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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of May 12, 2026***2020-00209**

RECEIVED: 07/06/20

FILED: 07/06/20

FINAL: 08/10/20

REOPENED:

SUSPENSION DATE:

CASE NATURE: The Vanguard Group, Inc.

CASE CODE

Declaratory Order

INDEX OF EVENTS:

10/13/20 POST CASE: Evan Buckley The Vanguard Group, Inc. Compliance filing (unredacted version of Exhibit 1 to Application)

08/10/20 Final Order Entered: 1. Vanguard Group's request for a declaratory Order, as set forth in its application, is granted. 2. If there are changes to Vanguard Group's investment policy and it seeks to acquire control or influence control of any Kentucky jurisdictional utility, Vanguard Group shall provide notice to the Commission and shall file an application for Commission approval pursuant to KRS 278.020(7) prior to the acquisition. 3. This case is closed and removed from the Commission's docket.

08/07/20 Order Entered: 1. Vanguard Group's motion for confidential protection for Exhibit 1 of its application is denied. 2. The designated materials are not exempt from public disclosure and shall be placed in the public record and made available for public inspection. 3. If Vanguard Group objects to the Commission's determination that the requested information not be granted confidential treatment, it must seek either rehearing pursuant to KRS 278.400 or judicial review of this Order pursuant to KRS 278.410. Failure to exercise either of these statutory rights will be deemed as agreement with the Commission's determination of which materials should be granted confidential treatment. 4. Within 30 days of the date of service of this Order, Vanguard Group shall file a revised version of Exhibit 1 for which confidential treatment was denied, reflecting as unredacted the information that has been denied confidential treatment. 5. The materials for which Vanguard Group's request for confidential treatment has been denied shall neither be placed in the public record nor made available for inspection for 30 days from the date of service this Order in order to allow Vanguard Group to seek a remedy afforded by law.

08/03/20 Letter Filing Document into the Record

07/06/20 Evan Buckley The Vanguard Group, Inc. Verified Application and Motion for Confidential Treatment

06/29/20 Acknowledge Receipt of Filing

06/26/20 The Vanguard Group, Inc. Notice of Intent to File an Application for a Declaratory Order regarding Investment Fund Ownership Using Electronic Filing Procedures

 Total Number of Cases: 1