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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 25, 2026***2021-00434**

RECEIVED: 12/01/21

FILED: 02/28/22

FINAL: 09/02/22

REOPENED:

SUSPENSION DATE: 08/26/22

CASE CODE

Alternative Rate Filing Adjustment

UTILITIES:

Kentucky-American Water Company

INDEX OF EVENTS:

09/02/25 POST CASE: Kentucky-American Water Company Kentucky American Water Notice of Filing of Proof of Publication

09/03/24 POST CASE: Kentucky-American Water Company Kentucky American Water Proof of Publication of Notice

09/13/23 POST CASE: Kentucky-American Water Company Kentucky American Water KAWs Notice of Proof of Publication

09/20/22 POST CASE: Kentucky-American Water Company Kentucky American Water Notice of Refund Status

09/02/22 Final Order Entered: 1. The findings contained in the Commission Staff's Report, as modified, are adopted and incorporated by reference into this Order as if fully set out herein. 2. The sewage service rates proposed by Kentucky-American are denied. 3. The sewage service rates for Phase 1 in Appendix A to this order are approved for sewer service on and after the date of this Order. 4. The sewage service rates for Phase 2 in Appendix A to this order are approved for sewer service one year after the date of this Order. 5. The sewage service rates for Phase 3 in Appendix A to this order are approved for sewer service two years after the date of this Order. 6. The sewage service rates for Phase 4 in Appendix A to this order are approved for sewer service three years after the date of this Order. 7. One week prior to the effective date of the Phase 2 sewage service rates, Kentucky-American shall publish notice following the requirements of 807 KAR 5:075, Section 5. The customer notice shall contain only the rate comparison being implemented for the rate phase. 8. One week prior to the effective date of the Phase 3 sewage service rates, Kentucky-American shall publish notice following the requirements of 807 KAR 5:075, Section 5. The customer notice shall contain only the rate comparison being implemented for the rate phase. for the rate phase.

08/30/22 Kentucky-American Water Company Kentucky American Water Notice

07/21/22 Kentucky-American Water Company Kentucky American Water Proof of Customer Notification

07/06/22 Order Entered: 1. Kentucky-American's amended application is accepted as filed as of February 28, 2022. 2. Kentucky-American shall not place the proposed rates into effect until the Commission issues an Order approving the rates or six months from the date the application was amended, whichever comes first. 3. Within 14 days of the date of this Order, Kentucky-American shall notify its customers of the implementation of the rates calculated in the Commission Staff's Report by publishing notice of the increase in a newspaper of general circulation in its territory or placing an insert in bills rendered to its customers. 4. Kentucky-American shall provide proof of publication of the notice to the Commission no later than 30 days from the date of this Order. 5. If the Commission does not receive any written requests for intervention or a hearing in this matter within 30 days of publication of new rates, this case shall stand submitted to the Commission for a decision based upon the existing record.

05/09/22 Kentucky-American Water Company Kentucky American Water KAWs Comments on Staff Report

05/05/22 Order Entered: 1. Kentucky-American's petition for confidential treatment is granted. 2. The designated material granted confidential treatment by this Order shall not be placed in the public record or made available for public inspection for an indefinite period or until further Order of this Commission. 3. Use of the designated material granted confidential treatment by this Order in any Commission proceeding shall comply with 807 KAR 5:001, Section 13(9). 4. Kentucky-American shall inform the Commission if the designated material granted confidential treatment by this Order becomes publicly available or no longer qualifies for confidential treatment.

04/25/22 NOTICE OF FILING OF COMMISSION STAFF'S REPORT

03/28/22 Kentucky-American Water Company Kentucky American Water Responses to AG3 and PSC3 and Updated Responses

03/14/22 Angela M. Goad Office of the Attorney General OAG Third Request for Information

03/11/22 COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION TO KENTUCKY-AMERICAN WATER COMPANY

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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 25, 2026*

03/11/22 Order Entered: The procedural schedule contained in the Appendix to the December 10, 2021 Order is amended as follows: 1. All supplemental requests for information to Kentucky-American shall be filed no later than March 14, 2022. 2. Kentucky-American shall file responses to supplemental requests for information no later than March 28, 2022. 3. A written report containing Staff's findings and recommendations shall be filed with the Commission on or before April 25, 2022. 4. All provisions of the Commission's Order of December 10, 2021, in conflict with the provisions of this Order are vacated. All other provisions of the Order of December 10, 2021, shall remain in effect.

02/28/22 Kentucky-American Water Company Kentucky American Water KAW Responses to PSC 2
KAW Responses to AG 2
KAW Supplemental Testimony

02/22/22 Kentucky-American Water Company Kentucky American Water KAWs Updated Responses to PSC 1-17 and AG 1-10 for Rate Case Expense

02/10/22 Angela M. Goad Office of the Attorney General OAG Second Request for Information
02/10/22 COMMISSION STAFF'S SECOND REQUEST FOR INFORMATION TO KENTUCKY-AMERICAN WATER COMPANY

01/24/22 Kentucky-American Water Company Kentucky American Water KAW Testimony and Responses to PSC 1 and AG 1

01/21/22 Order Entered nunc pro tunc, that: 1. The Commission for Rural Water O&M Guide referenced in Staff's First Request, Item No. 16, is hereby included as an Appendix to Staff's First Request. 2. All other provisions of Staff's First Request that are not in conflict with the terms of this Order shall remain in effect.

01/20/22 Response E-mail to Robin Wheatley

01/07/22 COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION TO KENTUCKY-AMERICAN WATER COMPANY

01/07/22 Angela M. Goad Office of the Attorney General OAG First Request for Information

01/06/22 Order Entered: 1. The motion of the Attorney General to intervene is granted. 2. The Attorney General shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order.

12/28/21 Kentucky-American Water Company Kentucky American Water KAWs Proof of Customer Notice

12/18/21 Angela M. Goad Office of the Attorney General Motion to Intervene

12/13/21 Rose Baker Public Comment

12/10/21 Order Entered: 1. The procedural schedule set forth in the Appendix to this Order, which is attached hereto and incorporated herein, shall be followed. 2. In addition to the procedural schedule set forth in the Appendix, no later than April 4, 2022, Commission Staff shall file with the Commission and serve upon all parties of record a written report (Staff Report) containing its findings and recommendations regarding Kentucky-American's requested rate adjustment.

12/02/21 No deficiency letter

12/01/21 Kentucky-American Water Company Kentucky American Water KAWs Application and Supporting Materials

11/22/21 Acknowledge Receipt of Filing

11/22/21 Kentucky-American Water Company Notice of Intent to File an Application for an Alternative Rate Adjustment Using Electronic Filing Procedures

Total Number of Cases: 1