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Case Management System - KY Public Service Commission*Monitoring Compliance Cases Before the Commission as of June 16, 2026***2020-00121**

RECEIVED: 04/08/20

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FINAL:

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SUSPENSION DATE:

CASE CODE

Monitoring Compliance

UTILITIES:

Southern Water & Sewer District

INDEX OF EVENTS:

05/12/26 Earl M. McGuire Southern Water Sewer District This is the Notice of Entry of Appearance to serve as counsel for Southern Water Sewer District.

12/18/25 Steven Bailey Southern Water Sewer District Southern response to PSC Staffs 14th data request.

12/01/25 COMMISSION STAFF'S FOURTEENTH REQUEST FOR INFORMATION TO SOUTHERN WATER & SEWER DISTRICT

06/13/25 steven bailey southern water and sewer district May 2025 Surcharge filing

05/15/25 steven bailey southern water and sewer district April 2025 surcharge

04/29/25 steven bailey Southern Water and Sewer District 2024 to 2025 surcharge annual report

04/11/25 steven bailey Southern Water and Sewer District March 2025 Surcharge

03/17/25 steven bailey Southern Water February 2025 Meter Surcharge Filing.

02/14/25 steven bailey Southern Water January 2025 surcharge

01/16/25 steven bailey southern water and sewer district December 2024 Surcharge

12/16/24 steven bailey Southern Water and Sewer District November 2024 surcharge

11/18/24 steven bailey Southern Water and Sewer District October 2024 Surcharge filling

10/14/24 steven bailey Southern Water September 2024 Surcharge filing.

09/17/24 steven bailey southern water and sewer district August 2024 Monthly Surcharge Filing.

08/15/24 steven bailey Southern Water and Sewer District July 2024 Surcharge Filing

07/16/24 steven bailey Southern Water June 2024 Surcharge Filing

06/14/24 steven bailey southern water and sewer district May 2024 surcharge filings.

05/30/24 steven bailey southern water and sewer district April 2024 water loss report

05/19/24 steven bailey Southern Water and Sewer District April 2024 monthly surcharge filing

05/08/24 steven bailey Southern Water 2024 Annual Report

05/03/24 Order Entered: 1. Southern District's April 5, 2024 motion for an extension is granted. 2. The April 8, 2024 deadline by which Southern District was required by the April 8, 2024 Order to file its annual report regarding its meter replacement surcharge is extended until May 8, 2024.

04/15/24 steven bailey Southern Water March 2024 Surcharge Filing.

04/05/24 steven bailey Southern Water motion for an additional 30 days to submit annual report.

03/17/24 steven bailey Southern Water and Sewer District February 2024 monthly filings related to meter replacement surcharge.

02/15/24 steven bailey Southern Water Sewer District January 2024 monthly filings.

01/31/24 Order Entered: 1. Southern District's June 12, 2023, motion to clarify the funding source for 10 master meters is granted. 2. Southern District is authorized to use water meter replacement surcharge funds to purchase and install the 10 master meters. If any funds remain from the loan authorized in Case No. 2020-00114, Southern District is authorized to use up to \$250,000 of those funds to purchase and install the master meters as well.

01/15/24 steven bailey Southern Water and Sewer District December 2023 monthly filings; Bank statements, appendix, water loss report Invoice for December loan payment to co-bank.

01/11/24 steven bailey Southern Water and Sewer District Find Southern response to PSCs 13th Data Request.

12/18/23 COMMISSION STAFF'S THIRTEENTH REQUEST FOR INFORMATION TO SOUTHERN WATER AND SEWER DISTRICT

12/11/23 steven bailey Southern Water and Sewer District November 2023 monthly filings

10/23/23 steven bailey southern water and sewer district Supplemental response to PSCs 12th data request.

10/20/23 steven bailey southern water and sewer district Southern response to KY PSC Staffs 12th data request

10/12/23 COMMISSION STAFF'S TWELFTH REQUEST FOR INFORMATION TO SOUTHERN WATER AND SEWER DISTRICT

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10/12/23 steven bailey southern water and sewer district September filing
09/12/23 steven bailey Southern Water and Sewer District August monthly filings

08/14/23 steven bailey southern water and sewer district July 2023 monthly filings
08/04/23 Order Entered: 1. Southern District's motion to utilize surcharge proceeds for purchase of 50 RG3 water meters is granted. 2. Southern District shall not spend or encumber surcharge proceeds without prior Commission approval.

07/14/23 Steven Bailey southern water and sewer district June 2023 Monthly Filing: Co Bank Loan Invoice, Appendix, Water Loss Report and Surcharge bank account statement.

06/14/23 Steven Bailey southern water and sewer district May 2023 Water Loss report
06/14/23 Steven Bailey southern water and sewer district Appendix and May 2023 Co bank loan payment
06/14/23 Steven Bailey southern water and sewer district May 2023 Surcharge bank account statement.
06/12/23 steven bailey Southern Water and Sewer District Notice of filing of RG3 invoice for 50 additional AMR water meters.
06/12/23 steven bailey Southern Water and Sewer District Motion for permission to pay invoice for 50 additional AMR meters.
06/12/23 steven bailey Southern Water and Sewer District Motion to clarify March 17, 2021 Order.
05/18/23 steven bailey Southern Water and Sewer District Response to PSC Staffs 11th Data Request
05/18/23 steven bailey southern water district April 2023 Water Loss Report
05/15/23 steven bailey Southern Water and Sewer District April monthly filing
04/25/23 COMMISSION STAFF'S ELEVENTH REQUEST FOR INFORMATION TO SOUTHERN WATER AND SEWER DISTRICT
04/15/23 steven bailey southern water and sewer district March 2023 monthly filing
04/11/23 steven bailey Southern Water and Sewer District April 2022 to March 2023 Water Loss Report. Attachment to annual report
04/10/23 steven bailey Southern Water 2023 annual report with attachments

03/13/23 steven bailey southern water and sewer district February 2023 water loss report
03/13/23 steven bailey southern water and sewer district February monthly filing. Surcharge bank account, Appendix, and Co Bank invoice.

02/14/23 steven bailey Southern Water and Sewer District January 2023 Water Loss report
02/14/23 steven bailey Southern Water and Sewer District January 2023 Appendix
02/14/23 steven bailey Southern Water and Sewer District January 2023 monthly filings for Surcharge
01/15/23 steven bailey southern water and sewer district December 2022 CoBank Invoice to service new water meter debt
01/13/23 steven bailey Southern Water and Sewer District December 2022 Surcharge bank account
01/13/23 steven bailey Southern Water and Sewer District December 2022 and year of 2022 water loss reports
12/14/22 steven bailey southern water and sewer district November Water Loss report
12/13/22 steven bailey southern water and sewer district Verification for Randy Conley. Mr. Conley provided the water loss reports for months of March 2022 through October 2022.
12/13/22 steven bailey southern water and sewer district Southern Water Loss reports for the months of March 2022 until October 2022 pursuant to the PSCs Order dated November 7, 2022, Ordering Numerical 4.
12/13/22 steven bailey southern water and sewer district Verification of Paula Burke for the answers she provided to PSC Staffs 10th request for information.
12/13/22 steven bailey southern water and sewer district November 2022 Surcharge Bank Statement
12/13/22 steven bailey Southern Water and Sewer District November 2022 meter surcharge bank statement
12/12/22 steven bailey Southern Water and Sewer District Southern response to PSC Staffs 10th request for information.

11/28/22 COMMISSION STAFF'S TENTH REQUEST FOR INFORMATION TO SOUTHERN WATER AND SEWER DISTRICT
11/22/22 Order Entered: Southern District's motion is granted and its November 2022 activity report shall be filed on November 22, 2022.
11/22/22 steven bailey southern water and sewer district October 2022 Surcharge Monitoring
11/11/22 steven bailey southern water and sewer district Motion for extension of time to file November 2022 monthly surcharge report
11/07/22 Order Entered: 1. Southern District's second annual progress report is accepted. 2. There shall be no change in the surcharge amount at this time. 3. On the fifteenth day of the month, Southern

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District shall file with the Commission a monthly water loss report and a monthly activity report that includes the statement of surcharge billings, collections, and deposits attached to this Order as an Appendix; a monthly bank statement; a list of each payment from the surcharge account, its payee, and a description of the purpose; and invoices supporting each payment. 4. On or before December 15, 2022, Southern District shall file monthly water loss reports for March 2022 through October 2022 into the record of this proceeding. 5. Southern District's next annual progress report shall be filed on April 10, 2023.

10/20/22	steven bailey Southern Water Notice of filing of Revised Appendix to PSCs 9th Data Request
10/14/22	steven bailey Southern Water and Sewer District Southern's response to PSCs 9th data request.
10/07/22	steven bailey Southern Water and Sewer District Notice of filing September 2022 surcharge bank account
09/27/22	COMMISSION STAFF'S NINTH REQUEST FOR INFORMATION TO SOUTHERN WATER & SEWER DISTRICT
09/07/22	steven bailey Southern Water and Sewer District August Surcharge bank account
08/03/22	steven bailey southern water and sewer district July 2022 surcharge bank statement
07/08/22	steven bailey southern water and sewer district June 2022 surcharge bank account pursuant to PSCs April 8, 2020 Order.
06/30/22	Steven Bailey Southern Water Souther 8th response to PSC-8th data request
06/14/22	COMMISSION STAFF'S EIGHTH REQUEST FOR INFORMATION TO SOUTHERN WATER AND SEWER DISTRICT
06/10/22	steven bailey southern water and sewer district May 2022 Surcharge bank account
06/02/22	steven bailey southern water and sewer district Surcharge bank account May 2022
05/17/22	steven bailey Southern Water Southern's response to PSCs 7th data request.
05/10/22	steven bailey Southern Water April 2022 Surcharge Bank Statement
04/25/22	COMMISSION STAFF'S SEVENTH REQUEST FOR INFORMATION TO SOUTHERN WATER AND SEWER DISTRICT
04/08/22	steven bailey southern water and sewer district Annual report
04/06/22	steven bailey Southern Water March 2022 Surcharge bank statement
03/14/22	steven bailey Southern Water February 2022 surcharge bank statement
03/14/22	steven bailey Southern Water January 2022 Surcharge bank statement
01/19/22	steven bailey southern water and sewer district December surcharge bank account
12/03/21	steven bailey southern water and sewer district Response to PSC's April 8, 2020 Order in 2020-121: November 2021 Surcharge bank statement
11/30/21	steven bailey bailey law office Response to Ordering Numerical 5 to PSCs 10/1/2021 Order.
11/17/21	steven bailey southern water and sewer district Status update of Master Meter Project
11/02/21	steven bailey southern water and sewer district October Surcharge bank account
10/05/21	steven bailey southern water and sewer district Response to PSCs September 22, 2021 Order, including UMG invoices and minutes approving revised UMG contract
10/05/21	steven bailey southern water and sewer district September 2021 Surcharge bank account.
10/01/21	Order Entered: 1. Southern District's annual progress report and revised annual progress report are accepted in the interest of administrative economy. 2. Southern District shall continue to implement its meter replacement surcharge and program as set forth in the April 8, 2020 and March 17, 2021 Orders in this proceeding. 3. Ordering paragraph 12 of the April 8, 2020 Order in this proceeding and Ordering paragraph 7 of the March 17, 2021 Order in this proceeding are stricken and replaced with the following: Southern District shall file a new rate application pursuant to 807 KAR 5:076 on or before April 1, 2022. 4. Southern District shall file its next annual progress report of the meter replacement surcharge and program as described in this Order on April 8, 2022. 5. Southern District shall file a report into the record of this proceeding detailing what steps it has taken to ensure that its next annual progress report is accurate, complete, and timely filed by December 1, 2021.
09/22/21	Order Entered: 1. Southern District's motion is granted. 2. The September 1, 2021 amendment to Southern District's management agreement with UMG is approved. 3. On or before October 29, 2021, Southern District shall file copies of all minutes of the board meeting at which Southern District approved the management agreement with UMG, and copies of UMG's monthly invoices for June 2021 through September 2021.
09/03/21	steven bailey southern water and sewer district Motion to approve modification to UMG Contract.
09/02/21	steven bailey southern water and sewer district August Surcharge bank statement
08/19/21	steven bailey Southern Water Response to 6th data request

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08/10/21 COMMISSION STAFF'S SIXTH REQUEST FOR INFORMATION TO SOUTHERN WATER AND SEWER DISTRICT

08/06/21 steven bailey southern water and sewer district Attachment to 5th data request

08/06/21 steven bailey southern water and sewer district Response to PSCs 5th data request

08/06/21 steven bailey southern water and sewer district July 2021 Surcharge bank statement

08/05/21 Order Entered: 1. Southern District's motion for a 17-day extension of time is denied. 2. Southern District is granted a 7-day extension of time in which to file a response to Staff's Fifth Request for Information. 3. Southern District shall file its response to Staff's Fifth Request on or before August 6, 2021.

07/30/21 steven bailey southern water and sewer district Motion for additional time to respond to PSCs 5th data request

07/28/21 Order Entered: Southern District shall file either a response to Staff's Fifth Request or a motion for an extension of time to file such a response on or before July 30, 2021.

07/27/21 steven bailey southern water and sewer district Request extension of time to response to 5th data request

07/09/21 COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION TO SOUTHERN WATER & SEWER DISTRICT

07/02/21 steven bailey southern water and sewer district Surcharge bank account monthly report

06/24/21 STEVEN BAILEY southern water and sewer district Master Meter Construction plan and timeline pursuant to 3/17/21 Order in 20-121

06/11/21 steven bailey Southern Water Response to June 10, 2021 Data Request

06/10/21 COMMISSION STAFF'S FOURTH REQUEST FOR INFORMATION TO SOUTHERN WATER & SEWER DISTRICT

06/09/21 steven bailey southern water and sewer district May surcharge bank statement

06/07/21 steven bailey southern water and sewer district Response to May 19, 2021 data request

06/01/21 steven bailey Southern Water Water loss reports, attachment to revised annual report

06/01/21 steven bailey Southern Water Revised annual report

05/19/21 COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION TO SOUTHERN WATER & SEWER DISTRICT

05/13/21 STEVEN BAILEY southern water and sewer district Notice of intent to file amended annual progress report

05/07/21 STEVEN BAILEY southern water and sewer district Annual Progress Report of meter replacement plan/surcharge

05/03/21 STEVEN BAILEY southern water and sewer district Response to Order, April 2021 Surcharge bank info

04/27/21 Order Entered: 1. Southern District's motion for an extension of time is granted. 2. Southern District shall file its annual progress report on the meter replacement program and surcharge on or before May 8, 2021.

04/26/21 STEVEN BAILEY southern water and sewer district Motion for additional time to respond to numerical 10 of 4/8/20 Order

04/20/21 STEVEN BAILEY southern water and sewer district Response to PSC order dated 4/8/20, numerical 10

04/20/21 STEVEN BAILEY southern water and sewer district Response to 4/8/20 Order, numerical 11

04/15/21 STEVEN BAILEY southern water and sewer district March 2021 bank statement

03/17/21 Order Entered: 1. Southern District's motion and amended motion to use meter replacement surcharge funds to purchase and replace AMR meters and master meters is granted. 2. Southern District's request for a CPCN to purchase and install up to ten master meters is granted. 3. Southern District is authorized to use up to \$250,000 of the remaining proceeds of the loan approved in Case No. 2020-00114' to purchase and install up to ten master meters. 4. Within 45 days of the date of this Order, Southern District shall file the project timeline and detailed construction plan. 5. Southern District shall file notice with the Commission within 30 days of the completion of the installation of up to ten master meters.

03/04/21 STEVEN BAILEY southern water and sewer district Response to final order

02/23/21 Steven Bailey Southern Water Response to data request

02/23/21 Steven Bailey Southern Water Response to February 15, 2021 Data request

02/22/21 Order Entered: Southern District shall use the meter surcharge bank account for the sole purpose of depositing meter surcharge funds collected, and withdrawal of funds to pay expenses

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authorized by the Commission, and shall not intermingle other funds with the meter surcharge funds in the meter surcharge bank account.

02/15/21 COMMISSION STAFF'S SECOND REQUEST FOR INFORMATION TO SOUTHERN WATER & SEWER DISTRICT

02/11/21 Steven Bailey bailey law office January 2021 Bank Statement

02/11/21 Steven Bailey bailey law office December bank statement

02/08/21 STEVEN BAILEY southern water and sewer district Exhibit A to Application Letter to Dept. Local Government

01/25/21 STEVEN BAILEY southern water and sewer district Amended motion

01/20/21 STEVEN BAILEY southern water and sewer district Response to data request entered 12/18/20

01/06/21 Order Entered: 1. Southern District's motion for an extension of time is granted. 2. Southern District shall file its response to Staff's First Request on or before January 22, 2021.

12/31/20 steven bailey southern water and sewer district Motion for additional time to respond to data request

12/18/20 Commission Staff's First Request for Information to Southern Water and Sewer District

12/02/20 steven bailey southern water and sewer district MOTION FOR KY PUBLIC SERVICE COMMISSION APPROVAL OF USE OF FUNDS FROM SURCHARGE ACCOUNT

12/02/20 steven bailey southern water and sewer district Response to Order: Surcharge bank statement

11/03/20 Steven Bailey Southern Water Response to Order

10/02/20 steven bailey southern water and sewer district Response to 4/8/20 Order

09/09/20 steven bailey southern water and sewer district Response to final order

08/17/20 Steven Bailey Southern Water Response to Order

07/21/20 Steven Bailey Southern Water Affidavit of Chairman regarding interest rate of cobank loan

07/17/20 steven bailey southern water and sewer district Co Bank and Southern Promissory note.

07/16/20 steven bailey southern Invoice for Checks for surcharge account

07/14/20 Steven Bailey Southern Water Response to Final Order
July 15 2020 Surcharge information

06/29/20 Steven Bailey Southern Water Response to May 15, 2020 Order in 2020-121 Ordering Paragraph numerical 4.

05/15/20 Response to April 8, 2020 Order southern water and sewer district Response to Ordering Numerical 4 of PSCs April 8 2020 Order.

04/08/20 Order Entered: 1. This case is opened to monitor Southern District's meter replacement surcharge proceeds collection and expenses. 2. The records of Case Nos. 2019-00131 and 2019-00328 are incorporated by reference in Case No. 2020-00121. 3. Southern District shall deposit surcharge collections in a separate interest-bearing account. 4. On the fifteenth day of each month for 60 months from the date of this Order or until all surcharge proceeds are expended, Southern District shall file with the Commission a monthly activity report that includes a statement of monthly surcharge billings and collections; a monthly surcharge bank statement; a list of each payment from the account, its payee, and a description of the purpose; and invoices supporting each payment. 5. Southern District shall not use any surcharge proceeds for reimbursement of meter replacement expenses incurred prior to November 7, 2019, when the final Order was issued in Case No. 2019-00131. 6. Southern District shall consider all surcharge collections as contributions and shall account for them in the manner that the Uniform System of Accounts for Class A and B Water Districts and Associations prescribes. 7. Southern District shall debit monthly billings for the surcharge to customers' accounts receivable and credit the contribution account. 8. When Southern District collects the surcharge from the customers, it shall debit special funds and credit the customer account.

Total Number of Cases: 1