

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of September 15, 2025***2019-00277**

RECEIVED: 08/15/19

FILED: 08/15/19

FINAL: 04/27/20

REOPENED:

SUSPENSION DATE: 05/01/20

CASE CODE

Tariffs

Demand-Side Management

UTILITIES:

Duke Energy Kentucky, Inc.

INDEX OF EVENTS:

04/27/20 Final Order Entered: 1. The Joint Stipulation, attached hereto as Appendix A is approved with the modifications discussed herein. 2. Duke Kentucky's DSM programs and associated costs as originally filed and as modified in the findings above to revise the costs allocated to gas customers are approved on and after the date of this Order. 3. Duke Kentucky's proposed Tariff Sheets for Electric DSMR and Gas Rider DSMR are denied. 4. Duke Kentucky's proposed PTR Pilot Program tariff as filed with the Joint Stipulation is approved. 5. The rates set forth in Appendix B to the order are approved effective with the first billing cycle of the month following the issuance of this Order. 6. Duke Kentucky shall continue to file a DSM application annually by August 15 containing an analysis of the impact of DSM charges on its electric and gas customers' bills, detailed evaluations of the cost-effectiveness of its existing programs, and any proposed revisions to programs in light of the attendant cost burdens to the residential and commercial classes. 7. Within 20 days of the date of entry of this order, Duke Kentucky shall file with the Commission, using the Commission's electronic Tariff Filing System, revised electric and gas tariff sheets setting forth the rates approved herein and reflecting that they were approved pursuant to this order. 8. This case is closed and removed from the Commission's docket.

03/26/20 Deborah Gates Rocco O. DAsenzo Duke Energy Kentucky, Inc. hereby submits Staff 3rd set of request for information

03/24/20 Justin M. McNeil Office of the Attorney General The Attorney Generals Notice of Withdrawal and Substitution of Counsel

03/19/20 Commission Staff's Third Request for Information to Duke Energy Kentucky, Inc.

03/09/20 Deborah Gates Rocco O. DAsenzo Duke Energy Kentucky, Inc. hereby submits its Testimony in Support of Settlement of Bruce L. Sailors

03/06/20 Deborah Gates Rocco O. DAsenzo Case No. 2019-00277 Joint Stipulation and Recommendation

03/02/20 Larry Cook Kentucky Attorney GeneralsOffice Joint Notice Regarding Settlement

02/14/20 Order Entered: 1. Duke Kentucky and the Attorney General's joint motion to amend the procedural schedule is granted. 2. Duke Kentucky's proposed DSM tariff is suspended up to and including May 1, 2020. 3. The September 9, 2019 procedural schedule, as amended January 2, 2020, is amended to permit the parties to file a request for either an evidentiary hearing or that the matter be decided upon the written record no later than March 2, 2020. 4. All other provisions of the September 9, 2019 procedural schedule, as amended January 2, 2020, not inconsistent with this Order shall remain in full force and effect.

02/11/20 Minna Sunderman Rocco DAsenzo Duke Energy Kentucky, Inc.s Response to Commission Order dated February 10, 2020

02/10/20 Order Entered: Duke Kentucky shall file written notice within five days of the date of entry of this Order on whether it will extend the effective date of its DSM tariff by 30 days, from April 2, 2020, to May 2, 2020.

01/31/20 Larry Cook Kentucky Attorney GeneralsOffice Joint Motion of Duke Energy Kentucky, Inc. and the Attorney General

01/24/20 Deborah Gates Rocco O. DAsenzo Duke Energy Kentucky, Inc. hereby submits Rebuttal Testimonies of Bruce L. Sailors and John D. Swez

01/21/20 Larry Cook Kentucky Attorney GeneralsOffice OAGs Filing of affidavit of witness Paul Alvarez

01/10/20 Larry Cook Kentucky Attorney GeneralsOffice OAGs Responses to Data Requests of PSC Staff and Duke Energy Kentucky, Inc.

01/02/20 Order Entered: 1. Duke Kentucky's motion to amend the procedural schedule is granted. 2. The procedural schedule in the September 9, 2019 Order is stricken and replaced with the amended procedural schedule attached as an Appendix to this Order. 3. All other provisions of the September 9, 2019 Order not inconsistent with this Order shall remain in full force and effect.

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of September 15, 2025*

12/20/19	Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Motion to Amend the Procedural Schedule
12/20/19	Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s First Set of Interrogatories and Requests for Production of Documents Propounded Upon the Attorney General
12/17/19	Commission Staff's First Request for Information to the Attorney General
12/11/19	Order Entered: 1. Duke Kentucky's petition for confidential protection for Attorney General's Second Request, Item 13 is granted. 2. The designated materials shall not be placed in the public record or made available for public inspection for ten years or until further Order of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Duke Kentucky shall inform the Commission if the materials in question become publicly available or no longer qualify for confidential treatment. 5. If a non-party to this proceeding requests to inspect the materials granted confidential treatment by this Order and the period during which the material has been granted confidential treatment has not expired, Duke Kentucky shall have 30 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61.878. If Duke Kentucky is unable to make such demonstration, the requested materials shall be made available for inspection. Otherwise, the Commission shall deny the request for inspection. 6. The Commission shall not make the requested materials available for inspection for 30 days following an Order finding that the material no longer qualifies for confidential treatment in order to allow Duke Kentucky to seek a remedy afforded by law.
12/06/19	Larry Cook Kentucky Attorney GeneralsOffice Office of the Attorney Generals Pre-Filed Written Direct Testimony
11/22/19	Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Responses to the Attorney Generals Second Set of Data Requests
11/22/19	Minna Sunderman Rocco DAscenzo Duke Energy Kentuckys Responses to Staffs Second Set of Data Requests
11/15/19	Larry Cook Kentucky Attorney GeneralsOffice Notice of Withdrawal
11/15/19	Order Entered: 1 . Duke Kentucky's petition for confidential protection for Attorney General's First Request, Items 7(b), (d)-(f) is granted. 2. The designated materials shall not be placed in the public record or made available for public inspection for ten years or until further Order of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Duke Kentucky shall inform the Commission if the materials in question become publicly available or no longer qualify for confidential treatment. 5. If a non-party to this proceeding requests to inspect the materials granted confidential treatment by this Order and the period during which the material has been granted confidential treatment has not expired, Duke Kentucky shall have 30 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61.878. If Duke Kentucky is unable to make such demonstration, the requested materials shall be made available for inspection. Otherwise, the Commission shall deny the request for inspection. 6. The Commission shall not make the requested materials available for inspection for 30 days following an Order finding that the material no longer qualifies for confidential treatment in order to allow Duke Kentucky to seek a remedy afforded by law.
11/08/19	Justin M. McNeil The Office of The Attorney General The Attorney Generals Supplemental Data Requests
11/08/19	Kent Chandler Kentucky Office of the Attorney General Attorney Generals Notice of Withdrawal of Counsel
11/07/19	Commission Staff's Second Request for Information to Duke Energy Kentucky, Inc.
10/25/19	Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s responses to the Attorney Generals First Set of Data Requests
10/25/19	Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s responses to Staffs First Set of Data Requests
10/14/19	Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Response to Commissions Order
10/11/19	Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Initial Data Requests
10/10/19	Commission Staff's Initial Request for Information to Duke Energy Kentucky, Inc.
10/09/19	Order Entered: 1. The motion of the Attorney General to intervene is granted. 2. The Attorney General shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order. 3. The Attorney General shall comply with all provisions of the

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of September 15, 2025*

Commission's regulations, 807 KAR 5:001, Section 8, related to the service and electronic filing of documents. 4. The Attorney General shall adhere to the procedural schedule set forth in the Commission's September 9, 2019 Order. 5. Pursuant to 807 KAR 5:001, Section 8(9), within seven days of entry of this Order, the Attorney General shall file a written statement with the Commission that: a. Certifies that it, or its agent, possesses the facilities to receive electronic transmissions; and b. Sets forth the electronic mail address to which all electronic notices and messages related to this proceeding should be served.

09/30/19 Kent A Chandler Kentucky Office of the Attorney General Attorney Generals Motion to Intervene Out of Time

09/25/19 Deborah Gates Rocco O. DAscenzo Newspaper notices and affidavit attesting to the publications of Duke Energy Kentucky, Inc.s

09/09/19 Order Entered: 1. Duke Kentucky's proposed tariffs are suspended for five months from October 1, 2019, up to and including April 1, 2020.

2. The procedural schedule set forth in the Appendix to this Order shall be followed. 3. a. Responses to requests for information in paper medium shall be appropriately bound, tabbed, and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with an original and an electronic version to the Commission. b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry. c. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. d. For any request to which a party fails or refuses to furnish all or part of the requested information that party shall provide a written explanation of the specific grounds for its failure to completely and precisely respond. e. Careful attention should be given to copied material to ensure that it is legible.

08/15/19 Deborah Gates Rocco O. DAscenzo In the Matter of The Application of Duke Energy Kentucky, Inc. to Amend its Demand Side Management Programs.

08/08/19 Acknowledge Receipt of Filing

08/08/19 Duke Energy Kentucky, Inc. Notice of Intent to Amend its Demand Side Management Programs Using Electronic Filing Procedures

Total Number of Cases: 1