

**MASTER PIPELINE INSTALLATION AGREEMENT Between  
KENTUCKY AMERICAN WATER COMPANY and  
DIX & ASSOCIATES PIPELINE CONTRACTORS, INC.  
WBS No. R12-02B1.19-P-0006**

Article 1 – Work shall be to install 7,280 feet of 12" DI, 488 of 12" HDPE, 384 feet of 8" DI, 190 of 20" Steel Casing Pipe, and all necessary appurtenances on Winchester Road Water Main Replacement Project, Lexington, KY. All work performed shall be in accordance with the terms of the MASTER PIPELINE INSTALLATION AGREEMENT between Owner and Contractor effective June 1, 2017.

Article 3 – The Work performed within this Task Order shall commence on or about September 21, 2020 and will be substantially completed on or before May 1, 2021, and completed and ready for final payment in accordance with Paragraph 14.07 of the General Conditions of the MASTER PIPELINE INSTALLATION AGREEMENT on or before May 29, 2021.

Article 4 – Payment shall be an amount equal to the sum of the established unit price for each separately identified item of Unit Price Work times Paragraph 11.03 of the General Conditions of the MASTER PIPELINE AGREEMENT the estimated quantity of that item as indicated on the attached spreadsheet. As provided in Paragraph 11.03 of the General Conditions of the MASTER PIPELINE AGREEMENT, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Owner as provided in Paragraph 9.07 of the General Conditions. Unit prices have been computed as provided in

TOTAL OF ALL ESTIMATED PRICES	\$	
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Article 5 – Payment procedures shall be made in accordance with the MASTER PIPELINE INSTALLATION AGREEMENT and as follows:

INVOICING INSTRUCTIONS: All invoices shall be submitted in duplicate and addressed to:

**American Water Works – Shared Services Center  
1 Water Street  
Camden, NJ 08102  
and  
Kentucky American Water Company  
2300 Richmond Road, Lexington, KY 40502  
Attn: Cole Mitcham**

**Project Name: Winchester Road Water Main Relocation Project  
Project Manager: Cole Mitcham  
WBS #: R12-02B1.19-P-0006**

Article 7 – Prior to the issuance of this Task Order, and execution of the WORK, Contractor makes all representation in accordance with Article 7 of the MASTER PIPELINE INSTALLATION AGREEMENT.

All other articles of the June 1, 2017 MASTER PIPELINE INSTALLATION AGREEMENT remain the same.

Owner and Contractor have caused this Task Order to be executed by representatives duly authorized to act, all as of the effective date of June 4, 2018.

ID:	Description	Unit Price	Quantity	Total
C079	BFILL NON AASHTO #8 (CY)	\$	250	\$
C197	THRUST RESTRAINT AND REACT BLOCKING (CY)	\$	24	\$
C341	HAULING PER TON	\$	4	\$
C1006	EROSION CONTROL-MULCH (SF)	\$	90000	\$
C371	REST SEED >500 SF PER SF	\$	90000	\$
C009	PMOVE D:<8" (SY)	\$	45	\$
C218	SIT COP CO1&2 DIA:<=1" L:10' TO 25' (EA)	\$	3	\$
C329	LINE CAP INST DIA:<=6" (EA)	\$	6	\$
C372	EXC TEST HOLE CO1&2 W:3' D:5' L:5'(EA)	\$	2	\$
P069	BPV CON UNF T:<=6" INC <=2000 (SY)	\$	45	\$
C400	PIPE CUT/TRIM CI DIA<=6" (EA)	\$	6	\$
C335	BLOW OFF ASSEMBLY DIA:2" (EA)	\$	2	\$
C364	EROSION CONTROL-SILT FENCE (LF)	\$	6950	\$
C001	SC ASP T:<8" L:1-1000' (LF)	\$	350	\$
C045	TE CO1 W:25-36" D:<=5' L:1-500' (LF)	\$	120	\$
C061	TE RO1 <=25 (CY)	\$	45	\$
C068	TE ADW CO2 W:<=1' L:<=1'(FT/LF)	\$	360	\$
C074	TE ADD CO2 SHOR D:<=1' L:<=1'(FT/LF)	\$	180	\$
C096	SIB SR PIT RO1 W:3' D:5' L:5'(EA)	\$	5	\$
C106	MIT DI DIA:12" L:>500' (LF)	\$	7275	\$
C205	MAIN TIE IN DI DIA:<=6" (EA)	\$	1	\$
C206	MAIN TIE IN DI DIA:8" (EA)	\$	1	\$
C219	SIT COP CO1&2 ADL DIA:<=1" L:>25' (LF)	\$	530	\$
C230	SIT PVC CO1&2 DIA:>1-2.5" L:10-25'(EA)	\$	2	\$
C300	HYDR CIP REPLACED (EA)	\$	3	\$
C306	GATE DIA:8" (EA)	\$	3	\$





## AMERICAN WATER SUPPLIER DIVERSITY SUBCONTRACTING PLAN

Required for submission with all responses to Requests for Proposals, quarterly reports and with final payment application (with actuals). Tier 1 prime suppliers are required to submit quarterly Tier 2 diversity spend reporting is required through the Supplier Diversity Portal found at <https://amwater.diversitycompliance.com>.

### COMPANY OVERVIEW

<b>Prime Supplier Business Name:</b>	Dix & Assoc. Pipeline Cont., Inc.
<b>Corporate Address:</b>	210 Industry Parkway
<b>City, State, Zip:</b>	Nicholasville, KY, 40444
<b>Prime Supplier Contact Person's Name:</b>	Justin M. Dix
<b>Email Address:</b>	[REDACTED]
<b>Phone Number</b> <input checked="" type="checkbox"/> Office <input type="checkbox"/> Mobile:	[REDACTED]

**Supplier Diversity Business Classifications:** "Certified" means currently certified by an authorized certifying body. "Owned" means at least 51% minority-owned operated and controlled. The company must be a profit enterprise and physically located in the U.S. or its trust territories.

**Is your business a certified diverse supplier?** If yes, please provide copy of certification(s) with your bid response.  Yes  No

<input type="checkbox"/> <b>Minority Business Enterprise (MBE)</b>	<input type="checkbox"/> <b>Other Disadvantaged Business</b>
<input type="checkbox"/> MBE-AF - Minority Owned Business African American	<input type="checkbox"/> DBE - Disable Owned Business
<input type="checkbox"/> MBE-AP - Minority Owned Business Asian Pacific	<input type="checkbox"/> HUB - Historically Underutilized Business
<input type="checkbox"/> MBE-H - Minority Owned Business Hispanic	<input type="checkbox"/> LGBTQ - Lesbian, Gay, Bisexual, Transgender
<input type="checkbox"/> MBE-NA - Minority Owned Business Native American	
<input type="checkbox"/> <b>Veteran Business Enterprise (VBE)</b>	<input type="checkbox"/> <b>Women Business Enterprise (WBE)</b>
<input type="checkbox"/> SDVBE - Service-disabled Veteran Owned Business	

### BID / PROJECT SUBMISSION

Please describe the goods and or services being provided by your company:

Total Contract Value (\$) [REDACTED]	Total Subcontracted (\$) [REDACTED]
TO Value	<b>Projected spend with the following diversity classes:</b>
<b>American Water Regulated State Operations:</b>	Minority Business Enterprise (MBEs) \$ [REDACTED]
	Veteran Business Enterprise (VBEs) \$ [REDACTED]
	Woman Business Enterprise (WBEs) \$ [REDACTED]
	Other Disadvantaged Business (DBE) \$ [REDACTED]

### DETAILED SUBCONTRACTING PLAN

Detailed plan for use of M/W/VBEs and other disadvantage businesses as subcontractors, distributors, value added resellers are counted towards your contractual supplier diversity goal. Small business spend is tracked but not counted towards the diversity spend goal. **For every product and service, you intend to use, provide the following information (attach additional sheets if necessary)**

Company Name	Classification (MBE/WBE/VBE/LGBT/ SMB)	Principal Owner Gender (M/F)	Certification Agency	Certification Expiration	Products or Services to be provided	Estimated Amount of Spend (\$):
ABC Example Co	MBE	M	NMSDC	05/2025	Excavation	\$150,000
Tobacco Rose Farm Cont.&Dev.	WBE	F	KYMAWCP	2/28/21	SB Fence/Clean-up	[REDACTED]
Herb Geddes Fence	SDVBE	M	VA	2/21/21	Temp. Fence	[REDACTED]

**OUR PRIME SUPPLIER DIVERSITY PROGRAM**

Firms interested in doing business with American Water should register on the [Supplier Registration & Supplier Diversity Reporting Portal](#) to use the database to search for diverse suppliers and to report diverse spend quarterly once a project is awarded.

**OVERVIEW OF HOW IT WORKS**

American Water is focused on opportunities for minority suppliers who provide a wide array of utility products and services. In fact, there multiple categories where vendors and specifically diversity certified suppliers may be used to provide the goods and services needed to run the company. In our efforts to ensure we are consistent in what we define as diverse businesses enterprises, the determination is that small business is not defined as a diverse business category. American Water continues to support and engage small business in all that we do in addition to creating opportunity for both social and economically disadvantaged business (Diverse). The business units within American Water are aware of the importance of supplier diversity when making their decisions.

**BID/AWARD PROCESS**

Qualified firms invited to bid on specific contracts are sent a Request for Proposal (RFP). Some RFPs require the bidder to attend pre-bid meetings to review procedures and specifications. Bidders are strongly encouraged, and sometimes required, to attend these meetings and submit proposals by the due date in order to be considered for a contract. On occasion, purchase orders are used to test and evaluate the product and services of new and promising firms and can often lead to larger bidding opportunities. Bids and proposals that meet specified requirements are thoroughly evaluated, and awards are made to the firms offering the best value to American Water.

**2<sup>ND</sup> TIER PROGRAM**

Due to the nature of the utility business, many procurement opportunities may limit participation by small businesses. American Water's 2nd Tier Spend Program increases the opportunities available to diversity certified suppliers in our business and ensures a diversity certified supplier pool across the supply chain. We believe these efforts will stimulate the local economy by creating jobs. 2nd Tier spend consists of payments made from a prime supplier to a diversity certified supplier for the purchase of products or services used directly in support of a contract with American Water.

**NATIONAL SUPPLIER DIVERSITY**

For more information on our program, visit <https://amwater.com/corp/partners-suppliers/supplier-diversity>.

**Contact Us:** For more information about American Water's National Supplier Diversity Strategy, please use the contact our team through email at or work with your state procurement buyer or national category lead.

Lawrence Wooten, Senior Manager | [Lawrence.Wooten@amwater.com](mailto:Lawrence.Wooten@amwater.com)

Sharon Manker, M.Ed., Supplier Diversity Coordinator | [Sharon.Manker@amwater.com](mailto:Sharon.Manker@amwater.com)

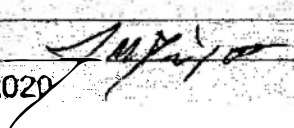
**DISCLAIMER AND SIGNATURE**

Prime Supplier agrees that it will maintain all necessary documents and records to support its efforts to achieve its MBE/WBE/VBE/DBE participation goal(s). Prime Supplier also acknowledges the fact that it is responsible for identifying, soliciting and qualifying MBE/WBE/VBE/DBE subcontractors, distributors and value-added resellers.

The following individual, acting in the capacity of MBE/WBE/VBE/DBE coordinator for Prime Supplier will administer the MBE/WBE/VBE participation plan, submit summary reports quarterly through the [Supplier Registration & Supplier Diversity Reporting Portal](#), and cooperate in any studies or surveys as may be required in order to determine the extent of compliance by the Prime Supplier with the participation plan.

Name: Justin M. Dix

Title: V.P.

Authorized Signature: 

Date Submitted: 9/16/2020



## AMERICAN WATER

### **R12-02B1.19-P-0006 #24548 BY AND BETWEEN Kentucky American Water Company and Dix & Associates Pipeline Contractors, Inc**

In light of the global health pandemic related to the outbreak of COVID-19 (and any related strains of the novel coronavirus as may be detected), American Water Works Service Company, Inc. and its Affiliates ("American Water," "AW") is taking emergency safety precautions to protect its employees, customers and vendors. Effective March 16<sup>th</sup>, 2020 and until further notice, AW is asking all vendors who perform services for AW to participate in a pre-screening with the American Water representative(s) serving as Dix & Associates Pipeline Contractors Inc's point of contact **prior** to performing the work outlined in this R12-02B1.19-P-0006. For information on AW health and safety standards for vendors, please refer to the American Water Contractor & Consultant Travel Guide (the "Guide") previously provided to Dix & Associates Pipeline Contractors Inc and attached here as reference. American Water reserves the right to amend the Guide without prior notice to Dix & Associates Pipeline Contractors Inc.. For any additional questions, please contact the AW representative listed as the point of contact in the Agreement.

In addition, in the event one of your employees, subcontractors or agents (collectively, the "Vendor Agent") either tests or is presumed positive for COVID-19 ("COVID-19 Diagnosis") and the Vendor Agent worked in an American Water facility, on an American Water project or has had contact with any other Vendor Agent that has worked in an American Water facility or on an American Water project during the 14 day period immediately prior to the COVID-19 Diagnosis, then immediately notify American Water of this diagnosis by sending an email to [coronavirus@amwater.com](mailto:coronavirus@amwater.com) and calling the American Water Security Hotline at 1-866-801-1123 and providing all pertinent information so that American Water may assess the risk to its employees and facilities.

**Information should include:**

- (1) on site job duties
- (2) the COVID-19 confirmation date,
- (3) which American Water facility or jobsite they were at, including
- (4) specific dates, and
- (5) whom they met with onsite.

For American Water's Response to COVID-19, visit <https://amwater.com/>. Information for Suppliers will be posted in the Additional Coronavirus Information section.

Please sign below to indicate acknowledgement of this request and receipt of the Guide.



Signed and Stored at Coupa Contract Collaboration



<https://amwater-ccc.coupa.com/contracts/bsgdr/signed>

Document Fingerprint:  
2be4041b4637a84d27cb14e49800d88a3a130e30

American Water



**Kurt Stafford**  
kurt.stafford@amwater.com

September 23, 2020 | 1:45pm UTC

From IP:  
161.69.122.15

Signature Fingerprint:  
0af42e164f632aef5482f3b9f3823465eb8b3e13

0000100499 | DIX & ASSOCIATES PIPELINE INC



**Justin Matthew DIX**  
[Redacted]

September 23, 2020 | 1:38pm UTC

From IP:  
74.134.14.160

Signature Fingerprint:  
802bd65732166e1d2fe46a889b99ae430c690d7d