

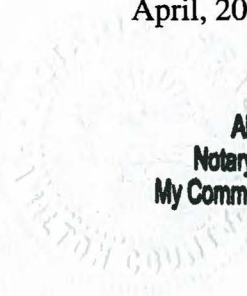
VERIFICATION

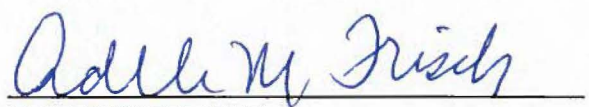
STATE OF OHIO)
) SS:
COUNTY OF HAMILTON)

The undersigned, Trisha Haemmerle, Strategy & Collaboration Manager, being duly sworn, deposes and says that she has personal knowledge of the matters set forth in the foregoing data requests, and that the answers contained therein are true and correct to the best of her knowledge, information and belief.


Trisha Haemmerle, Affiant

Subscribed and sworn to before me by Trisha Haemmerle on this 24TH day of April, 2014.


ADELE M. FRISCH
Notary Public, State of Ohio
My Commission Expires 01-05-2019


NOTARY PUBLIC

My Commission Expires: 1/5/2019

VERIFICATION

STATE OF OHIO)
) SS:
COUNTY OF HAMILTON)

The undersigned, Cindy Givens, Sr. Marketing Specialist, being duly sworn, deposes and says that she has personal knowledge of the matters set forth in the foregoing data requests, and that the answers contained therein are true and correct to the best of her knowledge, information and belief.

Cindy Givens
Cindy Givens, Affiant

Subscribed and sworn to before me by Cindy Givens on this 22ND day of April, 2014.

ADELE M. FRISCH
Notary Public, State of Ohio
My Commission Expires 01-05-2019

Adele M. Frisch
NOTARY PUBLIC

My Commission Expires: 1/5/2019

TABLE OF CONTENTS

<u>DATA REQUEST</u>	<u>WITNESS</u>	<u>TAB NO.</u>
STAFF-DR-01-001	Legal	1
STAFF-DR-01-002	Cindy Givens	2
STAFF-DR-01-003	Trisha Haemmerle	3
STAFF-DR-01-004	Cindy Givens	4

STAFF-DR-01-001

REQUEST:

Refer to page 1 of the application. The first paragraph requests that the Commission issue an Order extending the Home Energy Assistance (“HEA”) program for a three-year period ending December 31, 2017. In Case NO. 2011-00109,¹ Duke was granted approval to continue the HEA program for a 36-month period, ending September 24, 2014.

- a. Confirm that Duke is seeking approval to continue its HEA program until December 31, 2017, rather than for three years.
- b. If the answer to part a. is affirmative, explain why Duke is requesting a different expiration date.

RESPONSE:

- a. Yes.
- b. The Company is proposing to continue the program through December 31, 2017, simply to align the request toward the end of a calendar year as opposed to a quarter.

PERSON RESPONSIBLE: Legal

¹ Case No. 2011-00109, *Application of Duke Energy Kentucky, Inc. to Continue and Amend the Home Energy Assistance Program* (Ky. PSC Aug. 18, 2011).

**Duke Energy Kentucky
Case No. 2014-00094
Staff First Set Data Requests
Date Received: April 15, 2014**

STAFF-DR-01-002

REQUEST:

Refer to pages 36 and 37 of Duke's application in Case no. 2011-00448,¹ and page 34 of Duke's application in Case No. 2012-00495.² In Case No. 2011-00448, Duke collected \$249,075 and provided assistance to 1,309 accounts under the HEA program. In Case No. 2012-00495, Duke collected \$249,965 and provided assistance to 969 accounts under the HEA program. Explain what factors lead to the decline in the number of accounts assisted, even though the amounts Duke collected each year were relatively similar.

RESPONSE:

The average benefit provided to customers has increased from 2011 to 2012 and 2013 as customer's bills have increased due to a variety of factors, including weather conditions and arrearages.

PERSON RESPONSIBLE: Cindy Givens

¹ Case No. 2011-00448, *Application of Duke Energy Kentucky, Inc. for the Annual Cost Recovery Filing for Demand-Side Management* (Ky. PSC Apr. 13, 2012).

² Case No. 2012-00495, *Application of Duke Energy Kentucky, Inc. for the Annual Cost Recovery Filing for Demand Side Management* (Ky. PSC Apr. 11, 2013).

**Duke Energy Kentucky
Case No. 2014-00094
Staff First Set Data Requests
Date Received: April 15, 2014**

STAFF-DR-01-003

REQUEST:

Refer to the table below:

	2011-00448	2012-00495	2013-00395
Amount collected	\$249,075	\$249,966	\$243,402
Amount distributed to accounts	\$226,086	\$203,013	\$228,654
Admin. Fees	<u>\$33,913</u>	<u>\$26,480</u>	<u>\$29,824</u>
(Under)/Over collected	<u>(\$10,924)</u>	<u>\$20,473</u>	<u>(\$15,076)</u>

- a. Confirm that the information above relating to the HEA program is correct. If the answer is affirmative, please answer parts b. and c. below. If the information is not correct, provide any necessary corrections and explain the discrepancy.
- b. In Case Nos. 2011-00448 and 2013-00395,¹ distributions to accounts and administrative fees exceed the amounts collected by Duke by \$10,924 and \$15,077 respectively.
 - 1) Provide the reason(s) for the under-collection for the reporting periods associated with Case Nos. 2011-00448 and 2013-00395.
 - 2) Provide the source of funds used to cover the under-collection for each reporting period noted above
- c. In Case No 2012-00495, distributions to accounts and administrative fees were \$20,472 less than amounts collected by Duke. Explain the ultimate disposition of the over-collected funds.

¹ Case No. 2013-00395, *Duke Energy Kentucky, Inc. 's Annual Cost Recovery Filing for Demand Side Management* (Ky. PSC Mar. 28, 2014).

RESPONSE:

- a. A portion of the table is incorrect. For cases 2012-00495 and 2013-00395 the table is double counting the administrative costs, inadvertently including the administrative costs as part of the amount distributed to accounts. The table is corrected below in bold.

	2011-00448	2012-00495	2013-00395
Amount collected	\$249,075	\$249,966	\$243,402
Amount distributed to accounts	\$226,086	\$176,533	\$198,830
Admin. Fees (Under)/Over collected	<u>\$33,913</u>	<u>\$26,480</u>	<u>\$29,824</u>
	<u>(\$10,924)</u>	<u>\$46,953</u>	<u>\$14,748</u>

- b.
- 1) For the 2010 - 2011 filing period, a higher number of customers were assisted, 1,424 families. This resulted in an under collection reported in Case No. 2011-00448. Based on the correction to the table, there is no longer an under collection in Case No. 2013-00395.
 - 2) For 2010 – 2011, there was an overspend of \$10,923.61 at the end of the filing period with the knowledge that funds would be received in July 2011.
- c. The number of families assisted decreased in July 2011 – June 2012, to 969 families and in July 2012 – June 2013, to 989 families. However, the over collection amount carries over to the following year allowing for greater assistance to each family.

PERSON RESPONSIBLE: Trisha Haemmerle

**Duke Energy Kentucky
Case No. 2014-00094
Staff First Set Data Requests
Date Received: April 15, 2014**

STAFF-DR-01-004

REQUEST:

In Case Nos. 2008-00100¹ and 2011-00109, Duke committed to contribute \$25,000 in shareholder funding in support of the HEA program through the duration of the three-year program period. State whether Duke would be agreeable to continue this level of shareholder funding commitment as part of its request to continue the HEA program.

RESPONSE:

Yes, Duke Energy Kentucky is willing to contribute a total of \$25,000 over the three-year HEA program period.

PERSON RESPONSIBLE: Cindy Givens

¹ Case No. 2008-00100, *Application of Duke Energy Kentucky, Inc. to Reinstitute a Home Energy Assistance Program* (Ky. PSC Sept.25, 2008).