

SCHEDULE A
REVENUE REQUIREMENT
KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2004-00103

Forecasted Test Period: FOR THE TWELVE MONTHS ENDED: NOVEMBER 2005

Base Period: FOR THE TWELVE MONTHS ENDED: JULY 2004

<u>Schedule</u>	<u>Description</u>
A	Overall Financial Summary

For electronic version, see KAW._CAPP_EX37A_082404.pdf

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: **2004-00103**
OVERALL FINANCIAL SUMMARY
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30,2005

DATA: ___ BASE PERIOD X FORECASTED PERIOD
TYPE OF FILING: ___ ORIGINAL ___ UPDATED X REVISED
WORKPAPER REFERENCE **NO(S)**.: WIP-1 (TOTAL COMPANY)

SCHEDULE A

Page 1 of 4

Witness Responsible: MA. **Miller/ S. A. Valentine**

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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4				
5	RATE BASE	B-1	<u>\$160,747,520</u>	<u>\$158,706,164</u>
6				
7	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	8,958,598	10,786,326
8				
9	EARNED RATE OF RETURN		5.57%	6.80%
10				
11	RATE OF RETURN	J-1	8.25%	8.19%
12				
13	REQUIRED OPERATING INCOME		<u>13,261,670</u>	<u>12,998,035</u>
14				
15	OPERATING INCOME DEFICIENCY		4,303,072	2,211,709
16				
17	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
18				
19	REVENUE DEFICIENCY (1)		7,265,785	3,734,496
20				
21	ADJUSTED OPERATING REVENUES	C-1	<u>43,507,697</u>	<u>44,246,522</u>
22				
23	REVENUE REQUIREMENT		<u>\$50,773,482</u>	<u>\$47,981,018</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE
ADDITIONAL REVENUES OF APPROXIMATELY \$7,297,443

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KENTUCKY-AMERICAN WATER COMPANY
CASE NO: **2004-00103**
OVERALL FINANCIAL SUMMARY
FOR THE TWELVE MONTHS ENDED: **NOVEMBER 30,2005**

DATA: ___ BASE PERIOD X FORECASTED PERIOD
N P E OF FILING: ___ ORIGINAL ___ UPDATED X REVISED
WORKPAPER REFERENCE **NO(S)**.: WIP-1 (CENTRAL)

SCHEDULE A
Page 2 of 4
Witness Responsible: MA **Miller**, S. A. Valentine

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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3				
4	RATE BASE	B-1	<u>\$157,695,911</u>	<u>\$155,860,380</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	8,905,154	10,623,200
7				
8	EARNED RATE OF RETURN		5.65%	6.82%
9				
10	RATE OF RETURN	J-1	8.25%	8.19%
11				
12	REQUIRED OPERATING INCOME		<u>13,009,913</u>	<u>12,764,965</u>
13				
14	OPERATING INCOME DEFICIENCY		4,104,759	2,141,765
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		6,930,931	3,616,395
19				
20	ADJUSTED OPERATING REVENUES	C-1	42,589,390	43,416,080
21				
22	REVENUE REQUIREMENT		<u>\$49,520,321</u>	<u>\$47,032,475</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE
ADDITIONAL REVENUES OF APPROXIMATELY \$6,919,910

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2004-00103
OVERALL FINANCIAL SUMMARY
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30,2005

DATA: ___ BASE PERIOD X FORECASTED PERIOD
TYPE OF FILING: ___ ORIGINAL ___ UPDATED X REVISED
WORKPAPER REFERENCE **NO(S)**: WIP-1 (TRI VILLAGE)

SCHEDULE A
Page 3 of 4

Witness Responsible: MA. **Miller**/ S. A. Valentine

Line No	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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3				
4	RATE BASE	B-1	<u>\$2,968,932</u>	<u>\$2,767,946</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	63,095	160,433
7				
8	EARNED RATE OF RETURN		2 13%	5 80%
9				
10	RATE OF RETURN	J-1	8 25%	8 19%
11				
12	REQUIRED OPERATING INCOME		<u>244,937</u>	<u>226,695</u>
13				
14	OPERATING INCOME DEFICIENCY		181,842	66,262
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1 6885112</u>	<u>1 6885112</u>
17				
18	REVENUE DEFICIENCY (1)		307.042	111,884
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>825.059</u>	<u>785,938</u>
21				
22	REVENUE REQUIREMENT		<u>\$1,132,101</u>	<u>\$897,822</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE ADDITIONAL REVENUES OF APPROXIMATELY \$338,208

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2004-00103
OVERALL FINANCIAL SUMMARY
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30, 2005

DATA: BASE PERIOD FORECASTED PERIOD
TYPE OF FILING: ORIGINAL UPDATED REVISED
WORKPAPER REFERENCE NO(S): W/P-1 (ELK LAKE)

SCHEDULE A
Page 4 of 4
Witness Responsible: M.A. Miller/ S. A. Valentine

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
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4	RATE BASE	B-1	<u>\$82,677</u>	<u>\$77,838</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	(9,652)	2,693
7				
8	EARNED RATE OF RETURN		-11.67%	3.46%
9				
10	RATE OF RETURN	J-1	8.25%	8.19%
11				
12	REQUIRED OPERATING INCOME		<u>6,821</u>	<u>6,375</u>
13				
14	OPERATING INCOME DEFICIENCY		16,473	3,682
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		27,815	6,217
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>93,248</u>	<u>44,504</u>
21				
22	REVENUE REQUIREMENT		<u><u>\$121,063</u></u>	<u><u>\$50,721</u></u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE
ADDITIONAL REVENUES OF APPROXIMATELY \$39,325

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