

SCHEDULE I

INCOME AND REVENUE INFORMATION

KENTUCKY-AMERICAN WATER COMPANY

CASE NO: **2004-00103**

Forecasted Test Period: FOR THE TWELVE MONTHS ENDED: NOVEMBER 2005

Base Period: FOR THE TWELVE MONTHS ENDED: JULY 2004

Schedule	Description
1-1	Comparative Income Statement
1-2	Revenue Statistics- Total Company
1-3	Sales Statistics- Total Company
1-4	Revenue Statistics- Jurisdictional
1-5	Sales Statistics- Jurisdictional

For electronic version, see [KAW_APP_EX371_043004.pdf](#)

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2004-00103
COMPARATIVE INCOME STATEMENT
FOR THE TWELVE MONTHS ENDED: JULY 31, 2004
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30, 2005

DATA: X BASE PERIOD X FORECASTED PERIOD
TYPE OF FILING: X ORIGINAL UPDATED REVISED
WORKPAPER REFERENCE NO(S): SCH C

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PAGE 1 of 1
Witness Responsible: M. A Miller

Line No	Description	Forecasted Period	% Change	Base Period	% Change	2003	% Change	2002	%Change	2001	%Change	2000	%Change	1999
2	Operating Revenues	\$43,389,662	-1.94%	\$44,246,522	3.38%	\$42,800,150	-1.90%	\$43,626,950	5.18%	\$41,477,827	7.12%	\$38,719,864	-0.98%	\$39,103,641
3														
4	Operating Expenses													
5	Operation & Maintenance	21,910,724	6.04%	20,663,011	-2.28%	21,139,833	5.46%	20,045,631	1262%	17,600,020	15.08%	15,468,906	-489%	\$ 16,261,642
6	Depreciation	7,760,916	14.54%	6,775,912	16.35%	6,823,490	3.61%	6,620,415	4.59%	5,373,898	5.18%	5,109,380	665%	4,790,793
7	Amortization	695,154	1.06%	687,857	1.79%	700,378	-6.91%	752,349	24.00%	606,742	719.43%	74,044	187.80%	25,728
8	Taxes Other	2,726,096	0.50%	2,712,460	4.47%	2,596,287	17.94%	2,201,297	20.24%	1,830,772	3.69%	1,762,152	3.01%	1,710,736
9	State Income Taxes	483,251	6.87%	617,790	-27.56%	714,782	-21.06%	906,422	-2.36%	927,259	-1.53%	941,709	1.45%	1,017,498
10	Federal Income Taxes	1,645,233	41.14%	2,795,354	6.64%	2,621,244	-19.79%	3,267,960	-5.47%	3,456,937	-1.79%	3,520,115	-7.82%	3,818,831
11														
12	Total Operating Expenses	35,221,376	3.13%	34,152,384	166%	33,596,012	2.45%	32,793,274	9.33%	29,995,628	11.61%	26,874,306	-2.72%	27,625,228
13														
14	Operating Income	8,166,286	-19.08%	10,094,238	9.67%	9,204,136	-15.04%	10,833,676	-5.65%	11,482,199	-3.07%	11,845,558	3.20%	11,478,613
15														
16	AFUDC	470,940	21.37%	368,016	40.82%	655,695	0.52%	652,328	45.08%	449,628	-25.92%	606,971	-44.89%	1,101,362
17	Other Income	0	000%	0	100.00%	(2,882)	-135.02%	6,230	-96.71%	250,089	1949.07%	12,206	-80.22%	61,693
18														
19	Total Other Income	470,940	21.37%	388,018	-40.56%	652,813	-11.7%	660,558	-5.60%	699,717	13.01%	619,178	48.76%	1,163,065
20														
21	Income before Deductions	8,639,226	-17.58%	10,482,158	6.34%	9,856,961	-14.24%	11,494,234	-5.65%	12,181,918	-22.7%	12,464,734	-1.40%	12,641,668
22														
23	Income Deductions													
24	Interest on Long-Term Debt	5,109,438	-0.05%	5,111,650	14.95%	4,446,850	-5.20%	4,690,735	-1.60%	4,767,045	-6.95%	\$ 5,123,202	-1.34%	\$ 5,192,900
25	Amort of Debt Expense	21,612	14.201	16,924	-75.69%	77,829	-10.09%	86,563	9.22%	79,256	87.93%	42,174	0.45%	41,985
26	Interest on Bank Debt	233,651	46.99%	158,980	-12.30%	181,253	-28.18%	252,365	4.805%	485,791	-9.67%	537,776	2077.50%	24,697
27	Other Interest	0	0.00%	0	-100.00%	452	-96.70%	13,888	-75.30%	55,406	83.66%	30,165	444.10%	5,544
28	Miscellaneous Amortization	0	000%	0	-100.00%	16,022	970.27%	1,497	0.00%	1,497	-11.16%	1,685	0.00%	1,685
29	Other Deductions	0	000%	0	-10000%	307,531	-28.08%						345.27%	240,433
30														
31	Total Income Deductions	5,364,701	1.42%	5,289,734	5.17%	5,029,937	-8.09%	5,472,465	5.82%	5,171,698	-24.01%	6,805,587	23.58%	5,507,244
32														
33	Net Income	\$3,274,525	-36.94%	\$5,192,422	7.57%	\$4,827,014	-19.84%	\$6,021,769	-14.10%	\$7,010,218	23.67%	\$5,659,147	-20.68%	\$7,134,424

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KENTUCKY-AMERICANWATER COMPANY
CASE NO: 200440103
REVENUE STATISTICS- TOTAL COMPANY
FOR THE TWELVE MONTHS ENDED: JULY 31,2004
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30, 2005

DATA: _X_ BASE PERIOD _X_ FORECASTED PERIOD
TYPE OF FILING: _X_ ORIGINAL ___ UPDATED ___ REVISED
WORKPAPER REFERENCE NO(S): N/A

SCHEDULE I - 2
PAGE 1 of 1
Witness Responsible: James E. Salsler

Line No	Description	1999	2000	2001	2002	2003	Base Period	Forecasted Period	2 Projected Calendar Years	
									2004	2005
2	<u>Revenue by Customer Class:</u>									
3	Residential	\$19,533,771	\$19,576,737	\$21,449,039	\$22,835,987	\$21,394,943	\$23,301,260	\$22,240,461	\$25,464,361	\$27,838,164
4	Commercial	10,302,326	10,154,791	10,784,397	10,984,489	10,378,280	\$11,315,977	\$10,515,514	11,523,057	12,694,533
5	Industrial	1,727,834	1,582,959	1,575,300	1,578,638	1,442,871	\$1,512,709	\$1,580,199	1,620,905	1,797,296
6	Other Public Authorities	3,014,557	2,973,399	3,011,312	3,571,675	3,118,767	\$3,428,519	\$3,121,088	3,256,577	3,541,197
7	Other Water Utilities	991,121	934,545	988,730	1,016,455	1,007,762	\$848,263	\$771,314	1,003,801	1,099,772
8	Miscellaneous	44,170	18,736	15,908	29,482	15,908	\$12,241	\$15,908	15,908	15,908
9	Fire Service	2,446,257	2,519,041	3,973,551	2,643,571	2,645,572	\$2,641,175	\$2,641,175	2,777,568	3,048,853
11	Total	<u>\$38,059,836</u>	<u>\$37,760,208</u>	<u>\$41,798,237</u>	<u>\$42,660,297</u>	<u>\$40,004,103</u>	<u>\$43,060,144</u>	<u>\$40,885,659</u>	<u>\$45,662,177</u>	<u>\$50,035,723</u>
13	<u>Number of Customers:</u>									
14	<u>12-Month Average:</u>									
15	Residential	85,498	88,194	91,311	94,361	96,669	101,495	103,304	102,418	104,801
16	Commercial	7,673	7,755	7,924	8,057	8,153	8,243	8,341	8,285	8,406
17	Industrial	16	16	16	16	17	16	16	16	16
18	Other Public Authorities	439	459	461	470	478	472	473	472	472
19	Other Water Utilities	8	8	8	8	9	9	9	9	9
20	Miscellaneous	0	0	0	0	0	0	0	0	0
21	Fire Service	1,315	1,405	1,466	1,509	1,375	1,551	1,583	1,560	1,584
23	Total	<u>94,949</u>	<u>97,837</u>	<u>101,188</u>	<u>104,421</u>	<u>106,701</u>	<u>111,786</u>	<u>113,726</u>	<u>112,760</u>	<u>115,288</u>
26	<u>End of Period</u>									
27	Residential	86,911	89,476	93,146	95,576	97,718	101,495	103,304	102,418	104,801
28	Commercial	7,709	7,801	8,047	8,067	8,250	8,243	8,341	8,285	8,406
29	Industrial	15	16	16	16	16	16	16	16	16
30	Other Public Authorities	449	452	468	470	487	472	473	472	472
31	Other Water Utilities	8	8	9	9	9	9	9	9	9
32	Miscellaneous	0	0	0	0	0	0	0	0	0
33	Fire Service	1,365	1,445	1,486	1,532	1,219	1,551	1,583	1,560	1,584
35	Total	<u>96,457</u>	<u>99,198</u>	<u>103,172</u>	<u>105,670</u>	<u>107,699</u>	<u>111,786</u>	<u>113,726</u>	<u>112,760</u>	<u>115,288</u>
38	<u>Average Revenue per customer:</u>									
39	Residential	228	222	235	242	221	230	215	249	266
40	Commercial	1,343	1,309	1,361	1,363	1,273	1,373	1,261	1,391	1,510
41	Industrial	107,977	98,935	98,456	98,665	84,875	94,544	98,762	101,307	112,331
42	Other Public Authorities	6,867	6,478	6,532	7,599	6,525	7,264	6,698	6,900	7,503
43	Other Water Utilities	123,890	116,818	123,591	127,057	111,974	94,251	86,702	111,533	122,197
44	Miscellaneous	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
45	Fire Service	1,860	1,793	2,710	1,752	1,924	1,703	1,668	1,780	1,925

Note: Revenue data represents billed revenues only and does not reflect additional revenues from the current or future rate filings.

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2004-00103
REVENUE STATISTICS - JURISDICTIONAL
FOR THE TWELVE MONTHS ENDED: JULY 31, 2004
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30, 2005

DATA: X BASE PERIOD X FORECASTED PERIOD
TYPE OF FILING: X ORIGINAL UPDATED REVISED
WORKPAPER REFERENCE NO(S): N/A

SCHEDULE I - 3
PAGE 1 of 1
Witness Responsible: James E. Salser

Line No	Description	1999	2000	2001	2002	2003	Base Period	Forecasted Period	2 Projected Calendar Years	
									2004	2005
1										
2	<u>Revenue by Customer Class:</u>									
3	Residential									
4	Commercial									
5	Industrial									
6	Other Public Authorities									
7	Other Water Utilities									
8	Miscellaneous									
9	Fire Service									
10										
11	Total									
12										
13										
14	<u>Number of Customers:</u>									
15	<u>12-Month Average:</u>									
16	Residential									
17	Commercial									
18	Industrial									
19	Other Public Authorities									
20	Other Water Utilities									
21	Miscellaneous									
22	Fire Service									
23										
24	Total									
25										
26										
27	<u>End of Period:</u>									
28	Residential									
29	Commercial									
30	Industrial									
31	Other Public Authorities									
32	Other Water Utilities									
33	Miscellaneous									
34	Fire Service									
35										
36	Total									
37										
38										
39	<u>Average Revenue per Customer:</u>									
40	Residential									
41	Commercial									
42	Industrial									
43	Other Public Authorities									
44	Other Water Utilities									
45	Miscellaneous									
46	Fire Service									
47										
48										
49										
50										

ALL COMPANY OPERATIONS ARE JURISDICTIONAL

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2004-00103
SALES STATISTICS - TOTAL COMPANY
OR THE TWELVE MONTHS ENDED: JULY 31, 2004
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30, 2005

DATA: _X_ BASE PERIOD _X_ FORECASTED PERIOD
TYPE OF FILING: _X_ ORIGINAL — UPDATED ___ REVISED
WORKPAPER REFERENCE NO(S): NIA

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PAGE 1 of 1

Witness Responsible: James E. Salsler

Line No.	Description	1999	2000	2001	2002	2003	Base Period	Forecasted Period	2 Projected Calendar Years	
									2004	2005
1										
2	<u>Sales by Customer Class:</u>									
3	Residential	5,959,166	5,825,335	5,915,628	6,261,737	5,681,624	6,302,986	5,931,227	6,731,275	6,890,819
4	Commercial	4,335,889	4,233,603	4,256,264	4,308,792	4,060,398	4,325,515	4,089,815	4,383,456	4,448,709
5	Industrial	1,066,961	972,967	900,509	900,825	819,065	860,783	900,832	890,116	890,116
6	Other Public Authorities	1,431,217	1,864,639	1,771,405	2,118,622	1,484,961	1,557,600	1,375,754	1,396,247	1,396,247
7	Other Water Utilities	554,295	676,433	648,078	660,984	401,401	411,011	372,349	474,218	474,218
8	Miscellaneous	12,347	5,058	4,188	7,886	92,750	996	3,144	3,143	3,143
9										
10	Total	<u>13,359,875</u>	<u>13,578,035</u>	<u>13,496,072</u>	<u>14,258,846</u>	<u>12,540,199</u>	<u>13,458,891</u>	<u>12,673,120</u>	<u>13,878,455</u>	<u>14,103,252</u>
11										
12										
13	<u>Number of Customers:</u>									
14	<u>12-Month Average:</u>									
15	Residential	85,498	88,194	91,311	94,361	96,669	101,495	103,304	102,418	104,801
16	Commercial	7,673	7,755	7,924	8,057	8,153	8,243	8,341	8,285	8,406
17	Industrial	16	16	16	16	17	16	16	16	16
18	Other Public Authorities	439	459	461	470	478	472	473	472	472
19	Other Water Utilities	8	8	8	8	9	9	9	9	9
20	Miscellaneous	0	0	0	0	0	0	0	0	0
21										
22	Total	<u>93,634</u>	<u>96,432</u>	<u>99,720</u>	<u>102,912</u>	<u>105,326</u>	<u>110,235</u>	<u>112,143</u>	<u>111,200</u>	<u>113,704</u>
23										
24										
25	<u>End of Period:</u>									
26	Residential	86,911	89,476	93,146	95,576	97,718	101,495	103,304	102,418	104,801
27	Commercial	7,709	7,801	8,047	8,067	8,250	8,243	8,341	8,285	8,406
28	Industrial	15	16	16	16	16	16	16	16	16
29	Other Public Authorities	448	452	468	470	487	472	473	472	472
30	Other Water Utilities	8	8	9	9	9	9	9	9	9
31	Miscellaneous	0	0	0	0	0	0	0	0	0
32										
33	Total	<u>95,092</u>	<u>97,753</u>	<u>101,686</u>	<u>104,138</u>	<u>106,480</u>	<u>110,235</u>	<u>112,143</u>	<u>111,200</u>	<u>113,704</u>
34										
35										
36										
37	<u>Average Revenue per Customer:</u>									
38	Residential	70	66	65	66	59	62	57	66	66
39	Commercial	565	546	537	535	498	525	490	529	529
40	Industrial	66,685	60,810	56,282	56,302	48,180	53,799	56,302	55,632	55,632
41	Other Public Authorities	3,260	4,062	3,843	4,508	3,107	3,300	2,909	2,958	2,958
42	Other Water Utilities	69,287	84,554	81,010	82,623	44,600	45,868	41,372	52,691	52,691
43	Miscellaneous	NIA	NIA	NIA	NIA	NIA	NIA	NIA	NIA	NIA
44										
45										
46										
47	Note Sales are stated in 100 Cubic Feet									
48										
49										
50										

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2004.00103
SALES STATISTICS - TOTAL COMPANY
FOR THE TWELVE MONTHS ENDED: JULY 31, 2004
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30, 2004

DATA: X BASE PERIOD X FORECASTED F IOD
TYPE OF FILING: X ORIGINAL U UPDATED U REVISED
WORKPAPER REFERENCE NO(S): N/A

SCHEDULE I - 5
PAGE 1 of 1

Witness Responsible: James E. Salser

Line No.	Description	1999	2000	2001	2002	2003	Base Period	Forecasted Period	2 Projected Calendar Years	
									2004	2005
1										
	<u>Sales by Customer Class</u>									
	Residential									
	Commercial									
5	Industrial									
6	Other Public Authorities									
7	Other Water Utilities									
8	Miscellaneous									
9										
10	Total									
11										
12										
13	<u>Number of customers</u>									
14	<u>12-Month Average:</u>									
15	Residential									
16	Commercial									
17	Industrial									
18	Other Public Authorities									
19	Other Water Utilities									
20	Miscellaneous									
21										
22	Total									
23										
24										
25	<u>End of Period:</u>									
26	Residential									
27	Commercial									
28	Industrial									
29	Other Public Authorities									
30	Other Water Utilities									
31	Miscellaneous									
32										
33	Total									
34										
35										
36										
37	<u>Average Revenue per Customer:</u>									
38	Residential									
39	Commercial									
40	Industrial									
41	Other Public Authorities									
42	Other Water Utilities									
43	Miscellaneous									
44										
45										
46										
47	Note: Sales are stated in 100 Cubic Feet									
48										
49										
50										