

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 27, 2024***2000-00095**

RECEIVED: 03/15/00

FILED: 03/15/00

FINAL: 05/15/00

REOPENED:

SUSPENSION DATE:

CASE NATURE: WITH POWER GEN, KU, LG&E ENERGY

UTILITIES:

Louisville Gas and Electric Company

INTERVENORS: Anthony Martin/Carol

HEARINGS:

04/21/00 Formal Hearing
 04/20/00 Formal Hearing
 04/19/00 Formal Hearing
 03/20/00 Informal Conference

INDEX OF EVENTS:

08/14/09 E.ON's Responses to Order's Summary of Findings and Appendix B
 08/14/09 KU's Response to 091297 Order
 05/18/09 E.ON's Response to PSC Order's Summary of Findings
 05/15/09 KU's Response to Commission Order dated 9/12/97
 04/01/09 E.ON's Selected Annual Filings for LG&E and KU
 03/30/09 E.ON's Revised Balance Sheets and Statements of Income for KU and LG&E
 02/13/09 E.ON's Response to PSC Order's Summary of Findings
 11/14/08 E.ON's Response to PSC Order's Summary of Findings
 08/14/08 E.ON's Response to PSC Order's Summary of Findings
 07/16/08 Order Entered: The obligations in case Nos. 2000-00095 and 2001-00104 for LG&E & KU to file quarterly outage reports and annual service quality reports respectively are eliminated
 06/30/08 E.ON's Annual Accounting Information Filing
 05/15/08 Response to Commission Order dated September 12, 1997
 05/15/08 Response to Commission Order
 04/01/08 Selected Annual Filings
 04/01/08 LG&E & KU's Motion to Eliminate Reporting Requirements
 02/25/08 Notification of declaration and payment of a dividend from LG&E to EON
 02/14/08 Responses to filing requirements of Commission's Order
 11/14/07 E.ON's Response to Commission Order
 11/14/07 KU's Response to Commission Order dated 9/12/97
 08/21/07 General information regarding total assets and other debts for LG&E
 08/14/07 LG&E and KU's responses regarding Summary of Findings and Appendix B
 06/19/07 LG&E's notification to the Commission of declaration and payment of two dividend
 03/30/07 Response to Order from E- ON
 03/21/07 Response to Order correction to filing on Feb 21, 07 from KU
 02/21/07 Response to Order from KU to summary of findings no 8 and appendix B reporting requirements to be filed quarterly no 3
 06/30/06 Response to Commission's Orders from E.On
 02/21/06 Notice of anticipated declaration of dividends from LGE to E.ON U.S.
 02/21/06 Response to Commission's Order summary of findings, no. 8 and appendix b, reporting requirements to be filed quarterly, no. 3, advising KU's quarterly twelve-month ended income statements and balance sheets would be delayed
 02/14/06 Response to PSC Order from LG&E
 11/14/05 Response to Commission's Order from LG&E and KU
 08/19/05 Response to Commission's Order
 08/12/05 Response to Commission's Order from LG&E and KU
 07/08/05 Annual accounting information and Petition for confidential from LG&E
 05/20/05 Response to Commission's Orders from LG&E
 05/13/05 Response to Commission's Order from LG&E
 03/31/05 Selected annual filings from LG&E & KU
 02/21/05 Notification of the anticipated declaration of dividends from LG&E

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 27, 2024*

| | |
|----------|--|
| 02/21/05 | Notification of the anticipated declaration of dividends from KU |
| 02/21/05 | Response of KU to Summary of Findings |
| 02/14/05 | Response to Summary of Findings in Commission's Order from LG&E |
| 01/18/05 | Corrected version of KU's quarterly jurisdictional statement |
| 11/17/04 | Notification of anticipated declaration of dividends from KU to LG&E Energy LLC |
| 11/12/04 | LGE and KU Response to PSC Order |
| 08/18/04 | LG&E notice of the anticipated declaration of dividends |
| 08/18/04 | KU notice of the anticipated declaration of dividends |
| 08/13/04 | LG&E response to filing requirements contained within Order including diskette |
| 05/14/04 | LG&E response to filing requirements contained within Order |
| 03/30/04 | Powergen, LG&E, KU selected annual filings per PSC Orders |
| 12/04/03 | Quarterly report on Form U-9C-3 filed by LG&E |
| 11/14/03 | LG&E and KU response to Order |
| 07/15/03 | Annual Accounting Information for the Year Ended December 31, 2002. (Original in Case No. 2001-00104) |
| 07/01/03 | SEC Form U-13-60 and Intercompany Allocation Report filed by LG&E and KU |
| 03/31/03 | Response to Order copies of selected annual filings of E>ON Powergen, LG&E, KU |
| 02/14/03 | LG&E's Responses to Summary of Findings and Appendix B |
| 11/19/02 | Response to Order notification of declaration of dividends |
| 11/14/02 | Response to Order filing requirements in Order's Summary of Findings and Appendix B |
| 09/04/02 | Response to Orders concerning declaration of dividends |
| 08/14/02 | Response to Filing Requirements Contained Within Commission's Order's Summary of Findings and Appendix B |
| 07/23/02 | LG&E response to appendix A reporting requirements to be filed annually |
| 07/18/02 | Response from LG&E to Order of May 20.02 |
| 07/18/02 | Powergen's SEC form 20-for December 31,01 From LG&E |
| 07/03/02 | Response to Summary of Finding No. 15-Three Year Capital Budgets |
| 05/31/02 | LG&E annual accounting information |
| 05/31/02 | Letter to Linda Portasik granting petition for confidentiality filed 5/21/02. |
| 05/21/02 | SEC Form U5S |
| 05/21/02 | Petition for Confidential |
| 05/21/02 | Response to Order Powergen's SEC Form U-13-60 |
| 05/15/02 | Response to Order to appendix B |
| 04/15/02 | Quarterly report on form U-9C-3 |
| 03/28/02 | Response to summary of findings no 11 and Appendix A Service Quality & reliability no 5 |
| 03/26/02 | Information pertaining to annual filings by Order temporarily delayed due to blackout |
| 02/21/02 | Response to Order summary of findings no 8 and appendix B |
| 02/14/02 | Response to PSC order Summary of findings & appendix B |
| 11/14/01 | Responses to filing requirements contained within the Summary of Findings |
| 08/24/01 | RESPONSE TO ORDER OF MAY 15,00 |
| 08/16/01 | RESPONSE TO PSC ORDER AMENDMENT NO 4 TO POWERGEN PLCS FORM U-1 APPLICATION |
| 08/15/01 | SUMMARY OF FINDINGS & APPENDIX B |
| 06/12/01 | ANNUAL FILING REQUIRED BY COMMISSION'S ORDER (RONALD WILLHITE/LG&E) |
| 06/08/01 | DOCUMENTS FILED WITH THE SECURITIES AND EXCHANGE COMMISSION (RONALD WILLHITE/LG&E) |
| 05/29/01 | LETTER STATING THAT NO COURTESY COPIES WILL BE FILED (KENDRICK RIGGS) |
| 05/15/01 | RESPONSE TO COMMISSION'S ORDER (RONALD WILHITE/LG&E) |
| 03/30/01 | RESPONSE TO COMMISSION'S ORDER IN THE ABOVE CASE. (RONALD WILLHITE/LG&E) |
| 03/14/01 | RESPONSES TO FILING REQUIREMENTS CONTAINED WITHIN ORDER (RONALD WILLHITE/LG&E) |
| 02/14/01 | RESPONSE TO FILING REQUIREMENTS TO APPENDIX B (RONALD WILLHITE LG&E) |
| 12/12/00 | SECURITY AND EXCHANGE COMMISSION'S ORDER (RONALD WILLHITE/LG&E) |
| 11/14/00 | RESPONSE TO COMMISSION'S ORDER (RONALD WILLHITE/LG&E) |
| 11/09/00 | Letter confirming no differences exist relative to cost allocation factors. |
| 10/13/00 | AMENDMENT NO.2 TO POWERGEN PLC'S FILING (RONALD WILLHITE/LG&E) |
| 09/01/00 | LETTER FROM K. DAVID WADDELL RE: CONCERNS (RONALD L. WILLHITE LG & E) |
| 08/21/00 | PURSUANT TO PSC ORDER SUMMARY OF FINDINGS NO. 7 (RONALD L. WILLHITE LG & E) |
| 08/14/00 | RESPONSE TO COMMISSION'S ORDER (RONALD WILLHITE/LG&E) |

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 27, 2024*

| | |
|----------|--|
| 08/11/00 | LETTER TO SOLICIT VIEWS REQUEST FOR ANSWER (SECURITIES & EXCHANGE COMMISSION) |
| 07/28/00 | LETTER TO SOLICIT VIEWS TO APPLICATION FILED (CATHERINE FISHER SECURITIES & EXCHAN) |
| 07/26/00 | FINAL ORDER OF THE VIRGINIA STATE CORP. COMMISSION (ROBERT HEWETT/LG&E) |
| 07/21/00 | RESPONSE TO PSC ORDER 2 ITEMSFILED WITH STATE CORP OF VIRGINIA (LG&E ROBERT HEWETT) |
| 07/07/00 | DOCUMENTS FROM FEDERAL ENERGY REGULATORY COMMISSION (ROBERT HEWETT/LG&E) |
| 07/06/00 | LETTER (FRED BAUMANN/BAUMANN PAPER CO.) |
| 06/30/00 | RESPONSE TO PSC ORDER (ROBERT M. HEWWTT LG & E) |
| 06/20/00 | BREIF (JERRY BORCHERT SEC) |
| 06/14/00 | SCHEDULES OF CHARITABLE CONTRIBUTIONS & ECONOMIC DEVELOPMENT EXPENDE (RONALD WILLHITE LG&E) |
| 06/06/00 | Acknowledgment to Mr. Harvey W. and Ms. Alene Headley re: concerns about merger. |
| 06/02/00 | COPIES OF TWO ORDERS ISSUED BY THE STATE CORP. COMMISSION OF VIRGINIA (ROBERT HEWETT/LG&E) |
| 05/25/00 | REQUEST FOR INTERVENTION (HARVEY & ALENE HEADLEY CITIZENS) |
| 05/24/00 | E.A. WALLIS' POWERGEN/CHAIRMAN LETTER OF ACCEPTING AND AGREEING (ROBERT HEWETT/LG&E ENERGY) |
| 05/17/00 | ACCEPTMENT & AGREEMENT TO BOUND COMMITMENTS SET FORTH IN APPENDICS A& (LG&E) |
| 05/17/00 | POWERGEN ACCEPTS & AGREES TO BOUND COMMITMENTS SET FORTH IN APPENDICS (E WALLIS POWERGEN) |
| 05/15/00 | Final Order entered. |
| 05/12/00 | MOTION TO INTERVENE OUT-OF-TIME & ACCEPT BRIEF (MICHAEL KAUFMAN/GALLATIN STEEL) |
| 05/08/00 | 4 PAGES INADVERTENTLY OMITTED FROM APPLICATION FROM SECURITIES EXCHAN (KENDRICK RIGGS) |
| 05/05/00 | REPLACEMENT COPY OF FORM U-1 FOR FILING MADE ON APRIL 28,00 (RICHARD MILLER) |
| 05/04/00 | KIUC WILL NOT BE FILING A BRIEF (MICAHEL KURTZ/KIUC) |
| 05/03/00 | BRIEF ON BEHALF OF IBEW (DON MEADE/IBEW) |
| 05/03/00 | BRIEF ON BEHALF OF KENTUCKY ASSOCIATION OF COMMUNITY ACTION (JOE F. CHILDERS/KACA) |
| 05/03/00 | COMPLAINT LETTER. (UNKNOWN) |
| 05/02/00 | Order granting motion for extension of time; brief now due 5/2 |
| 05/02/00 | POST HEARING BRIEF OF THE ATTORNEY GENERAL (BLACKFORD/AG) |
| 05/01/00 | Order denying intervention to Mr. and Mrs. Glock, Sr. |
| 05/01/00 | MEMO BRIEF (ALCAN ALUMINUM CORP DAVID BROWN) |
| 05/01/00 | BRIEF (ANTHONY MARTIN CAC) |
| 05/01/00 | BRIEF (JAMES MILLER BIG RIVERS) |
| 05/01/00 | BRIEF (FRANK KING KENERGY) |
| 05/01/00 | BRIEF (NORTH AMERICAN STAINLESS) |
| 05/01/00 | POST HEARING BRIEF (KENDRICK RIGGS LG&E & POWERGEN) |
| 05/01/00 | BRIEF (KACA) |
| 05/01/00 | POST HEARING BRIEF (CAROL RASKIN) |
| 05/01/00 | RESPONSE TO INFO REQ DURING HEARING FROM APRIL 19-21,00 (KENDRICK RIGGS) |
| 04/28/00 | FORM U-1 FILES ELECTRONICALLY ON WED APRIL 26,00 WITH US SECURITIES (RICHARD MILLER) |
| 04/27/00 | POST HEARING BRIEF (ROBERT MADISON) |
| 04/27/00 | SUPPLEMENTAL RESPONSE TO PSC ORDER SUPP REQ FOR INFO DATED APRIL 5,00 (KENDRICK RIGGS LG&E & KU) |
| 04/27/00 | TRANSCRIPT FILED FOR HEARING ON APRIL 19,20,21 VOLUMES 1-3 (CONNIE SEWELL COURT REPORTER) |
| 04/27/00 | COPY OF FORM U-1 & EXHIBITS FILED WITH U.S. SECURITIES EXCHANGE COMM (RICHARD MILLER) |
| 04/26/00 | Order ent.,app's to file as attachment to brief list of all commitments offered. |
| 04/24/00 | MOTION FOR EXTENSION OF TIME (E BLACKFORD AG) |

Case Management System - KY Public Service Commission

Regular Cases Before the Commission as of April 27, 2024

04/24/00 REQUEST TO BE INTERVENORS (MILTON GLOCK/ELIZABETH GLOCK)
 04/24/00 COMPLAINT (SANDRA M. SLUSHER)
 04/19/00 CAC RESPONSE TO MOTION FOR CONFIDENTIALITY & MOTION TO COMPEL RESPONDS
 (ANTHONY G. MARTIN/CAC)
 04/18/00 Order denying motion to delay public hearing for 20 days.
 04/18/00 Order granting motion of East Kentucky Power to intervene.
 04/18/00 NOTICE SEC APPLICATION TO BE FILED APRIL 24, 2000 (KENDRICK
 RIGGS/POWERGEN/LG&E/KU)
 04/17/00 Letter granting petition for conf. filed 4/10/2000 by PowerGen/LG&E.
 04/17/00 JOSE LUIS LEJEUNE DIRECT TESTIMONY (NORTH AMERICAN STAINLESS)
 04/17/00 RESPONSE IN OPPOSITION TO MOTION FOR CONTINUANCE (KENDRICK
 RIGGS/POWERGEN/LG&E/KU)
 04/17/00 INTERVENOR TESTIMONY ON APPLICANT'S COUNSEL (FRANK N. KING/KENERGY)
 04/14/00 Order denying Wells' req. to intervene; may make comments at 4/19 hearing.
 04/14/00 SUPPLEMENTAL RESPONSES TO 4/11/2000 ORDER (ANN E. EBERLE)
 04/14/00 MOTION FOR CONTINUANCE (CAROL MARKOVITZ RASKIN/MHNA & POWER)
 04/14/00 TESTIMONY OF DAVID H. BROWN KINLOCH (CAROL MARKOVITZ RASKIN/MHNA & POWER)
 04/14/00 TESTIMONY OF DEAN STANLEY ON BEHALF OF INTERVENOR KENERGY CORP. (FRANK N.
 KING/KENERGY)
 04/13/00 Order granting motion of Ky. Assn. for Community Action to intervene.
 04/12/00 RESPONSE TO JOINT APPLICANTS OBJECTION TO INTERVENTION & MOTION (CHARLES LILE
 EAST KY POWER)
 04/12/00 ADDITIONAL CONFIDENTIAL DOCUMENTS TO PSC-13 (B) (ANN E. EBERLE)
 04/11/00 Data Request Order, response due 4/14/2000.
 04/11/00 Letter granting petition for conf. filed 3/30/2000 by PowerGen/LG&E.
 04/11/00 MOTION FOR FULL INTERVENTION (JOE CHILDERS)
 04/10/00 FIRST SET OF DATA RESPONSES TO PSC,AG,CAC, ALCAN,BIG RIVERS,OWENSBORO
 (KENDRICK RIGGS LG&E & KU)
 04/10/00 PETITION FOR CONFIDENTIAL (KENDRICK RIGGS LG&E & KY & POWERGEN)
 04/07/00 SUPPLEMENTAL REQ FOR INFO (ALCAN DAVID BROWN)
 04/07/00 SUPPLEMENTAL DATA REQ OF KIUC TO LG&E & KU (MIKE KURTZ KIUC)
 04/06/00 CC COPY OF LETTER SENT TO DON MEADE (RICHARD NEWELL)
 04/06/00 CC COPY OF LETTER SENT TO FRANK KING (RICHARD NEWELL)
 04/06/00 SUPPLEMENTAL DATA REQ (CAROL RASKIN LEGAL AIR SOCIETY)
 04/05/00 Order denying without prejudice motion to intervene of Stand Energy Corp.
 04/05/00 Data Request Order, response due 4/10/2000.
 04/05/00 TO POWERGEN AND LG&E AND KU (ROBERT L. MADISON)
 04/05/00 AG SUPPLEMENTAL REQUESTS FOR INFORMATION (ELIZABETH E. BLACKFORD/AG)
 04/05/00 SECOND INFO REQ OF CAC TO APPLICANTS (ANTHONY MARTIN CAC)
 04/05/00 SUPPLEMENTAL INFO REQUEST (JAMES MILLER BIG RIVERS)
 04/05/00 SUPPLEMENTAL REQ TO PWERGEN & LG&E & KU (PATRICK PACE OMU)
 04/04/00 OBJECTION TO STAND ENERGY CORPORATION MOTION TO INTERVENE (KENDRICK R.
 RIGGS/POWERGEN/LG&E/KU)
 04/04/00 TO FIRST SET OF DATA REQUESTS (ANN E. EBERLE/MHNA)
 04/04/00 REQUEST FOR INTERVENTION IN CASE (ROBERT MADISON CITIZEN)
 04/04/00 NOTIFICATION OF WITHDRAWAL OF APRIL 3RD MOTION TO MODIFY ORDER (DAVID BROWN
 ALCAN)
 04/03/00 MOTION FOR EXTENSION OF TIME (DAVID BROWN ALCAN ALUMINUM)
 04/03/00 OBJECTION TO MOTION TO INTERVENE OF EAST KY POWER (KENDRICK RIGGS
 POWERGEN,LG&E,KU)
 04/03/00 AMENDED EXHIBIT B TO JOINT APPLICATION FILED ON MARCH 15,00 (KENDRICK RIGGS
 LG&E & KU POWERGEN)
 03/31/00 Order granting intervention to POWER, MHNA, Madison, and OMU.
 03/31/00 E-mail response sent to Poohclifford@aol.com re: LG&E/PowerGen.
 03/31/00 E-mail response sent to tom wessels re: LG&E/PowerGen.
 03/31/00 MOTION FOR LEAVE TO INTERVENE (JERRY BORCHERT SEC)
 03/30/00 MOTION TO INTERVENE & REQ FOR HEARING (CAROL RASKIN POWER & MHNA)
 03/30/00 MOTION TO INTERVENE (DALE HENLEY EAST KY POWER)

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 27, 2024*

03/30/00 REQUEST FOR FULL INTERVENTION (WILLIAM WELLS CITIZEN)
 03/30/00 FIRST SET OF DATA RESPONSES TO PSC,AG,NORTH AMERICAN STAINLESS L.P. (KENDRICK RIGGS LG&E)
 03/30/00 RESPONSE TO ORDER OF MARCH 10,00 (DOUGLAS BROOKS LG&E)
 03/27/00 NOTIFICATION OF DATA REQ TO SERVE ON LG&E & KU (MIKE KURTZ KIUC)
 03/27/00 LETTER OF CONCERN (KENT LONG CITIZEN)
 03/24/00 Order granting intervention to Electrical, Alcan, N.Am.Stainless, LFUCG, Kenergy
 03/24/00 Data request order entered, response due 3/30/2000.
 03/24/00 AGS INITIAL REQ FOR INFORMATION (AG E BLACKFORD)
 03/24/00 INFO REQ TO POWERGEN & LG&E & KU (JAMES MILLER BIG RIVERS ELECTRIC)
 03/24/00 MOTION TO INTERVENE (PATRICK PACE CITY UTILITY COMM)
 03/24/00 FIRST DATA REQ & MOTION TO INTERVENE (CAROL RASKIN HUMAN METRO NEEDA ALLIA)
 03/24/00 FIRST INFO REQ OF CAC TO LG&E & POWERGEN (ANTHONY MARTIN CAC)
 03/24/00 INFO REQ TO POWERGEN & LG&E (ROBERT MADISON CITIZEN)
 03/24/00 FIRST SET OF INFO REQ (NORTH AMERICAN STAINLESS)
 03/24/00 REQ FOR INFORMATION (ALCAN ALUMINUM)
 03/23/00 MOTION TO INTERVENE (EDWARD GARDNER LEX FAY URBAN CO GOV)
 03/22/00 RESPONSE TO REQ AT CONFERENCE FOR ORDER OF MARCH 15,00 BY SECURITIES (KENDRICK RIGGS LG&E)
 03/22/00 MOTION TO INTERVENE (FRANK KING KENERGY)
 03/21/00 No deficiency letter.
 03/21/00 PETITION TO INTERVENE (ALCAN ALUMINUM CORP)
 03/21/00 MOTION TO INTERVENE (NORTH AMERICAN STAINLESS)
 03/20/00 MOTION TO INTERVENE (DON MEADE IBEW)
 03/20/00 LETTER IN RESPONSE TO LETTER DATED MARCH 15,00 (ROBERT MADISON CITIZEN)
 03/17/00 Order granting motion of the AG for full intervention.
 03/17/00 Order granting motions of Madison, BR, CAC and the KIUC for full intervention.
 03/16/00 Acknowledgement letter
 03/16/00 MOTION FOR INTERVENTION (ANTHONY MARTIN CAC)
 03/16/00 MOTION FOR FULL INTERVENTION (JAMES MILLER BIG RIVERS)
 03/16/00 PETITION TO INTERVENE (MIKE KURTZ KIUC)
 03/15/00 Application for merger with Power Gen
 03/14/00 MOTION TO INTERVENE (E BLACKFORD AG)
 03/10/00 Order setting forth the procedural schedule to be followed.
 03/01/00 Notice of Intent to file a Merger.

 Total Number of Cases: 1