

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION FOR APPROVAL FOR)	
TRANSFER OF ASSETS FROM PINEWOOD)	CASE NO.
SUBDIVISION INC. TO WESTERN MASON)	2015-00383
SANITATION DISTRICT)	

COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION
TO PINEWOOD SUBDIVISION INC. AND WESTERN MASON SANITATION
DISTRICT

Pinewood Subdivision Inc. ("Pinewood") and Western Mason Sanitation District ("Western Mason") (collectively "Joint Applicants"), pursuant to 807 KAR 5:001, are to file with the Commission the original and five copies of the following information, with a copy to all parties of record. The information requested herein is due within 14 days of this request. Responses to requests for information shall be appropriately bound, tabbed and indexed. Each response shall include the name of the witness responsible for responding to the questions related to the information provided.

Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

Joint Applicants shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. For any request to which Joint Applicants fail or refuse to furnish all or part of the requested information, they shall provide a written explanation of the specific grounds for their failure to completely and precisely respond.

Careful attention should be given to copied material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When applicable, the requested information shall be separately provided for total utility operations and jurisdictional operations. When filing a paper containing personal information, Joint Applicants shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

1. Refer to the Application, page 4, Item 11, where it states that “[p]ursuant to the Assets Purchase Agreement, Pinewood is conveying the Pinewood WWTP and collection system to Western Mason.” Provide a copy of the “Assets Purchase Agreement.”

2. The *Annual Report of Pinewood Subdivision Inc., to the Public Service Commission for the Year Ended December 31, 2014* (“2014 Annual Report”) at page 13 of 44 does not contain an end of year balance amount for customer deposits.

a. Confirm that Pinewood does not hold any customer deposits.

b. If Pinewood holds any customer deposits, state whether Pinewood will refund the deposits that it holds, transfer the deposited funds to Western Mason, or apply or transfer the funds to another use, then identify the other use.

3. Provide a schedule that lists all rates and fees that Western Mason charges its current wastewater customers.

4. Pinewood's current tariff on file with the Commission includes the following rates and charges:

Monthly Residential Sewer Charge	\$22.05
Returned Check Charge	25.00
Late Payment Penalty	10 percent

a. Provide a list of all rates and charges that Western Mason will assess to Pinewood's customers subsequent to the transfer.

b. Provide a copy of the customer notice that was provided to Pinewood's customers for any rate listed in response to Item 7.a. that is not included in Pinewood's current tariff on file with the Commission.

5. Refer to the Application, page 3, Item 8.

a. Provide a copy of Chad Clark's certified wastewater treatment operator license and wastewater collection operator license that is currently in effect.

b. It states that "[f]or the year ending June 30, 2014, Western Mason had total operating revenues of \$226,940.00 and total operating expenses of \$261,845.00."

(1) Provide a copy of Western Mason's most recent audited financial statements.

(2) If no audited financial statements are available, provide a copy of Western Mason's most recently compiled financial statements.

6. List and describe all material changes to Western Mason's financial condition since the date of the financial statements provided in response to question 8.

7. Refer to the Application, page 4, Item 10. It states that "[u]nder the Agreement, Pinewood will transfer to Western Mason the WWTP and Collection System, appurtenances and easements currently servicing the Pinewood service area located in or near Pinewood Subdivision in Mason County, Kentucky." State whether Western Mason intends to remove the Pinewood wastewater treatment facilities from service and divert the wastewater collected from the Pinewood service area to Western Mason's collection facilities. If yes, state the anticipated date of removal.



Jeff Derouen
Executive Director
Public Service Commission
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DATED DEC 15 2015

cc: Parties of Record

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