	of my knowledge and belief the information		
	those transactions occurring within the pa		
months between Kentucky-American Water Company ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions"			
include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits,			
made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former			
	imissioners or board of directors; 3) perso		
percent or greater ownership interes	t in the Utility; 4) family members* of a	any current Utility	
	erson with a 10 percent or greater owners		
	n which any current or former Utility e		
	rcent or greater ownership interest in the	Utility or a family	
member of such person has an ownersh	nip interest.		
Manual de la California			
Name of Related Party	Type of Service Provided	Amount of	
(Individual or Business)	By Related Party	Compensation	
3 Non-Employee Board Members	Director Stipend	Each - \$2,000	
		annually	
3 Non-Employee Board Members	Board of Directors Meeting Attendance	Each - \$2,500 per meeting	
		meenig	
		and the second s	
		con di Stato Con Congression de Cong	
: Second	The	information above is KAWC's fee	
Check this box if the Utility has no		edule for non-employee board	
		nbership. The total amount of fees for	
✓ Check box if additional transactio	ns are listed on the supplemental page. eac	n non-employee member is sel forth he third page.	
Chack how if any amplayed of the	Utility is a family member of the Utility's chi		
Emmanus P.			
	percent or greater ownership interest in the are related and the nature of the relationsh		
supplemental page entitled "Employee		inp are listed on the	
supplemental page entitled employee	Treated to other officers.		
	A A . A		
Cheryl D. Norton	(hould). (D	ton	
(Print Name)	(Signed) V		
Dungidant			
President (Position/Office)			

ARF FORM-3 (November 2013)

COMMONWEALTH OF KENTUCKY	
country of Tayelle	<u>ā</u> r
Subscribed and sworn to before me by	Cheryl D. Novton
this 15T day of December	, ₂₀ <u>14</u> .
	Den A. Store
	NOTARY PUBLIC State-at-Large

My Commission Expires October 03, 2016

Name:	Cheryl D. Norton	
Position:	President	

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wayne M. Martin	Director - Kentucky American Water Company	\$4,000 Stipend
Wayne M. Martin	Director - Kentucky American Water Company	\$20,000 Mtg Attend
Patricia A. Freibert	Director - Kentucky American Water Company	\$4,000 Stipend
Patricia A. Freibert	Director - Kentucky American Water Company	\$20,000 Mtg Attend
William G. Sisson	Director - Kentucky American Water Company	\$4,000 Stipend
William G. Sisson	Director - Kentucky American Water Company	\$20,000 Mtg Attend
	The state of the s	

	f my knowledge and belief the informat those transactions occurring within the p		
	y-American Water Company (
parties that exceed \$25.00 in value. For	or the purpose of this statement, "related	party transactions"	
	n excess of \$25.00, except regular salary,		
	e Utility's current or former employees;		
members of the Utility's board of com	missioners or board of directors; 3) pers	ons who have a 10	
percent or greater ownership interest	in the Utility; 4) family members* of	any current Utility	
	erson with a 10 percent or greater owne		
	which any current or former Utility		
	cent or greater ownership interest in th	e Utility or a family	
member of such person has an ownersh	ip interest.		
Name of Related Party	Type of Service Provided	Amount of	
(Individual or Business)	By Related Party	Compensation	
		Each - \$2,000	
3 Non-Employee Board Members	Director Stipend	annually	
3 Non-Employee Board Members	Board of Directors Meeting Attendance	Each - \$2,500 per meeting	
The state of the s	en particular de la composition de la c La composition de la		
	TI.		71. 2.
Check this box if the Utility has no		e information above is KAWC nedule for non-employee boar	
Check this box if the othery has no	me	mbership. The total amount	
Check box if additional transaction	is are listed on the supplemental page each	ch non-employee member is s	
Circumson in additional transaction	on	the third page.	
Check box if any employee of the U	Itility is a family member of the Utility's ch	nief executive officer, a Uti	lity
**************************************	ercent or greater ownership interest in the		
	are related and the nature of the relations		•
supplemental page entitled "Employees			
		. 4	
	$\dots \dots $	m ll	
Patricia A. Freibert	Latrician (Freibert.	
(Print Name)	(Signed)		
Director			
(Position/Office)	onceaning communication of the		

ARF FORM-3 (November 2013)

COMMONWEALTH OF KENTUCKY

COUNTY OF <u>take</u>

Subscribed and sworn to before me by ______ \langle atricia_

A. Freibert

this 2 day of _

NOTARY PUBLIC State-at-Large

> My Commission Expires October 03, 2016

Name:	Patricia A. Freibert
Position:	Director

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wayne M. Martin	Director - Kentucky American Water Company	\$4,000 Stipend
Wayne M. Martin	Director - Kentucky American Water Company	\$20,000 Mtg Attend
Patricia A. Freibert	Director - Kentucky American Water Company	\$4,000 Stipend
Patricia A. Freibert	Director - Kentucky American Water Company	\$20,000 Mtg Attend
William G. Sisson	Director - Kentucky American Water Company	\$4,000 Stipend
William G. Sisson	Director - Kentucky American Water Company	\$20,000 Mtg Attend

represents all present transactions and months between Kentuc parties that exceed \$25.00 in value. Finclude, all transactions and payments made directly to or on behalf of: 1) the members of the Utility's board of compercent or greater ownership interest employee, director, commissioner or putility or 5) a business enterprise in	or the purpose of this statement, "related in excess of \$25.00, except regular salary, ne Utility's current or former employees; a nmissioners or board of directors; 3) pers st in the Utility; 4) family members* of person with a 10 percent or greater owner in which any current or former Utility of present or greater ownership interest in the	rast twenty-four (24) "Utility") and related I party transactions" wages and benefits, 2) current or former ons who have a 10 any current Utility rship interest in the employee, director,	
Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation	
3 Non-Employee Board Members	Director Stipend	Each - \$2,000 annually	
3 Non-Employee Board Members	Board of Directors Meeting Attendance	Each - \$2,500 per meeting	
	TI	ne information above is KAWC's fee	
Check box if any employee of the commissioner, or any person with a 10	o related party transactions. sc. me ns are listed on the supplemental page. Utility is a family member of the Utility's ch percent or greater ownership interest in the vare related and the nature of the relations	hedule for non-employee board embership. The total amount of fees j ch non-employee member is set forth the third page. hief executive officer, a Utility e Utility. The name of each	

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

Nick O. Rowe

Scnior Vice President, Central Division

(Print Name)

(Position/Office)

COMMONWEALTH OF KENTUCKY	
COUNTY OF Payette	Mr.
O Subscribed and sworn to before me by	Nick D. Rowe
and the second of the second o	(Name)
this 1ST day of December,	20 <u>14</u> .
	Jegy A. Alre
	NOVARY PUBLIC
	State-at-Large

My Commission Expires October 03, 2016

Name:	Nick O. Rowe
Position:	

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wayne M. Martin	Director - Kentucky American Water Company	\$4,000 Stipend
Wayne M. Martin	Director - Kentucky American Water Company	\$20,000 Mtg Attend
Patricia A. Freibert	Director - Kentucky American Water Company	\$4,000 Stipend
Patricia A. Freibert	Director - Kentucky American Water Company	\$20,000 Mtg Attend
William G. Sisson	Director - Kentucky American Water Company	\$4,000 Stipend
William G. Sisson	Director - Kentucky American Water Company	\$20,000 Mtg Attend
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parties that exceed \$25.00 in value. I include, all transactions and payments made directly to or on behalf of: 1) to members of the Utility's board of corpercent or greater ownership intere employee, director, commissioner or putility or 5) a business enterprise is commissioner or person with a 10 person with	For the purpose of this statement, "related in excess of \$25.00, except regular salary, whe Utility's current or former employees; 2 mmissioners or board of directors; 3) persons in the Utility; 4) family members* of person with a 10 percent or greater owner in which any current or former Utility except or greater owners in the	ast twenty-four (24) (Utility") and related party transactions" wages and benefits,) current or former ons who have a 10 any current Utility ship interest in the
member of such person has an owners	hip interest,	Control of the second s
Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
3 Non-Employee Board Members	Director Stipend	Each - \$2,000 annually
3 Non-Employee Board Members	Board of Directors Meeting Attendance	Each - \$2,500 per meeting
Check box if any employee of the	related party transactions, scheinem mem ns are listed on the supplemental page on th Utility is a family member of the Utility's chie	ef executive officer, a Utility
commissioner, or any person with a 10 p	percent or greater ownership interest in the rare related and the nature of the relationsh	Itility Thomano of oach
William G. Sisson. (Print Name)	(Signed)	
Director. (Position/Office)		

COMMONWEALTH OF KENTUCKY	
COUNTY OF fagethe	
Subscribed and sworn to before me by	William G. Sisson
this day of December	(Name)
	Janos Molore
	NOTARY PUBLIC State-at-Large Commission expires: A-5stal. 201
	Commission expires.

Name:	William G. Sisson
200	
Position:	
	TNI-s a kinama
	Director

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wayne M. Martin	Director - Kentucky American Water Company	\$4,000 Stipend
Wayne M. Martin	Director - Kentucky American Water Company	\$20,000 Mtg Attend
Patricia A. Freibert	Director - Kentucky American Water Company	\$4,000 Stipend
Patricia A. Freibert	Director - Kentucky American Water Company	\$20,000 Mtg Attend
William G. Sisson	Director - Kentucky American Water Company	\$4,000 Stipend
William G. Sisson	Director - Kentucky American Water Company	\$20,000 Mtg Attend
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months between Kentuc parties that exceed \$25.00 in value. F include, all transactions and payments made directly to or on behalf of: 1) th members of the Utility's board of con percent or greater ownership interes employee, director, commissioner or p Utility or 5) a business enterprise in	or the purpose of this statement, "related in excess of \$25.00, except regular salary, when the Utility's current or former employees; 2 mmissioners or board of directors; 3) personal to the Utility; 4) family members* of earson with a 10 percent or greater owners which any current or former Utility except or greater owners in which any current or former Utility except or greater ownership interest in the	est twenty-four (24) Utility") and related party transactions" vages and benefits, I current or former ons who have a 10 any current Utility ship interest in the
Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
3 Non-Employee Board Members	Director Stipend	Each - \$2,000 annually
3 Non-Employee Board Members	Board of Directors Meeting Attendance	Each - \$2,500 per meeting
Check box if any employee of the commissioner, or any person with a 10 p	related party transactions. scheding mem as are listed on the supplemental page. each on the Utility is a family member of the Utility's chies ercent or greater ownership interest in the are related and the nature of the relationsh	e third page. If executive officer, a Utility Utility. The name of each ip are listed on the
Director (Position/Office)	er en	

^{* &}quot;Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

ARF FORM-3 (November 2013)

State of S.C. COMMONWEALTH OF KENTUCKY

COUNTY OF Charleston

Subscribed and sworn to before me by ____

Roth N. Rinehimes

this 1st day of December, 2014.

State-at-Large

BETH N RINEHIMER

Notary Public
South Carolina
My Comm. Expires October 16, 2022

Name:	Wayne M. Martin
Position:	Director

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wayne M. Martin	Director - Kentucky American Water Company	\$4,000 Stipend
Wayne M. Martin	Director - Kentucky American Water Company	\$20,000 Mtg Attend
Patricia A. Freibert	Director - Kentucky American Water Company	\$4,000 Stipend
Patricia A. Freibert	Director - Kentucky American Water Company	\$20,000 Mtg Attend
William G. Sisson	Director - Kentucky American Water Company	\$4,000 Stipend
William G. Sisson	Director - Kentucky American Water Company	\$20,000 Mtg Attend

represents all present transactions and months between Kentuc parties that exceed \$25.00 in value. Finclude, all transactions and payments made directly to or on behalf of: 1) the members of the Utility's board of compercent or greater ownership interest employee, director, commissioner or page 1.	or the purpose of this statement, "related in excess of \$25.00, except regular salary, we ne Utility's current or former employees; 2) annissioners or board of directors; 3) person it in the Utility; 4) family members* of a person with a 10 percent or greater owners	ust twenty-four (24) Utility") and related party transactions" wages and benefits, ourrent or former ons who have a 10 any current Utility ship interest in the	
commissioner or person with a 10 per member of such person has an ownersh	n which any current or former Utility e rcent or greater ownership interest in the	mployee, director, Utility or a family	
	iip interest.		
Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation	
3 Non-Employee Board Members	Director Stipend	Each - \$2,000 annually	
3 Non-Employee Board Members	Board of Directors Meeting Attendance	Each - \$2,500 per meeting	
· · · · · · · · · · · · · · · · · · ·			
Check this box if the Utility has no	related party transactions. scho	information above is KAW edule for non-employee boa	rd
Check box if additional transaction	ns are listed on the supplemental page. edc.	nbership. The total amount h non-employee member is . he third page	of fees for set forth
Check box if any employee of the	Utility is a family member of the Utility's chic	ef executive officer, a Uti	lity
employee and the official to whom they supplemental page entitled "Employees	percent or greater ownership interest in the rare related and the nature of the relationsh ralated to Utility Officials."	Utility. The name of each ip are listed on the	h·
Mark P. Shaeffer	Moul Sh	all	
(Print Name)	(Signetl)	100	
Director Financial Analysis & Decision Su	nnort		

Director, Financial Analysis & Decision Support (Position/Office)

State of Missouri COMMONWEALTH OF KENTUCKY

COUNTY OF	St. Louis

this 2 day of December, 2014.

DONNA S. SINGLER
Notary Public, Notary Seal
State of Missouri
St. Louis County
Commission # 12368409
My Commission Expires July 17, 2016

NOTARY PUBLIC State-at-Large

Name:	Mark P. Shaeffer
Position:	Director, Financial Analysis & Decision Support

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wayne M. Martin	Director - Kentucky American Water Company	\$4,000 Stipend
Wayne M. Martin	Director - Kentucky American Water Company	\$20,000 Mtg Attend
Patricia A. Freibert	Director - Kentucky American Water Company	\$4,000 Stipend
Patricia A. Freibert	Director - Kentucky American Water Company	\$20,000 Mtg Attend
William G. Sisson	Director - Kentucky American Water Company	\$4,000 Stipend
William G. Sisson	Director - Kentucky American Water Company	\$20,000 Mtg Attend
		Control of the Contro

months between Kenture parties that exceed \$25.00 in value. include, all transactions and payments made directly to or on behalf of: 1) to members of the Utility's board of compercent or greater ownership interest employee, director, commissioner or Utility or 5) a business enterprise is commissioner or person with a 10 permember of such person has an owners	For the purpose of this statement, "related in excess of \$25.00, except regular salary, whe Utility's current or former employees; 2 mmissioners or board of directors; 3) persons in the Utility; 4) family members* of a person with a 10 percent or greater owners in which any current or former Utility exercent or greater ownership interest in the hip interest.	ast twenty-four (24) Utility") and related party transactions" vages and benefits, current or former ons who have a 10 any current Utility ship interest in the
Name of Related Party (Individual or Business)	Type of Service Provided	Amount of
	By Related Party	Compensation
3 Non-Employee Board Members	Director Stipend	Each - \$2,000
3 Non-Employee Board Members	Poul CD:	annually Each - \$2,500 per
constitution of the state of th	Board of Directors Meeting Attendance	meeting
Check this box if the Utility has no	sched	information above is KAWC's fee dule for non-employee board bership. The total amount of fees j
Check box if additional transaction	is are listed on the supplemental page, each	non-employee member is set forth
Check box if any employee of the	on the Utility is a family member of the Utility's chie	e third page.
commissioner, or any person with a 10 h	Percent or greator ownership interest in the	
employee and the official to whom they supplemental page entitled "Employees	alt leidlen ann the nature of the volational:	of each pare of each pare listed on the
Martin D. Kerckhoff (Print Name)	Signed)	chloy

VP, Div General Counsel & Sec, KAW Director (Position/Office)

^{* &}quot;Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

STATE OF WISSOUR'S	
COUNTY OF St. Louis	
Subscribed and sworn to before me by	
	(Name)
this 18th day of December	, 20 <u>14</u> .
	Am a. Eller
	NOTARY PUBLIC

State-at-Large

STACI A. OLSEN
Notary Public - Notary Seal
STATE OF MISSOURI
St. Charles County
My Commission Expires: March 20, 2017
Commission #13519210

Name:	Martin D. Kerkhoff
Position:	VP, Div General Counsel & Sec, KAW Director

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Wayne M. Martin	Director - Kentucky American Water Company	\$4,000 Stipend
Wayne M. Martin	Director - Kentucky American Water Company	\$20,000 Mtg Attend
Patricia A. Freibert	Director - Kentucky American Water Company	\$4,000 Stipend
Patricia A. Freibert	Director - Kentucky American Water Company	\$20,000 Mtg Attend
William G. Sisson	Director - Kentucky American Water Company	\$4,000 Stipend
William G. Sisson	Director - Kentucky American Water Company	\$20,000 Mtg Attend