

EXHIBIT F9

LG&E and KU Services Company (LKS) Second Tier Procurement Program

Attached are forms for supplying information to LKS on your company's use of Minority and Women owned Business Enterprises (M/WBEs). You do not have to use the exact form; however, please be sure all the information requested is submitted. **Expenditures for MBEs and WBEs must be supplied separately; please do not combine these expenditures.**

There are two categories of expenditure reporting:

Direct expenditures are those materials and service acquisitions from M/WBEs directly attributable to a LKS contract or purchase order. For example-- your company provides general construction services to LKS and you subcontract earthmoving and painting to M/WBEs. In this instance, 100% of the painting and earthmoving expenditures should be reported to LKS. Another example -- your company provides LKS office supplies under a blanket purchase order and you purchase pens, pencils and stationery from M/WBE manufacturers. Expenditures for the volumes of these pens, pencils and stationery furnished LKS should be reported at 100%.

Indirect expenditures are those materials and services acquisitions from M/WBEs that cannot be identified or apportioned to any specific customer. For example -- "overhead" items such as paper, computing expenses, or office maintenance incurred by your company. Also included would be any and all materials and services purchased from M/WBEs that are used in the direct production of your product or service. These "indirect expenditures" should be proportionately allocated and reported to as outlined in the example below.

MBE Indirect Allocation formula $\frac{\text{Your YTD Sales to LKS}}{\text{Total YTD Sales}} = \text{Allocation Factor}$

(Total YTD MBE* Indirect Expenditures X Allocation Factor) = **LKS's MBE Allocation**

Example: Your Company's total sales are \$50 million; total sales to LKS are \$3 million, and total MBE expenditures are \$5,000,000.

$$\frac{\$3,000,000}{\$50,000,000} = 0.06$$

$$5,000,000 \times 0.06 = \$300,000 \text{ (LKS's MBE Allocation)}$$

For both direct and indirect expenditures, please list the names of the M/WBEs, addresses, and dollar amounts. **Please do not combine WBE and MBE expenditures.**

***Note: A separate and similar calculation should also be made for WBEs.**

Please submit the requested information by the 10th workday of the month following the end of each quarter to:

Stephanie R. Pryor
Manager, Supplier Diversity
LKS
820 W. Broadway
Louisville, KY 40202
(502) 217-4971 Fax

(Company Name)

M/WBE Indirect Expenditure Report
LKS Second Tier Program
_____**quarter, 20**____

LKS Project Name: _____

LKS Contract/Purchase Order Number (if applicable): _____

Indirect MBE Expenditure Allocation:

Use Factor from Previous Page

Sales To LKS	\$ _____
Total MBE Expenditures	\$ _____
LKS Allocation	\$ _____

Indirect WBE Expenditure Allocation:

Sales To LKS	\$ _____
Total WBE Expenditures	\$ _____
LKS Allocation	\$ _____

Note: See indirect expenditure allocation formula explanation in LKS.'s Second Tier Reporting Program (previous page)

Submitted By

Title

Date

**M/WBE Direct Expenditure Report
LKS Second Tier Program
_____ quarter, 20__**

Company Name: _____ **Report Date:** _____

Address: _____ **LKS Project Name:** _____

_____ **Contract/Purchase Order No (if applicable):** _____

_____ **Submitted By:** _____ **Title:** _____

Telephone: _____

(1)	(2)	(3)		(4)	(5)
	SUBCONTRACTING/SUBSUPPLIER NAME		MBE/WBE /DBE/LC	ORIGINAL PROPOSED	
WORK DESCRIPTION	CONTACT PERSON & TELEPHONE NO.	TAX ID NO.		EXPENDITURES	ACTUAL PAYMENT
		TOTAL			\$

REFER TO GUIDELINES ATTACHED TO THIS FORM

LKS

Guidelines for Second Tier (Direct Expenditure) Reporting

Supplier shall complete requests 1 through 6, reporting its use of Minority Business Enterprises (MBEs) and Women Business Enterprises (WBEs) in direct connection with the goods/services provided under contracts/purchase orders to LKS.

Supplier shall submit quarterly reports in a format equivalent to the attached M/WBE Direct Expenditure Report and send a copy of this form to:

Stephanie R. Pryor
Manager, Supplier Diversity
LKS
820 W. Broadway
Louisville, KY 40202
stephanie.pryor@lge-ku.com
(502) 217-4971 fax

Supplier shall provide the following Second-Tier information when submitting its quarterly report on M/WBE expenditures either on the attached "Direct Expenditure Report", or in an equivalent format:

1. **Work Description:** a brief statement of the goods/services provided by the M/WBE
2. **Subcontractor/Sub-supplier Name Contact Person & Telephone No.:** the name of a contact within the M/WBE who can verify expenditures made by Supplier.
3. **Tax ID Code** if available.
4. **MBE/WBE:** Designate whether company is an MBE or WBE
5. **Original Proposed Expenditures:** initial estimate of anticipated expenditures with M/WBE
6. **Actual Payment:** total of payments made to the M/WBE through the current reporting period

DEFINITIONS AND TERMS OF MANAGEMENT AND OWNERSHIP

WBE: Woman Business Enterprise: A certified business enterprise that is at least 51% owned by a woman; or, in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more women, and whose management and daily business operations are controlled by one or more women.

MBE: Minority Business Enterprise: A certified business enterprise at least 51% owned by a minority individual or group, or in case of any publicly owned business, at least 51% of the stock of which is owned by one or more minority groups, and, and whose management and daily business operations are controlled by one or more of these individuals. LKS shall presume that minority includes, but is not limited to Black Americans, Hispanic American, Asian Pacific Americans, and other groups.

CONTROL: Exercising the power to make policy decisions.

OPERATE: Being actively involved in the day-to-day management and not merely acting as officer or directors.

LKS
Guidelines for Second Tier (Direct Expenditures Reporting (Cont'd.))

M/WBE IDENTIFICATION CODES

- | | | |
|----------------------|-----------------------|-------------------------|
| 1 - African American | 3 - Native American | 5 - Non-Minority Female |
| 2 - Asian American | 4 - Hispanic American | |

EXPLANATION OF M/WBE CODES

- 1 - African American: Persons having origin in any black racial groups of Africa.
- 2 - Asian Americans: Persons having origin in Asia or the India subcontinent, including, but not limited to, persons Japan, China, Vietnam, Samoa, Guam, U.S. Trust Territories of the Pacific, Northern Marinas, Laos, Cambodia, Taiwan, India, Pakistan, and Bangladesh.
- 3 - Native Americans: Persons having origin in any of the original peoples of North America or the Hawaiian Islands, in particular American India, Eskimos, Aleuts, and Native Hawaiians.
- 4 -Hispanic Americans: Persons of Mexican, Puerto Rican, Cuban, South or Central American, Caribbean, and other Spanish culture or origin.
- 5 -Non-Minority Female: Persons of European descent; non-ethnic minority female owned businesses.