept, all required regulatory notices and disclosures, as well as all other correspondence from the Advisor, via electronic mail. Information and documents provided by the Advisor will include, but are not necessarily limited to, Form ADV updates and offers, account reports prepared by the Advisor, the Advisor's annual Privacy Policy Notice, and other written communications from the Advisor.

16. Termination and Cancellation - This Agreement may be terminated, at any time, by either party, by written notice to the other party.

The client will be responsible for investment management fees up to and including the effective date of termination, and any unearned, prepaid fees will be refunded by the Advisor via check. The Advisor will provide the Client with a pro-rata refund of the Client's prepaid advisory fees to the extent that one is due. Upon the termination of this Agreement, the Advisor will have no obligation to recommend or take any action with regard to the securities in the Account.

17. Assignment of Agreement - No assignment, as that term is defined in the Advisers Act, of this Agreements shall be made by the Adviser without the prior written consent of the Client. The Client acknowledges and agrees that transactions that do not result in a change of actual control or management of the Adviser and shall not be considered an assignment under Rule 202(a)(1)-1 under the Advisers Act. However, written consent shall only be required to the extent required by applicable law.

18. Client Death or Disability - Client's death, disability or incompetency will not automatically terminate or change the terms of this Agreement. However, Client's executor, guardian, attorney-in-fact or other authorized representative may terminate this Agreement by giving written notice to the Advisor.

19. Investment objectives - Shall be stated in a separate agreement.

Client's Name: Augustus Roberts - Chairman
Client's Signatures: [Signature] 09-27-18
Date Signed by Client: 09-27-18
Client's Email Address: HLWater@TDS.net
Meridian's Wealth Management Advisor (WMA): _____
Date Signed by WMA: _____

August Claims List

Check#	Vendor/Account	Amount	Type
3810	HLCWD Oper. & Maint. Fund	\$ 41,000.00	Transfer
3811	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,404.67	Transfer
3812	HLCWD Escrow Fund	\$ 660.00	Transfer
3813	HLCWD Bond & Int. sinking Fund 2012	\$ 10,695.00	Transfer
3814	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3815	HLCWD Bond & Int. Sinking Fund 1989	\$ 3,983.34	Transfer
3816	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
27329	Ky. State Treasurer	\$ 290.00	5570
27330	Consolidated Pipe & Supply Co., Inc.	\$ 3,349.09	5220
27331	Hannah Melton	\$ 7.70	5410
27332	KACo Ins. Agency	\$ 977.28	1350
27333	Thomas E Baker	\$ 29.29	5230
27334	Core & Maint LP	\$ 1,940.98	5220
27335	Ky. Deferred Comp.	\$ 1,837.74	2045
27336	C.I. Thornburg Co., Inc.	\$ 5,578.06	5120
27337	Hyden Citizens Bank	\$ 4,361.72	2040
27338	Ky. State Treasurer	\$ 4,012.21	2070/2071/2072
27339	Cumberland Valley Electric	\$ 550.56	5110
27340	Ky. State Treasurer	\$ 630.66	2050
27341	Ky. State Treasurer	\$ 1,671.61	2035
27342	Todd Horton	\$ 500.00	5540
27343	Augustus Roberts	\$ 500.00	5540
27344	Ronnie Gay	\$ 500.00	5540
27345	Timothy Helton	\$ 500.00	5540
27346	William Wooton	\$ 300.00	5540
27347	Leslie Co. Occup. Tax Admin.	\$ 392.97	2048
27348-27358	Payroll	\$ 13,154.57	Payroll
27359	Colonial Life	\$ 178.76	2046
3817	HLCWD Oper. & Maint. Fund	\$ 69,000.00	Transfer
2148	Dwain Mosley	\$ 9.19	Escrow checking
2149	Tammy Causey	\$ 9.16	Escrow checking
27360	Ky. State Treasurer	\$ 1,992.78	5160
27361	Postmaster	\$ 161.70	5410
27362	Hyden Citizens Bank	\$ 3,832.18	2040
27363	Rumpke	\$ 130.99	5440
27364	Horton Hardware	\$ 104.13	5220
27365	C.I. Thornburg Co., Inc.	\$ 2,464.71	5220
27366	Consolidated Pipe & Supply Co, Inc.	\$ 4,277.27	5220
27367	Home Lumber Co.	\$ 97.60	5220
27368	Thomas E Baker	\$ 28.94	5220/5250
27369	Ky. Deferred Comp.	\$ 1,634.67	2045
27370	Leslie Co. Treasurer	\$ 2,012.01	5240
27371	Clark Auto Parts	\$ 144.41	5220/5230
27372	McCoy & McCoy Labs, Inc.	\$ 327.00	5340

27373	Allied Communications Inc.	\$	360.00	5590
27374	Cintas	\$	377.52	5070
27375	Thomas E Baker	\$	98.74	5250
27376	Ky Power Co	\$	20,984.17	5110
27377	Quill Corp.	\$	111.03	5420
27378	Begley Lumber & Bldg. Supply	\$	23.45	5220/5250
27379	TDS	\$	573.93	5430
27380	Larry j Turner	\$	7.62	5410
27381	RVS Software	\$	364.02	5420
27382	Maggard Electric	\$	215.00	5220
27383-27393	Payroll	\$	11,924.07	Payroll
27394	KACo Benefits Group	\$	16,823.88	5060
27395	Hannah Melton	\$	7.70	5410
27396	McIntosh Welding	\$	310.00	5230
27397	G & G Communications	\$	600.00	5370
27398	VOID		VOID	VOID
27399	Advance Auto parts	\$	1,900.00	5230
3818	HLCWD Oper. & Maint. Fund	\$	38,000.00	Transfer
3819	HLCWD Oper. & Maint. Fund	\$	1,000.00	Transfer
27400	Hyden Citizens Bank		4291.88	2040
27401	McCoy & mcCoy Labs, Inc.	\$	115.00	5340
27402	USA BlueBook	\$	451.23	5140
27403	C.I. Thornburg Co., Inc.	\$	6,461.15	5120
27404	Sizemore's Service Center	\$	84.90	5230
27405	Ky. Deferred Compensation	\$	1,801.27	2045
27406	Hannah Melton	\$	31.75	5140
27407	G & W Construction Co., Inc.	\$	4,128.00	5220
27408	Thomas E. Baker	\$	149.99	5250
27409	Dana L. Campbell	\$	273.11	5570
27410	Postmaster	\$	1,073.12	5410
27411	Consolidated Pipe & Supply Co.	\$	1,064.17	5220
27412	Leslie Co. Occupational Tax Admin.	\$	549.20	2048
27413	Ky. State Treasurer	\$	2,324.93	2035
27414-27424	Payroll	\$	13,084.27	Payroll
27425	Wilson Equip. Co.	\$	378.74	5235
27426	Double H Auto	\$	172.50	5230
27427	Ky. State Treasurer	\$	601.25	2050
27428	Colonial Life	\$	268.14	2046
	FEBCO	\$	470.19	98.53+371.66
	ACH	\$	29.95	
	ACH FEE	\$	5.00	
	Best Western	\$	391.63	Debit Card
	Intuit	\$	23.32	Debit Card
27429	Cumberland Valley Elec.	\$	486.16	5110

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
August 2018

Ordinary Income/Expense	Aug 18	Budget	\$ Over Budget	% of Budget
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	99,589.94	103,764.42	-4,174.48	95.98%
4020 · Metered Sales Commercial	21,131.44	19,833.07	1,298.37	106.55%
4021 · Less Adjustment Residential	-499.06			
Total 4029 · Water Operating Revenues	120,222.32	123,597.49	-3,375.17	97.27%
Total Income	120,222.32	123,597.49	-3,375.17	97.27%
Gross Profit				
Expense				
5099 · Personnel				
5010 · Salaries and Wages	44,830.89	31,773.57	13,057.32	141.1%
5011 · Over Time Wages	6,693.25	3,012.00	3,681.25	222.22%
5013 · 401-K Retirement Wages	2,621.08	1,739.28	881.80	150.7%
5015 · Capitalized Labor	896.80			
5020 · Payroll Taxes	4,201.39	3,200.00	1,001.39	131.29%
5060 · Employee Health Ins.	17,294.07	23,360.47	-6,066.40	74.03%
5070 · Uniform Expense	377.52	450.00	-72.48	83.89%
Total 5099 · Personnel	76,915.00	63,535.32	13,379.68	121.06%
5199 · Plant				
5110 · Utilities	22,020.89	23,400.00	-1,379.11	94.11%
5120 · Chemicals	12,039.21	6,250.00	5,789.21	192.63%
5130 · Repair and Maintenance / Plant	0.00	1,000.00	-1,000.00	0.0%
5140 · Operating Supplies	482.98	208.33	274.65	231.83%
5150 · Tools	0.00	333.33	-333.33	0.0%
5160 · Water Withdrawal Fee	1,992.78	733.33	1,259.45	271.74%
5165 · Back-up Generator Maint.	0.00	400.00	-400.00	0.0%
Total 5199 · Plant	36,535.86	32,324.99	4,210.87	113.03%
5299 · Field Operations				
5220 · Repair and Maintenance / Field	15,805.63	5,137.49	10,668.14	307.65%
5230 · Vehicle / Repair and Maint.	2,606.45	1,400.00	1,206.45	186.18%
5235 · Equipment Repair and Maint.	378.74			

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
August 2018

	Aug 18	Budget	\$ Over Budget	% of Budget
5240 · Gas and Diesel	2,012.01	1,400.00	612.01	143.72%
5250 · Tools	280.75			
Total 5299 · Field Operations	21,083.58	7,937.49	13,146.09	265.62%
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	1,200.00	-1,200.00	0.0%
5340 · Water Analysis	442.00	1,583.33	-1,141.33	27.92%
5360 · Electrical Maintenance	0.00	200.00	-200.00	0.0%
5370 · Communication Maintenance	600.00	125.00	475.00	480.0%
Total 5399 · Contractual Services	1,042.00	3,108.33	-2,066.33	33.52%
5499 · Office Expenses				
5410 · Postage	1,250.14	1,400.00	-149.86	89.3%
5420 · Office Supplies	506.07	520.00	-13.93	97.32%
5430 · Telephone Expense	573.93	477.14	96.79	120.29%
5440 · Sanitation Pick-up Services	130.99	130.00	0.99	100.76%
5450 · Computer Repair and Maintenance	0.00	333.33	-333.33	0.0%
5490 · Other Office Expenses	0.00	41.67	-41.67	0.0%
Total 5499 · Office Expenses	2,461.13	2,902.14	-441.01	84.8%
5599 · Administrative				
5510 · PSC Assessment	0.00	300.00	-300.00	0.0%
5520 · Insurance-General	4,408.94	4,333.33	75.61	101.75%
5530 · Bad Debts	2,412.17	1,250.00	1,162.17	192.97%
5540 · Board Fees	2,300.00	1,250.00	1,050.00	184.0%
5550 · Fees and Dues	34.95	300.00	-265.05	11.65%
5560 · Publication Expense	0.00	166.67	-166.67	0.0%
5570 · Certification Training Expenses	664.74	375.00	289.74	177.26%
5590 · Other Administrative Exp.	360.00	83.33	276.67	432.02%
Total 5599 · Administrative	10,180.80	8,058.33	2,122.47	126.34%
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,066.67	1,138.13	-71.46	93.72%
5626 · Interest Expense RD (2000)	888.00	899.63	-11.63	98.71%
5628 · Interest Exp. RD Series A 2012	3,220.31	3,242.19	-21.88	99.33%
5629 · Interest Exp. RD Series B 2012	3,349.69	3,382.97	-33.28	99.02%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
August 2018

	Aug 18	Budget	\$ Over Budget	% of Budget
5630 · Interest Exp. Customer Dep.	2.38			
5640 · Depreciation Expense	70,970.00	71,531.00	-561.00	99.22%
Total 5699 · Interest and Depreciation Exp.	79,497.05	80,193.92	-696.87	99.13%
66000 · Payroll Expenses	0.00			
Total Expense	227,715.42	198,060.52	29,654.90	114.97%
Net Ordinary Income	-107,493.10	-74,463.03	-33,030.07	144.36%
Other Income/Expense				
Other Income				
4199 · Other Income				
4030 · Service Charges	355.00	250.00	105.00	142.0%
4040 · Late Payment Penalties	4,384.92	3,750.00	634.92	116.93%
4090 · Miscellaneous Revenues	13.13	33.33	-20.20	39.39%
4110 · Interest Income	2,305.40	0.00	2,305.40	100.0%
Total 4199 · Other Income	7,058.45	4,033.33	3,025.12	175.0%
Total Other Income	7,058.45	4,033.33	3,025.12	175.0%
Net Other Income	7,058.45	4,033.33	3,025.12	175.0%
Net Income	-100,434.65	-70,429.70	-30,004.95	142.6%
Net Income Less Depreciation	-29,464.7	9,764.22	-30,701.8	
Payables				
Bonds			Annual Budget	
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	
1991 Bonds Payable	\$ -	\$ -	\$ -	
2000 Bonds Payable	\$ 500.00	\$ 516.67	\$ 6,200.00	
2012 A Bonds Payable	\$ 1,125.00	\$ 1,166.67	\$ 14,000.00	
2012 B Bonds Payable	\$ 2,635.42	\$ 2,958.33	\$ 35,500.00	
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 90,700.04	
Net Position	-\$36,641.74	\$2,587.13		

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

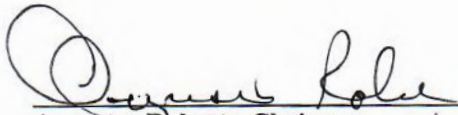
William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on October 25, 2018 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present with the exception of William Wooton and Timothy Helton. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: None
3. Public Comment: None
4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that he met with RD this morning. The only thing we are waiting on is the letter from the Indian tribe. Mr. Maggard states that Rural Development knows the letter is coming, so they are holding the money designated to us. He also stated that CDBG had some questions that he had responded to. Mr. Maggard says that when RD funds the project everyone else will also.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard says they are waiting for them to cut the right of way to set the pole at the W.B. Muncy school. He'll be contacting the AEP to get it resolved.

7. Stinnett Water Line Relocation / DOT: Mr. Maggard says we have all the plans, bid documents, etc. submitted to the Hwy Dept. He says they are going to write an agreement for the engineering part and also an agreement with the Water District for the construction. Maggard says he was in a meeting last week that said the project will not bid until February.
8. Hospital Hill System Improvements: Mr. Maggard says the panels are in. He says we may need to get a new power service. He will be meeting with a guy tomorrow to look at it. Since they plan on being finished next week, the contractors asked if we could approve their work and payment so they will not have to wait 30 days to receive payment. A motion was made by Ronnie Gay to approve the request to pay the contractors after the work is completed pending the approval by the manager and engineer. Motion was seconded by William Horton. Motion carried unanimously.
9. Other Projects / System Issues: Mr. Turner asked Mr. Maggard if the drawing were complete for Wooton Bridge. He said they're finished and he would drop them off. Mr. Turner says we have been doing pressure testing on JC Osborne Blvd. He says the left hand side which does not lose water, dips down below 30psi. Therefore, we will have to do boost both sides by placing the BPS down the hill.
10. Claims List: The claims list was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by Ronnie Gay. Motion carried unanimously.
11. Financial Report: The financial report was reviewed. A motion was made by William Horton to approve the financial report. The motion was seconded by Augustus Roberts. Motion carried unanimously.
12. Other New Business: None
13. Executive Session: None
14. Adjournment: Motion was made to adjourn by Augustus Roberts. Motion was seconded by William Horton. Motion carried unanimously.

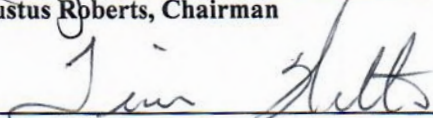
Approval of Minutes:



Augustus Roberts, Chairman

11-29-18

Date



Timothy Helton, Vice Chairman

11-29-18

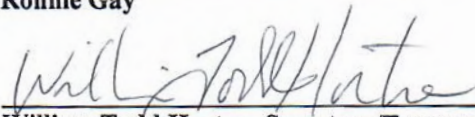
Date

William J. Wooton

Date

Ronnie Gay

Date



William Todd Horton, Secretary/Treasurer

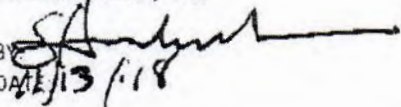
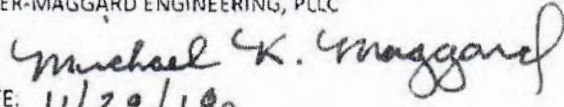
11/29/18

Date

HYDEN-LESLIE COUNTY WATER DISTRICT HOSPITAL HILL-REPAIR & REPLACEMENT WATER DISTRIBUTION SYSTEM EXTENSIONS PARTIAL PAY ESTIMATE	CONTRACT: HOSPITAL HILL-REPAIR AND REPLACEMENT PARTIAL PAY ESTIMATE NO. 6; FINAL 11/5/18 PAGE 1 OF 2, cutoff 6/26/18 CONTRACTOR: ASH MOUNTAIN, INC.
--	--

CONTRACT CHARGE ORDER SUMMARY				ESTIMATE	
CHANGE ORDER NO.	AGENCY APPROVAL DATE	AMOUNT ADDITIONS	DEDUCTIONS		
				1 ORIGINAL CONTRACT	\$ 376,682.80
				2 CHANGE ORDERS	\$ -
				3 REVISED CONTRACT (1+2)	\$ 423,058.24
				4 WORK COMPLETED*	\$ 314,238.06
				5 STORED MATERIALS	\$ -
				6 SUBTOTAL (4+5)	\$ 314,238.06
				7 RETAINAGE 5%	\$ 15,236.70
				8 PREVIOUS PAYMENTS	\$ 286,097.00
				9 AMOUNT DUE (6-7-8)	\$ 12,904.36
TOTALS					
NET CHANGE					

CONTRACT TIME		CONTRACT DATES	
ORIGINAL DAYS	90	STARTING DATE	8/21/2017
REVISED	0	PROJECTED COMPLETION	11/18/2017
REMAINING ON SCHEDULE	0		

CONTRACTORS CERTIFICATION	ENGINEERS CERTIFICATION
The undersigned Contractor certifies that to the best of their knowledge, information and belief the work covered by this payment estimate has been completed in accordance with the contract documents, that all amounts have been paid by the contractor for work for which previous payment estimates was issued and payments received from the owner, and that current payment herein is now due ASH MOUNTAIN, INC. BY:  DATE: 11/13/18	The undersigned certifies that the work has been carefully inspected and to the best of their knowledge and belief, the quantities shown in this estimate are correct and the work has been performed in accordance with the contract documents. SISLER-MAGGARD ENGINEERING, PLLC BY:  DATE: 11/29/18

APPROVAL BY OWNER	ACCEPTED BY AGENCY
OWNER: HYDEN-LESLIE COUNTY WATER DISTRICT TITLE: DATE:	The review and acceptance of this estimate does not attest to the correctness of the quantities shown or that the work has been performed in accordance with the contract documents. AGENCY: BY: TITLE: DATE:

September Claims List

Check#	Vendor/Account	Amount	Type
3820	HLCWD Oper. & Maint. Fund	80000	Transfer
3821	HLCWD Bond & Int. Sinking Fund 2000	1404.67	Transfer
3822	HLCWD Escrow Fund	660	Transfer
3823	HLCWD Bond & Int. Sinking Fund 2012	10695	Transfer
3824	HLCWD Depreciation Fund 1991	1600	Transfer
3825	HLCWD Depreciation Fund 1989	580	Transfer
3826	HLCWD Payroll Account	3000	Transfer
3827	HLCWD Bond & Int. Sinking Fund 1989	3983.34	Transfer
2150	Christopher Maggard	7.46	Escrow Checking
2151	Donald Simpson	30.33	Escrow Checking
27430	Augustus Roberts	500	5540
27431	Ronnie Gay	500	5540
27432	Todd Horton	500	5540
27433	Timothy Helton	500	5540
27434	William Wooton	300	5540
27435	Hyden Citizens Bank	4040.4	2040
27436	Thomas E Baker	154.2	5250
27437	Wilson Equipment	16.3	5235
27438	Horton Hardware	61.79	5220/5250/5130
27439	Consolidated Pipe & Supply Co., Inc.	4104.42	5220 5250/5230/5235/5
27440	Clark Auto Parts	585.63	130/5220/5165
27441	Double H Auto	889.75	5230
27442	Continental Hydrodyne Systems, Inc.	174.29	5140
27443	Begley Lumber & Bldg. Supply	11.78	5220
27444	McCoy & McCoy Labs, Inc.	658	5340
27445	Leslie Co. Treasurer	1714.08	5240
27446	Quill Corporation	1148.27	5420
27447	Ky. Deferred Compensation	1714.45	2045
27448	Ky. Power Co.	20962.85	5110
27449	C.I. Thornburg Co. Inc.	2984.83	5120
27450	TDS	573.84	5430
27451	Postmaster	VOID	5410
27452	Ky. State Treasurer	3621.64	2070/2071/2072
27453-27463	Payroll	12465.57	Payroll
27464	Rumpke	130.99	5440
27465	Ash Mountin	15725.3	1595
3828	HLCWD Oper. & Maint. Fund	69000	Transfer
27466	Consolidated Pipe & Supply Co., Inc.	5992.66	5220
27467	Cintas	536.4	5070
27468	Thomas E Baker	26.21	5220
27469	Sizemore's Service Center	89.9	5230
27470	Buchanan Pump Service & Supply Co.	2386	5220
27471	McCoy & McCoy Labs, Inc.	183	5340

27472	KACo Benefits Group	16773.88	5060
27473	Ky. Deferred Compensation	1926.9	2045
27474	C.I. Thornburg Co., Inc.	6243.89	5120
27475	Wilson Equipment Co.	1059.3	5235
27476	Core & Main LP	1535.97	5220
27477	Hyden Citizens Bank	4525.86	2040
27478	Ky. State Treasurer	598.33	2050
27479	Postmaster	1066.4	5410
27480	Ky. state Treasurer	1583.97	2035
27481	Cumberland Valley Elec.	473.45	5110
27482	Ky. State Treasurer	3797.96	2070/2071/2072
27483	Leslie Co. Occupational Tax Admin.	374.22	2048
27484	Double H Auto	1255	5230
27485	James Jones Excavation Service	842.16	5220
27486-27496	Payroll	13521.78	Payroll
27497	Ky. River Add	40	5570
27498	Colonial Life	178.76	2046

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
September 2018

Ordinary Income/Expense	Income	4029 · Water Operating Revenues	4010 · Metered Sales Residential	4020 · Metered Sales Commercial	4021 · Less Adjustment Residential	Total 4029 · Water Operating Revenues	Total Income	Gross Profit	Expense	5099 · Personnel	5010 · Salaries and Wages	5011 · Over Time Wages	5013 · 401-K Retirement Wages	5015 · Capitalized Labor	5020 · Payroll Taxes	5060 · Employee Health Ins.	5070 · Uniform Expense	Total 5099 · Personnel	5199 · Plant	5110 · Utilities	5120 · Chemicals	5130 · Repair and Maintenance / Plant	5140 · Operating Supplies	5150 · Tools	5160 · Water Withdrawal Fee	5165 · Back-up Generator Maint.	Total 5199 · Plant	5299 · Field Operations	5220 · Repair and Maintenance / Field	5230 · Vehicle / Repair and Maint.	5235 · Equipment Repair and Maint.								
	Income																																						
	Actual	Budget	Actual	Actual	Actual	Budget	Actual	Actual	Budget	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Budget	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Budget	Actual	Actual	Actual	Actual	Actual							
			104,918.33	103,764.42	1,153.91	101.11%					29,306.92	31,773.57	-2,466.65	92.24%								21,436.30	23,400.00	-1,963.70	91.61%														
			21,680.25	19,833.07	1,847.18	109.31%					4,415.75	3,012.00	1,403.75	146.61%								9,228.72	6,250.00	2,978.72	147.66%														
			-227.90								1,784.26	1,739.28	44.98	102.59%								174.29	208.33	-34.04	83.66%														
			126,370.68	123,597.49	2,773.19	102.24%					2,862.63	3,200.00	-337.37	89.46%								58.90	1,000.00	-941.10	5.89%														
			126,370.68	123,597.49	2,773.19	102.24%					21,824.66	23,360.47	-1,535.81	93.43%								0.00	333.33	-333.33	0.0%														
			126,370.68	123,597.49	2,773.19	102.24%					536.40	450.00	86.40	119.2%								0.00	733.33	-733.33	0.0%														
			61,412.89	63,535.32	-2,122.43	96.66%					21,436.30	23,400.00	-1,963.70	91.61%								9,228.72	6,250.00	2,978.72	147.66%														
			31,124.20	32,324.99	-1,200.79	96.29%					21,436.30	23,400.00	-1,963.70	91.61%								58.90	1,000.00	-941.10	5.89%														
			13,678.66	5,137.49	8,541.17	266.25%					174.29	208.33	-34.04	83.66%								174.29	208.33	-34.04	83.66%														
			2,407.87	1,400.00	1,007.87	171.99%					0.00	333.33	-333.33	0.0%								0.00	333.33	-333.33	0.0%														
			1,199.49								0.00	733.33	-733.33	0.0%								0.00	733.33	-733.33	0.0%														
			31,124.20	32,324.99	-1,200.79	96.29%					225.99	400.00	-174.01	56.5%								225.99	400.00	-174.01	56.5%														

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
September 2018

	Sept 18	Budget	\$ Over Budget	% of Budget
5240 · Gas and Diesel	1,714.08	1,400.00	314.08	122.43%
5250 · Tools	194.72			
Total 5299 · Field Operations	19,194.82	7,937.49	11,257.33	241.83%
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	1,200.00	-1,200.00	0.0%
5340 · Water Analysis	841.00	1,583.33	-742.33	53.12%
5360 · Electrical Maintenance	0.00	200.00	-200.00	0.0%
5370 · Communication Maintenance	0.00	125.00	-125.00	0.0%
Total 5399 · Contractual Services	841.00	3,108.33	-2,267.33	27.06%
5499 · Office Expenses				
5410 · Postage	1,066.40	1,400.00	-333.60	76.17%
5420 · Office Supplies	1,148.27	520.00	628.27	220.82%
5430 · Telephone Expense	573.84	477.14	96.70	120.27%
5440 · Sanitation Pick-up Services	130.99	130.00	0.99	100.76%
5450 · Computer Repair and Maintenance	0.00	333.33	-333.33	0.0%
5490 · Other Office Expenses	0.00	41.67	-41.67	0.0%
Total 5499 · Office Expenses	2,919.50	2,902.14	17.36	100.6%
5599 · Administrative				
5510 · PSC Assessment	0.00	300.00	-300.00	0.0%
5520 · Insurance-General	4,408.94	4,333.33	75.61	101.75%
5530 · Bad Debts	1,330.88	1,250.00	80.88	106.47%
5540 · Board Fees	2,300.00	1,250.00	1,050.00	184.0%
5550 · Fees and Dues	39.95	300.00	-260.05	13.32%
5560 · Publication Expense	0.00	166.67	-166.67	0.0%
5570 · Certification Training Expenses	40.00	375.00	-335.00	10.67%
5590 · Other Administrative Exp.	84.80	83.33	1.47	101.76%
Total 5599 · Administrative	8,204.57	8,058.33	146.24	101.82%
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,066.67	1,138.13	-71.46	93.72%
5626 · Interest Expense RD (2000)	888.00	899.63	-11.63	98.71%
5628 · Interest Exp. RD Series A 2012	3,220.31	3,242.19	-21.88	99.33%
5629 · Interest Exp. RD Series B 2012	3,349.69	3,382.97	-33.28	99.02%

Hyden-Leslie Co. Water District
Profit & Loss Budget vs. Actual
September 2018

	Sep 18	Budget	\$ Over Budget	% of Budget
5630 - Interest Exp. Customer Dep.	2.02			
5640 - Depreciation Expense	70,970.00	71,531.00	-561.00	99.22%
Total 5699 - Interest and Depreciation Exp.	79,496.69	80,193.92	-697.23	99.13%
66000 - Payroll Expenses	1,280.08			
Total Expense	204,473.75	198,060.52	6,413.23	103.24%
Net Ordinary Income	-78,103.07	-74,463.03	-3,640.04	104.89%
Other Income/Expense				
Other Income				
4199 - Other Income				
4030 - Service Charges	194.00	250.00	-56.00	77.6%
4040 - Late Payment Penalties	3,947.53	3,750.00	197.53	105.27%
4090 - Miscellaneous Revenues	13.26	33.33	-20.07	39.78%
4110 - Interest Income	-335.70	0.00	-335.70	100.0%
Total 4199 - Other Income	3,819.09	4,033.33	-214.24	94.69%
Total Other Income	3,819.09	4,033.33	-214.24	94.69%
Net Other Income	3,819.09	4,033.33	-214.24	94.69%
Net Income	-74,283.98	-70,429.70	-3,854.28	105.47%
Net Income Less Depreciation	-3,314.0	9,764.22	-4,551.5	
Payables				
Bonds			Annual Budget	
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	\$ 35,000.04	
1991 Bonds Payable	\$ -	\$ -	\$ -	
2000 Bonds Payable	\$ 500.00	\$ 516.67	\$ 6,200.00	
2012 A Bonds Payable	\$ 1,125.00	\$ 1,166.67	\$ 14,000.00	
2012 B Bonds Payable	\$ 2,635.42	\$ 2,958.33	\$ 35,500.00	
Sub-Total Bonds Payable	\$ 7,177.09	\$ 7,177.09	\$ 90,700.04	
Net Position	-\$10,491.07	\$2,587.13		

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on November 29, 2018 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present with the exception of Ronnie Gay. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD was present.
3. Public Comment: None.
4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Timothy Helton. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard says everything is in Washington pending final approval. We should be approved by next week. We do not have the budget, but they stated we could do a letter of conditions that will bind us to the money. Mr. Turner stated he had put the project up for KIA funding just in case something falls through with the other funding.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard stated he will ask the Hwy. Dept about the meter base they left exposed. Mr. Turner ask Mr. Maggard if the contractor was forfeiting their retainage. He stated that he would send them a letter with punch list items.
7. Stinnett Water Line Relocation / DOT: Mr. Maggard has the agreement to pay the engineer for the job. He says the official agreement for construction will come after they bid the job in February.

8. DOT Contract: Timothy Helton made a motion to enter into the engineering agreement for the Stinnett relocate. The motion was seconded by William Horton. Motion carried unanimously.
9. Hospital Hill Improvements: Mr. Maggard says he met with the electric company Monday and they agreed to relocate our service. The contractor will be wiring the pump station this week. A motion was made by William Horton to approve the pay request for Ash Mountain for the amount of \$12,904.36. Motion was seconded by Timothy Helton. Motion carried unanimously.
10. Other Projects / System Issues: None.
11. KRWFC Resolution: Mr. Turner stated that he has a resolution to enter into an agreement with Kentucky Rural Water Finance Corporation to permanently refinance the 2.625 million dollars that we had temporarily financed through KRWFC in the past. There is also an application for the Public Service Commission to approve us entering into the loan agreement. A motion was made by William Horton to submit the application to PSC and to enter into the resolution agreement with KRWFC. Motion was seconded by Timothy Helton. Motion carried unanimously. Mr. Turner asked Mr. Maggard if there was a reason why he hadn't explored the option of refinancing this loan with KIA. He stated that it was very competitive, you have to get on the list and it would be very difficult. Mr. Turner stated that he had spoken to Donna McNeil, Director of KIA earlier today. She stated that we had a very good chance of getting the loan. The loan would be a 30 year term at 0.5% interest. The only issue is the timing. Our temporary financing with KRWFC is due to be paid in full at the end of January 2019. She said funding wouldn't be available until December 2019. Mr. Turner stated that he would speak with the PSC and other lenders to see if it would be possible to get temp financing until we could secure KIA refinancing.
12. KIA Letter of Intent: Mr. Turner stated we needed to have a Letter of Intent for KIA funds signed. A motion was made to sign the KIA Letter of Intent by Timothy Helton. Motion was seconded by William Wooton. Motion carried unanimously.
13. Claims List: The claims list was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by William Wooton. Motion carried unanimously.
14. Financial Report: The financial report was reviewed. A motion was made by William Horton to approve the financial report. The motion was seconded by Augustus Roberts. Motion carried unanimously.
15. Other New Business: Mr. Turner mentioned a \$200.00 clothing and safety bonus for employees. A motion was made by Timothy Helton to approve the bonus. Motion was seconded by William Wooton. Motion carried unanimously.

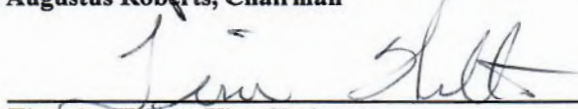
16. Executive Session: None.

17. Adjournment: Motion was made to adjourn by Timothy Helton. Motion was seconded by William Wooton. Motion carried unanimously.

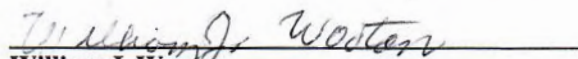
Approval of Minutes:


Augustus Roberts, Chairman

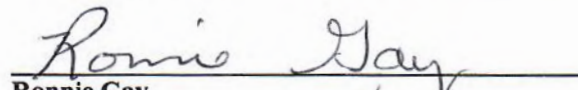
12-27-18
Date


Timothy Helton, Vice Chairman

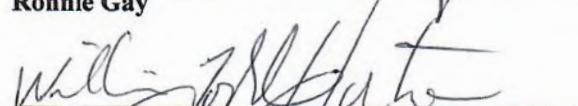
12-27-18
Date


William J. Wooton

12-27-18
Date


Ronnie Gay

12-27-18
Date


William Todd Horton, Secretary/Treasurer

12-27-18
Date

RESOLUTION

RESOLUTION OF THE HYDEN-LESLIE COUNTY WATER DISTRICT APPROVING AND AUTHORIZING AN ASSISTANCE AGREEMENT WITH THE KENTUCKY RURAL WATER FINANCE CORPORATION TO REFINANCE AND CURRENTLY REFUND CERTAIN OBLIGATIONS OF THE DISTRICT

WHEREAS, the Board of Commissioners (“Governing Body”) of the Hyden-Leslie County Water District (the “District”) has determined that it is in the public interest to refinance and currently refund its outstanding loan in the amount of \$2,625,000, dated January 30, 2018, to the District from the Kentucky Rural Water Finance Corporation Public Projects Refunding and Improvement Revenue Bonds (Flexible Term Program), Series 2018A (the “Prior Loan”), which matures February 1, 2019, and which Prior Loan was issued by the District to refund an earlier loan that was used to construct improvements to the water distribution system, including water tanks, pump stations and water lines, with appurtenances (the refunding of the Prior Loan is hereinafter referred to as the “Project”); and

WHEREAS, the District desires the Kentucky Rural Water Finance Corporation (the “Corporation”) to act as its agency and instrumentality to provide monies to refinance and currently refund the Prior Loan and has made an application to the Corporation therefore; and

WHEREAS, in order to obtain such monies, the District is required to enter into an Assistance Agreement with the Corporation;

NOW, THEREFORE, BE IT RESOLVED by the Governing Body of the Hyden-Leslie County Water District, as follows:

1. **Authorization of Assistance Agreement and the Loan Thereunder.** To pay the costs, not otherwise provided, to finance the Project, the District hereby authorizes and approves the issuance of its obligations pursuant to the Assistance Agreement in an aggregate principal amount of \$2,715,000 (subject to adjustment plus or minus ten percent (10%)) [the “Loan”], which amount as adjusted shall be the maximum amount of such Loan to be outstanding at any one time under the Assistance Agreement, issued as fully registered Loan, in said maturities and terms as more fully provided for in the Assistance Agreement. The Loan shall bear interest at such rates and shall be payable in such amounts and at such times as specified in the Assistance Agreement, all as agreed upon by the District and the Corporation.

2. **Approval and Authorization of Execution of Assistance Agreement.** The Assistance Agreement by and between the District and the Corporation in such form as may be approved by the Chairman, is hereby approved, subject to such minor changes, changes of dates, insertions or omissions as may be approved by the Chairman, such approval to be conclusively evidenced by the execution of said Assistance Agreement, in order to effectuate the purposes of this Resolution; and the Chairman, or any other officer of the District, is hereby authorized to execute and acknowledge same for and on behalf of the District; and the Secretary is authorized to attest same and to affix thereto the corporate seal of the District. The Assistance Agreement is

hereby ordered to be filed in the office of the Secretary with this Resolution in the official records of the District.

3. Disbursement of Proceeds of Loan. The District's officers, employees and agents are authorized to carry out the procedures specified in the Assistance Agreement to finance the Project and to pay from time to time of the costs and related expenses associated therewith.

4. Revenues of the System. The revenues of the District's waterworks system (the "System") are determined to be sufficient to pay the principal of and interest on the Loan, as the same become due and payable; and said revenues, pursuant to the terms of the Assistance Agreement, are hereby pledged to secure all such payments, and in addition, for such other purposes as are more fully specified in the Assistance Agreement.

5. Chairman and Other District Officials to Take Any Other Necessary Action. Pursuant to the Constitution and Laws of the Commonwealth of Kentucky, the Chairman, Treasurer, Secretary and all other appropriate officials of the District are hereby authorized and directed to file any and all applications necessary to obtain approval of the issuance of the Loan from the Kentucky Public Service Commission and to take any and all further action and to execute and deliver all other documents as may be reasonably necessary to effect the issuance and delivery of the Loan and the Assistance Agreement.

6. Severability Clause. If any section, paragraph, clause or provision of this Resolution shall be ruled by any court of competent jurisdiction to be invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the remaining provisions hereof.

7. Captions of Clauses. The captions of this Resolution are for convenience only and are not to be construed as part of this Resolution nor as defining or limiting in any way the scope or intent of the provisions hereof.

8. Provisions in Conflict Repealed. All resolutions and orders, or parts thereof, in conflict with the provisions of this Resolution are, to the extent of such conflict, hereby repealed.

9. Effective Date of Resolution. This Resolution shall take effect from and after its adoption and approval.

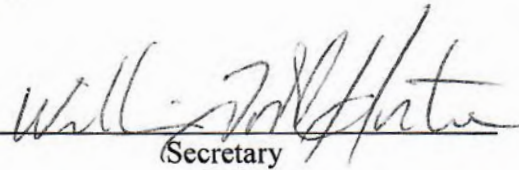
[Signature Page Follows]

Adopted on November 29, 2018.

HYDEN-LESLIE COUNTY WATER
DISTRICT

By  _____
Chairman

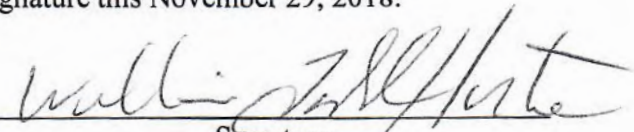
Attest:

By  _____
Secretary

CERTIFICATE

I, the undersigned, hereby certify that I am the duly qualified and acting Secretary of the District; that the foregoing is a full, true and correct copy of a Resolution adopted by the Governing Authority of the District at a meeting duly held on November 29, 2018; that said official action appears as a matter of public record in the official records or Journal of the Governing Authority; that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.823; that a quorum was present at said meeting; that said official action has not been modified, amended, revoked or repealed and is now in full force and effect.

IN TESTIMONY WHEREOF, witness my signature this November 29, 2018.

 _____
Secretary

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION OF KENTUCKY

IN THE MATTER OF:

THE APPLICATION OF HYDEN-LESLIE COUNTY)
WATER DISTRICT TO ISSUE SECURITIES IN)
THE APPROXIMATE PRINCIPAL AMOUNT OF)
\$2,715,000 FOR THE PURPOSE OF REFINANCING) CASE NO. 2018 - _____
CERTAIN SHORT-TERM OUTSTANDING)
OBLIGATIONS OF THE DISTRICT TO)
LONG-TERM OBLIGATIONS PURSUANT)
TO THE PROVISIONS OF KRS 278.300 AND)
807 KAR 5:001)

** *** **** ***** **** *** **

APPLICATION

The Applicant, Hyden-Leslie County Water District (the "District"), files this Application pursuant to KRS 278.300, 807 KAR 5:001, and all other applicable laws and regulations, and requests that the Kentucky Public Service Commission (the "Commission") enter an Order authorizing the District to issue certain securities in the approximate principal amount of \$2,715,000 (subject to adjustment of up to 10%), for the purpose of refunding certain outstanding waterworks revenue obligations of the District. In support of this Application, and in compliance with the rules and regulations of the Commission, the District states as follows:

1. The District was established pursuant to the provisions of Chapter 74 of the Kentucky Revised Statutes. The District is now, and has been since its inception, regulated by the Commission, and all records and proceedings of the Commission with reference to the

District are incorporated in this Application by reference. The District does not have any Articles of Incorporation because it is a statutorily created entity.

2. The governing body of the District is its Board of Commissioners, which is a public body corporate, with power to make contracts in furtherance of its lawful and proper purpose as provided for in KRS 74.070 and all applicable law and regulations.

3. The mailing address of the District is as follows:

Hyden-Leslie County Water District
c/o Mr. LJ Turner, Manager
356 Wendover Road
Hyden, Kentucky 41749
Telephone: (606) 672-2791
Fax: (606) 672-7510
Email: hlwater@tds.net

4. A general description of the District's water system property, together with a statement of the original cost, is contained in the District's Annual Report for 2017 which is on file with the Commission. The Annual Report is incorporated herein by reference.

5. The District proposes to borrow funds from the Kentucky Rural Water Finance Corporation ("KRWFC") pursuant to an Assistance Agreement (the "KRWFC Loan"), in the estimated principal amount of \$2,715,000 (subject to adjustment of up to 10%) to refinance the outstanding short-term loan from KRWFC in the original principal amount of \$2,625,000, dated January 30, 2018, bearing interest at the rate of 4.00% per annum, and maturing on February 1, 2019 (the "2018 Loan"). The 2018 Loan was issued by the District to refund an earlier loan, the proceeds of which were used to construct improvements to the water distribution system, including water tanks, pump stations and water lines, with appurtenances, all of which were approved by Order of the Commission on February 6, 2014 in Case No. 2013-00388. The February 6, 2014 Order of the Commission is incorporated herein by reference.

6. The estimated debt service for the KRWFC Loan is shown in **Exhibit A**, which is attached hereto and incorporated herein by reference. The debt service schedule and estimated interest rates are subject to change because of market conditions. The final terms and details of the KRWFC Loan may vary from the present assumptions based upon market conditions and other business judgment factors.

7. The KRWFC Loan will provide the District with the funds necessary to refinance the 2018 Loan; and (ii) pay the fees and expenses incident to the issuance of the KRWFC Loan.

8. The Sources and Uses of Funds to be obtained from the issuance of the KRWFC Loan are detailed as **Exhibit B**, which is attached hereto and incorporated herein by reference.

9. The 2018 Loan matures on February 1, 2019 and the KRWFC Loan will refinance this loan and provide long-term financing, as detailed in **Exhibit A**.

10. The final principal amount of the KRWFC Loan will be adjusted based upon final interest rates which will be known on or about the date of sale of the proposed KRWFC Loan. Final financial figures will be submitted to the Commission in a timely manner.

11. The Debt Service Schedule of the outstanding 2018 Loan being refunded is set forth in **Exhibit C**.

12. The District represents that the KRWFC Loan is in the public's interest and is intended to accomplish the purpose of strengthening the financial condition of the District by producing long-term financing of the previously completed project approved in the February 6, 2014 Order of the Commission. This is a lawful object within the corporate purposes of the District's utility operations. The KRWFC Loan is necessary, appropriate for, and consistent with the proper performance by the District of its service to the public and will not impair its ability to perform that service.

13. The District represents that it will, as soon as reasonably possible after the closing of the KRWFC Loan, file with the Commission a statement setting forth the date of issuance of the KRWFC Loan, the price paid, the fees and expenses incurred in the issuance of the KRWFC Loan, and the terms and interest rates of the KRWFC Loan.

14. The detailed Statement of Revenues, Expenses and Changes in Fund Net Position, Statement of Cash Flows and Statement of Net Position for the twelve-month period ending on December 31, 2017 are attached hereto and incorporated herein by reference as **Exhibit D** respectively.

15. Pursuant to 807 KAR 5:001, Section 12 - Financial Exhibit; the District hereby responds as follows:

- (i) Section 12(1)(b): The District states that it had less than \$5,000,000 in gross annual revenue in the immediate past calendar year and that no material changes to the District's financial condition have occurred since the end of the twelve (12) month period contained in the District's most recent annual report on file with the Commission.
- (ii) Section 12(2)(a), (b) and (c) Stock: The District does not have any authorized, issued or outstanding stock as of the date hereof.
- (iii) Section 12(2)(d) Mortgages: The District does not have any outstanding mortgages as of the date hereof.
- (iv) Section 12(2)(e), (f) and (g) Indebtedness: The information concerning the outstanding indebtedness of the District is contained in the 2017 Annual Report on file with this Commission.

- (v) Section 12(2)(h) Dividends: The District has no outstanding stock and therefore pays no dividends.
 - (vi) Section 12(2)(i) Financial Statements: See paragraph #14 above.
16. Pursuant to 807 KAR 5:001, Section 18, the District hereby responds as follows:
- (i) Section 18(1)(a): The District has complied with the requirements of 807 KAR 5:001, Section 14.
 - (ii) Section 18(1)(b): A general description of the District's property, its field of operation and a statement of original cost of said property and the cost to the District is contained in the District's 2017 Annual Report on file with this Commission.
 - (iii) Section 18(1)(c): The District is not issuing any stock as part of this financing. The information concerning the proposed KRWFC Loan is contained in this Application. The KRWFC Loan will be secured by and payable from the gross revenues of the District's water system.
 - (iv) Section 18(1)(d): The proceeds of the KRWFC Loan are being used to refinance the 2018 Loan and provide long-term financing for project approved by the February 6, 2014 Order of the Commission in Case No. 2013-00388.
 - (v) Section 18(1)(e): No property is being acquired with the proceeds of the KRWFC Loan.
 - (vi) Section 18(1)(f): The details of the refinancing of the 2018 Loan are contained in this Application and the Exhibits hereto.

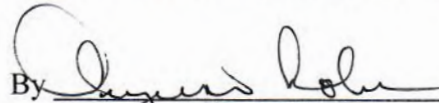
- (vii) Section 18(1)(g): Written notification of the proposed issuance of the KRWFC Loan is being provided to the State Local Debt Officer (see **Exhibit E** attached hereto).
 - (viii) Section 18(2)(a): See paragraph #15 above.
 - (ix) Section 18(2)(b): The District does not have any outstanding trust deeds or mortgages.
 - (x) Section 18(2)(c): No property is being acquired with the proceeds of the KRWFC Loan.
17. No rate adjustment will be necessary.

[Remainder of this page intentionally left blank]

WHEREFORE, the District respectfully requests that the Commission take the following actions:

1. Authorize the issuance of the securities requested in the Application;
2. Due to the volatility of the present bond market and in order to achieve substantial interest cost savings to the District by taking full advantage of current low interest rates, the District requests that the Commission issue its Order as soon as possible; and
3. Process this Application without a formal hearing in order to save time and expense. The District will promptly respond to any information requests by the Commission's staff.

Hyden-Leslie County Water District

By 
Augustus Roberts, Chairman
356 Wendover Road
Hyden, Kentucky 41749
Telephone: (606) 672-2791
Fax: (606) 672-7510
Email: hlwater@tds.net

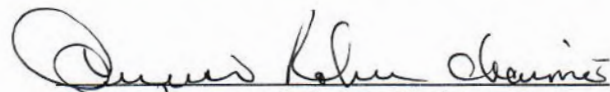
Rubin & Hays

By _____
W. Randall Jones
Kentucky Home Trust Building
450 South Third Street
Louisville, Kentucky 40202
Phone: (502) 569-7525
Fax: (502) 569-7555
Counsel for Hyden-Leslie County Water
District
wrjones@rubinhays.com

STATE OF KENTUCKY)
) SS
COUNTY OF LESLIE)

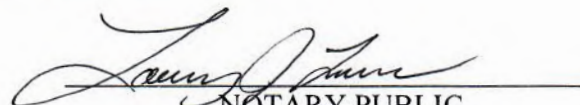
The affiant, Augustus Roberts, being first duly sworn, states: That he is the Chairman of the Hyden-Leslie County Water District, the Applicant in this case; that he has read the foregoing Application and has noted the contents thereof; that the same are true of his own knowledge and belief, except as to matters which are herein stated to be based on information or belief, and that these matters, he believes to be true and correct.

IN TESTIMONY WHEREOF, witness the signature of the undersigned on the ___ day of December, 2018.


Augustus Roberts, Chairman

SUBSCRIBED, SWORN TO AND ACKNOWLEDGED before me by Augustus Roberts, Chairman of the Hyden-Leslie County Water District, on this the ___ day of December, 2018.

My Commission expires: 05-02-2020.

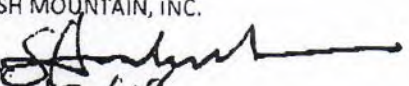
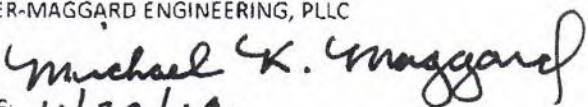

NOTARY PUBLIC

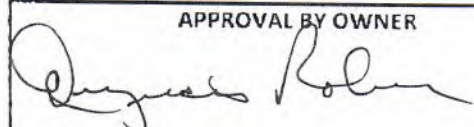
556075
NOTARY PUBLIC ID NUMBER

HYDEN-LESLIE COUNTY WATER DISTRICT HOSPITAL HILL-REPAIR & REPLACEMENT WATER DISTRIBUTION SYSTEM EXTENSIONS PARTIAL PAY ESTIMATE	CONTRACT: HOSPITAL HILL-REPAIR AND REPLACEMENT PARTIAL PAY ESTIMATE NO. 6; FINAL 11/5/18 PAGE 1 OF 2, cutoff 6/26/18 CONTRACTOR: ASH MOUNTAIN, INC.
--	--

CONTRACT CHANGE ORDER SUMMARY				ESTIMATE	
CHANGE ORDER NO.	AGENCY APPROVAL DATE	AMOUNT ADDITIONS	DEDUCTIONS		
				1 ORIGINAL CONTRACT	\$ 376,682.80
				2 CHANGE ORDERS	\$ -
				3 REVISED CONTRACT (1+2)	\$ 423,058.24
				4 WORK COMPLETED*	\$ 314,238.06
				5 STORED MATERIALS	\$ -
				6 SUBTOTAL (4+5)	\$ 314,238.06
				7 RETAINAGE 5%	\$ 15,236.70
				8 PREVIOUS PAYMENTS	\$ 286,097.00
				9 AMOUNT DUE (6-7-8)	\$ 12,904.36
TOTALS					
NET CHANGE					

CONTRACT TIME		CONTRACT DATES	
ORIGINAL DAYS	90	STARTING DATE	8/21/2017
REVISED	0	PROJECTED COMPLETION	11/18/2017
REMAINING ON SCHEDULE	0		

CONTRACTORS CERTIFICATION	ENGINEERS CERTIFICATION
The undersigned Contractor certifies that to the best of their knowledge, information and belief the work covered by this payment estimate has been completed in accordance with the contract documents, that all amounts have been paid by the contractor for work for which previous payment estimates was issued and payments received from the owner, and that current payment herein is now due. ASH MOUNTAIN, INC. BY:  DATE: 11/13/18	The undersigned certifies that the work has been carefully inspected and to the best of their knowledge and belief, the quantities shown in this estimate are correct and the work has been performed in accordance with the contract documents. SISLER-MAGGARD ENGINEERING, PLLC BY:  DATE: 11/29/18

APPROVAL BY OWNER	ACCEPTED BY AGENCY
 OWNER: HYDEN-LESLIE COUNTY WATER DISTRICT TITLE: <i>Chairman</i> DATE: 11-29-18	The review and acceptance of this estimate does not attest to the correctness of the quantities shown or that the work has been performed in accordance with the contract documents. AGENCY: BY: TITLE: DATE:

CHECK AUTHORIZATION FORM

HYDEN-LESLIE COUNTY WATER DISTRICT

Payee Name ASH MTN Company _____

Amount \$ 12,904.36 Check Date 12-6-18 Check No 27431

Ledger No 1595 Date Materials or Services Received _____

Prepared By LJT Date Prepared 12-5-18

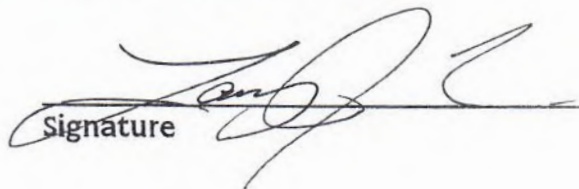
Description of Materials or Services Purchased (Tape small invoices on back)

Invoice #6

Control Checklist

- 1. Evidence of receipt of goods attached? _____
- 2. Correct prices or rate charged? _____
- 3. Other purchase documents attached? _____
- 4. Disbursement properly classified in Ledger? _____
- 5. If equipment purchase, make copy for fixed asset file. _____

Final Check Authorization

Signature 

Date 12-5-18

Hyden-Leslie Water District
Operation & Maintenance Fund
PO Box 906
Hyden, KY 41749

517
421

27631


DATE 12-6-18

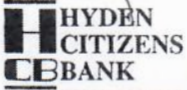
PAY TO THE
ORDER OF

Ash Mountain Inc.

\$ 12,904.36

Twelve thousand nine hundred four and 36/100

DOLLARS  Security features included. Details on back.



23023 Main Street • Hyden, KY 41749
6061 672-2344 • Member FDIC

Inv. #6

W. J. Porter

MEMO

[Redacted]

? [Redacted]

Land

MP

October Claims List

Check#	Vendor/Account	Amount	Type
3830	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,404.67	Transfer
3831	HLCWD Escrow Fund	\$ 510.00	Transfer
3832	HLCWD Bond & Int. Sinking fund 2012	\$ 10,695.00	Transfer
3833	HLCWD Bond & Int. Sinking Fund 1989	\$ 3,983.34	Transfer
3834	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3835	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
3836	HLCWD Payroll Account	\$ 18,469.15	Transfer
27499	Double H Auto	\$ 451.00	5230
27500	Thomas E. Baker	\$ 20.67	5220
27501	TDS	\$ 574.91	5430
27502	Alyssa Bowling	\$ 7.12	5410
27503	Ky. Deferred Comp.	\$ 1,776.87	2045
27504	Quill Corp.	\$ 47.98	5420
27505	McCoy & McCoy Labs, Inc.	\$ 261.00	5340
27506	William Wooton	\$ 300.00	5540
27507	Augustus Roberts	\$ 500.00	5540
27508	Ronnie Gay	\$ 500.00	5540
27509	Timothy Helton	\$ 500.00	5540
27510	Todd Horton	\$ 500.00	5540
27511	Postmaster	\$ 72.00	5410
27512	VOID	VOID	
27513	Hyden Citizens Bank	\$ 4,192.80	2040
27514	Clark Auto Parts	\$ 256.18	5130/5230/5220
27515	C.I. Thornburg Co, Inc.	\$ 3,216.51	5120
27516	Ky. Power Co.	\$ 21,902.56	5110
27517	Begley Lumber & Bldg. Supply	\$ 27.97	5220
27518	M & D Electrical Sales, Inc.	\$ 74.77	5250
27519	Consolidated Pipe & Supply Co, Inc.	\$ 808.19	5220
27520	Rumpke	\$ 130.99	5440
27521	Hyden Shoes & Boots	\$ 145.00	5070
27522	Cintas	\$ 378.68	5070
27523	Zackary Stacy	\$ 127.01	5070
27524	Michael Smith	\$ 126.53	5070
3837	HLCWD Oper. & Maint. Fund	\$ 47,300.00	Transfer
3838	HLCWD Payroll Account	\$ 18,001.55	Transfer
27525	Bentley Electric Service	\$ 250.00	5360
27526	Thomas E Baker	\$ 139.73	5070
27527	VOID	VOID	
27528	C.I.Thornburg Co., Inc.	\$ 5,417.11	5220/5120
27529	Leslie CO. Occup. Tax Admin.	\$ 364.21	2048
27530	Hyden Citizens Bank	\$ 4,071.48	2040
27531	KACo Benefits	\$ 16,773.88	5060
27532	Utility Solutions LLC	\$ 51.12	5220
27533	McCoy & McCoy Labs, Inc.	\$ 228.00	5340

27534	Ky. Deferred Comp.	\$	1,718.71	2045
27535	Ky. Rural Water Assoc. Inc.	\$	1,850.00	5550
27536	USA BlueBook	\$	1,999.80	5140/5250
27537	Quill Corp.	\$	188.36	5420
27538	Rental Pro	\$	478.50	5220
27539	Meade Tractor	\$	519.96	5250
27540	Thomas E Baker	\$	23.00	5250
27541	Leslie Co. Treasurer	\$	1,697.98	5240
27542	Consolidated Pipe & Supply Inc.	\$	3,213.50	5220
27543	Hyden Shoes & Boots	\$	300.00	5070
27544	Sizemore's Service Center	\$	123.43	5230
27545	Postmaster	\$	1,069.76	5410
27546	Colonial Life	\$	178.76	2046
27547	Ky. State Treasurer	\$	3,524.27	2070/2071/2072
27548	Double H Auto	\$	1,755.00	5235
27549	Spirit Central	\$	889.00	5070

October 2018

	Oct 18	Budget	\$ Over Budget	% of Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	97,536.14	103,764.42	-6,228.28	94.0%
4020 · Metered Sales Commercial	19,939.69	19,833.07	106.62	100.54%
4021 · Less Adjustment Residential	-316.34			
4022 · Less Adjustments Commercial	-240.37			
Total 4029 · Water Operating Revenues	116,919.12	123,597.49	-6,678.37	94.6%
Total Income				
Gross Profit	116,919.12	123,597.49	-6,678.37	94.6%
Expense				
5099 · Personnel				
5010 · Salaries and Wages	30,232.85	31,773.57	-1,540.72	95.15%
5011 · Over Time Wages	3,953.18	3,012.00	941.18	131.25%
5013 · 401-K Retirement Wages	1,736.72	1,739.28	-2.56	99.85%
5015 · Capitalized Labor	547.95			
5020 · Payroll Taxes	2,786.28	3,200.00	-413.72	87.07%
5060 · Employee Health Ins.	18,066.71	23,360.47	-5,293.76	77.34%
5070 · Uniform Expense	1,960.95	450.00	1,510.95	435.77%
Total 5099 · Personnel	59,284.64	63,535.32	-4,250.68	93.31%
5199 · Plant				
5110 · Utilities	21,902.56	23,400.00	-1,497.44	93.6%
5120 · Chemicals	7,638.78	6,250.00	1,388.78	122.22%
5130 · Repair and Maintenance / Plant	30.98	1,000.00	-969.02	3.1%
5140 · Operating Supplies	1,502.34	208.33	1,294.01	721.14%
5150 · Tools	0.00	333.33	-333.33	0.0%
5160 · Water Withdrawal Fee	0.00	733.33	-733.33	0.0%
5165 · Back-up Generator Maint.	0.00	400.00	-400.00	0.0%
Total 5199 · Plant	31,074.66	32,324.99	-1,250.33	96.13%
5299 · Field Operations				
5220 · Repair and Maintenance / Field	2,644.92	5,137.49	-2,492.57	51.48%
5230 · Vehicle / Repair and Maint.	769.28	1,400.00	-630.72	54.95%

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on December 27, 2018 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: None.
3. Public Comment: None.
4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated that we received the Rural Development grant and we have the loan money from USDA. He says we need to set a public meeting at Red Bird and Hayes Lewis to allow people to sign up. Mr. Maggard says they recommend we start working on the easements and deeds for the pump stations. He presented invoice 14014-3 for \$14,600.00 that covers the Environmental studies. A motion was made by Timothy Helton to approve the pay request. Motion was seconded by William Wooton. Motion carried unanimously. A motion was made by Ronnie Gay to allow Augustus Roberts to sign all documents. Motion was seconded by William Wooton. Motion carried unanimously.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard stated that he will send the contractors a letter stating we are closing the job. He will also clarify if they're intending to forfeit their retainage or correct the punch list items.
7. Stinnett Water Line Relocation / DOT: Mr. Maggard says they plan on bidding the job in February.

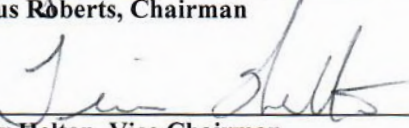
8. Hospital Hill System Improvements: Mr. Maggard stated they were hoping to get the inspection this week for the electric. He talked to the Electric Company, they are willing to do the switch as soon as we get the inspection.
9. Other Projects / System Issues: Mr. Turner stated we had done a resolution and had sent paperwork to the Public Service Commission. At the last meeting, he had talked to KIA about refinancing our loan for 2.7 million. Our temporary financing runs out January 31st. He says it looks like we will have to extend for one more year in order to get KIA financing. We will be saving roughly \$5,000.00 a month for the next 30 years versus the permanent financing with KRWFC.
10. 2019 Budget: The 2019 Budget was reviewed. A motion was made by Timothy Helton to approve the 2019 Budget. Motion was seconded by William Wooton. Motion carried unanimously.
11. Fixed Asset Capitalization Policy: The Fixed Asset Capitalization Policy was reviewed. A motion was made to approve the Fixed Asset Capitalization Policy by William Horton. Motion was seconded by Ronnie Gay. Motion carried unanimously.
12. Claims List: The claims list was reviewed. A motion was made by William Horton to approve the Claims List. Motion was seconded by Augustus Roberts. Motion carried unanimously.
13. Financial Report: The financial report was reviewed. A motion was made by William Horton to approve the financial report. Motion was seconded by William Wooton. Motion carried unanimously.
14. Other New Business: Mr. Turner explained that Russell Hornsby is wanting a reimbursement for a situation. The customer replaced plumbing as advised my Mr. Turner, but the issue was on our side. He is wanting reimbursed for his expenses. After a lengthy discussion, a motion was made by Ronnie Gay to approve paying half of the customer expenses in the amount of \$606.12. Motion was seconded by William Wooton. Motion carried unanimously.
15. Executive Session:
16. A motion was made by Augustus Roberts to increase all employees pay rate by 2.8% which is the 2018 COLA increase. The motion was seconded by William Horton. Motion carried unanimously.
17. Adjournment: Motion was made to adjourn by Timothy Helton. Motion was seconded by William Horton. Motion carried unanimously.

Approval of Minutes:



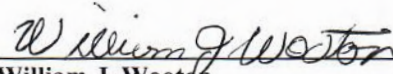
Augustus Roberts, Chairman

1-31-19
Date




Timothy Helton, Vice Chairman

1-31-19
Date



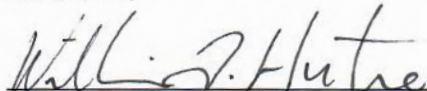
William J. Wooton

1-31-19
Date



Ronnie Gay

1-31-19
Date



William Todd Horton, Secretary/Treasurer

1-31-19
Date

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: December 27, 2018
INVOICE NO. 14014-3
Sheet 1 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table 1 = Estimated Construction Cost= 3,648,000.00
\$3,468,000.00 x 7.013% = = 256,000.00 Estimated

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
C4.01.3.b STUDY & REPORT PHASE					
A. P.E.R.	\$10,000.00	100%	\$10,000.00	\$2,500.00	\$7,500.00
TOTAL C4.01.3.b.A	\$10,000.00	0%	\$0.00	\$0.00	\$7,500.00
C4.01.3.b ONE CONTRACT					
B. Preliminary Design - 15%	\$38,400.00	40.00%	\$15,360.00	\$14,760.00	\$600.00
C. Final Design - 55%	\$140,800.00	0.00%	\$0.00	\$0.00	\$0.00
D. Bidding & Negotiating - 10%	\$25,600.00	0.00%	\$0.00	\$0.00	\$0.00
E. Construction Phase - 15%	\$38,400.00	0.00%	\$0.00	\$0.00	\$0.00
F. Closeout - 5%	\$12,800.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01.3.b.:B thru F	\$256,000.00	6.00%	\$15,360.00	\$14,760.00	\$600.00
TOTAL FOR BASIC DESIGN	\$266,000.00	5.77%	\$15,360.00	\$14,760.00	\$8,100.00

HYDEN - LESLIE COUNTY
WATER DISTRICT

DATE

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
EAST REYNOLDS ROAD SUITE A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: December 27, 2018
INVOICE NO. 14014-3
Sheet 2 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table II = Estimated Construction Cost= \$3,648,000.00
\$3,648,000.00 x 3.81% = \$157,000.00 Estimated

C4.01 RESIDENT INSPECTION

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
C4.01 SPECIFIC ADD'L SRVS.					
1. Environmental	\$10,000.00	65.00%	\$6,500.00	\$0.00	\$6,500.00
2. Surveys	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
3. Permits	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
4. Easements Asst.	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
5. PSC Assistance	\$5,000.00	0.00%	\$0.00	\$0.00	\$0.00
6. Geotechnical	\$15,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 SPEC. ADD'L	\$60,000.00	10.83%	\$6,500.00	\$0.00	\$6,500.00
TOTAL CONTRACT PHASE II	\$483,000.00	4.53%	\$21,860.00	\$14,760.00	\$14,600.00
INVOICES NOT PAID					\$0.00
TOTAL NOW DUE					\$14,600.00

	<u>Dec '17 - Nov 18</u>	<u>2018 Budget</u>	<u>2019 Budget</u>
Ordinary Income/Expense			
Income			
4029 · Water Operating Revenues			
4010 · Metered Sales Residential	1,244,004.78	1,239,217.62	1,239,600.00
4020 · Metered Sales Commercial	245,779.32	236,074.77	245,400.00
4021 · Less Adjustment Residential	-4,231.83	0.00	
4022 · Less Adjustments Commercial	-292.47	0.00	
Total 4029 · Water Operating Revenues	<u>1,485,259.80</u>	<u>1,475,292.39</u>	<u>1,485,000.00</u>
Total Income	<u>1,485,259.80</u>	<u>1,475,292.39</u>	<u>1,485,000.00</u>
Gross Profit	1,485,259.80	1,475,292.39	1,485,000.00
Expense			
5099 · Personnel			
5010 · Salaries and Wages	460,811.36	378,109.27	424,260.00
5011 · Over Time Wages	69,717.89	36,882.00	65,004.00
5013 · 401-K Retirement Wages	22,360.21	20,772.08	23,004.00
5015 · Capitalized Labor	4,491.51	0.00	4,008.00
5017 · Employer 401K Contribution	0.00	0.00	
5020 · Payroll Taxes	39,741.05	37,833.00	38,400.00
5040 · Casual Labor	0.00	0.00	
5060 · Employee Health Ins.	226,320.61	275,885.17	215,004.00
5070 · Uniform Expense	7,681.16	5,325.00	6,504.00
Total 5099 · Personnel	<u>831,123.79</u>	<u>754,806.52</u>	<u>776,184.00</u>
5199 · Plant			
5110 · Utilities	272,455.86	280,800.00	289,800.00
5120 · Chemicals	91,943.17	75,150.00	90,000.00
5130 · Repair and Maintenance / Plant	9,936.21	12,000.00	12,000.00
5140 · Operating Supplies	6,516.71	2,291.63	4,980.00
5150 · Tools	2,127.52	3,766.63	2,460.00
5155 · Purchased Water	0.00	0.00	
5160 · Water Withdrawal Fee	8,807.90	8,806.63	8,760.00
5165 · Back-up Generator Maint.	2,100.99	4,800.00	3,000.00
5170 · Misc. Plant Expense	1,462.62	0.00	1,500.00
Total 5199 · Plant	<u>395,350.98</u>	<u>387,614.89</u>	<u>412,500.00</u>
5299 · Field Operations			
5220 · Repair and Maintenance / Field	131,438.88	60,497.39	132,000.00
5230 · Vehicle / Repair and Maint.	17,813.21	16,800.00	17,040.00
5235 · Equipment Repair and Maint.	3,677.98		5,004.00
5240 · Gas and Diesel	21,438.51	17,000.00	21,000.00
5250 · Tools	2,165.80		4,992.00
Total 5299 · Field Operations	<u>176,534.38</u>	<u>94,297.39</u>	<u>180,036.00</u>
5399 · Contractual Services			
5310 · Legal and Accounting	13,350.00	13,200.00	13,500.00
5320 · Meter Testing	0.00	0.00	3,600.00
5330 · Meter Repair	0.00	0.00	

5340 · Water Analysis	10,080.71	18,956.63	11,004.00
5360 · Electrical Maintenance	1,301.06	2,400.00	2,400.00
5370 · Communication Maintenance	1,717.00	1,500.00	1,500.00
Total 5399 · Contractual Services	26,448.77	36,056.63	32,004.00
5499 · Office Expenses			
5410 · Postage	14,194.85	16,800.00	16,800.00
5420 · Office Supplies	13,645.71	6,240.00	8,004.00
5430 · Telephone Expense	6,388.67	5,648.54	6,504.00
5440 · Sanitation Pick-up Services	1,493.32	1,560.00	1,500.00
5450 · Computer Repair and Maintenance	261.95	3,941.63	1,500.00
5460 · Hyden Waste Water	0.00	15.00	
5490 · Other Office Expenses	2,642.83	458.37	0.00
Total 5499 · Office Expenses	38,627.33	34,663.54	34,308.00
5599 · Administrative			
5510 · PSC Assessment	3,061.64	3,300.00	3,300.00
5520 · Insurance-General	50,925.55	52,066.63	52,008.00
5530 · Bad Debts	20,883.74	15,000.00	16,008.00
5540 · Board Fees	29,800.00	16,141.67	33,600.00
5550 · Fees and Dues	3,891.08	3,600.00	3,900.00
5560 · Publication Expense	431.20	2,058.37	960.00
5570 · Certification Training Expenses	4,923.23	4,500.00	4,800.00
5590 · Other Administrative Exp.	998.50	991.63	3,600.00
Total 5599 · Administrative	114,914.94	97,658.30	118,176.00
5699 · Interest and Depreciation Exp.			
5620 · Interest Expense KRWFC (1989)	13,657.72	13,695.43	12,360.00
5621 · Interest Exp. KRWFC 2013		0.00	
5625 · Interest Exp. KIA (1991)	0.00	0.00	
5626 · Interest Expense RD (2000)	10,795.50	10,816.93	10,500.00
5627 · Service Fee KIA (1991)	0.00	0.00	
5628 · Interest Exp. RD Series A 2012	38,906.25	38,946.09	38,004.00
5629 · Interest Exp. RD Series B 2012	40,594.64	40,656.67	40,200.00
5630 · Interest Exp. Customer Dep.	33.00	0.00	60.00
5640 · Depreciation Expense	851,213.00	857,741.00	859,020.00
Total 5699 · Interest and Depreciation Exp.	955,200.11	961,856.12	960,144.00
66000 · Payroll Expenses	0.00		
Total Expense	2,538,200.30	2,366,953.39	2,513,352.00
Net Ordinary Income	-1,052,940.50	-891,661.00	-1,028,352.00
Other Income/Expense			
Other Income			
4199 · Other Income			
4030 · Service Charges	4,324.00	3,000.00	4,008.00
4040 · Late Payment Penalties	49,879.80	44,850.00	45,000.00
4090 · Miscellaneous Revenues	277.18	416.63	408.00
4110 · Interest Income	-1,433.42	916.67	504.00
4180 · Gain/(Loss) on Sale of Equip	0.00	0.00	
4190 · Miscellaneous Non-Utility Rev.	0.00	0.00	

Total 4199 · Other Income	<u>53,047.56</u>	<u>49,183.30</u>	<u>49,920.00</u>
Total Other Income	53,047.56	49,183.30	49,920.00
Net Other Income	<u>53,047.56</u>	<u>49,183.30</u>	<u>49,920.00</u>
Net Income	<u>-999,892.94</u>	<u>-842,477.70</u>	<u>-978,432.00</u>
5640 · Depreciation Expense	\$ 851,213.00	\$ 857,741.00	\$ 859,020.00
Net Income Less Depreciation	\$ (148,679.94)	\$ 15,263.30	\$ (119,412.00)
Current Bonds			
1989 Bonds Payable		\$	35,000.00
2000 Bonds Payable		\$	6,500.00
2012 A Bonds Payable		\$	14,500.00
2012 B Bonds Payable		\$	36,500.00
Current Bonds Total		\$	92,500.00
Net Income Less Bond Principal		\$	(211,912.00)



Fixed Asset Capitalization Policy

December 27, 2018

PURPOSE

The purpose of this policy is to establish standard procedures for capitalizing fixed assets.

DEFINITIONS

CAPITAL ASSET is the definition of a unit of property that: (1) has an economic useful life that extends beyond 12 months; and (2) was acquired or produced for a cost of \$2,000.00 or more (Capitalization Threshold). Capital Assets must be capitalized and depreciated for financial statement (or accounting) purposes.

CAPITAL PROJECT is the definition of a group of individual units of property that when considered individually might not meet the \$2,000.00 Capitalization Threshold but when grouped together in a project more than meet the Threshold necessitating capitalization. (A prime example in our industry would be domestic water meters which usually cost less than \$300.00 per unit and being expensed yet when grouped together in a "project" of wholesale meter replacement the same meter units may total tens of thousands of dollars.) thus warranting classification as a depreciable CAPITAL ASSET.

CAPITALIZATION THRESHOLD is the monetary value established by the District as the minimum amount for Capitalization. Any items costing below this amount should be expensed in the accounting system of the District.

REPAIR is an expenditure that keeps the property in ordinary efficient operating condition. The cost of the repair does not add to the value or prolong the life of the asset. All repair expenditures are charged to the appropriate repair and maintenance accounts.

ROUTINE MAINTENANCE is routine activities expected to be performed as a result of use to keep building structures, each building system and other units of property in its ordinary efficient operating condition.

IMPROVEMENTS are expenditures for betterments, restorations, or adapting to a new or different use. They prolong the life of the asset, materially increase its value or productivity, or adapt it to a different use and are therefore capitalized as set out in this policy.

SUPPLIES AND MATERIALS are parts/components acquired to maintain, repair, or improve a unit of tangible property owned, leased or serviced and is not acquired as part of any single unit of tangible property; fuel, lubricants, chemicals and similar items, reasonably expected to be consumed in 12 months or less; unit of property with economic useful life of 12 months or less or that has an acquisition cost of \$2,000.00 or less.

COSTS also include ancillary charges such as freight and transportation charges, site preparation costs and professional fees (engineering, legal) as well as costs mediate known defects at the time of purchase.

POLICIES

REPAIR AND ROUTINE MAINTENANCE COSTS shall be expensed and recorded in the appropriate repair or maintenance general ledger account. All amounts expended on a capital asset that would be considered improvements will be capitalized in the appropriate general ledger fixed asset account.

SUPPLIES AND MATERIALS shall be expensed in the year the supply or material is used if said supply or material is non-incident. If the supply or material is incidental and carried on hand and for which no record of consumption is kept or of which physical inventory is not taken, the item will be expensed in the year the amount for said supply or material is paid.

If the initial cost of an amount paid to acquire or improve tangible property is \$2,000.00 or less per invoice item said amount shall be expensed and recorded in the appropriate general ledger expense account.

If the initial cost of an amount paid to acquire or improve tangible property is more than \$2,000.00 per invoice item said amount shall be capitalized and recorded in the appropriate general ledger fixed asset account to be depreciated using the prescribed depreciation method(s) beginning when placed in service.

If the tangible property acquired has an economic life useful life of 12 months or less when placed in service, the initial cost of said property will be expensed and recorded in the appropriate general ledger expense account no matter the initial cost.

This Fixed Asset Capitalization Policy shall be utilized at all times when Districts funds are to be used to acquire supplies, materials, and tangible property, however Management shall, at any event necessary use its discretionary power regarding any procurement falling outside limits set in the policy.

November Claims List

Check#	Vendor/Account	Amount	Type
3839	HLCWD Oper. & Maint. Fund	\$ 42,000.00	Transfer
3840	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,404.67	Transfer
3841	HLCWD Escrow Fund	\$ 660.00	Transfer
3842	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,695.00	Transfer
3843	HLCWD Bond & Int. Sinking Fund 1989	\$ 3,983.34	Transfer
3844	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3845	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
3846	HLCWD Payroll Account	\$ 12,757.56	Transfer
27550	Cumberland Valley Electric	\$ 447.47	5110
27551	Home Lumber Co	\$ 524.76	5130/5140
27552	USA Blue Book	\$ 564.85	5250
27553	Augustus Roberts	\$ 500.00	5540
27554	Ronnie Gay	\$ 500.00	5540
27555	Todd Horton	\$ 500.00	5540
27556	Timothy Helton	\$ 500.00	5540
27557	William Wooton	\$ 300.00	5540
27558	Postmaster	\$ 163.45	5410
27559	Ky. State Treasurer	\$ 1,541.33	2035
27560	Hyden Citizens Bank	\$ 4,160.30	2040
27561	Ky. State Treasurer	\$ 521.63	2050
27562	McCoy & McCoy Labs, Inc.	\$ 754.00	5340
27563	TDS	\$ 575.12	5430
27564	Larry J Turner	\$ 16.96	5420
27565	Thomas E Baker	\$ 13.97	5130
27566	Consolidated Pipe Supply Co. Inc.	\$ 5,457.89	5220
27567	Maggard Electric	\$ 185.00	5220
27568	Adams Welding Service	\$ 70.00	5220
27569	Ky. Deferred Comp.	\$ 1,769.50	2045
27570	C.I. Thornburg Co., Inc.	\$ 168.50	5220
27571	Ky. Power Co.	\$ 21,133.77	5110
27572	Clark Auto Parts	\$ 718.03	5220/5230/5235/5250
27573	McIntosh Welding	\$ 295.00	5230
27574	Rental Pro	\$ 627.00	5170
27575	Double H Auto	\$ 781.00	5230/5235
27576	Ky. State Treasurer	\$ 75.00	5570
27577	Rumpke	\$ 130.99	5440
3847	HLCWD Oper. & Maint. Fund	\$ 42,000.00	
3848	HLCWD Payroll Account	\$ 14,234.41	Transfer
27578	Begley Lumber & Bldg. Supply Co.	\$ 87.87	5220
27579	M & D Electrical Sales, Inc.	\$ 213.14	5220
27580	Ky. State Treasurer	\$ 1,531.51	2035
27581	Hyden Citizens Bank	\$ 4,577.68	2040
27582	Ky. State Treasurer		2050
27583	USDA Forest Service	\$ 232.63	5550

27584 USDA Forest Service	\$	68.00	5550
27585 USDA Forest Service	\$	68.00	5550
27586 Rental Pro	VOID		
27587 Hyden Shoes & Boots	\$	150.00	5070
27588 Thomas E. Baker	\$	46.03	5220
27589 C.I. Thornburg Co, Inc.	\$	7,461.44	5120
27590 Ky. Deferred Comp.	\$	1,921.39	2045
27591 Leslie Co. Treasurer	\$	1,791.71	5240
27592 KACo Workers Comp. Fund	\$	2,422.00	1350
27593 Hannah Melton	\$	7.62	5410
27594 Horton Hardware	\$	62.85	5220
27595 Dana Campbell	\$	100.00	5570
27596 KACo Benefits Group	\$	16,773.88	5060
27597 Postmaster	\$	1,024.80	5410
27598 Maggard Electric	\$	64.00	5220
27599 USA Bluebook	\$	304.01	5140
27600 Service Pump & Supply Co., Inc.	\$	349.00	5220
27601 McCoy & McCoy Labs, Inc.	\$	115.00	5340
27602 Core & Main	\$	678.68	5220
27603 L.J. Turner	\$	8.22	5220
27604 State Electrical Supply	\$	183.48	5220
27605 Ky. State Treasurer	\$	2,055.70	5160

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
November 2018

Ordinary Income/Expense Income	Nov 18	Budget	Jan - Nov 18	YTD Budget	Annual Budget
4029 · Water Operating Revenues					
4010 · Metered Sales Residential	107,841.83	103,764.42	1,133,887.10	1,141,408.62	1,245,173.04
4020 · Metered Sales Commercial	21,474.16	19,833.07	229,536.39	218,163.77	237,996.84
4021 · Less Adjustment Residential	-89.25		-3,654.39		
4022 · Less Adjustments Commercial	0.00		-292.47		
Total 4029 · Water Operating Revenues	129,226.74	123,597.49	1,359,476.63	1,359,572.39	1,483,169.88
Total Income	129,226.74	123,597.49	1,359,476.63	1,359,572.39	1,483,169.88
Gross Profit	129,226.74	123,597.49	1,359,476.63	1,359,572.39	1,483,169.88
Expense					
5099 · Personnel					
5010 · Salaries and Wages	32,825.21	31,773.57	388,907.45	349,509.27	381,282.84
5011 · Over Time Wages	3,664.30	3,012.00	64,638.47	33,132.00	36,144.00
5013 · 401-K Retirement Wages	1,841.09	1,739.28	20,997.71	19,132.08	20,871.36
5015 · Capitalized Labor	332.65		4,491.51		
5020 · Payroll Taxes	2,953.99	3,200.00	36,625.59	35,200.00	38,400.00
5060 · Employee Health Ins.	17,632.07	23,360.47	208,397.11	256,965.17	280,325.64
5070 · Uniform Expense	-386.40	450.00	4,869.08	4,950.00	5,400.00
Total 5099 · Personnel	58,862.91	63,536.32	728,926.92	698,888.52	762,423.84
5199 · Plant					
5110 · Utilities	21,581.24	23,400.00	245,677.33	257,400.00	280,800.00
5120 · Chemicals	7,461.44	6,250.00	81,705.62	68,750.00	75,000.00
5130 · Repair and Maintenance / Plant	43.73	1,000.00	7,796.09	11,000.00	12,000.00
5140 · Operating Supplies	799.01	208.33	5,659.47	2,291.63	2,499.96
5150 · Tools	0.00	333.33	1,531.64	3,666.63	3,999.96
5160 · Water Withdrawal Fee	2,055.70	733.33	6,438.85	8,066.63	8,799.96
5165 · Back-up Generator Maint.	0.00	400.00	2,100.99	4,400.00	4,800.00
5170 · Misc. Plant Expense	627.00		1,462.62		
Total 5199 · Plant	32,568.12	32,324.99	352,372.61	355,574.89	387,899.88
5299 · Field Operations					
5220 · Repair and Maintenance / Field	6,879.64	5,137.49	108,591.06	56,512.39	61,649.88

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
November 2018

	Nov 18	Budget	Jan - Nov 18	YTD Budget	Annual Budget
5230 · Vehicle / Repair and Maint.	1,088.70	1,400.00	15,002.29	15,400.00	16,800.00
5235 · Equipment Repair and Maint.	344.75		3,677.98		
5240 · Gas and Diesel	1,791.71	1,400.00	18,865.47	15,400.00	16,800.00
5250 · Tools	575.14		2,165.80		
Total 5299 · Field Operations	10,679.94	7,937.49	148,302.60	87,312.39	95,249.88
5399 · Contractual Services					
5310 · Legal and Accounting	0.00	1,200.00	13,350.00	13,200.00	14,400.00
5340 · Water Analysis	869.00	1,583.33	7,889.71	17,416.63	18,999.96
5360 · Electrical Maintenance	0.00	200.00	249.50	2,200.00	2,400.00
5370 · Communication Maintenance	0.00	125.00	1,717.00	1,375.00	1,500.00
Total 5399 · Contractual Services	869.00	3,108.33	23,206.21	34,191.63	37,299.96
5499 · Office Expenses					
5410 · Postage	1,195.87	1,400.00	14,187.35	15,400.00	16,800.00
5420 · Office Supplies	40.28	520.00	13,387.20	5,720.00	6,240.00
5430 · Telephone Expense	575.12	477.14	6,017.61	5,248.54	5,725.68
5440 · Sanitation Pick-up Services	130.99	130.00	1,372.15	1,430.00	1,560.00
5450 · Computer Repair and Maintenance	0.00	333.33	211.95	3,666.63	3,999.96
5490 · Other Office Expenses	0.00	41.67	2,642.83	458.37	500.04
Total 5499 · Office Expenses	1,942.26	2,902.14	37,819.09	31,923.54	34,825.68
5599 · Administrative					
5510 · PSC Assessment	0.00	300.00	3,061.64	3,300.00	3,600.00
5520 · Insurance-General	4,408.94	4,333.33	46,799.71	47,666.63	51,999.96
5530 · Bad Debts	149.30	1,250.00	17,336.91	13,750.00	15,000.00
5540 · Board Fees	2,300.00	1,250.00	25,300.00	15,016.67	16,266.67
5550 · Fees and Dues	398.58	300.00	3,036.23	3,300.00	3,600.00
5560 · Publication Expense	0.00	166.67	431.20	1,833.37	2,000.04
5570 · Certification Training Expenses	175.00	375.00	4,273.39	4,125.00	4,500.00
5590 · Other Administrative Exp.	0.00	83.33	998.50	916.63	999.96
Total 5599 · Administrative	7,431.82	8,058.33	101,237.58	89,908.30	97,966.63
5699 · Interest and Depreciation Exp.					
5620 · Interest Expense KRWFC (1989)	1,066.67	1,138.13	12,583.59	12,519.43	13,657.56
5626 · Interest Expense RD (2000)	888.00	899.63	9,883.75	9,895.93	10,795.56

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
November 2018

	Nov 18	Budget	Jan - Nov 18	YTD Budget	Annual Budget
5628 · Interest Exp. RD Series A 2012	3,220.31	3,242.19	35,642.19	35,664.09	38,906.28
5629 · Interest Exp. RD Series B 2012	3,349.69	3,382.97	37,179.39	37,212.67	40,595.64
5630 · Interest Exp. Customer Dep.	0.95		26.39		
5640 · Depreciation Expense	70,968.00	71,531.00	780,556.00	786,841.00	858,372.00
Total 5699 · Interest and Depreciation Exp.	79,493.62	80,193.92	875,871.31	882,133.12	962,327.04
66000 · Payroll Expenses	0.00		0.00		
Total Expense	191,847.67	198,060.52	2,267,736.32	2,179,932.39	2,377,992.91
Net Ordinary Income	-62,620.93	-74,463.03	-908,259.69	-820,360.00	-894,823.03
Other Income/Expense					
Other Income					
4199 · Other Income					
4030 · Service Charges	1,363.00	250.00	3,970.00	2,750.00	3,000.00
4040 · Late Payment Penalties	3,976.66	3,750.00	44,901.50	41,250.00	45,000.00
4090 · Miscellaneous Revenues	13.56	33.33	266.04	366.63	399.96
4110 · Interest Income	348.63	0.00	774.51	416.67	416.67
Total 4199 · Other Income	5,701.85	4,033.33	49,912.05	44,783.30	48,816.63
Total Other Income	5,701.85	4,033.33	49,912.05	44,783.30	48,816.63
Net Other Income	5,701.85	4,033.33	49,912.05	44,783.30	48,816.63
Net Income	-56,919.08	-70,429.70	-858,347.64	-775,576.70	-846,006.40
Net Income Less Depreciation	14,048.92	1,101.30	-77,791.64	11,264.30	12,365.60
Payables					
Principle expense					
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	# \$ 32,083.37		
1991 Bonds Payable	\$ -	\$ -	# \$ -		
2000 Bonds Payable	\$ 516.67	\$ 516.67	# \$ 5,683.33		
2012 A Bonds Payable	\$ 1,166.67	\$ 1,166.67	# \$ 12,833.33		
2012 B Bonds Payable	\$ 2,958.33	\$ 2,958.33	# \$ 32,541.67		
Sub-Total Bonds Payable	\$ 7,558.34	\$ 7,558.34	# \$ 83,141.74		
Net Position	\$6,490.58	-\$6,457.04	-\$160,933.38		



Regular Checking

XXXXXX2159

Amount: -\$347.32

Description: POS purchase on 10/08/18 at LOWES #01819* - HAZARD KY

Posted Date: 10/9/2018

Transaction Type: History

Labor 250.00
on
concrete

Labor
on
waterline 350.00

Total

1212.23

Problem was on your side not mine
I replace my line due to you saying it was
on my side, so please pay me back.

Thankyou

Russ [Signature]

11-30-18

PO Box 1629

Hyden, Ky 41749



Regular Checking

XXXXXX2159

Amount: -\$25.43

Description: POS purchase on 10/10/18 at LOWES #01819* - HAZARD KY

Posted Date: 10/11/2018

Transaction Type: History



Regular Checking

XXXXXX2159

Amount: -\$162.00

Description: CHECK PYMT TO LOWES CK#-5923 XXXXXXXXXXXXXXXXXXXX84486

Check Number: 5923

Posted Date: 10/15/2018

Transaction Type: History



Regular Checking

XXXXXX2159

Amount: -\$77.48

Description: POS purchase on 10/19/18 at LOWES #01819* - HAZARD KY

Posted Date: 10/19/2018

Transaction Type: History

Social Security

Cost-Of-Living Adjustments

Automatic Determinations COLA determination SSI payment rates increase with COLA

Since 1975, Social Security general benefit increases have been cost-of-living adjustments or COLAs. The 1975-82 COLAs were effective with Social Security benefits payable for June in each of those years; thereafter COLAs have been effective with benefits payable for December.

Prior to 1975, Social Security benefit increases were set by legislation.

Social Security Cost-Of-Living Adjustments

<u>Year</u>	<u>COLA</u>	<u>Year</u>	<u>COLA</u>	<u>Year</u>	<u>COLA</u>
1975	8.0	1990	5.4	2005	4.1
1976	6.4	1991	3.7	2006	3.3
1977	5.9	1992	3.0	2007	2.3
1978	6.5	1993	2.6	2008	5.8
1979	9.9	1994	2.8	2009	0.0
1980	14.3	1995	2.6	2010	0.0
1981	11.2	1996	2.9	2011	3.6
1982	7.4	1997	2.1	2012	1.7
1983	3.5	1998	1.3	2013	1.5
1984	3.5	1999 ^a	2.5	2014	1.7
1985	3.1	2000	3.5	2015	0.0
1986	1.3	2001	2.6	2016	0.3
1987	4.2	2002	1.4	2017	2.0
1988	4.0	2003	2.1	2018	2.8

1989	4.7	2004	2.7	
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^a The COLA for December 1999 was originally determined as 2.4 percent based on CPIs published by the Bureau of Labor Statistics. Pursuant to Public Law 106-554, however, this COLA is effectively now 2.5 percent.

The first COLA, for June 1975, was based on the increase in the Consumer Price Index for Urban Wage Earners and Clerical Workers (CPI-W) from the second quarter of 1974 to the first quarter of 1975. The 1976-83 COLAs were based on increases in the CPI-W from the first quarter of the prior year to the corresponding quarter of the current year in which the COLA became effective. After 1983, COLAs have been based on increases in the CPI-W from the third quarter of the prior year to the corresponding quarter of the current year in which the COLA became effective.

SSI COLAs

COLAs for the Supplemental Security Income (SSI) program are generally the same as those for the Social Security program. However, COLAs for SSI have generally been effective for the month following the effective month of Social Security benefit increases. See SSI historical payment standards for more detail.

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager


The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on January 31, 2019 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:02pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD and Thomas Smith with Thomas Consulting were present.
3. Public Comment: None.
4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by William Wooton. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard says they are currently working on easements. He stated they have "unofficially" approved the CDBG. Mr. Maggard says they plan to finalize the project details in the next couple weeks. Jennifer McIntosh from KRADD mentioned that everything is enter for KIA in case we need additional funds to complete the project. Mr. Turner stated that we may need to use the KIA funds to repair infrastructure issues including measure to reduce water loss. Mr. Turner also stated that adding infrastructure to assist with water loss need to be a top priority. Mr. Maggard stated that he has an invoice for the remainder of the Environmental study which was already approved and we have grant money to cover it. A motion was made by Timothy Helton to approve invoice #14014-4 in the amount of \$7340.00. Motion was seconded by William Horton. Motion carried unanimously.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard stated he sent the contractor a letter two weeks ago about the cleanup and he has 30 days to respond.

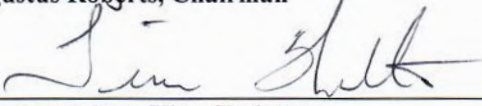
7. Stinnett Water Line Relocation / DOT: Mr. Maggard says they plan to bid on March 22nd for the highway contract and water line relocation.
8. Hospital Hill System Improvements: Mr. Maggard stated that the electric has been installed in both stations and they are waiting on the inspector. After inspection, they are ready to operate.
9. Other Projects / System Issues: Mr. Turner says the power was installed at W.B Muncy and everything has been inspected, but the power and telemetry is not finished at the tank.
10. Surplus Property: Mr. Turner stated that we need to declare our spare items as surplus so we can auction them. A motion was made to declare the items as surplus to be entered into an auction by William Horton. Motion was seconded by William Wooton. Motion carried unanimously.
11. Audit Engagement Agreement: Mr. Turner explained that the price of our annual audit has increased from \$13,350 to \$13,600. A motion was made to enter into the audit engagement agreement by William Horton. Motion was seconded by William Wooton. Motion carried unanimously.
12. Claims List: The claims list was reviewed. Augustus Roberts made the motion to approve the claims list. The motion was seconded by William Horton. Motion carried unanimously.
13. Financial Report: The financial report was reviewed. A motion was made by William Horton to approve the financial report. The motion was seconded by Ronnie Gay. Motion carried unanimously.
14. Other New Business: Mr. Turner says he met with the County Judge a couple weeks ago to discuss what we have going on. He says they changed the rules on getting fuel. Instead of buying from the county, we will have our own gas pump installed.

Mr. Smith explained that a grant has come available to run a Natural Gas pipelines from Camp Creek Rd to the Detention Center on the spur. In order for the project to proceed the Water District or another entity would need to agree to own and operate it. After a lengthy discussion the board told Mr. Smith that Manager L.J. Turner would be in contact with him to let him know if the board would be interested.
15. Executive Session: None.
16. Adjournment: Motion was made to adjourn by William Wooton. Motion was seconded by Augustus Roberts. Motion carried unanimously.

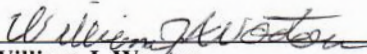
Approval of Minutes:


Augustus Roberts, Chairman

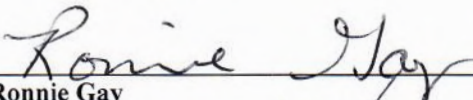
2-28-19
Date


Timothy Helton, Vice Chairman

2-28-19
Date


William J. Wooton

Date


Ronnie Gay

2/28/19
Date


William Todd Horton, Secretary/Treasurer

2/28/19
Date

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: January 31, 2019
INVOICE NO. 14014-4
Sheet 1 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table 1 = Estimated Construction Cost= 3,648,000.00
\$3,468,000.00 x 7.013% = = 256,000.00 Estimated

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
C4.01.3.b STUDY & REPORT PHASE					
A. P.E.R.	\$10,000.00	100%	\$10,000.00	\$10,000.00	\$0.00
TOTAL C4.01.3.b.A	\$10,000.00	0%	\$0.00	\$0.00	\$0.00
C4.01.3.b ONE CONTRACT					
B. Preliminary Design - 15%	\$38,400.00	50.00%	\$19,200.00	\$15,360.00	\$3,840.00
C. Final Design - 55%	\$140,800.00	0.00%	\$0.00	\$0.00	\$0.00
D. Bidding & Negotiating - 10%	\$25,600.00	0.00%	\$0.00	\$0.00	\$0.00
E. Construction Phase - 15%	\$38,400.00	0.00%	\$0.00	\$0.00	\$0.00
F. Closeout - 5%	\$12,800.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01.3.b.:B thru F	\$256,000.00	7.50%	\$19,200.00	\$15,360.00	\$3,840.00
TOTAL FOR BASIC DESIGN	\$266,000.00	7.22%	\$19,200.00	\$15,360.00	\$3,840.00

HYDEN - LESLIE COUNTY
WATER DISTRICT

DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 EAST REYNOLDS ROAD SUITE A3
 LEXINGTON, KY 40517
 (859) 271-2978
 Fax (859) 271-5670

DATE: January 31, 2019
 INVOICE NO. 14014-4
 Sheet 2 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
 ATTN: LJ Turner, MANAGER
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table II = Estimated Construction Cost= \$3,648,000.00

\$3,648,000.00 x 3.81% = \$157,000.00 Estimated

C4.01 RESIDENT INSPECTION

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
C4.01 SPECIFIC ADD'L SRVS.					
1. Environmental	\$10,000.00	100.00%	\$10,000.00	\$6,500.00	\$3,500.00
2. Surveys	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
3. Permits	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
4. Easements Asst.	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
5. PSC Assistance	\$5,000.00	0.00%	\$0.00	\$0.00	\$0.00
6. Geotechnical	\$15,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 SPEC. ADD'L	\$60,000.00	16.67%	\$10,000.00	\$6,500.00	\$3,500.00
TOTAL CONTRACT PHASE II	\$483,000.00	6.05%	\$29,200.00	\$21,860.00	\$7,340.00
INVOICES NOT PAID					\$0.00
TOTAL NOW DUE					\$7,340.00

1. 1988 Ford F-800 VIN#1FdxK84A3JVA34380
White with 5.9 Cummins Diesel, 5 speed with 2 speed,
10 ft. dump bed, 130,862 miles, speedometer quit & no A/C
2. 1995 Eager Beaver VIN# 112dpm77SL044306
6,000 lb. Tri axel trailer, Yellow, 16ft. with 4ft. dove tail,
Ramps, electric brakes (not working) Pintel hitch
3. 1993 Eager Beaver VIN# 112dpm27xpl041603
Tri axel trailer, Yellow, Pintle hitch, 16ft with 4ft dove tail,
Ramps, electric brakes (not working)
4. 1997 Ford F-150 XL 2WD, 5 speed LWB, 4.2 V6, Utility bed,
A/C, manual windows & locks, regular cab, VIN# 1FTdF1727VN17813
Parts truck, been wrecked engine has 36,000 miles & a towing package.
5. 1997 Ford F-150 XL 4x4 5 speed, 4.2 V6 with A/C, manual windows & locks,
LWB utility bed, 126,000 miles, red in color, regular cab with back window broken
VIN# 1FTdF1827VNB07328, has a towing package.
6. 2007 Ford F-150 XL 4x4, red automatic, manual windows & locks, regular cab
With long bed & A/C. 145,000 miles VIN# 1FTRF14w47NA37916, towing package



January 21, 2019

Hyden-Leslie County Water District
325 Wendover Road
Hyden, Kentucky 41749

We are pleased to confirm our understanding of the services we are to provide Hyden-Leslie County Water District for the year ended December 31, 2018. We will audit the financial statements of the business-type activities, including the related notes to the financial statements, which collectively comprise the basic financial statements of Hyden-Leslie County Water District as of and for the year ended December 31, 2018. Accounting standards generally accepted in the United States of America provide for certain required supplementary information (RSI), such as management's discussion and analysis (MD&A), to supplement Hyden-Leslie County Water District's basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. Hyden-Leslie County Water District has elected to omit the MD&A.

Audit Objectives

The objective of our audit is the expression of an opinion as to whether your financial statements are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles. Our audit will be conducted in accordance with auditing standards generally accepted in the United States of America and the standards for financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and will include tests of the accounting records of Hyden-Leslie County Water District and other procedures we consider necessary to enable us to express such an opinion. We will issue a written report upon completion of our audit of Hyden-Leslie County Water District's financial statements. Our report will be addressed to the Commissioners of Hyden-Leslie County Water District. We cannot provide assurance that an unmodified opinion will be expressed. Circumstances may arise in which it is necessary for us to modify our opinion or add emphasis-of-matter or other-matter paragraphs. If our opinion is other than unmodified, we will discuss the reasons with you in advance. If, for any reason, we are unable to complete the audit or are unable to form or have not formed an opinion, we may decline to express an opinion or issue reports, or may withdraw from this engagement.

We will also provide a report (that does not include an opinion) on internal control related to the financial statements and compliance with the provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a material effect on the financial statements as required by *Government Auditing Standards*. The report on internal control and on compliance and other matters will include a paragraph that states (1) that the purpose of the report is solely to describe the scope of testing of internal control and compliance, and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control on compliance, and (2) that the report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. The paragraph will also state that the report is not suitable for any other purpose. If during our audit we become aware that Hyden-Leslie County Water District is subject to an audit requirement that is not encompassed in the terms of this engagement, we will communicate to management and those charged with governance that an audit in accordance with U.S. generally accepted auditing standards and the standards for financial audits contained in *Government Auditing Standards* may not satisfy the relevant legal, regulatory, or contractual requirements.

Audit Procedures—General

An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; therefore, our audit will involve judgment about the number of transactions to be examined and the areas to be tested. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We will plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether from (1) errors, (2) fraudulent

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financial reporting, (3) misappropriation of assets, or (4) violations of laws or governmental regulations that are attributable to the government or to acts by management or employees acting on behalf of the government. Because the determination of abuse is subjective, *Government Auditing Standards* do not expect auditors to provide reasonable assurance of detecting abuse.

Because of the inherent limitations of an audit, combined with the inherent limitations of internal control, and because we will not perform a detailed examination of all transactions, there is a risk that material misstatements may exist and not be detected by us, even though the audit is properly planned and performed in accordance with U.S. generally accepted auditing standards and *Government Auditing Standards*. In addition, an audit is not designed to detect immaterial misstatements or violations of laws or governmental regulations that do not have a direct and material effect on the financial statements. However, we will inform the appropriate level of management of any material errors, fraudulent financial reporting, or misappropriation of assets that comes to our attention. We will also inform the appropriate level of management of any violations of laws or governmental regulations that come to our attention, unless clearly inconsequential, and of any material abuse that comes to our attention. Our responsibility as auditors is limited to the period covered by our audit and does not extend to later periods for which we are not engaged as auditors.

Our procedures will include tests of documentary evidence supporting the transactions recorded in the accounts, and may include tests of the physical existence of inventories, and direct confirmation of receivables and certain other assets and liabilities by correspondence with selected individuals, funding sources, creditors, and financial institutions. We will request written representations from your attorneys as part of the engagement, and they may bill you for responding to this inquiry. At the conclusion of our audit, we will require certain written representations from you about your responsibilities for the financial statements; compliance with laws, regulations, contracts, and grant agreements; and other responsibilities required by generally accepted auditing standards.

Audit Procedures—Internal Control

Our audit will include obtaining an understanding of the government and its environment, including internal control, sufficient to assess the risks of material misstatement of the financial statements and to design the nature, timing, and extent of further audit procedures. Tests of controls may be performed to test the effectiveness of certain controls that we consider relevant to preventing and detecting errors and fraud that are material to the financial statements and to preventing and detecting misstatements resulting from illegal acts and other noncompliance matters that have a direct and material effect on the financial statements. Our tests, if performed, will be less in scope than would be necessary to render an opinion on internal control and, accordingly, no opinion will be expressed in our report on internal control issued pursuant to *Government Auditing Standards*.

An audit is not designed to provide assurance on internal control or to identify significant deficiencies or material weaknesses. Accordingly, we will express no such opinion. However, during the audit, we will communicate to management and those charged with governance internal control related matters that are required to be communicated under AICPA professional standards and *Government Auditing Standards*.

Audit Procedures—Compliance

As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, we will perform tests of Hyden-Leslie County Water District's compliance with the provisions of applicable laws, regulations, contracts, agreements, and grants. However, the objective of our audit will not be to provide an opinion on overall compliance and we will not express such an opinion in our report on compliance issued pursuant to *Government Auditing Standards*.

Other Services

We will also assist in preparing closing journal entries, preparing the annual Public Service Commission (PSC) report, and preparing the financial statements and related notes of Hyden-Leslie County Water District in conformity with U.S. generally accepted accounting principles based on information provided by you. These nonaudit services do not constitute an audit under *Government Auditing Standards* and such services will not be conducted in accordance with *Government Auditing Standards*. We will perform the services in accordance with applicable professional standards. The other services are limited to the closing journal entries, PSC report, and the financial statement services previously defined. We, in our sole professional judgment, reserve the right to

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refuse to perform any procedure or take any action that could be construed as assuming management responsibilities.

Management Responsibilities

Management is responsible for designing, implementing, establishing, and maintaining effective internal controls relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error, including evaluating and monitoring ongoing activities to help ensure that appropriate goals and objectives are met; following laws and regulations; and ensuring that management and financial information is reliable and properly reported. Management is also responsible for implementing systems designed to achieve compliance with applicable laws, regulations, contracts, and grant agreements. You are also responsible for the selection and application of accounting principles, for the preparation and fair presentation of the financial statements and all accompanying information in conformity with U.S. generally accepted accounting principles, and for compliance with applicable laws and regulations and the provisions of contracts and grant agreements.

Management is also responsible for making all financial records and related information available to us and for the accuracy and completeness of that information. You are also responsible for providing us with (1) access to all information of which you are aware that is relevant to the preparation and fair presentation of the financial statements, (2) additional information that we may request for the purpose of the audit, and (3) unrestricted access to persons within the government from whom we determine it necessary to obtain audit evidence.

Your responsibilities include adjusting the financial statements to correct material misstatements and for confirming to us in the written representation letter that the effects of any uncorrected misstatements aggregated by us during the current engagement and pertaining to the latest period presented are immaterial, both individually and in the aggregate, to the financial statements taken as a whole.

You are responsible for the design and implementation of programs and controls to prevent and detect fraud, and for informing us about all known or suspected fraud affecting the government involving (1) management, (2) employees who have significant roles in internal control, and (3) others where the fraud could have a material effect on the financial statements. Your responsibilities include informing us of your knowledge of any allegations of fraud or suspected fraud affecting the government received in communications from employees, former employees, grantors, regulators, or others. In addition, you are responsible for identifying and ensuring that the government complies with applicable laws, regulations, contracts, agreements, and grants and for taking timely and appropriate steps to remedy fraud and noncompliance with provisions of laws, regulations, contracts or grant agreements, or abuse that we report.

Management is responsible for establishing and maintaining a process for tracking the status of audit findings and recommendations. Management is also responsible for identifying and providing report copies of previous financial audits, attestation engagements, performance audits or other studies related to the objectives discussed in the Audit Objectives section of this letter. This responsibility includes relaying to us corrective actions taken to address significant findings and recommendations resulting from those audits, attestation engagements, performance audits, or other studies. You are also responsible for providing management's views on our current findings, conclusions, and recommendations, as well as your planned corrective actions, for the report, and for the timing and format for providing that information.

You agree to assume all management responsibilities relating to the closing journal entries, annual PSC report, financial statements and related notes and any other nonaudit services we provide. You will be required to acknowledge in the management representation letter our assistance with preparation of the closing journal entries, annual PSC report, financial statements and related notes and that you have reviewed and approved the closing journal entries, annual PSC report, financial statements and related notes prior to their issuance and have accepted responsibility for them. Further, you agree to oversee the nonaudit services by designating an individual, preferably from senior management, with suitable skill, knowledge, or experience; evaluate the adequacy and results of those services; and accept responsibility for them.

Engagement Administration, Fees, and Other

We may from time to time and depending on the circumstances, use third-party service providers in serving your account. We may share confidential information about you with these service providers, but remain committed to

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maintaining the confidentiality and security of your information. Accordingly, we maintain internal policies, procedures, and safeguards to protect the confidentiality of your personal information. In addition, we will secure confidentiality agreements with all service providers to maintain the confidentiality of your information and we will take reasonable precautions to determine that they have appropriate procedures in place to prevent the unauthorized release of your confidential information to others. In the event that we are unable to secure an appropriate confidentiality agreement, you will be asked to provide your consent prior to the sharing of your confidential information with the third-party service provider. Furthermore, we will remain responsible for the work provided by any such third-party service providers.

We understand that your employees will prepare all cash, accounts receivable, or other confirmations we request and will locate any documents selected by us for testing.

We will provide copies of our reports to Hyden-Leslie County Water District; however, management is responsible for distribution of the reports and the financial statements. Unless restricted by law or regulation, or containing privileged and confidential information, copies of our reports are to be made available for public inspection.

The audit documentation for this engagement is the property of Christian Sturgeon, PLLC and constitutes confidential information. However, subject to applicable laws and regulations, audit documentation and appropriate individuals will be made available upon request and in a timely manner to applicable regulators or their designees, a federal agency providing direct or indirect funding, or the U.S. Government Accountability Office for purposes of a quality review of the audit, to resolve audit findings, or to carry out oversight responsibilities. We will notify you of any such request. If requested, access to such audit documentation will be provided under the supervision of Christian Sturgeon, PLLC personnel. Furthermore, upon request, we may provide copies of selected audit documentation to the aforementioned parties. These parties may intend, or decide, to distribute the copies or information contained therein to others, including other governmental agencies.

The audit documentation for this engagement will be retained for a minimum of five years after the report release date or for any additional period requested by the applicable regulators. If we are aware that a federal awarding agency or auditee is contesting an audit finding, we will contact the party(ies) contesting the audit finding for guidance prior to destroying the audit documentation.

We expect to begin our audit in February 2019 and to issue our reports no later than March 31, 2019. Robert Abner is the engagement partner and is responsible for supervising the engagement and signing the reports or authorizing another individual to sign them.

Our fee for these services will be \$13,600. This fee is based on anticipated cooperation from your personnel and the assumption that unexpected circumstances will not be encountered during the audit. If significant additional time is necessary, we will discuss it with you and arrive at a new fee estimate before we incur the additional costs. Billings are payable upon receipt. Balances outstanding more than thirty (30) days are subject to a finance charge of 1.00% per month. This is an Annual Percentage Rate of 12%.

Peer Review

Our firm, as well as all major accounting firms, participates in a "peer review" program, covering our audit and accounting practices. This program requires that once every three years we subject our audit practice to an examination by another accounting firm. As part of the process, the other firm will review a sample of our work. It is possible that the work we perform for you may be selected by the other firm for their review. If it is, they are bound by professional standards to keep all information confidential. If you object to having the work we do for you reviewed by our peer reviewer, please notify us in writing.

Government Auditing Standards require that we provide you with a copy of our most recent external peer review report and any letter of comment, and any subsequent peer review reports and letters of comment received during the period of the contract if requested by you. Please let us know if you would like a copy of that information.

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We appreciate the opportunity to be of service to Hyden-Leslie County Water District and believe this letter accurately summarizes the significant terms of our engagement. If you have any questions, please let us know. If you agree with the terms of our engagement as described in this letter, please sign the enclosed copy and return it to us.

Very truly yours,

Christian Sturgeon, PLLC

Christian Sturgeon, PLLC

RESPONSE:

This letter correctly sets forth the understanding of Hyden-Leslie County Water District.

Management signature: 

Title: Chairman

Date: 01-31-19

Governance signature: 

Title: Manager

Date: 01-31-19

December Claims List

Check#	Vendor/Account	Amount	Type
3849	HLCWD Payroll Account	\$ 16,750.63	Transfer
3850	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
3851	VIOD	VOID	
3853	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,695.00	Transfer
3854	HLCWD Bond & Int. Sinking Fund 1989	\$ 3,983.34	Transfer
3855	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3856	HLCWD Oper. & Maint. Fund	\$ 52,000.00	Transfer
3857	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,404.67	Transfer
3858	HLCWD Escrow Fund	\$ 600.00	Transfer
27606	Spirit Central	\$ 190.00	5070
27607	USA BlueBook	\$ 130.04	5250
27608	Todd Horton	\$ 500.00	5540
27609	Ky. Deferred Comp.	\$ 1,996.85	2045
27610	C.I. Thornburg Co., Inc.	\$ 5,875.69	5220/5120
27611	Bentley Electric	\$ 1,000.00	1617
27612	Quill Corp.	\$ 200.09	5420
27613	TDS	\$ 574.89	5430
27614	Buchanan Pump Service & Supply Co	\$ 1,599.23	5220
27615	Colonial Life	\$ 178.76	2046
27616	Randy D Roberts	\$ 138.90	5070
27617	Piercy Mullins Electric	\$ 375.00	5630
27618	Home Lumber CO. Inc.	\$ 94.92	5220
27619	Leslie Co. Occupational Tax Admin	\$ 386.13	2048
27620	Thomas E Baker	\$ 168.58	5220
27621	Hyden Shoes & Boots	\$ 150.00	5070
27622	Thomas E Baker	\$ 151.00	5250
27623	Hyden Citiznes Bank	\$ 5,290.36	2040
27624	William Wooton	\$ 300.00	5540
27625	Ronnie Gay	\$ 500.00	5540
27626	Augustus Roberts	\$ 500.00	5540
27627	Timothy Helton	\$ 500.00	5540
27628	Clark Auto Parts	\$ 166.89	5230/5235
27629	Cumberland Valley Electric	\$ 466.35	5110
27630	Ky. Power Co.	\$ 24,734.30	5110
27631	Ash Mountain Inc.	\$ 12,904.36	1595
27631	Postmaster	\$ 12.72	5410
3859	HLCWD Oper. & Maint. Fund	\$ 43,000.00	Tranfer
3852	HLCWD Payroll Account	\$ 15,497.56	Transfer
27633	Ky. State Treasurer	\$ 3,879.48	2070/2071/2072
2160	Dusty Sizemore	\$ 30.00	Escrow Checking
2201	Randy Skeens	\$ 30.33	Escrow Checking
2202	Michelle Monroe	\$ 30.00	Escrow Checking
2203	Candace Leddington	\$ 30.34	Escrow Checking
2204	Lewis Groc./Sandra Lewis	\$ 30.34	Escrow Checking

2205	Don Williams	\$	30.34	Escrow Checking
27634	Double H Auto	\$	2,458.99	5230
27635	Ky. Rural Water Assoc., Inc.	\$	199.00	5550
27636	Thomas E. Baker	\$	45.95	5130
27637	Hyden Shoes & Boots	\$	150.00	5070
27638	Hyden Citizens Bank	\$	5,065.18	2040
27639	Rumpke	\$	130.90	5440
27640	McCoy & McCoy Labs, Inc.	\$	933.00	5340
27641	Sizemore's Service Center	\$	199.87	5230
27642	Consolidated Pipe & Supply Co.,Inc.	\$	5,058.00	5220
27643	C.I. Thornburg Co., Inc.	\$	4,466.87	5120
27644	Ky. Deferred Comp.	\$	2,061.27	2045
27645	Leslie Co. Treasurer	\$	1,460.79	5240
27646	KACo Benefits Group	\$	16,733.88	5060
27647	Thomas E. Baker	\$	47.95	5220/5250
27648	Nixon Power Services CO.	\$	1,375.00	5165

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
December 2018

	Dec 18	Budget	Jan - Dec 18	YTD Budget	Annual Budget
Ordinary Income/Expense					
Income					
4029 · Water Operating Revenues					
4010 · Metered Sales Residential	101,097.55	103,764.42	1,234,984.65	1,245,173.04	1,245,173.04
4020 · Metered Sales Commercial	17,068.85	19,833.07	246,605.24	237,996.84	237,996.84
4021 · Less Adjustment Residential	-402.63		-4,057.02		
4022 · Less Adjustments Commercial	0.00		-292.47		
Total 4029 · Water Operating Revenues	117,763.77	123,597.49	1,477,240.40	1,483,169.88	1,483,169.88
Total Income	117,763.77	123,597.49	1,477,240.40	1,483,169.88	1,483,169.88
Gross Profit	117,763.77	123,597.49	1,477,240.40	1,483,169.88	1,483,169.88
Expense					
5099 · Personnel					
5010 · Salaries and Wages	37,317.29	31,773.57	426,224.74	381,282.84	381,282.84
5011 · Over Time Wages	6,184.66	3,012.00	70,823.13	36,144.00	36,144.00
5013 · 401-K Retirement Wages	2,001.05	1,739.28	22,998.76	20,871.36	20,871.36
5015 · Capitalized Labor	209.35		4,700.86		
5020 · Payroll Taxes	3,493.27	3,200.00	40,118.86	38,400.00	38,400.00
5060 · Employee Health Ins.	17,654.18	23,360.47	226,051.29	280,325.64	280,325.64
5070 · Uniform Expense	628.90	450.00	5,497.98	5,400.00	5,400.00
Total 5099 · Personnel	67,488.70	63,535.32	796,415.62	762,423.84	762,423.84
5199 · Plant					
5110 · Utilities	25,200.65	23,400.00	270,877.98	280,800.00	280,800.00
5120 · Chemicals	8,764.03	6,250.00	90,469.65	75,000.00	75,000.00
5130 · Repair and Maintenance / Plant	45.95	1,000.00	7,842.04	12,000.00	12,000.00
5140 · Operating Supplies	0.00	208.33	5,659.47	2,499.96	2,499.96
5150 · Tools	0.00	333.33	1,531.64	3,999.96	3,999.96
5160 · Water Withdrawal Fee	0.00	733.33	6,438.85	8,799.96	8,799.96
5165 · Back-up Generator Maint.	1,375.00	400.00	3,475.99	4,800.00	4,800.00
5170 · Misc. Plant Expense	0.00		1,462.62		
Total 5199 · Plant	35,385.63	32,324.99	387,758.24	387,899.88	387,899.88
5299 · Field Operations					
5220 · Repair and Maintenance / Field	8,250.26	5,137.49	116,841.32	61,649.88	61,649.88

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
December 2018

	Dec 18	Budget	Jan - Dec 18	YTD Budget	Annual Budget
5230 · Vehicle / Repair and Maint.	2,678.73	1,400.00	17,681.02	16,800.00	16,800.00
5235 · Equipment Repair and Maint.	147.02		3,825.00		
5240 · Gas and Diesel	1,460.79	1,400.00	20,326.26	16,800.00	16,800.00
5250 · Tools	305.99		2,471.79		
Total 5299 · Field Operations	12,842.79	7,937.49	161,145.39	95,249.88	95,249.88
5399 · Contractual Services					
5310 · Legal and Accounting	0.00	1,200.00	13,350.00	14,400.00	14,400.00
5340 · Water Analysis	933.00	1,583.33	8,822.71	18,999.96	18,999.96
5360 · Electrical Maintenance	375.00	200.00	624.50	2,400.00	2,400.00
5370 · Communication Maintenance	0.00	125.00	1,717.00	1,500.00	1,500.00
Total 5399 · Contractual Services	1,308.00	3,108.33	24,514.21	37,299.96	37,299.96
5499 · Office Expenses					
5410 · Postage	12.72	1,400.00	14,200.07	16,800.00	16,800.00
5420 · Office Supplies	223.41	520.00	13,610.61	6,240.00	6,240.00
5430 · Telephone Expense	574.89	477.14	6,592.50	5,725.68	5,725.68
5440 · Sanitation Pick-up Services	130.99	130.00	1,503.14	1,560.00	1,560.00
5450 · Computer Repair and Maintenance	0.00	333.33	211.95	3,999.96	3,999.96
5490 · Other Office Expenses	0.00	41.67	2,642.83	500.04	500.04
Total 5499 · Office Expenses	942.01	2,902.14	38,761.10	34,825.68	34,825.68
5599 · Administrative					
5510 · PSC Assessment	0.00	300.00	3,061.64	3,600.00	3,600.00
5520 · Insurance-General	4,408.94	4,333.33	51,208.65	51,999.96	51,999.96
5530 · Bad Debts	1,334.59	1,250.00	18,671.50	15,000.00	15,000.00
5540 · Board Fees	2,300.00	1,250.00	27,600.00	16,266.67	16,266.67
5550 · Fees and Dues	233.95	300.00	3,270.18	3,600.00	3,600.00
5560 · Publication Expense	0.00	166.67	431.20	2,000.04	2,000.04
5570 · Certification Training Expenses	0.00	375.00	4,273.39	4,500.00	4,500.00
5590 · Other Administrative Exp.	16.96	83.33	1,015.46	999.96	999.96
Total 5599 · Administrative	8,294.44	8,058.33	109,532.02	97,966.63	97,966.63
5699 · Interest and Depreciation Exp.					
5620 · Interest Expense KRWFC (1989)	1,066.67	1,138.13	13,650.26	13,657.56	13,657.56
5626 · Interest Expense RD (2000)	888.00	899.63	10,771.75	10,795.56	10,795.56

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
December 2018

	Dec 18	Budget	Jan - Dec 18	YTD Budget	Annual Budget
5628 · Interest Exp. RD Series A 2012	3,220.31	3,242.19	38,862.50	38,906.28	38,906.28
5629 · Interest Exp. RD Series B 2012	3,349.69	3,382.97	40,529.08	40,595.64	40,595.64
5630 · Interest Exp. Customer Dep.	2.67		29.06		
5640 · Depreciation Expense	70,968.00	71,531.00	851,524.00	858,372.00	858,372.00
Total 5699 · Interest and Depreciation Exp.	79,495.34	80,193.92	955,366.65	962,327.04	962,327.04
66000 · Payroll Expenses	0.00		0.00		
Total Expense	205,756.91	198,060.52	2,473,493.23	2,377,992.91	2,377,992.91
Net Ordinary Income	-87,993.14	-74,463.03	-996,252.83	-894,823.03	-894,823.03
Other Income/Expense					
Other Income					
4199 · Other Income					
4030 · Service Charges	105.00	250.00	4,075.00	3,000.00	3,000.00
4040 · Late Payment Penalties	5,556.82	3,750.00	50,458.32	45,000.00	45,000.00
4090 · Miscellaneous Revenues	11.37	33.33	277.41	399.96	399.96
4110 · Interest Income	2,197.91	0.00	2,972.42	416.67	416.67
Total 4199 · Other Income	7,871.10	4,033.33	57,783.15	48,816.63	48,816.63
Total Other Income	7,871.10	4,033.33	57,783.15	48,816.63	48,816.63
Net Other Income	7,871.10	4,033.33	57,783.15	48,816.63	48,816.63
Net Income	-80,122.04	-70,429.70	-938,469.68	-846,006.40	-846,006.40
Net Income Less Depreciation	-9,154.04	1,101.30	-86,945.68	12,365.60	12,365.60
Payables					
Principle expense					
1989 Bonds Payable	\$ 2,916.67	\$ 2,916.67	# \$ 32,083.37		
1991 Bonds Payable	\$ -	\$ -	# -		
2000 Bonds Payable	\$ 516.67	\$ 516.67	# \$ 5,683.33		
2012 A Bonds Payable	\$ 1,166.67	\$ 1,166.67	# \$ 12,833.33		
2012 B Bonds Payable	\$ 2,958.33	\$ 2,958.33	# \$ 32,541.67		
Sub-Total Bonds Payable	\$ 7,558.34	\$ 7,558.34	# \$ 83,141.74		
Net Position	-\$16,712.38	-\$6,457.04	-\$170,087.42		

HYDEN LESLIE

Water  District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on February 28, 2019 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to Order: The meeting was called to order at 4:00pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Jennifer McIntosh with KRADD was present.
3. Public Comment: None.
4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard states that he is working on the deeds and easements for the pumps and tanks. He says they received all of the income surveys and they qualified for CDBG. Mr. Maggard presented invoice # 14014-5 in the amount of \$5840.00. A motion was made by William Horton to pay the invoice. Motion was seconded by William Wooton, Motion carried unanimously.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard stated that the contractors had 30 days to respond to the letter about finishing up the project, but with no response, it expired. He says the money leftover can be deposited into the Operation and Maintenance account.
7. Stinnett Water Line Relocation / DOT: Mr. Maggard says the project is due to be bid on March 22nd and plans to begin near the end of April.
8. Hospital Hill System Improvements: Mr. Maggard stated that everything is hooked up and the startup is scheduled for this week. We received a pay request from Ash Mountain, but it is under review. A motion was made by William Horton to approve


- the pay request for the 5% plus retainage, after the pending investigation. Motion was seconded by Ronnie Gay. Motion carried unanimously.
9. Other Projects / System Issues: Mr. Maggard says we have another bridge relocate on Maggard Branch off Hwy 699. He says the Hwy Dept. wants it finished by April. A motion was made by Timothy Helton to authorize Mike Magard to start preparing the plans and specs for Hwy 699/ Maggard Branch bridge relocate. Motion was seconded by William Wooton. Motion carried unanimously. Mr. Turner says he got in touch with PSC to determine what we need to do to run the natural gas pipelines as stated in the previous meeting. Mr. Turner stated we have several infrastructure problems that are going to need to be addressed soon. A motion was made by Timothy Helton to approve Mr. Turner and Mr. Maggard to come up with estimates and plans over the next few months to address the infrastructure issues. Motion was seconded by William Horton. Motion carried unanimously.
 10. KIA Resolution: The KIA Resolution designates Mr. Turner to take care of the documentation as it comes through. A motion was made to approve the KIA Resolution by Augustus Roberts. Motion was seconded by William Horton. Motion carried unanimously.
 11. Surplus Property Auction Contract: Mr. Turner states we have a contract with Ford Brothers Auction to auction off the equipment we made surplus after the last meeting. He states they require 10% and a \$1000.00 upfront advertising fee that we will be splitting with the county. A motion was made to enter in the surplus auction contract. Motion was seconded by Ronnie Gay. Motion carried unanimously.
 12. Insurance Claim: Mr. Turner advises that our Wilder Branch Boost Pump Station is currently underwater and he has filed an insurance claim with KACO. He is unsure if they will cover the claim since the station is in the floodplain.
 13. Claims List: The claims list was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by Augustus Roberts. Motion carried unanimously.
 14. Financial Report: The financial report was reviewed. A motion was made by William Wooton to approve the financial report. The motion was seconded by Timothy Helton. Motion carried unanimously.
 15. Other New Business: None.
 16. Executive Session:
 17. Adjournment: Motion was made to adjourn by Ronnie Gay. Motion was seconded by William Wooton. Motion carried unanimously.

Approval of Minutes:



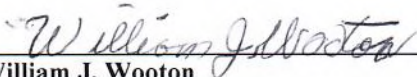
Augustus Roberts, Chairman

3-28-19
Date



Timothy Helton, Vice Chairman

3-28-19
Date



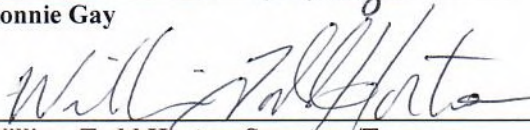
William J. Wooton

3-28-19
Date



Ronnie Gay

3/28/19
Date



William Todd Horton, Secretary/Treasurer

 3/28/19
Date

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: February 28, 2019
INVOICE NO. 14014-5
Sheet 1 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table 1 = Estimated Construction Cost= 3,648,000.00
\$3,468,000.00 x 7.013% = = 256,000.00 Estimated

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
C4.01.3.b STUDY & REPORT PHASE					
A. P.E.R.	\$10,000.00	100%	\$10,000.00	\$10,000.00	\$0.00
TOTAL C4.01.3.b.A	\$10,000.00	0%	\$0.00	\$0.00	\$0.00
C4.01.3.b ONE CONTRACT					
B. Preliminary Design - 15%	\$38,400.00	60.00%	\$23,040.00	\$19,200.00	\$3,840.00
C. Final Design - 55%	\$140,800.00	0.00%	\$0.00	\$0.00	\$0.00
D. Bidding & Negotiating - 10%	\$25,600.00	0.00%	\$0.00	\$0.00	\$0.00
E. Construction Phase - 15%	\$38,400.00	0.00%	\$0.00	\$0.00	\$0.00
F. Closeout - 5%	\$12,800.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01.3.b.:B thru F	\$256,000.00	9.00%	\$23,040.00	\$19,200.00	\$3,840.00
TOTAL FOR BASIC DESIGN	\$266,000.00	8.66%	\$23,040.00	\$19,200.00	\$3,840.00

HYDEN - LESLIE COUNTY WATER DISTRICT DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 EAST REYNOLDS ROAD SUITE A3
 LEXINGTON, KY 40517
 (859) 271-2978
 Fax (859) 271-5670

DATE: February 28, 2019
 INVOICE NO. 14014-5
 Sheet 2 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
 ATTN: LJ Turner, MANAGER
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table II = Estimated Construction Cost= \$3,648,000.00

\$3,648,000.00 x 3.81% = \$157,000.00 Estimated

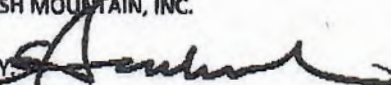
C4.01 RESIDENT INSPECTION

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
C4.01 SPECIFIC ADD'L SRVS.					
1. Environmental	\$10,000.00	100.00%	\$10,000.00	\$10,000.00	\$0.00
2. Surveys	\$10,000.00	10.00%	\$1,000.00	\$0.00	\$1,000.00
3. Permits	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
4. Easements Asst.	\$10,000.00	10.00%	\$1,000.00	\$0.00	\$1,000.00
5. PSC Assistance	\$5,000.00	0.00%	\$0.00	\$0.00	\$0.00
6. Geotechnical	\$15,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 SPEC. ADD'L	\$60,000.00	20.00%	\$12,000.00	\$10,000.00	\$2,000.00
TOTAL CONTRACT PHASE II	\$483,000.00	7.25%	\$35,040.00	\$29,200.00	\$5,840.00
INVOICES NOT PAID					\$0.00
TOTAL NOW DUE					\$5,840.00

HYDEN-LESLIE COUNTY WATER DISTRICT HOSPITAL HILL-REPAIR & REPLACEMENT WATER DISTRIBUTION SYSTEM EXTENSIONS PARTIAL PAY ESTIMATE	CONTRACT: HOSPITAL HILL-REPAIR AND REPLACEMENT PARTIAL PAY ESTIMATE NO. 7; FINAL 2/26/19 PAGE 1 OF 2, CONTRACTOR: ASH MOUNTAIN, INC.
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CONTRACT CHARGE ORDER SUMMARY				ESTIMATE	
CHANGE ORDER NO.	AGENCY APPROVAL DATE	AMOUNT ADDITIONS	DEDUCTIONS		
				1 ORIGINAL CONTRACT	\$ 376,682.80
				2 CHANGE ORDERS	\$ -
				3 REVISED CONTRACT (1+2)	\$ 423,058.24
				4 WORK COMPLETED*	\$ 320,817.76
				5 STORED MATERIALS	\$ -
				6 SUBTOTAL (4+5)	\$ 320,817.76
				7 RETAINAGE 5%	\$ -
				8 PREVIOUS PAYMENTS	\$ 299,001.36
				9 AMOUNT DUE (6-7-8)	\$ 21,816.40
TOTALS					
NET CHANGE					

CONTRACT TIME		CONTRACT DATES	
ORIGINAL DAYS	90	STARTING DATE	8/21/2017
REVISED	0	PROJECTED COMPLETION	11/18/2017
REMAINING ON SCHEDULE	0		

CONTRACTORS CERTIFICATION	ENGINEERS CERTIFICATION
<p>The undersigned Contractor certifies that to the best of their knowledge, information and belief the work covered by this payment estimate has been completed in accordance with the contract documents, that all amounts have been paid by the contractor for work for which previous payment estimates was issued and payments received from the owner, and that current payment herein is now due.</p> <p>ASH MOUNTAIN, INC.</p> <p>BY: </p> <p>DATE: 2/26/19</p>	<p>The undersigned certifies that the work has been carefully inspected and to the best of their knowledge and belief, the quantities shown in this estimate are correct and the work has been performed in accordance with the contract documents.</p> <p>SISLER-MAGGARD ENGINEERING, PLLC</p> <p>BY:</p> <p>DATE:</p>

APPROVAL BY OWNER	ACCEPTED BY AGENCY
<p>OWNER: HYDEN-LESLIE COUNTY WATER DISTRICT</p> <p>TITLE:</p> <p>DATE:</p>	<p>The review and acceptance of this estimate does not attest to the correctness of the quantities shown or that the work has been performed in accordance with the contract documents.</p> <p>AGENCY:</p> <p>BY:</p> <p>TITLE:</p> <p>DATE:</p>

HYDEN-LESLIE COUNTY WATER DISTRICT
HOSPITAL HILL-WATERLINE REPAIR AND REPLACEMENTS

ITEM NO	DESCRIPTION	QUANTITY	UNIT	UNIT COST	TOTAL COST	EST. UNITS	EST. AMOUNT	UNITS TO DATE	COMP. TO DATE
1	6" C-900 DR 24 PVC WATERLINE	3,000.00	LF	11.00	33,000.00	-	-	2,089.00	22,799.00
2	3" CL 250 PVC WATERLINE	3,000.00	LF	9.00	27,000.00	-	-	3,482.00	31,428.00
3	2" CL 200 YELLOW MAIN E PVC W/ (LABOR ONLY) TEMPORARY	3,000.00	LF	5.00	15,000.00	-	-	972.00	4,860.00
4	1" CLASS 200 POLYETHYLENE SERVICE LINE	500.00	LF	4.36	2,180.00	-	-	1,228.00	5,397.68
5	3/4" CLASS 200 POLYETHYLENE SERVICE LINE	200.00	LF	4.20	840.00	-	-	2,051.00	8,610.00
6	6" GATE VALVE	2.00	EA	1,300.00	2,600.00	-	-	3.00	3,900.00
7	3" GATE VALVE	2.00	EA	875.00	1,750.00	-	-	5.00	4,375.00
8	BLOWOFF ASSEMBLY VALVE (ALL SIZES)	2.00	EA	2,300.00	4,600.00	-	-	1.00	2,300.00
9	FLUSH-HYDRANTS	2.00	EA	4,100.00	8,200.00	-	-	1.00	4,100.00
10	PAVEMENT REPLACEMENT (H/D) (COUNTY ROADS)	750.00	SY	3.00	2,250.00	-	-	339.10	1,017.30
11	GRAVEL SURFACE REPLACEMENT	100.00	SY	2.00	200.00	-	-	511.09	1,042.18
12	TIE NEW 6" TO EXISTING 6" W/WET TAP	2.00	EA	2,367.60	4,735.20	-	-	1.00	2,367.60
13	TIE TO NEW 3" TO EXISTING 3" W/WET TAP	1.00	EA	750.00	750.00	-	-	2.00	1,500.00
14	TIE TO NEW 3" TO EXISTING 2" W/WET TAP	1.00	EA	270.00	270.00	-	-	50.00	13,500.00
15	OPEN CUT 12" STEEL CASING	100.00	LF	45.00	4,500.00	-	-	-	-
16	FIBERGLASS MARKERS	8.00	EA	25.00	200.00	-	-	-	-
18	5,000 GAL SKID MOUNTED WATER TANK, ELEC. VALVES, ETC.	1.00	LS	58,414.31	58,414.31	-	-	-	-
19	PUMP STATION	1.00	LS	69,593.95	69,593.95	5.00%	3,479.70	100%	69,593.95
20	TELEMETRY	1.00	LS	43,000.00	43,000.00	-	-	-	-
21	4" PIPE	200.00	FT	30.50	2,520.00	-	-	340.00	3,570.00
22	4" GATE VALVE	1.00	EA	1,350.00	1,350.00	-	-	4.00	4,680.00
23	CONCRETE	104.00	SY	23.08	2,400.00	-	-	104.00	2,400.00
24	CL 900	612.00	FT	17.50	11,225.00	-	-	642.00	11,235.00
25	RIP-RAP INSTALLED	45.00	SY	45.00	675.00	-	-	15.00	675.00
26	RELOCATE FIRE-HYDRANTS	2.00	EA	1,800.00	3,600.00	-	-	2.00	3,600.00
27	LABOR ON FIRE-HYDRANT'S RELOCATION (3-HRS@ 4-PER/HR)	14.00	HRS	32.50	455.00	-	-	34.00	495.00
28	8" C-900 PIPE, W/D MANHOLE	765.00	LF	10.00	7,650.00	-	-	765.00	7,650.00
29	VAHD HYDRANT	1.00	EA	650.00	650.00	-	-	1.00	650.00
30	4" C/250 DI PLUS INSTALLATION	402.00	LF	44.00	3,568.34	-	-	44.00	3,546.34
31	BLADDER PUMP STATION	1.00	LS	62,000.00	62,000.00	5%	3,100.00	100.00%	62,000.00
32	8" C-900/C/250S PIPE	160.00	LF	12.05	1,928.00	-	-	160.00	1,928.00
33	2" GATE VALVE	1.00	EA	675.00	675.00	-	-	2.00	1,350.00
34	ASPHALT-HYDEN WATER	84.60	TON	89.20	7,547.21	-	-	84.61	7,547.21
35	TIE TO EXISTING 2"	2.00	EA	875.00	1,750.00	-	-	2.00	1,750.00
36	3/4" MANHOLE	43.00	EA	150.00	6,450.00	-	-	43.00	6,450.00
37	RELOCATE METER	1.00	EA	550.00	550.00	-	-	1.00	550.00
38	4" MANHOLE	1.00	EA	1,250.00	1,250.00	-	-	1.00	1,250.00
39	1" METER RE-CONNECT	2.00	EA	550.00	1,100.00	-	-	2.00	1,100.00
40	2" MANHOLE	1.00	EA	950.00	950.00	-	-	1.00	950.00
41	CROSSOVER @ BLADDER	1.00	LS	2,367.60	2,367.60	-	-	1.00	2,367.60
42	PUMP STATION CROSSOVER	1.00	LS	2,367.60	2,367.60	-	-	1.00	2,367.60
43	RAISED MANHOLE	1.00	EA	350.00	350.00	-	-	1.00	350.00
44	ADDITIONAL MATERIALS P5	1.00	LS	15,725.30	15,725.30	-	-	1.00	15,725.30

TOTALS

437,135.51

6,579.70

320,817.76

Infrastructure Repairs and Upgrades

Master Meters (Water Loss)
Dollar Store Tank Leaking
Sand pump and Actiflo issues with Debris
Sludge Drying Beds
River crossing at Save-a-lot
4 pickup trucks
Water line replacement in wooton (from 80/699-past WB muncy
WTP SCADA Control issues
Meter Replacement
Service lines hooked to new line in town
Water line replacement in Honeysuckle
Rockhouse BPS
Polls Creek (Daily Road) Line after BPS
Main Line from Pennington's to Lumber Yard (AC)
Locust & Oak Street 3" (gray line)
Backhoe and Dump truck
Telemetry issues in the Distribution System
Spur Tank Leaking
Billing System
Raise plant hatch's
Hurricane Creek Line 3" (gray line)
River Road 1.1miles (creek bank)
Bear Hollow 3" (gray line)
Rockhouse Tank leaking
Owls Nest BPS
EH Witt Road (gray line)
Short Creek Line 1 mi
Mouth of Wooton 300' (Gray Line)
Osborne Fork Creek crossing (exposed)
Wilder (suction side of pump) line exposed
Army Trail 100' of 3" line
Jason's Branch (1 1/4" glue joint pipe)
Main (Cutshin at the Cliffs)
Main Line from (Main street to Goofys) AC
Owls Nest Pressure Tank Replacement
Big Branch BPS 1 floor

KIA Infrastructure Revolving Fund (Fund B) Authorizing Resolution

WHEREAS, Hyden-Leslie County Water District provides Drinking Water service for industrial, institutional, commercial, and residential growth in Leslie County, and

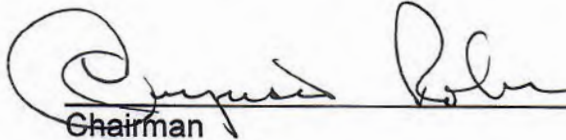
WHEREAS, the County endorses said service, and,

WHEREAS, under the terms of amendments to KRS 224A, the State is authorized to render financial assistance to eligible project applicants by way of the Infrastructure Revolving Fund (Fund B - State Revolving Loan Program) established to aid in the construction of eligible infrastructure projects with consideration for approval to be based on applications submitted through the Kentucky Infrastructure Authority, and,

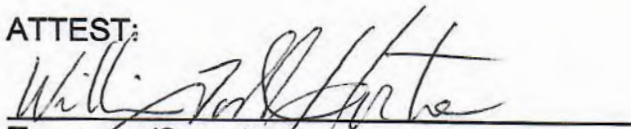
WHEREAS, the refinancing of an existing loan by Hyden-Leslie County Water District within Leslie County is considered eligible for such loan assistance.

NOW THEREFORE, BE IT RESOLVED by the Hyden-Leslie County Water District that the Water District Manager, and Successors-in-Title are hereby authorized to execute and submit an application through the Kentucky Infrastructure Authority with such assurances and required supporting data as is necessary to obtain loan assistance from the Infrastructure Revolving Fund (Fund B - State Revolving Loan Program) for the proposed refinancing, and are hereby authorized as the Hyden-Leslie County Water District Official Project Representative to carry out necessary negotiations for and administer the loan assistance the applicant may obtain from the Infrastructure Revolving Fund (Fund B - State Revolving Loan Program).

Adopted this 28 day of February, 2019.


Chairman

ATTEST:


Treasurer/Secretary



FORD BROTHERS, INC.

AUCTIONEERS – REALTORS

64 Keavy Road, Suite 1 London, KY 40744

PHONE: (606) 878-7111 / 1-800-526-1637

FAX: (606) 878-2535 E-mail: fordpros@windstream.net

AUCTION SALES CONTRACT

This agreement, made the 21st day of March, 2019 by and between **FORD BROTHERS, INC.**, 64 Keavy Road, Suite 1 London, KY 40744, hereafter called Auctioneer, and Hyden Leslie County Water District

Mailing Address: 325 Wendover Road Hyden, KY 41749

Telephone Numbers: 606-672-2791

hereinafter called Seller, witnesseth that is agreed by and between the parties as follows:

Seller does hereby irrevocably commission Auctioneer to sell to the highest bidder(s) the following described property at auction. General description and further described in Deed Book DNA, Page (s) DNA, County of Leslie, State of Kentucky.

DESCRIPTION OF PROPERTY: Equipment and Vehicles including but not limited to Dump Truck 2 Trailers, 3 Pickup Trucks

(See attachment for additional description and / or agreement)

said property located at: Water Plant

said sale to be held at: Online Bidding Only

and to be sold on or about the 25th day of February, 2019 Time of Sale: 6 p.m.

This will be an ABSOLUTE AUCTION (initial) This will be a RESERVE AUCTION (initial)

Pursuant to KRS Chapter 330.220 (3c) and (6b), it is unlawful for a seller or any party on behalf of the (Initial) Seller to bid at an absolute auction or otherwise participate in the bidding process. No Auctioneer shall knowingly receive a bid by or on behalf of the Seller at an absolute auction. This does not prohibit any individual party to the dissolution of marriage, partnership, and corporation, any party or heir of a deceased person's bona fide estate, a secured party or other lien holder, or any Ford Brother's Inc staff who is not the seller from bidding as an individual entity apart from the selling entity. No selling price guarantee has or will be made by Auctioneer at an absolute auction.

The above commission shall be paid out of the first proceeds received from the sale of property. If this is a RESERVE online or a RESERVE on-site auction, the SELLER agrees to sell said property when the reserve price of does not apply has been obtained. If the last bid should be rejected by SELLER, the SELLER agrees to pay AUCTIONEER a SERVICE FEE of does not apply.

In the event this property should be sold privately on or before the date of this auction, FORD BROTHERS, INC. shall receive the above mentioned rate of commission.

The Seller(s) do hereby agree to pay Auctioneer the following rate of commission: DNA % on Real Estate 5 % on Personal Property - 10 % Other: A 10% buyers premium will be charged to all buyers and serve as Auctioneers additional compensation. on the last bid determining price.

All advertising shall be paid by seller up to \$1,000 (this expense will be shared by Fiscal Court

Set-up fee shall be paid by Does Not Apply

Survey Does Not Apply

Terms of Sale: Payment in full by cash, Check Wire Transfer or Credit Card

The Earnest Money deposit received from Buyer on auction day will be placed in Auctioneer/Broker's escrow account.

Possession will be given: upon payment in full

Current Year Property Taxes shall be: DNA Prorated DNA Paid by Seller DNA Paid by Purchaser

Insurance: Seller agrees to keep the real estate insured until closing against casualty loss or liability from personal injury or property damage arising out of any and all auction related activities. Burden of insurance and risk of loss will be Seller(s) responsibility until closing, with delivery of deed.

Auctioneer (Initial) Date Time

Seller(s) (Initial) Date Time

Hyden Leslie
Seller(s) (Initial) Date Time

Seller Indemnifies Auctioneer / Broker against any claim or loss arising from Seller's negligence or Seller's failure to disclose latent defects in the property including known environmental problems.

SPECIAL CONDITIONS: Bidding will be online only. There will be 2 preview dates on March 18th and March 25th from 4 - 6 p.m.

Closing and Settlement: All checks shall be drawn payable to FORD BROTHERS INC. / ESCROW who shall collect all checks and accounts. Settlement shall be made within thirty (30) days after sale with respect to all checks and other items finally settled at that time. Final settlement shall not be made until all outstanding checks and other items have been finally settled. After the loan is approved, should the lender require additional time for the closing that will extend beyond said closing date, the parties agree to negotiate a reasonable extension for said closing to occur.

Breach of Agreement: This contract is irrevocable and Seller cannot remove any item from said sale without the express consent of Auctioneer. In the event such consent is given, Seller agrees to pay Auctioneer the above mentioned commission of fair market value of the items withdrawn, as liquidated damages, and agrees that said sum is a fair amount to be paid to Auctioneer for breach of this agreement by Seller. It is further agreed that Auctioneer may institute suit to enforce the performance of such damages heretofore set out, together with reasonable attorney's fees. The intent of this paragraph is to make Auctioneer the Exclusive Agent for the Seller and all transactions regarding these properties prior to the said sale will be conducted by and through Auctioneer.

Seller further agrees that should Auctioneer's consent be given that Seller will provide Auctioneer a letter stating that the Seller will indemnify and hold Auctioneer harmless from any and all claims arising out of removal of these items from said sale.

Warranty of Title: ~~Signing of this agreement authorizes Auctioneer to obtain title search and provide the commitment at Seller's expense.~~

Seller(s) further agrees to indemnify Auctioneer against, and hold Auctioneer harmless from, any and all loss and liability which Auctioneer may sustain or incur as a result of a breach of the foregoing warranty, or a failure by Seller to transfer said property free and clear of liens and claims. Auctioneer shall have the right, after receiving his compensation and expenses as provided herein, to use the residue of funds to first pay any bona fide liens necessary to give clear title to property sold.

Liens on the real estate are confirmed by the Seller(s) as follows:

<u>Does Not Apply</u>		
Lien holder	Address	Amount (If known)
<u>Does Not Apply</u>		
Lien holder	Address	Amount (If known)

Continuing Agency To Sell: ~~In the event the auction sale is not confirmed by Seller or for any reason the sale is not closed, Ford Brothers, Inc. shall be granted an exclusive 90 day listing in which to continue to offer the property for sale under the same terms and conditions as herein described at mutually agreeable prices.~~

Seller(s) warrant to Ford Brothers, Inc. that he/she is the owner of the above described property, or that he/she is authorized by the owner thereof to execute this agreement, and further warrants that he/she has full authority and right to transfer said property free and clear of all liens and encumbrances including, without limitation, unrecorded liens, tax liens, mechanic's and materialman's liens, and claims of creditor under any bulk sales law, except utility and roadway rights-of-way, zoning by government bodies, and current year property taxes and will deliver a General Warranty Deed to purchaser thereof when same is sold by Auctioneer.

The undersigned are the owners of, or is duly authorized to contract for the auction sale of the property described above and agrees to execute to the purchaser a Marketable Title free and clear of all liens and encumbrances.

We have read the entire contents of this contract and acknowledge receipt of same.
We are not relying on verbal statements not contained herein.

WITNESS THE HANDS of the contracting parties hereto, this 21st day of March, 20 19

FORD BROTHERS, INC.
By: _____ Sellers: _____
Title: _____ Sellers: _____

LIEN HOLDERS:

Sellers: _____

Sellers: _____
(Lien holder agreeing to Absolute Auction and
will release said property regardless of price).
Sellers: _____
Sellers: _____

Janurary Claims List

Check#	Vendor/Account	Amount	Type
3860	HLCWD Oper. & Maint. Fund	\$32,000.00	1030
3861	HLCWD Payroll Account	\$15,293.70	1035
3862	HLCWD Bond & Int. Sinking Fund 2000	\$1,404.67	1146
3863	HLCWD Escrow Fund	\$330.00	1110
3864	HLCWD Bond & Int. Sinking Fund 2012	\$10,695.00	1147
3865	HLCWD Bond & Int. Sinking Fund 1989	\$3,983.34	1140
3866	HLCWD Depreciation Fund 1991	\$1,600.00	1165
3867	HLCWD Depreciation Fund 1989	\$580.00	1160
3868	HLCWD Phase III Water Line Ext. Project	\$14,600.00	1613
101	Sisler-Maggard Eng.	\$14,600.00	1613
2206	Jewel Wilson	\$64.45	Escrow Checking
2207	Herman Wilson	\$65.00	Escrow Checking
2208	Nick Gay	\$30.34	Escrow Checking
2209	Chassidy Morgan	\$28.32	Escrow Checking
27649	C.I. Thornburg Co., Inc.	\$6,085.40	5120
27650	Utility Solutions LLC	\$519.19	5220
27651	McCoy & McCOy Labs Inc.	\$163.00	5340
27652	Endesol	\$5,839.80	5220
27653	Ky. Deferred Comp.	\$2,076.30	2045
27654	Double H Auto	\$35.00	5230
27655	Jamie S Bowling	\$93.15	5070
27656	Thomas E Baker	\$234.50	5250
27657	Ky. State Treasurer	\$1,908.36	2035
27658	Thomas E Baker	\$19.90	5220
27659	Ky. State Treasurer	\$504.95	2050
27660	Thomas E Baker	\$8.94	5220
27661	Leslie Co. Occup. Tax Amin.	\$456.65	2048
27662	Ky. State Treasurer	\$3,544.99	2070/2071/2072
27663	Hyden Citizens Bank	\$5,162.56	2040
27664	Cumberland Valley Electric	\$768.35	5110
27665	Postmaster	\$1,072.84	5410
27666	William Wooton	\$300.00	5540
27667	Augustus Roberts	\$500.00	5540
27668	Ronnie Gay	\$500.00	5540
27669	Timothy Helton	\$500.00	5540
27670	Todd Horton	\$500.00	5540
27671	Perry Co. Tire Inc.	\$2,542.52	5235
27672	Quill Corp.	\$252.17	5420
27673	Consolidated Pipe & Supply CO., Inc.	\$412.44	5220
27674	Larry J Turner	\$143.09	5070
27675	Jamie S Bowling	\$148.39	5070
27676	Clinton C Roberts	\$319.90	5070/5250
27677	Dana Campbell	\$150.18	5070
27678	Colonial Life	\$178.76	2046

3869	HLCWD Oper. & Maint. Fund	\$56,000.00	Transfer
3870	HLCWD Payroll Account	\$14,633.12	Transfer
27679	Hyden Citizens Bank	\$5,135.62	2040
27680	TDS	\$574.83	5430
27681	WIN -911	\$495.00	5550
27682	Hyden Shoes & Boots	\$150.00	5070
27683	Clarks Auto Parts	\$359.97	5130/5220/5220
27684	Larry J Turner	\$7.62	5410
27685	Continental Hydrodyne Systems, Inc.	\$174.29	5140
27686	McCoy & McCoy Labs, Inc.	\$261.00	5340
27687	RVS Software	\$1,499.00	5420
27688	sizemore's Service Center	\$44.95	5230
27689	Begley Lumber & Bldg. Supply	\$28.97	5220
27690	Ky. Deferred Comp.	\$2,000.55	2045
27691	Ky. Power Co.	\$31,142.66	5110
27692	C.I. Thornburg Co., Inc.	\$3,731.07	5120
27693	Rumpke	\$130.99	5440
27694	Larry J Turner	\$7.62	5410
27695	Pennington Tire Service	\$25.00	5230
27696	Horton Hardware	\$542.49	5220
27697	USA BlueBook	\$484.99	5140
27698	Consolidated Pipe & Supply Co., Inc.	\$4,369.94	5220
27699	Postmaster	\$225.00	5410
27700	Thomas E Baker	\$82.36	5220/5250
27701	Teamviewer	\$1,069.20	5140

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
January 2019

	Jan 19	Budget	YTD Budget	Annual Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	99,606.21	103,300.00	103,300.00	1,239,600.00
4020 · Metered Sales Commercial	18,136.01	20,450.00	20,450.00	245,400.00
4021 · Less Adjustment Residential	-1,731.92			
4022 · Less Adjustments Commercial	-8.10			
Total 4029 · Water Operating Revenues	116,002.20	123,750.00	123,750.00	1,485,000.00
Total Income	116,002.20	123,750.00	123,750.00	1,485,000.00
Gross Profit				
Expense				
5099 · Personnel				
5010 · Salaries and Wages	36,196.57	35,355.00	35,355.00	424,260.00
5011 · Over Time Wages	6,028.15	5,417.00	5,417.00	65,004.00
5013 · 401-K Retirement Wages	2,052.93	1,917.00	1,917.00	23,004.00
5015 · Capitalized Labor	59.99	334.00	334.00	4,008.00
5020 · Payroll Taxes	3,388.09	3,200.00	3,200.00	38,400.00
5060 · Employee Health Ins.	17,915.25	17,917.00	17,917.00	215,004.00
5070 · Uniform Expense	974.22	542.00	542.00	6,504.00
Total 5099 · Personnel	66,615.20	64,682.00	64,682.00	776,184.00
5199 · Plant				
5110 · Utilities	31,911.01	24,150.00	24,150.00	289,800.00
5120 · Chemicals	9,816.47	7,500.00	7,500.00	90,000.00
5130 · Repair and Maintenance / Plant	30.98	1,000.00	1,000.00	12,000.00
5140 · Operating Supplies	1,728.48	415.00	415.00	4,980.00
5150 · Tools	0.00	205.00	205.00	2,460.00
5160 · Water Withdrawal Fee	0.00	730.00	730.00	8,760.00
5165 · Back-up Generator Maint.	0.00	250.00	250.00	3,000.00
5170 · Misc. Plant Expense	0.00	125.00	125.00	1,500.00
Total 5199 · Plant	43,486.94	34,375.00	34,375.00	412,500.00
5299 · Field Operations				
5220 · Repair and Maintenance / Field	11,402.95	11,000.00	11,000.00	132,000.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
January 2019

	Jan 19	Budget	YTD Budget	Annual Budget
5230 · Vehicle / Repair and Maint.	104.95	1,420.00	1,420.00	17,040.00
5235 · Equipment Repair and Maint.	2,542.52	417.00	417.00	5,004.00
5240 · Gas and Diesel	0.00	1,750.00	1,750.00	21,000.00
5250 · Tools	393.37	416.00	416.00	4,992.00
Total 5299 · Field Operations	14,443.79	15,003.00	15,003.00	180,036.00
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	0.00	0.00	13,500.00
5320 · Meter Testing	0.00	300.00	300.00	3,600.00
5340 · Water Analysis	424.00	917.00	917.00	11,004.00
5360 · Electrical Maintenance	0.00	200.00	200.00	2,400.00
5370 · Communication Maintenance	0.00	125.00	125.00	1,500.00
Total 5399 · Contractual Services	424.00	1,542.00	1,542.00	32,004.00
5499 · Office Expenses				
5410 · Postage	1,465.33	1,400.00	1,400.00	16,800.00
5420 · Office Supplies	1,798.37	667.00	667.00	8,004.00
5430 · Telephone Expense	574.83	542.00	542.00	6,504.00
5440 · Sanitation Pick-up Services	130.99	125.00	125.00	1,500.00
5450 · Computer Repair and Maintenance	0.00	125.00	125.00	1,500.00
5490 · Other Office Expenses	0.00	0.00	0.00	0.00
Total 5499 · Office Expenses	3,969.52	2,859.00	2,859.00	34,308.00
5599 · Administrative				
5510 · PSC Assessment	0.00	0.00	0.00	3,300.00
5520 · Insurance-General	4,408.94	4,334.00	4,334.00	52,008.00
5530 · Bad Debts	2,122.98	1,334.00	1,334.00	16,008.00
5540 · Board Fees	2,300.00	2,800.00	2,800.00	33,600.00
5550 · Fees and Dues	529.95	325.00	325.00	3,900.00
5560 · Publication Expense	0.00	80.00	80.00	960.00
5570 · Certification Training Expenses	0.00	400.00	400.00	4,800.00
5590 · Other Administrative Exp.	0.00	300.00	300.00	3,600.00
Total 5599 · Administrative	9,361.87	9,573.00	9,573.00	118,176.00
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWF/C (1989)	1,066.67	1,030.00	1,030.00	12,360.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
January 2019

	Jan 19	Budget	YTD Budget	Annual Budget
5626 · Interest Expense RD (2000)	888.00	875.00	875.00	10,500.00
5628 · Interest Exp. RD Series A 2012	3,220.31	3,167.00	3,167.00	38,004.00
5629 · Interest Exp. RD Series B 2012	3,349.69	3,350.00	3,350.00	40,200.00
5630 · Interest Exp. Customer Dep.	1.79	5.00	5.00	60.00
5640 · Depreciation Expense	70,851.00	71,585.00	71,585.00	859,020.00
Total 5699 · Interest and Depreciation Exp.	79,377.46	80,012.00	80,012.00	960,144.00
66000 · Payroll Expenses	0.00			
Total Expense	217,678.78	208,046.00	208,046.00	2,513,352.00
Net Ordinary Income	-101,676.58	-84,296.00	-84,296.00	-1,028,352.00
Other Income/Expense				
Other Income				
4199 · Other Income				
4030 · Service Charges	582.00	334.00	334.00	4,008.00
4040 · Late Payment Penalties	3,726.35	3,750.00	3,750.00	45,000.00
4090 · Miscellaneous Revenues	203.06	34.00	34.00	408.00
4110 · Interest Income	3,892.81	42.00	42.00	504.00
4199 · Other Income - Other	8,758.88			
Total 4199 · Other Income	17,163.10	4,160.00	4,160.00	49,920.00
Total Other Income	17,163.10	4,160.00	4,160.00	49,920.00
Net Other Income	17,163.10	4,160.00	4,160.00	49,920.00
Net Income	-84,513.48	-80,136.00	-80,136.00	-978,432.00
Net Income Less Depreciation	-5,136.02	-124.00	-124.00	-18,288.00
Payables				
Principle expense				
1989 Bonds KRWFC Payable	\$ 2,916.67	\$ 2,916.67	#	
2000 Bonds Payable	\$ 541.00	\$ 516.67	#	
2012 A Bonds Payable	\$ 1,266.66	\$ 1,166.67	#	
2012 B Bonds Payable	\$ 2,566.66	\$ 2,958.33	#	
Sub-Total Bonds Payable	\$ 7,290.99	\$ 7,290.99	#	
Net Position	-\$12,427.01	-\$7,414.99		

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on March 28, 2019 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Judy Farmer
3. Public Comment: Judy Farmer stated she lives on Stinnett Wendover Rd. and is questioning when they will be able to get city water. Mr. Maggard explained to her the process of receiving project funding and how long is expected for it to begin.
4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by William Wooton. The motion carried unanimously.
5. Phase III System Improvements: Mr. Maggard stated we received RD and the next step is to schedule sign ups. Mr. Maggard presented invoice #14014-6 for Sisler-Maggard Engineering for some of the preliminary design in the amount of \$5760.00. A motion was made by William Horton to approve the pay request. Motion was seconded by Ronnie Gay. Motion carried unanimously.
6. Water Line Relocation / Wooton Bridge: Mr. Maggard stated that the contractor had not responded to our request so the retainage will go to the Water District to assist in finishing the project clean up.
7. Stinnett Water Line: Mr. Maggard says we had 2 companies bid on the project and they expect the project to begin in about 6 months.

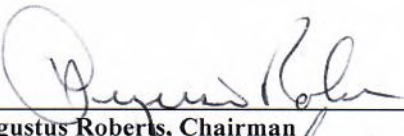
8. Hospital Hill System Improvements: Mr. Maggard stated they are waiting on a few minor things to finish up. Mr. Maggard presented invoice #17006-10 in the amount of \$1638.75. A motion was made by Timothy Helton to approve the pay request. Motion was seconded by William Horton. Motion carried unanimously.
9. Other Projects/System Issues/Operations Report: Mr. Maggard states the we have another line relocate on Maggard's Branch off Hwy 699. He says it should be included in the bidding with the Hwy Dept. job. Mr. Maggard stated the designs are finished and are being reviewed.

Mr. Turner reported that 26 leaks had been repaired and water loss was 23%.

10. PSC Case 2019-00041: Mr. Turner gave each commissioner a copy of PSC Case 2019-00041. He explained to them that it was to investigate utilities with over 35% water loss. He presented a Water Loss history from 2011 to Feb of 2019. The report reflects that each year the annual water loss average exceeds 30%.
11. Legal Services for PSC Case: Mr. Turner stated that PSC requires that we have appearance of legal counsel no later than 4/05/2019. A motion was made by William Wooton to hire Attorney Gerald Wuetcher with Stoll, Keenon and Ogden. Motion was seconded by Ronnie Gay. Motion carried unanimously.
12. Insurance Claim: Mr. Turner stated KACO will not cover the damages to the Wilder Branch Pump Station because it is located in a flood plain.
13. Claims List: The claims list was reviewed. William Horton made the motion to approve the claims list. The motion was seconded by Augustus Roberts. Motion carried unanimously.
14. Financial Report: The financial report was reviewed. A motion was made by Timothy Helton to approve the financial report. The motion was seconded by William Horton. Motion carried unanimously.
15. Other New Business: Mr. Turner says the auditors finished up last week.

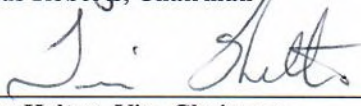
Mr. Turner states that the frame on the work truck he was driving broke and is non repairable. He requested to purchase a truck for \$6,000 or less from State Surplus. A motion was made by Augustus Roberts to approve the purchase of a truck for \$6,000 or less. Motion was seconded by William Horton. Motion carried unanimously.
16. Executive Session: None.
17. Adjournment: Motion was made to adjourn by Augustus Roberts. Motion was seconded by Timothy Helton. Motion carried unanimously.

Approval of Minutes:



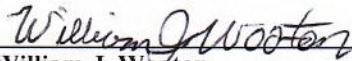
Augustus Roberts, Chairman

4-24-19
Date



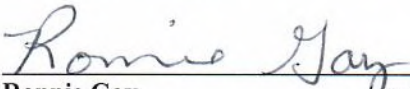
Timothy Helton, Vice Chairman

4-24-19
Date



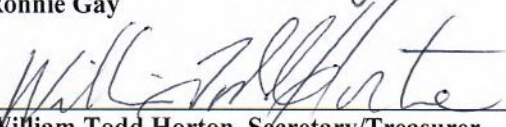
William J. Wooton

4-24-19
Date



Ronnie Gay

4-24-19
Date



William Todd Horton, Secretary/Treasurer

4-24-19
Date

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 EAST REYNOLDS ROAD SUITE A3
 LEXINGTON, KY 40517
 (859) 271-2978
 Fax (859) 271-5670

DATE: March 28, 2019
 INVOICE NO. 14014-6
 Sheet 2 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
 ATTN: LJ Turner, MANAGER
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table II = Estimated Construction Cost= \$3,648,000.00

\$3,648,000.00 x 3.81% = \$157,000.00 Estimated

C4.01 RESIDENT INSPECTION

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
C4.01 SPECIFIC ADD'L SRVS.					
1. Environmental	\$10,000.00	100.00%	\$10,000.00	\$10,000.00	\$0.00
2. Surveys	\$10,000.00	10.00%	\$1,000.00	\$1,000.00	\$0.00
3. Permits	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
4. Easements Asst.	\$10,000.00	10.00%	\$1,000.00	\$1,000.00	\$0.00
5. PSC Assistance	\$5,000.00	0.00%	\$0.00	\$0.00	\$0.00
6. Geotechnical	\$15,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 SPEC. ADD'L	\$60,000.00	20.00%	\$12,000.00	\$12,000.00	\$0.00
TOTAL CONTRACT PHASE II	\$483,000.00	8.45%	\$40,800.00	\$35,040.00	\$5,760.00
INVOICES NOT PAID					\$0.00
TOTAL NOW DUE					\$5,760.00

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
20 East Reynolds Road Suite A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: March 28, 2019
INVOICE NO. 14014-6
Sheet 1 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES


ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table 1 = Estimated Construction Cost= 3,648,000.00

\$3,468,000.00 x 7.013% = = 256,000.00 Estimated

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
<u>C4.01.3.b STUDY & REPORT PHASE</u>					
A. P.E.R.	\$10,000.00	100%	\$10,000.00	\$10,000.00	\$0.00
TOTAL C4.01.3.b.A	\$10,000.00	0%	\$0.00	\$0.00	\$0.00
<u>C4.01.3.b ONE CONTRACT</u>					
B. Preliminary Design - 15%	\$38,400.00	75.00%	\$28,800.00	\$23,040.00	\$5,760.00
C. Final Design - 55%	\$140,800.00	0.00%	\$0.00	\$0.00	\$0.00
D. Bidding & Negotiating - 10%	\$25,600.00	0.00%	\$0.00	\$0.00	\$0.00
E. Construction Phase - 15%	\$38,400.00	0.00%	\$0.00	\$0.00	\$0.00
F. Closeout - 5%	\$12,800.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01.3.b.:B thru F	\$256,000.00	11.25%	\$28,800.00	\$23,040.00	\$5,760.00
TOTAL FOR BASIC DESIGN	\$266,000.00	10.83%	\$28,800.00	\$23,040.00	\$5,760.00


 HYDEN - LESLIE COUNTY WATER DISTRICT 3-28-19
 DATE

DATE 4/12/19

Hyden Leslie Co Water Dist Phase III Water Line Ext. Project
Two thousand seven hundred sixty and 00/100

Deposit

Augusta Rahn

HYDEN CITIZENS CBANK

DATE 4-12-19

AMOUNT \$ 5760.00

SUB TOTAL \$ 5760.00

LESS CASH RECEIVED

\$ 5760.00

Hyden Leslie Co Water Dist
Phase III Water Line Ext Project
356 Wendover Rd
Hyden, KY 41749

DATE 4-12-19

PAY TO THE ORDER OF Sister-Margaret Engineering, P.C. \$ 5760.00

Two thousand seven hundred sixty and 00/100

HYDEN CITIZENS CBANK

MEMO Inv # 14014-6

Augusta Rahn

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40523-3780
(859) 271-2978
Fax (859) 271-5670

DATE: February 28, 2019
INVOICE NO. 17006-10
Sheet 1 of 2

SERVICE FOR:
HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:
HYDEN - LESLIE COUNTY WATER DISTRICT
Hospital Hill Water Line Repair and Replacement


CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES
ARTICLE 4- PAYMENTS TO THE ENGINEER

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
Phase A Plans & Specs					
A. Final Design	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
TOTAL	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
TOTAL FOR BASIC DESIGN	\$18,875.00	100.00%	\$18,875.00	\$18,875.00	\$0.00
Phase B Bid Proposal					
A. Bidding and Negotiating	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
TOTAL	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
TOTAL FOR BIDDING	\$2,500.00	100.00%	\$2,500.00	\$2,500.00	\$0.00
Phase C Right of Way Easements					
A. Right of Way	\$3,000.00	100.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00
TOTAL FOR RIGHT OF WAY	\$3,000.00	0.00%	\$3,000.00	\$3,000.00	\$0.00


 HYDEN LESLIE COUNTY WATER DISTRICT

3-28-19
 DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 220 East Reynolds Road Suite A3
 LEXINGTON, KY 40523-3780
 (859) 271-2978
 Fax (859) 271-5670

DATE: February 28, 2019
 INVOICE NO. 17006-10
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT

ATTN: AUGUSTUS ROBERTS, CHAIRMAN
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 Hospital Hill Water Line Repair and Replacement

CONTRACT:

DESCRIPTION OF WORK

Phase D Construction admin

A. Construction Admin

TOTAL

\$7,125.00	100.00%	\$7,125.00	\$6,768.75	\$356.25
<u>\$7,125.00</u>	<u>100.00%</u>	<u>\$7,125.00</u>	<u>\$6,768.75</u>	<u>\$356.25</u>

TOTAL FOR CONSTRUCTION ADM

\$7,125.00	100.00%	\$7,125.00	\$6,768.75	<u>\$356.25</u>
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Phase E Construction Insp

A. Construction Insp

TOTAL

\$25,650.00	100.00%	\$25,650.00	\$24,367.50	\$1,282.50
<u>\$25,650.00</u>	<u>100.00%</u>	<u>\$25,650.00</u>	<u>\$24,367.50</u>	<u>\$1,282.50</u>

TOTAL FOR CONSTRUCTION INSP

\$25,650.00	100.00%	\$25,650.00	\$24,367.50	<u>\$1,282.50</u>
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TOTAL CONTRACT PHASE II

<u>\$57,150.00</u>	<u>100.00%</u>	<u>\$57,150.00</u>	<u>\$36,636.25</u>	<u>\$1,638.75</u>
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INVOICES NOT PAID

<u>\$0.00</u>

TOTAL NOW DUE

\$1,638.75

Hyden-Leslie Water District
Operation & Maintenance Fund
PO Box 906
Hyden, KY 41749

517
421

27842


PAY TO THE
ORDER OF

Sisler-Maggard Engineering, P.C.

DATE 4/2/19

\$ 1638.75

One thousand six hundred thirty eight and 75/100

DOLLARS  Security features included. Details on back.

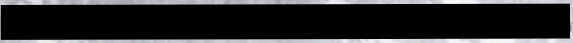
**HYDEN
CITIZENS
CBBANK**

23025 Main Street - Hyden, KY 41749
800 475-2344 - Member FDIC

MEMO

Inv. # 17006-1a

Augusta Rhee



7 1 7 5 11

Lang

ENGINEERING SERVICE CONTRACT

THIS AGREEMENT, made and entered into this the **14th** day of **March**, 2019,
between **Hyden – Leslie County Water District**, (hereinafter called the “Owner”), and
Sisler – Maggard Engineering, PLLC, (hereinafter called the “Engineer”).

WITNESSETH:

That for and in consideration of the mutual covenants and agreements hereinafter set forth, the parties hereto agree and contract as follows:

ARTICLE I

GENERAL OBLIGATIONS

1. The Engineer agrees to perform for the Owner, various engineering services in accordance with the requirements of the Owner at such times and places within the Commonwealth of Kentucky, as the Owner may specify.

2. The Engineer shall render diligently and competently all engineering services which shall be necessary or advisable for the expeditious, economical and sound design duties and obligations to be performed by the Engineer hereunder shall not be constructed to limit the general undertaking of the Engineer.

3. The Engineer shall furnish, employ and have exclusive Control of all persons to be engaged in or about the services performed under this agreement; and shall prescribe and control the means and methods of performing such services by adequate and proper supervision.

All persons employed by the Engineer in and about the performance of any such services shall be agents, servant or employees of the Engineer, and neither the Engineer nor any of such agents, servants or employees shall be deemed to be agents, servants or employees of the Owner for any purpose whatsoever; the Engineer being, and at all times acting as, an independent contractor hereunder, and being responsible as an independent contractor to the Owner.

4. The Engineer agrees to furnish all labor, supervision, transportation, engineering tools and equipment and the usual office supplies necessary or desirable for the full and satisfactory performance of the services hereunder.

5. All drawings, plans, specifications and other engineering matters required to be submitted by the Engineer under this agreement shall conform to the applicable practices and instructions as furnished to the Engineer by the Owner.

6. All drawings, plans, specifications and other engineering matters related to the services rendered hereunder shall be the sole property of the Owner whether or not the work is to be executed, and shall be delivered to the Owner by the Engineer upon request.

7. All work performed under this agreement shall be done in a thorough and workman like manner and in accordance with the latest applicable Industry Code, Local and State laws, rules, regulations and orders or regulatory bodies having jurisdiction, and current Owner practices. All work in the field shall be staked and properly marked by the Engineer.

8. The Engineer shall be responsible for coordinating the work hereunder with other utility companies or municipalities where such coordination is necessary.

9. The Engineer shall furnish to the Owner all engineering information, data and drawings required for procuring all necessary or desirable permits, licenses, agreements with respect to crossing of navigable streams and railroads, and with respect to paralleling of crossing

of State Highways, and with respect to crossings of or encroachments on private property.

10. The Engineer and the Owner shall comply with all applicable statutes pertaining to engineering and the Engineer warrants that he possesses license number 6324 issued to him for the practice of Civil Engineering by the Commonwealth of Kentucky on the 13th day of July, 1966.

ARTICLE II

AUTHORIZATION OF ENGINEERING SERVICES

1. The Owner will authorize the Engineer to perform engineering services covered by this agreement in advance by means of a Work Order Form or letter of authorization.
2. The Engineer shall have the right to determine the sequence of performing his work pursuant to authorization provided, however, that he shall meet the delivery schedule as set forth by the Work Order.
3. The Owner shall only have the right to cancel or defer the engineering services authorized by this agreement. Advice of cancellation or deferment may be given by telephone.
4. The Owner and the Engineer agree that this contract will not take effect until and unless the terms, conditions and proposed fees have been reviewed and approved in writing by a representative of the Kentucky Department of Highways with the responsibility and authority for making such approval. In the event this contract is not approved by the Department of Highways the Engineer is under no obligation to perform any work under this agreement and the Owner shall not be liable for payment of any fee whatsoever.

ARTICLE III
COMPENSATION

1. The Owner will pay the Engineer, as full compensation for services rendered hereunder, in accordance with Appendix "A" attached hereto and made a part hereof, which sets forth the current Classifications and Rates of the Engineers and services to be provided. Appendix "A" may be revised by mutual agreement of the Owner and the Engineer.

2. The foregoing compensation shall include the use of all drafting instruments, surveyor's transit, equipment needed for the field measurement of angles and distances as well on any computer equipment or office equipment.

3. Corrections necessary to comply with instructions and practices furnished to the Engineer in accordance with Article I, Paragraph 6 shall be made by the Engineer without additional compensation.

4. In the event there is a major change in the scope, character, or complexity of the work to be performed by the Owner, the compensation payable to the Engineer for additional service performed by the Engineer, if any, pursuant to such change shall be determined on the basis of the rates set forth in Appendix "A" and mutually agreed to by the Owner and the Engineer prior to effecting the change.

5. The Engineer shall keep and maintain such records, accounts, books, documents, papers, invoices and other materials necessary to determine proper billing to the Owner under this agreement and shall make available to the Owner for inspection upon its request.

ARTICLE IV
SPECIAL PROVISIONS-HIGHWAYS RELOCATION WORK

In the event the Owner procures the Engineer to perform certain engineering services in conjunction with the relocation of its plant facilities on State & Federal Aid Highway projects, the following conditions shall apply to all work performed in connection herewith.

1. The Engineer shall maintain all books, documents, papers, accounting records

and other materials pertaining to the costs incurred on such projects and shall make such material available to the Department and/or Federal Highway Administration upon request. the Engineer further agrees to maintain such records for a period of three (3) years after the date of final payment from the Department of Highways to the Owner.

2. The scope of work shall be defined in writing on each highway project and the estimated cost of each project shall be computed by multiplying the rates outlined in Appendix "A" by the estimated time required to perform the work.

3. The Engineer shall become familiar with the AASHTO Policy on the Accommodation of Utilities and with the provisions set forth in the State's accommodation policies and procedures and supplements and amendments thereto.

4. The maximum amount payable on any highway project will not exceed the estimated cost unless there is a substantial change in the scope, complexity or character of the work performed.

IN WITNESS WHEREOF, the parties have caused this Agreement to be duly executed.

ATTESTED :

Michael K. Maggard

Michael K. Maggard, V.P.

Sisler-Maggard Engineering, PLLC

By: *Joseph F. Sisler*
Joseph F. Sisler, P.E., President

ATTESTED:

Larry J. Turner., Manager

Hyden - Leslie County Water District

By: *Augustus Roberts*

Augustus Roberts, Chairperson

CERTIFICATION OF CONSULTANT

I hereby certify that I am the President and duly authorized representative of the firm of Sisler-Maggard Engineering, PLLC, whose address is 220 East Reynolds Road, Suite A-3, Lexington, Kentucky 40517.

That, except as expressly stated and described herein, neither I nor the firm of Sisler-Maggard Engineering, PLLC, has, in connection with its contract with Nicholas County Water District entered into pursuant to provisions of an agreement between the aforementioned utility and the Commonwealth of Kentucky, as part of KY 699 **Bridge Replacement Over Maggards Branch Creek Item No. 11-10028.00 Leslie County.**

(a) employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm, company, or person, other than a bona-fide employee working solely for me or the aforementioned firm, to solicit or secure the contract, or

(b) agreed, as an express or implied condition for obtaining the award of the contract, to employ or retain the services of any firm, company, or person in connection with the carrying out of the contract, or

(c) paid, or agreed to pay, to any firm, company, organization or person, other than a bona-fide employee working solely for me to the aforementioned firm, any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract.


Neither I nor the principal members of my firm are officers or employees of the utility company nor do we exercise control over the operation of the utility company.

(Statement and explanation of exceptions, if any)

None

I acknowledge that this certificate is to be furnished to the Department of Highways and the Federal Highway Administration, in connection with the aforementioned project involving participation of Federal-Aid Highway funds, and is subject to applicable State and federal Laws, both criminal and civil.

I certify that the principal members of the Engineering Firm of :
Sisler-Maggard Engineering, PLLC, are not officers or employees of Hyden – Leslie County
Water District and do not exercise control over the operation of this utility company.



Signature: Joseph F. Sisler, P.E.

March 14, 2019

Date:

President

Title:

APPENDIX D

PROJECT:

Relocation of Waterlines & Appurtenances
KY 699 Bridge Over Maggards Branch
Item No. 11-10028.00 Maggards Branch Bridge Leslie County

OWNER:

Hyden – Leslie County Water District

CONSULTANT/ENGINEER:

Sisler-Maggard Engineering, PLLC.

By signature on this agreement the Consultant being duly sworn, hereby certifies that, except as noted below, the Consultant or any person associated therein in the capacity of owner, partner, director, officer, project manager, auditor, or any person involving the administration of Federal Funds:
is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any Federal Agency:
is not currently under suspension, debarment, voluntarily exclusion or determination ineligibility by any Federal Agency within the past three (3) years;
does not have a proposed debarment pending; and has not been indicted, convicted, or had a civil judgment rendered against the Consultant by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years:

EXCEPTIONS

None

Exceptions will not necessarily result in denial of selection, but will be considered in determining Consultant responsibility. For any exception noted, indicate below to whom it applies, initiating agency, and dates of action. Providing false information may result in criminal prosecution or administrative sanctions.

None

PUBLIC SERVICE COMMISSION

Monthly Water Loss Report

Water Utility:

For the Month of: Year:

LINE #	ITEM	GALLONS (Omit 000's)	
1	WATER PRODUCED, PURCHASED & DISTRIBUTED		
2	Water Produced	28,100	
3	Water Purchased		
4	TOTAL PRODUCED AND PURCHASED	28,100	
5			
6	WATER SALES		
7	Residential	12,497	
8	Commercial	2,503	
9	Industrial		
10	Bulk Loading Stations		
11	Wholesale		
12	Other Sales		
13	TOTAL WATER SALES	15,000	53.4%
14			
15	OTHER WATER USED		
16	Utility and/or Water Treatment Plant	6,289	
17	Wastewater Plant		
18	System Flushing	270	
19	Fire Department		
20	Other		
21	TOTAL OTHER WATER USED	6,559	23.3%
22			
23	WATER LOSS		
24	Tank Overflows		
25	Line Breaks	2,806	
26	Line Leaks	3,735	
27	Other		
28	TOTAL LINE LOSS	6,541	23.3%
29			
30	Note: Line 13 + Line 21 + Line 28 Must Equal Line 4		
31			
32	WATER LOSS PERCENTAGE		
33	Unaccounted-For Water (Line 28 divided by Line 4)	23.3%	



GERALD WUETCHER
DIRECT DIAL: (859) 231-3017
DIRECT FAX: (859) 258-3517
gerald.wuetcher@skofirm.com

500 WEST JEFFERSON STREET
SUITE 2000
LOUISVILLE, KY 40202-2828
MAIN: (502) 333-6000
FAX: (502) 333-6099

April 2, 2019

Mr. L.J. Turner
General Manager
Hyden-Leslie County Water District
356 Wendover Road
Hyden, KY 41749

Re: Hyden-Leslie County Water District; Engagement for Legal Services

Dear Mr. Turner:

Stoll Keenon Ogden PLLC ("SKO") is pleased to provide legal representation to Hyden-Leslie County Water District ("Hyden-Leslie District"). This letter sets forth the basic terms of the engagement.

Client; Scope of Representation. The client in this matter will be Hyden-Leslie District. Though SKO may communicate with you or others about this representation, our only client in this representation is Hyden-Leslie District. SKO also will not be deemed to represent any officer, director, agent or employee of Hyden-Leslie District by virtue of our representation of Hyden-Leslie District Water unless we separately agree to such representation.

SKO is engaged to represent Hyden-Leslie District before the Kentucky Public Service Commission in Case No. 2019-00041, an investigation of Hyden-Leslie District's water loss control practices. SKO will provide strictly legal services to Hyden-Leslie District.

Hyden-Leslie District may expand the scope of this representation, provided that any substantial expansion must be agreed to by SKO. If the scope of the engagement changes, the terms set out in this letter will apply to the expanded engagement unless we enter into a further agreement modifying or superseding this one.

Responsible Attorneys. I will serve as the attorney primarily responsible for representing Hyden-Leslie District's interests in this representation. It is possible that additional attorneys or paralegals will also work on these matters as well. Any significant use of other SKO personnel, however, would be made only after consultation with Hyden-Leslie District. My standard billing is \$335 per hour. I will provide a 25 percent discount on that rate to Hyden-Leslie District, in recognition of the size of Hyden-Leslie District's operations, which

Mr. L.J. Turner
April 2, 2019
Page 2

equates to an hourly rate of \$250 for my services. The 25 percent discount will also apply to the standard hourly rates of other attorneys in our firm.

Terms of Engagement. *"Stoll Keenon Ogden PLLC Standard Terms and Conditions of Representation"* will govern the representation and is incorporated by reference into this letter. A copy is enclosed.

Conflicts and Advance Waiver. SKO has searched its conflicts checking data base system to identify any matter that currently presents a conflict of interest preventing it from representing Hyden-Leslie District and has found none.

Please note that SKO represents many other clients, including Kentucky-American Water Company and its parent company American Water Works Company. It is possible that, while SKO is representing Hyden-Leslie District, some of SKO's present or future clients may (a) be direct competitors of Hyden-Leslie District or otherwise may have business interests that are contrary to Hyden-Leslie District's interests, or (b) seek to engage SKO in connection with an actual or potential transaction, pending or potential litigation, pending or potential regulatory matter, or other dispute resolution proceeding in which such client's interests are, or potentially may become, adverse to Hyden-Leslie District's interests.

SKO therefore requests Hyden-Leslie District's advance consent to SKO's representation of any existing or new clients (including, but not limited to, Kentucky-American Water Company and/or American Water Works Company) in any matter (transactional, litigation, regulatory or otherwise) adverse to Hyden-Leslie District. (If the new matter adverse to Hyden-Leslie District is such that SKO attorneys and staff members who are actively participating in the representation of Hyden-Leslie District are likely to have obtained proprietary or other confidential information from Hyden-Leslie District of a non-public nature, that, if known to the other client, could be detrimental to Hyden-Leslie District's position, SKO affirmatively agrees to, and Hyden-Leslie District's advance consent is conditioned upon, SKO erecting timely screening procedures to shield such information from the SKO attorneys and staff members representing the other client in the new matter, and to prevent SKO attorneys and staff members actively involved in the representation of Hyden-Leslie District from participating in the new matter.)

In so agreeing to waive any and all conflicts as set forth above, Hyden-Leslie District will be waiving any conflict of interest that exists or might be asserted to exist that might preclude, challenge or otherwise disqualify SKO in any representation of any other client with respect to any such matter. In similar engagement letters with other new clients, SKO will request similar agreements to preserve its ability to represent Hyden-Leslie District.

By signing below or sending a confirming e-mail message, Hyden-Leslie District evidences its consent to (a) the advance waiver of conflicts of interest, as set forth in the

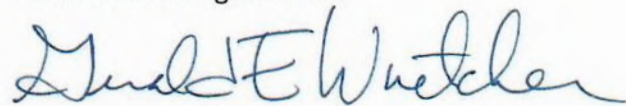
Mr. L.J. Turner
April 2, 2019
Page 3

preceding paragraphs; and (b) SKO's disclosure of Hyden-Leslie District's willingness to waive, in advance, the conflicts of interest.

Thank you again for the opportunity to provide legal services and representation to Hyden-Leslie District. It will be my privilege to represent Hyden-Leslie District. If you have any questions, please call me at (859) 231-3017 (office) or (859) 550-3894 (cell).

Very truly yours,

Stoll Keenon Ogden PLLC

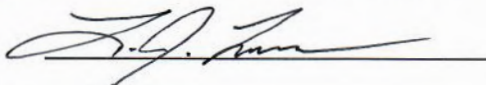


Gerald E. Wuetcher

GEW
Enclosure

Agreed and accepted:

HYDEN-LESLIE COUNTY WATER DISTRICT

By: 

Title: Manager

Stoll Keenon Ogden PLLC ("SKO") Standard Terms and Conditions of Representation

REPRESENTATION FEES. SKO's fees are set by agreement with the Client. There are a number of possible fee arrangements, including hourly rate billing, a flat fee or a contingent fee agreement, and mixed or hybrid billing arrangements. Unless otherwise agreed, the fee arrangement will be an hourly rate billing. Any different arrangement must be set forth in writing and signed by all of the parties, including SKO.

For hourly rate billing, SKO's fees are based on the amount of time spent on behalf of the Client, measured in tenths of an hour. Unless otherwise agreed, the Client will be charged SKO's standard hourly rates, which currently range for 2018 from \$235 an hour for new associates to \$520 an hour for senior members. Rates for SKO's paralegals currently range from \$125 to \$215 an hour. SKO's billing rates are adjusted from time to time, usually on an annual basis in January, and the rates on this representation will increase accordingly, unless the Client and SKO agree otherwise.

ATTORNEY CONFERENCES AND MEETINGS. Internal conferences sometimes take place among professionals who are participating in the representation of the Client. It is SKO's belief that this practice facilitates communication, improves the quality of the work by allowing SKO to utilize specialists and a proper mix of personnel, and thus ultimately provides the Client with the best value and the most effective legal representation. If, at any time, the Client is concerned about the efficiency and the cost-effectiveness of SKO's efforts, please express those concerns promptly so that they can be addressed in a timely fashion.

COSTS AND CLIENT RESPONSIBILITIES. SKO typically incurs costs in connection with legal representations. These costs may include reasonable out of pocket expenses such as long distance telephone charges, special postage, delivery charges, telecopy and photocopy charges, travel expenses, meals, and use of other service providers, such as printers or experts. In litigation matters (if the representation involves or requires litigation), the costs will also include filing fees, deposition costs, process server fees, and witness fees. Filing fees may also be incurred in transaction representations. Fees and expenses of others (such as court reporters, investigators, consultants or experts) will be billed directly to the Client.

SKO has a flat-rate contract with on-line legal research service provider LexisNexis. Under that contract, SKO pays a discounted amount for unlimited monthly usage of a number of LexisNexis databases within the plan. SKO also pays discounted per-search amounts for any searches on databases outside the plan. To pass along the approximated discount to its clients, SKO estimates the overall percentage discount and reduces each client's daily LexisNexis search charges by that percentage. The LexisNexis search charges appearing on the Client's invoice will reflect the application of that percentage discount. SKO reviews the estimated percentage discount annually and adjusts the percentage accordingly for the coming year.

Except for specialized word processing services, SKO normally does not charge separately for secretarial work unless there is a situation that requires overtime staff work.

SKO will normally send monthly statements for work performed and expenses recorded during the previous month. Payment is due promptly upon receipt of the statement. If any statement remains unpaid, SKO may suspend performing services for the Client until satisfactory arrangements have been made for payment of outstanding statements and the payment of future fees and expenses.

The fees and costs relating to SKO's representation of the Client in the Matter, as defined in the Engagement Letter, are not predictable. Accordingly, SKO has made no commitment concerning the maximum fees and costs that will be necessary to resolve or complete the Matter; however, consistent with SKO's commitment to client service, SKO will strive to handle the representation efficiently.

The Client agrees to pay SKO's statements for services and expenses as provided above. In addition, the Client agrees to be candid and cooperative with SKO and will keep SKO informed with complete and accurate factual information, documents, and other communications relevant to the subject matter of the representation or otherwise reasonably requested by SKO. Because it is important that SKO be able to contact the Client at all times in order to consult with the Client regarding this representation, the Client will inform SKO, in writing, of any changes in the name, address, telephone number, contact person, e-mail address, state of incorporation, or other relevant changes regarding the Client or the Client's business. Whenever SKO needs the Client's instructions or

authorization in order to proceed with legal work on behalf of the Client, SKO will contact the Client at the latest address, telephone number or email address SKO has received from the Client.

ADVICE ABOUT POSSIBLE OUTCOMES. At the beginning of, or during, the representation, SKO may express opinions or beliefs concerning the possible outcomes, or various courses of action, and the results that might be anticipated. Any such statement is intended to be an expression of opinion only, based on information available to SKO at the time, and should not be construed by the Client as a promise or guarantee.

TERMINATION OF REPRESENTATION. The Client may terminate SKO's representation at any time by notifying SKO. Likewise, SKO may withdraw from the representation if the Client fails to fulfill any obligation under this agreement, including the Client's obligation to pay SKO's fees and expenses; as permitted or required under the applicable Rules of Professional Conduct or other rules of court; or upon SKO's reasonable notice to the Client.

Termination of SKO's services will not affect the Client's responsibility for payment of outstanding statements and for payment of fees and expenses incurred before termination or incurred thereafter in connection with an orderly transition of the matter. If such termination occurs, the Client's papers and property will be promptly returned or forwarded as directed. (SKO reserves the right to make and retain a copy of those materials.) SKO will not provide any uncompensated-for work product, and SKO will not provide internal SKO files pertaining to the representation, to the extent permitted by the applicable Rules of Professional Conduct. Documents relating to the representation that SKO retains will be maintained consistent with SKO's Document Retention and Destruction Policy, and will be destroyed without further notice to the Client after the applicable time period has passed unless the Client and SKO agree to a specific retention schedule.

Unless previously terminated, SKO's representation of the Client will terminate upon the sending of the final statement for services rendered in connection with the representation.

POST-ENGAGEMENT MATTERS. Presently, the Client is engaging SKO to provide legal services in connection with a specific matter. After the conclusion of any specific matter, changes may occur in the applicable laws or regulations that could have an impact upon the Client's future rights and liabilities. Unless the Client engages SKO after conclusion of the specific matter to provide additional advice on issues arising from that matter, SKO has no continuing obligation to advise the Client with respect to future legal developments.

From time to time, SKO communicates with current and former clients about items that may be of interest. By signing the Master Engagement Letter, the Client acknowledges a desire to receive such periodic information from SKO. If the Client does not wish to receive such materials, or wishes to stop receiving such materials, SKO simply asks that the Client let SKO know and SKO will promptly comply with the Client's request. Receipt of such items shall not be considered evidence of an ongoing attorney-client relationship.

PRODUCTION BY SKO. If SKO is requested or authorized by the Client, or required by government regulation, subpoena, or other process, to produce SKO's working papers or files, or to produce SKO personnel as witnesses, with respect to SKO's representation of the Client, the Client agrees to reimburse SKO for SKO's own professional time and expenses (including fees and expenses of SKO's retained counsel) incurred in responding to such request or authorization. The Client's commitment to reimburse SKO will not apply if SKO is a party to the proceeding in which the information or testimony is sought.

OTHER MATTERS. In addition to the specific Matter described in the Master Engagement Letter, the Client may request additional legal work to be rendered by SKO from time to time. If SKO agrees to perform such additional legal work, the representation will be governed by the terms of the Master Engagement Letter and these Standard Terms and Conditions, unless other arrangements are agreed upon in advance and confirmed in writing. Such additional work may be memorialized in a Short Form Engagement Letter that will identify the scope of such additional work. The Master Engagement Letter (and any Short Form Engagement Letter) and these Standard Terms and Conditions reflect the entire agreement between the Client and SKO relating to the services covered hereunder, and shall survive the completion or termination of the engagement.

Benjamin A. Barnes, Property Claims Adjuster
 KACo Claims Service Unit
 P.O. Box 23790
 Louisville KY 40223



March 19, 2019

Hyden-Leslie County Water District
 P.O. Box 906
 Hyden KY 41749

Our Claim Number	GC20191161222
Date of Loss	2/24/2019
Insured	Hyden-Leslie County Water District
Loss Location	Pump Station on Wilder Branch Road

Dear LJ Turner,

KACo Claims Department is the property casualty claim administrator for the Kentucky Association of Counties All Lines Fund (KALF). The Hyden-Leslie Water District is a member of this fund.

It is our desire to thoroughly investigate every claim which is reported to us and to make payment for those losses for which are covered under your policy.

The purpose of the letter is to inform you that it is Kalf's position that the flood damage to the pump station and equipment at the above loss location described is not covered under the insurance agreement with Hyden-Leslie County Water District.

Based on our investigation, the involved property is located within flood zone "A". The policy excludes coverage for flood damage to property located in zone "A"

Please refer to your Building and Personal Property Coverage form starting on page 16:

D. LIMITS OF INSURANCE

The most we will pay for loss, damage or expense in any one occurrence is the Limit of Insurance shown as applicable to any item(s) or coverage(s).

Any amount stated as a Limit of Insurance applicable to Additional Coverages is in addition to the Limits of Insurance unless specified otherwise in the Additional Coverage. If "Included" is shown for a Limit of Insurance for an Additional Coverage, the Additional Coverage does not increase the Limit of Insurance applicable to the type of property or coverage.

If more than one Limit of Insurance applies to a cause of loss, type of property or coverage, the lowest Limit of Insurance applicable to that cause of loss, type of property or coverage is the Limit of Insurance that applies.

3. Application of Sublimits

Subject to any Aggregate Limit or Limit of Insurance, if a Sublimit is shown for a coverage(s), this is the most we will pay for all loss, damage or expense in any one occurrence under the coverage(s) to which the Sublimit applies. In the event that more than one Sublimit applies to the

February Claims List

Check#	Vendor/Account	Amount	Type
3873	HLCWD Payroll Account	\$ 12,698.31	Transfer
3874	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,404.67	Transfer
3875	HLCWD Escrow Fund	\$ 570.00	Transfer
3876	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,695.00	Transfer
3877	HLCWD Bond & Int. Sinking Fund 1989	\$ 3,983.34	Transfer
3878	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3879	HLCWD Depreciation Fund 1989	\$ 580.00	Transfer
27704	McCoy & McCoy Labs, Inc.	\$ 115.00	5340
27705	Core & Main LP	\$ 44.93	5250
27706	Ky. Deferred Comp.	\$ 1,601.13	2045
27707	Thomas E. Baker	\$ 63.38	5220
27708	C.I. Thornburg Co., Inc.	\$ 4,803.01	5220/5120
27709	Martin Marietta Materials	\$ 564.48	5220
27710	RVS Software	\$ 366.76	5420
27711	Consolidated Pipe & Supply Co, Inc.	\$ 2,114.10	5220
27712	Colonial Life	\$ 178.76	2046
27713	William wooton	\$ 300.00	5540
27714	Timothy Helton	\$ 500.00	5540
27715	Todd Horton	\$ 500.00	5540
27716	Augustus Roberts	\$ 500.00	5540
27717	Ronnie Gay	\$ 500.00	5540
27718	Cumberland Valley Electric	\$ 858.34	5110
27719	Leslie Co. Occup. Tax Admin.	\$ 442.92	2048
27720	Ky. State Treasurer	\$ 3,532.26	2070/2071/2072
27721	Ky. State Treasurer	\$ 600.37	2050
27722	Postmaster	\$ 1,016.12	5410
27723	Ky. State Treasurer	\$ 1,897.97	2035
27724	Hyden Citizens Bank	\$ 4,085.92	2040
27725	Living Waters Co., Inc.	\$ 1,780.24	5130
27726	Leslie Co. Treasurer	\$ 1,302.35	5240
27727	Consolidated Pipe & Supply Co, Inc.	\$ 6,014.10	5220
27728	Russell Hornsby	\$ 606.12	5590
27729	Postmaster	\$ 7.60	5410
27730	Ky. State Treasurer	\$ 500.00	5550
3880	Sisler-Maggard Eng.	\$ 16,581.60	1187
3881	HLCWD Oper. & Maint. Fund	\$ 64,000.00	Transfer
3882	HLCWD Payroll Account	\$ 13,806.80	Transfer
2210	Keisha Collett	\$ 30.01	Escrow Checking
27731	Hyden Citizens Bank	\$ 4,428.18	2040
27732	Clark Auto Parts	244.42	5230/5220/5250/5130
27733	McCoy & McCoy Labs, Inc.	\$ 261.00	5340
27734	TDS	574.94	5430
27735	Quill Corporation	\$ 565.28	5420
27736	Ky. State Treasurer	2149.84	5160

27737	Ky. Deferred Comp.	\$	1,689.56	2045
27738	Haye Pipe Supply Co., Inc.	\$	1,241.00	5220
27739	Home Lumber Co.	\$	21.63	5220
27740	Thomas E. Baker	\$	19.90	5220
27741	Rumpke	\$	130.99	5440
27742	Thomas E. Baker	\$	40.24	5130
27743	Bentley Electric	\$	762.41	1617
27744	Leslie Co. Treasurer	\$	1,162.55	5240
27745	Horton Hardware	\$	82.23	5220
27746	C.I. Thornburg Co. Inc.	\$	1,067.70	5120
27747	Ky. Power Co.	\$	24,746.62	5110
27748	KACo Benefits Group	\$	16,773.88	5060
27749	Consolidated Pipe & Supply Co. Inc.	\$	2,894.05	5220
27750	Postmaster	\$	127.40	5410

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
February 2019

	Feb 19	Budget	YTD Budget	Annual Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	103,093.56	103,300.00	206,600.00	1,239,600.00
4020 · Metered Sales Commercial	19,043.26	20,450.00	40,900.00	245,400.00
4021 · Less Adjustment Residential	-822.22			
4022 · Less Adjustments Commercial	0.00			
Total 4029 · Water Operating Revenues	121,314.60	123,750.00	247,500.00	1,485,000.00
Total Income	121,314.60	123,750.00	247,500.00	1,485,000.00
Gross Profit	121,314.60	123,750.00	247,500.00	1,485,000.00
Expense				
5099 · Personnel				
5010 · Salaries and Wages	32,864.29	35,355.00	70,710.00	424,260.00
5011 · Over Time Wages	3,077.03	5,417.00	10,834.00	65,004.00
5013 · 401-K Retirement Wages	1,650.79	1,917.00	3,834.00	23,004.00
5015 · Capitalized Labor	0.00	334.00	668.00	4,008.00
5020 · Payroll Taxes	2,872.05	3,200.00	6,400.00	38,400.00
5060 · Employee Health Ins.	17,392.79	17,917.00	35,834.00	215,004.00
5070 · Uniform Expense	0.00	542.00	1,084.00	6,504.00
Total 5099 · Personnel	57,856.95	64,682.00	129,364.00	776,184.00
5199 · Plant				
5110 · Utilities	25,604.96	24,150.00	48,300.00	289,800.00
5120 · Chemicals	5,355.76	7,500.00	15,000.00	90,000.00
5130 · Repair and Maintenance / Plant	1,851.46	1,000.00	2,000.00	12,000.00
5140 · Operating Supplies	0.00	415.00	830.00	4,980.00
5150 · Tools	0.00	205.00	410.00	2,460.00
5160 · Water Withdrawal Fee	2,149.84	730.00	1,460.00	8,760.00
5165 · Back-up Generator Maint.	0.00	250.00	500.00	3,000.00
5170 · Misc. Plant Expense	0.00	125.00	250.00	1,500.00
Total 5199 · Plant	34,962.02	34,375.00	68,750.00	412,500.00
5299 · Field Operations				
5220 · Repair and Maintenance / Field	13,601.14	11,000.00	22,000.00	132,000.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
February 2019

	Feb 19	Budget	YTD Budget	Annual Budget
5230 · Vehicle / Repair and Maint.	137.63	1,420.00	2,840.00	17,040.00
5235 · Equipment Repair and Maint.	0.00	417.00	834.00	5,004.00
5240 · Gas and Diesel	2,464.90	1,750.00	3,500.00	21,000.00
5250 · Tools	49.42	416.00	832.00	4,992.00
Total 5299 · Field Operations	16,253.09	15,003.00	30,006.00	180,036.00
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	0.00	0.00	13,500.00
5320 · Meter Testing	0.00	300.00	600.00	3,600.00
5340 · Water Analysis	376.00	917.00	1,834.00	11,004.00
5360 · Electrical Maintenance	0.00	200.00	400.00	2,400.00
5370 · Communication Maintenance	0.00	125.00	250.00	1,500.00
Total 5399 · Contractual Services	376.00	1,542.00	3,084.00	32,004.00
5499 · Office Expenses				
5410 · Postage	1,151.12	1,400.00	2,800.00	16,800.00
5420 · Office Supplies	1,367.63	667.00	1,334.00	8,004.00
5430 · Telephone Expense	574.94	542.00	1,084.00	6,504.00
5440 · Sanitation Pick-up Services	130.99	125.00	250.00	1,500.00
5450 · Computer Repair and Maintenance	0.00	125.00	250.00	1,500.00
5490 · Other Office Expenses	0.00	0.00	0.00	0.00
Total 5499 · Office Expenses	3,224.68	2,859.00	5,718.00	34,308.00
5599 · Administrative				
5510 · PSC Assessment	0.00	0.00	0.00	3,300.00
5520 · Insurance-General	4,408.94	4,334.00	8,668.00	52,008.00
5530 · Bad Debts	4,187.62	1,334.00	2,668.00	16,008.00
5540 · Board Fees	2,300.00	2,800.00	5,600.00	33,600.00
5550 · Fees and Dues	534.95	325.00	650.00	3,900.00
5560 · Publication Expense	0.00	80.00	160.00	960.00
5570 · Certification Training Expenses	0.00	400.00	800.00	4,800.00
5590 · Other Administrative Exp.	632.62	300.00	600.00	3,600.00
Total 5599 · Administrative	12,064.13	9,573.00	19,146.00	118,176.00
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,416.67	1,030.00	2,060.00	12,360.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
February 2019

	Feb 19	Budget	YTD Budget	Annual Budget
5626 · Interest Expense RD (2000)	888.00	875.00	1,750.00	10,500.00
5628 · Interest Exp. RD Series A 2012	3,220.31	3,167.00	6,334.00	38,004.00
5629 · Interest Exp. RD Series B 2012	3,349.61	3,350.00	6,700.00	40,200.00
5630 · Interest Exp. Customer Dep.	3.95	5.00	10.00	60.00
5640 · Depreciation Expense	70,871.00	71,585.00	143,170.00	859,020.00
Total 5699 · Interest and Depreciation Exp.	79,749.54	80,012.00	160,024.00	960,144.00
66000 · Payroll Expenses	0.00			
Total Expense	204,486.41	208,046.00	416,092.00	2,513,352.00
Net Ordinary Income	-83,171.81	-84,296.00	-168,592.00	-1,028,352.00
Other Income/Expense				
Other Income				
4199 · Other Income				
4030 · Service Charges	503.00	334.00	668.00	4,008.00
4040 · Late Payment Penalties	3,826.30	3,750.00	7,500.00	45,000.00
4090 · Miscellaneous Revenues	13.60	34.00	68.00	408.00
4110 · Interest Income	3,096.79	42.00	84.00	504.00
4199 · Other Income - Other	30,349.45			
Total 4199 · Other Income	37,789.14	4,160.00	8,320.00	49,920.00
Total Other Income	37,789.14	4,160.00	8,320.00	49,920.00
Net Other Income	37,789.14	4,160.00	8,320.00	49,920.00
Net Income	-45,382.67	-80,136.00	-160,272.00	-978,432.00
Net Income Less Depreciation	34,366.87	-124.00	-248.00	-18,288.00
Net Income Less FEMA Payment	4,017.42			
Payables				
Principle expense				
1989 Bonds KRWFC Payable	\$ 2,916.67	\$ 2,916.67	#	
2000 Bonds Payable	\$ 541.00	\$ 516.67	#	
2012 A Bonds Payable	\$ 1,266.66	\$ 1,166.67	#	
2012 B Bonds Payable	\$ 2,566.66	\$ 2,958.33	#	
Sub-Total Bonds Payable	\$ 7,290.99	\$ 7,290.99	#	
Net Position	\$27,075.88	-\$7,414.99		
Net Position Less FEMA Payment	-\$3,273.57			

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
February 2019

	<u>Feb 19</u>	<u>Budget</u>	<u>YTD Budget</u>	<u>Annual Budget</u>
Capital Expenditures				
Hospital Hill (final)	\$ 21,816.40			
Net Position after payables	-\$25,089.97			

HYDEN LESLIE

Water  District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Vice Chairman
Larry J. Turner
Manager

The Special Meeting of the Hyden-Leslie County Water District Board of Commissioners was held on April 24, 2019 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to order: The meeting was called to order at 4:00pm. All commissioners were present. Manager, L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Robert Abner and Rita Morgan with Christian Sturgeon were present.
3. Public Comment: Wesley Gilbert addressed the board about the possibility of the Water District giving ownership of the baseball and softball fields, so the school board could get a grant for repairs and upgrades. Commissioner Horton said that the discussion had come up years ago. Mr. Maggard said that the property was listed in bond agreement as collateral and it could not be sold. We could possibly lease the property, but Mr. Horton stated that the school board could not enter a lease for more than 1 year. Mr. Gilbert questioned if the property actually belonged to the District because of an opinion from the PVA office. Chairman Roberts directed Mr. Maggard to pull the deed and title opinion of the questioned property.
4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Timothy Helton. The motion carried unanimously.
5. 2018 Audit: The audit report was presented by Robert Abner and Rita Morgan. They explained that the two findings in the report were very common in a small organization, due to having a small staff. While presenting the report, Mr. Abner stressed the importance of a rate increase. In summary, Mr. Abner stated that the audit went well and no significant issues were found.

6. Phase III System Improvements: Mr. Maggard stated that CDBG meeting is scheduled for April 30th.
7. Stinnett Water Line Relocation / DOT: Mr. Maggard stated the Hwy Dept. bid the job and it was too expensive. He said the contractor stated there is so much work now, if they tried to re bid it, nobody would bid the job.
8. Hospital Hill System Improvements: Punch list items should be finished this week. Mr. Turner told Mr. Maggard that the record drawing for Hospital Hill had some mistakes that needed to be corrected.
9. Other Projects / System Issues: Mr. Maggard stated they have turned in the Maggard Branch project. They suggested that the Water District complete the Sam's Branch relocate ourselves and they will pay invoices as we go.

Mr. Turner stated that we had several infrastructure issues that needed to be resolved ASAP. He suggested that we should go to DLG to ask for assistance on current and future projects. He also suggested that we ask for help with our current debt.

10. Operations Report: The Water Loss report showing 38.7% was presented. A motion was made by William Horton to accept the Water Loss Report. Motion was seconded by William Wooton. Motion carried unanimously. A water usage analysis report was also presented.
11. Claims List: The claims list was reviewed. Timothy Helton made the motion to approve the claims list. The motion was seconded by William Horton. Motion carried unanimously.
12. Financial Report: The financial report was reviewed. A motion was made by Timothy Helton to approve the financial report. The motion was seconded by William Horton. Motion carried unanimously.
13. Other New Business: None.
14. Executive Session: None.
15. Adjournment: Motion was made to adjourn by Timothy Helton. Motion was seconded by Ronnie Gay. Motion carried unanimously.

Approval of Minutes:



Augustus Roberts, Chairman

05-30-19

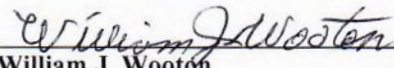
Date



Timothy Helton, Vice Chairman

5-30-19

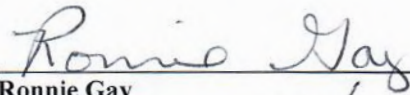
Date



William J. Wooton

5-30-19

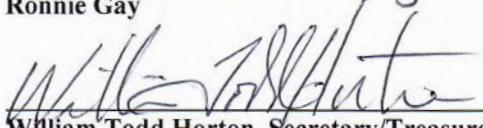
Date



Ronnie Gay

5/30/19

Date



William Todd Horton, Secretary/Treasurer

5/30/19

Date

Hyden—Leslie County Water District
Hyden, Kentucky

Independent Auditors' Report
And Financial Statements
For the Years Ended
December 31, 2018 and 2017

Hyden-Leslie County Water District
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Independent Auditors' Report

To the Commissioners
Hyden-Leslie County Water District
Hyden, Kentucky

Report on the Financial Statements

We have audited the accompanying financial statements of the business-type activities of Hyden-Leslie County Water District (the District) as of and for the years ended December 31, 2018 and 2017, and the related notes to the financial statements, which collectively comprise the District's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the business-type activities of Hyden-Leslie County Water District, as of December 31, 2018 and 2017, and the respective changes in financial position and cash flows thereof for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Management has omitted the Management's Discussion and Analysis that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. Our opinion on the basic financial statements is not affected by this missing information.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated April 24, 2019 on our consideration of Hyden-Leslie County Water District's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Hyden-Leslie County Water District's internal control over financial reporting and compliance.

Christian Sturgeon, PLLC

Christian Sturgeon, PLLC
London, Kentucky

April 24, 2019

Hyden-Leslie County Water District
Statements of Net Position
For the Years Ended December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
Assets		
Current Assets		
Cash and cash equivalents	\$ 215,165	\$ 631,636
Investments	1,080,992	1,078,611
Receivables, less allowance for doubtful accounts of \$5,000	256,331	220,021
Unbilled accounts receivable	28,365	54,052
Inventories	52,947	35,766
Prepaid expenses	27,431	25,005
Grants receivable	31,182	13,775
Other current assets	5,940	5,522
Total Current Assets	<u>1,698,353</u>	<u>2,064,388</u>
Noncurrent Assets		
Restricted Assets		
Customer deposits	51,092	48,872
Depreciation reserves	118,320	104,400
Debt and interest funds	199,766	194,264
Total Restricted Assets	<u>369,178</u>	<u>347,536</u>
Capital Assets		
Land and improvements	32,169	32,169
Buildings and improvements	5,632,405	5,630,362
Source of supply and pumping	2,371,287	2,371,287
Water treatment equipment	3,647,342	3,647,342
Transmission and distribution plant	21,271,414	21,107,152
Vehicles and other equipment	1,024,028	978,184
Construction in progress	859,683	846,260
Less: accumulated depreciation	<u>(9,813,773)</u>	<u>(8,988,765)</u>
Net Capital Assets	<u>25,024,555</u>	<u>25,623,991</u>
Total Noncurrent Assets	<u>25,393,733</u>	<u>25,971,527</u>
Total Assets	<u>27,092,086</u>	<u>28,035,915</u>
Deferred Outflows of Resources		
Bond issue costs, net of amortization	-	38,862
Total Deferred Outflows of Resources	<u>-</u>	<u>38,862</u>

See accompanying notes to financial statements.

Hyden-Leslie County Water District
Statements of Net Position (Continued)
For the Years Ended December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
Liabilities		
Current Liabilities		
Current portion of long-term debt	92,500	90,700
Accounts payable	90,136	82,887
Accrued interest payable	7,226	7,361
Accrued salaries and taxes payable	39,375	12,774
Other current liabilities	1,503	3,390
Total Current Liabilities	<u>230,740</u>	<u>197,112</u>
Noncurrent Liabilities		
Customer deposits	51,092	48,872
Long-term debt, less current portion	5,968,800	6,036,919
Total Noncurrent Liabilities	<u>6,019,892</u>	<u>6,085,791</u>
Total Liabilities	<u>6,250,632</u>	<u>6,282,903</u>
Net Position		
Net investment in capital assets	18,963,255	19,496,372
Restricted	369,178	347,536
Unrestricted	1,509,021	1,947,966
Total Net Position	<u>\$ 20,841,454</u>	<u>\$ 21,791,874</u>

Hyden-Leslie County Water District
Statements of Revenues, Expenses and Changes in Net Position
For the Years Ended December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
Operating Revenues		
Water sales	\$ 1,472,606	\$ 1,480,415
Service charges	4,075	3,166
Tap fees	12,018	10,800
Penalties	50,458	46,888
Other revenues	278	353
Total Operating Revenues	<u>1,539,435</u>	<u>1,541,622</u>
Operating Expenses		
Salaries and wages	546,881	459,646
Employee benefits	272,456	260,660
Utilities	271,646	306,117
Chemicals	96,555	74,465
Materials and supplies	130,293	87,624
Contracted services	24,938	35,044
Vehicle and equipment expenses	44,455	33,880
Insurance	51,209	49,058
Bad debt expense, net of recoveries	18,672	22,160
Depreciation	855,250	846,466
Other operating expenses	88,017	63,516
Total Operating Expenses	<u>2,400,372</u>	<u>2,238,636</u>
Operating Income (Loss)	<u>(860,937)</u>	<u>(697,014)</u>
Non-operating Revenues (Expenses)		
Interest income	2,972	2,880
Grant revenue	74,559	72,686
Loss on sale of equipment	-	(1,514)
Interest expense	(167,014)	(171,772)
Total Non-operating Revenues (Expenses)	<u>(89,483)</u>	<u>(97,720)</u>
Change in Net Position	(950,420)	(794,734)
Net Position, Beginning of Year	<u>21,791,874</u>	<u>22,586,608</u>
Net Position, End of Year	<u>\$ 20,841,454</u>	<u>\$ 21,791,874</u>

Hyden-Leslie County Water District
Statements of Cash Flows
For the Years Ended December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
Cash Flows From Operating Activities		
Receipts from customers	\$ 1,512,082	\$ 1,482,970
Receipts from other activities	278	353
Payments to employees	(520,280)	(458,115)
Payments to suppliers	(920,160)	(832,401)
Payments for other activities	<u>(88,017)</u>	<u>(63,516)</u>
Net Cash Provided (Used) by Operating Activities	<u>(16,097)</u>	<u>129,291</u>
Cash Flows From Capital and Related Financing Activities		
Purchases of property, plant and equipment	(241,870)	(371,167)
Principal payments on debt	(90,200)	(89,000)
Interest payments on debt	(167,149)	(171,901)
Proceeds from debt	23,881	65,155
Bond issuance costs	38,862	-
Grants	<u>57,152</u>	<u>58,911</u>
Net Cash Used by Capital and Related Financing Activities	<u>(379,324)</u>	<u>(508,002)</u>
Cash Flows From Investing Activities		
Purchases of investments	(17,419)	(236,385)
Proceeds from investments	-	420,000
Interest on investments	<u>18,011</u>	<u>16,938</u>
Net Cash Provided by Investing Activities	<u>592</u>	<u>200,553</u>
Net Decrease in Cash and Cash Equivalents	(394,829)	(178,158)
Cash and Cash Equivalents at Beginning of Year	<u>979,172</u>	<u>1,157,330</u>
Cash and Cash Equivalents at End of Year	<u>\$ 584,343</u>	<u>\$ 979,172</u>
Reconciliation of cash per Statements of Net Position to cash per Statements of Cash Flows:		
Cash and cash equivalents	\$ 215,165	\$ 631,636
Customer deposits	51,092	48,872
Depreciation reserves	118,320	104,400
Debt and interest funds	<u>199,766</u>	<u>194,264</u>
Cash and Cash Equivalents per Statements of Cash Flows	<u>\$ 584,343</u>	<u>\$ 979,172</u>

See accompanying notes to financial statements.

Hyden-Leslie County Water District
Statements of Cash Flows (Continued)
For the Years Ended December 31, 2018 and 2017

	<u>2018</u>	<u>2017</u>
Reconciliation of Operating Income (Loss) to Net Cash Provided by Operating Activities:		
Operating income (loss)	\$ (860,937)	\$ (697,014)
Adjustments to reconcile operating income (loss) to net cash provided (used) by operating activities		
Depreciation	855,250	846,466
(Increase) Decrease in operating assets		
Accounts receivable	(36,310)	(16,017)
Unbilled accounts receivable	25,687	(21,052)
Inventory	(17,181)	9,610
Other current assets and prepaid expenses	(2,845)	(1,655)
Increase (Decrease) in operating liabilities		
Accounts payable	(6,695)	7,053
Accrued expenses and other liabilities	24,714	970
Customer deposits	2,220	930
	<u>\$ (16,097)</u>	<u>\$ 129,291</u>
Net Cash Provided (Used) by Operating Activities	<u>\$ (16,097)</u>	<u>\$ 129,291</u>

Hyden-Leslie County Water District
Notes to Financial Statements
December 31, 2018 and 2017

Note 1 – Summary of Significant Accounting Policies

Reporting Entity

Hyden-Leslie County Water District, of Leslie County, Kentucky ("District") was created in 1968 under Chapter 74 of the Kentucky Revised Statutes. The District is governed by a five-person board of Commissioners which is appointed by the Leslie County Fiscal Court. The District is regulated by the Kentucky Public Service Commission.

The District is a rural water utility system whose purpose is to establish, develop and operate a water supply and distribution system for its customers in Leslie County, Kentucky. The District's primary source of revenue is from water sales to its customers, including public bodies and local businesses in its service area.

Basis of Accounting, Financial Presentation and Measurement Focus

The basic financial statements of the District have been prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP") as applied to governmental units. The Governmental Accounting Standards Board ("GASB") is the standard-setting body for governmental accounting and financial reporting. The GASB periodically updates its codification of the existing Governmental Accounting and Financial Reporting Standards which, along with subsequent GASB pronouncements (Statements and Interpretations), constitutes GAAP for governmental units.

The District applies all relevant Financial Accounting Standards Board (FASB) pronouncements and Accounting Principles Board (APB) opinions issued on or before November 30, 1989, unless those pronouncements conflict or contradict GASB pronouncements, in which case, GASB prevails. In addition, the District applies all applicable FASB Statements and Interpretations issued after November 30, 1989, except those that conflict with or contradict GASB pronouncements.

The Statements of Net Position and Statements of Revenues, Expenses and Changes in Net Position display information about the District as a whole. These statements include all funds of the District.

The financial statements are prepared using the accrual basis of accounting. Revenues are recognized when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows.

Operating income reported by the District includes revenues and expenses related to the continuing operation of water service for its customers. Principal operating revenues are charges to customers for services. Principal operating expenses are the costs of providing the services and include administrative expenses and depreciation of capital assets. Other revenues and expenses are classified as non-operating in the financial statements.

Cash and Cash Equivalents

The District considers demand deposits and certificates of deposit with maturities of less than three months to be cash equivalents.

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 1 - Summary of Significant Accounting Policies (Continued)

Investments

Investments include certificates of deposits with maturity dates of three months or more. Certificates of deposit in excess of FDIC insurance coverage are collateralized by securities held by the pledging institution.

The District also invests in mutual funds, which are recorded at their fair market value. Securities are traded on a national exchange and valued at the last reported sales price at current exchange rates and investments that do not have an established market are reported at an estimated fair value.

Accounts Receivable

Receivables include amounts due from customers for water services. These receivables are due at the time the services are billed and are considered past due on the first day of the following month. Accounts receivable are presented net of uncollectible accounts. The allowance amount is estimated using a percentage of accounts past due more than 30 days. At December 31, 2018 and 2017, the allowance for doubtful accounts was \$5,000.

Unbilled Accounts Receivable

Estimated unbilled revenues from water sales are recognized at the end of each fiscal year on a pro rata basis. The estimated amount is based on billing during the month following the close of the fiscal year.

Inventories and Prepaid Expenses

Inventories consist of expendable supplies held for consumption stated on a first-in, first-out basis. They are reported at cost and are recorded as an expense at the time individual items are used. Prepaid expenses include payments to vendors that benefit future reporting periods and are reported on the consumption basis.

Capital Assets

The District's property, plant and equipment with useful lives of more than one year are stated at historical cost. The costs of normal maintenance and repairs that do not add to the asset value or materially extend useful lives are not capitalized. Capital assets are depreciated using the straight-line method. When assets are disposed, the cost and applicable accumulated depreciation are removed from the respective accounts, and the resulting gain or loss is recorded in operations.

Estimated useful lives for depreciable assets are as follows:

Asset Classification	Range of Lives
Structures and improvements	40-45 years
Transmission distribution mains	40-50 years
Plant equipment	10-15 years
Meters and services	35-40 years
Other equipment and vehicles	5-10 years

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 1 - Summary of Significant Accounting Policies (Continued)

Customer Deposits

The District collects and holds in escrow a \$30 deposit from customers to ensure collection of its water charges. Interest at an annual rate of 1.41% is paid on these deposits.

Net Position

Net position is comprised of the various net earnings from operating income, non-operating revenues and expenses, and capital contributions. Net position is classified in the following three components:

Net investment in capital assets – This component of net position consists of capital assets, net of accumulated depreciation, reduced by the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction or improvement of those assets.

Restricted – This component of net position consists of restricted assets less liabilities and deferred inflows of resources related to those assets. Restricted assets are those with limits on their use that are externally imposed constraints imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments or constraints imposed by law through constitutional provisions or enabling legislation.

Unrestricted – This component of net position consists of net amounts of the assets, deferred outflows of resources, liabilities, and deferred inflows of resources that are not included in the determination of the net investment in capital assets or the restricted component of net position.

When an expense is incurred for purposes for which both restricted and unrestricted net position are available, the District would typically use restricted assets first, but reserves the right to selectively spend unrestricted assets first.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Note 2 – Restricted Assets

Under the terms of all loan resolutions, the District is required to maintain certain accounts and funds for the benefit and protection of the creditors. In addition, the District is also required to collect reasonable and sufficient rates and charges for services rendered, prohibited from selling, leasing or mortgaging any part of the system without prior approval, required to maintain the operating system in good condition and to carry adequate insurance on all properties to protect against loss or damage.

The resolutions require the District to establish a depreciation fund or reserve to be used to finance the cost of unusual repairs, renewals and replacements not included in the annual budget and to pay for future system extensions. The balance of these accounts at December 31, 2018 and 2017 was \$318,086 and \$298,664, respectively. The balance of customer deposits held at December 31, 2018 and 2017 was \$51,092 and \$48,872, respectively.

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 3 – Kentucky Revised Statute

At December 31, 2018 and 2017, \$1,667,986 and \$2,070,863, respectively, of the cash and certificates of deposit of the District was covered by federal depository insurance and securities pledged as collateral on behalf of the District. In accordance with Kentucky Revised Statute (KRS) 91A.060, the deposits are to be insured by the Federal Depository Insurance Corporation or collateralized to the extent uninsured by any obligations permitted by KRS 41.240(4). According to KRS 41.240(4), financial institutions shall either pledge or provide as collateral securities or other obligations having an aggregate current face value or current quoted market value at least equal to the deposits. According to KRS 66.480, the District is allowed to invest in obligations of the U.S. Treasury and U.S. agencies, repurchase agreements, obligations of the Commonwealth of Kentucky and its agencies, interest bearing deposits of insured savings and loans, or interest-bearing deposits of insured national or state banks. For additional cash descriptive information, see Note 1.

Note 4 – Custodial Credit Risk - Deposits

Custodial credit risk is the risk that in the event of a bank failure, the District's deposits may not be returned to it. The District does not have a deposit policy for custodial credit risk. As of December 31, 2018, and 2017, \$325,765 and \$740,827, respectively, of the District's total deposits at banks of \$1,667,986 and \$2,070,863 respectively, were exposed to custodial credit risk as follows:

	<u>2018</u>	<u>2017</u>
Uninsured and uncollateralized	\$ -	\$ -
Uninsured and collateral held by pledging bank	325,765	740,827
Uninsured and collateral held by pledging bank's trust department not in the District's name	-	-
Total	<u>\$ 325,765</u>	<u>\$ 740,827</u>

Note 5 – Investments

As of December 31, 2018, and 2017, the District had the following investments:

	Rating	Fair Value	
		<u>2018</u>	<u>2017</u>
Mutual Funds/Cash in Money Market Account	Not Rated	\$ 263,974	\$ 261,715
Certificates of Deposit	Not Rated	817,018	816,896
Total		<u>\$ 1,080,992</u>	<u>\$ 1,078,611</u>

Mutual Funds are liquid assets; therefore, they do not have a maturity date and are classified as current assets.

Interest Rate Risk – Investments. The District does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value arising from increasing interest rates.

Concentration of Credit Risk – Investments. The District places no limit on the amount the District may invest in any one fund. The investments in mutual funds at December 31, 2018 and 2017 were 24.4% and 24.3%, respectively, of the District's total investments.

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 5 – Investments (Continued)

Risks and Uncertainties – Investments. The District invests in various mutual funds. Mutual funds are exposed to various risks, such as interest rate, credit, and market risks. Due to the level of risks associated with certain mutual funds, it is at least reasonably possible that changes in the values of mutual funds will occur in the near term and that such changes could materially affect the account balances and the amounts reported in the financial statements.

Note 6 – Capital Assets

The following is a summary of capital asset transactions for the year ended December 31, 2018:

	Balance Dec. 31, 2017	Additions	Dispositions	Balance Dec. 31, 2018
Land and improvements	\$ 32,169	\$ -	\$ -	\$ 32,169
Building and improvements	5,630,362	2,043	-	5,632,405
Source of supply and pumping	2,371,287	-	-	2,371,287
Water treatment equipment	3,647,342	-	-	3,647,342
Transmission and distribution plant	21,107,152	193,704	29,442	21,271,414
Vehicles and other equipment	978,184	46,644	800	1,024,028
Construction in progress	846,260	189,439	176,016	859,683
Totals at historical cost	<u>34,612,756</u>	<u>431,830</u>	<u>206,258</u>	<u>34,838,328</u>
Less accumulated depreciation for:				
Building and improvements	784,141	108,043	-	892,184
Source of supply and pumping	588,566	74,764	-	663,330
Water treatment equipment	445,891	87,336	-	533,227
Transmission and distribution plant	6,721,750	542,973	29,446	7,235,277
Vehicles and other equipment	448,417	42,138	800	489,755
Total accumulated depreciation	<u>8,988,765</u>	<u>855,254</u>	<u>30,246</u>	<u>9,813,773</u>
Capital assets - net	<u>\$ 25,623,991</u>	<u>\$ (423,424)</u>	<u>\$ 176,012</u>	<u>\$ 25,024,555</u>

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 6 – Capital Assets (Continued)

The following is a summary of capital asset transactions for the year ended December 31, 2017:

	<u>Dec. 31, 2016</u>	<u>Additions</u>	<u>Dispositions</u>	<u>Dec. 31, 2017</u>
Land and improvements	\$ 32,169	\$ -	\$ -	\$ 32,169
Building and improvements	5,630,362	-	-	5,630,362
Source of supply and pumping	2,390,478	6,061	25,252	2,371,287
Water treatment equipment	3,649,814	-	2,472	3,647,342
Transmission and distribution plant	21,093,724	45,108	31,680	21,107,152
Vehicles and other equipment	1,006,265	4,425	32,506	978,184
Construction in progress	525,701	352,382	31,823	846,260
Totals at historical cost	<u>34,328,513</u>	<u>407,976</u>	<u>123,733</u>	<u>34,612,756</u>
Less accumulated depreciation for:				
Building and improvements	676,189	107,952	-	784,141
Source of supply and pumping	539,874	73,944	25,252	588,566
Water treatment equipment	361,027	87,336	2,472	445,891
Transmission and distribution plant	6,211,033	540,883	30,166	6,721,750
Vehicles and other equipment	444,571	36,352	32,506	448,417
Total accumulated depreciation	<u>8,232,694</u>	<u>846,467</u>	<u>90,396</u>	<u>8,988,765</u>
Capital assets - net	<u>\$ 26,095,819</u>	<u>\$ (438,491)</u>	<u>\$ 33,337</u>	<u>\$ 25,623,991</u>

Note 7 – Long-Term Debt

On February 1, 2016, the District entered into an assistance agreement with the Kentucky Rural Water Finance Corporation (KRWFC) to borrow funds with a fixed rate of 2.00%. The District entered into the agreement and used all loan proceeds to advance refund their outstanding Series 2013F loan with KRWFC. As a result, the Series 2013F bonds are considered defeased. The agreement matures February 1, 2018. The agreement was refinanced in 2018 and again in 2019 with a new maturity date of February 1, 2020. Permanent financing has not been secured and repayment terms have not been determined.

The following is a summary of long-term debt transactions for the year ended December 31, 2018:

	<u>Balance</u> <u>Dec. 31, 2017</u>	<u>Additions</u>	<u>Payments</u>	<u>Balance</u> <u>Dec. 31, 2018</u>	<u>Due Within</u> <u>One Year</u>
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2028; interest payable on a semi-annual basis at the rate of 5.0%	\$ 417,000	\$ -	\$ 34,500	\$ 382,500	\$ 35,000

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 7 – Long-Term Debt (Continued)

	<u>Balance Dec. 31, 2017</u>	<u>Additions</u>	<u>Payments</u>	<u>Balance Dec. 31, 2018</u>	<u>Due Within One Year</u>
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2040; interest payable on a semi-annual basis at the rate of 4.5%	243,000	-	6,200	236,800	6,500
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2051; interest payable on a semi-annual basis at the rate of 4.5%	1,044,500	-	14,000	1,030,500	14,500
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2051; interest payable on a semi-annual basis at the rate of 2.25%	1,822,000	-	35,500	1,786,500	36,500
Loan payable to Kentucky Rural Water Finance Corporation, principal payable upon maturity on February 1, 2020; interest payable on a semi-annual basis at the rate of 4.00%	2,601,119	23,881	-	2,625,000	-
	<u>\$ 6,127,619</u>	<u>\$ 23,881</u>	<u>\$ 90,200</u>	<u>\$ 6,061,300</u>	<u>\$ 92,500</u>

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 7 – Long-Term Debt (Continued)

The following is a summary of long-term debt transactions for the year ended December 31, 2017:

	<u>Balance</u> <u>Dec. 31, 2016</u>	<u>Additions</u>	<u>Payments</u>	<u>Balance</u> <u>Dec. 31, 2017</u>	<u>Due Within</u> <u>One Year</u>
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2028; interest payable on a semi-annual basis at the rate of 5.0%	\$ 452,000	\$ -	\$ 35,000	\$ 417,000	\$ 35,000
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2040; interest payable on a semi-annual basis at the rate of 4.5%	249,000	-	6,000	243,000	6,200
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2051; interest payable on a semi-annual basis at the rate of 4.5%	1,058,000	-	13,500	1,044,500	14,000
Loan payable to United States Department of Agriculture, payable annually in varying principal amounts, with final payment due June 1, 2051; interest payable on a semi-annual basis at the rate of 2.25%	1,856,500	-	34,500	1,822,000	35,500

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 7 – Long-Term Debt (Continued)

	Balance <u>Dec. 31, 2016</u>	<u>Additions</u>	<u>Payments</u>	Balance <u>Dec. 31, 2017</u>	Due Within <u>One Year</u>
Loan payable to Kentucky Rural Water Finance Corporation, principal payable upon maturity on February 1, 2019; interest payable on a semi-annual basis at the rate of 1.25%	2,535,964	65,155	-	2,601,119	-
	<u>\$ 6,151,464</u>	<u>\$ 65,155</u>	<u>\$ 89,000</u>	<u>\$ 6,127,619</u>	<u>\$ 90,700</u>

The aggregate annual principal repayments on long-term debt are summarized as follows:

<u>Year Ending December 31,</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
2019	\$ 92,500	\$ 208,069	\$ 300,569
2020	2,718,800	105,627	2,824,427
2021	98,183	93,445	191,628
2022	102,900	90,437	193,337
2023	104,800	87,368	192,168
2024-2028	551,917	387,724	939,641
2029-2033	415,800	319,729	735,529
2034-2038	489,900	251,498	741,398
2039-2043	524,500	174,387	698,887
2044-2048	573,000	98,385	671,385
2049-2053	389,000	17,210	406,210
	<u>\$ 6,061,300</u>	<u>\$ 1,833,879</u>	<u>\$ 7,895,179</u>

Note 8 – Risk Management

The District is exposed to various risks of loss related to limited torts; theft of, damage to and destruction of assets; errors and omissions and natural disasters for which the District carries commercial insurance. There have been no significant reductions in coverage for the year and settlements have not exceeded coverage in the past three years.

Note 9 – Related Party Transactions

There is one business that the District uses as a vendor which is owned by a related party of the District. During the years ended December 31, 2018 and 2017, the District purchased materials and supplies from a hardware store, which is owned by a Commissioner's brother, in the amounts of \$1,461 and \$1,449, respectively.

Hyden-Leslie County Water District
Notes to Financial Statements (Continued)
December 31, 2018 and 2017

Note 10 - Subsequent Events

Date of Management Evaluation

Management of the District has evaluated subsequent events through April 24, 2019, the date on which the financial statements were available to be issued.



Independent Auditors' Report on Internal Control Over Financial Reporting
and on Compliance and Other Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards*

To the Commissioners
Hyden-Leslie County Water District
Hyden, Kentucky

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the business-type activities of Hyden-Leslie County Water District as of and for the year ended December 31, 2018, and the related notes to the financial statements, which collectively comprise Hyden-Leslie County Water District's basic financial statements and have issued our report thereon dated April 24, 2019.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered Hyden-Leslie County Water District's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Hyden-Leslie County Water District's internal control. Accordingly, we do not express an opinion on the effectiveness of the District's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that were not identified. We did identify certain deficiencies in internal control, described in the accompanying schedule of findings and responses that we consider to be material weaknesses (See items 2018-1 and 2018-2).

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Hyden-Leslie County Water District's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Hyden-Leslie County Water District's Response to Findings

Hyden-Leslie County Water District's response to the findings identified in our audit is described in the accompanying schedule of findings and responses. Hyden-Leslie County Water District's response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Christian Sturgeon, PLLC

Christian Sturgeon, PLLC
London, Kentucky

April 24, 2019

Hyden-Leslie County Water District
Schedule of Findings and Responses (Continued)
December 31, 2018

Findings – Financial Statements

2018-1

Criteria:

As discussed in *Standards for Internal Control in the Federal Government* published by the General Accounting Office of the United States, internal control is an integral component of an organization's management that provides reasonable assurance that an objective of reliable financial reporting is being achieved. Organizations should implement procedures to ensure this objective is achieved.

Condition:

During the audit procedures performed, instances of this objective not being completely achieved were noted.

Cause:

The District's limited internal resources prevent the preparation of financial statements and related note disclosures in accordance with generally accepted accounting principles.

Effect:

The District was unable to prepare their financial statements and related note disclosures in accordance with generally accepted accounting principles.

Views of responsible officials and planned corrective actions:

The District feels that it would not be cost beneficial to hire the personnel required to complete these tasks.

2018-2

Criteria:

As discussed in *Standards for Internal Control in the Federal Government* published by the General Accounting Office of the United States, internal control is an integral component of an organization's management that provides reasonable assurance that an objective of reliable financial reporting is being achieved. Organizations should implement procedures to ensure this objective is achieved.

Condition:

During the audit procedures performed, instances of this objective not being completely achieved were noted.

Cause:

The size of the District's office staff does not allow proper segregation of duties with regard to cash collections, billings and postings to the accounts receivable ledger.

Effect:

This condition creates a weakness in internal controls which could result in unauthorized transactions being processed.

Views of responsible officials and planned corrective actions:

The District feels that it would not be cost beneficial to hire the personnel required to complete these tasks.

PUBLIC SERVICE COMMISSION

Monthly Water Loss Report

Water Utility:

For the Month of: Year:

LINE #	ITEM	GALLONS (Omit 000's)	
1	WATER PRODUCED, PURCHASED & DISTRIBUTED		
2	Water Produced	28,960	
3	Water Purchased		
4	TOTAL PRODUCED AND PURCHASED	28,960	
5			
6	WATER SALES		
7	Residential	9,373	
8	Commercial	2,403	
9	Industrial		
10	Bulk Loading Stations		
11	Wholesale		
12	Other Sales _____		
13	TOTAL WATER SALES	11,776	40.7%
14			
15	OTHER WATER USED		
16	Utility and/or Water Treatment Plant	5,921	
17	Wastewater Plant		
18	System Flushing	47	
19	Fire Department		
20	Other _____		
21	TOTAL OTHER WATER USED	5,968	20.6%
22			
23	WATER LOSS		
24	Tank Overflows		
25	Line Breaks		
26	Line Leaks	11,216	
27	Other _____		
28	TOTAL LINE LOSS	11,216	38.7%
29			
30	Note: Line 13 + Line 21 + Line 28 Must Equal Line 4		
31			
32	WATER LOSS PERCENTAGE		
33	Unaccounted-For Water (Line 28 divided by Line 4)		38.7%

	12/31/2018	1/2/2019	2/4/2019	3/5/2019	4/2/2019	April	May	June	July	August	September	October	November	December	Average	Totals
Date Checked	12/31/2018	1/2/2019	2/4/2019	3/5/2019	4/2/2019											
Operating Expenses	\$ 120,879.67	\$ 134,788.91	\$ 146,827.78	\$ 133,615.41	\$ 133,615.41										\$ 133,937.39	
Chickens																
Purchase	3442	3442	3425	3415	3412										3,428	
Cornmeal	270	265	268	267	267										272	
Total	3712	3707	3693	3682	3679										3,700	
Buyer Fee	32,760,000	34,350,000	31,990,000	28,100,000	28,960,000										34,105,144	48,895,500
Buyer Fee %	515	559	519	448	446										545	
Total	32,760,000	34,350,000	31,990,000	28,100,000	28,960,000										32,490,278	50,905,833
Cost Per Egg	3.69	3.92	4.59	4.75	4.61										4.14	
Feed	13,502,600	12,501,900	11,703,700	12,496,800	9,372,600										12,508,767	9,925,587
Cornmeal	3,123,700	2,114,400	2,281,700	2,502,900	2,403,200										2,714,722	26,724,692
Total	16,626,300	14,615,700	13,985,400	14,999,700	11,775,800										15,223,489	36,650,279
Cost Per Egg	7.27	9.22	10.50	8.91	11.35										8.94	
Barn	6,053,880	6,972,300	6,757,500	6,289,340	5,816,400										6,510,061	3,814,583
Barn	10,079,820	12,762,000	11,044,620	6,540,710	11,216,680										10,687,411	10,441,203
Barn	30.8%	37.2%	34.5%	23.3%	38.7%										33%	
Water	8,381,060	10,564,620	9,702,620	9,095,180	10,274,580										9,603,612	
Water	7,752,640	9,169,680	8,099,500	3,734,870	6,758,500										7,103,038	
Water	24%	27%	25%	13%	23%										22%	
Water	21	28	21	26	16										22	
Water	3,923	3,632	3,417	3,659	2,747										3,649	
Water	11,569	7,979	8,514	9,374	9,001										9,949	

Usage Analysis

March Claims List

Check#	Vendor/Account	Amount	Type
3883	HLCWD Oper. & Maint. Fund	\$ 39,000.00	Transfer
3884	HLCWD Payroll Account	\$ 15,021.52	Transfer
3885	HLCWD Bond & Int. Sinking Fund 2012	\$ 10,403.32	Transfer
3886	HLCWD Bond & Int. Sinking Fund 1989	\$ 3,983.34	Transfer
3887	HLCWD Depreciation Fund 1991	\$ 1,600.00	Transfer
3888	HLCWD Depreciation Fund 19989	\$ 580.00	Transfer
3889	HLCWD Bond & Int. Sinking Fund 2000	\$ 1,429.67	Transfer
3890	HLCWD Escrow Fund	\$ 270.00	Transfer
3891	HLCWD Phase III Water Line Ext. Proj.	\$ 7,340.00	Transfer
27751	Augustus Roberts	\$ 500.00	5540
27752	Ronnie Gay	\$ 500.00	5540
27753	Todd Horton	\$ 500.00	5540
27754	Timothy Helton	\$ 500.00	5540
27755	william Wooton	\$ 300.00	5540
27756	Jamie S Bowling	\$ 29.31	5220
27757	Leslie Co. Occup. Tax Admin.	\$ 375.43	2048
27758	Postmaster	\$ 1,074.48	5410
27759	Hyden Citizens Bank	\$ 5,045.90	2040
27760	VOID	VOID	
27761	Cumberland Valley Electric	\$ 1,077.74	5110
27762	Ky. Stat Treasurer	\$ 1,600.07	2035
27763	C.I. Thornburg Co. Inc.	\$ 5,612.70	5120
27764	Ky. Stat Treasurer	\$ 3,664.11	2070/2071/2072
27765	Frank W Baker II	\$ 1,628.19	5450
27766	McCOy & McCOy Labs, Inc.	\$ 115.00	5340
27767	Ky. Stat Treasurer	\$ 605.33	2050
27768	Thomas E Baker	\$ 280.05	5130/5220
27769	Thomas E Baker	\$ 20.47	5130
27770	Quill Corp.	\$ 397.87	5420
27771	Maggard Electric	\$ 100.00	5220
27772	RVS Software	\$ 696.40	5420
27773	Ky. Deferred Comp.	\$ 1,956.29	2045
27774	Double H Auto	\$ 825.00	5230
27775	Bentley Electric	\$ 284.55	5360
27776	Consolidated Pipe & Supply Co., Inc.	\$ 4,365.49	5220
3892	HLCWD Oper. & Maint. Fund	\$ 50,000.00	Transfer
3893	HLCWD Payroll Account	\$ 14,726.56	Transfer
27778	Rumpke	\$ 130.99	5440
27779	Leslie Co. Treasurer	\$ 1,042.13	5240
27780	State Electric Supply Co.	\$ 2,165.30	5220
27781	Thomas E Baker	\$ 47.59	5220
27782	Larry J Turner	\$ 7.15	5410
27783	C.I. Thornburg Co. Inc.	\$ 4,951.13	5220
27784	Ky. Deferred Compensation	\$ 1,965.32	2045

27785	TDS	\$	574.81	5430
27786	Clark Auto Parts	\$	136.23	5220/5230
27787	One Stop Mrkt. Too, Inc.	\$	145.37	5240
27788	Couch's Shell	\$	440.49	5240
27789	One Stop Market	\$	527.73	5240
27790	Martin Marietta Materials	\$	583.66	5220
27791	KACo UI Fund	\$	597.87	2040
27792	Continental Hydrodyne Systems, Inc.	\$	199.32	5140
27793	Core & Main	\$	1,548.00	5220
27794	Pace Analytical Services LLC	\$	1,409.00	5340
27795	Horton Hardware	\$	33.00	5220
27796	Begley Lumber & Bldg. Supply	\$	398.55	5220/5130
27797	Ky. Power CO.	\$	24,176.29	5110
27798	Consolidated Pipe & Supply Co.	\$	3,048.15	5220
27799	Double H Auto	\$	945.00	5230
27800	Hyden Citizens Bank	\$	4,985.74	2040
27801	USA BlueBook	\$	430.78	5140
27802	Thomas E Baker	\$	52.33	5250
3894	VOID		VOID	
3895	HLCWD Oper. & Maint. Fund	\$	67,000.00	Transfer
3896	HLCWD Payroll Account	\$	13,859.84	Transfer
3897	HLCWD Phase II Water Line Ext. Project	\$	5,840.00	Transfer
103	Sisler-Maggard Engineering PLLC	\$	5,840.00	1613
27803	KACo Benefits Group	\$	16,773.88	5060
27804	Consolidated Pipe & Supply Co. Inc.	\$	3,678.42	5220
27805	Sizemore's Service Center	\$	146.85	5230
27806	Core & Main LP	\$	69.00	5220
27807	USA BlueBook	\$	292.42	5140
27808	VOID		Void	5230
27809	Martin Marietta Materials	\$	562.28	5220
27810	Pace Analytical Services LLC	\$	15.00	5340
27811	Ky. Deferred Comp.	\$	1,778.18	2045
27812	Childers Oil Co.	\$	1,882.01	5240
27813	Maggard Electric	\$	74.00	5220
27814	State Electric Supply	\$	23.07	5220
27815	C.I. Thornburg Co, Inc.	\$	4,884.73	5220/5120
27816	Hyden Citizens Bank	\$	4,571.10	2040
27817	Cumberland Valley Electric	\$	924.86	5110
27818	Ky. State Treasurer	\$	3,244.41	2070/2071/2072
27819	Leslie Co. Occup. Tax Admin	\$	626.24	2048
27820	Postmaster	\$	1,063.56	5410
27821	Ky. State Treasurer	\$	2,684.92	2035
27822	Ky. State Treasurer	\$	538.14	2050
27823	Colonial Life	\$	268.14	2046

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
March 2019

	Mar 19	Budget	Jan - Mar 19	Annual Budget
Ordinary Income/Expense				
Income				
4029 · Water Operating Revenues				
4010 · Metered Sales Residential	89,875.58	103,300.00	292,575.35	1,239,600.00
4020 · Metered Sales Commercial	18,271.30	20,450.00	55,450.57	245,400.00
4021 · Less Adjustment Residential	-525.84		-3,079.98	
4022 · Less Adjustments Commercial	0.00		-8.10	
Total 4029 · Water Operating Revenues	107,621.04	123,750.00	344,937.84	1,485,000.00
Total Income	107,621.04	123,750.00	344,937.84	1,485,000.00
Gross Profit	107,621.04	123,750.00	344,937.84	1,485,000.00
Expense				
5099 · Personnel				
5010 · Salaries and Wages	47,510.16	35,355.00	116,571.02	424,260.00
5011 · Over Time Wages	12,304.03	5,417.00	21,409.21	65,004.00
5013 · 401-K Retirement Wages	2,820.67	1,917.00	6,524.39	23,004.00
5015 · Capitalized Labor	64.02	334.00	124.01	4,008.00
5020 · Payroll Taxes	4,790.88	3,200.00	11,051.02	38,400.00
5060 · Employee Health Ins.	17,239.14	17,917.00	52,547.18	215,004.00
5070 · Uniform Expense	0.00	542.00	974.22	6,504.00
Total 5099 · Personnel	84,728.90	64,682.00	209,201.05	776,184.00
5199 · Plant				
5110 · Utilities	26,178.89	24,150.00	83,694.86	289,800.00
5120 · Chemicals	9,930.17	7,500.00	25,102.40	90,000.00
5130 · Repair and Maintenance / Plant	110.14	1,000.00	1,992.58	12,000.00
5140 · Operating Supplies	922.52	415.00	2,651.00	4,980.00
5150 · Tools	0.00	205.00	0.00	2,460.00
5160 · Water Withdrawal Fee	0.00	730.00	2,149.84	8,760.00
5165 · Back-up Generator Maint.	0.00	250.00	0.00	3,000.00
5170 · Misc. Plant Expense	0.00	125.00	0.00	1,500.00
Total 5199 · Plant	37,141.72	34,375.00	115,590.68	412,500.00
5299 · Field Operations				
5220 · Repair and Maintenance / Field	21,918.62	11,000.00	46,922.71	132,000.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
March 2019

	Mar 19	Budget	Jan - Mar 19	Annual Budget
5230 · Vehicle / Repair and Maint.	1,947.21	1,420.00	2,189.79	17,040.00
5235 · Equipment Repair and Maint.	0.00	417.00	2,542.52	5,004.00
5240 · Gas and Diesel	4,037.73	1,750.00	6,502.63	21,000.00
5250 · Tools	273.17	416.00	715.96	4,992.00
Total 5299 · Field Operations	28,176.73	15,003.00	58,873.61	180,036.00
5399 · Contractual Services				
5310 · Legal and Accounting	0.00	0.00	0.00	13,500.00
5320 · Meter Testing	0.00	300.00	0.00	3,600.00
5340 · Water Analysis	1,539.00	917.00	2,339.00	11,004.00
5360 · Electrical Maintenance	284.55	200.00	284.55	2,400.00
5370 · Communication Maintenance	0.00	125.00	0.00	1,500.00
Total 5399 · Contractual Services	1,823.55	1,542.00	2,623.55	32,004.00
5499 · Office Expenses				
5410 · Postage	2,145.19	1,400.00	4,761.64	16,800.00
5420 · Office Supplies	1,117.59	667.00	4,283.59	8,004.00
5430 · Telephone Expense	574.81	542.00	1,724.58	6,504.00
5440 · Sanitation Pick-up Services	130.99	125.00	392.97	1,500.00
5450 · Computer Repair and Maintenance	1,628.19	125.00	1,628.19	1,500.00
5490 · Other Office Expenses	0.00	0.00	0.00	0.00
Total 5499 · Office Expenses	5,596.77	2,859.00	12,790.97	34,308.00
5599 · Administrative				
5510 · PSC Assessment	0.00	0.00	0.00	3,300.00
5520 · Insurance-General	4,408.94	4,334.00	13,226.82	52,008.00
5530 · Bad Debts	1,673.19	1,334.00	7,983.79	16,008.00
5540 · Board Fees	2,300.00	2,800.00	6,900.00	33,600.00
5550 · Fees and Dues	292.45	325.00	1,357.35	3,900.00
5560 · Publication Expense	0.00	80.00	0.00	960.00
5570 · Certification Training Expenses	0.00	400.00	0.00	4,800.00
5590 · Other Administrative Exp.	26.50	300.00	659.12	3,600.00
Total 5599 · Administrative	8,701.08	9,573.00	30,127.08	118,176.00
5699 · Interest and Depreciation Exp.				
5620 · Interest Expense KRWFC (1989)	1,516.67	1,030.00	4,000.01	12,360.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
March 2019

	Mar 19	Budget	Jan - Mar 19	Annual Budget
5626 · Interest Expense RD (2000)	888.00	875.00	2,664.00	10,500.00
5628 · Interest Exp. RD Series A 2012	3,220.31	3,167.00	9,660.93	38,004.00
5629 · Interest Exp. RD Series B 2012	3,349.69	3,350.00	10,048.99	40,200.00
5630 · Interest Exp. Customer Dep.	1.93	5.00	7.67	60.00
5640 · Depreciation Expense	70,871.00	71,585.00	212,593.00	859,020.00
Total 5699 · Interest and Depreciation Exp.	79,847.60	80,012.00	238,974.60	960,144.00
66000 · Payroll Expenses	0.00		0.00	
Total Expense	246,016.35	208,046.00	668,181.54	2,513,352.00
Net Ordinary Income	-138,395.31	-84,296.00	-323,243.70	-1,028,352.00
Other Income/Expense				
Other Income				
4199 · Other Income	147.00	334.00	1,232.00	4,008.00
4030 · Service Charges	3,731.34	3,750.00	11,283.99	45,000.00
4040 · Late Payment Penalties	12.13	34.00	228.79	408.00
4090 · Miscellaneous Revenues	3,567.33	42.00	10,556.93	504.00
4110 · Interest Income	0.00		39,108.33	
4199 · Other Income - Other	7,457.80	4,160.00	62,410.04	49,920.00
Total 4199 · Other Income	7,457.80	4,160.00	62,410.04	49,920.00
Total Other Income	7,457.80	4,160.00	62,410.04	49,920.00
Net Other Income	-130,937.51	-80,136.00	-260,833.66	-978,432.00
Net Income	-51,089.91	-124.00	-21,859.06	-18,288.00
Net Income Less Depreciation				
Payables				
Principle expense				
1989 Bonds KRWFC Payable	\$ 2,916.67	\$ 2,916.67	\$ 2,164.00	\$ 35,000.04
2000 Bonds Payable	\$ 541.00	\$ 541.00	\$ 5,066.64	\$ 6,492.00
2012 A Bonds Payable	\$ 1,266.66	\$ 1,266.66	\$ 10,266.64	\$ 15,199.92
2012 B Bonds Payable	\$ 2,566.66	\$ 2,566.66	\$ 29,163.96	\$ 30,799.92
Sub-Total Bonds Payable	\$ 7,290.99	\$ 7,290.99	\$ 46,661.24	\$ 87,491.88
Net Position	-\$58,380.90	-\$7,414.99	-\$68,520.30	-\$105,779.88
Capital Expenditures				

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance

March 2019

	<u>Mar 19</u>	<u>Budget</u>	<u>Jan - Mar 19</u>	<u>Annual Budget</u>
Hospital Hill SME	\$ 1,638.75			
Phase III SME	\$ 5,760.00			
Net Position after payables	-\$65,779.65			

HYDEN LESLIE

Water District

P.O. Box 906
Hyden, Kentucky 41749
606-672-2791

Augustus Roberts
Chairman
William J. Wooton
Commissioner
Ronnie Gay
Commissioner

William Todd Horton
Sec/Trea.
Timothy Helton
Commissioner
Larry J. Turner
Manager

The meeting of the Hyden-Leslie County Water District Board of Commissioners was held on May 30, 2019 at 4:00pm, at the business office of Hyden-Leslie County Water District located at 356 Wendover Road, Hyden, Kentucky.

1. Call to Order: The meeting was called to order at 4:00 pm. All commissioners were present. Manager L.J. Turner and Mike Maggard with SME were also present.
2. Recognize Audience: Burley Asher of Phillips Fork, Jeff and Lucille Day of Wooton were present.
3. Public Comment: Jeff and Lucille Day addressed the board about the water line relocation project at the Wooton Bridge where she stated the contractors damaged her home and came on her property without an easement. She requested free water and \$7,000 in Damages. The board explained to her that the contractors have stated they will turn in the damage on their insurance but free water was not possible.

Burley Asher addressed the board wanting information on the project on Phillips Fork.

4. Approval of Previous Meeting Minutes: Minutes were reviewed. William Horton made the motion to approve the previous meeting minutes. The motion was seconded by Ronnie Gay. Motion carried unanimously.
5. Phase III System Improvements: Mr. Turner stated that the county government does not want to see a significant rate increase, so that is holding up the CDBG. Mr. Maggard says he will write a notice for the newspaper to update the citizens of Phillips Fork and make people aware of why were in need of a rate increase.

A motion was made by William Horton to approve the SME pay request #7 and #8. Motion was seconded by Timothy Helton. Motion carried unanimously.

6. Stinnett Water Line Relocation / Other DOT Projects:.

Mr. Maggard says we will need to take bid quotes for the Sam's Branch DOT project. A motion was made by William Horton to take bid quotes. Motion was seconded by William Wooton. Motion carried unanimously

7. Hospital Hill System Improvements: Mr. Turner stated that the Hospital Hill project is complete.
8. Other Projects / System Issues: Mr. Turner stated that they did not get an update on ARC, but he did meet with KIA. He said they have verbally agreed to refinance most of our old debt, potentially saving the water district \$60,000 per year.
9. Operations Report: The Water Loss report showing 19.2% was presented. A motion was made by William Horton to accept the Water Loss Report. Motion was seconded by William Wooton. Motion carried unanimously.
10. Claims List: The claims list was reviewed. Augustus Roberts made the motion to approve the claims list. The motion was seconded by William Wooton. Motion carried unanimously.
11. Financial Report: The financial report was reviewed. A motion was made by William Horton to approve the financial report. The motion was seconded by Ronnie Gay. Motion carried unanimously.
12. Other New Business: Mr. Turner stated that our PSC hearing date has been set for July 9th.
13. Executive Session: None.
14. Adjournment: A motion was made to adjourn by Ronnie Gay. Motion was seconded by William Wooton. Motion carried unanimously.

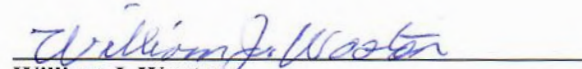
Approval of Minutes:


Augustus Roberts, Chairman

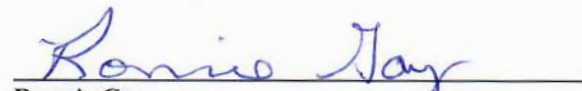
6-26-19
Date

Timothy Helton, Vice Chairman

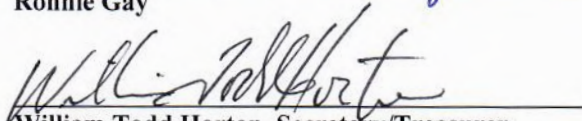
Date


William J. Wooton

6-26-19
Date


Ronnie Gay

6-26-19
Date


William Todd Horton, Secretary/Treasurer

6-26-19
Date

Lucille and Jeffery Day
P.O. Box 141
5080 KY 80
Wooton, KY 41776

April 11, 2019

Hyden – Leslie County Water District
P.O. Box 906
Hyden, KY 41749

Ref: KY 80 Smith Camp Waterline Relocation

Dear Mr Turner:

Per our previous conversation about waterline construction near and adjacent to our property located along KY 80 within the "Smith Camp" area, we have agreed that during our construction we have incurred some damages to our property and offer the following as an estimate. We also request that if the waterline has a leak on our property, that the District relocate the waterline to the ditch at that time.

you cant dig up water lines you will have to lay new ones

Four evergreen trees approximately 15 feet tall: $\$100 \times 6 = \600.00

Lucille Day

Replace Cracked Windows: **\$700**

Scuffed Concrete Blocks: **\$300**

Repair Damaged Porch steps: **\$1,000**

Repair Treated Wooden Spindles on the porch: **\$500**

Repair Damaged Porch Posts: **\$500**

Replace Concrete Blocks on the side: **\$2500**

Gravel and Repair Drive Way: **\$900**

Therefore the total request is **\$7,000**.

By accepting this offer, both parties agree that no further claims will be applied to this incident. If you have any further questions please call me.

Unless water lines burst and cause more damage

Sincerely,

LJ Turner
Manager

Lucille Day
Lucille Day
Jeffery Day
Jeffery Day

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
20 East Reynolds Road Suite A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: April 24, 2019
INVOICE NO. 14014-7
Sheet 1 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table 1 = Estimated Construction Cost= 3,648,000.00
\$3,468,000.00 x 7.013% = = 256,000.00 Estimated

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
C4.01.3.b STUDY & REPORT PHASE					
A. P.E.R.	\$10,000.00	100%	\$10,000.00	\$10,000.00	\$0.00
TOTAL C4.01.3.b.A	\$10,000.00	0%	\$0.00	\$0.00	\$0.00
C4.01.3.b ONE CONTRACT					
B. Preliminary Design - 15%	\$38,400.00	90.00%	\$34,560.00	\$28,800.00	\$5,760.00
C. Final Design - 55%	\$140,800.00	0.00%	\$0.00	\$0.00	\$0.00
D. Bidding & Negotiating - 10%	\$25,600.00	0.00%	\$0.00	\$0.00	\$0.00
E. Construction Phase - 15%	\$38,400.00	0.00%	\$0.00	\$0.00	\$0.00
F. Closeout - 5%	\$12,800.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01.3.b.:B thru F	\$256,000.00	13.50%	\$34,560.00	\$28,800.00	\$5,760.00
TOTAL FOR BASIC DESIGN	\$266,000.00	12.99%	\$34,560.00	\$28,800.00	\$5,760.00

 05-30-19
HYDEN - LESLIE COUNTY WATER DISTRICT DATE

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
EAST REYNOLDS ROAD SUITE A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: April 24, 2019
INVOICE NO. 14014-7
Sheet 2 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table II = Estimated Construction Cost= \$3,648,000.00
\$3,648,000.00 x 3.81% = \$157,000.00 Estimated

C4.01 RESIDENT INSPECTION

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
C4.01 SPECIFIC ADD'L SRVS.					
1. Environmental	\$10,000.00	100.00%	\$10,000.00	\$10,000.00	\$0.00
2. Surveys	\$10,000.00	10.00%	\$1,000.00	\$1,000.00	\$0.00
3. Permits	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
4. Easements Asst.	\$10,000.00	10.00%	\$1,000.00	\$1,000.00	\$0.00
5. PSC Assistance	\$5,000.00	0.00%	\$0.00	\$0.00	\$0.00
6. Geotechnical	\$15,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 SPEC. ADD'L	\$60,000.00	20.00%	\$12,000.00	\$12,000.00	\$0.00
TOTAL CONTRACT PHASE II	\$483,000.00	9.64%	\$46,560.00	\$40,800.00	\$5,760.00
INVOICES NOT PAID					\$0.00
TOTAL NOW DUE					\$5,760.00

HYDEN-LESLIE COUNTY WATER DISTRICT

WATER REVENUE FUND
325 WENDOVER RD
P.O. BOX 906
HYDEN, KY 41749

Check Fraud
Protection for Customers

3928
TAB 1

PAGE 315 of 330

73-517-421

DATE 6-7-19

PAY TO THE ORDER OF

HLC Water Phase III Water Line Ext. Project
Five thousand seven hundred sixty and 00/100

\$ 5760.00

DOLLARS



FOR Deposit Only

Raymond R. Blue
[Signature]

CHANGES AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE NATIONAL CHECKS AND COLLECTION AGREEMENT

DEPOSIT TICKET

Hyden Leslie Co Water Dist
Phase III Water Line Ext Project

356 Wendover Rd
Hyden, KY 41749

DATE 6-7-19

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

SIGN HERE FOR CASH RECEIVED (IF REQUIRED)



CASH

517
421

(OR TOTAL FROM OTHER SIDE)

SUB TOTAL

* LESS CASH RECEIVED

\$

5760.00

5760.00

Hyden Leslie Co Water Dist
Phase III Water Line Ext Project
356 Wendover Rd
Hyden, KY 41749

517
421

106

DATE 6-7-19

PAY TO THE ORDER OF

Sister-Maggard Engineering P.C.
Five thousand seven hundred sixty and 00/100

\$ 5760.00

DOLLARS



MEMO

Inv. 14014-7

Raymond R. Blue
[Signature]

SISLER-MAGGARD ENGINEERING, PLLC.
ENGINEERING SURVEYING
220 East Reynolds Road Suite A3
LEXINGTON, KY 40517
(859) 271-2978
Fax (859) 271-5670

DATE: April 24, 2019
INVOICE NO. 14014-8
Sheet 1 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
ATTN: LJ Turner, MANAGER
P.O. BOX 906
HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table 1 = Estimated Construction Cost= 3,648,000.00
\$3,468,000.00 x 7.013% = = 256,000.00 Estimated

C4.01 FOR BASIC DESIGN

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
C4.01.3.b STUDY & REPORT PHASE					
A. P.E.R.	\$10,000.00	100%	\$10,000.00	\$10,000.00	\$0.00
TOTAL C4.01.3.b.A	\$10,000.00	0%	\$0.00	\$0.00	\$0.00
C4.01.3.b ONE CONTRACT					
B. Preliminary Design - 15%	\$38,400.00	99.00%	\$38,016.00	\$34,560.00	\$3,456.00
C. Final Design - 55%	\$140,800.00	2.00%	\$2,816.00	\$0.00	\$2,816.00
D. Bidding & Negotiating - 10%	\$25,600.00	0.00%	\$0.00	\$0.00	\$0.00
E. Construction Phase - 15%	\$38,400.00	0.00%	\$0.00	\$0.00	\$0.00
F. Closeout - 5%	\$12,800.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01.3.b.:B thru F	\$256,000.00	15.95%	\$40,832.00	\$34,560.00	\$6,272.00
TOTAL FOR BASIC DESIGN	\$266,000.00	15.35%	\$40,832.00	\$34,560.00	\$6,272.00



 HYDEN - LESLIE COUNTY WATER DISTRICT DATE

SISLER-MAGGARD ENGINEERING, PLLC.
 ENGINEERING SURVEYING
 EAST REYNOLDS ROAD SUITE A3
 LEXINGTON, KY 40517
 (859) 271-2978
 Fax (859) 271-5670

DATE: April 24, 2019
 INVOICE NO. 14014-8
 Sheet 2 of 2

SERVICE FOR:

HYDEN - LESLIE COUNTY WATER DISTRICT
 ATTN: LJ Turner, MANAGER
 P.O. BOX 906
 HYDEN, KY 41749

JOB DESIGNATION:

HYDEN - LESLIE COUNTY WATER DISTRICT
 Phase III - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table II = Estimated Construction Cost= \$3,648,000.00
 \$3,648,000.00 x 3.81% = \$157,000.00 Estimated

C4.01 RESIDENT INSPECTION

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 INSPECTION	\$157,000.00	0.00%	\$0.00	\$0.00	\$0.00
C4.01 SPECIFIC ADD'L SRVS.					
1. Environmental	\$10,000.00	100.00%	\$10,000.00	\$10,000.00	\$0.00
2. Surveys	\$10,000.00	10.00%	\$1,000.00	\$1,000.00	\$0.00
3. Permits	\$10,000.00	0.00%	\$0.00	\$0.00	\$0.00
4. Easements Asst.	\$10,000.00	10.00%	\$1,000.00	\$1,000.00	\$0.00
5. PSC Assistance	\$5,000.00	0.00%	\$0.00	\$0.00	\$0.00
6. Geotechnical	\$15,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 SPEC. ADD'L	\$60,000.00	20.00%	\$12,000.00	\$12,000.00	\$0.00
TOTAL CONTRACT PHASE II	\$483,000.00	10.94%	\$52,832.00	\$46,560.00	\$6,272.00
INVOICES NOT PAID					\$0.00
TOTAL NOW DUE					\$6,272.00

HYDEN-LESLIE COUNTY WATER DISTRICT

WATER REVENUE FUND
325 WENDOVER RD
P.O. BOX 906
HYDEN, KY 41749

EZwrite™ Check Fraud
Protection for Business

TAB 1 3927

PAGE 318 of 330

73-517-421

PAY TO THE ORDER OF

H.d.C.W.D. Phase III Water Line Ext. Project
Six thousand two hundred seventy two and 00/100

DATE

6-7-19

\$ 6272.00

DOLLARS



FOR

Deposit only

Augusta Robin
MP

DEPOSIT TICKET

Hyden Leslie Co Water Dist
Phase III Water Line Ext Project
356 Wendover Rd
Hyden, KY 41749

CASH

517
421

DATE

6-7-19

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

(OR TOTAL FROM OTHER SIDE)
SUB TOTAL

6272.00

SIGN HERE FOR CASH RECEIVED (IF REQUIRED)



* LESS CASH RECEIVED

\$

6272.00

Hyden Leslie Co Water Dist
Phase III Water Line Ext Project
356 Wendover Rd
Hyden, KY 41749

517
421

105

DATE

6-7-19

PAY TO THE ORDER OF

Sisler Margaret Engineering PLLC
Six thousand two hundred seventy two and 00/100

\$ 6272.00

DOLLARS



MEMO

Inv. 14014-8

Augusta Robin
MP

0105

ENGINEERS COST ESTIMATE
HYDEN - LESLIE COUNTY WATER DISTRICT
KY 1780 Bridge Over Sams Branch - WATERLINE RELOCATION
Revised 5/6/2019
SME #19014

ITEM NO.	ITEM DESCRIPTION	UNITS	QUANTITY	UNIT COST	TOTAL COST
1	3" I.D. SDR 9 HDPE WATERLINE by Horizontal Directional Drill	LF	110	\$ 100.00	\$ 11,000.00
2	3" CL 250 PVC WATERLINE	LF	40	\$ 25.00	\$ 1,000.00
3	3" Gate Valves	EA	2	\$ 850.00	\$ 1,700.00
4	LEAK DETECTION ASSEMBLY	EA	1	\$ 2,500.00	\$ 2,500.00
5	Gravel Surface Replacement	SY	50	\$ 20.00	\$ 1,000.00
6	Tie in Ex. 3" W.L.	EA	2	\$ 1,200.00	\$ 2,400.00
7	Blow Off Valve Assembly	EA	1	\$ 1,500.00	\$ 1,500.00
8	Fiberglass Markers	EA	3	\$ 20.00	\$ 60.00
				TOTAL	\$ 19,600.00

ENGINEERING		
PRELIMINARY DESIGN		\$500.00
FINAL DESIGN		\$1,650.00
CONSTRUCTION ENGINEERING		\$550.00
EASEMENT PREPARATION AND ACQUISITION		\$1,000.00
INSPECTION		\$2,500.00
TOTAL ENGINEERING FEES		\$6,200.00
TOTAL PROJECT COSTS		\$ 25,800.00

ENGINEERING SERVICE CONTRACT PROPOSAL AND CHECK LIST

- NOTES: (1) Engineering Service Contracts will be submitted
In triplicate to District Utility Agent/Engineer
(2) Rough Construction cost estimate will be prepared
by consultant and will accompany the Engineering
Service Contract.

A. Preliminary Design and Planning

- (1) Verify size and location of existing utility facilities.
If shown incorrectly on road plans, furnish plan
Sheets showing corrected location of facilities. \$ 500.00

Sec. A Total \$ 500.00

Note: If necessary, have Utility company use necessary equipment
to expose existing utility facilities. Tie down horizontal and vertical
location.

B. Preparation of Plans, Estimate & Specifications

- (1) Perform necessary field work
(a) Identify existing facilities to be relocated or abandoned
(b) Perform any additional field work necessary to
prepare estimate and plans. \$100.00

- (2) Prepare detailed construction cost estimate and plans.
(a) Use plan sheets furnished unless otherwise approved
by the Cabinet.
(b) Prepare cross sections on all new road crossings.
(c) Show betterment credits if applicable.
(d) Show sheet totals of major items to be installed,
removed/abandoned. \$650.00

- (3) Prepare Specifications \$300.00

- (4) Submit Water/Sewer plans and application to the Construction
Grants Branch in the Division of Water and secure approval.
Provide District Utility Agent with copy of approval letter. \$300.00

- (5) Mileage & Subsistence

Sec. B Total \$1,350.00

C. Preparation of Bid Proposal

(1) Prepare proposal to advertise and award contract.	
(a) Bid solicitation	
(b) Tabulate Bids	
(c) Award of Contract	
(d) When work is to be made part of road construction, Submit plans (on mylars), estimate and specifications To the District Utilities Agent/Engineer in accordance With procedure outlined in Utilities Memorandum No. 6-89.	<u>\$300.00</u>
 (2) Coordinate utility relocation with all other utility Companies to insure there are no conflicts.	 <u>\$0.00</u>
Sec. C Total	<u>\$300.00</u>

D. Right of Way/Easements (If necessary)

(1) Description	<u>\$500.00</u>
(2) Accompanying Plats	<u> </u>
(3) Field work if required	<u>\$500.00</u>
(4) Mileage and subsistence	<u> </u>
Sec. D Total	<u>\$1,000.00</u>

E. Construction Contract Administration

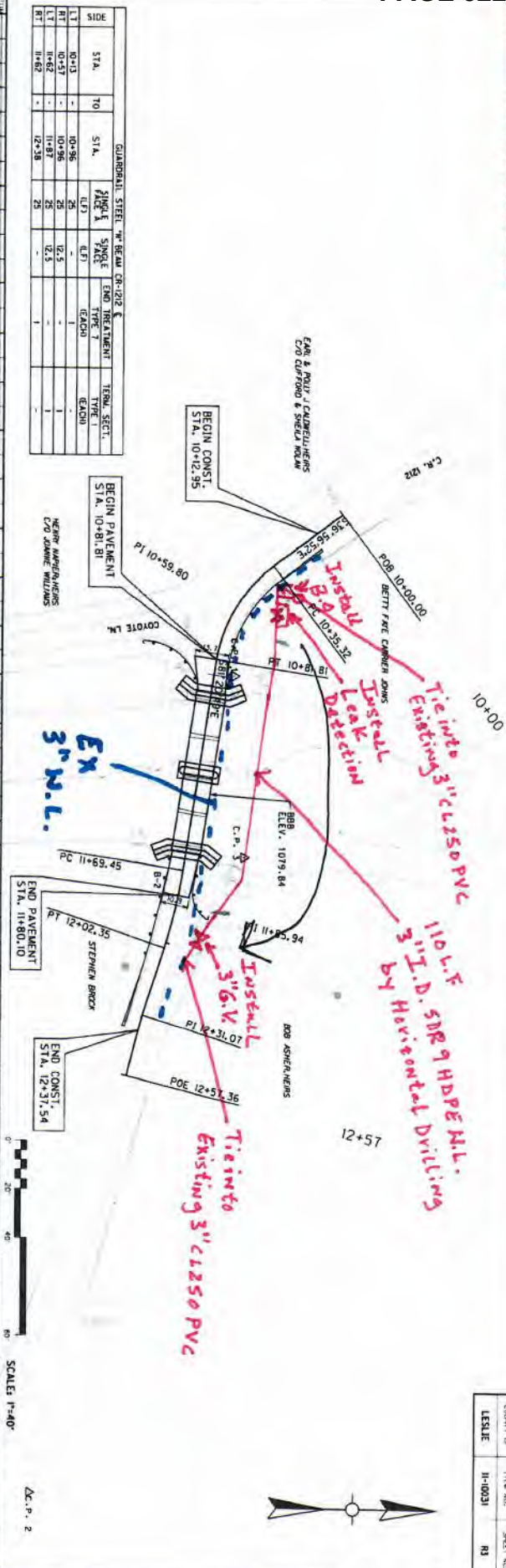
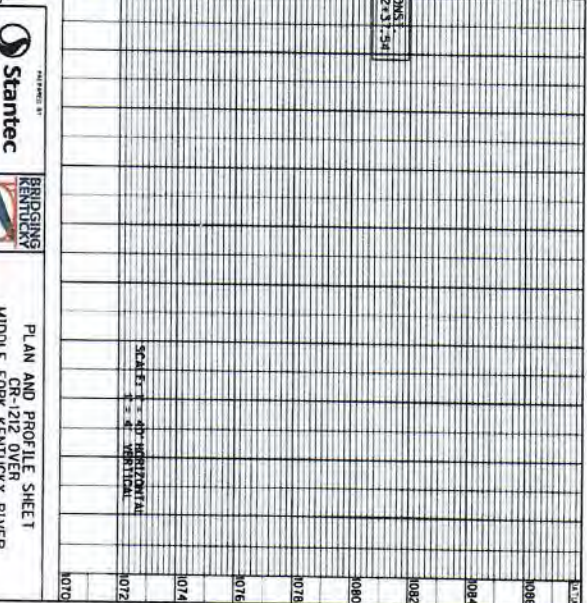
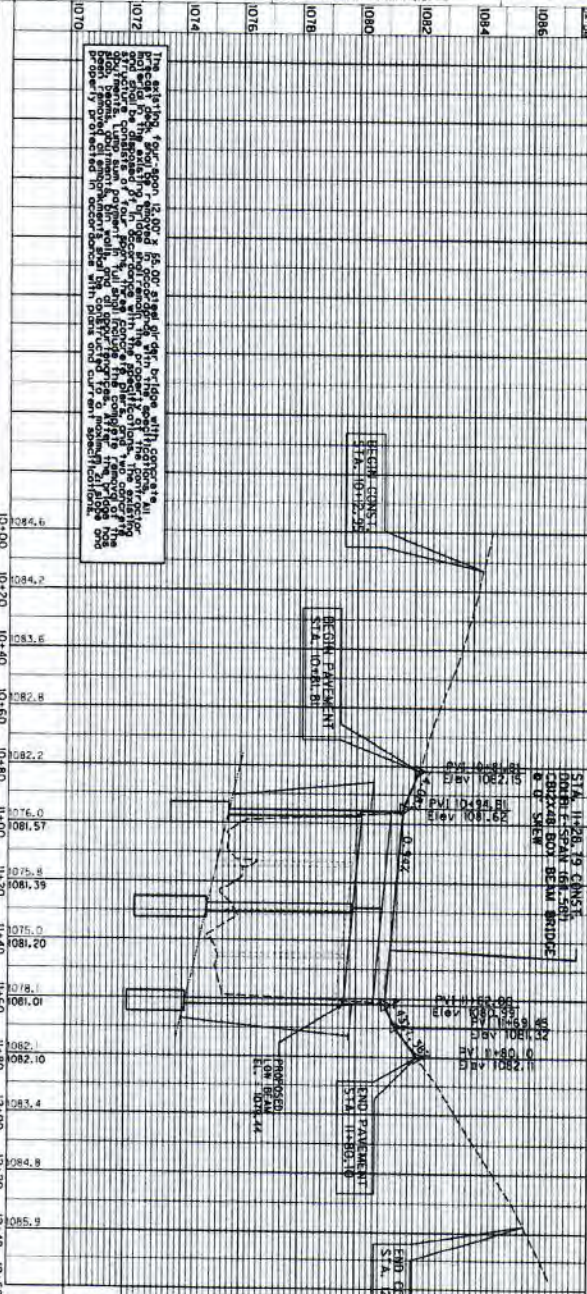
(1) Perform field staking as specified in contract.	<u>\$100.00</u>
(2) Perform resident inspection as specified in contract	<u>\$2,500.00</u>
(3) Process billings	
(a) Current billings	
(b) Final billing including submission of three (3) sets of As-Built Plans	<u>\$450.00</u>
(4) Mileage and subsistence	<u> </u>
Sec. E Total	<u>\$3,050.00</u>

Grand Total	<u>\$6,200.00</u>
--------------------	--------------------------

PRELIMINARY - NOT FOR CONSTRUCTION

MicroStation v8.1.9.832 E-SHEET NAME: USER: rdwhorpe DATE PLOTTED: 3/12/2019 10:45:57 PM FILE NAME: ...Plan Sheets\064000274.R3

SIDE	STA.	TO STA.	PIECE	SINGLE	END TREATMENT	TERM. SECT.
			(L.F.)	(L.F.)	(EACH)	(EACH)
LT	10+13	10+38	25	25	-	-
LT	10+37	10+38	25	25	-	-
LT	10+43	11+11	25	25	-	-
RT	11+62	12+38	25	25	-	-



PLAN AND PROFILE SHEET
MIDDLE FORK KENTUCKY RIVER

SCALE: 1" = 40'

CHART # 00	1114	NO.	SHEET NO.
LESLIE	11-0031		R3

PUBLIC SERVICE COMMISSION

Monthly Water Loss Report

Water Utility:

For the Month of: Year:

LINE #	ITEM	GALLONS (Omit 000's)	
1	WATER PRODUCED, PURCHASED & DISTRIBUTED		
2	Water Produced	25,440	
3	Water Purchased		
4	TOTAL PRODUCED AND PURCHASED	25,440	
5			
6	WATER SALES		
7	Residential	12,014	
8	Commercial	2,942	
9	Industrial		
10	Bulk Loading Stations		
11	Wholesale		
12	Other Sales		
13	TOTAL WATER SALES	14,956	58.8%
14			
15	OTHER WATER USED		
16	Utility and/or Water Treatment Plant	5,535	
17	Wastewater Plant		
18	System Flushing	52	
19	Fire Department		
20	Other		
21	TOTAL OTHER WATER USED	5,587	22.0%
22			
23	WATER LOSS		
24	Tank Overflows		
25	Line Breaks	3,204	
26	Line Leaks	1,693	
27	Other		
28	TOTAL LINE LOSS	4,897	19.2%
29			
30	Note: Line 13 + Line 21 + Line 28 Must Equal Line 4		
31			
32	WATER LOSS PERCENTAGE		
33	Unaccounted-For Water (Line 28 divided by Line 4)	19.2%	

April Claims List

Check#	Vendor/Account	Amount	Type
3898	HLCWD Oper. & Maint. Fund	40000	Transfer
3899	HLCWD Payroll Account	14997.95	Transfer
3900	HLCWD Bond & Int. Sinking Fund 2012	10403.32	Transfer
3901	HLCWD Bond & Int. Sinking Fund 1989	3983.34	Transfer
3902	HLCWD Bond & Int. Sinking Fund 2000	1429.367	Transfer
3903	HLCWD Phase III Water Line Ext. Project	5760	Transfer
3904	HLCWD Depreciation Fund 1991	1600	Transfer
3905	HLCWD Depreciation Fund 1989	580	Transfer
3906	HLCWD Escrow Fund	630	Transfer
104	Sisler-Maggard Eng. PLLC	5760	1630
27824	Ky. River ADD	Void	5570
27825	Thomas E Baker	275.58	5130
27826	Horton Hardware	127.67	5220
27827	Martin Marietta Materials	565.58	5220
27828	Rumpke	130.99	5440
27829	Larry J Turner	7.15	5410
27830	Augustus Roberts	500	5540
27831	Ronnie Gay	500	5540
27832	Timothy Helton	500	5540
27833	William Wooton	300	5540
27834	Todd Horton	500	5540
27835	Hyden Citizens Bank	4872.64	2040
27836	Couch's Shell	907.22	5240
27837	TDS	574.52	5430
27838	Leslie County Treasurer	553.74	5240
27839	Thomas E Baker	118.94	5220/5130
27840	Pace Analytical Services LLC	441	5340
27841	Consolidated Pipe & Supply Co., Inc.	933.3	5220
27842	Sisler-Maggard Eng. PLLC	1638.75	1596
27843	Ky. Deferred Comp.	1817.64	2045
27844	Quill Corp.	216.59	5420
27845	Kona Products	260.4	5220
27846	Utility Solutions LLC	1143.85	5220
27847	Thomas E Baker	4.77	5130
27848	Thomas E Baker	12.92	5220
27849	Clark Auto Parts	263.73	5230/5220/5250
27850	Ky. Power Co.	21940.2	5110
27851	Postmaster	1028.72	5410
3907	HLCWD Oper. & Maint. Fund	44000	Transfer
3908	HLCWD Payroll Account	14697.89	Transfer
27852	Adams Welding Service	90	5250
27853	KACo Benefits Group	16773.88	5060
27854	Adams Wedling Service	400	5230
27855	Maggard Electric	390	5220

27856	Hayes Pipe & Supply Inc.	1605	5220
27857	Ky. Deferred Comp.	1785.16	2045
27858	Thomas E Baker	10.88	5220
27859	Consolidated Pipe & Supply Co., Inc.	5099.32	5220
27860	C.I. Thornburg Co Inc.	4402.72	5220/5120
27861	Cumberland Valley Electric	634.58	5110
27862	Ky. State Treasurer	1782.19	2035
27863	Ky. State Treasurer	543.41	2050
27864	Ky. State Treasurer	3636.96	2070/2071/2072
27865	Leslie Co. Occupational Ta Admin.	418.79	2048
27865	Hyden Citizens Bank	4579.76	2040
27867	Quill Corp.	413.83	5420
27868	Double H Auto	622	5230

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
April 2019

	Apr 19	Budget	Jan - Apr 19	YTD Budget	Annual Budget
Ordinary Income/Expense					
Income					
4029 · Water Operating Revenues					
4010 · Metered Sales Residential	101,002.64	103,300.00	393,577.99	413,200.00	1,239,600.00
4020 · Metered Sales Commercial	20,229.58	20,450.00	75,680.15	81,800.00	245,400.00
4021 · Less Adjustment Residential	-398.58		-3,478.56		
4022 · Less Adjustments Commercial	0.00		-8.10		
Total 4029 · Water Operating Revenues	120,833.64	123,750.00	465,771.48	495,000.00	1,485,000.00
Total Income	120,833.64	123,750.00	465,771.48	495,000.00	1,485,000.00
Expense					
Gross Profit					
120,833.64	123,750.00	465,771.48	495,000.00	1,485,000.00	
5099 · Personnel					
5010 · Salaries and Wages	35,259.02	35,355.00	151,830.04	141,420.00	424,260.00
5011 · Over Time Wages	4,669.91	5,417.00	26,079.12	21,668.00	65,004.00
5013 · 401-K Retirement Wages	1,783.71	1,917.00	8,308.10	7,668.00	23,004.00
5015 · Capitalized Labor	214.44	334.00	338.45	1,336.00	4,008.00
5020 · Payroll Taxes	3,203.70	3,200.00	14,264.72	12,800.00	38,400.00
5060 · Employee Health Ins.	17,910.37	17,917.00	70,457.55	71,668.00	215,004.00
5070 · Uniform Expense	0.00	542.00	974.22	2,168.00	6,504.00
Total 5099 · Personnel	63,041.15	64,682.00	272,242.20	258,728.00	776,184.00
5199 · Plant					
5110 · Utilities	22,574.78	24,150.00	106,269.64	96,600.00	289,800.00
5120 · Chemicals	4,142.61	7,500.00	29,245.01	30,000.00	90,000.00
5130 · Repair and Maintenance / Plant	306.34	1,000.00	2,298.92	4,000.00	12,000.00
5140 · Operating Supplies	0.00	415.00	2,651.00	1,660.00	4,980.00
5150 · Tools	0.00	205.00	0.00	820.00	2,460.00
5160 · Water Withdrawal Fee	0.00	730.00	2,149.84	2,920.00	8,760.00
5165 · Back-up Generator Maint.	0.00	250.00	0.00	1,000.00	3,000.00
5170 · Misc. Plant Expense	0.00	125.00	0.00	500.00	1,500.00
Total 5199 · Plant	27,023.73	34,375.00	142,614.41	137,500.00	412,500.00
5299 · Field Operations					
5220 · Repair and Maintenance / Field	9,985.82	11,000.00	56,908.53	44,000.00	132,000.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
April 2019

	Apr 19	Budget	Jan - Apr 19	YTD Budget	Annual Budget
5230 · Vehicle / Repair and Maint.	1,041.37	1,420.00	3,231.16	5,680.00	17,040.00
5235 · Equipment Repair and Maint.	0.00	417.00	2,542.52	1,668.00	5,004.00
5240 · Gas and Diesel	1,460.96	1,750.00	7,963.59	7,000.00	21,000.00
5250 · Tools	161.89	416.00	877.85	1,664.00	4,992.00
Total 5299 · Field Operations	12,650.04	15,003.00	71,523.65	60,012.00	180,036.00
5399 · Contractual Services					
5310 · Legal and Accounting	0.00	0.00	0.00	0.00	13,500.00
5320 · Meter Testing	0.00	300.00	0.00	1,200.00	3,600.00
5340 · Water Analysis	441.00	917.00	2,780.00	3,668.00	11,004.00
5360 · Electrical Maintenance	0.00	200.00	284.55	800.00	2,400.00
5370 · Communication Maintenance	0.00	125.00	0.00	500.00	1,500.00
Total 5399 · Contractual Services	441.00	1,542.00	3,064.55	6,168.00	32,004.00
5499 · Office Expenses					
5410 · Postage	1,035.87	1,400.00	5,797.51	5,600.00	16,800.00
5420 · Office Supplies	630.42	667.00	4,914.01	2,668.00	8,004.00
5430 · Telephone Expense	574.52	542.00	2,299.10	2,168.00	6,504.00
5440 · Sanitation Pick-up Services	130.99	125.00	523.96	500.00	1,500.00
5450 · Computer Repair and Maintenance	0.00	125.00	1,628.19	500.00	1,500.00
5490 · Other Office Expenses	0.00	0.00	0.00	0.00	0.00
Total 5499 · Office Expenses	2,371.80	2,859.00	15,162.77	11,436.00	34,308.00
5599 · Administrative					
5510 · PSC Assessment	0.00	0.00	0.00	0.00	3,300.00
5520 · Insurance-General	4,408.94	4,334.00	17,635.76	17,336.00	52,008.00
5530 · Bad Debts	-337.60	1,334.00	7,646.19	5,336.00	16,008.00
5540 · Board Fees	2,300.00	2,800.00	9,200.00	11,200.00	33,600.00
5550 · Fees and Dues	58.27	325.00	1,415.62	1,300.00	3,900.00
5560 · Publication Expense	0.00	80.00	0.00	320.00	960.00
5570 · Certification Training Expenses	0.00	400.00	0.00	1,600.00	4,800.00
5590 · Other Administrative Exp.	0.00	300.00	659.12	1,200.00	3,600.00
Total 5599 · Administrative	6,429.61	9,573.00	36,556.69	38,292.00	118,176.00
5699 · Interest and Depreciation Exp.					
5620 · Interest Expense KRWFC (1989)	1,066.67	1,030.00	5,066.68	4,120.00	12,360.00

Hyden-Leslie Co. Water District
Profit & Loss Budget Performance
April 2019

	Apr 19	Budget	Jan - Apr 19	YTD Budget	Annual Budget
5626 · Interest Expense RD (2000)	888.00	875.00	3,552.00	3,500.00	10,500.00
5628 · Interest Exp. RD Series A 2012	3,220.31	3,167.00	12,881.24	12,668.00	38,004.00
5629 · Interest Exp. RD Series B 2012	3,349.69	3,350.00	13,398.68	13,400.00	40,200.00
5630 · Interest Exp. Customer Dep.	0.57	5.00	8.24	20.00	60.00
5640 · Depreciation Expense	70,820.00	71,585.00	283,413.00	286,340.00	859,020.00
Total 5699 · Interest and Depreciation Exp.	79,345.24	80,012.00	318,319.84	320,048.00	960,144.00
66000 · Payroll Expenses	0.00		0.00		
Total Expense	191,302.57	208,046.00	859,484.11	832,184.00	2,513,352.00
Net Ordinary Income	-70,468.93	-84,296.00	-393,712.63	-337,184.00	-1,028,352.00
Other Income/Expense					
Other Income					
4199 · Other Income					
4030 · Service Charges	339.00	334.00	1,571.00	1,336.00	4,008.00
4040 · Late Payment Penalties	3,631.59	3,750.00	14,915.58	15,000.00	45,000.00
4090 · Miscellaneous Revenues	34.09	34.00	262.88	136.00	408.00
4110 · Interest Income	5,439.66	42.00	15,996.59	168.00	504.00
4199 · Other Income - Other	7,487.05		46,595.38		
Total 4199 · Other Income	16,931.39	4,160.00	79,341.43	16,640.00	49,920.00
Total Other Income	16,931.39	4,160.00	79,341.43	16,640.00	49,920.00
Net Other Income	16,931.39	4,160.00	79,341.43	16,640.00	49,920.00
Net Income	-53,537.54	-80,136.00	-314,371.20	-320,544.00	-978,432.00
Net Income Less Depreciation	25,807.70	-124.00	3,948.64	-496.00	
Payables					
Principle expense					
1989 Bonds KRWFC Payable	\$ 2,916.67	\$ 2,916.67	\$ 11,666.68	\$ 11,666.68	\$ 35,000.04
2000 Bonds Payable	\$ 541.00	\$ 541.00	\$ 2,164.00	\$ 2,164.00	\$ 6,492.00
2012 A Bonds Payable	\$ 1,266.66	\$ 1,266.66	\$ 5,066.64	\$ 5,066.64	\$ 15,199.92
2012 B Bonds Payable	\$ 2,566.66	\$ 2,566.66	\$ 10,266.64	\$ 10,266.64	\$ 30,799.92
Sub-Total Bonds Payable	\$ 7,290.99	\$ 7,290.99	\$ 29,163.96	\$ 29,163.96	\$ 87,491.88
Net Position	\$18,516.71	-\$7,414.99	-\$25,215.32	-\$29,659.96	-\$87,491.88

TAB 2

PUBLIC SERVICE COMMISSION

Monthly Water Loss Report

Water Utility:

For the Month of: Year:

LINE #	ITEM	GALLONS (Omit 000's)	
1	WATER PRODUCED, PURCHASED & DISTRIBUTED		
2	Water Produced	29,270	
3	Water Purchased		
4	TOTAL PRODUCED AND PURCHASED	29,270	
5	WATER SALES		
7	Residential	13,720	
8	Commercial	3,207	
9	Industrial		
10	Bulk Loading Stations		
11	Wholesale		
12	Other Sales		
13	TOTAL WATER SALES	16,927	57.8%
14	OTHER WATER USED		
16	Utility and/or Water Treatment Plant	5,734	
17	Wastewater Plant		
18	System Flushing	33	
19	Fire Department		
20	Other		
21	TOTAL OTHER WATER USED	5,767	19.7%
22	WATER LOSS		
24	Tank Overflows		
25	Line Breaks	2,089	
26	Line Leaks	4,487	
27	Other		
28	TOTAL LINE LOSS	6,576	22.5%
29			
30	Note: Line 13 + Line 21 + Line 28 Must Equal Line 4		
31			
32	WATER LOSS PERCENTAGE		
33	Unaccounted-For Water (Line 28 divided by Line 4)	22.5%	

PUBLIC SERVICE COMMISSION

Monthly Water Loss Report

Water Utility:

For the Month of: Year:

LINE #	ITEM	GALLONS (Omit 000's)	
1	WATER PRODUCED, PURCHASED & DISTRIBUTED		
2	Water Produced	27,160	
3	Water Purchased		
4	TOTAL PRODUCED AND PURCHASED	27,160	
5	WATER SALES		
7	Residential	13,262	
8	Commercial	2,930	
9	Industrial		
10	Bulk Loading Stations		
11	Wholesale		
12	Other Sales		
13	TOTAL WATER SALES	16,192	59.6%
14	OTHER WATER USED		
16	Utility and/or Water Treatment Plant	5,425	
17	Wastewater Plant		
18	System Flushing	43	
19	Fire Department		
20	Other		
21	TOTAL OTHER WATER USED	5,468	20.1%
22	WATER LOSS		
24	Tank Overflows		
25	Line Breaks	2,068	
26	Line Leaks	3,432	
27	Other		
28	TOTAL LINE LOSS	5,500	20.3%
29			
30	Note: Line 13 + Line 21 + Line 28 Must Equal Line 4		
31			
32	WATER LOSS PERCENTAGE		
33	Unaccounted-For Water (Line 28 divided by Line 4)	20.3%	

TAB 3

Determination of Excessive Water Loss Cost

Water Produced, Purchased and Distributed		
Water Produced	390,974,000	
Water Purchased	-	
Total Produced and Purchased	390,974,000	
Water Sales		
Residential	155,171,000	
Commercial	34,482,000	
Industrial		
Bulk Loading Stations		
Resale		
Other Sales		
Total Water Sales	189,653,000	
Other Water Used		
Utility/Water Treatment Plant	72,725,000	
Wastewater Plant		
System Flushing	100,000	
Fire Department		
Other		
Total Other Water Used	72,825,000	
Water Loss:		
Tank Overflows		
Line Breaks	27,005,000	
Line Leaks	101,491,000	
Other		
Total Line Loss	128,496,000	
Water Loss Percentage	32.87	
Line Loss Exceeding Permissible Limit (%)	17.87	
Excessive Water Loss (Gallons)	69,849,900	
Total Cost of Excessive Water Loss	\$60,769.41	
		Current Cost of Water: \$0.87 per 1,000 gals

Determination of Variable Cost of Water

Expense	Amount
Purchased Power - Water Treatment- Operations	\$ 162,988
Purchased Power - Water Treatment- Maintenance	\$ -
Purchased Power - Transmission & Distribution	\$ 81,494
Chemicals	\$ 96,555
 Total	 \$ 341,037
 Total Gallons Produced	 390,974,000
Cost Per 1,000 Gallons	\$ 0.87