

**SCHEDULE A**

**REVENUE REQUIREMENT**

**KENTUCKY-AMERICAN WATER COMPANY**

**CASE NO: 2004-00103**

Forecasted Test Period: FOR THE TWELVE MONTHS ENDED: NOVEMBER 2005

Base Period: FOR THE TWELVE MONTHS ENDED: JULY 2004

Schedule	Description
A	Overall Financial Summary

For electronic version, see [KAW\\_APP\\_EX37A\\_043004.pdf](#)

KENTUCKY-AMERICAN WATER COMPANY  
CASE NO: 2004-00103  
OVERALL FINANCIAL SUMMARY  
FOR THE TWELVE MONTHS ENDED: **NOVEMBER 30, 2005**

DATA:      BASE PERIOD X F      :A :D PE ID  
TYPE OF FILING: X ORIGINAL      UI TED      REVISED  
WORKPAPER REFERENCE NO(S): W/P-1 (TOTAL COMPANY)

SCHEDULE A  
Page 1 of 4  
Witness **Responsible:** M.A. Milled. S. A. Valentine

Line No.		Supporting Schedule	Forecast Jurisdictional Rev Re	Base Period Jurisdictional Rev Re
1				
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3				
4	RATE BASE	B-1	<u>\$158,958,817</u>	<u>\$153,508,822</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	<u>8,792,281</u>	<u>10,786,321</u>
7				
8	EARNED RATE OF RETURN		5.53%	7.03%
9				
10	RATE OF RETURN	J-1	8.25%	8.20%
11				
12	REQUIRED OPERATING INCOME		<u>13,114,102</u>	<u>12,587,723</u>
13				
14	OPERATING INCOME DEFICIENCY		4,321,821	1,801,402
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		7,297,443	3,041,688
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>43,399,662</u>	<u>44,246,522</u>
21				
22	REVENUE REQUIREMENT		<u>\$50,687,105</u>	<u>\$47,288,210</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE ADDITIONAL REVENUES OF APPROXIMATELY \$7297,443

KENTUCKY-AMERICAN WATER COMPANY  
CASE NO: 2004-00103  
OVERALL FINANCIAL SUMMARY  
FOR THE TWELVE MONTHS ENDED: NOVEMBER 30, 2005

DATA: \_\_\_ BASE PERIOD X FORECASTED PERIOD  
TYPE OF FILING: X ORIGINAL \_\_\_ UPDATED \_\_\_ REVISED  
WORKPAPER REFERENCE NO(S): **W/P-1** (CENTRAL)

SCHEDULE A  
Page 2 of 4  
Witness Responsible: MA **Miller/ S. A. Valentine**

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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3				
4	RATE BASE	B-1	<u>\$155,625,677</u>	<u>\$150,518,443</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	8,740,886	10,622,483
7				
8	EARNED RATE OF RETURN		5.62%	7.06%
9				
10	RATE OF RETURN	J-1	8.25%	8.20%
11				
12	REQUIRED OPERATING INCOME		<u>12,839,118</u>	<u>12,342,512</u>
13				
14	OPERATING INCOME DEFICIENCY		4,098,232	1,720,029
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		6,919,910	2,904,289
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>42,471,355</u>	<u>43,416,080</u>
21				
22	REVENUE REQUIREMENT		<u>\$49,391,265</u>	<u>\$49,391,265</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE  
ADDITIONAL REVENUES OF APPROXIMATELY \$6,919,910

KENTUCKY-AMERICAN WATER COMPANY  
CASE NO: **2004-00103**  
OVERALL **FINANCIAL SUMMARY**  
FOR THE TWELVE MONTHS ENDED: **NOVEMBER 30, 2005**

DATA: \_\_\_ BASE PERIOD X FORECASTED PERIOD  
N P E OF FILING: X ORIGINAL \_\_\_ UPDATED \_\_\_ REVISED  
WORKPAPER REFERENCE NO(S): **W/P-1** (TRI VILLAGE)

SCHEDULE A  
Page 3 of 4

Witness Responsible: MA **Miller**, S. A. Valentine

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
2				
3				
4	RATE BASE	B-1	<u>\$3,147,523</u>	<u>52,914,540</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	59,371	161,144
7				
8	EARNED RATE OF RETURN		1.89%	5.53%
9				
10	RATE OF RETURN	J-1	8.25%	6.20%
11				
12	REQUIRED OPERATING INCOME		<u>259,671</u>	<u>238,992</u>
13				
14	OPERATING INCOME DEFICIENCY		200,300	77,848
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		338,208	131,447
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>825,059</u>	<u>785,938</u>
21				
22	REVENUE REQUIREMENT		<u>\$1,163,267</u>	<u>\$917,385</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE ADDITIONAL REVENUES OF APPROXIMATELY \$338,208

**KENTUCKY-AMERICAN WATER COMPANY**  
CASE NO: **200600103**  
OVERALL FINANCIAL SUMMARY  
FOR THE TWELVE MONTHS ENDED: **NOVEMBER 30, 2005**

DATA: \_\_\_ BASE PERIOD \_\_\_ X \_\_\_ F CAS PERIOD  
TYPE OF FILING: \_\_\_ X \_\_\_ ORIGINAL \_\_\_ UPI \_\_\_ REVISIED  
WORKPAPER REFERENCE NO(S): **W/P-1** (ELK LAKE)

SCHEDULE A  
Page 4 of 4

Witness Responsible: MA. Miller/ S. A. Valentine

Line No.	Description	Supporting Schedule Reference	Forecast Jurisdictional Rev Req	Base Period Jurisdictional Rev Req
1				
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4	RATE BASE	B-1	<u>\$185,618</u>	<u>\$75,838</u>
5				
6	FORECASTED OPERATING INCOME AT CURRENT RATES	C-1	(7,977)	2,693
7				
8	EARNED RATE OF RETURN		-4.3046	3.55%
9				
10	RATE OF RETURN	J-1	8.25%	8.20%
11				
12	REQUIRED OPERATING INCOME		<u>15,313</u>	<u>6,219</u>
13				
14	OPERATING INCOME DEFICIENCY		23,290	3,526
15				
16	GROSS REVENUE CONVERSION FACTOR	H-1	<u>1.6885112</u>	<u>1.6885112</u>
17				
18	REVENUE DEFICIENCY (1)		39,325	5,954
19				
20	ADJUSTED OPERATING REVENUES	C-1	<u>93,248</u>	<u>44,504</u>
21				
22	REVENUE REQUIREMENT		<u>\$132,573</u>	<u>\$50,458</u>
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(1) THE COMPANY'S PROPOSED RATES PER EXHIBIT 2 ARE DESIGNED TO PRODUCE  
ADDITIONAL REVENUES OF APPROXIMATELY \$39,325