


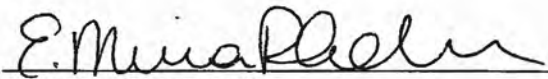
VERIFICATION

STATE OF OHIO)
) SS:
COUNTY OF HAMILTON)

The undersigned, Cindy Givens, Senior Products & Services Specialist, being duly sworn, deposes and says that she has personal knowledge of the matters set forth in the foregoing data requests, and that the answers contained therein are true and correct to the best of her knowledge, information and belief.


Cindy Givens, Affiant

Subscribed and sworn to before me by Cindy Givens on this 20th day of April, 2019.


NOTARY PUBLIC

My Commission Expires: July 8, 2022



E. MINNA ROLFES-ADKINS
Notary Public, State of Ohio
My Commission Expires
July 8, 2022

KyPSC Case No. 2018-00370
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DATA REQUEST

WITNESS

TAB NO.

STAFF-DR-03-001

Cindy Givens 1

Duke Energy Kentucky
Case No. 2018-00370
STAFF Third Set Data Requests
Date Received: April 24, 2019

STAFF-DR-03-001

REQUEST:

In PSC Case No. 2018-00261,¹ the record of which has been incorporated by reference herein,² Duke Kentucky filed copies of all agreements/contracts it had with Northern Kentucky Community Action Commission (NKCAC) related to the HEA Program.³ Refer to the agreement between Duke Kentucky and NKCAC with an effective date of September 25, 2008.

a. Refer to page 2 of the agreement, Item 4. Provide a copy of the audit reports referred to between Duke Kentucky and NKCAC for the years 2018, 2017, 2016, 2015, and 2014.

b. On page 2 of that agreement, Item 9 references a final report that NKCAC must provide to Duke Kentucky at the end of each Duke Program Funding Period. Provide copies of each final report from September 25, 2008, to the date of the issuance of this Request for Information.

c. On page 3 of that agreement, Item 10 states that both NKCAC and Duke Energy will audit the HEA Program and the administrative fees at the end of each Duke

¹ Case No. 2018-00261, *Electronic Application of Duke Energy Kentucky, Inc. for Authority to 1) Adjust Natural Gas Rates 2) Approval of a Decoupling Mechanism 3) Approval of New Tariffs 4) And for All Other Required Approvals, Waivers, and Relief* (Ky. PSC filed Aug. 31, 2018).

² Case No. 2018-00370, *Electronic Annual Cost Recovery Filing for Demand Side Management by Duke Energy Kentucky, Inc.*, Order (Ky. PSC Apr. 9, 2019).

³ Case No. 2018-00261, *Duke Kentucky's Response to Staff's Second Post-Hearing Request for Information*, Item 8, filed March 8, 2019.

Program Funding Period. Provide copies of each audit for the years 2018, 2017, 2016, 2015, and 2014.

RESPONSE:

a. The Company does not perform a formal audit where reports are created; however, the Company does review all billings received for accuracy as it relates to the contract.

b. Please see STAFF-DR-03-001 Attachment which includes NKCAC year-end reports for 2009 through February 2019.

c. Please see response to part a.) above. The Company and NKCAC do not perform a formal audit where reports are generated; however, both NKCAC and the Company review all billings for accuracy as it relates to the contract.

PERSON RESPONSIBLE: Cindy Givens

2009

Month	Deposited funds	Funds Spent	Admin. Cost	Fund Balance	Families Assisted	Per Family
November	\$20,737.00	\$0.00	\$0.00	\$20,737.00		
December	\$20,795.10	\$0.00	\$0.00	\$20,795.10		
January	\$20,737.70	\$0.00	\$0.00	\$20,737.70		
February	\$20,780.00	\$0.00	\$0.00	\$20,780.00		
March	\$20,882.50	\$14,418.09	\$2,162.71	\$4,301.70	65	\$221.82
April	\$20,740.20	\$100,926.37	\$15,138.96	-\$95,325.13	390	\$258.79
May	\$20,592.90	\$0.00	\$0.00	\$20,592.90	0	\$0.00
June	\$20,640.90	\$1,088.00	\$163.20	\$19,389.70	4	\$272.00
July	\$19,569.20	\$132.65	\$19.90	\$19,416.65	1	\$132.65
August	\$20,606.70	\$900.00	\$135.00	\$19,571.70	3	\$300.00
September	\$20,621.90	\$1,200.00	\$180.00	\$19,241.90	4	\$300.00
October	\$20,651.60	\$43,049.43	\$6,457.41	-\$28,855.24	212	\$203.06
November	\$20,679.10	\$39,725.55	\$5,958.83	-\$25,005.28	326	\$121.86
December	\$20,851.30	\$200.00	\$30.00	\$20,621.30	1	\$200.00
Totals	\$288,886.10	\$201,640.09	\$30,246.01	\$57,000.00	1006	\$200.44
	spending total	\$231,886.10				

2010

Month	Deposited Funds	Funds Spent	Admin. Cost	Fund Balance	Families Assisted	Per Family
Balance Forward				\$ 57,000.00		
January	\$20,781.80	\$330.00	\$49.50	\$20,402.30	2	\$165.00
February	\$20,121.70	\$0.00	\$0.00	\$20,121.70	0	\$0.00
March	\$20,923.80	\$74,456.87	\$11,168.53	-\$64,701.60	372	\$200.15
April	\$20,806.00	\$41,269.02	\$6,190.35	-\$26,653.37	174	\$237.18
May	\$20,610.30	\$0.00	\$0.00	\$20,610.30	0	\$0.00
June	\$20,644.60	\$0.00	\$0.00	\$20,644.60	0	\$0.00
July	\$20,639.80	\$0.00	\$0.00	\$20,639.80	0	\$0.00
August	\$20,624.80	\$0.00	\$0.00	\$20,624.80	0	\$0.00
September	\$20,606.90	\$0.00	\$0.00	\$20,606.90	0	\$0.00
October	\$20,667.30	\$0.00	\$0.00	\$20,667.30	0	\$0.00
November	\$20,781.60	\$89,794.92	\$13,469.24	-\$82,482.56	636	\$141.19
December	\$20,889.10	\$36,195.82	\$5,429.37	-\$20,736.09	247	\$146.54
Totals	\$248,097.70	\$242,046.63	\$36,306.99	\$26,744.08	1431	\$169.15

2018 - 2019

Month	Deposited Funds	Funds Spent	Admin. Cost	Total Spent	Balance:	Families Assisted	Per Family
Balance Forward	\$91,665.43				\$91,665.43		
July	\$21,750.20	\$21,880.40	\$3,282.06	\$25,162.46	\$88,253.17	123	\$177.89
August	\$21,773.00	\$19,921.50	\$2,988.23	\$22,909.73	\$87,116.44	105	\$189.73
September	\$21,785.30	\$14,913.75	\$2,237.06	\$17,150.81	\$91,750.93	89	\$167.57
October	\$21,849.10	\$14,270.46	\$2,140.57	\$16,411.03	\$97,189.00	76	\$187.77
November	\$21,925.60	\$17,794.04	\$2,669.11	\$20,463.15	\$98,651.45	140	\$127.10
December	\$21,980.00	\$12,858.12	\$1,928.72	\$14,786.84	\$105,844.62	98	\$131.21
January	\$22,055.10	\$8,773.74	\$1,316.06	\$10,089.80	\$117,809.91	61	\$143.83
February	\$22,098.90	\$8,920.10	\$1,338.02	\$10,258.12	\$129,650.70	55	\$162.18
March	\$22,073.10	\$0.00	\$0.00		\$0.00	0	#DIV/0!
April	\$0.00	\$0.00	\$0.00		\$0.00	0	#DIV/0!
May	\$0.00	\$0.00	\$0.00		\$0.00	0	#DIV/0!
June	\$0.00	\$0.00	\$0.00		\$0.00	0	#DIV/0!

TOTALS	\$288,955.73	\$119,332.11	\$17,899.82	\$137,231.93	\$151,723.80	747	\$159.75
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PROOFS

\$151,723.80

\$151,723.80