

Kentucky Utilities Company
Case No. 2014-00371
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Forecasted Test Year
(Forecast Test Year 12ME 6/30/16; Base Period 12ME 2/28/15)

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Tab No.	Filing Requirement	Description	Sponsoring Witness
1	807 KAR 5:001 Section 14	Application requirements	Mr. Staton
2	807 KAR 5:001 Section 16(1)(b)(1)	Reason the adjustment is required	Mr. Staton
3	807 KAR 5:001 Section 16(1)(b)(2)	Certificate of assumed name	Mr. Staton
4	807 KAR 5:001 Section 16(1)(b)(3)	New or revised tariff sheets	Mr. Staton
5	807 KAR 5:001 Section 16(1)(b)(4)	Utility's proposed tariff changes – current tariff v. proposed tariff (Side-by-Side)	Mr. Staton
6	807 KAR 5:001 Section 16(1)(b)(5)	Customer notice complies with §17 and copy of notice	Mr. Staton
7	807 KAR 5:001 Section 16(2)	Notice of Intent	Mr. Staton
8	807 KAR 5:001 Section 16(6)(a)	Financial data for forecasted period presented as pro forma adjustments to base period	Mr. K. Blake
9	807 KAR 5:001 Section 16(6)(b)	Forecasted adjustments limited to twelve (12) months immediately following suspension period	Mr. K. Blake
10	807 KAR 5:001 Section 16(6)(c)	Capitalization and net investment rate base	Mr. K. Blake
11	807 KAR 5:001 Section 16(6)(d)	No revisions to forecast	Mr. K. Blake
12	807 KAR 5:001 Section 16(6)(e)	Commission may require alternative forecast	Mr. K. Blake
13	807 KAR 5:001 Section 16(6)(f)	Reconciliation of rate base and capital used to determine revenue requirements	Mr. K. Blake
14	807 KAR 5:001 Section 16(7)(a)	Written testimony of each witness, including utility's chief officer in Kentucky	Mr. Staton
15	807 KAR 5:001 Section 16(7)(b)	Most recent capital construction budget	Mr. K. Blake
16	807 KAR 5:001 Section 16(7)(c)	Description of all factors used to prepare forecast period	Mr. K. Blake Mr. Sinclair Mr. Thompson
17	807 KAR 5:001 Section 16(7)(d)	Utility's annual and monthly budget for twelve (12) months preceding filing date, base period, and forecasted period	Mr. K. Blake

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18	807 KAR 5:001 Section 16(7)(e)	Attestation by utility's chief officer in Kentucky regarding forecast's reasonableness/reliability, affirming forecast's assumptions/methodologies used in forecast prepared for management, and inclusion of productivity and efficiency gains in forecast	Mr. Staffieri
19	807 KAR 5:001 Section 16(7)(f)	Provide information on each major construction project constituting 5% or more of annual construction budget within three year forecast	Mr. Hudson
20	807 KAR 5:001 Section 16(7)(g)	Provide aggregate information on each major construction project constituting less than 5% of annual construction budget within three year forecast	Mr. Hudson
21	807 KAR 5:001 Section 16(7)(h)	Financial forecast information corresponding to three (3) forecasted years included in capital construction budget	Mr. K. Blake
22	807 KAR 5:001 Section 16(7)(h)(1)	1. Operating income statement (exclusive of dividends per share or earnings per share);	Mr. K. Blake
23	807 KAR 5:001 Section 16(7)(h)(2)	2. Balance sheet;	Mr. K. Blake
24	807 KAR 5:001 Section 16(7)(h)(3)	3. Statement of cash flows;	Mr. K. Blake
25	807 KAR 5:001 Section 16(7)(h)(4)	4. Revenue requirements necessary to support the forecasted rate of return;	Mr. K. Blake
26	807 KAR 5:001 Section 16(7)(h)(5)	5. Load forecast including energy and demand (electric);	Mr. Sinclair
27	807 KAR 5:001 Section 16(7)(h)(6)	6. Access line forecast (telephone);	Mr. Staton
28	807 KAR 5:001 Section 16(7)(h)(7)	7. Mix of generation (electric);	Mr. Sinclair
29	807 KAR 5:001 Section 16(7)(h)(8)	8. Mix of gas supply (gas);	Mr. Murphy
30	807 KAR 5:001 Section 16(7)(h)(9)	9. Employee level;	Mr. K. Blake
31	807 KAR 5:001 Section 16(7)(h)(10)	10. Labor cost changes;	Mr. K. Blake
32	807 KAR 5:001 Section 16(7)(h)(11)	11. Capital structure requirements;	Mr. K. Blake
33	807 KAR 5:001 Section 16(7)(h)(12)	12. Rate base;	Mr. K. Blake
34	807 KAR 5:001 Section 16(7)(h)(13)	13. Gallons of water projected to be sold (water);	Mr. Staton
35	807 KAR 5:001 Section 16(7)(h)(14)	14. Customer forecast (gas, water);	Mr. Sinclair
36	807 KAR 5:001 Section 16(7)(h)(15)	15. Sales volume forecasts – cubic feet (gas);	Mr. Sinclair
37	807 KAR 5:001 Section 16(7)(h)(16)	16. Toll and access forecast of number of calls and number of minutes (telephone); and	Mr. Staton

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38	807 KAR 5:001 Section 16(7)(h)(17)	17. A detailed explanation of any other information provided	Mr. K. Blake
39	807 KAR 5:001 Section 16(7)(i)	Most recent Federal Energy Regulatory Commission (FERC) or Federal Communications Commission (FCC) audit reports	Ms. Scott
40	807 KAR 5:001 Section 16(7)(j)	Prospectuses of most recent stock or bond offerings	Mr. K. Blake
41	807 KAR 5:001 Section 16(7)(k)	Most recent FERC Form 1, FERC Form 2	Ms. Scott
42	807 KAR 5:001 Section 16(7)(l)	Annual report to shareholders and statistical supplements	Mr. K. Blake
43	807 KAR 5:001 Section 16(7)(m)	Current chart of accounts	Ms. Scott
44	807 KAR 5:001 Section 16(7)(n)	Latest twelve (12) months of monthly managerial reports providing financial results of operations in comparison to forecast	Mr. K. Blake
45	807 KAR 5:001 Section 16(7)(o)	Monthly budget variance reports for twelve (12) months prior to base period, each month of base period, and any subsequent months	Mr. K. Blake
46	807 KAR 5:001 Section 16(7)(p)	Securities and Exchange Commission annual reports (Form 10-Ks), Form 8-Ks, and Form 10-Qs	Mr. K. Blake
47	807 KAR 5:001 Section 16(7)(q)	Independent auditor's annual opinion report	Mr. K. Blake
48	807 KAR 5:001 Section 16(7)(r)	Quarterly reports to stockholders for most recent five (5) quarters	Mr. K. Blake
49	807 KAR 5:001 Section 16(7)(s)	Summary of utility's latest depreciation study with schedules by major plant accounts	Ms. Garrett Mr. Spanos
50	807 KAR 5:001 Section 16(7)(t)	List of all commercially available or in-house developed computer software, programs, and models	Mr. K. Blake Mr. Sinclair
51	807 KAR 5:001 Section 16(7)(u)	Information related to any amounts charged, allocated, or paid to utility by an affiliate or general or home office	Ms. Scott
52	807 KAR 5:001 Section 16(7)(v) and Section 16(7)(w)	Cost of Service Study and incumbent local exchange carriers	Mr. M. Blake Mr. Staton
53	807 KAR 5:001 Section 16(8)(a)	Jurisdictional financial summary for base period and forecasted periods deriving amount of requested revenue increase	Mr. K. Blake
54	807 KAR 5:001 Section 16(8)(b)	Jurisdictional rate base summary for base period and forecasted periods with schedules detailing analyses of the rate base;	Mr. K. Blake
55	807 KAR 5:001 Section 16(8)(c)	Jurisdictional operating income summary for base period and forecasted periods with schedules detailing major/individual accounts	Mr. K. Blake
56	807 KAR 5:001 Section 16(8)(d)	Summary of jurisdictional adjustments to operating income by major	Mr. K. Blake

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		account with supporting schedules	
57	807 KAR 5:001 Section 16(8)(e)	Jurisdictional federal and state income tax summary for base period and forecasted periods with all supporting schedules	Mr. K. Blake
58	807 KAR 5:001 Section 16(8)(f)	Summary schedules for base period and forecasted periods of membership dues, initiation fees, country club expenditures, etc.	Mr. K. Blake
59	807 KAR 5:001 Section 16(8)(g)	Analysis of payroll costs including schedules for wages/salaries, employee benefits, payroll taxes, etc.	Mr. K. Blake
60	807 KAR 5:001 Section 16(8)(h)	Computation of gross revenue conversion factor for forecasted period	Mr. K. Blake
61	807 KAR 5:001 Section 16(8)(i)	Comparative income statements and revenue/sales statistics for the five (5) most recent calendar years from the application filing date, base/forecasted period, plus two (2) calendar years beyond forecast period	Mr. K. Blake
62	807 KAR 5:001 Section 16(8)(j)	Cost of capital summary for base period and forecasted period with supporting schedules	Mr. K. Blake
63	807 KAR 5:001 Section 16(8)(k)	Comparative financial data for ten (10) most recent calendar years, base period, and forecast period	Mr. K. Blake
64	807 KAR 5:001 Section 16(8)(l)	Narrative description and explanation of all proposed tariff changes	Mr. Conroy
65	807 KAR 5:001 Section 16(8)(m)	Revenue summary for base period and forecasted period with supporting schedules detailing billing analyses for all customer classes	Mr. M. Blake
66	807 KAR 5:001 Section 16(8)(n)	Typical bill comparison under present and proposed rates for all customer classes	Mr. Conroy
67	807 KAR 5:001 Section 17	Notice Content	Mr. Staton

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Witness Name	Description
Victor S. Staffieri	Direct Testimony and Exhibit
Kent W. Blake	Direct Testimony and Exhibits
Paul W. Thompson	Direct Testimony and Exhibits
David S. Sinclair	Direct Testimony and Exhibits
William E. Avera and Adrien M. McKenzie	Direct Testimony and Exhibits
John J. Spanos	Direct Testimony and Exhibits
Edwin "Ed" R. Staton	Direct Testimony and Exhibits
Dr. Martin J. Blake	Direct Testimony and Exhibits
Robert M. Conroy	Direct Testimony and Exhibits

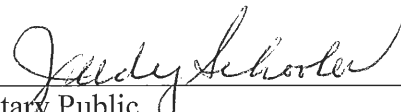
VERIFICATION

COMMONWEALTH OF KENTUCKY)
) SS:
COUNTY OF JEFFERSON)

The undersigned, **Christopher M. Garrett**, being duly sworn, deposes and says that he is Director – Accounting and Regulatory Reporting for Kentucky Utilities Company and Louisville Gas and Electric Company and an employee of LG&E and KU Services Company, that he has personal knowledge of the matters set forth herein, that the documents, and any copies thereof, and information provided in the application to satisfy the filing requirements for which he is identified as the witness are true and correct to the best of his information, knowledge and belief.


Christopher M. Garrett

Subscribed and sworn to before me, a Notary Public in and before said County and State, this 20th day of November 2014.

 (SEAL)
Notary Public

My Commission Expires:

July 11, 2018

VERIFICATION

COMMONWEALTH OF KENTUCKY)
) SS:
COUNTY OF JEFFERSON)

The undersigned, **Russel A. Hudson**, being duly sworn, deposes and says that he is Director of Operations Budgeting/Forecasting for Kentucky Utilities Company and Louisville Gas and Electric Company and an employee of LG&E and KU Services Company, that he has personal knowledge of the matters set forth herein, that the documents, and any copies thereof, and information provided in the application to satisfy the filing requirements for which he is identified as the witness are true and correct to the best of his information, knowledge and belief.

Russel A. Hudson
Russel A. Hudson

Subscribed and sworn to before me, a Notary Public in and before said County and State, this 19th day of November 2014.

Judy Scholes (SEAL)
Notary Public

My Commission Expires:

July 11, 2018

VERIFICATION

COMMONWEALTH OF KENTUCKY)
) SS:
COUNTY OF JEFFERSON)

The undersigned, **Valerie L. Scott**, being duly sworn, deposes and says that she is Controller for Kentucky Utilities Company and Louisville Gas and Electric Company and an employee of LG&E and KU Services Company, that she has personal knowledge of the matters set forth herein, that the documents, and any copies thereof, and information provided in the application to satisfy the filing requirements for which she is identified as the witness are true and correct to the best of her information, knowledge and belief.

Valerie L. Scott
Valerie L. Scott

Subscribed and sworn to before me, a Notary Public in and before said County and State, this 20th day of November 2014.

Judith Schooner (SEAL)
Notary Public

My Commission Expires:

July 11, 2018