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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of May 04, 2024***2020-00297**

RECEIVED: 09/16/20

FILED: 09/16/20

FINAL: 01/14/21

REOPENED:

SUSPENSION DATE:

CASE CODE

Transfer of Control; KRS 278.218

UTILITIES:

Bluegrass Water Utility Operating Company, LLC

INDEX OF EVENTS:

05/26/23 POST CASE: Edward T. Depp Bluegrass Water Utility Operating Company, LLC Notice of Substitution of Counsel

06/22/22 POST CASE: Edward T. Depp Bluegrass Water Utility Operating Company, LLC One Year Utility Performance

03/25/22 POST CASE: Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC Notice of Filing in response to 2-25-22 Order

02/25/22 Order Entered: 1. Bluegrass Water's motions for confidential treatment for information redacted from its application and responses to Staff's First Request, Items 2, 8, 16, 17, and 23, and responses to Staff's Second Request, Item 8 are granted in part and denied in part. 2. Bluegrass Water's motions for confidential treatment for information redacted from Exhibit K to its application, responses to Staff's First Request, Items 8, 17, and Kentucky CSWR's balance sheet in response to Item 23, and Staff's Second Request, Item 8 are granted. 3. Bluegrass Water's motions for confidential treatment for information redacted from Exhibits C, G, H, I, J, and N to its application, responses to Staff's First Request, Items 2, 16, and Bluegrass Water's balance sheet in response to Item 23 are denied.

11/24/21 POST CASE: Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC 6th month operations report with cover letter

06/11/21 POST CASE: Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC 1st month operations report with cover letter

04/16/21 POST CASE: Katherine K. Yunker Bluegrass Water Utility Operating Company, LLC Notice of Filing with Amended and Restated Guaranty by CSWR, LLC

04/01/21 POST CASE: Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC Bluegrass Water journal entries for Woodland Acres, acquired 3-9-21

03/25/21 POST CASE: Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC Bluegrass Waters journal entries for Delaplain, Herrington Haven and Springcrest in compliance with 1/14/21 Order paragraph 6

03/25/21 POST CASE: Kathryn A. Eckert Herrington Haven Wastewater Co. and Springcrest Sewer Co. Journal entries for Herrington Haven and Springcrest in compliance with 1/14/21 Order, paragraph 5

03/11/21 POST CASE: Kathryn A. Eckert Joint Applicants Notice of 3/9/21 Acquisition Date -- Assets of Woodland Acres Utilities, LLC in compliance with 1/14/21 Order para. 2

03/10/21 POST CASE: Dona Ray Delaplain Disposal Company Response to PSC directive in the final order dated January 14, 2021.

02/24/21 POST CASE: Kathryn A. Eckert Joint Applicants Notice of 2/23/21 Acquisition Date -- Assets of Delaplain Disposal Company, Herrington Haven Wastewater Company, Inc., and Springcrest Sewer Company, Inc. in compliance with 1/14/21 Order para. 2

01/14/21 Final Order Entered: 1. The transfer of the assets of Delaplain, Herrington Haven, Springcrest, and Woodland Acres to Bluegrass Water is approved, subject to the conditions set forth in paragraphs 2 through 15, as of the date of entry of this Order. 2. Joint Applicants shall notify the Commission in writing of the closing of each transaction within ten days of the closing. 3. Joint Applicants shall file with the Commission a report on the status of the efforts to complete any transfer that does not close within 90 days of the date of entry of this Order. 4. Any material revision to the proposed transaction shall require approval by the Commission in order for the amendment to be effective. 5. Delaplain, Herrington Haven, Springcrest, and Woodland Acres shall submit the journal entries associated with the transfer of their systems within 30 days of the date of closing on their systems. 6. Bluegrass Water shall submit the journal entries associated with the transfer of each system within 30 days of the date of closing of each system.

12/18/20 Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC Notice of Filing re Supplement to Joint Application Exhibit G

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| 12/04/20 | Kathryn A. Eckert Joint Applicants Joint Applicants Response to the 10/13/20 Order Para. 8 |
| 12/02/20 | Kathryn A. Eckert Joint Applicants Joint Applicants Response to Commission Staffs Second Request for Information |
| 12/02/20 | Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC Bluegrass Waters Motion for Confidential Treatment of Certain Information responsive to the second requests for information |
| 11/18/20 | COMMISSION STAFF'S SECOND REQUEST FOR INFORMATION TO BLUEGRASS WATER UTILITY OPERATING COMPANY, LLC, DELAPLAIN DISPOSAL COMPANY, HERRINGTON HAVEN WASTEWATER COMPANY, INC., SPRINGCREST SEWER COMPANY, INC., AND WOODLAND ACRES UTILITIES, LLC |
| 11/05/20 | Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC Bluegrass Waters Motion for Confidential Treatment |
| 11/04/20 | Kathryn A. Eckert Joint Applicants Applicants Response to Commission Staffs First Request for Information |
| 10/21/20 | Commission Staff's First Request for Information to Bluegrass Water Utility Operating Company, LLC |
| 10/13/20 | Order Entered: 1. The time in which the Commission shall render its decision on the Joint Applicants' application is extended an additional 60 days from November 15, 2020, to January 14, 2021, pursuant to KRS 278.020(7). 2. Any motion to intervene shall be filed by October 21, 2020. 3. Any motion to intervene filed after October 21, 2020, shall show a basis for intervention and good cause for being untimely. If the untimely motion is granted, the movant shall accept and abide by the existing procedural schedule. 4. Requests for information to Joint Applicants shall be filed no later than October 21, 2020. 5. Joint Applicants' responses to requests for information shall be filed no later than November 4, 2020. 6. Supplemental requests for information to Joint Applicants shall be filed no later than November 18, 2020. 7. Joint Applicants' responses to supplemental requests for information shall be filed no later than December 2, 2020. 8. Joint Applicants' or any Intervenor shall request either a hearing or that the case be submitted for decision based on the record no later than December 4, 2020. |
| 09/30/20 | No deficiency letter |
| 09/16/20 | Kathryn A. Eckert Joint Applicants Joint Application for Approval of Electronic Proposed Acquisition by Bluegrass Water and the Transfer of Ownership and Control of Assets by: Delaplain Disposal Company; Herrington Haven Wastewater Company, Inc.; Springcrest Sewer Company, Inc.; and Woodlan |
| 09/16/20 | Kathryn A. Eckert Bluegrass Water Utility Operating Company, LLC Bluegrass Waters Motion for Confidential Treatment of Certain Information relating to the Joint Application. |
| 09/04/20 | Acknowledge Receipt of Filing |
| 09/04/20 | Bluegrass Water Utility Operating Company, LLC Notice of Intent to File an Application for Proposed Acquisition and the Transfer of Ownership and Control of Assets by: Delaplain Disposal Company; Herrington Haven Wastewater Company, Inc.; Springcrest Sewer Company, Inc.; and Woodland Acres Utilities, LLC Using Electronic Filing Procedures |

Total Number of Cases: 1