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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of June 12, 2026***2014-00165**

RECEIVED: 05/27/14

FILED: 05/27/14

FINAL: 10/28/14

REOPENED:

SUSPENSION DATE:

CASE NATURE: Alleged Failure to Comply with KRS 278.042

CASE CODE

Investigation - Service

UTILITIES:

Duke Energy Kentucky, Inc.

HEARINGS:

09/09/14 Formal Hearing

07/16/14 Informal Conference

INDEX OF EVENTS:

12/05/19 Final Order Entered: 1. This case is opened to address certain outstanding petitions for confidential treatment. 2. The petitions for confidential treatment listed in Appendix B to this Order are granted. 3. The granting of these petitions shall not be cited as precedent in other proceedings before the Commission. 4. All movants shall review the petitions to determine if the material for which confidential treatment was requested still warrants confidential treatment. 5. A movant shall inform the Commission in writing, and filed in the original case file, if it determines that the information contained in a petition that is granted by this Order no longer warrants confidential treatment. 6. The Commission shall make available for inspection, upon request, any materials that a movant determines no longer warrants confidential treatment. 7. This case is closed and removed from the Commission's docket.

04/10/15 POST CASE: Duke Energy Kentucky Inc. Response to Failure to Comply

11/17/14 POST CASE: Duke Energy Kentucky, Inc. Health and Safety Handbook per Order

10/28/14 Receipt of payment for check in the amount of \$7,500.00 received October 27, 2014

10/28/14 Duke Energy Civil Penalty in the amount of \$7,500.00 per Order of Oct. 9, 2014

10/09/14 Order Entered: The Stipulation is adopted and approved in its entirety as a complete resolution of all issues in this case.

2. Duke Energy is assessed a civil penalty of \$7,500.00.

3. Duke Energy shall pay the amount of \$7,500.00 within 30 days of the date of this Order by cashier's check or money order made payable to the Kentucky State Treasurer and mailed to be delivered to the Office of General Counsel, Public Service Commission, 211 Sower Boulevard, P. O. Box 615, Frankfort, KY 40602.

4. Upon the payment of \$7,500.00 by Duke Energy, this case shall be closed and removed from the Commission's docket without further Order of the Commission.

08/27/14 Stipulation of Facts and Settlement Agreement Between Commission Staff and Duke Energy Kentucky, Inc.

08/27/14 Order Entered: that the hearing currently scheduled in this case for September 9, 2014, is cancelled.

08/22/14 Duke Energy KY Motion to Suspend the Evidentiary Hearing

07/28/14 Memorandum dated 7/28/2014 or Informal Conference of 7/16/2014; Comments, if any, due within five days of receipt

06/26/14 Order Entered: Duke Energy's Motion is granted.

2. An informal conference shall be held on Wednesday, July 16, 2014, at 1:00 p.m. Eastern Daylight Time, in Conference Room 1 of the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky, for the purpose of discussing the issues in this case.

06/16/14 Duke Energy Kentucky, Inc. Response to Commission Order Dated May 27, 2014

05/27/14 Order Entered: (1) Duke Energy shall submit to the Commission within 20 days of the date of this Order, a written response to the allegations contained in the Report. (2) Duke Energy shall appear on Tuesday, September 9, 2014, at 10:00 a.m. Eastern Daylight Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard in Frankfort, Kentucky, for the purpose of presenting evidence concerning the alleged violations of KRS 278.042, the most recent edition of the National Electrical Safety Code, and the Duke Energy Safety Manual, and show cause why it should not be

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subject to the penalties prescribed in KRS 278.990(1) for these alleged violations. (3) At the scheduled hearing in this matter, Duke Energy shall also present evidence on the adequacy, safety, and reasonableness of its practices related to the construction, installation, and repair of electric facilities as they relate to the facts of this case and whether such practices require revision as related to this incident. (4)The September 9, 2014 hearing shall be recorded by videotape only. (5) The Report in the Appendix is made a part of the record in this case. (6) Any requests for an informal conference with Commission Staff shall be set forth in writing and filed with the Commission within 20 days of the date of this Order.

Total Number of Cases: 1