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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of May 02, 2024***2018-00291**

RECEIVED: 09/28/18

FILED: 10/11/18

FINAL: 03/26/19

REOPENED:

SUSPENSION DATE: 04/09/19

CASE CODE

Financing

Tariffs

Rates

UTILITIES:

Northern Kentucky Water District

HEARINGS:

02/27/19 Formal Hearing

02/08/19 Informal Conference

01/24/19 Public Meeting

INDEX OF EVENTS:

04/17/20 POST CASE: Stacey Kampsen Northern Kentucky Water District Legal Ads and Affidavits

02/14/20 Order Entered nunc pro tunc, that: 1. The rate in Appendix C, column labeled "Phase 2 Per Month" for a 4-inch meter contained in Appendix C is stricken and is replaced with \$89.50. 2. All other provisions of the Commission's March 29, 2019 Order that are not in conflict with the terms of this Order shall remain in effect.

10/08/19 POST CASE: Lindsey Rehtin Northern Kentucky Water District Series 2019 Bond Documents

03/26/19 Final Order Entered: 1. Northern Kentucky District is authorized to issue bonds up to \$19,600,000, but no more than the total amount needed to pay off the BAN proposed to be refinanced as identified in the Application. The loan maturity date and interest rate shall be in accordance with the refinancing program as described in Northern Kentucky District's Application. 2. Northern Kentucky District shall execute the bond issuance as authorized herein. 3. Northern Kentucky District shall provide the Commission an updated version of the Application, Exhibit A, reflecting the cash flow analysis of the bond issuance within 30 days of finalizing the transaction. 4. Within 30 days of the issuance of the bond, Northern Kentucky District shall file with the Commission the loan documentation with a statement setting forth the date or dates of issuance and terms of the new bond authorized, including the interest rate(s). 5. Northern Kentucky District's proposed rates are approved. 6. The Phase 1 rates as set forth in Appendix A to this Order are approved for service rendered by Northern Kentucky District on and after the date of this Order. 7. The Phase 2 rates as set forth in Appendix B to this Order are approved for service rendered by Northern Kentucky District effective on first anniversary of date of this Order. 8. One month prior to effective date of Phase 2 rates, Northern Kentucky District shall publish a notice with the rate schedule as set forth in Appendix C to this Order.

03/13/19 Order Entered: 1. Northern Kentucky District's motion for confidential protection of its responses to Staff's Third Request, Item 15 is granted. 2. The designated materials for which Northern Kentucky District requested confidential treatment shall not be placed in the public record or made available for public inspection for an indefinite period of time, or until further Orders of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Northern Kentucky District shall inform the Commission if the materials in question become publicly available or no longer qualify for confidential treatment. 5. If a non-party to this proceeding requests to inspect materials granted confidential treatment by this Order and the period during which the materials have been granted confidential treatment has not run, then Northern Kentucky District shall have 30 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61.878.

03/08/19 Lindsey Rehtin Northern Kentucky Water District Data Request 1 - 16(c) Follow-Up

03/08/19 Lindsey Rehtin Northern Kentucky Water District Correction of February 11, 2019 Submittal (Data Request 1, Q16c Follow-Up)

03/08/19 Lindsey Rehtin Northern Kentucky Water District Response to Data Request 5

02/28/19 Order Entered: 1. Post-hearing data requests shall be filed in the record on or before March 1, 2019. 2. Responses to post-hearing data requests shall be filed in the record on or before March 11, 2019. 3. This case shall stand submitted for decision by the Commission effective 12:01 a.m., Eastern Standard Time, on March 15, 2019.

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02/28/19 Notice of Filing Hearing Documents

02/28/19 Commission Staff's Fifth Request for Information to Northern Kentucky Water District

02/26/19 Lindsey Rehtin Northern Kentucky Water District Response to Data Request 4

02/25/19 Lindsey Rehtin Northern Kentucky Water District Affidavits

02/20/19 Response E-mail to Jeannine Bell Smith

02/19/19 Order Entered: 1. Northern Kentucky District's motion to submit this case for a decision on the written record is granted. 2. Ordering paragraph 1 of the November 5, 2018 Order is amended to read as follows: A public comment hearing in this matter shall be held on Wednesday, February 27, 2019, at 9 a.m. Eastern Standard Time, at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky. 3. This case shall stand submitted for a decision by the Commission upon the adjournment of the public comment hearing on Wednesday, February 27, 2019. 4. All provisions of the Commission's Order of November 5, 2018, that are not in conflict with this Order shall remain in full force and effect.

02/18/19 Commission Staff's Fourth Request For Information To Northern Kentucky Water District

02/14/19 Order Entered: Northern Kentucky District's motion, to withdraw proposed tariffs with the exception of Exhibit M, Sections II, III, IV, VIII (8), and XIII (1), is granted.

02/14/19 Lindsey Rehtin Northern Kentucky Water District Public Hearing Legal Notice

02/12/19 Lindsey Rehtin Northern Kentucky Water District Responses to Informal Conference Data Request

02/11/19 Lindsey Rehtin Northern Kentucky Water District Motion to Withdraw Certain Tariffs

02/11/19 Lindsey Rehtin Northern Kentucky Water District Motion for Decision on Record and Waiver of Hearing

02/11/19 Memorandum dated 2/11/2019 for Informal Conference of 2/8/2019; Comments, if any, due within five days of receipt

02/11/19 Lindsey Rehtin Northern Kentucky Water District Follow Up to DR 1-16(c)

01/25/19 Stacey Kampsen Northern Kentucky Water District Data Request 3 Supplemental Response

01/22/19 Order Entered: 1. Northern Kentucky District's motion for an informal conference is granted. 2. An informal conference shall be scheduled on Friday, February 8, 2019, at 2 p.m. Eastern Standard Time, at the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky. 3. Commission Staff will contact participants by electronic mail to provide details for joining the conference by telephone.

01/16/19 Lindsey Rehtin Northern Kentucky Water District Motion for Informal Conference

01/11/19 Stacey Kampsen Northern Kentucky Water District Data Request 1 Follow Up

01/11/19 Lindsey Rehtin Northern Kentucky Water District Response to DR 3-15

01/08/19 Lindsey Rehtin Northern Kentucky Water District Responses to Third Data Request

01/08/19 Stacey Kampsen Northern Kentucky Water District Data Request 2 Supplemental Response

12/17/18 Commission Staff's Third Request for Information to Northern Kentucky Water District

12/14/18 Lindsey Rehtin Northern Kentucky Water District Data Request 1 Follow Up

12/11/18 Order Entered nunc pro tunc, that: 1. The following language is inserted on page 2 of the Order immediately before ordering paragraph 1:
IT IS THEREFORE ORDERED that: 2. All provisions of the December 5, 2018 Order that are not in conflict with the terms of this Order shall remain in effect.

12/05/18 Order Entered: 1. Northern Kentucky District's motion for confidential protection of its responses to Staff's First Request, Items 8(a) and 15, is granted. 2. The designated materials for which Northern Kentucky District requested confidential treatment shall not be placed in the public record or made available for public inspection for an indefinite period of time, or until further Orders of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Northern Kentucky District shall inform the Commission if the materials in question become publicly available or no longer qualify for confidential treatment. 5. If a non-party to this proceeding requests to inspect materials granted confidential treatment by this Order and the period during which the materials have been granted confidential treatment has not run, then Northern Kentucky District shall have 20 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61.878. If Northern Kentucky District is unable to make such demonstration, the requested materials shall be made available for inspection. Otherwise, the Commission shall deny the request for inspection.

11/28/18 Lindsey Rehtin Northern Kentucky Water District Responses to Second Data Request

11/09/18 Lindsey Rehtin Northern Kentucky Water District Rate Case Update

11/06/18 Commission Staff's Second Request for Information to Northern Kentucky Water District

11/05/18 Order Entered: 1. A hearing in this matter shall be held on Wednesday, February 27, 2019, at 9 a.m. Eastern Standard Time, at the offices of the Public Service Commission at 211 Sower Boulevard,

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Frankfort, Kentucky. 2. Northern Kentucky Water District (Northern Kentucky District) shall give notice of the hearing in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov." At the time the notice is mailed or publication is requested, Northern Kentucky District shall forward a duplicate of the notice and request to the Commission.

3. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9), a digital video transcript shall be made of the hearing.

10/29/18 Lindsey Rehtin Northern Kentucky Water District Legal Ads and Affidavits

10/17/18 Order Entered: 1. Northern Kentucky District's proposed tariffs are suspended for five months from November 10, 2018, up to and including April 9, 2019. 2. The Commission will continue Northern Kentucky District's Application for Financing beyond the 60-day period specified in KRS 278.300(2) in order to determine the reasonableness of the proposed financing. 3. The procedural schedule set forth in the Appendix to this Order shall be followed. a. Responses to requests for information in paper medium shall be appropriately bound, tabbed, and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with an original in paper medium, and an electronic version to the Commission. Electronic documents shall be in portable document format (PDF), shall be searchable, and shall be appropriately bookmarked. b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

10/15/18 Lindsey Rehtin Northern Kentucky Water District DR1 Affidavit

10/15/18 Deficiency cured letter

10/12/18 Stacey Kampsen Northern Kentucky Water District Responses to Staff Initial Data Request

10/11/18 Lindsey Rehtin Northern Kentucky Water District Response to Filing Deficiency

10/05/18 Order Entered: 1. Northern Kentucky District's Application is rejected for filing due to filing deficiencies pursuant to 807 KAR 5:001, Section 16(4)(n), and 807 KAR 5:001, Section 18(1)(f). 2. Within 15 days of the date of entry of this Order, Northern Kentucky District shall file the required information to cure the filing deficiencies pursuant to 807 KAR 5:001, Section 16(4)(n). In the alternative, within 15 days of the date of entry of this Order, Northern Kentucky District may file a motion setting forth good cause to deviate from 807 KAR 5:001, Section 16(4)(n).

3. Within 15 days of the date of entry of this Order, Northern Kentucky District shall file the required information to cure the filing deficiencies pursuant to 807 KAR 5:001, Section 18(1)(f). In the alternative, within 15 days of the date of entry of this Order, Northern Kentucky District may file a motion setting forth good cause to deviate from 807 KAR 5:001, Section 18(1)(f). 4. The October 28, 2018 effective date of the proposed tariffs is void.

09/28/18 Lindsey Rehtin Northern Kentucky Water District 2018 Rate Adjustment Application

09/13/18 Commission Staff's First Request for Information to Northern Kentucky Water District

08/24/18 Acknowledge Receipt of Filing

08/24/18 Northern Kentucky Water District Notice of Intent to File Application for an Adjustment of Rates Using Electronic Filing Procedures

Total Number of Cases: 1