Respondent: David Barberie

1. In its brief in Case No. 2012-00096, LFUCG stated that Central Division customers should not be required to pay for the costs related to the Northern Division Connection Project.

a. State whether the LFUCG continues to maintain this position. Explain.

b. If Central Division customers should not be required to pay for the costs related to the Northern Division Connection Project, describe how Kentucky-American should recover the costs of the Northern Division Connection Project.

c. Assume that the Commission determined that the costs related to the Northern Division Connection Project should be recovered through a surcharge on Northern Division customers. Describe how such a surcharge should be designed.

Response:

a. Yes. The costs related to the Northern Division Connection Project ("NDCP") were not reasonably and prudently incurred and should not be borne by the ratepayers. KAW should have disclosed it plan to utilize KRS II for the benefit of Owenton at the time KRS II was being considered by the Commission. The NDCP does not benefit the Central Division and in certain circumstances may harm it. See also the Response of the Attorney General to Commission Staff's Request for Information No. 29 and 30(a) and (b), which LFUCG incorporates herein by reference.

b. As discussed in the response to part (a), LFUCG does not agree that KAW should be permitted to recover the costs of the NDCP. However, assuming for purposes of the hypothetical that the Commission: (i) grants KAW recovery of some portion of its NDCP costs, and (ii) determines that Central Division customers should not be responsible for any such allowed costs, the only remaining cost recovery option available to KAW would be to recover its allowed NDCP costs solely from Northern Division customers. In that event, Northern Division customers would end up paying higher overall rates for water service than Central Division customers.
c. LFUGC does not take a formal position as to how a hypothetical NDCP surcharge should be designed. However, in general, using a surcharge to recover allowed NDCP costs would require KAW to: (1) convert its allowed NDCP costs into a Commission-approved annual revenue requirement; and (2) divide that annual revenue requirement by projected Northern Division water sales (gallons) or water revenues. In the case of water sales, the surcharge would apply to a Northern Division customer's total usage. In the case of water revenues, the surcharge would apply to a Northern Division customer's total bill.
Respondent:  David Barberie

2. State whether the LFUCG supports the continued use of uniform rates for Kentucky-American customers, regardless of the division that serves the customer. Explain.

Response:

It depends upon the circumstances. For example, LFUCG does not support the continued use of uniform rates if they will be used as a mechanism to fund the expansion of KAW’s services. Each rate proposal regarding uniform rates should be considered on a proposal-by-proposal basis with regard to economic efficiency, equity, rate continuity, and rate understandability, and should be in conformity with KRS 278.030 and 278.170.
Respondent: David Barberie

3. In its brief in Case No. 2012-00096, LFUCG stated: “Given KAWC’s knowledge of the problems with the Owenton system at the time of its acquisition and its failure to mention KRS II as a treatment option at the time it was being approved by the Commission, it would be within the discretion of the Commission to relieve the ratepayers of the burden of paying for this proposal.”¹ State LFUCG’s factual and legal theory for disallowing rate recovery of the costs related to the Northern Division Connection Project.

Response:

At this time LFUCG is not aware of any facts outside the record of the various PSC cases dealing with the acquisition of Owenton, KRS II and the connection project. See also the Response of the Attorney General to Commission Staff’s Request for Information No. 29 and 30(a) and (b), which LFUCG incorporates herein by reference.

¹ LFUCG Brief at 3.
Witness: William O’Mara/Legal Objections David Barberie

4. Provide all correspondence, electronic mail messages, and any other written communication between Kentucky-American and LFUCG for the period from January 1, 2009 to December 31, 2012 regarding the provision of billing and collection services.

Response:

LFUCG objects to this request as it is overly broad and unduly burdensome and would call for information protected by the attorney client privilege and/or work product doctrine. Without waiving stated objections, and based upon reasonable inquiry and information and belief, the responsive documents are provided as LFUCG Exhibit LFC_R_PSC_EX_#4(1-7). LFUCG did not produce documents pertaining to customer specific billing information such as home addresses or telephone numbers, nor given the amount of e-mails produced and the questionable relevance of the information contained in many of the documents, did it attempt to locate the corresponding attachments to each and every e-mail.
Refer to Direct Testimony of William O'Mara at 4. Mr. O'Mara refers to conversations between Kentucky-American officials and LFUCG officials.

a. Identify the person or persons who represented Kentucky-American in its discussions with LFUCG regarding Kentucky-American’s provision of billing and collection services.

b. List the date of each conversation between Kentucky-American officials and LFUCG officials regarding Kentucky-American’s provision of billing and collection services and the persons involved in each conversation and describe the contents of each conversation.

Response:

LFUCG objects to this request as it is overly broad and unduly burdensome and it may be better addressed through inquiries to KAW. Without waiving stated objections, and based upon reasonable inquiry, information and belief, and limiting its response to the context of Mr. O’Mara’s testimony, LFUCG responds as follows.

a. The following KAW representatives addressed the issue of billing with LFUCG representatives on one or more occasions: Cheryl Norton, Keith Cartier, Susan Lancho, and John Mark Hack. Additionally, Gerald Reynolds, Richard Bertelson and Lindsey Ingram III represented KAW as counsel during some or all of the discussions involving this issue. Moreover, present during at least one discussion regarding the billing issue were Andrew “Skipper” Martin and Ron Geoghegan, who are employed by McCarthy Strategic Solutions, a firm that may lobby on behalf of KAW.

b. During the first year of Mayor Gray’s term, the provision of billing services was one of multiple issues that were being addressed between KAW and LFUCG, including the transfer of Jacobson Park.

On or about February 17, 2011, John Mark Hack and Cheryl Norton visited the government center and met with Mayor Gray, former Senior Adviser Geoff Reed, Chief of Staff Jamie Emmons, and Law
Commissioner Janet Graham. As part of the meeting, Ms. Norton mentioned that it might be more beneficial for LFUCG to have someone other than KAW to administer its billing process since billing for LFUCG fees is not an area of expertise of KAW.

On or about March 17, 2011, Keith Cartier met with William O’Mara, then Director of Revenue and Jane Driskell, Commissioner of Finance. Mr. Cartier brought collection data on the LFUCG fees showing collection rates were dropping. He suggested that since the change in payment application dictated by the Public Service Commission on or around December 2010, collection rates had eroded and that LFUCG should consider billing these fees in order to avoid any oversight by the Commission. Mr. Cartier also indicated that if LFUCG billed and collected the fees, KAW would be amenable to water shut-off orders from LFUCG for delinquent sewer fees.

During the same general time frame, LFUCG’s former Commissioner of Finance, Jane Driskell had a meeting with Skipper Martin and John Mark Hack to discuss the relationship between KAW and LFUCG. It is believed that the billing issue was briefly mentioned. During this general time frame, Jane Driskell also had at least one telephone conversation with Cheryl Norton during which Ms. Driskell was lead to believe that KAW would work with LFUCG on continuing to provide billing services.

On or about May 9, 2011, Mayor Jim Gray, Geoff Reed, Jamie Emmons, Janet Graham, David Barberie, and Richard Moloney met with Cheryl Norton, Gerald Reynolds, Keith Cartier, and Lindsey Ingram to primarily discuss the transfer of Jacobson Park, but the billing agreements were also mentioned.

On or about July 1, 2011, Cheryl Taylor, LFUCG’s former Commissioner of Environmental Quality (and a former employee of KAW), lunched with Cheryl Norton and Susan Lancho at their invitation. Several topics were discussed, including the provision of billing services. It is believed that Ms. Norton expressed a desire to meet with Jane Driskell to discuss a transition away from KAW as a biller for LFUCG.
On or about July 13, 2011, Keith Cartier and possibly one other KAW representative (unidentified) met with Jane Driskell and William O’Mara. It is believed that it is during this conversation that KAW indicated that a decision had been made by American Water Works to move away from providing the billing services, but that KAW would work with LFUCG and provide it sufficient time to retain a new entity to provide the services. LFUCG was left with the impression that KAW would be unwilling to reconsider this decision even if LFUCG offered to amend the terms of the agreements to increase the amount of the payments to KAW, and that this transition would take approximately 18 months. LFUCG communicated to KAW that based on preliminary inquiries, the billing transition process would take approximately 18 months and understood that KAW would work with LFUCG on a reasonable transition timeframe.

On or about August 23, 2011, another meeting was held between Jane Driskell, Keith Cartier and William O’Mara at which the same topics as indicated above were also discussed. KAW requested the meeting in order to get an update on LFUCG’s progress in finding an alternate billing vendor. LFUCG indicated that it was hiring a consultant to assist it in writing a Request for Proposal to solicit billing and collection vendors.

On or about September 23, 2011, Lindsey Ingram, III, and Rick Bertelson met with David Barberie and indicated that KAW intended to terminate all of the billing agreements on or about March 31, 2012. There were several telephone conversation and e-mail exchanges between these parties related to the form of the termination notices, which were received by LFUCG on or about October 3, 2011.

It is believed around this same time period at least one telephone conversation took place between Jane Driskell and Cheryl Norton, during which Ms. Driskell expressed her surprise and disappointment in the amount of time KAW had provided LFUCG to transition these services to another provider.

On or about September 27, 2011, a consultant employed by LFUCG, Linda Borkosky (with the RFP Company), met with representatives of KAW to begin the process of putting together an RFP for billing services.
On or about January 17, 2012, Cheryl Norton, Keith Cartier, William O’Mara and Jane Driskell met to discuss the transition. KAW requested an update on the progress of LFUCG’s billing transition implementation. LFUCG gave an update on the completion of the RFP, its selection of a project manager, and its expectation that it would be selecting a preferred billing and collections vendor in the near future. LFUCG also told KAW that all RFP respondents could not implement by the March 31, 2012 deadline, and requested that KAW extend the March 31, 2012 termination notice. LFUCG understood that at least some of the other American Water Works Companies had agreed to extend the transition period in their respective jurisdictions in order to accommodate the transition by the impacted local government(s).

On or about January 20, 2012 a similar meeting was held between LFUCG’s project managers for the billing transition and KAW.

During this same time frame Councilmember Kevin Stinnett had a telephone conversation with Cheryl Norton during which he requested the assistance of KAW in extending the termination dates of the agreements in order to assist LFUCG.

On or around January 26, 2012, David Barberie and Lindsey Ingram had a telephone conversation regarding the form of an extension to the original 180 day termination notices and the LFUCG’s process for approval.

On or about May 30, 2012, Mayor Gray, Jamie Emmons, Janet Graham, and Jane Driskell met with Cheryl Norton, Susan Lancho, Skipper Martin and Ron Geoghegan regarding LFUCG’s relationship with KAW. During this meeting, the provision of billing services was discussed, including KAW’s decision to terminate its billing relationship with LFUCG and the stress put on LFUCG in having to find another billing entity.
Witness: William O'Mara/Legal Objections David Barberie

6. Provide all notes, memoranda, correspondence, and other written documents taken or prepared during these conversations or which concerned these conversations.

Response:

LFUCG objects to this request as it is overly broad and unduly burdensome and would call for information protected by the attorney client privilege and/or work product doctrine. Without waiving stated objections, the responsive documents are provided are attached. In addition, some of the documents included in LFC_R_PSC_EX_#4(1-7) may be responsive.
Need you to contact Kentucky American Water to request a meeting with their new President, Cheryl Norton. Others to be included in the meeting are Geoff Reed and me. Early next week is what I'm looking for.
<table>
<thead>
<tr>
<th>Subject:</th>
<th>KAWC Billing</th>
</tr>
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<tbody>
<tr>
<td>Start:</td>
<td>Fri 2/4/2011 8:30 AM</td>
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<td>End:</td>
<td>Fri 2/4/2011 9:30 AM</td>
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<tr>
<td>Recurrence:</td>
<td>(none)</td>
</tr>
</tbody>
</table>
Janet Graham

From: John-Mark.Hack@amwater.com
Sent: Friday, February 11, 2011 11:06 AM
To: Maureen Watson
Subject: RE: meeting with Ms. Norton

Dear Maureen:

Thanks for your note. I've left a couple of phone messages for you. Unfortunately, Cheryl has a previously scheduled out-of-state commitment. Do you have any alternative dates I could run by her assistant? Thanks.

John-Mark Hack
Director of Governmental Affairs
Kentucky American Water Company
2300 Richmond Road
Lexington, Kentucky 40502
(859) 268-6314 - Direct
(859) 537-0737 - Mobile
(859) 268-6327 - Fax

"Maureen Watson" <maureenw@lexingtonky.gov>
02/10/2011 09:03 AM

Would she be available on Wed., Feb. 16 @ 2pm?
Thank you for your help and the quick response.
Maureen

Maureen Watson
Aide to Mayor Jim Gray
200 E. Main St.
Lexington, KY 40507
(859) 258-3112

04/26/2013
I can set it up. When did you have in mind?
JM

From: "Maureen Watson" [maureenw@lexingtonky.gov]
Sent: 02/09/2011 06:07 PM EST
To: John-Mark Hack
Subject: meeting with Ms. Norton

Hi, John-Mark,
Could you help me in who I should contact to schedule a meeting for the Mayor with Cheryl Norton?
Thanks so much for your help.
Maureen

Maureen Watson
Aide to Mayor Jim Gray
200 E. Main St.
Lexington, KY 40507
(859) 258-3112
Janet Graham

From: John-Mark.Hack@amwater.com
Sent: Monday, February 14, 2011 11:45 AM
To: Maureen Watson
Subject: RE: meeting with Ms. Norton

Hi Maureen:

I called a few minutes ago to confirm our meeting on Thursday at 11AM. Thanks for the opportunity and please let me know of any changes. Thanks again.

John-Mark Hack
Director of Governmental Affairs
Kentucky American Water Company
2300 Richmond Road
Lexington, Kentucky 40502
(859) 268-6314 - Direct
(859) 537-0737 - Mobile
(859) 268-6327 - Fax

"Maureen Watson" <maureenw@lexingtonky.gov> 02/11/2011 07:22 PM

Yes, thank you...I'm still checking with 1 more person but if you will hold that time, please?
Thanks!

Maureen Watson
Aide to Mayor Jim Gray
200 E. Main St.
Lexington, KY  40507
(859) 258-3112

From: John-Mark.Hack@amwater.com [mailto:John-Mark.Hack@amwater.com]
Sent: Friday, February 11, 2011 11:06 AM
To: Maureen Watson
Subject: RE: meeting with Ms. Norton

Dear Maureen:

04/28/2013
Thanks for your note. I've left a couple of phone messages for you. Unfortunately, Cheryl has a previously scheduled out-of-state commitment. Do you have any alternative dates I could run by her assistant? Thanks.

John-Mark Hack  
Director of Governmental Affairs  
Kentucky American Water Company  
2300 Richmond Road  
Lexington, Kentucky 40502  
(859) 268-6314 - Direct  
(859) 537-0737 - Mobile  
(859) 268-6327 - Fax 

"Maureen Watson" <maureenw@lexingtonky.gov>  

02/10/2011 09:03 AM  

Would she be available on Wed., Feb. 16 @ 2pm? 
Thank you for your help and the quick response. 
Maureen  

Maureen Watson  
Aide to Mayor Jim Gray  
200 E. Main St.  
Lexington, KY 40507  
(859) 258-3112 

From: John-Mark.Hack@amwater.com [mailto:John-Mark.Hack@amwater.com]  
Sent: Thursday, February 10, 2011 12:42 AM  
To: Maureen Watson  
Subject: Re: meeting with Ms. Norton 

Hello Maureen,  
I can set it up. When did you have in mind? 
JM 

04/28/2013
From: "Maureen Watson" [maureenw@lexingtonky.gov]  
Sent: 02/09/2011 06:07 PM EST  
To: John-Mark Hack  
Subject: meeting with Ms. Norton  

Hi, John-Mark,  
Could you help me in who I should contact to schedule a meeting for the Mayor with Cheryl Norton?  
Thanks so much for your help.  
Maureen  

Maureen Watson  
Aide to Mayor Jim Gray  
200 E. Main St.  
Lexington, KY  40507  
(859) 258-3112  

04/28/2013
<table>
<thead>
<tr>
<th><strong>Calendar Access</strong></th>
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<tbody>
<tr>
<td><strong>Subject:</strong> Cheryl Norton, John Mark Hack (KAWC), GR, JE (MW)(C)</td>
</tr>
<tr>
<td><strong>Location:</strong> Mayor’s office</td>
</tr>
<tr>
<td><strong>Start:</strong> Thu 2/17/2011 11:00 AM</td>
</tr>
<tr>
<td><strong>End:</strong> Thu 2/17/2011 12:00 PM</td>
</tr>
<tr>
<td><strong>Recurrence:</strong> (none)</td>
</tr>
</tbody>
</table>
Candice Deininger

Subject: Keith Cartier w/ Kentucky American Water Co.
Location: Commissioner Driskell's office - LFUCG - Dept. of Finance - 200 E. Main Street
Start: Thu 3/17/2011 4:00 PM
End: Thu 3/17/2011 5:00 PM
Recurrence: (none)
Meeting Status: Accepted

All-

Meeting is regarding: Wastewater/ Landfill billing contract

Thx.
Lillian
Janet Graham

From: Ingram Ill, Lindsey [L.Ingram@skofirm.com]
Sent: Friday, April 01, 2011 2:02 PM
To: JANET GRAHAM
Cc: Gerald.Reynolds@amwater.com
Subject: RE: Jacobson Park

Sure, we can make that work. How about you let me know what dates suit you after April 12 and then I will do a calendar check with the KAW folks that should attend?

Lindsey

From: Janet Graham [mailto:jgraham@lexingtonky.gov]
Sent: Friday, March 25, 2011 4:29 PM
To: Ingram Ill, Lindsey
Cc: Gerald.Reynolds@amwater.com
Subject: RE: Jacobson Park

Lindsey, sorry about the delay – I think we would like to meet with you all to discuss both Jacobson Park and Kentucky American’s contracts to provide billing and collection services to LFUCG for LFUCG sewer fees, LFUCG solid waste disposal fees (sometimes referred to as “landfill” fees), and water quality management fees (sometimes referred to as “stormwater” fees). The scheduling of this meeting is going to be a little challenging. We are currently trying to get a budget prepared in preparation for the Mayor’s budget address on April 12th. Additionally, several of my attorneys are off between April 4th - 8th (Spring Break) so it would be great if we could schedule a meeting subsequent to that date. Just let me know if that would be a possibility, and we will work to come up with an agreeable date. Thanks.

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Janet M. Graham
Commissioner of Law
Lexington-Fayette Urban County Government
Department of Law (11th floor)
200 East Main Street
P. O. Box 34028
Lexington, Kentucky 40588-4028
Telephone: (859) 258-3500
Facsimile: (859) 258-3538
jgraham@lexingtonky.gov

From: Ingram Ill, Lindsey [mailto:L.Ingram@skofirm.com]

04/27/2013
Sent: Wednesday, March 23, 2011 5:37 PM
To: Janet Graham
Cc: Gerald.Reynolds@amwater.com
Subject: FW: Jacobson Park

Janet:

Just checking in to make sure you did not miss my e-mail below re Jacobson and "other issues." Please let me know. Thanks.

Lindsey

From: Ingram III, Lindsey
Sent: Thursday, March 17, 2011 8:44 AM
To: 'Janet Graham'
Cc: Gerald.Reynolds@amwater.com
Subject: RE: Jacobson Park

Sure, I am glad to do that. But could you elaborate on "other issues related to Kentucky American" so I will know who at KAW will need to attend the meeting so I can check their schedule availability?

Lindsey

From: Janet Graham [mailto:jgraham@lexingtonky.gov]
Sent: Thursday, March 17, 2011 8:31 AM
To: Ingram III, Lindsey
Cc: Gerald.Reynolds@amwater.com
Subject: RE: Jacobson Park

Lindsey, the Phase 1 Assessment is completed and is currently being reviewed. I believe that it might be prudent for us to sit down with you all and discuss Jacobson Park and other issues related to Kentucky American. If it is agreeable with you, would you mind forwarding me some available dates in the next two weeks. Thank you very much.

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Lexington, Kentucky 40588-4028
Telephone: (859) 258-3500
Facsimile: (859) 258-3538
jgraham@lexingtonky.gov

04/27/2013
From: Ingram III, Lindsey [mailto:L.Ingram@skofirm.com]
Sent: Monday, March 14, 2011 3:39 PM
To: Janet Graham
Cc: Gerald.Reynolds@amwater.com
Subject: Jacobson Park

Janet:

Now that we are in mid-March, I am checking in for a status report on the environmental assessment. Will you please let us know the status when you have a moment? Thanks.

Lindsey W. Ingram III
Stoll Keenon Ogden PLLC
300 W. Vine St.
Suite 2100
Lexington, KY 40507
859-231-3982 (direct dial)
859-221-0997 (cell)
859-246-3672 (direct fax)
L.ingram@skofirm.com

From: Janet Graham [mailto:jgraham@lexingtonky.gov]
Sent: Monday, January 31, 2011 9:52 AM
To: Ingram III, Lindsey
Subject: RE: your phone message

Lindsey, we checked on the status of the phase one environmental assessment, and it looks like it should be completed in March. We will keep you updated as we receive more information. Thanks.

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Lexington, Kentucky 40588-4028
Telephone: (859) 258-3500
Facsimile: (859) 258-3538
jgraham@lexingtonky.gov

From: Ingram III, Lindsey [mailto:L.Ingram@skofirm.com]
Sent: Saturday, January 29, 2011 11:18 AM

04/27/2013
To: Janet Graham  
Subject: Re: your phone message

Ok. Thanks.

On Jan 29, 2011, at 10:55 AM, "Janet Graham" <jgraham@lexingtonky.gov> wrote:

Lindsey, I received your phone message inquiring about the Jacobson Park deed, but I was not able to determine the status of the phase one environmental assessment on Friday. I will get you an update once I get the requested information. Thanks.

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Lexington, Kentucky 40588-4028  
Telephone: (859) 258-3500  
Facsimile: (859) 258-3538  
jgraham@lexingtonky.gov

04/27/2013
Janet Graham

From: Ingram III, Lindsey [L.Ingram@skofirm.com]
Sent: Wednesday, April 20, 2011 3:49 PM
To: Marian Zeitlin
Cc: Gerald.Reynolds@amwater.com; JANET GRAHAM
Subject: RE: Request for Meeting

Monday, May 9 from 2-4 works well for us. My guess is that it will take less than 2 hours, but I also think we should plan on 2 hours just in case. For KAW, it will be Cheryl Norton, Keith Cartier, Jerry Reynolds and me. I will let you know if that changes in any way. Who from LFUCG will be there? Janet mentioned that she wants to discuss the KAW-LFUCG billing contracts at this meeting, so it might make sense for Bill O’Mara to be there because I think he and Keith Cartier have had some fairly recent discussions on that topic.

Thanks.

Lindsey W. Ingram III
Stoll Keenon Ogden PLLC
300 W. Vine St.
Suite 2100
Lexington, KY 40507
859-231-3982 (direct dial)
859-221-0997 (cell)
859-246-3672 (direct fax)
L_ingram@skofirm.com

From: Marian Zeitlin [mailto:mzeitlin@lexingtonky.gov]
Sent: Wednesday, April 20, 2011 2:10PM
To: Ingram III, Lindsey
Subject: Request for Meeting

Hello Mr. Ingram,

Commissioner Graham asked me to contact you to set up a meeting to get everyone together and it looks like the following dates/times will work with our folks:

Wednesday, May 11, 10:00 to 12:00 or
Monday, May 9, 2:00 to 4:00

If you do not anticipate needing two full hours, we can adjust the start time within these time blocks. Also, I have reserved the 12th floor conference room to accommodate the large group.

If neither is good, we can look for another later that week or the following. Just let me know.

Thank you!

Marian C. Zeitlin
Executive Assistant to Richard Moloney
mzeitlin@lexingtonky.gov
859-258-3133

04/27/2013
Janet Graham

Subject: FW: Pre Ky American water mtg.
Location: tbd
Start: Fri 05/06/2011 2:30 PM
End: Fri 05/06/2011 3:30 PM
Recurrence: (none)
Resources: William O'Mara; archivemgr_journal

From: Maureen Watson  On Behalf Of Jamie Emmons
Sent: Thursday, May 05, 2011 10:03 AM
To: Jamie Emmons; Richard Moloney; Geoffrey S Reed; Janet Graham; William O'Mara; David Barberie
Subject: Pre Ky American water mtg.
When: Friday, May 06, 2011 2:30 PM-3:30 PM (UTC-05:00) Eastern Time (US & Canada).
Where: tbd

Richard
Jamie
Geoff
Janet
Jane Driskell
Bill O'Mara
David Barberie
Updated: Reminder - Meeting with group from KAW confirmed for Monday (5/9) at 2:00
12th floor conf room

Mon 05/09/2011 2:00 PM
Mon 05/09/2011 4:00 PM

This is just a reminder that you are confirmed to meet with a group from KAW (Lindsey Ingram, Cheryl Norton, Keith Cartier and Jerry Reynolds) to talk about issues related to the Jacobsen Park deed and billing contracts.

I have received responses from a few; please accept/decline this updated invitation if you have not already responded.

Thanks!
Marian
x3133
Janet Graham

From: Jamie Emmons [jemmons@lexingtonky.gov]
Sent: Monday, May 07, 2012 12:21 PM
To: Judy Taylor; Kevin Atkins
Cc: Maureen Watson
Subject: RE: Meeting Request

Thanks. We'll ask Maureen to follow up on the meeting request for the topic.

From: Judy Taylor [mailto:jtaylor@keeneland.com]
Sent: Monday, May 07, 2012 11:45 AM
To: Kevin Atkins; Jamie Emmons
Subject: Re: Meeting Request

I agree with Kevin. They represent Ky American. I think Jamie should meet with them if anyone does. FYI Jane's last name is Corder.

From: Kevin Atkins <katkins@lexingtonky.gov>
Date: Monday, May 7, 2012 11:06 AM
To: Jamie Emmons <jemmons@lexingtonky.gov>
Cc: Judy Taylor <jtaylor@keeneland.com>
Subject: Re: Meeting Request

We need to know specific topic before answering the mtg request.

Sent from my iPhone

On May 7, 2012, at 10:57 AM, "Jamie Emmons" <jemmons@lexingtonky.gov> wrote:

Your thoughts?

Judith Taylor | Keeneland Association, Inc. | Government Relations
4201 Versailles Rd.| Lexington, KY 40510 USA | P.O. Box 1690 | Lexington, KY 40588-1690 USA
Direct Line 859-288-4272 | Fax | http://www.keeneland.com

KEENELAND

- Spring Meet: April 6-27
- April Two-Year-Olds in Training Sale: April 9

From: Maureen Watson
Sent: Thursday, May 03, 2012 3:11 PM

04/26/2013
To: Jim Gray  
Cc: Jamie Emmons  
Subject: FW: Meeting Request  
Importance: High

He is with McCarthy Strategic Solutions (lobbyists in Fkft); I know Geoff met with this group before re: something different; I believe JG knows Mr. Geoghegan thru a relative.

Do you want to meet or have someone else meet with them?

From: Shauntae Hall  
Sent: Thursday, May 03, 2012 3:01 PM  
To: Maureen Watson  
Subject: Meeting Request

Maureen,

Ron Geohagen said he texted the Mayor a couple of days ago to request a meeting regarding Kentucky American Water. He’d like Skipper Martin to join the meeting as well and they’re willing to work around the Mayor’s schedule.

He asked that you contact Jane Quarter (sp?) in his office to set it up.

Jane Quarter, (502) 875-6800

Thanks!
Shauntae

Shauntae M. Hall, Program Specialist  
Office of the Mayor  
200 E. Main St.  
Lexington, KY 40507  
Phone: (859) 258-3100  
Fax: (859) 258-3194  
shall2@lexingtonky.gov

Postini Keeneland.com
Janet Graham

From: Kevin Atkins [katkins@lexingtonky.gov]
Sent: Thursday, May 10, 2012 4:24 PM
To: Judy Taylor
Cc: Maureen Watson; Jamie Emmons
Subject: Re: Meeting Request

Yes

Sent from my iPad

On May 10, 2012, at 4:15 PM, "Judy Taylor" <jtaylor@keeneland.com> wrote:

If you meet, do you want me to sit in?

Judith Taylor | Keeneland Association, Inc. | Government Relations
4201 Versailles Rd. | Lexington, KY 40510 USA | P.O. Box 1690 | Lexington, KY 40588-1690 USA
Direct Line 859-288-4272 | Fax | http://www.keeneland.com

• Spring Meet: April 6-27
• April Two-Year-Olds in Training Sale: April 9

From: Maureen Watson <maureenw@lexingtonky.gov>
To: Jamie Emmons <jemmons@lexingtonky.gov>; Judy Taylor; Kevin Atkins <katkins@lexingtonky.gov>
Sent: Thu May 10 16:10:11 2012
Subject: RE: Meeting Request

talked to Mr. Geohagen; they have worked for KAWC with Leg. in Fkft last 2-4 years; have asked for their help locally; would be broad discussion; general take on KAWC; any issues, relationship; what has impressed us; what they're doing right, etc.

Jamie, do you want to meet? and Kevin?

Maureen Watson
Executive Assistant to the Mayor
200 E. Main St.
Lexington, KY 40507
(859) 258-3112

04/26/2013
Thanks. We'll ask Maureen to follow up on the meeting request for the topic.

I agree with Kevin. They represent Ky American. I think Jamie should meet with them if anyone does. FYI Jane's last name is Corder.

We need to know specific topic before answering the mtg request.

On May 7, 2012, at 10:57 AM, "Jamie Emmons" <jemmons@lexingtonky.gov> wrote:

   Your thoughts?

<image001.gif>

- Spring Meet: April 6-27
- April Two-Year-Olds in Training Sale: April 9

<image002.gif> <image003.gif> <image004.gif>
He is with McCarthy Strategic Solutions (lobbyists in Fkft); I know Geoff met with this group before re: something different; I believe JG knows Mr. Geoghegan thru a relative.

Do you want to meet or have someone else meet with them?

From: Shauntae Hall  
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To: Maureen Watson  
Subject: Meeting Request

Maureen,

Ron Geohagen said he texted the Mayor a couple of days ago to request a meeting regarding Kentucky American Water. He’d like Skipper Martin to join the meeting as well and they’re willing to work around the Mayor’s schedule.

He asked that you contact Jane Quarter (sp?) in his office to set it up.

Jane Quarter, (502) 875-6800

Thanks!  
Shauntae

Shauntae M. Hall, Program Specialist  
Office of the Mayor  
200 E. Main St.  
Lexington, KY 40507  
Phone: (859) 258-3100  
Fax: (859) 258-3194  
shall2@lexingtonky.gov
Hey, Gals!

Jamie would like to touch base with you all re: this meeting request, please. The request came a bit ago and we’ve been hanging on to it, so if could chat soon, might be good...

Maureen Watson
Executive Assistant to the Mayor
200 E. Main St.
Lexington, KY 40507
(859) 258-3112

talked to Mr. Geohagen;
they have worked for KAWC with Leg. in Fkft last 2-4 years; have asked for their help locally; would be broad discussion; general take on KAWC; any issues, relationship; what has impressed us; what they’re doing right, etc.

Jamie, do you want to meet? and Kevin?
After meeting with you all yesterday, Jamie has asked me to schedule the meeting with Mr. Geohegan. They have asked for a meeting before June 12. Also, I understand we need a pre-meeting with you two and JG.

With all that being said...
I have done a scheduling request for Wed. 5/30 for a 4:30 for the meeting with Geohegan, Skipper Martin, KAWC, Jamie, JG and you all as appears you both are open at that time; with the "pre meeting" with only you two and JG to be held @ 4:00. I have copied Lillian and Sandy as you all are out today.

This is the only time I can to work this in on JG's calendars before June12 and Jamie wants to try to accommodate them.
Accepted: Updated: Pre-meeting for Geohegan/KAWC, et al followed by 4:30 mtg. (see notes
Janet Graham

Subject: Pre-meeting for Geohegan/KAWC, et al followed by 4:30 mtg. (see notes
Location: mayor's
Start: Wed 05/30/2012 4:00 PM
End: Wed 05/30/2012 4:30 PM
Recurrence: (none)
Resources: Maureen Watson; archivemgr_journal

4:00 pre-meeting with Mayor, Jane, Janet
4:30 meeting with JG, Jane, Janet, Jamie, Ron Geohegan, Skipper Martin, and KAWC reps.
Maureen Watson
Executive Assistant to the Mayor
200 E. Main St.
Lexington, KY 40507
(859) 258-3112

From: Jane Corder [mailto:jane@mssgov.com]
Sent: Friday, May 25, 2012 2:21 PM
To: Maureen Watson
Cc: Jane Corder; Ron Geoghegan; Skipper Martin
Subject: RE: mtg.

Everyone is confirmed for attendance: Ron Geoghegan, Skipper Martin, Cheryl Norton (KAWC President) and Susan Lancho (KAWC External Affairs)

Jane L. Corder
Office Manager
McCarthy Strategic Solutions
113 West Main Street
Frankfort, KY 40601
Office: 502-875-0081
Cell: 859-494-7851
Fax: 502-237-0403

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From: Maureen Watson [mailto:maureenw@lexingtonky.gov]
Sent: Friday, May 25, 2012 1:07 PM
To: Jane Corder
Subject: RE: mtg.

I'm sorry you mean his group...Skipper Martin and KAWC reps.

Maureen Watson
Executive Assistant to the Mayor
200 E. Main St.

04/26/2013
From: Jane Corder [mailto:jane@mssgov.com]
Sent: Friday, May 25, 2012 12:49 PM
To: Maureen Watson
Cc: Hilary Angelucci; Jane Corder
Subject: RE: mtg.

Can you tell me who Mr. Geoghegan told you would be attending this meeting? I was out on vacation prior. Thanks.

Jane L. Corder  
Office Manager  
McCarthy Strategic Solutions  
113 West Main Street  
Frankfort, KY 40601  
Office: 502-875-0081  
Cell: 859-494-7851  
Fax: 502-237-0403

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From: Maureen Watson [mailto:maureenw@lexingtonky.gov]
Sent: Friday, May 25, 2012 12:48 PM
To: Jane Corder; Ron Geoghegan
Cc: Hilary Angelucci
Subject: RE: mtg.

Thank you...we'll see you then. I believe they have been here before but let me know if you need directions or parking directions.
Thanks.
Maureen

Maureen Watson  
Executive Assistant to the Mayor  
200 E. Main St.  
Lexington, KY 40507  
(859) 258-3112

From: Jane Corder [mailto:jane@mssgov.com]
Sent: Friday, May 25, 2012 12:23 PM
To: Maureen Watson; Ron Geoghegan
Cc: Hilary Angelucci
Subject: RE: mtg.

04/26/2013
This is confirmed on Mr. Geoghegan's calendar. Thanks.

Jane L. Corder
Office Manager
McCarthy Strategic Solutions
113 West Main Street
Frankfort, KY 40601
Office: 502-875-0081
Cell: 859-494-7851
Fax: 502-237-0403

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From: Maureen Watson [mailto:maureenw@lexingtonky.gov]
Sent: Friday, May 25, 2012 12:19 PM
To: Ron Geoghegan
Cc: Jane Corder; Hilary Angelucci
Subject: RE: mtg.

Hello, Mr. Geohegan,
I am copying Jane Corder on this email as well as Hilary Angelucci as I will be leaving the office today @ 2pm and we are closed on Monday for Memorial Day. If you would please copy Hilary so she can confirm on the Mayor's calendar in my absence.
Thanks so much.
Maureen

Maureen Watson
Executive Assistant to the Mayor
200 E. Main St.
Lexington, KY 40507
(859) 258-3112

From: Maureen Watson
Sent: Friday, May 25, 2012 10:59 AM
To: 'ron@mssgov.com'
Subject: mtg.

Hello, Mr. Geohegan,
Would you all be available to meet next Wed. 5/30 @ 4:30 in the Mayor's office, 200 E. Main?
Thank you.
Maureen

Maureen Watson
Executive Assistant to the Mayor

04/26/2013
Hi Jane and Bill—I wanted to mention that I had lunch with Cheryl Norton and Susan Lancho from KAW today to discuss a couple of programs on pharmaceuticals collections and watershed educational festivals. We did talk briefly about the issue regarding water billing and Cheryl Norton repeated her commitment to give us time to adjust (aka they won’t just pull the rug out from under LFUCG), but if you did not already know it, they are hoping to meet with you soon to review the plan to transition collections from KAW to the city—so I know they are planning on withdrawing their billing services sooner rather than later. You may already be working on a plan, but I just wanted to be sure you know they are expecting to start to see movement in that direction.

The other thing they mentioned that is not project related is that they are seeing a steady drop off in not only collections of WQMF but also the $4.50 landfill fee. People have figured out we have no clear enforcement strategy so they are not paying anything except sanitary sewer and water bills. This will be a major problem in meeting consent decree requirements if the collections drop off......we might be looking at adding it to other billing that does have “teeth” to require payment, but I am not sure what is viable.

So, I guess I wanted to inform you about what I heard today and suggest that we meet soon to talk over the strategy—-Cheryl Norton mentioned that she plans to set a meeting up with Jane in the next week or so to talk about all this including falling collections-----so we can meet either before or after that ---whichever you think is most helpful. Happy New Fiscal Year and 4th of July.

Cheryl A. Taylor
Commissioner
Department of Environmental Quality
and Public Works
200 East Main Street
Lexington, Ky. 40507
ph (859) 425-2800
fax (859) 425-2859
<table>
<thead>
<tr>
<th>Subject:</th>
<th>Updated: Ky American Water Billing</th>
</tr>
</thead>
<tbody>
<tr>
<td>Location:</td>
<td>Finance Conference Room</td>
</tr>
<tr>
<td>Start:</td>
<td>Wed 7/13/2011 1:00 PM</td>
</tr>
<tr>
<td>End:</td>
<td>Wed 7/13/2011 2:00 PM</td>
</tr>
<tr>
<td>Recurrence:</td>
<td>(none)</td>
</tr>
<tr>
<td>Meeting Status:</td>
<td>Accepted</td>
</tr>
</tbody>
</table>

Jane
Bill
Keith Cartier, KAWC
Erin O'Leary, KAWC
From: William O'Mara [billo@lexingtonky.gov]
Sent: Friday, August 12, 2011 4:29 PM
To: Jane C Driskell
Cc: Ryan Barrow
Subject: Fwd: Following up on the info needed for 8/23/11 COW

Jane

One of the items on my list to go over with you is a request from Keith Cartier to meet after the Lex Commerce luncheon next Wednesday to talk about next steps on the KAWC contract renewal. That would be approximately 1:30. It is only a first option to meet so another day or time can be pursued. FYI

Bill O

Sent from my iPhone

Begin forwarded message:

From: "Cheryl A Taylor" <ctaylor2@lexingtonky.gov>
Date: August 12, 2011 4:24:14 PM EDT
To: "Jane C Driskell" <jdriskell@lexingtonky.gov>, "Ryan Barrow" <rbarrow@lexingtonky.gov>
Cc: "Charles Martin" <chmartin@lexingtonky.gov>, "Julie Mantrom" <jmantrom@lexingtonky.gov>, "Stephen Mulligan" <smulligan@lexingtonky.gov>, "William O'Mara" <billo@lexingtonky.gov>
Subject: RE: Following up on the info needed for 8/23/11 COW

So sorry----I missed an event with Jim Clark on my calendar from 3-5pm on the 17th! My fault....is there an option to start at 1:30? Sorry again.

-----Original Message-----
From: Jane C Driskell
Sent: Friday, August 12, 2011 4:02 PM
To: Ryan Barrow; Cheryl A Taylor
Cc: Charles Martin; Julie Mantrom; Stephen Mulligan; William O'Mara
Subject: RE: Following up on the info needed for 8/23/11 COW

Good for me

-----Original Message-----
From: Ryan Barrow
Sent: Friday, August 12, 2011 3:57 PM
To: Cheryl A Taylor
Cc: Charles Martin; Julie Mantrom; Jane C Driskell; Stephen Mulligan; William O'Mara
Subject: RE: Following up on the info needed for 8/23/11 COW

How about 2:30?
Subject: Ky American Water Co.
Location: Finance Conf Room
Start: Tue 8/23/2011 1:30 PM
End: Tue 8/23/2011 2:30 PM
Recurrence: (none)
Meeting Status: Accepted

Jane/ Bill-

Meeting request per Keith Cartier.
Contact # 268-6324

/lyb
We are advised that fee collections currently conducted on the LFUCG's behalf by Ky American Water Co. (KAWC) will cease on March 31, 2012. This is a full six months sooner than predicted.

The LFUCG User Fee Work Group, headed by Bill O'Mara, intends to meet weekly to develop a new billing service solution.

Taken altogether, KAWC collects roughly $45 million per year for us across these three funds. Given the gravity of this situation, we seek to brief you on this issue and discuss the preliminary steps taken thusfar, and develop suggested next steps going forward: current process documentation; RFP development; communication to the council; future contract support needs.
| **Subject:** | Updated: Ky American Water Co. |
| **Location:** | Finance Conference Room |
| **Start:** | Tue 01/17/2012 11:30 AM |
| **End:** | Tue 01/17/2012 12:30 PM |
| **Recurrence:** | (none) |
| **Resources:** | Lillian Bland; archivemgr_journal |

Bill & Jane-
This meeting has been pushed back 30 minutes due to another meeting conflict on your calendars. Hope this new time works.

Thanks!
Lillian

om: Erin.OLeary@amwater.com [mailto:Erin.OLeary@amwater.com]
Sent: Thursday, December 08, 2011 4:14 PM
To: Lillian Bland
Subject: Meeting Availability for Jane and Bill

Lillian,

Cheryl Norton, president, and Keith Cartier, vp, of Kentucky American Water would like to schedule a one hour meeting with Jane and Bill in the near future. The topic for the meeting is communication. Can you provide dates for when Jane and Bill would be available? It can also be set up as a lunch meeting if needed. Most likely it will be after the 1st of the year.

Please let me know.

Thanks,

Erin G. O'Leary
Executive Assistant
Kentucky American Water
2300 Richmond Rd.
Lexington, KY 40502
Phone: (859) 268-6325
Fax: (859) 268-6327
Calendar Access

Subject: Ron Geohegan, Skipper Martin, JG, JE, Janet, Jane (MW)(C)
Location: mayor's
Start: Wed 5/30/2012 4:30 PM
End: Wed 5/30/2012 5:00 PM
Recurrence: (none)

4:00 pre-meeting with Mayor, Jane, Janet
4:30 meeting with JG, Jane, Janet, Jamie, Ron Geohegan, Skipper Martin, and KAWC reps.
Outside attendees:
Ron Geohegan, Skipper Martin, Cheryl Norton (KAWC President) and Susan Lancho (KAWC External Affairs)