

COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC INVESTIGATION INTO THE	)	
FINANCIAL AND OPERATING CAPACITY OF	)	
RATTLESNAKE RIDGE WATER DISTRICT	)	
INCLUDING RATTLESNAKE RIDGE WATER	)	
DISTRICT AND ITS INDIVIDUAL	)	CASE NO.
COMMISSIONERS, AND MANAGER DAVID	)	2021-00340
GIFFORD FOR ALLEGED FAILURE TO COMPLY	)	
WITH KRS 278.300 AS WELL AS POSSIBLE	)	
VACANCIES ON THE BOARD OF	)	
COMMISSIONERS OF RATTLESNAKE RIDGE	)	
WATER DISTRICT	)	

COMMISSION STAFF'S EIGHTH REQUEST FOR INFORMATION  
TO RATTLESNAKE RIDGE WATER DISTRICT

Rattlesnake Ridge Water District (Rattlesnake Ridge District), pursuant to 807 KAR 5:001, shall file with the Commission an electronic version of the following information. The information requested is due on May 28, 2024. The Commission directs Rattlesnake Ridge District to the Commission's July 22, 2021 Order in Case No. 2020-00085<sup>1</sup> regarding filings with the Commission. Electronic documents shall be in portable document format (PDF), shall be searchable, and shall be appropriately bookmarked.

Each response shall include the question to which the response is made and shall include the name of the witness responsible for responding to the questions related to the information provided. Each response shall be answered under oath or, for

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<sup>1</sup> Case No. 2020-00085, *Electronic Emergency Docket Related to the Novel Coronavirus COVID-19* (Ky. PSC July 22, 2021), Order (in which the Commission ordered that for case filings made on and after March 16, 2020, filers are NOT required to file the original physical copies of the filings required by 807 KAR 5:001, Section 8).

representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or the person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

Rattlesnake Ridge District shall make timely amendment to any prior response if Rattlesnake Ridge District obtains information that indicates the response was incorrect or incomplete when made or, though correct or complete when made, is now incorrect or incomplete in any material respect.

For any request to which Rattlesnake Ridge District fails or refuses to furnish all or part of the requested information, Rattlesnake Ridge District shall provide a written explanation of the specific grounds for its failure to completely and precisely respond.

Careful attention shall be given to copied and scanned material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When applicable, the requested information shall be separately provided for total company operations and jurisdictional operations. When filing a paper containing personal information, Rattlesnake Ridge District shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

1. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>2</sup>

Provide the following information for “the repainting and rehabilitating three storage tanks” project.

a. A list of all assets purchased in relation to the project including the cost of those assets.

b. The useful life of each asset.

c. The date in service of each asset.

d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338<sup>3</sup>, Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

2. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>4</sup>

Provide the following information for “the installation of water lines on 11 different roads” project.

a. A list of all assets purchased in relation to the project including the cost of those assets.

b. The useful life of each asset.

c. The date in service of each asset.

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<sup>2</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

<sup>3</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>4</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338<sup>5</sup>, Application Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

3. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>6</sup> Provide the following information for “construction of a new office” project.

a. A list of all assets purchased in relation to the project including the cost of those assets.

b. The useful life of each asset.

c. The date in service of each asset.

d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>7</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

4. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>8</sup> Provide the following information for “purchase of a portable generator that can be moved to any of the pump stations in the system during emergency power outages” project.

a. A list of all assets purchased in relation to the project including the cost of those assets.

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<sup>5</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>6</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

<sup>7</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>8</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

- b. The useful life of each asset.
- c. The date in service of each asset.
- d. Whether the asset was included in the depreciation schedule

provided in Case No. 2023-00338,<sup>9</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls. along with the asset number

5. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>10</sup>

Provide the following information for “upgrade of the Diamond Ridge pump station” project.

- a. A list of all assets purchased in relation to the project including the cost of those assets.
- b. The useful life of each asset.
- c. The date in service of each asset.
- d. Whether the asset was included in the depreciation schedule

provided in Case No. 2023-00338<sup>11</sup>, Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

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<sup>9</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>10</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

<sup>11</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

6. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>12</sup>

Provide the following information for “installation of a new PRV station at Huff Run Road” project.

- a. A list of all assets purchased in relation to the project including the cost of those assets.
- b. The useful life of each asset.
- c. The date in service of each asset.
- d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>13</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

7. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>14</sup>

Provide the following information for “upgrade of the water treatment plant (WTP) SCADA system” project.

- a. A list of all assets purchased in relation to the project including the cost of those assets.
- b. The useful life of each asset.
- c. The date in service of each asset.

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<sup>12</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

<sup>13</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>14</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>15</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

8. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>16</sup> Provide the following information for “construction of a new 250,000-gallon elevated storage tank and new pump station on highway KY 504” project.

a. A list of all assets purchased in relation to the project including the cost of those assets.

b. The useful life of each asset.

c. The date in service of each asset.

d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>17</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

9. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>18</sup> Provide the following information for “rehabilitate the Diamond Ridge, Gregoryville No. 2 and Route 7 storage tanks” project.

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<sup>15</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>16</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

<sup>17</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*

<sup>18</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

- a. A list of all assets purchased in relation to the project including the cost of those assets.
- b. The useful life of each asset.
- c. The date in service of each asset.
- d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>19</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

10. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>20</sup> Provide the following information for “demolition of two tanks on KY 504” project.

- a. A list of all assets purchased in relation to the project including the cost of those assets.
- b. The useful life of each asset.
- c. The date in service of each asset.
- d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>21</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

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<sup>19</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>20</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

<sup>21</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

11. Refer to Case No. 2018-000371, December 4, 2018 Order, pages 1–2.<sup>22</sup>

Provide the following information for “construction of a cover over the backwash sludge pile at the WTP or purchase of a sludge container filter” project.

- a. A list of all assets purchased in relation to the project including the cost of those assets.
- b. The useful life of each asset.
- c. The date in service of each asset.
- d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>23</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

12. Refer to Case No. 2022-00426,<sup>24</sup> February 17, 2023 Order, page 1. Provide the following information for Rattlesnake Ridge District’s plan to replace 4,000 customer meters with new radio meters.

- a. A list of all assets purchased in relation to the project including the cost of those assets.
- b. The useful life of each asset.
- c. The date in service of each asset.

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<sup>22</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct, Finance and Increase Rates Pursuant to KRS 278.023* (Ky. PSC Dec. 4, 2018).

<sup>23</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>24</sup> Case No. 2022-00426, *Electronic Application of Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct a System Improvements Project and an Order Approving a Change in Rates and Authorizing the Issuance of Securities Pursuant to KRS 278.023* (Ky. PSC Feb. 17, 2023).

d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>25</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

13. Refer to Case No. 2022-00426,<sup>26</sup> February 17, 2023 Order, page 1. Provide the following information for Rattlesnake Ridge District's plan for the installation of nine new system wide meters as well as 14,500 linear feet of new water main and the replacement of 700 existing service lines and meter assemblies.

a. A list of all assets purchased in relation to the project including the cost of those assets.

b. The useful life of each asset.

c. The date in service of each asset.

d. Whether the asset was included in the depreciation schedule provided in Case No. 2023-00338,<sup>27</sup> Application, Attachment 4, Depreciation Expense Adjustments Table, and Item 7, 7\_Dep\_schedule\_2022.xls along with the asset number.

14. Provide a listing of all current debts that Rattlesnake Ridge District has. With this list, identify the case number the debt was authorized by the Commission, specifically identifying the debt from Case No. 2022-00426, Case No. 2018-00371<sup>28</sup>, and

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<sup>25</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>26</sup> Case No. 2022-00426, *Electronic Application of Rattlesnake Ridge Water District for a Certificate of Public Convenience and Necessity to Construct a System Improvements Project and an Order Approving a Change in Rates and Authorizing the Issuance of Securities Pursuant to KRS 278.023* (Ky. PSC Feb. 17, 2023).

<sup>27</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076*.

<sup>28</sup> Case No. 2018-00371, *Application of the Rattlesnake Ridge District for a Certificate of Public Convenience and Necessity to Construct, Finance, and Increase Rates Pursuant to KRS 278.023*.

Case No. 2020-00086.<sup>29</sup> If the debt was not authorized by the Commission, provide a statement about why the debt was not authorized.

15. Refer to Rattlesnake Ridge District's response to Commission Staff's First Request for Information, Item 1c, 2023 Board Minutes for the month of April, specifically the following: "Late bills addressed; No late fees for the month of April, due to postal issues but we will proceed with shutoffs for non-payments." Provide the tariff provision that allowed for this action.

16. Provide an itemized list of the funding sources and corresponding expenditures for the projects approved in Case No. 2022-00426. Include the following information:

- a. Amount of money spent;
- b. An accounting of any funds not disbursed but owed;
- c. Any remaining funds for use; and
- d. Any other information related to the above required information.

17. Refer to Revised Rate Study Excel File, Miscellaneous Service Revenues filed on November 30, 2023, in Case No. 2023-00338.<sup>30</sup> For each of the following provide an explanation of each line item; why the charge contains a debt or credit; the cost allocation sheet for each line item; what funds were used or received for each item; and the corresponding tariff provision associated with each item. If there is no corresponding tariff provision, explain why not.

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<sup>29</sup> Case No. 2020-00086, *Electronic Application of the Rattlesnake Ridge Water District to Issue Securities in the Approximate Principal Amount of \$3,420,000 for the Purpose of Refunding Certain Outstanding Obligations of the District Pursuant to the Provisions of KRS 278.300 and 807 KAR 5:001.*

<sup>30</sup> Case No. 2023-00338, *Electronic Application of Rattlesnake Ridge Water District for a Rate Adjustment Pursuant to 807 KAR 5:076.*

- a. Line Item 6- Return Check Fee Charge.
- b. Line Item 8- Fee for reject/return Invoice closed.
- c. Line Item 9- Service Charge.
- d. Line Item 12- Replaced Meter.
- e. Line Item 13- Posted to wrong account.
- f. Line Item 14- Leak Adjustment.
- g. Line Item 15- Late Fee.
- h. Line Item 16- Deposit/Overpay Refund.
- i. Line Item 17- Bad Regulator.
- j. Line Item 18- Leak on District side.
- k. Line Item 19- Posting Error.
- l. Line Item 21- Fee for reject/return Invoice Cloud.
- m. Line Item 22- Reject/Return payment from Invoice Cloud
- n. Line Item 23- Water Theft.
- o. Line Item 24- Stuck Meter.
- p. Line Item 25- Misc.
- q. Line Item 26- Service Charge.
- r. Line Item 27- Adh. Empl. Water Credit.
- s. Line Item 28- Wrote Off.
- t. Line Item 29- After hrs reconnect.
- u. Line Item 30- O Usage.
- v. Line Item 32- Leak A.
- w. Line Item 33- Army mishap.

- x. Line Item 34- Misread B.
- y. Line Item 35- Billing C.
- z. Line Item 36- Estimated HI.
- aa. Line Item 37- Past Mark E.
- bb. Line Item 38- Estimated Low.
- cc. Line Item 39- Posting Error.
- dd. Line Item 40- Deposit Refund.

18. Explain what efforts Rattlesnake Ridge District is currently taking to improve its record keeping and bookkeeping.

19. Provide the Annual Financial and Statistical Report for 2023 that was due to be filed the Commission no later than March 31, 2024. If this report has not been completed, explain the reason for the delay, whether Rattlesnake Ridge District has filed an extension, and when Rattlesnake Ridge District expects to complete the report.

20. Provide the Report of Gross Operating Revenues for 2023 that was due to be filed the Commission no later than March 31, 2024. If this report has not been completed, explain the reason for the delay, whether Rattlesnake Ridge District has field an extension, and when Rattlesnake Ridge District expects to complete the report.



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Executive Director  
Public Service Commission  
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DATED     MAY 17 2024    

cc: Parties of Record

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