

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC APPLICATION OF MONROE)
COUNTY WATER DISTRICT FOR RATE) CASE NO. 2017-00070
ADJUSTMENT PURSUANT TO 807 KAR 5:076)

RESPONSE OF MONROE COUNTY WATER DISTRICT
TO
COMMISSION STAFF'S POST-HEARING REQUEST FOR INFORMATION
DATED OCTOBER 2, 2017

FILED: OCTOBER 16, 2017

MONROE COUNTY WATER DISTRICT

**Response to Commission Staff's Post-Hearing Request for Information
October 2, 2017**

Case No. 2017-00070

Question No. 1

Witnesses: Jana Dubree and Melissa A. Melton

- Q-1.** Provide the dates of any regular or special meetings between January 1, 2015, and December 31, 2016, in which Melissa C. Melton appeared at a Monroe District Board Meeting and discussed employee wages and benefits.
- A-1.** A review of the minutes of the regular and special meetings of the Monroe County Water District Board of Commissioners held between January 1, 2015, and December 31, 2016 indicate that Melissa A. Melton appeared at meetings held on August 10, 2015 and on September 14, 2015 to make a presentation regarding mapping services provided by the Rural Community Assistance Partnership. No other reference to Ms. Melton is found in the minutes.

Ms. Melton has reviewed her personal calendar and records. These indicate that on the following dates she either attended meetings of the Board of Commissioners or was present at the offices of Monroe County Water District on the days when such meetings were held:

March 15, 2015
August 10, 2015
September 14, 2015
December 14, 2015
April 11, 2016
September 12, 2016
December 12, 2016

The minutes of those meetings do not indicate Ms. Melton's attendance or participation in any meetings other than those held on August 10, 2015 and on September 14, 2015. Ms. Melton visited Monroe County Water District's offices approximately 33 times between January 1, 2015, and December 31, 2016 in her capacity as a technical assistance provider of the Rural Community Assistance Partnership (RCAP). On these visits, she may have spoken with members of the Board of Commissioners who visited Monroe County Water District's offices. When not scheduled to make a formal presentation to the Board of Commissioners, Ms. Melton would have attended these meetings as an RCAP technical assistance provider. Board members were well acquainted with Ms. Melton and frequently pose questions. Ms. Melton recalls

responding to questions from individual Board members regarding employee compensation issues, including compensation that other similarly-sized water utilities in Kentucky provided. She does not recall the specific occasions when she was questioned.

MONROE COUNTY WATER DISTRICT

**Response to Commission Staff's Post-Hearing Request for Information
October 2, 2017**

Case No. 2017-00070

Question No. 2

Witness: Mark Williams

- Q-2.** State whether the Monroe District Board is willing to provide Commission Staff and the Attorney General a report on how Monroe District might improve its policy on performance reviews.
- A-2.** Monroe County Water District's Board of Commissioners met on October 9, 2017 and reviewed its written policy on performance reviews. The consensus of the Board is that the existing policy is adequate, but has not been strictly followed in recent years. The Board agreed that the policy should be strictly followed and has instructed Monroe County Water District's General Manager to ensure compliance. Effective immediately an employee's supervisor will prepare for each evaluation period a written evaluation on each supervised employee. After the supervisor has met with the employee to discuss the evaluation and the employee had been provided the opportunity to respond, the General Manager will review the evaluation. Written copies of the evaluation will be maintained in Monroe County Water District's files. The Board will use the evaluation in determining whether the employee's performance for the evaluation period warrants an increase in the employee's wage rate and if so, the amount of such increase.

MONROE COUNTY WATER DISTRICT

**Response to Commission Staff's Post-Hearing Request for Information
October 2, 2017**

Case No. 2017-00070

Question No. 3

Witness: Mark Williams

- Q-3.** If the report described in Item 2 above is forthcoming, state whether the Monroe District Board will allow Commission Staff and the Attorney General to provide comments to the Monroe District Board on said report and policy.
- A-3.** The response to Question 2 should be considered as Monroe County Water District's report. If the Public Service Commission or the Attorney General wishes to submit comments on the existing policy, the Board of Commissioners will carefully review and consider those comments.

MONROE COUNTY WATER DISTRICT

**Response to Commission Staff's Post-Hearing Request for Information
October 2, 2017**

Case No. 2017-00070

Question No. 4

Witness: Mark Williams

- Q-4.** Provide a copy of the written policy on Monroe District periodic performance reviews, if one exists. If such has already been provided to the Commission in filings regarding this case, identify its exact location in the materials.

- A-4.** A copy of Monroe County Water District's policy on performance appraisals is attached to this response as Exhibit A. A copy of the current evaluation form is attached as Exhibit B.

MONROE COUNTY WATER DISTRICT
PERSONNEL POLICIES AND PROCEDURES MANUAL

Adopted - August 15, 2005
Policy Effective Date – September 1, 2005
Amended – April 14, 2008
Amended – October 13, 2008
Amended – December 13, 2010
Amended – January 9, 2012
Amended – February 13, 2017

The Personnel Policies and Procedures of Monroe County Water District are established and may be amended by the Monroe County Water Board of Commissioners.

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Any material entered into MCWD's personal computers or sent through MCWD's e-mail system is the property of MCWD and is subject to review at the sole discretion of MCWD. Employees are required to use judgement and discretion when using MCWD computers to compose documents or to send or receive e-mail.

External e-mail is transmitted through the Internet. Under existing technology, it is impossible to maintain the confidentiality of such information. Consequently, external e-mail should not be used to transmit any confidential information.

Internet access through MCWD's personal computers should be limited to MCWD business purposes. If you are not clear whether a particular use constitutes a business purpose, please consult with your General Manager.

Computer screens shall be visible as anyone enters a work area. MCWD has the right, but not the duty, to monitor any and all aspects of its computer system, including, but not limited to, monitoring sites employees visit on the Internet, reviewing material downloaded or uploaded by employees, and reviewing e-mail sent and received by employees.

Employees waive any right to privacy in anything they create, store, send, or receive on the computer or the Internet. Any unauthorized use of computers, e-mail or Internet access may result in disciplinary action.

POLICY 290 BACKUP TAPE

The backup tapes will be changed daily. The previous day tape will be secured by the Office Manager. This process will be repeated each day.

POLICY 295 WORKPLACE SECURITY-PRIVACY

MCWD requires all employees to respect the privacy rights of others at all times. However, offices, computers and electronic storage media, storage areas, desks, and lockers are the property of MCWD, and MCWD reserves the right to search any such areas at any time, with or without prior notice, at the sole discretion of MCWD.

POLICY 300 CATEGORIES OF EMPLOYMENT

Temporary/Seasonal (part-time) employees may be hired with approval of the General Manager. Temporary/Seasonal (part-time) employees are not eligible for any benefits offered to MCWD employees.

POLICY 400 JOB DESCRIPTIONS

A written job description summarizing the duties, responsibilities, and minimum qualifications for the job is maintained for each position at MCWD. Employees will be provided a copy of their job description when they are employed.

Civil Rights Coordinator for the Monroe County Water District is the Office Manager.

POLICY 410 PERFORMANCE APPRAISAL

New employees will be evaluated after six months probationary period employment with wage increase upon approval of General Manager and MCWD Board of Commissioners. All regular employees will be evaluated at least once during each fiscal year or as directed by the General Manager. All evaluations will be given both orally and in writing. The employee's Supervisor will meet privately with the employee to discuss the evaluation. At that time, the employee will be given a copy

of his or her written evaluation. The employee will be given an opportunity to respond in writing to the evaluation and to challenge any portion of the evaluation with which the employee disagrees. The employee's response to the evaluation will be reviewed by the General Manager as soon as possible after receipt. Evaluations, and any response thereto, are maintained in an employee's personnel file.

POLICY 420 SALARY INCREASE

Salary increases may be in the form of probationary period evaluation, cost of living, merit, annual evaluation raise or Christmas Bonus. Cost of living increases will be as directed by Monroe County Water District Board of Commissioners. Non-scheduled salary increases are to be considered at the January meeting of MCWD Board of Commissioners. Salary increases for licenses and certifications that are for the benefit of MCWD shall be as requested by the General Manager and approved by MCWD Board of Commissioners.

POLICY 500 JOB INJURY/ILLNESS

All employees are subject to, and protected by, Kentucky laws relating to workers' compensation. Workers' compensation benefits are provided through a workers' compensation insurance policy purchased by MCWD. Employees are required to immediately report any work-related accident, illness, or injury to the General Manager or Office Manager and complete a "Report of Injury/Accident" form.

All questions relating to eligibility for workers' compensation benefits, the computation of benefits, or the amount of benefits are determined by the insurance carrier.

No employee will be harassed, coerced, intimidated, retaliated against, discharged, or otherwise subjected to any adverse employment action by MCWD for reporting an on-the-job accident or injury or a work-related illness or for filing and pursuing a lawful claim under the Kentucky Workers' Compensation Act.

POLICY 505 BEREAVEMENT

Employees may be absent for up to three days from regularly scheduled working hours without loss of pay in case of death in the employee's immediate family. Further requests for bereavement leave for other persons shall be at the discretion of the General Manager. (Immediate family definition in PPP Appendix F)

POLICY 510 MILITARY

All employees shall be entitled to take an unpaid leave of absence for active military service with any branch of the U.S. military or for military reserve duty.

POLICY 515 CIVIC DUTY – LITIGATION

Employees shall be entitled to take a leave of absence for jury duty. Employees requested to appear for consultation, deposition, or court appearances for legal matters relating to MCWD shall be compensated at their normal rate of pay.

- Employees shall be paid their regular hourly wage for their normal work shift while serving on jury duty.
- Employees are expected to return to their workstation when they are released after serving two hours or less on jury duty.
- Failure to provide advance notice to the General Manager and/or failure to provide written evidence documenting jury duty service will result in forfeiture of regular pay for jury duty.

**PERFORMANCE APPRAISAL
HOURLY EMPLOYEE
MONROE COUNTY WATER DISTRICT**

Name: _____ **Event:** _____

Position: _____ **Date:** _____

Per Monroe County Water District (MCWD) Policy, employees are evaluated at least once during each fiscal year or as directed by the General Manager/Supervisor. New employees will be evaluated after six months probationary period employment. Evaluations serve as a tool to enhance communication between the General Manager/Supervisor and an Employee regarding an Employee's performance. Evaluations are the basis for necessary personnel actions including merit raises, personal improvement plans and disciplinary actions.

The Performance Appraisal is two parts. Part A is the quantitative evaluation of the Employee's performance. Part B is the qualitative comment section and should include comments regarding an Employee's strengths and identified areas for improvements. Provision is made for the Employee's written response and comments.

PART A – QUANTITATIVE EVALUATION

Each performance criteria shall be rated as:

- Exceeds Expectations 5
- Meets Expectations 3
- Does Not Meet Expectations 1

PERFORMANCE CRITERIA

- Attitude _____
- Work Ethic (Work Output) _____
- Knowledge of Work _____
- Quality of Work _____
- Decision Making _____
- Teamwork/Leadership _____
- Professionalism _____
- Initiative _____
- Personnel Skills _____
- Communication Skills(oral and written) _____
- Safety _____
- Observation of Company Rules and Policy _____
- Attendance _____
- Appearance _____
- Total _____

Overall Rating (Total Divided by 14) _____

PART B – QUALITATIVE EVALUATION

GENERAL MANAGER/SUPERVISOR COMMENTS:

| |
|--|
| |
| |
| |
| |
| |
| |

EMPLOYEE COMMENTS:

Employee signature: _____ Date: _____

General Manager/Supervisor
signature: _____ Date: _____

MONROE COUNTY WATER DISTRICT

**Response to Commission Staff's Post-Hearing Request for Information
October 2, 2017**

Case No. 2017-00070

Question No. 5

Witness: Mark Williams

- Q-5.** State whether the Monroe District Board is willing to provide Commission Staff and the Attorney General a report on how Monroe District calculates its cost-of living allowance.
- A-5.** At its meeting on October 9, 2017, Monroe County Water District's Board of Commissioners determined that the annual increase in the nonseasonally adjusted Consumer Price Index for all urban consumers, U.S. city average, all items ("CPI-U"), published by the United States Department of Labor, Bureau of Labor Statistics, will be used to assist in determining annual cost-of-living adjustments to an employee's wage rate. For the 12-month period ending September 2017, the CPI-U increased 2.2 percent. See https://www.bls.gov/news.release/archives/cpi_10132017.pdf.

MONROE COUNTY WATER DISTRICT

**Response to Commission Staff's Post-Hearing Request for Information
October 2, 2017**

Case No. 2017-00070

Question No. 6

Witness: Mark Williams

- Q-6.** If the report described in Item 5 above is forthcoming, state whether the Monroe District Board will allow Commission Staff and the Attorney General to provide comments to the Monroe District Board on said report and the policy of using a cost-of living allowance.
- A-6.** The response to Question 5 should be considered as Monroe County Water District's report. If the Public Service Commission or the Attorney General wishes to submit comments on the report or Monroe County Water District's use of a cost-of-living adjustment, the Board of Commissioners will carefully review and consider those comments.

MONROE COUNTY WATER DISTRICT

**Response to Commission Staff's Post-Hearing Request for Information
October 2, 2017**

Case No. 2017-00070

Question No. 7

Witness: Jana Dubree

- Q-7.** Provide a schedule showing the amount of rate cases expenses, legal expenses, and professional accounting expenses incurred as of September 30, 2017, and provide copies of invoices for each rate case expense that is not already supported by an invoice in the record of this case. This request is continuing in nature, and an updated schedule should be filed by the 16th day of each subsequent month showing the expenses incurred with supporting invoices as of the end of the prior month.
- A-7.** Monroe County Water District's total rate case expense as of September 30, 2017 is \$43,984.68. These expenses are further broken down as follows:

| | |
|------------------------|--------------------|
| Legal Fees | \$36,491.25 |
| Accounting Fees | \$ 4,000.00 |
| Publication Fees | \$ 900.20 |
| Engineering Consulting | \$ 1,766.05 |
| Witness Mileage | \$ 186.72 |
| Witness Lodging | \$ 507.00 |
| Witness Meals | \$ 133.46 |
| Total | <u>\$43,984.68</u> |

Invoices for expenses incurred since August 31, 2017 are attached as Exhibit C.

STOLL · KEENON · OGDEN
PLLC
2000 PNC Plaza
500 West Jefferson Street
Louisville, Kentucky 40202-2828
502 333-6000
Tax ID # 61-0421389
October 10, 2017

Monroe County Water District
205 Capp Harlan Road
Tompkinsville KY 42167

INVOICE NO.: 865131
SKO File No.: 118811/156268

Please Remit This Page With Payment To:
STOLL · KEENON · OGDEN
PLLC
P.O. Box 11969
Lexington, Kentucky 40579-1969

Re: 2017 Rate Case

Our Reference: 118811/156268/GEW/2404

| | |
|--|---------------------|
| Fees rendered this bill | \$ 17,646.75 |
| Disbursements | \$ 942.99 |
| Total Current Charges This Matter | \$ 18,589.74 |
| Balance as of 09/15/17 | \$10,696.08 |
| Less credits (payments, adjustments) | \$-7,933.63 |
| Balance due on prior billings | \$2,762.45 |
| Total Amount Due This Matter | \$21,352.19 |

STOLL · KEENON · OGDEN
PLLC
2000 PNC Plaza
500 West Jefferson Street
Louisville, Kentucky 40202-2828
502 333-6000
Tax ID # 61-0421389

October 10, 2017

Monroe County Water District
205 Capp Harlan Road
Tompkinsville KY 42167

INVOICE NO.: 865131
SKO File No.: 118811/156268

MATTER NAME: 2017 Rate Case

TOTAL FEES FOR PROFESSIONAL SERVICES PER ATTACHED 23,529.00

COURTESY REDUCTION (5,882.25)

TOTAL CHARGES FOR EXPENSES AND OTHER SERVICES PER ATTACHED 942.99

INVOICE TOTAL \$ 18,589.74

BALANCE DUE from previous statements:

| Bill Date | Invoice | Outstanding Amount |
|-----------|---------|--------------------|
| 09/15/17 | 862793 | 2,762.45 |

Total Balance Due on Previous Statements: \$ 2,762.45

TOTAL BALANCE DUE \$21,352.19

BILL DATE: October 10, 2017

Monroe County Water District
205 Capp Harlan Road
Tompkinsville, KY 42167

2017 Rate Case

LEGAL FEES

| DATE | IND | DESCRIPTION OF SERVICE | HOURS | RATE | AMOUNT |
|-------------|------------|--|--------------|-------------|---------------|
| 09/05/17 | MEW | Researched PSC treatment of employer contributions for health insurance | 1.10 | 235.00 | \$ 258.50 |
| 09/05/17 | GEW | Research on employee compensation expenses by PSC-regulated water districts in 2016 | 2.00 | 0.00 | 0.00 |
| 09/05/17 | GEW | Research and initial draft of reply to AG's response to motion for reconsideration | 2.00 | 0.00 | 0.00 |
| 09/05/17 | GEW | E-mail message to S. Faulkner Re: Interim Order to approve Staff-recommended non-recurring charges | 0.10 | 0.00 | 0.00 |
| 09/06/17 | MEW | Researched BLS study | 0.70 | 235.00 | 164.50 |
| 09/06/17 | GEW | Revised and file reply to AG's Response to motion for reconsideration and clarification | 2.50 | 320.00 | 800.00 |
| 09/06/17 | GEW | Confer with D. Talley re: motion for reconsideration and clarification | 0.50 | 0.00 | 0.00 |
| 09/06/17 | GEW | Draft letter to KRWA re: rate case | 0.60 | 0.00 | 0.00 |
| 09/07/17 | GEW | Draft letter to KRWA re: rate case | 1.10 | 0.00 | 0.00 |
| 09/08/17 | GEW | Draft letter to KRWA re: rate case | 0.80 | 0.00 | 0.00 |
| 09/11/17 | GEW | Telephone call to J Dubree re: case status & Board Resolutions | 0.50 | 0.00 | 0.00 |
| 09/11/17 | GEW | Telephone call to M. Melton re: Tompkinsville rate filing & PSC Staff request for new water purchase contract | 0.10 | 0.00 | 0.00 |
| 09/11/17 | GEW | Telephone call to K. Buckley re: Tompkinsville rate filing & PSC Staff request for new water purchase contract | 0.20 | 0.00 | 0.00 |

| DATE | IND | DESCRIPTION OF SERVICE | HOURS | RATE | AMOUNT |
|-------------|------------|---|--------------|-------------|---------------|
| 09/12/17 | GEW | Prepare for hearing; Arrange conference call to discuss witness testimony; develop issue list; research on employer's employee compensation cost; prepare revised tariff sheets | 3.00 | 0.00 | 0.00 |
| 09/13/17 | MEW | Participated in conference call to discuss hearing; discussed case strategy with Jerry Wuetcher; reviewed notes from conference call | 2.90 | 235.00 | 681.50 |
| 09/13/17 | GEW | Conference call with client re: hearing | 1.20 | 320.00 | 384.00 |
| 09/13/17 | GEW | Letter to KRWA re: rate case | 2.60 | 0.00 | 0.00 |
| 09/13/17 | GEW | Research on PSC treatment of employee compensation | 1.50 | 0.00 | 0.00 |
| 09/14/17 | MEW | Reviewed form objections; drafted questions re useful life | 1.20 | 235.00 | 282.00 |
| 09/14/17 | GEW | Prepare questions for direct examination and cross examination of witnesses | 2.00 | 320.00 | 640.00 |
| 09/15/17 | MEW | Drafted direct and cross-examination questions for Robert Stigall; drafted cross-examination questions for PSC staff witness; discussed case strategy with Jerry Wuetcher | 3.90 | 0.00 | 0.00 |
| 09/15/17 | GEW | Research on insurance benefits/prepare examination for hearing | 1.00 | 320.00 | 320.00 |
| 09/15/17 | GEW | Prepare response to PSC staff first request for information | 0.50 | 0.00 | 0.00 |
| 09/15/17 | GEW | Telephone call to S. Faulkner Re: immediate implementation of PSC staff recommended non-recurring charges | 0.10 | 0.00 | 0.00 |
| 09/15/17 | GEW | Telephone call to J. Dubree Re: rate Case proceedings | 0.30 | 0.00 | 0.00 |
| 09/15/17 | GEW | Final revisions to letter to KRWA Re: rate case | 0.10 | 0.00 | 0.00 |
| 09/15/17 | GEW | Email message to AG's office (S. Faulkner) Re: implementing non-recurring charges immediately | 0.10 | 0.00 | 0.00 |
| 09/15/17 | GEW | Confer with D. Talley re: hearing preparation | 0.70 | 0.00 | 0.00 |

| DATE | IND | DESCRIPTION OF SERVICE | HOURS | RATE | AMOUNT |
|-------------|------------|---|--------------|-------------|---------------|
| 09/15/17 | GEW | Confer with M. Wimberly re: hearing preparation | 1.00 | 0.00 | 0.00 |
| 09/17/17 | MEW | Researched depreciation issues | 0.60 | 235.00 | 141.00 |
| 09/18/17 | MEW | Drafted direct and cross-examination questions; researched health insurance expense issues; confer with G. Wuetcher | 5.40 | 235.00 | 1,269.00 |
| 09/18/17 | GEW | Telephone call with S. Faulkner re: implementation of non-recurring charges | 0.20 | 0.00 | 0.00 |
| 09/19/17 | MEW | Reviewed AG filing | 0.10 | 235.00 | 23.50 |
| 09/19/17 | GEW | Travel to Monroe County Water District's office for meeting with Client (Witness Preparation) & return | 6.00 | 0.00 | 0.00 |
| 09/19/17 | GEW | Meeting with Client (Witness Preparation) | 4.00 | 320.00 | 1,280.00 |
| 09/19/17 | GEW | Review PSC order of 9/18/2017 & prepare witness & exhibit list | 0.50 | 0.00 | 0.00 |
| 09/19/17 | GEW | Prepare notice of publication of notice of hearing | 0.70 | 0.00 | 0.00 |
| 09/19/17 | GEW | Prepare response to PSC Staff First Request for Information | 0.70 | 0.00 | 0.00 |
| 09/20/17 | MEW | Researched employee compensation issues; prepare hearing exhibits | 4.00 | 235.00 | 940.00 |
| 09/20/17 | GEW | Prepare Response to First PSC Staff Request for Information | 0.90 | 0.00 | 0.00 |
| 09/20/17 | GEW | E-mail PSC Staff re: First PSC Staff Request for Information | 0.40 | 0.00 | 0.00 |
| 09/20/17 | GEW | Prepare Witness/Exhibit List | 5.00 | 0.00 | 0.00 |
| 09/21/17 | GEW | Telephone call with M. Melton re: testimony | 1.10 | 0.00 | 0.00 |
| 09/21/17 | GEW | Prepare motion to accept late filing | 1.00 | 0.00 | 0.00 |
| 09/21/17 | GEW | Prepare motion to substitute exhibit | 1.20 | 0.00 | 0.00 |
| 09/21/17 | GEW | Prepare and assemble hearing exhibits for filing with PSC | 1.00 | 320.00 | 320.00 |

| DATE | IND | DESCRIPTION OF SERVICE | HOURS | RATE | AMOUNT |
|-------------|------------|---|--------------|-------------|---------------|
| 09/21/17 | GEW | Prepare Notice of Filing of Proof of Publication of Notice of Hearing | 0.10 | 320.00 | 32.00 |
| 09/22/17 | MEW | Discussed motion for deposition with G. Wuetcher; participated in conference call with PSC staff attorneys David Spenard and Brittany Koenig; drafted motion for deposition | 2.50 | 235.00 | 587.50 |
| 09/22/17 | GEW | Review and revise Motion to Take Witness Testimony by Deposition | 3.00 | 0.00 | 0.00 |
| 09/22/17 | GEW | Telephone call to AG (K. Chandler) re: Motion to Take Witness Testimony by Deposition | 0.20 | 0.00 | 0.00 |
| 09/22/17 | GEW | Telephone call to AG (M. Faulkner) re: Motion to Take Witness Testimony by Deposition | 0.20 | 0.00 | 0.00 |
| 09/22/17 | GEW | Telephone call to PSC Staff re: Motion to Take Witness Testimony by Deposition | 0.50 | 0.00 | 0.00 |
| 09/22/17 | GEW | Prepare Notice of Filing of Proof of Publication of Notice of Hearing | 0.40 | 320.00 | 128.00 |
| 09/24/17 | MEW | Research on PSC past treatment of Employee Compensation and Depreciation | 0.60 | 235.00 | 141.00 |
| 09/24/17 | GEW | Prepare for hearing (Document Review/prepare direct examination questions for J. Dubree/prepare hearing exhibits) | 6.00 | 320.00 | 1,920.00 |
| 09/25/17 | MEW | Researched PSC treatment of employee compensation and depreciation; participated in witness direct examination preparation with G. Wuetcher and witnesses; created exhibits and briefing book; reviewed Third Supplement to Application | 7.20 | 235.00 | 1,692.00 |
| 09/25/17 | GEW | Prepare for hearing (Witness Prep for J. Dubree & R. Ross) | 1.50 | 320.00 | 480.00 |
| 09/25/17 | GEW | Telephone call with R. Stigall re: testimony | 0.60 | 320.00 | 192.00 |
| 09/25/17 | GEW | Telephone call with B. Billingsley re: Monroe County Water District depreciation practices | 0.30 | 0.00 | 0.00 |

| DATE | IND | DESCRIPTION OF SERVICE | HOURS | RATE | AMOUNT |
|-----------------|------------|--|---------------|-------------|--------------------|
| 09/25/17 | GEW | Prepare Third Supplement to Application & hearing exhibits | 4.00 | 320.00 | 1,280.00 |
| 09/25/17 | GEW | Prepare direct examination questions for R. Ross | 2.00 | 320.00 | 640.00 |
| 09/25/17 | GEW | Prepare for hearing (document review) | 1.50 | 320.00 | 480.00 |
| 09/26/17 | MEW | Prepared documents for rate case hearing | 8.70 | 235.00 | 2,044.50 |
| 09/26/17 | GEW | Prepare for hearing | 9.00 | 320.00 | 2,880.00 |
| 09/26/17 | GEW | Prepare for hearing (Witness Prep for M. Williams) | 1.00 | 320.00 | 320.00 |
| 09/26/17 | GEW | Prepare for hearing (Witness Prep for R. Stigall) | 1.00 | 320.00 | 320.00 |
| 09/27/17 | MEW | Traveled to and from Frankfort for hearing; assisted in preparation of witnesses; attended hearing | 10.80 | 235.00 | 2,538.00 |
| 09/27/17 | GEW | Hearing before PSC | 7.00 | 0.00 | 0.00 |
| 09/27/17 | GEW | Prepare for hearing | 4.00 | 0.00 | 0.00 |
| 09/28/17 | MEW | Reviewed notes from hearing and compiled list of requests from Commission and AG | 0.40 | 235.00 | 94.00 |
| 09/28/17 | GEW | Prepare & file Notice of Intent to Place Rates into Effect | 0.80 | 320.00 | 256.00 |
| 09/28/17 | GEW | Email to Melissa Melton Re: availability for Hearing | 0.10 | 0.00 | 0.00 |
| 09/28/17 | GEW | Telephone call to J. Dubree Re: notice of intent to place proposed rates into effect | 0.40 | 0.00 | 0.00 |
| SUBTOTAL | | | 140.90 | | \$23,529.00 |

EXPENSES AND OTHER SERVICES

***note: all copies are billed at .10/page unless otherwise indicated*

| DATE | DESCRIPTION | AMOUNT |
|-------------|---|---------------|
| 09/16/17 | Long distance transportation, mileage <i>Mileage to Tompkinsville for client meeting/conference</i> <i>VENDOR: Wuetcher, Gerald E; INVOICE#: 092217; DATE: 9/22/2017</i> | 139.10 |

| DATE | DESCRIPTION | AMOUNT |
|-------------|---------------------|---------------|
| 09/21/17 | Duplicating Charges | 12.00 |
| 09/21/17 | Duplicating Charges | 9.00 |
| 09/21/17 | Duplicating Charges | 5.40 |
| 09/21/17 | Duplicating Charges | 16.20 |
| 09/21/17 | Duplicating Charges | 9.60 |
| 09/21/17 | Duplicating Charges | 1.00 |
| 09/21/17 | Duplicating Charges | 0.60 |
| 09/21/17 | Duplicating Charges | 2.00 |
| 09/21/17 | Duplicating Charges | 0.10 |
| 09/21/17 | Duplicating Charges | 0.20 |
| 09/21/17 | Duplicating Charges | 0.10 |
| 09/21/17 | Duplicating Charges | 6.00 |
| 09/21/17 | Duplicating Charges | 5.40 |
| 09/21/17 | Duplicating Charges | 0.90 |
| 09/21/17 | Duplicating Charges | 6.00 |
| 09/21/17 | Duplicating Charges | 8.40 |
| 09/21/17 | Duplicating Charges | 31.20 |
| 09/21/17 | Duplicating Charges | 1.20 |
| 09/21/17 | Duplicating Charges | 4.20 |
| 09/21/17 | Duplicating Charges | 5.20 |
| 09/21/17 | Duplicating Charges | 3.40 |
| 09/21/17 | Duplicating Charges | 0.70 |
| 09/21/17 | Duplicating Charges | 0.80 |
| 09/21/17 | Duplicating Charges | 0.20 |
| 09/21/17 | Duplicating Charges | 5.40 |
| 09/21/17 | Duplicating Charges | 7.80 |
| 09/21/17 | Duplicating Charges | 12.60 |
| 09/21/17 | Duplicating Charges | 9.60 |
| 09/21/17 | Duplicating Charges | 13.20 |
| 09/21/17 | Duplicating Charges | 14.40 |
| 09/21/17 | Duplicating Charges | 14.40 |

| DATE | DESCRIPTION | AMOUNT |
|-------------|---|---------------|
| 09/21/17 | Duplicating Charges | 13.80 |
| 09/21/17 | Duplicating Charges | 10.80 |
| 09/21/17 | Duplicating Charges | 11.40 |
| 09/21/17 | Duplicating Charges | 14.40 |
| 09/21/17 | Duplicating Charges | 20.40 |
| 09/21/17 | Duplicating Charges | 0.60 |
| 09/21/17 | Duplicating Charges | 1.20 |
| 09/21/17 | Duplicating Charges | 4.80 |
| 09/21/17 | Duplicating Charges | 0.60 |
| 09/25/17 | Telephone Expense <i>1(615)460-7515; 34 Mins.</i> | 3.40 |
| 09/26/17 | Duplicating Charges | 8.00 |
| 09/26/17 | Duplicating Charges | 10.80 |
| 09/26/17 | Duplicating Charges | 7.20 |
| 09/26/17 | Duplicating Charges | 6.40 |
| 09/26/17 | Duplicating Charges | 5.60 |
| 09/26/17 | Duplicating Charges | 3.20 |
| 09/26/17 | Duplicating Charges | 13.60 |
| 09/26/17 | Duplicating Charges | 2.80 |
| 09/26/17 | Duplicating Charges | 0.80 |
| 09/26/17 | Duplicating Charges | 3.20 |
| 09/26/17 | Duplicating Charges | 3.60 |
| 09/26/17 | Duplicating Charges | 5.20 |
| 09/26/17 | Duplicating Charges | 9.60 |
| 09/26/17 | Duplicating Charges | 9.60 |
| 09/26/17 | Duplicating Charges | 3.60 |
| 09/26/17 | Duplicating Charges | 9.20 |
| 09/26/17 | Duplicating Charges | 124.80 |
| 09/26/17 | Duplicating Charges | 74.40 |
| 09/26/17 | Duplicating Charges | 0.60 |
| 09/26/17 | Duplicating Charges | 4.00 |
| 09/26/17 | Duplicating Charges | 4.00 |

| DATE | DESCRIPTION | AMOUNT |
|-------------|--|--------------------|
| 09/26/17 | Duplicating Charges | 3.60 |
| 09/26/17 | Duplicating Charges | 3.20 |
| 09/26/17 | Duplicating Charges | 9.60 |
| 09/26/17 | Duplicating Charges | 20.80 |
| 09/26/17 | Duplicating Charges | 0.40 |
| 09/26/17 | Duplicating Charges | 0.80 |
| 09/26/17 | Duplicating Charges | 4.00 |
| 09/26/17 | Duplicating Charges | 8.00 |
| 09/26/17 | Duplicating Charges | 6.00 |
| 09/26/17 | Duplicating Charges | 8.40 |
| 09/26/17 | Duplicating Charges | 6.40 |
| 09/26/17 | Duplicating Charges | 8.80 |
| 09/26/17 | Duplicating Charges | 0.40 |
| 09/26/17 | Duplicating Charges | 62.40 |
| 09/26/17 | Duplicating Charges | 1.80 |
| 09/26/17 | Duplicating Charges | 9.40 |
| 09/26/17 | Duplicating Charges | 4.20 |
| 09/26/17 | Duplicating Charges | 6.80 |
| 09/26/17 | Telephone Expense 1(270)487-8131; 28 Mins. | 2.80 |
| 09/26/17 | Telephone Expense 1(615)948-8890; 57 Mins. | 5.70 |
| 09/28/17 | Telephone Expense 1(270)487-8131; 27 Mins. | 2.70 |
| 09/29/17 | Long distance transportation, mileage frankfort 9/27 VENDOR: Wimberly, Mary Ellen; INVOICE#: 92917; DATE: 9/29/2017 | 28.89 |
| | SUBTOTAL | 942.99 |
| | GRAND TOTAL: | \$18,589.74 |

ATTORNEY/PARALEGAL SUMMARY

| TIMEKEEPER | RANK | HOURS | RATE | AMOUNT |
|-------------------|-------------|--------------|-------------|---------------|
| M. Wimberly | Associate | 50.10 | 216.71 | \$10,857.00 |
| G. E Wuetcher | Of Counsel | 90.80 | 139.56 | \$12,672.00 |

Campbell, Myers and Rutledge

410 South Broadway
Glasgow, KY 42141
270-651-2163

MONROE COUNTY WATER DISTRICT
205 CAPP HARLIN ROAD
TOMPKINSVILLE, KY 42167

Date 10/16/2017
Client No. 71571

| | |
|---------------------------------|--------------------|
| Consulting PSC Rate Application | \$ <u>2,500.00</u> |
| Current Amount Due | \$ <u>2,500.00</u> |

A 1-1/2% FINANCE CHARGE will be made on all balances over 30 days and this is an ANNUAL PERCENTAGE OF 18%.
CAMPBELL, MYERS & RUTLEDGE

TO PAY BY CREDIT CARD: CLIENT NO.: _____ INVOICE NO.: _____ PHONE NO.: _____
CHARGE BY: _____ AMEX _____ MASTERCARD _____ VISA _____ DISCOVER CHARGE AMT: \$ _____
CREDIT CARD # _____ EXPIRATION DATE: _____ CVV#(Back of card) _____
CARDHOLDER NAME : _____
CARDHOLDER ADDRESS: _____
CARDHOLDER CITY AND STATE: _____ ZIP CODE: _____
CARDHOLDER SIGNATURE: _____

Statement

MONROE COUNTY PRESS, INC.
THE TOMPKINSVILLE NEWS

105 N. MAIN ST
TOMPKINSVILLE, KY 42167
(270)487-5576

| |
|------|
| DATE |
|------|

10/1/2017

| |
|---|
| BILL TO |
| MONROE CO WATER DIST 205 CAP HARLAN RD TOMPKINSVILLE KY 42167 |

| | |
|--------------|-------------------|
| TERMS | AMOUNT DUE |
| Net 30 | \$411.74 |

| DATE | DESCRIPTION | AMOUNT | BALANCE |
|------------|---|--------|---------|
| 09/07/2017 | INV #17931. Due 10/07/2017. Orig. Amount \$24.20. --- Public Notice \$24.20 --- 2x2 - public hearing rate adjustment - B3 --- Tax: Ky Sales Tax @ 6.0% = 0.00 | 24.20 | 24.20 |
| 09/20/2017 | INV #18034. Due 10/20/2017. Orig. Amount \$259.44. --- PRINTING-NON-TAX, 1,620 @ \$0.076 = 123.12 --- HAAS PN (Oct. date) --- PRINTING-NON-TAX, 1,920 @ \$0.071 = 136.32 --- HAAS PN (Sep. date) --- Tax: Ky Sales Tax @ 6.0% = 0.00 | 259.44 | 283.64 |
| 09/21/2017 | INV #18078. Due 10/21/2017. Orig. Amount \$128.10. --- Public Notice \$128.10 --- 3x7 - New Rate Notice - B3 --- Tax: Ky Sales Tax @ 6.0% = 0.00 | 128.10 | 411.74 |

| |
|-------------------|
| AMOUNT DUE |
| \$411.74 |

All accounts not paid by the due date are subject to a 2.0% service charge (24% APR)

STATEMENTS AND INVOICES CAN BE EMAILED NOW - SEND US YOUR EMAIL ADDRESS!

| Phone # | Fax # | E-mail | Web Site |
|--------------|--------------|------------------------------------|-----------------------|
| 270-487-5576 | 270-487-8839 | AccountsReivable@TompkinsvilleN... | tompkinsvillenews.com |

Mark Williams
6060 Old Temple Hill Road
Tompkinsville, KY 42167
Phone: 270-427-0796

| Invoice Date | Invoice Number |
|--------------|----------------|
| 10/03/17 | 1 |

SOLD TO: Monroe County Water District
205 Capp Harlan Rd
Tompkinsville, Ky 42167

Purchased Date: 09/27/2017

| QTY | DESCRIPTION | UNIT PRICE | TOTAL PRICE |
|--------------------|---|------------|-----------------|
| 349 miles | Frankfort, Kentucky – PSC Hearing September 26, 2017 – September 27, 2017 32259 - 32608 | 53.5 | 186.72 |
| BALANCE DUE | | | \$186.72 |

LongHorn 5220
101 Westridge
Frankfort, KY 40601

Check # :18731

Arby's Unit #1706
800 W. Cherry St.
Glasgow, KY 42141
(270) 651-9838
Thank You!
Ticket #: 47

Table 66

Patricia P
21:30:50 09/26/2017

Gst 4

Register 3 288798147
Wed, Sep 27 2017 07:03:06 PM
Cashier: Rayna R

Name: BNC CLASSIC CM

**** DRIVE-THRU ****

1 BNC CLASSIC CMB 4.11
SM CURLY FRY
SM PEPSI 1.88
1 CMB RST BEEF CLASSIC 3.11
SM CURLY FRY
SM SWEET TEA 1.88
1 CMB RST BEEF CLASSIC 3.11
SM CURLY FRY
SM PEPSI 1.88
1 ****MULTI ORDER****

Guest No.1
1 Water
1 Cheddar Stuffed Mushroom 8.49
1 Sweet Chili Calamari 8.49
1 6oz Filet/Salmon 25.99
1 Mxd Green Salad
Diced Tomatoes
1 Turtle Cheesecake 2.99

Guest No.2
1 Water
1 Ribeye 12oz 19.99

Guest No.3
1 Water
1 12oz Classic Prime Rib 20.49

Guest No.4
1 Water
1 8oz Filet 23.49

ID # 1586 63275 6585

* We value your opinion. Please *
* tell us about your dining *
* experience by completing an *
* online survey within 7 days of *
* your visit. You could win a *
* \$1,000 Grand Prize or 1 of 100 *
* \$50 prizes. Winners are drawn *
* monthly!! *
* *
* To complete the survey and enter *
* the contest, go to *
* www.LongHornSurvey.com and enter *
* the ID on this receipt. *
* NO PURCHASE NECESSARY. Void where *
* prohibited. See Official Rules at *
* www.LongHornSurvey.com. *
* *
* Valoramos su opinión. Complete la *
* encuesta sobre su experiencia *
* gastronómica en *
* www.LongHornSurvey.com. *

(OFFER EXPIRES Oct 3, 2017)

Subtotal: 15.97
Tax: 0.96
Total: 16.93
Credit: 16.93
Change: 0.00

Duplicate Receipt
Stored Order

Subtotal 109.93
Sales Tax 6.60

21:30:50 09/26/2017

Please pay this amount
Total 116.53

To pay via the LongHorn mobile
website, choose from the following
two options:

Total
133.46

SEE BACK FOR CHANCE TO WIN



09-27-17

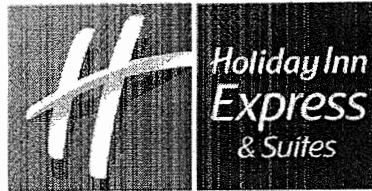
| | | |
|---|---|--|
| Jana Dubree 205 Capp Harlan Rd Tompkinsville Ky USA_0001 42167 | Folio No. : A/R Number : Group Code : Company : Membership No. : Invoice No. : | Room No. : 112 Arrival : 09-26-17 Departure : 09-27-17 Conf. No. : 68829395 Rate Code : IGCOR Page No. : 1 of 1 |
|---|---|--|

| Date | Description | Charges | Credits |
|----------------|-----------------------|---------------|---------------|
| 09-26-17 | *Accommodation | 149.00 | |
| 09-26-17 | State Tax - Room | 8.94 | |
| 09-26-17 | Local Tour Tax - Room | 9.57 | |
| 09-26-17 | Tour Tax | 1.49 | |
| 09-27-17 | Visa | | 169.00 |
| Total | | 169.00 | 169.00 |
| Balance | | 0.00 | |

Guest Signature: _____

I have received the goods and / or services in the amount shown heron. I agree that my liability for this bill is not waived and agree to be held personally liable in the event that the indicated person, company, or associate fails to pay for any part or the full amount of these charges. If a credit card charge, I further agree to perform the obligations set forth in the cardholder's agreement with the issuer.

Owned and Operated by Frankfort Host LLC



6

09-27-17

| | | |
|---|--|--|
| Jana Dubree 205 Capp Harlan Rd Tompkinsville Ky USA_0001 42167 | Folio No. : 118212 A/R Number : Group Code : Company : Membership No. : Invoice No. : | Room No. : 331 Arrival : 09-26-17 Departure : 09-27-17 Conf. No. : 68829401 Rate Code : IGCOR Page No. : 1 of 1 |
|---|--|--|

| Date | Description | Charges | Credits |
|----------------|--------------------------|---------------|---------------|
| 09-26-17 | *Accommodation | 149.00 | |
| 09-26-17 | State Tax - Room | 8.94 | |
| 09-26-17 | Local Tour Tax - Room | 9.57 | |
| 09-26-17 | Tour Tax | 1.49 | |
| 09-27-17 | Visa XXXXXXXXXXXXXXX8071 | | 169.00 |
| Total | | 169.00 | 169.00 |
| Balance | | 0.00 | |

Guest Signature: _____

I have received the goods and / or services in the amount shown heron. I agree that my liability for this bill is not waived and agree to be held personally liable in the event that the indicated person, company, or associate fails to pay for any part or the full amount of these charges. If a credit card charge, I further agree to perform the obligations set forth in the cardholder's agreement with the issuer.
Owned and Operated by Frankfort Host LLC



6

09-27-17

| | | |
|---|--|--|
| Jana Dubree 205 Capp Harlan Rd Tompkinsville Ky USA_0001 42167 | Folio No. : 118211 A/R Number : Group Code : Company : Membership No. : Invoice No. : | Room No. : 307 Arrival : 09-26-17 Departure : 09-27-17 Conf. No. : 68829409 Rate Code : IGCOR Page No. : 1 of 1 |
|---|--|--|

| Date | Description | Charges | Credits |
|----------------|--------------------------|---------------|---------------|
| 09-26-17 | *Accommodation | 149.00 | |
| 09-26-17 | State Tax - Room | 8.94 | |
| 09-26-17 | Local Tour Tax - Room | 9.57 | |
| 09-26-17 | Tour Tax | 1.49 | |
| 09-27-17 | Visa XXXXXXXXXXXXXXX8071 | | 169.00 |
| Total | | 169.00 | 169.00 |
| Balance | | 0.00 | |

Guest Signature: _____

I have received the goods and / or services in the amount shown heron. I agree that my liability for this bill is not waived and agree to be held personally liable in the event that the indicated person, company, or associate fails to pay for any part or the full amount of these charges. If a credit card charge, I further agree to perform the obligations set forth in the cardholder's agreement with the issuer.
Owned and Operated by Frankfort Host LLC