VERIFICATION

STATE OF OHIO)	
)	SS:
COUNTY OF HAMILTON)	

The undersigned, Cindy Givens, Senior Products & Services Specialist, being duly sworn, deposes and says that she has personal knowledge of the matters set forth in the foregoing data requests, and that the answers contained therein are true and correct to the best of her knowledge, information and belief.

Cindy Givens, Affiant

Subscribed and sworn to before me by Cindy Givens on this 24th day of 2019.

NOTARY PUBLIC

My Commission Expires: July 8,2022

E. MINNA ROLFES-ADKINS
Notary Public, State of Ohlo
My Commission Expires
July 8, 2022

KyPSC Case No. 2018-00370 TABLE OF CONTENTS

DATA REQUEST	WITNESS	TAB NO.
STAFF-DR-03-001	Cindy Givens	1

Duke Energy Kentucky Case No. 2018-00370

STAFF Third Set Data Requests Date Received: April 24, 2019

STAFF-DR-03-001

REQUEST:

In PSC Case No. 2018-00261, the record of which has been incorporated by reference

herein,2 Duke Kentucky filed copies of all agreements/contracts it had with Northern

Kentucky Community Action Commission (NKCAC) related to the HEA Program.³ Refer

to the agreement between Duke Kentucky and NKCAC with an effective date of September

25, 2008.

a. Refer to page 2 of the agreement, Item 4. Provide a copy of the audit reports

referred to between Duke Kentucky and NKCAC for the years 2018, 2017, 2016, 2015,

and 2014.

b. On page 2 of that agreement, Item 9 references a final report that NKCAC

must provide to Duke Kentucky at the end of each Duke Program Funding Period. Provide

copies of each final report from September 25, 2008, to the date of the issuance of this

Request for Information.

On page 3 of that agreement, Item 10 states that both NKCAC and Duke

Energy will audit the HEA Program and the administrative fees at the end of each Duke

¹ Case No. 2018-00261, Electronic Application of Duke Energy Kentucky, Inc. for Authority to 1) Adjust Natural Gas Rates 2) Approval of a Decoupling Mechanism 3) Approval of New Tariffs 4) And for All Other Required Approvals, Waivers, and Relief (Ky. PSC filed Aug. 31, 2018).

² Case No. 2018-00370, Electronic Annual Cost Recovery Filing for Demand Side Management by Duke Energy Kentucky, Inc., Order (Ky. PSC Apr. 9, 2019).

3 Case No. 2018-00261, Duke Kentucky's Response to Staff's Second Post-Hearing Request for Information, Item 8, filed March 8, 2019.

1

Program Funding Period. Provide copies of each audit for the years 2018, 2017, 2016, 2015, and 2014.

RESPONSE:

a. The Company does not perform a formal audit where reports are created; however, the Company does review all billings received for accuracy as it relates to the contract.

 Please see STAFF-DR-03-001 Attachment which includes NKCAC yearend reports for 2009 through February 2019.

c. Please see response to part a.) above. The Company and NKCAC do not perform a formal audit where reports are generated; however, both NKCAC and the Company review all billings for accuracy as it relates to the contract.

PERSON RESPONSIBLE: Cindy Givens

2009						
Month	Deposited funds	Funds Spent	Admin. Cost	Fund Balance	Families Assisted	Per Family
November	\$20,737.00	\$0.00	\$0.00	\$20,737.00		
December	\$20,795.10	\$0.00	\$0.00	\$20,795.10		
January	\$20,737.70	\$0.00	\$0.00	\$20,737.70		
February	\$20,780.00			\$20,780.00		
March	\$20,882.50	\$14,418.09	\$2,162.71	\$4,301.70		\$221.82
April	\$20,740.20	\$100,926.37	\$15,138.96	-\$95,325.13	390	\$258.79
May	\$20,592.90	\$0.00	\$0.00	\$20,592.90	0	
June	\$20,640.90	\$1,088.00	\$163.20	\$19,389.70	4	\$272.00
July	\$19,569.20	\$132.65	\$19.90	\$19,416.65		\$132.65
August	\$20,606.70	\$900.00	\$135.00	\$19,571.70		The state of the s
September	\$20,621.90	\$1,200.00	\$180.00	\$19,241.90	4	* T.T. T. T
October	\$20,651.60	\$43,049.43	\$6,457.41	-\$28,855.24		\$203.06
November	\$20,679.10	\$39,725.55	\$5,958.83	-\$25,005.28	326	\$121.86
December	\$20,851.30	\$200.00	\$30.00	\$20,621.30	1	\$200.00
Totals	\$288,886.10 spending total	\$201,640.09 \$231,886.10		\$57,000.00	1006	\$200.44
2010						
Month	Deposited Funds	Funds Spent	Admin. Cost	Fund Balance	Families Assisted	Per Family
Balance For	rward			\$ 57,000.00		
January	\$20,781.80	\$330.00	\$49.50	\$20,402.30	2	\$165.00
February	\$20,121.70	\$0.00	\$0.00	\$20,121.70	0	\$0.00
March	\$20,923.80	\$74,456.87	\$11,168.53	-\$64,701.60	372	\$200.15
April	\$20,806.00	\$41,269.02	\$6,190.35	-\$26,653.37	174	\$237.18
May	\$20,610.30	\$0.00	\$0.00	\$20,610.30	0	\$0.00
June	\$20,644.60	\$0.00	\$0.00	\$20,644.60	0	\$0.00
July	\$20,639.80	\$0.00	\$0.00	\$20,639.80	0	\$0.00
August	\$20,624.80	\$0.00	\$0.00	\$20,624.80	0	\$0.00
September	\$20,606.90	\$0.00	\$0.00	\$20,606.90	0	\$0.00
October	\$20,667.30		\$0.00	\$20,667.30	0	\$0.00
November	\$20,781.60		\$13,469.24	-\$82,482.56	636	\$141.19
December	\$20,889.10			-\$20,736.09	247	\$146.54
Totals	\$248,097.70	\$242,046.63	\$36,306.99	\$26,744.08	1431	\$169.15

Month	Deposited Funds	Funds Spent	Admin Cost	deposit	sub total	Total spent	balance	Families Assisted	Per Family	
lalance Forward	- and the						******			
lune	200 000 00	\$300.00		0 520 808 9	0 568 323 86	****	\$47,514.96		\$300.00	
luty	\$20,808.90							ACCOUNTS NOT THE		
August	\$20,794.70						59,979.22	313	\$218 90	
September	\$20,810.10							38	8254 70	
October	\$20,860,60							1	5103.12	
November	\$20,930 30								\$184.95	
December	\$21,015,40								\$159.89	
January	\$21,083.00								5178 34	
February	\$21,053.20	\$3,581.82	\$534.2	7 \$21.053.2	0 \$29,428 85	\$4,098.09	525,332.76	17	5209 52	
March	20,925.70	\$18,014.27	\$2,702.1	4 \$20 925 7	0 \$46,258 48	\$20,716.41	\$25 542 05	82	5219 69	
April	\$21,044,80					\$15,098.27		61	\$215.20	
May	\$20,990.70							11.	\$262.69	
lune	520,942,40							0	#DIV/OI	
TO/NB	320,042,40	30,00	300	0 320 842 4	0 270 100 0	30 00	370,100 01	The second second	#DIV/OI	
			-							
			1						#DIV:01	
Mark Par		1	1						IOIV DI	
otals	\$251,239.80	5198,829.70	\$29,824.4	8 \$251,239 8	0 \$579,912.65	\$228,654.18				\$0.00
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						PROOFS				
						\$70,100 61				
		-				570,100 61				
			**							
2013 - 2014		-		-	-					
Vonth .	Deposited Funds	Eunde Cnen!	Admin. Cost	deposit	sub total	Total spent	balance	Families Assisted	Dar Earnille	
Balance Forward	Deposited Punds	runus apent	Admin. Cost	оврови	aud totel	roun apani	DAIDIKE	Carrilles Assisted	rus running	
			-		-		F70 100 T			
lune			-				570 100 61		*****	
Juty	\$20,927,10								5203 58	
August	\$20,879.70								\$219 79	
September	\$20,922.20								\$283 62	
October	\$20,978 90	\$9,278 3	51,3817	5 \$20,078 9	0 \$56 500 7	5 510,670 00	\$45,830.69	47	5197 41	
November	\$21,053,50			7 521.053 5	0 \$86.884 1	\$44,335.3	\$22,548.89	208	\$185.35	3
December	\$21,149.70								\$162.56	
January	\$21,211.20								\$186.43	
Fahrun	\$21,151.00								#DIV/DI	
February									\$208.05	
March	21,211.60									
April	\$21,130.20								\$187.27	
May	\$21,071.30								NDIVIDI	
June	\$20 975 10	\$0.00	50 (520 975,1	0 \$22,610 6	50 00	\$22,610 60	0	#DIV/D!	
									#DIV/DI	
TOTALS	\$252,661,54	\$261,001,3	8 \$39,150.3	1 5252,661,5	8 \$681,560.7	5 \$300,151.5	1	1,307	5199 70	
		1		22.0/27110			1			
	7				7	PROOFS	-			-
					,	\$22,61D B	1			
			-			522,610 6		-		117
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1014 0015	1		-		and the same of th		-	-		
2014 - 2015	Barrella de C			de exete		Waterland	The second	W W A - 1		-
Month	Deposited Funds		Admin. Cost	daposit	sub total	Total spent	balance	Families Assisted	Per Family	
Balance Forward	\$0.00		-				\$22,610.60			
July	\$21,019.70								\$190.38	
August	\$20,990 00	\$29,480,0	9. \$4,422	\$20,990 0				138	5213 62	
September	\$21,027.10				0 544,740 1	2 51,837.2	5 \$42,902 85	9	\$177.51	
October	\$21,075 60								\$197.21	
November	\$21,093.90								\$0.00	
December	\$21,264,40								\$0.00	-
									50 00	
January -										penero (ma)
February	\$21,163,10								\$0.00	
March	521,337.60								\$211.16	
April	\$21,245.70	\$22,715.2					7 5132,963 7		\$214 30	
May	521,138,44	\$21,741.0	1. \$3.281	15 521,138	10 5154,102.1	4 \$25,002.1	5 5129 099 9	106	5205 10	
June	\$21,175 80					B 522.884.7	1 \$127,411 0	7 95	\$209 29	
		210,000	25 202	54.,	2,000	-				
				7.						
TOTALS	\$253,804,3	\$129,568.5	5 \$19,435.	28 \$253,804.2	51,275,043.4	5 5149,003.8	1	621	\$208 65	
IOINTS	3232,004,3	\$15x,000.3	2 318,023	223,004,	e 21,213,043.4	2 2142,003.0	-	021	9500 00	
		-	-			PROOFS	-	-	-	
							-	_		
						\$127,411.0				
						5127.411.0	1			

2015 - 2016										
Month	Deposited Funds	Funda Spent	Admin, Cost	daposit	sub total	Total apent	balance	Families Assisted	Per Family	7
Balance Forward	\$0.00	r tarion uporn	Paulinian, Godin	unper	I I I I I I I I I I I I I I I I I I I	I Giar apent	\$127,411 07	Californa Manieran	r or r annual	_
luly	\$21,638.00	\$21,948.10	\$3,292.22	\$21,638 00	\$149.049.07	\$25,240,32		109	\$201.38	_
nay	\$21,147.60	\$51,585.80					\$85,632.68	247	\$208.85	
Lugust			\$7,737.87							
Reptember	\$21,181.80	\$55,850.08	\$8,377.96	\$21,161.80				282	\$213 18	
October	\$21,208.20	\$28,700.05	\$4,305.01	\$21,206 20				148	\$196 58	
Vovember	\$21,217.70	\$15,105.93	\$2,265.89	\$21,217.70	\$51,982 30	\$17,371.82	\$34.610.48	130	\$116.20	
December	\$21,358.00	\$11,309.91	\$1,696.49			\$13,008 40	\$42,962.09	61	\$139 83	1
lanuary	\$21,339.70	\$8,939 73	\$1,340.96	\$21,339 70				54	\$165.55	
February			\$430.94	\$21,434 80				19	\$151.21	
	\$21,434.80	\$2,672.90								
March	\$21,474.80	\$2,650.48	\$397.57	\$21,474.60				17	\$155.91	
lorit	\$21,433.50	\$12,518.98	\$1,877.55	\$21,433 50				67	\$186.82	
May	\$21,409.80	\$28,166.57	\$4,224 89	\$21,409.80	\$110 027.41	\$32,391.58	\$86,635 65	133	\$211.78	
lune	\$21,374.60	\$12,650.63	\$1,897.59	\$21,374 60				66	\$191.68	
100	461,014.00	012,000.00	91,001,00	027,077,00	010001010	W14.040-EA	490.702.60	-	6181.00	
										-
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TOTALS	8256,196,30	\$252,300.12	837,845.02	\$256,196.30	\$1,144,954.69	\$290,145.14		1,331	\$189 56	
						11	-		- CARD	- 1
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2016 - 2017	The second second			-		1				
Month	Deposited Funds	Funds Spent	Admin. Cost	deposit	auh total	Total spent	balance	Families Assisted	Per Family	
Balance Forward	\$0.00						\$93,482 23			
luly	\$21,360.10	\$56,198.06	58,429 71	\$21,350,10	5114 822 33	\$84.627.77		283	\$213 68	1
								167		
Lugust	\$21,375.30	\$35,540 49							\$212 82	
September	\$21,400.80	\$22,477.10	\$3,371.57					101	\$222 55	
October	\$21,447.80	\$11,051.21	\$1,657.68			\$12,708.89	\$34,989 14	62	\$178 25	
Vovember	\$21,499,80	\$8,619.13	\$1,292.87					78	\$113.41	-
December	\$21,606.20	\$13,678.48	\$2.051 77					113		
January	\$21,653.10	\$4,837.18	\$725 58					27	\$179.15	
February	\$21,520,70	\$12,233.42						68	\$179 90	
Warch	\$21,676.90	\$19,505 80	\$2,925 87	\$21,676.90	\$97.672.40	\$22,431.67	\$75,240,73	111	\$175.73	-1
April	\$21,569.40	\$17,547.82						01	\$193.93	1
April May	\$21,650.90	\$30,383.94	\$4,557.59					149		
may										-
tune	\$21,640.00	\$35,242.68	\$5 288 40	\$21,840.00	\$84,864 50	\$40,529.08	\$44,335,42	182	\$193.64	
										1
	1									
TOTALS	\$258,400.80	\$267,415,31	\$40,112.30	\$258,400.80	\$952,544.17	\$307,527.61	1	1,410	\$189 66	
			1	1	1			7,111		
						PROOFS				
	-									
						\$44,335 42			1	
						\$44.335.42				1
					1					
					1		1		1.	
2017 - 2018			1		1					
Month	Deposited Funds	Euroda Smant	Admin. Cost	deposit	leub total	Total spent	balance	Families Assisted	Har Enmily	-
		Lesine openi	munititi Luni	uspozn	lann torus	Loras abets		Territory Menistro	ren raming	-
Balance Forward	\$0.00					-	\$44,335.42	ALL STREET		
luly	\$21,612,70	\$47,488.62						221	\$214.88	
Luguel	\$21,614.50	\$21,414.68	\$3.212 20					104	\$205.91	
September	\$21,509,70		\$0.00		\$29,933.53	\$0.00	\$29,933 53	0	#DIV/01	
October	\$21,688.70	87,497,37	\$1,124.61							
		\$19,379.96	\$2,906,99					101		
November	\$21,737.90									+
December	\$21,863.50	\$5,820 02						58		
lanuary	\$21,919.70	\$0,00	\$0.00					0		
Pabruary	\$21,926.20	\$0.00	\$0.00	\$21,925 20	\$101.697.57	\$0.00	\$101,697.57	- 0	#DIV/OI	- 1
March	\$21,910.60	\$14,783,41								1
		\$35,215.18								1
April .	\$21,583 40									-
May	\$21,867.50	\$14,815.42						80		1
June	\$21,790.30	\$19,954.64	\$2 993.20	\$21,790 30	\$114 613 26	\$22.947 B4	\$91,685.43	103	\$193.73	
				1	1					1.
										1
TOTALS	\$261,424.70	\$188,189,30	\$27,925.40	\$261,424.70	\$967,549.16	\$214,094.70		1,016	\$183.24	
IOIALS	3201,424.70	\$160,169,30	527,925.40	3201,424.70	8997,549.10	\$214,094.70		1,916	\$183.24	
						-			1	
						PROOFS			1	- 1
				7	1	\$91.665.43				

2018 - 2019

Month	Deposited Funds	Funds Spent	Admin. Cost	Total Spent	Balance:	Families Assisted	Per Family		
Balance Forward	d \$91,665.43				\$91,665.43	5.43			
July	\$21,750.20	\$21,880.40	\$3,282.06	\$25,162.46	\$88,253.17	123	\$177.89		
August	\$21,773.00	\$19,921.50	\$2,988.23	\$22,909.73	\$87,116.44	105	\$189.73		
September	\$21,785.30	\$14,913.75	\$2,237.06	\$17,150.81	\$91,750.93	89	\$167.57		
October	\$21,849.10	\$14,270.46	\$2,140.57	\$16,411.03	\$97,189.00	76	\$187.77		
November	\$21,925.60	\$17,794.04	\$2,669.11	\$20,463.15	\$98,651.45	140	\$127.10		
December	\$21,980.00	\$12,858.12	\$1,928.72	\$14,786.84	\$105,844.62	98	\$131.21		
January	\$22,055.10	\$8,773.74	\$1,316.06	\$10,089.80	\$117,809.91	61	\$143.83		
February	\$22,098.90	\$8,920.10	\$1,338.02	\$10,258.12	\$129,650.70	55	\$162.18		
March	\$22,073.10	\$0.00	\$0.00		\$0.00	0	#DIV/0!		
April	\$0.00	\$0.00	\$0.00		\$0.00	0	#DIV/0!		
May	\$0.00	\$0.00	\$0.00		\$0.00	0	#DIV/0!		
June	\$0.00	\$0.00	\$0.00		\$0.00	0	#DIV/0!		
TOTALS	\$288,955.73	\$119,332.11	\$17,899.82	\$137,231.93	\$151,723.80	747	\$159.75		

PROOFS

\$151,723.80

\$151,723.80