

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of December 19, 2025***2019-00444**

RECEIVED: 11/27/19

FILED: 11/27/19

FINAL: 06/15/20

REOPENED:

SUSPENSION DATE: 05/31/20

CASE CODE

Wholesale Rate Adjustment

UTILITIES:

Princeton Water and Wastewater

Caldwell County Water District

Lyon County Water District

HEARINGS:

05/05/20

Formal Hearing

INDEX OF EVENTS:

06/15/20 Final Order Entered: 1. The wholesale rates proposed by Princeton are denied. 2. The rates and charges found reasonable herein and set forth in Appendix B and C to this Order are approved for the provision of wholesale water service rendered by Princeton on and after June 15, 2020. 3. Within 20 days of the date of this Order, Princeton shall file with this Commission, using the Commission's electronic Tariff Filing System, revised tariff sheets setting out the rates approved herein and reflecting that they were approved pursuant to this Order.

4. Any documents filed pursuant to ordering paragraph 3 of this Order shall reference the case number of this matter and shall be retained in the post-case correspondence files. 5. Caldwell District and Lyon District shall file for an adjustment in base rates or file for an alternative rate filing within one year of the date of filing of this Order to ensure that their rates are sufficient. 6. This case is closed and removed from the Commission's docket.

05/22/20 M Todd Osterloh Princeton Water and Wastewater Commission PWWCs Post-Hearing Brief

05/22/20 Mark David Goss Caldwell County and Lyon County Water Districts Post-Hearing Brief

05/15/20 M Todd Osterloh Princeton Water and Wastewater Commission PWWC Monthly Supplement to PSC 2-3

05/15/20 M Todd Osterloh Princeton Water and Wastewater Commission PWWC Responses to Water Districts PHDR

05/15/20 M Todd Osterloh Princeton Water and Wastewater Commission PWWC Responses to PSC Staff PHDR

05/15/20 L. Allyson Honaker Caldwell County Water District and Lyon County Water District Responses to Commission Staffs Post-Hearing Data Requests

05/14/20 M Todd Osterloh Princeton Water and Wastewater Commission Notice of Withdrawal of Post-Hearing Data Request

05/08/20 Todd Osterloh PWWC Post-Hearing Data Requests to Water Districts

05/08/20 L. Allyson Honaker Caldwell County and Lyon County Water Districts Post-Hearing Data Requests to Princeton Water and Wastewater

05/08/20 Commission Staff's Post-Hearing Request for Information to Caldwell County Water District and Lyon County Water District

05/08/20 Commission Staff's Post-Hearing Request for Information to Princeton Water and Wastewater

05/07/20 Order Entered: 1. Post-hearing data requests shall be filed on or before Friday, May 8, 2020. 2. Responses to post-hearing data requests shall be filed on or before May 15, 2020. 3. Counsel for Princeton and the Intervenor shall file their post-hearing briefs on or before May 22, 2020. 4. This case shall stand submitted for decision by the Commission effective 12:01 a.m., Eastern Daylight Time, on May 26, 2020.

05/06/20 L. Allyson Honaker Caldwell and Lyon County Water Districts Supplemental Response to Commission Staffs Request for Information Item 2

05/01/20 M Todd Osterloh Princeton Water and Wastewater Commission Supplemental Response to PSC 2-9

04/24/20 M Todd Osterloh Princeton Water and Wastewater Commission Notice of Filing (Hearing Notice)

04/24/20 L. Allyson Honaker Caldwell County Water District and Lyon County Water District Responses to Data Requests

04/17/20 M Todd Osterloh Princeton Water and Wastewater Commission PWWC Responses to PSC 3rd Data Request

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of December 19, 2025*

04/17/20 L. Allyson Honaker Caldwell County Water District and Lyon County Water District Responses to Commission Staffs Data Requests

04/13/20 Order Entered: 1. Princeton's Motion filed April 5, 2020, is granted. 2. The deadline for discovery responses to Princeton's Requests for Information and the remaining dates in the procedural schedule set forth in the Appendix of the Commission's February 21, 2020 Order in this case is amended. 3. The amended procedural schedule set forth in the Appendix, attached hereto and incorporated herein, shall be followed in this proceeding.

04/06/20 L. Allyson Honaker Caldwell County Water District and Lyon County Water District Response to Princetons Motion

04/06/20 M Todd Osterloh Princeton Water and Wastewater Commission PWWC Supplemental Response (April) to PSC 2-3

04/05/20 M Todd Osterloh Princeton Water and Wastewater Commission Requests for Information and Motion

03/27/20 Commission Staff's First Request for Information to Intervenors

03/27/20 Commission Staff's Third Request for Information to Princeton Water and Wastewater

03/20/20 L. Allyson Honaker Caldwell County Water District and Lyon County Water District Direct Testimony of Alan Vilines

03/12/20 Order Entered: 1. A hearing in this matter shall be held on May 5, 2020, at 9 a.m. Eastern Daylight Time, in the Richard Raff Hearing Room (Hearing Room 1) at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky. 2. Princeton Water and Wastewater (Princeton) shall give notice of the hearing in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov." At the time the notice is mailed or publication is requested, Princeton shall forward a duplicate of the notice and request to the Commission. 3. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9), a digital video transcript shall be made of the hearing.

03/06/20 M Todd Osterloh PWWC PWWC Response to Water Districts Request for Information

03/06/20 M Todd Osterloh PWWC Responses to Commission Staffs Second Request for Information

02/21/20 Order Entered: 1. Princeton's Motion filed February 15, 2020, is granted conditioned upon its agreement not to implement its rates subject to refund before June 15, 2020. 2. The discovery responses due date to Commission Staff's Second Request for Information; Caldwell District's and Lyon District's First Request for Information; and the remaining dates in the procedural schedule set forth in Appendix A of the Commission's January 10, 2020 Order in this case shall be extended by 14 days. 3. The amended procedural schedule set forth in the Appendix, attached hereto and incorporated herein, shall be followed in this proceeding.

02/15/20 M Todd Osterloh Princeton Water and Wastewater Commission Motion for Extension of Time

02/07/20 Commission Staff's Second Request for Information to Princeton Water and Wastewater

02/07/20 Mark David Goss Caldwell County Water District and Lyon County Water District Initial Request to Princeton Water and Wastewater

01/29/20 Mark David Goss Lyon County Water District Entry of Appearance

01/29/20 Mark David Goss Caldwell County Water District Entry of Appearance

01/24/20 M Todd Osterloh Princeton Water and Wastewater Commission Responses to Commissions Initial Request for Information

01/10/20 Order Entered: 1. The procedural schedule set forth in Appendix A, attached hereto and incorporated herein, shall be followed in this proceeding. 2. The information requested in Appendix B to this order shall be filed by January 24, 2020. 3. Responses to requests for information, including those set forth in Appendix B, shall be appropriately bound, tabbed, and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with copies to all parties of record, and the original and six copies to the Commission. a. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry. b. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is not incorrect in any material respect.

01/09/20 Order Entered: 1. Princeton's request for electronic filing for this case is granted. 2. The style of this case shall be amended to use the word "Electronic," as set forth above, and all future correspondence

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of December 19, 2025*

or filings in connection with this case shall reference the above style and case number. 3. All documents submitted in this proceeding shall comply with the Commission's rules of procedure as set forth in 807 KAR 5:001, and any deviation from these rules shall be submitted in writing to the Commission for consideration. 4. Any party filing a paper shall upload an electronic version using the Commission's Electronic Filing System and shall file an original and one copy in paper medium. 5. Any request for confidential treatment of material submitted shall conform to the requirements of 807 KAR 5:001, Section 13. 6. Materials submitted to the Commission that do not comply with the rules of procedure or that do not have an approved deviation are subject to rejection, pursuant to 807 KAR 5:001, Section 3.

01/03/20 Princeton Water and Wastewater Commission Affidavit

12/30/19 Caldwell County Water District Notice of Filing

12/30/19 Lyon County Water District Notice of Filing

12/27/19 Princeton Water and Wastewater Commission Notice of Appearance

12/20/19 Order Entered: 1. This proceeding is established to investigate the reasonableness of Princeton's proposed wholesale rate increase to Caldwell District and Lyon District. Princeton's proposed wholesale rate is suspended for five months from January 1, 2020, up to and including May 31, 2020. 3. Caldwell District and Lyon District are made parties to this case. 4. Princeton shall, by counsel, enter an appearance in this proceeding within ten days of the date of this Order. The entry of appearance shall include the name, address, telephone number, fax number, and electronic mail address of counsel. Further, Princeton, with its entry of appearance, shall state its position on the use of electronic filing procedures and whether it has any objection to their use in this proceeding. 5. Within ten days of the date of this Order, Caldwell District and Lyon District shall state their position on the use of electronic filing procedures and whether they have any objection to their use in this proceeding. 6. Requests for intervention shall be filed no later than January 10, 2020. Any party who submits a motion to intervene after January 10, 2020, and upon showing of good cause is granted full intervention, shall accept and abide by the existing procedural schedule, if any. 7. Nothing contained herein shall prevent the Commission from entering further Orders in this matter.

12/13/19 Lyon Protest

12/13/19 Caldwell Protest

11/27/19 Princeton Filing

Total Number of Cases: 1