

**KENTUCKY-AMERICAN WATER COMPANY**  
**CASE NO. 2007-00143**  
**ATTORNEY GENERAL'S REQUEST FOR INFORMATION**  
**Item 132 of 312**

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**Witness: Michael A. Miller**

132. Identify all net operating loss carrybacks and carryforwards for American Water and each American Water subsidiary for 2004, 2005, 2006 and 2007.

**Response:**

The Company does not have the information available at this time to respond to this question, but will provide a response as soon as possible.

For electronic version, refer to KAW\_R\_AGDR1#132\_061807.pdf

**Supplemental Response:**

Please see the attached schedules for 2004 and 2005. The tax returns for 2006 and 2007 are not complete at this time. AWW files a consolidated tax return. The schedules include a recap of the net operating loss recap for AWW for 2004 and 2005 and the taxable income (Schedule K) for each AWW subsidiary. The net operating loss is not reported on a subsidiary basis, but is allocated to the appropriate subsidiary as those net operating losses are applied in future periods.

For electronic version, refer to KAW\_R\_AGDR1#132\_Supplemental\_062507.pdf

THAMES WATER AQUA US HOLDINGS. INC  
 NOL CARRYFORWARD  
 Year ended December 31. 2004

THAMES WATER HOLDINGS, INC AND SUBSIDIARIES SUBGROUP (52-1723310)

SRLY NOL generated 3/31/93 thru 1/31/93 (See details attached)	\$ 3,236.079	
NON SRLY NOL generated 3/31/94 thru 1/06/03 (See details attached)	\$ 41,168.779	
TOTAL PRE ACQUISITION LOSS CARRYFORWARDS TO 2004		<u>\$ 44,404.858</u>

THAMES WATER AQUA US HOLDINGS. INC (TWUS) (EIN: 57-1143168)

NOL generated 12/31/03	\$ 158,048.907	
NOL carried back to:		
2002 American Water Works Company, Inc and Subsidiaries subgroup	\$ (57,754.642)	
2002 Thames Water Holdings, Inc. and Subsidiaries subgroup	\$ (19,086,337)	
01/06/03 Thames Water Holdings, inc. and Subsidiaries subgroup	\$ (1,024.208)	
TOTAL 2003 TWUS NOL CARRYFORWARD TO 2004		<u>\$ 80,183.720</u>
TOTAL NET OPERATING LOSS CARRYFORWARD TO 2004		<u>\$ 124,588,578</u>
NOL generated 12/31/04	\$ 80,538.929	
TOTAL NET OPERATING LOSS CARRYFORWARD TO 2005		<u>\$ 205,127,507</u>

## 1120 Page 3 Detail

Schedule K - Question 3

Name	% Owned	Employer ID No.	Line 28 Income or loss
American Lake Water Company		06-1396121	502,253.
AmericanAnglian Environmental Technologies, Inc.		22-3259128	-34,169.
American Water Capital Corp.		22-3732448	-2,637,491.
American Water Resources, Inc.		54-0912221	7,880,225.
American Water Services (USA), Inc.		98-0165920	-46,540.
American Water Services CDM, Inc.		91-1745331	-50,725.
American Water Services Engineering, Inc.		76-0654501	-145,954.
American Water Services Industrial Operations		74-2177717	-895,936.
American Water Services Operations and Maintenance, Inc.		98-0165919	-4,452,689.
American Water Services Residuals Management, Inc.		52-2062646	-1,993,528.
American Water Services Underground Infrastructure, Inc.		76-0469163	1,763,294.
American Water Services, Inc.		22-3169459	-18,940,041.
American Water Works Company, Inc.		51-0063696	99,116,433.
American Water Works Service Company, Inc.		23-1340234	6,924,158.
Applied Wastewater Management, Inc.		22-2881173	-556,024.
Applied Wastewater Services, Inc.		22-2711356	-562,706.
Applied Water Management Of Delaware, Inc.		20-1553646	-48,428.
Applied Water Management, Inc.		22-3608285	2,478,084.
Arizona - American Water Company		86-0096580	-6,535,608.
Ashbrook Corporation		74-1939504	639,948.
AWS Corp.		76-0605357	-104,345.
AWS Industrials Corp.		76-0656917	-6,045.
Bluefield Valley Water Works Company		66-6022466	-75,987.
California-American Water Company		51-0104148	2,866,559.
Dittman-Merka Enterprises, Inc.		74-2408590	-34,666.
E' Town Corporation		22-2596330	-17,250,214.
E' Town Properties Inc.		22-2817018	-135,979.
Edison Water Company		22-3519296	-596,549.
Elizabethtown Water Company		22-1683171	35,369,689.
F. B. Leopold Company, Inc.		36-3193091	3,478,011.
Hawaii-American Water Company		99-0108667	-335,798.
Hydro-Aerobics, Inc.		95-3870533	75,490.
Illinois-American Water Company		51-0105894	20,484,856.
Illinois Water Service Company		37-1330048	
Indiana-American Water Company		35-0936102	16,024,912.
Iowa-American Water Company		42-0735216	-607,619.
Kentucky-American Water Company		61-0485002	-1,297,080.
Laurel Oak Properties Corporation		20-1022964	
Liberty Water Company		22-3596293	785,967.
Long Island American Water Corporation		11-1516966	-4,369,262.
Mag-Con, Inc.		72-0370343	-10,024.

Continued on next page

Statement 76

1120 Page 3 Detail

## Schedule K - Question 3 (Cont'd)

Name	% Owned	Employer ID No.	Line 28 Income or loss
Maryland-American Water Company		52-0265025	743,575.
Michigan-American Water Company		38-1657784	-141,741.
Missouri-American Water Company		44-0578460	-14,187,108.
New Jersey-American Water Company		22-1546642	19,544,332.
New Mexico-American Water Company		85-0344576	-228,549.
Ohio-American Water Company		31-4399620	-4,359,015.
Pennsylvania-American Water Company		25-1008096	36,297,304.
Philip Automated Managment Controls, Inc.		98-0165914	-609,226.
PWT Waste Solutions		63-1047291	NONE
Southwest Utilities, Inc.		74-2211380	-373,423.
Tennessee-American Water Company		62-0529095	906,630.
Texas American Water Company		Applied Fo	
Thames Water Holdings, Inc.		52-1723310	-126,253.
Thames Water North America, Inc.		06-1548192	374,873.
The Mount Holly Water Company		22-0519440	-18,756.
Trimax Residuals Management (USA), Inc.		98-0183794	-1,200,474.
UESG Holdings, Inc.		20-0863050	
United Water Virginia, Inc.		54-1016694	133,725.
Utility Management & Engineering, Inc.		22-3239760	-6,674.
Virginia-American Water Company		54-0119650	571,271.
Walker Water Works, Inc.		76-0115681	-233,447.
West Virginia-American Water Company		55-0307487	-4,321,650.

THAMES WATER AQUA US HOLDINGS, INC.  
NOL CARRYFORWARD  
Year ended December 31, 2005

THAMES WATER HOLDINGS, INC AND SUBSIDIARIES SUBGROUP (52-1723310)

SRLY NOL generated 3/31/93 thru 1/31/93	\$	3,236,079	
NON SRLY NOL generated 3/31/94 thru 1/06/03	\$	<u>41,168,779</u>	
TOTAL PRE ACQUISITION LOSS CARRYFORWARDS TO 2004			<u>\$ 44,404,858</u>

THAMES WATER AQUA US HOLDINGS, INC. (TWUS) (EIN: 57-1143168)

NOL generated 12/31/03	\$	158,048,907	
NOL carried back to:			
2002 American Water Works Company, Inc and Subsidiaries subgroup	\$	(57,754,642)	
2002 Thames Water Holdings, Inc. and Subsidiaries subgroup	\$	(2,731,646)	
01/06/03 Thames Water Holdings, inc and Subsidiaries subgroup	\$	(1,024,208)	
TOTAL 2003 TWUS NOL CARRYFORWARD TO 2004			<u>\$ 96,538,411</u>
TOTAL NET OPERATING LOSS CARRYFORWARD TO 2004			<u>\$ 140,943,269</u>
NOL generated 12/31/04	\$	80,538,929	
NOL Utilized 12/31/05	\$	(26,641,080)	
TOTAL NET OPERATING LOSS CARRYFORWARD TO 2006			<u>\$ 194,841,118</u>

1120 Page 3 Detail

## Schedule K - Question 3

Name	% Owned	Employer ID No.	Line 28 Income or loss
American Lake Water Company		06-1396121	2,930,768.
AAET, Inc.		22-3259128	-6,633.
Technologies, Inc.			
American Water Capital Corp.		22-3732448	11,921,347.
American Water Resources, Inc.		54-0912221	19,454,451.
American Water (USA), Inc. FKA American Water Services (USA), Inc.		98-0165920	4,888.
American Water Services CDM, Inc.		91-1745331	-80,161.
American Water Engineering, Inc. FKA American Water Services Engineering, Inc.		76-0654501	-117,290.
American Water Industrial Operations, Inc. FKA American Water Services Industrial Operat		74-2177717	-213,910.
American Water Operations and Maintenance, Inc. FKA American Water Services Oper & Maint		98-0165919	-4,653,014.
American Water Residuals Management, Inc. FKA American Water Services Residual Mgmt Inc		52-2062646	-1,533,373.
American Water Services Underground Infrastructure, Inc.		76-0469163	2,621,694.
American Water Enterprises, Inc. FKA American Water Services, Inc.		22-3169459	-29,557,184.
American Water Works Company, Inc. Infrastructure, Inc.		51-0063696	109,170,774.
American Water Works Service Company, Inc.		23-1340234	-3,336,560.
Applied Wastewater Management, Inc. Technologies, Inc.		22-2881173	-404,061.
Applied Wastewater Services, Inc.		22-2711356	-304,213.
Applied Water Management Of Delaware, Inc.		20-1553646	72,681.
Applied Water Management, Inc.		22-3608285	2,220,524.
Arizona - American Water Company		86-0096580	-3,147,875.
ACUS Corporation formerly known as Ashbrook Corporation		74-1939504	4,441,126.
American Water Enterprises Holding, Inc. FKA AWS Corp.		76-0605357	495,511.
American Water Industrials, Inc. FKA AWS Industrials, Inc.		76-0656917	-6,045.
Bluefield Valley Water Works Company		66-6022466	30,060.
California-American Water Company		51-0104148	-1,405,734.
Dittman-Merka Enterprises, Inc.		74-2408590	-18,535.
E' Town Corporation		22-2596330	-18,630,728.
E' Town Properties Inc.		22-2817018	-139,297.
Edison Water Company		22-3519296	-31,472.
Elizabethtown Water Company		22-1683171	12,735,276.
Hawaii-American Water Company		99-0108667	1,144,621.
Hydro-Aerobics, Inc.		95-3870533	750,000.
Illinois-American Water Company		51-0105894	15,540,324.

Continued on next page

Statement 75

1120 Page 3 Detail

## Schedule K - Question 3 (Cont'd)

Name	% Owned	Employer ID No.	Line 28 Income or loss
Illinois Water Service Company		37-1330048	
Indiana-American Water Company		35-0936102	14,720,925.
Iowa-American Water Company		42-0735216	1,592,967.
Kentucky-American Water Company		61-0485002	3,896,807.
Laurel Oak Properties Corporation		20-1022964	1,222,103.
Liberty Water Company		22-3596293	717,958.
Long Island American Water Corporation		11-1516966	356,766.
Mag-Con, Inc.		72-0370343	-3,548.
Maryland-American Water Company		52-0265025	247,498.
Michigan-American Water Company		38-1657784	57,117.
Missouri-American Water Company		44-0578460	22,085,024.
New Jersey-American Water Company		22-1546642	37,456,743.
New Mexico-American Water Company		85-0344576	1,837,782.
Ohio-American Water Company		31-4399620	-3,668,505.
Pennsylvania-American Water Company		25-1008096	73,738,289.
Philip Automated Managment Controls, Inc.		98-0165914	-423,606.
PWT Waste Solutions		63-1047291	NONE
Southwest Utilities, Inc.		74-2211380	-60,573.
Tennessee-American Water Company		62-0529095	3,086,542.
Texas American Water Company		20-4368657	
Thames Water Holdings, Inc.		52-1723310	-1,435,003.
Thames Water North America, Inc.		06-1548192	-2,054,800.
The Mount Holly Water Company		22-0519440	-410,593.
Trimax Residuals Management (USA), Inc.		98-0183794	-1,840,912.
UESG Holdings, Inc.		20-0863050	
United Water Virginia, Inc.		54-1016694	145,591.
Utility Management & Engineering, Inc.		22-3239760	-6,524.
Virginia-American Water Company		54-0119650	4,913,310.
Walker Water Works, Inc.		76-0115681	-127,812.
Watertek, Inc.		94-1737182	
West Virginia-American Water Company		55-0307487	7,476,993.