KENTUCKY-AMERICAN WATER COMPANY CASE NO. 2004-00103 FORECASTED TEST PERIOD FILING REQUIREMENTS EXHIBIT NO. 1

Description of Filing Requirement:

Present Tariffs

Response:

Please see attached for copy of Present Tariffs.

For electronic version, see KAW_APP_EX1_043004.pdf

PSC KY NO. 6 COVER SHEET AND ORIGINAL SHEETS: Nos. 1, 2, 3.1, 5 through 19, 20.1, 21, 24.1, 26, 29 through 49, 49.4, 51, 52.1, 54, 58, 59, 61, 62, 63, 64, 64a, 66, 67, 68, and 69; First Revised Sheet Nos. 4, 24, \geq 5, 28, 49.1, 49.2, 49.3, 56, 57, 60 and 65; Second Revised Sheet Nos. 22, \geq 3, 27, and 55; Fourth Revised Sheet Nos. 28.1 and 28.2 Fifth Revised Sheet No. 20; 55.1 Seventh Revised Sheet No. 3; Eighteenth Revised Sheet No. 53; Twentieth Revised Sheet No. 52; Twenty-Fourth Revised Sheet No. 52;

KENTUCKY-AMERICAN WATER COMPANY

OF

2300 RICHMOND ROAD, LEXINGTON, KENTUCKY

AT

CITY OF LEXINGTON, AND FAYETTE COUNTY, KENTUCKY AND

CONTIGUOUS TERRITORY THERETO

FILED WITH THE PUBLIC SERVICE COMMISSION OF KENTUCKY

ISSUED: October 8, 2003 Tay WN **ISSUED BY:** V. Mundy II, President

EFFECTIVE: May 9, 2001

2300 Richmon d Lexing of KY 40502 Ad dress

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Exhibit 1 Page 2 of 34

KENTUCKY-AMER I CAN WATER COMPANY	ζ.
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P.S.C. Ky. No. 6 Original Sheet No. 1

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]		March 7, 1983 UED BY: 2000 Pickney L James Barr, Pre	

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KENTUCKY-AME RICAN WATER COMPANY

P.S.C. Ky. No. 6 Original Sheet No. 2

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r l s	Approval of the Rules and Regulations	PUBLIC SERVICE COI OF KENTUCH EFFECTIVE
G		FEB 1 7 19
		PURSUANT TO 807

ISSUED BY

2

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c11

🖌 J. James Barr, President

2300 Richmond Road, Lexington, Kentucky

P.S.C. KY NO. 6 Seventh Revised Sheet No. 3 Cancelling Sixth Revised Sheet No. 3

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Servi	ice Line Inspection Fee		EFFECTIVE 58

MAY 0.9 2001

May 25, 2001 **ISSUED:**

ISSUED BY:

MÍCHAEL A'. MILLER **VICE-PRESIDENT & TREASURER**

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) EFFECTIVE: May 9 2004 () Bur SECRETARY OF THE COMMISSION 2300 Richmond Road, Lexington, KY 40502 Address

P.S.C. NO. 6 Original Sheet No. 3.1

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		PURSUANT TO 807 KAB 5:011. SECTION 8 (1)

BY: <u>Stephand</u> <u>BU</u> BEORETING OF THE COMMISSION

ISSUED: January 26, 1998

ISSUED BY:

:

12 Coleman D. Bush, Vice President & Treasurer

EFFECTIVE: January 1, 1998

2300 Richmond, Lexington, KY 40502 Address

P.S.C. KY NO. 6 Twenty-Fourth Revised Sheet No. 50 Cancelling Twenty-Third Revised Sheet No. 50

CLASSIFICATION OF SERVICE SERVICE CLASSIFICATION NO. 1

Applicable

Applicable to all customers in the City of Lexington, Fayette County, Kentucky, and contiguous territory thereto.

Availability of Service

Available for Residential, Commercial, Industrial, Sales for Resale, Municipal and All Other Public Authority metered Service.

Meter Rates

The following shall be the rates for consumption, in addition to the service charges provided for herein;

	Customer	Rate Per 1,000 Gallons	Rate Per 100 Cubic Fe et
	Category	All Consumption	All Consumption
(1)	Residential	\$2.23441	\$1.67581
(1)	Commercial	2.06898	1.55174
(I)	Industrial	1.68828	1.26621
(I)	Municipal & Other		
	Public Authority	1.98196	1.48647
(I)	Sales for Resale	1.98196	1.48647

Service Charges

All metered general water service customers shall pay a service charge based on the size of meter installed. The service charge will not entitle the customer to any water.

		Service Charge	
	Size of Meter	Per Month	
(I)	5/8"	\$7.31	PUBLIC SERVICE COMMISSION
(I)	3 /4"	10.97	OF KENTUCKY
(1)	1 ''	18.28	EFFECTIVE
(1)	1-1 /2"	36.55	
(1)	2"	58.48	
(I)	3"	109.65	MAY 002001
(I)	4"	182.75	
(I)	6"	365.50	PURSUANT TO 807 KAR 5:011.
(1)	8"	584.80	SECTION 9 (1)
			BY Stephand Bug
(I)	Indicates Increase		SECRETARY OF THE COMMISSION

(I) Indicates Increase

ISSUED:

May 25, 2001

ISSUED BY:

MICHAEL A. MILLER **VICE-PRESIDENT & TREASURER**

EFFECTIVE: May 9, 2001

2300 Richmond Road, Lexington, KY 40502 Address

Issued by authority of an Order of the Public Service Commission, Case No. 2000-120, dated May 9, 2001.

P.S.C. No. 6 Twentieth Revised Sheet No. 52 Canceling Nineteenth Revised Sheet No. 52

CLASSIFICATION OF SERVICE SERVICE CLASSIFICATION NO. 3

Applicable

Applicable to all customers in the City of Lexington, Fayette County, Kentucky, and contiguous territory thereto.

Availability of Service

Available for municipal or private fire connections used exclusively for fire protection purposes.

Rates

	Size of Service	Rate Per <u>Month</u>	Rate Per <u>Annum</u>
<i>(</i>] <i>)</i>	2" Diameter	\$4.00	\$48.00
<i>(I)</i>	4" Diameter	16.00	192.00
(I)	6" Diameter	35.96	431.52
<i>(I)</i>	8" Diameter	63.92	767.04
(I)	10" Diameter	99.88	1,198.56
(1)	12" Diameter	143.85	1,726.20
<i>(1)</i>	14" Diameter	195.82	2,349.84
<i>(1)</i>	16" Diameter	255.70	3,068.40

Special Provisions

No charge shall be made for water used in extinguishing accidental fires or for Underwriters' tests, and water shall not be drawn from a private fire service connection for any other purpose.

(I) INDICATES INCREASE

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

SEP 30 1997

PURSUANT TO 807 KAR 5:011. SECTION 9 (1) rechand) Bull MOREN

ISSUED:

ISSUED BY:

OCTOBER 10, 1997 7,00 ROBERT J. GALLÓ, PRESIDENT

EFFECTIVE:

SEPTEMBER 30, 1997

2300 RICHMOND ROAD, LEXINGTON, KY 40502 ADDRESS

P.S.C. KY NO. 6 Original Sheet No. 52.1

(<i>N</i>)		TAPPING FEES
(N)	Applicable	
(N)	Applicable to the entire	e Service Territory of Kentucky-American Wate r Company.
(N)	Availability of Service	
(N)	Available for residentia customers.	al, commercial, industrial, other public authority and sales for resale
(N)	Tapping (Connection) Fees	
	Size of Meter Connected	
(N)	5/8-Inch	\$ 440.00
(N)	l-Inch	765.00
(N)	2-Inch	3,175.00
(N)	Service larger than 2"	Actual Cost
(N)	For services greater than 2", a c	cost-adjustable deposit is required upon application. The tapping fee will
(N)		r all services installed on or after the effective date of this tariff. except
(N)		ication for service (including plumbing inspection) is on file with the
(N)	Company prior to the effective	

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

M AY 0 - 2001

PURSUANT TO 807 KAR 5:011. SECTION 9 (1) BY Stephand Buy SECRETARY OF THE COMMISSION

40502

(N) Indicates new rate and/or text

ISSUED:	May 25, 2001	EFFECTIVE: May 9, 2001
ISSUED BY:	Michael A. Mulle	2300 Richmond Road, Lexington, KY
	MICHAEL A. MILLER	Address
	VICE-PRESIDENT & TREASURER	

Issued by authority of an Order of the Public Service Commission, Case No. 2000-120, dated May 9, 2001.

P.S.C. No. 6 Eighteenth Revised Sheet No. 53 Canceling Seventeenth Revised Sheet No. 53

CLASSIFICATION OF SERVICE SERVICE CLASSIFICATION NO. 4

Applicable

Applicable to all customers in the City of Lexington, Fayette County, Kentucky, and contiguous territory thereto.

Availability of Service

Available for municipal or private fire connections used exclusively for fire protection purposes.

Rates For Public Fire Service

		Rate Per <u>Month</u>	Rate Per <u>Annum</u>
(1)	For each public fire hydrant contracted for or ordered by Urban County, County, State or Federal Governmental Agencies or Institutions.	\$23.96	\$287.52
Rates	For Private Service		
(1)	For each private fire hydrant contracted for by Industries or Private Institutions	\$35.96	\$431.52

(I) INDICATES INCREASE

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

SEP 30 1997

PURSUANT TO 807 KAR 5:011. SECTION 9 (1) Stechand Bue AND CHORE

ISSUED:

ISSUED BY:

20 ROBERT J. GALLO, PRESIDENT

OCTOBER 10, 1297

EFFECTIVE:

September 30, 1997

2300 RICHMOND ROAD, LEXINGTON, KY 40502 Address

P.S.C. Ky. No. 6 Original Sheet No. 54

CLASSIFICATION OF SERVICE

SERVICE CLASSIFICATION NO. 5

APPLICABLE

Applicable to all customers in the City of Lexington, Fayette County, Kentucky and contiguous territory thereto.

AVAILABILITY OF SERVICE

Available for Water Used for Building and Construction Purposes.

RATES

IZ

where a meter is installed on a fire hydrant or on a temporary service connection for construction purposes, the minimum payment for water shall be the monthly service charge for general water service, payable in advance based upon the size of the meter installed. If more than one fire hydrant or temporary service connection is used, the service charge is to apply to each such hydrant or temporary service connection so used.

The Company may require an application to be signed and either the service charge paid in advance or, at the option of the Company, a meter deposit made, and the account handled in the same manner as any other metered account. The service charge will not entitle the Customer to any water and all water used shall be billed at the meter rate schedule. In addition to such charges the Customer shall pay all reasonable costs incurred in connection with the installation and removal of the meter.

HIS DF MMISSIO		PUBLIC SERVICE COMMISSION OF NENTUCKY EFFECTIVE	
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HATTHY THAT A TRUE COPY HE ORIGINAL		PURSUANT TO 307 KAR 5:011, SECTION SHE Store	
	led: December 29, 1983 Issued by:	Effective: December Sun J. James Barr, President	20, 1983
		d, Lexington, Kentucky	3.4

P.S.C. Ky. No. 6 Second Revised Sheet No. 55 Canceling First Revised Sheet No. 55

CLASSIFICATION OF SERVICE

SERVICE CLASSIFICATION NO. 6

APPLICABLE

Applicable to all customers in the City of Lexington, Fayette County, Kentucky and contiguous territory thereto.

AVAILABILITY OF SERVICE

Available for Residential, Commercial, Industrial, Public Service and Sales for Resale customers.

<u>RATES</u>

There shall be added to the Customer's bill, as a separate item, an amount equal to the proportionate part of any license, occupation, franchise, fee or other similar fee, charge or tax now or hereafter imposed upon the Company by local taxing authorities or the Kentucky River authority, whether imposed by ordinance, franchise, statute or otherwise, and which fee, tax or charge is based upon Kentucky River water usage or a percentage of the gross receipts, net receipts, or revenues from sales of water or services rendered by the Company to the customer. Where more than one such charge, fee or tax is imposed, the total of such charges, fees or taxes applicable to a Customer may be billed to the customer as a single amount. Charges, fees or taxes herein referred to shall in all instances be billed to the customer as a single amount. Charges, fees or usage effective at the time of billing, and on the basis of the tax rate or usage effective at the time billing is made.

(N) There shall also be added to the customer's bill, as a separate item, any fee, tax, or charge imposed upon the customer by a municipality or governmental agency, the purpose of which is to allocate among those customers identified by ordinance, franchise, statute or otherwise, the cost of fire hydrants imposed upon the municipality or governmental agency. Failure to pay this charge shall not constitute grounds for termination of service.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

MAY 0 1 2000

		PURSUANT TO 807 K		
ISSUED: Ma	rch 28, 2000	BY <u>Stephan</u> f SECRETARY OF THE CO	MMISSICN	EFFECTIVE: May 1, 2000
ISSUED BY:	Coleman D. Bush. V	DS12 ice President & Treasure		chmond, Lexington, KY 40502 Address

CLASSIFICATION OF SERVICE SERVICE CLASSIFICATION NO. 6

APPLICABLE

Applicable to all customers in the City of Lexington, Fayette County, Kentucky and contiguous territory thereto.

AVAILABILITY OF SERVICE

(N)Available for Residential, Commercial. Industrial, Other Public Authority and Sales for Resale customers.

RATES

The Kentucky River Authority Withdrawal Fee authorized to be imposed upon all customers of Kentucky-American Water Company in accordance with the tariff shown in First Revised Sheet 55 (1) (T)shall be a rate of \$.05530 per 1.000 gallons or \$.04148 per 100 cubic feet.

Except for rate changes imposed by the Kentucky River Authority, which will be put in effect (N)immediately upon notification of such change by the Kentucky River Authority, this fee will be (N)adjusted annually beginning January, 2002 to reflect any over or under collection of Kentucky River (N)Authority fees existing at the time of the adjustment. No adjustment will be made if the over or (N)under collection is immaterial. (N)

> PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

> > MAY 0 - 2001

Indicates Increase (I)

- (T) Indicates Text Change
- (N) Indicates New Rate and/or text

PUBSUANT TO 807 KAR 5011. SECTION 9(1) BY Stephand BU SECRETARY OF THE COMMISSION

ISSUED:

May 25, 2001 **ISSUED BY:** MICHAEL A. MILLER

VICE-PRESIDENT & TREASURER

EFFECTIVE: May 9, 2001

2300 Richmond Road, Lexington, KY 40502 Address

Issued by authority of an Order of the Public Service Commission, Case No. 2000-120, dated May 9, 2001.

(1)

P.S.C. No. 6; First Revised Sheet No. 56; Cancelling Original Sheet No. 56

RECONNECTION CHARGE

When it has been necessary to discontinue water service to any premises because of a violation of the Company's Rules and Regulations on account of nonpayments of any bill for water service, a charge of Twenty-four Dollars (\$24.00) will be made to cover the expense of turning on the water service.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

AUG 24 1993

(I) INDICATES INCREASE

ISSUED: December 15, 1993

EFFECTIVE: August 24, 1993

ens , R. A. Edens, Vice President Issued By: 2300 Richmond Road, Lexington, Kentucky

Issued by authority of an Order of the Public Service Commission, Case No. 92-452, dated November 19, 1993.

P.S.C. No. 6; First Revised Sheet No. 57; Cancelling Original Sheet No. 57

RETURNED CHECK CHARGE

In those instances where a customer renders payment to the Company by check which is not
honored upon deposit by the Company, the customer will be charged (\$12.00) to cover the processing costs.

PUBLIC SERVICE COMMISSION OF KENTUCKY SFFECTIVE

AUG 24 1993

(I) INDICATES INCREASE

ISSUED: December 15, 1993

EFFECTIVE: August 24, 1993

_____, R. A. Edens, Vice President Issued By: 2300 Richmond Road, Lexington, Kentucky

Issued by authority of an Order of the Public Service Commission, Case No. 92-452, dated November 19, 1993.

P.S.C. No. 6 Original Sheet No. 58

INSPECTION OF SERVICE LINE

APPLICABLE: Applicable to the entire service territory of Kentucky-American Water Company where neither the Kentucky Department of Housing, Building and Construction or local government conducts an inspection of service lines comparable to that required of water utilities by 807 KAR 5:066 Section 10 (3).

AVAILABILITY OF SERVICE:

COMMISSION

ERVICE

____ ___

<u>RATE</u>:

CERTIFY THAT THIS

IS A TRUE COPY THE ONIGINAL Inspection of service lines is available to all customers of Kentucky-American Water Company where neither the Kentucky Department of Housing, Building and Construction or local government conducts an inspection of service lines comparable to that required of water utilities by 807 KAR 5:066 Section 10 (3). The customer shall leave the trench open and the service line uncovered. The service line must be determined to be free from any tee, branch connection, irregularity or defect before service will be initiated.

PUBLIC STATIC CONSIGN

The customer shall be charged \$25.00 for each inspection of a service line.

OCT 50 1993

14 A S

Issued: September 30, 1988

Effective: October 30, 1988

Issued By A. Edens, Vice President

vice President Kentucky-American Water Company Kentucky-American Water Company

P.S.C. KY. No. 6 Original Sheet No. 59

(N) BULK SALES OF WATER THROUGH COMPUTERIZED LOADING STATIONS

Availability of Service

This service is available at the following locations: 1) Intersection of Tates Creek Road and Jonestown Lane; 2) On Higbee Mill Road, west of Clays Mill Road; 3) Old Frankfort Pike at New Circle Road; 4) Intersection of Richmond Road and Cleveland Road at Fire Station #18; or 5) At other locations where loading stations may be required in the future.

<u>Rate</u>

The following rates and charges are prescribed for the customers in the area served by Kentucky-American Water Company.

Bulk Sales Rate

\$.225 per 50 gallons

Terms and Conditions of Service

Service taken under this tariff must be prepaid by the customer by inserting the correct change into the vending machine provided or arrangements can be made whereby a customer can be assigned a code number to be input into a keypad each time water is taken. When a code number is assigned, the customer will be billed monthly.

> PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

> > 5 1990

(N) INDICATES NEW TARIFF

PURSUANT TO 807 KAR 5:011, FCTION 9 BY:

Effectivenetic bery Etmosentssion, MANAGER

NOV

Issued Bya

Issued: November 19, 1990

2300 Richmond Road, Lexington, Kentucky

Issued by authority of an Order of the Public Service Commission in Case No. 90-149, dated November 5, 1990.

P.S.C. Ky. No. 6 Original Sheet No. 61

CLASSIFICATION OF SERVICE

SERVICE CLASSIFICATION NO. 6

AFTER HOURS RECONNECTION CHARGE

APPLICABLE

Applicable to all customers in the City of Lexington, Fayette County, Kentucky, and contiguous territory thereto.

AVAILABILITY OF SERVICE

Available for Residential, Commercial, Industrial, Public Service and Sales for Resale customers.

<u>RATES</u>

(N) When it has been necessary to discontinue water service to any premises because of a violation of the Company's Rules and Regulations on account of nonpayments of any bill for water service, a reconnection charge is made to cover the expense of turning on the water service. If the customer requests this service after the end of the normal service hours, an after hours reconnection charge of Thirty Dollars (\$30.00) will be charged. After hours service charge applies to service requested between 12:00 midnight and 8:00 a.m. daily, Monday through Saturday, and after 4:00 p.m. on Saturday through 8:00 a.m. on Monday.

(N) Indicates new Tariff.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

ISSUED: March 1, 1993

Issued BV

EFFECTIVE April 1, 1993

, R. A. Edens, Vice President PURSUANT TO 807 KAR 5:011.

2300 Richmond Road, Lexington, Kentucky

SECTION 9 (1) BY: <u>Correctalle</u> PUBLIC SERVICE COMMISSION MANAGER

P.S.C. Ky. No. 6 Original Sheet No. 62

CLASSIFICATION OF SERVICE

SERVICE CLASSIFICATION NO. 6

AFTER HOURS NEW SERVICE TURN-ON CHARGE

APPLICABLE

Applicable to all customers in the City of Lexington, Fayette County, Kentucky, and contiguous territory thereto.

AVAILABILITY OF SERVICE

Available for Residential, Commercial, Industrial, Public Service and Sales for Resale customers.

RATES

(N) In accordance with the Company's Rules and Regulations, Original Sheet No. 8, 3(e), in those cases where the Customer requests new service be turned on after regular working hours or on Saturdays, Sundays or holidays, a charge will be made to cover the cost of turning the water service on after normal service hours. The charge for this service will be Twenty-two and 50/100 Dollars (\$22.50). The after hours new service turn-on charge will apply for customers requesting service between the hours of 12:00 midnight and 8:00 a.m. daily, Monday through Saturday, and after 4:00 p.m. on Saturday through 8:00 a.m. on Monday.

	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE		
(N) Indicates new Tariff.	APR 1 1993		
ISSUED: March 1, 1993 Issued By A. Cleur 2300 Richmond Road, Lexington, Kentucky	PURSUANT TO EFFEKARVED April 1, 1993 SECTION 9 (1) , R. A. Edens Wice President fully PUBLIC SERVICE COMMISSION MANAGER		

P.S.C. No. 6 First Revised Sheet No. 65 Cancelling Original Sheet 65

SERVICE CLASSIFICATION NO. 6 HIDDEN LEAK ADJUSTMENT RATE

Applicable

Applicable to all customers in the City of Lexington. Fayette County, Kentucky, and contiguous territory thereto.

Availability of Service

Available for Residential and Commercial customers.

<u>Rates</u>

(N) (I) A charge of twenty-five (25) percent of the applicable tariff will be applied to all water usage determined to be the result of a hidden underground leak.

Terms and Conditions

A hidden underground leak is defined as a leak in the customer service line between the meter and the premises. Hidden underground leak adjustments will be granted to residential and commercial customers. The customer must provide a plumber's statement or list of materials showing that the leak has been repaired. After verification of repairs by the Water Company, the bill will be adjusted by comparing the usage during the leak billing period to the average usage for the past six billing periods. A reasonable estimate will be used in cases when six prior periods of information do not exist. The excess usage will be billed at the rate specified above. During the lifetime of a water service line only two leak adjustments will be permitted. Each adjustment may cover a maximum of two billing periods. Before a third adjustment can be considered, the entire water service line from the meter box to the premises must be replaced. Plastic pipe for repair of underground water service lines must be certified to withstand a working pressure of 160 pounds per square inch or greater.

(N) INDICATES NEW RATE AND NEW TEXT
(I) INDICATES INCREASE

PUBLIC STRUCE COMMISSION OF KERTUCKY SPECIFICE

SEP 11 1996

PURE CAT TO STERAR 5011

S:\WPFILES\KENTUCKY\95-554\TARIFFS\PROP95.RAT

lssued:

September 23, 1996

Effective:

September 11, 1996

Issued By:

Robert J. Gallo, President

2300 Richmond Road, Lexington, KY 40502 Address

AV Issued by authority of an Order of the Public Service Commission, Case No. 95-554, dated September 13, 1996.

	Form for filing Rate Schedules	For Elk Lake Shores Subdivision Community, Town or City
•		P.S.C. NO3
		Original SHEET NO. 7
Elk Lake Property Owners Association, Inc.		CANCELLING P.S.C. NO. 2
	Name of Issuing Corporation	Original SHEET NO. 7
	CLASSIFICAT	ION OF SERVICE
		RATE PER UNIT
		·
	METERED MONTH	LY RATES
	Gallonage Blocks	Monthly Rates
•	5/8 inch x 3/4 inch metered First 2,000 gallons Next 2,000 gallons Over 4,000 gallons	20.95 minimum Bill 2.35 per 1,000 gallons 1.70 per 1,000 gallons

All meters shall be read to the nearest one hundred (100) gallons each month.

The monthly bill for a customer served by a $5/8" \times 3/4"$ meter shall be \$20.95 for metered usages of zero (0) to two thousand (2,000) gallons. The additional charge for usage in excess of two thousand gallons shall be computed to the nearest one hundred (100) gallons of usage on the basis of the above unit costs per one thousand (1,000) gallons. PUBLIC SERVICE COMMISSION

SIZE OF SERVICE LINE

The service line of all service shall be 3/4" with 5/8" x 3/4" meter.

		_					
-	-	R	- ()	7	- 10	Û	5

OF KENTUCKY

EFTECTIVE

PURSUANT TO E	07 KAR 5011
SECTIO	N 9 (1)
BY (Lander)	1 78 0
FOR THE PUBLIC SEA	VICE COMMISSION

DATE OF ISSUE July 15, 1994	
ISSUED BY ALL & Steen Sy	
Name of Officer	

DATE EFFECTIVE June17, 1994

Administrator TITLE

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. _____ dated _

FOR ELK LAKE SHORES SUBDIVISIO	FOR	ELK	LAKE	SHORES	SUBDIVISIO
--------------------------------	-----	-----	------	--------	------------

P.S.C. Ky. No.___3

Orignal Sheet No. 8

Cancelling P.S.C. Ky. No 2

. :

<u>Orignal</u> Sheet No.<u>8</u>

RULES AND REGULATIONS

PENALTY CLAUSE

The rates, as listed, are net and all bills will be rendered in the net amount. A penalty of 10% shall be added to the bill if payment is not received by the 15th of the month. A penalty will be assessed only once on any bill for rendered service.

RETURNED CHECK FEE

Customer will be charged \$10.00 on any returned checks.

ELK LAKE PROPERTY OWNERS ASSOC. INC.

RECONNECTION CHARGE

A Reconnection Charge of \$40.00 shall be made by the Company to cover the cost incurred for reconnecting the meter or service when the customer's service has been disconnected at his request, or for any other reason, as set forth in these Regulations.

METER REMOVAL CHARGE

A Meter Removal Charge of \$56.00 shall be made when the customer's service has been disconnected at his request, or for any other reason, as set forth in these Regulations.

SERVICE RUN

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

The cost of a Service Run shall be \$40.00.

FEB 01 1995

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: <u>Gerden C. Mul</u> FOR THE PUBLIC SERVICE COMMISSION

~ .	DATE OF ISS	July	15	1994	DATE	EFFECTI	VE		
		Month	Day	y Yea	ar		Month	Day	Year
		(In 1)	VA	1.1.	Administra	445	Elk Lake	Resort H	Rđ.
	ISSUED BY	and	ANU	NAV.	Administra	LOI OWE	<u>nton, Ken</u>	tucky 40	159
		Name of	officer	•	Tit	tle		Address	



Form for filing Rate Schedules

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Elk Lake Property Owners Assn.Inc. Name of Issuing Corporation

For Elk Lake Shores Subdivision
Community, Town or City
P.S.C. NO. 3
Original SHEET NO. 9
CANCELLING P.S.C. NO. 2
Original SHEET NO. 9

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CLASSIFICATION OF SERVICE

	· · · · · · · · · · · · · · · · · · ·	RATE
		PER UNIT
CHARGES FOR METER IN	STALLATIONS	
The following prices as listed, includes the r	neter, meter vault, meter yoke	
where required, any necessary valve required, and	the service line of the required	
size running to the customer's property line.		
5/8" x 3/4" Meter Installation	\$360.00	
Larger Meters	Actual cost of installation	
Each customer must fill-out and sign the Meter	application furnished by Elk Lake	
Water Company and pay the connection fee in adv	ance. There are no exception SERVICE	COMMISSION
this requirement.	EFFEC	TVE
NEW ACCOUNT SET UP	FEB 01	995
A Service Fee of \$40.00 shall be charged to set	•	3(1)
change in ownership or tenancy. This will cover the	BY: <u>Gorden C</u> ne cost of meter realling and record	
setup.		
DATE OF ISSUE July 15, 1994	DATE EFFECTIVE June 1	17, 1994
ISSUED BY <u>Mal Attended</u> . Name of Officer	TITLE Administrator	-
Issued by authority of an Order of the Pub in Case No dated	olic Service Commission o	f Kentucky

WARRANTY DEED

For and in consideration of the sum of \$10.00 and other good and valuable considerations, cash in hand, paid the receipt of all which is hereby acknowledged, ELK LAKE DEVELOPMENT CORPORATION, a Kentucky Corporation, acting by and through its duly authorized and empowered officers, does hereby grant, bargain, sell, convey and warrant unto _________ of _______ of _______ the following land located approximately 6 miles SE of the City of Owenton, in Owen County, Kentucky, described as follows: Lot No. ________ as shown on map_______ of Elk Lake Shores, a subdivision, according to the plat thereof on file in the office of the Clerk of County Court of Owen County, Kentucky, reference to which plat is made in aid of this description.

There is excepted from the covenants of warranty herein contained, all rights of way for road purposes, together with restrictions therein contained; Telephone, Telegraph and Electric Line right of way easements, together with minerals, heretofore conveyed to R. C. Ford Jr. by deed recorded in Deed Book 102, page 601, Owen County Court Clerk's office, subject to exceptions; and undivided 1/2 interest in and to all oil, gas and minerals heretofore conveyed to D. A. Hudson by deed of record in Deed Book 102, page 599, Owen County Court Clerk's office.

Together with the right in common with other owners of lots in said subdivision to use for all usual purposes the streets, ways, beaches, recreation areas, and other public areas, as shown on the said plat, and the right in common with the other owners of lots in said subdivision to use the lake and beaches for swimming, fishing and boating, in accordance with the rules and regulations of Elk Lake Development Corporation or its assigns.

This conveyance is made subject to the following restrictions, which:1. Said lots shall be used exclusively for residential purposes except those lots designated as business or commercial areas on the maps or said plats aforesaid.

2. Not more than one single family dwelling house may be erected or constructed on any one lot, nor more than one other building for garage or storage purposes, and provide further that no building shall be erected prior to the erection of a dwelling house. No accessory or temporary building shall be used or occupied as living quarters. No structure shall have tar paper, roll brick siding or similar material on the outside walls. No house trailers, tents, shacks, or other similar structures shall be erected, moved to, or placed upon said premises. All buildings must be completed within six months from the date construction commences.

OF KENTUCKY EFFECTIVE

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FEB 01 1995

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Ander C. Meel FOR THE PUBLIC SERVICE CONNECTON

3. No residence shall have less than 600 square feet of living space on the ground or first floor, exclusive of porch area. All building plans are subject to the approval of the Elk Lake Development Corporation, or its assigns. No porch or projections of any building shall extend nearer than twenty (20) feet from any road right of way nor nearer than ten (10) feet from the property line of any abutting property owner, nor within fifty (50) feet from the normal high water line of Elk Lake.

4. No outside toilets shall be allowed. No waste shall be permitted to enter Elk Lake and all sanitary arrangements must be inspected and approved by local and/or state health officers before any septic tank or other waste disposal system shall be constructed. No drain field or other disposal system shall be allowed nearer than sixty (60) feet from normal high water mark of Elk Lake.

5. No noxious or offensive trade or activity shall be permitted on any lot, nor shall anything be done thereon which shall be or become an annoyance or nuisance to the neighborhood. No animals or fowl shall be kept or maintained on said lots except customary household pets. No signs of any kind shall be displayed on any lot without the written permission of Elk Lake Development Corporation, its successors or assigns. Use of lake shall be in compliance with the rules and regulations of Elk Lake Property Owners Association, Inc.

6. No boat docks, floats, or other structures extending into the lake shall be constructed or placed into or on said lake without prior written approval of Elk Lake Development Corporation, its successors or assigns. Use of lake shall be in compliance with the rules and regulations of Elk Lake Property Owners Association, Inc.

7. Elk Lake Development Corporation for itself, its successors, assigns and licensees reserves a ten (10) foot wide easement along all roads rights-of-way and a five (5) foot wide easement along the side and rear lines of each and every lot for the purpose of installing, operating, and maintaining utility lines and mains thereon, together with the right to trim and/or cut or remove any trees and/or brush and the right to locate guy wires, braces and anchors wherever necessary for said installation, operation or maintenance (a map or maps showing location of utility poles, guy wires, braces, and other appurtenances has or will be filed for record and shall constitute an easement as shown on said map or maps) together with the right to install, operate and maintain gas and water mains and appurtenances thereto; sewer lines, culverts and drainage ditches, reserving also the rights of ingress and egress to such areas for any of the purposes mentioned above. Except where an owner of two or more adjoining lots constructs a building which will cross over or through CEERTICE COMMISSION mon lot line, said common lot line shall not be subject to the aforementioned five (**SPERTICE COMMISSION** Easement except as shown on above mentioned utility maps. Also except that no easEFECTIVE

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FEB 01 1995

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: <u>Andras C. Meel</u> FOR THE PURSUA COMP ment shall exist on that portion of any waterfront lot running along or abutting the shoreline of Elk Lake. Elk Lake Development Corporation for itself, its successors, assigns and licensees also reserves the right to cause or permit drainage of surface waters over and/or through said lots. The owner of said lots shall have no cause of action against Elk Lake Development Corp., its successors, assigns or licensees either at law or in equality excepting in cases of willful negligence, by reason of any damages caused said lots in installing, operating or maintaining above installations.

8. These restrictions shall be considered as covenants running with the land and shall bind the purchasers, their heirs, executors, administrators and assigns, and if said owners, their heirs, executors, successors or assigns shall violate or attempt to violate any of the covenants or restrictions herein contained, it shall be lawful for any person or persons owning any such lots in the subdivision to prosecute any proceeding at law or in equity against the person or persons violating or attempting to violate any such covenants or restrictions and either to prevent him or them from so doing, or to recover damages for such violation. All of the restrictions, conditions, covenants or agreements contained herein shall continue until January 1, 1970. The same may be thereafter, and from time to time, changed, altered, amended or revoked in whole or in part by the owners of the lots in the subdivision whenever, by written vote, at least 2/3 of the purchasers who have paid dues for the year immediately prior to the year for which the change, alteration, amendment or revocation of the restrictions, covenants or agreements contained herein are sought, so concur. Provided however that no changes shall be made which might violate the purpose set forth in Restriction #1. Any invalidation of any one of these covenants and restrictions shall in no way affect any other of the provisions thereof which shall thereafter remain in full force and effect.

9. Each purchaser in Elk Lake Shores shall be subject to annual dues of \$350.00, which purchaser agrees to pay to the Elk Lake Property Owners Association, its successors and assigns, annually on the first day of March, commencing the second year following the date of this agreement, for the maintenance and upkeep of the various areas reserved for the use of the property owners, irrespective of whether the privileges of using said areas are exercised or not. Purchaser further agrees that the use of any of the common areas of Elk Lake Shores, its facilities, lake or any of the various areas reserved for the use of the property owners shall be subject to and entirely contingent upon purchaser's membership in good standing in Elk Lake Property Owners Association and compliance with all regulations, resolutions, and by-laws from time to time promulgated by Elk Lake Property Owners. Purchaser further agrees that the DUES as herein set forth shall be and constitute a debt which may be collected by suit in any Court of competent jurisdiction, or otherwise. Purchaser agrees that jurisdiction and venue over any dispute between SERVICE COMMISSION OF KENTUCKY Lake **EFFECTIVE**

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FEB 01 1995

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Quiden C. Meel FOR THE PRODUCTION OF THE PROPERTY OF THE PRODUCTION OF THE PROPERTY OF THE PROPER Property Owners Association and purchaser, including but not limited to collection of dues, shall be vested exclusively in Kentucky courts of justice sitting in Owen County, Kentucky; AND that upon the conveyance of any part of the lands described herein, the grantee thereof, and each and every successive owner and/or owners shall from the time of acquiring title and by acceptance of such title by deed or otherwise, be held to have covenanted and agreed as aforesaid to pay Elk Lake Property Owners Association, its successors and assigns, all charges AND/OR DUES, past and/or future, as provided for in, and in strict accordance with, the terms and provisions hereof.

Each purchaser in Elk Lake Shores subdivision may be subject to assessment of additional charges for maintenance, upkeep or improvement of the various areas reserved for the use of the property owners only under the following conditions:

- (a) The purpose of any proposed assessment must be for a specified item of maintenance, upkeep or improvement of the various common areas reserved for the use of property owners only, and no other purpose.
- (b) Elk Lake Property Owners Association must be unable to pay for that specified item out of its general operating budget during that fiscal year in which the item is proposed to be undertaken.
- (c) The expenditure must be proposed at a regular meeting of the Board of Directors. Before any further action on that proposal can be taken, at least 2/3 of the number of members of the Board of Directors, then serving, must concur in the expense.
- (d) Upon approval of the expenditure by 2/3 of the number of members of the Board of Directors, then serving, the proposal must then be set out in writing and sent by mail to all members of Elk Lake Property Owners Association who are entitled to vote at the next membership meeting not more than 45 days and not less than 21 days prior to the Annual Meeting of members.
- (e) The written vote of 2/3 of the members entitled to vote in favor of the expenditure shall be required for approval of the assessment.
- (f) If approved as aforesaid, the expenditure may be funded by a special assessment levied upon each property owner in Elk Lake Shores, equally.

PUBLIC SERVICE COMMISSION DF KENTUCKY EFFECTIVE

FEB 01 1995

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PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Conden C. Nul FOR THE PLALE DESIGN COMMISSION (g) The amount of any such levy shall become a charge upon the property owner and shall also be paid as part of an initiation fee by any new member who has not, at the time of assessment, been accepted for membership in Elk Lake Property Owners Association. All such assessments shall continue to accumulate into an initiation fee until canceled by the same procedure as was used to validate the assessment as set out above

Annual dues may be increased only upon the written vote of a majority of those members who have paid dues for the year immediately prior to the year in which a dues change is sought. Dues increases shall be binding upon all owners upon written validation of the vote by the President and Secretary of Elk Lake Property Owners Association.

Whenever notice of any action is required by this deed, the by-laws, or by any court of competent jurisdiction to be sent to a purchaser, notice sent by first class mail, postage prepaid, to the last known address as it appears in the records of Elk Lake Property Owners Association shall be deemed sufficient for any purpose. Whenever notice to Elk Lake Property Owners Association is required, notice sent by first class mail, postage prepaid, RR #4, Owenton, Kentucky 40359, shall be deemed sufficient.

It is understood and agreed that all of the above mentioned dues, assessments and obligations, if unpaid, constitute a lien on or against said lot, tract or parcel of land, which lien shall be equal to and shall participate jointly with other first liens placed on said land and inferior only to those imposed for governmental purposes.

The Purchaser agrees to conditions for water service in accordance with the Water Service Agreement now or heretofore executed, which Water Service Agreement is in words and figures as follows: The Purchaser agrees that as a consideration of sale, and as a condition precedent to the installation of water mains adjacent to the lot herein described on the map of Elk Lake Shores, which said mains are to be located by the Seller, or its assigns, that the undersigned Purchaser jointly and severally promise to pay to the Seller, or order, the payments herein set forth: (a) \$3.00 per month, payable monthly on the first day of the month beginning with which ever month immediately follows availability OF KENTLICKY of water service to said Purchaser, whether or not actual con-EFFECTIVE nection is made by Purchaser to mains.

PUBLIC SERVICE COMMISSION

- continued -

PURSUANT TO 807 KAR 5:011. SECTION 9(1) BY: Outon C. March

FEB 01 1995

(b) The Seller or its assigns, upon receiving a written request from purchaser and \$360.00, will install a water connection from the main to Purchaser's lot line. Upon installation of water connection, in place of payment as described in paragraph (a) above, the monthly minimum fee will be \$20.95, payable monthly so long as water is available for use. The \$360.00 connection fee is subject to change on or after January 1, 1963, if request and payment has not theretofore been made for said service.

It is understood and agreed that the above mentioned consideration, if unpaid, shall constitute a lien on or against said lot, tract or parcel of land, which lien shall be equal to and shall participate jointly with other first liens for construction purposes hereafter placed on said land, but inferior to those imposed for governmental purposes. Exceptions and further explanations pertaining to conditions for water service have been recorded in the Office of the Clerk of Owen County, Kentucky and are hereby incorporated in and expressly made part of this agreement.

Purchaser agrees to pay taxes on the property herein conveyed for current and subsequent years.

TO HAVE and TO HOLD the same with all the appurtenances thereunto belonging unto the PURCHASER, his, her or their heirs and assigns forever.

IN TESTIMONY WHEREOF, Elk Lake Development Corporation, has caused this conveyance to be signed and delivered on its behalf, by its duly authorized officers on this ______ day of ______ 19____.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

FEB 01 1995

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: <u>Cardina</u> G. Huel FOR THE PLACED DERVICE COMMISSION

WATER USER CONTRACT

WITNESSETH:

The undersigned Customer does hereby agree to purchase water from the Water Company and to pay all initial installation and connection fees, together with all standard monthly water charges which may be fixed by The Board Of Directors of the Elk Lake Water Company and/or Utility Regulatory Commission for the Commonwealth of Kentucky. The Customer agrees to pay each consecutive monthly payment, at all appropriate rates, for water service, when due, and to further comply with, and be bound by the provisions of the policy and/or amendments of the Water Company together with such rules and regulations as may, from time to time, be adopted by the Water Company.

The Customer Agrees to permit the Water Company to lay, maintain, repair, remove, and disconnect a service line and meter, and read such meter at a point on Customer's property to be designated by the Water Company for each meter, with the right of ingress and egress in these purposes over Customer's property, and further to grant a Water Line Easement to the Water Company for the construction and operation of said water line.

The Customer will install and maintain a service in at his own expense, which service line will begin at the water meter and extend to the dwelling or other portions of Customer's property. The Customer assumes responsibility for any damage to metering equipment in making such connection to the meter or water main.

The Customer agrees that the water meter may be located at any point along the Customer's property, at the closest point to the existing water line, or at some other point which is deemed to be most cost effective to the Water Company.

The Water Company agrees to provide to the Customer, potable water at reasonable pressure and volume, provided, however, the Customer acknowledges that there is no obligation to provide such water service, unless a water main has been constructed and public SERVICE COMMISSION. Installed, adjacent to, or in proximity with, the property of the Customer and Electromer and Electrome

JAN 8 1993

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The Water Company acknowledge receipt of \$350.00 to be applied to the initial installation fee, which total fee will be established by the Board of Directors of the Water Company.

SOCIAL SECURITY #

TELEPHONE #

CUSTOMER

This proposal is accepted and this Contract is made on this the ______ day of ______, 19_____.

ELK LAKE WATER COMPANY

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JAN 8 1993

PURSUANT TO 807 KAFI 5:011. SECTION 9 (1) BY: <u><u>Horger Heller</u> PUBLIC SERVICE COMMISSION MANAGE</u>

2

	Ex	hibi	it 1
Page	31	of	34

Form for filing Rate Schedules	FOR TRI-VILLAGE WATER DISTRICT Community, Town or City		
	P.S.C. KY. NO. 99-274		
∕~	SHEET NO14		
I'RI-VILLAGE WATER DISTRICT	CANCELLING P.S.C. KY NO. 99-177		
	SHEET NO. 14		

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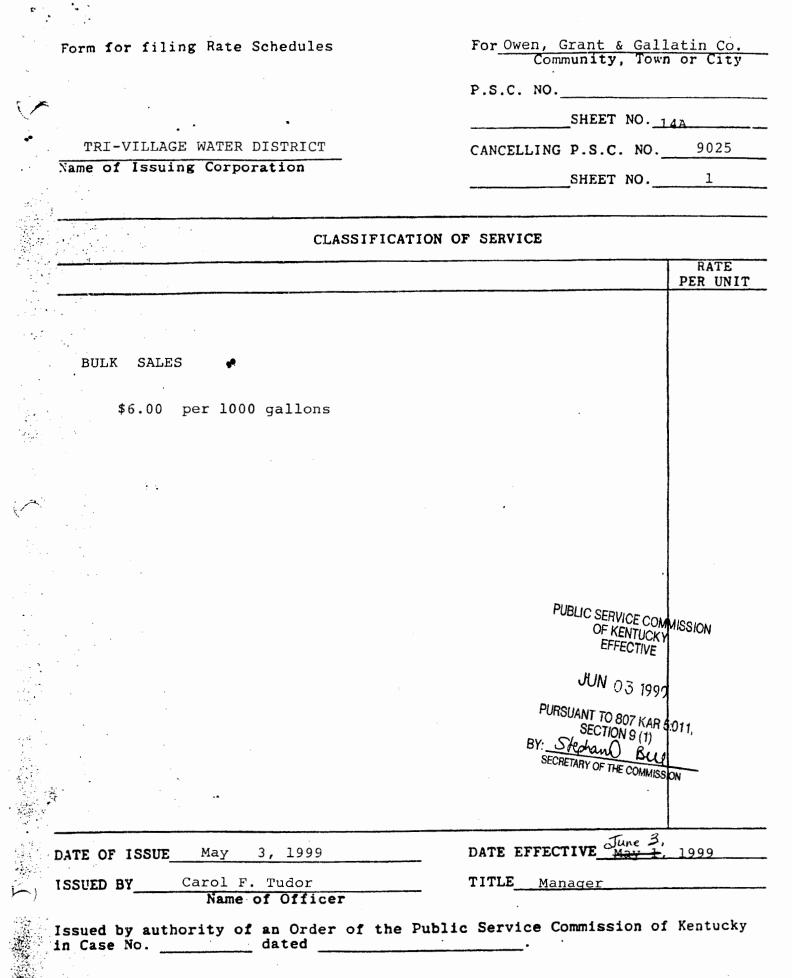
APPENDIX A

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 99-274 DATED July 26, 1999.

The following rates and charges are prescribed for the customers in the area served by Tri-Village Water District. All other rates and charges not specifically mentioned herein shall remain the same as those in effect under authority of the Commission prior to the effective date of this order.

		MONTHLY WATE	ER RATES
			PUBLIC SERVICE COMMISS OF KENTUCKY EFFECTIVE
	First Next Next Next Over	2,000 gallons 4,000 gallons 4,000 gallons 10,000 gallons 20,000 gallons	JUL 26 1997 \$19.40 minimum bill 6.22 per 1,000 gallons PURSUANT TO 807 KAR 5:011. 6.10 per 1,000 gallons SECTION 9(1) 5.98 per 1,000 gallons BY: Stepand But 5.74 per 1,000 gallons SECRETARY OF THE COMMISSION
DATE OF	ISSUE J	une 24, 1999 TH DATE / YEAR	DATE EFFECTIVE July 26, 1999 MONTH DATE YEAR
SSUED P		Nos J. Nosl es F. Noel ATURE OF OFFICER	TITLE Chairman
	y authorit 274		he Public Service Commission of Kentucky in Ca 26, 1999

Exhibit 1 Page 32 of 34



APPENDIX A

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 93-073 DATED APRIL 13, 1993

The following rates and charges are prescribed for the customers in the area served by Tri-Village Water District. All other rates and charges not specifically mentioned herein shall remain the same as those in effect under authority of this Commission prior to the effective date of this Order.

Tap-On Fees

4

5/8 x 3/4 Inch Meters	\$530
Larger Meters	Actual cost of installation
Service Run	\$23 ** (refer below)

Collection of Delinquent Bill	\$23
Reconnection Charge	\$33
Meter Test Request	\$29
Returned Check	\$10
Deposit for New Service	\$40

** This applies anytime the service men come to remove the meter for non-payment of the bill and the customer pays while they are there or tells them that they will come to the office to pay the bill.

> PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

> > JUN 25 1993

2	F	Exhibit 1 Page 34 of 34
	Communaty, Jan	ית טוי נוזאי
ĩ	P.S.C. NO. 1	
	Original SHEET NO.	6
Village Water District	CANCELLING P.S.C. NO.	
of Issuing Corporation	SHEET NO.	
	,	
CLASSIFICATION	OF SERVICE	
		RATE PER UNIT
PURCHASED WATER ADJUST ation Form to be used for purchased with dance with 807 KAR 5:067, Purchased With opted by the Public Service Commission	ater adjustments in ater Adjustment Clause,	
olume of water purchased for 12-month eriod ended	M Gal.	
ost at new rates	\$	
os at Base Rate	\$	
otal change in cost (Item 2 minus tem 3)	\$	
olume sold for same period as n Item 1 .	M Gal.	
WA per M gallon sold Item 4 divided by Item 5)	¢	
1: Item 1 cannot, for this computatio Item 5 divided by .85.		
PUBLIC SERVICE COMMISSION <u>Supplier</u> OF KENTUCKY EFFECTIVE	Rate	
ity of Gwenton JAN 1 1982	\$.79 per 1,000 gallons	
PURSUANT TO 807 KAR 5:011, SECTION 9:12 BY: Jinan Cheef		
OF ISSUE 5-4-82	DATE EFFECTIVE 1-1-82,	· · · · · · · · · · · · ·
, William a Payne Name of Officer	TITLE Chairman	

1 by authority of an Order of the Public Service Commission of Kentucky se No. <u>7604-1</u> dated <u>6-15-82</u>