

**KENTUCKY-AMERICAN WATER COMPANY**  
**CASE NO: 2004-00103**  
**OVERALL FINANCIAL SUMMARY**  
**FOR THE TWELVE MONTHS ENDED: NOVEMBER 30,2005**

DATA: \_\_\_ BASE PERIOD \_X\_ FORECASTED PERIOD  
 TYPE OF FILING: \_X\_ ORIGINAL \_\_\_ UPDATED \_\_\_ REVISED  
 WORKPAPER REFERENCE(S): W/P-1 (TOTAL COMPANY)

**SCHEDULE A**  
**Page 1 of 4**  
**Witness Responsible: M.A. Miller/ S. A. Valentine**

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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3				
4	RATE BASE	B-1	<u>\$159,776,728</u>	<u>\$158,477,698</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	8,787,890	10,786,324
7				
8	EARNED RATE OF RETURN		5.50%	6.81%
9				
10	RATE OF RETURN	J-1	8.25%	8.19%
11				
12	REQUIRED OPERATING INCOME		<u>13,181,580</u>	<u>12,979,323</u>
13				
14	OPERATING INCOME DEFICIENCY		4,393,690	2,192,999
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		7,418,795	3,702,904
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>43,336,002</u>	<u>44,246,522</u>
21				
22	REVENUE REQUIREMENT		<u>\$50,754,797</u>	<u>\$47,949,426</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE ADDITIONAL REVENUES OF APPROXIMATELY \$7,297,443

KENTUCKY-AMERICANWATER COMPANY  
CASE NO: **2004-00103**  
OVERALL FINANCIAL SUMMARY  
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30,2005

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N P E OF FILING: \_\_\_X\_ ORIGINAL \_\_\_ UPDATED \_\_\_ REVISED  
WORKPAPER REFERENCE **NO(S)**: WIP-1 (CENTRAL)

SCHEDULE A  
Page 2 of 4  
Witness Responsible: MA. **Miller/ S. A. Valentine**

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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4	RATE BASE	B-1	<u>\$156,483,244</u>	<u>\$155,505,190</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	8,735,943	10,622,798
7				
8	EARNED RATE OF RETURN		5.58%	6.83%
9				
10	RATE OF RETURN	J-1	8.25%	8.19%
11				
12	REQUIRED OPERATING INCOME		<u>12,909,868</u>	<u>12,735,875</u>
13				
14	OPERATING INCOME DEFICIENCY		4,173,925	2,113,077
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		7,047,718	3,567,955
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>42,417,695</u>	<u>43,416,080</u>
21				
22	REVENUE REQUIREMENT		<u>\$49,465,413</u>	<u>\$46,984,035</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE ADDITIONAL REVENUES OF APPROXIMATELY \$6,919,910

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WORKPAPER REFERENCE NO(S): WIP-1 (TRI VILLAGE)

SCHEDULE A  
Page 3 of 4

Witness Responsible: M.A. Millerl. S. A. Valentine

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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4	RATE BASE	B-1	<u>\$3,107,867</u>	<u>\$2,894,670</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	59,819	160,833
7				
8	EARNEDRATEOFRETURN		1.93%	5.56%
9				
10	RATEOFRETURN	J-1	8.25%	8.19%
11				
12	REQUIRED OPERATING INCOME		<u>256,399</u>	<u>237,073</u>
13				
14	OPERATING INCOME DEFICIENCY		196,580	76,240
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		331,928	128,732
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>825,059</u>	<u>785,938</u>
21				
22	REVENUE REQUIREMENT		<u>\$1,156,987</u>	<u>\$914,670</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE  
ADDITIONAL REVENUES OF APPROXIMATELY \$338,208

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 WORKPAPER REFERENCE NO(S): **W/P-1** (ELK LAKE)

SCHEDULE A  
 Page 4 of 4  
 Witness Responsible: MA **Miller/ S. A. Valentine**

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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4	RATE BASE	B-1	<u>\$185,618</u>	<u>\$77,838</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	(7,871)	2,693
7				
8	EARNED RATE OF RETURN		-4.24%	3.46%
9				
10	RATE OF RETURN	J-1	8.25%	8.19%
11				
12	REQUIRED OPERATING INCOME		<u>15,313</u>	<u>6,375</u>
13				
14	OPERATING INCOME DEFICIENCY		23,184	3,682
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		39,147	6,217
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>93,248</u>	<u>44,504</u>
21				
22	REVENUE REQUIREMENT		<u>\$132,395</u>	<u>\$50,721</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE  
 ADDITIONAL REVENUES OF APPROXIMATELY \$39,325

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