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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of April 30, 2024***2017-00427**

RECEIVED: 11/15/17

FILED: 11/15/17

FINAL: 10/15/18

REOPENED: 09/28/18

SUSPENSION DATE: 05/14/18

**CASE CODE**

Tariffs

Demand-Side Management

**UTILITIES:**

Duke Energy Kentucky, Inc.

**HEARINGS:**

05/22/18

Formal Hearing

**INDEX OF EVENTS:**

12/05/19 Final Order Entered: 1. This case is opened to address certain outstanding petitions for confidential treatment. 2. The petitions for confidential treatment listed in Appendix B to this Order are granted. 3. The granting of these petitions shall not be cited as precedent in other proceedings before the Commission. 4. All movants shall review the petitions to determine if the material for which confidential treatment was requested still warrants confidential treatment. 5. A movant shall inform the Commission in writing, and filed in the original case file, if it determines that the information contained in a petition that is granted by this Order no longer warrants confidential treatment. 6. The Commission shall make available for inspection, upon request, any materials that a movant determines no longer warrants confidential treatment. 7. This case is closed and removed from the Commission's docket.

10/15/18 Order Entered: 1. The September 13, 2018 Order is clarified to the limited extent that the elimination of the residential bulb replacement program shall apply only to customers who received 15 LED bulbs over five years ago and not to customers that received 15 CFLs over 15 years ago. 2. All other provisions of the September 13, 2018 Order shall remain in full force and effect. 3. This case is closed and removed from the Commission's docket.

10/09/18 Notice of Filing Hearing Documents

09/28/18 David S. Samford Duke Energy Kentucky, Inc. Motion for Clarification of Order

09/13/18 Final Order Entered: 1. The DSM rates proposed by Duke Kentucky are denied. 2. The DSM rates in Appendix B to this Order are approved for service rendered by Duke Kentucky on and after the date of this Order. 3. Duke Kentucky shall amend its DSM programs and tariffs as set forth in the findings above. 4. Duke Kentucky's proposed DSM program revisions and tariff revisions as modified by the findings above are approved. 5. The cost-effectiveness of Duke Kentucky's Non-Residential Smart Saver Prescriptive Program, Non-Residential Smart Saver Custom Program, and Small Business Energy Saver Program shall be reviewed in Duke Kentucky's DSM filing in 2019. 6. Within 20 days of the date of this Order, Duke Kentucky shall file with the Commission, using the Commission's electronic Tariff Filing System, its revised tariffs, as set forth in this Order reflecting their effective date and that they were approved pursuant to this Order. 7. This case is closed and removed from the Commission's docket.

08/01/18 Response E-mail to Weller

07/18/18 David S. Samford Duke Energy Kentucky, Inc. Reply Brief

07/11/18 Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Brief in Response

06/27/18 David S. Samford Duke Energy Kentucky, Inc. Duke Energy Kentuckys Brief

06/22/18 Response E-mails to Multiple Comments

06/01/18 Adele M. Frisch Rocco O DAscenzo Duke Energy Kentuckys responses to the STAFFs Post Hearing Data Requests

06/01/18 Adele M. Frisch Rocco O DAscenzo Duke Energy Kentuckys responses to the Attorney Generals Post Hearing Data Requests

05/25/18 Staff's Post-Hearing Data Request

05/24/18 Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Post-Hearing Data Requests

05/23/18 Order Entered: 1. Post hearing data requests shall be filed in the record on or before Friday, May 25, 2018. 2. Responses to post hearing data requests shall be filed in the record on or before June 1, 2018. 3. Duke Energy Kentucky, Inc. shall file its post hearing brief on or before June 27, 2018. 4. The Office of the Kentucky Attorney General shall file its brief in response, should it choose to do so, on or

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before July 11, 2018. 5. A reply brief by Duke Energy Kentucky, Inc., if any, shall be filed on or before July 18, 2018. 6. This case shall stand submitted for decision by the commission effective 12:01 a.m. , Eastern Daylight Time, on July 19, 2018.

05/21/18 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s proof of newspaper notice for hearing on May 22, 2018.

05/16/18 Order Entered: Duke Kentucky's motion to deviate from the notice requirements of the May 2, 2018 Order and 807 KAR 5:001, Section 9(2)(b)(2), is granted.

05/09/18 Response E-mails to Multiple Comments

05/08/18 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s Motion for Leave to Deviate from the Commissions May 2, 2018 Order.

05/08/18 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s request for newspaper publication and hearing notice.

05/02/18 Order Entered: 1. A hearing in this matter shall be held on May 22, 2018, at 9 a.m. Eastern Daylight Time, at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky. 2. Duke Energy Kentucky, Inc. ("Duke Kentucky") shall publish notice of the hearing no later than May 15, 2018, in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov." At the time publication is requested, Duke Kentucky shall forward a duplicate of the notice and request to the Commission. 3. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9), a digital video transcript shall be made of the hearing.

05/02/18 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s responses to the Attorney Generals 2nd Set of Data Requests

05/02/18 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s responses to STAFFs 3rd Set of Data Requests

04/23/18 Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Supplemental Data Requests

04/23/18 Commission Staff's Second Request for Information to Duke Energy Kentucky, Inc.

04/12/18 Deborah Gates Rocco DAscenzo Case No. 2017-00427 - Duke Energy Kentucky submits its Direct Testimony of Timothy J. Duff and John A. Verderame on behalf of Duke Energy Kentucky

03/22/18 Order Entered: 1. Duke Kentucky's Petition is granted to the extent provided for in the findings above. 2. The Commission's February 14, 2018 Order directing Duke Kentucky to suspend additional DSM expenditures is affirmed on an interim basis subject to the issuance of a final order upon conclusion of the investigation in this case. 3. The procedural schedule set forth in the Appendix shall be followed in this case.

03/05/18 Deborah Gates Rocco DAscenzo KyPSC Case No. 2017-00427 In the Matter of Duke Energy Kentucky, Inc.s Annual Cost Recovery Filing for Demand-Side Management - Second Set of Responses to Commissions Staff Second Request

03/02/18 Deborah Gates Rocco DAscenzo Case No. 2017-00427 - Petition for Hearing

02/28/18 Response E-mails to Multiple Comments

02/22/18 Adele M. Frisch Rocco O. DAscenzo Duke Energy Kentucky, Inc.s revised response to STAFF-DR-01-008.

02/21/18 Order Entered: 1. Duke Kentucky's request for confidential protection for the designated information in the attachment to Staff's First Request, Item 8, is denied. 2. Within fourteen days of the date of entry of this Order, Duke Kentucky shall file a revised attachment to its response to Staff's First Request, Item 8, reflecting as unredacted the information which has been denied confidential treatment. 3. The materials for which Duke Kentucky's request for confidential treatment has been denied shall not be placed in the public record or made available for inspection for 20 days from the date of entry of this Order in order to allow Duke Kentucky to seek a remedy afforded by law.

02/14/18 Order Entered: 1. Duke Kentucky should take all reasonable steps to suspend additional expenditures on its DSM programs, except for the Low Income Services and Neighborhood Programs, until the Commission is able to determine that ratepayer benefits exceed ratepayer costs. 2. Case Nos. 2017-00324 and 2018-00009 are consolidated into Case No. 2017-00427, and all future documents related to any of these cases shall be filed in the record of Case No. 2017-00427. 3. The records of Case Nos. 2017-00324 and 2018-00009 are incorporated by reference into Case No. 2017-00427. 4. The Commission Order entered on December 11, 2017, in Case No. 2017-00427 is amended to the limited extent that the Duke Kentucky's proposed residential DSM rates shall become effective subject to future change on and after the date of this Order. 5. All other provisions of the

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Commission Order entered on December 11, 2017, in Case No. 2017-00427 shall remain in full force and effect. 6. Within 20 days of the date of entry of this order, Duke Kentucky shall file its responses to the requests for information set forth in the Appendix to this Order. 7. An informal conference shall be schedule to discuss future procedural events subsequent to Duke Kentucky's filing of its responses to the requests for information set forth in the Appendix to this Order. 8. Case Nos. 2017-00324 and 2018-00009 are closed and removed from the Commission's docket.

01/11/18 Adele M. Frisch Rocco O. DAscenzo Duke Energy Kentucky, Inc.s responses to the Attorney Generals 1st Set of Data Requests

01/11/18 Adele M. Frisch Rocco O. DAscenzo Duke Energy Kentucky, Inc.s responses to the Staffs 1st Set of Data Requests

01/02/18 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s proof of publication

12/22/17 Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Initial Data Requests

12/22/17 Commission Staff's First Request for Information to Duke Energy Kentucky, Inc.

12/18/17 Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Response to Commissions Order

12/18/17 Order Entered: 1. The motion of the Attorney General to intervene is granted. 2. The Attorney General shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order. 3. The Attorney General shall comply with all provisions of the Commission's regulations, 807 KAR 5:001, Section 8, related to the service and electronic filing of documents. 4. The Attorney General shall adhere to the procedural schedule set forth in the Commission's December 11, 2017 Order and as amended by subsequent Orders. 5. Pursuant to 807 KAR 5:001, Section 8(9), within seven days of entry of this Order, the Attorney General shall file a written statement with the Commission that: a. Certifies that it, or its agent, possesses the facilities to receive electronic transmissions; and b. Sets forth the electronic mail address to which all electronic notices and messages related to this proceeding should be served.

12/12/17 Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Motion to Intervene

12/11/17 Order Entered: 1. Duke Kentucky's proposed tariffs are suspended for five months from December 15, 2017, up to and including May 14, 2018.

2. The procedural schedule set forth in the Appendix to this Order shall be followed. 3. a. Responses to requests for information in paper medium shall be appropriately bound, tabbed, and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with an original and six copies in paper medium, and an electronic version to the Commission. b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry. c. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect.

11/15/17 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s Annual Cost Recovery Filing for Demand Side Management

11/01/17 Order Entered: 1. All future correspondence or filings in connection with this case shall reference the above case number. 2. All documents submitted in this proceeding shall comply with the Commission's rules of procedure as set forth in 807 KAR 5:001 and any deviation from these rules shall be submitted in writing to the Commission for consideration. 3. Any party filing a paper shall upload an electronic version using the Commission's E-Filing System and shall file an original and six copies in paper medium, except that only two copies in paper medium shall be filed of maps, plans, specifications, and drawings pursuant to 807 KAR 5:001, Section 15(2)(d). 4. Any request for confidential treatment of material submitted shall conform to the requirements in 807 KAR 5:001(13). 5. Materials submitted to the Commission which do not comply with the rules of procedure or that do not have an approved deviation are subject to rejection pursuant to 807 KAR 5:001, Section 3.

11/01/17 Duke Energy Kentucky, Inc. Application for Annual Cost Recovery Filing for Demand Side Management