

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 27, 2024***2019-00156**

RECEIVED: 07/05/19

FILED: 07/05/19

FINAL: 05/01/20

REOPENED:

SUSPENSION DATE:

CASE NATURE: Keith Gutterman - Alleged Violation of Underground Facility Damage Prevention Act

CASE CODE

Damage Prevention

HEARINGS:

02/28/20 Formal Hearing

01/24/20 Formal Hearing

09/27/19 Formal Hearing

08/09/19 Formal Hearing

INDEX OF EVENTS:

05/01/20 Notice of Satisfaction

04/30/20 PSC Receipt of Payment - Keith Gutterman

04/29/20 Keith Gutterman Penalty Payment

03/03/20 Final Order Entered: 1. Keith Gutterman is assessed a fine of one thousand two hundred fifty dollars (\$1,250) for violation of KRS 367.49 11 (1)(a) for failure to provide Louisville Gas and Electric Company, the operator of an underground facility serving the public, with notification of his intended work and work schedule not less than two (2) nor more than ten (10) full working days prior to commencing work. 2. Keith Gutterman shall pay one thousand two hundred fifty dollars (\$1,250) within thirty (30) days of the date of this Order by cashier's check or money order payable to the Kentucky State Treasurer and mailed or delivered to the office of the Kentucky Public Service Commission at 211 Sower Boulevard, Frankfort, KY 40602. 3. As a first time violator of the Act, Keith Gutterman is eligible for mitigation of penalty as established by the Division of Inspections informal policy and past practice by making a payment of one hundred dollars (\$100) and attending a damage prevention training course to be held at the offices of the PSC at 211 Sower Boulevard, Frankfort, Kentucky on April 6, 2020 at 1:00 p.m. If Keith Gutterman attends the above training course and on or before that date pays a civil penalty in the amount of one hundred dollars (\$100), the additional one thousand two hundred fifty dollars (\$1,250) assessed against him in numerical paragraph 2 will be vacated. THIS IS A FINAL AND APPEALABLE ORDER OF THE PUBLIC SERVICE COMMISSION.

02/13/20 Notice of Filing

02/04/20 Notice of Filing Hearing Documents

01/30/20 Order Entered: 1. The hearing scheduled for January 24, 2020, is continued until February 28, 2020. 2. Mr. Gutterman shall appear on February 28, 2020, at 9 a.m. Eastern Standard Time, in the Richard Raff Hearing Room (Hearing Room 1) of the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky.

12/13/19 Notice of Filing

11/22/19 Order Entered: 1. Keith Gutterman shall appear on January 24, 2020, at 9 a.m. Eastern Standard Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard in Frankfort, Kentucky, for the purpose of presenting evidence concerning the alleged violation of the Underground Facility Damage Prevention Act, and showing cause why it should not be subject to the penalties prescribed in KRS 367.4917(1) and (4) for this alleged violation. 2. Any partnership, corporation, or business entity (including a limited liability company) must be represented by an attorney licensed to practice law in Kentucky, and such representation includes the filing of papers with the Commission and appearances at hearings before the Commission. 3. The January 24, 2020 hearing shall be recorded by video only.

11/15/19 Notice of Filing Evidence of Successful Service

11/04/19 Order Entered: 1. Mr. Gutterman shall submit to the Commission a written response to the allegations contained in the Staff Incident Report within 20 days of receipt of this Order. 2. Any partnership, corporation, or business entity (including a limited liability company) must be represented by an attorney licensed to practice law in Kentucky, and such representation includes the filing of papers with and appearances at hearings before the Commission. 3. The Staff Incident Report 20849 in the Appendix to this Order is made a part of the record in this case. 4. Please note that notice of a hearing to be held in this matter will be mailed to Mr. Gutterman once proof of service is received by the Commission. Parties who fail to appear after service will be subject to entry of a default judgment

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 27, 2024*

against them.

10/07/19 Notice of Filing

09/17/19 Notice of Filing Document into the Record

08/27/19 Order Entered: 1. Keith Gutterman shall submit to the Commission a written response to the allegations contained in the Staff Incident Report within 20 days of the date of this Order. 2. Keith Gutterman shall appear on September 27, 2019, at 9 a.m. Eastern Daylight Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard in Frankfort, Kentucky for the purpose of presenting evidence concerning the alleged violation of the Underground Facility Damage Prevention Act, and showing cause why it should not be subject to the penalties prescribed in KRS 367.4917(1) and (4) for this alleged violation. 3. A person shall not file a paper or appear at a hearing on behalf of, or otherwise represent, a partnership, corporation, or business entity (including a limited liability company) or another person unless the person is an attorney licensed to practice law in Kentucky. 4. The September 27, 2019 hearing shall be recorded by videotape only. 5. The Staff Incident Report in the Appendix to this Order is made a part of the record in this case. 6. This Order shall be served via certified mail, return receipt accepted, to Keith Gutterman, 9906 Callie Drive, Fairdale, Kentucky, 41074.

08/21/19 Order Entered: This case shall be and is hereby continued generally, to be reassigned for hearing after process has been served upon Keith Gutterman.

08/20/19 Notice of Filing Hearing Documents

08/08/19 Notice of Filing

07/05/19 Order Entered: 1. Keith Gutterman shall submit to the Commission a written response to the allegations contained in the Staff Incident Report within 20 days of the date of this Order. 2. Keith Gutterman shall appear on August 9, 2019, at 9 a.m., Eastern Daylight Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard in Frankfort, Kentucky, for the purpose of presenting evidence concerning the alleged violation of the Underground Facility Damage Prevention Act, and showing cause why it should not be subject to the penalties prescribed in KRS 367.4917(1) and (4) for this alleged violation. 3. The August 9, 2019 hearing shall be recorded by videotape only. 4. A person shall not file a paper or appear at a hearing on behalf of, or otherwise represent, a partnership, corporation, business entity or other person unless the person is an attorney licensed to practice law in Kentucky. 5. The Staff Incident Report in the Appendix to this Order is made a part of the record in this case.

Total Number of Cases: 1