

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of April 26, 2026***2019-00268**

RECEIVED: 07/31/19

FILED: 07/31/19

FINAL: 03/24/20

REOPENED:

SUSPENSION DATE: 01/31/20

**CASE CODE**

Alternative Rate Filing Adjustment

**UTILITIES:**

Knott County Water &amp; Sewer District

**HEARINGS:**

01/22/20 Formal Hearing

**INDEX OF EVENTS:**

03/27/20 POST CASE: Knott County Water and Sewer District Notice of Newly Appointed Board Members

03/25/20 POST CASE: Attorney General Notice of Withdrawal and Substitution of Counsel

03/24/20 Final Order Entered: 1. Within 20 days of the date of entry of this Order, Knott District shall provide a written status of board membership on the Knott District Board of Commissioners, including any available documentation, and file the same in the post-case correspondence in the manner discussed above. 2. This case is closed and removed from the Commission's docket.

03/10/20 Order Entered: Letcher District's Notice of Withdrawal of Motion for Rehearing is granted.

03/02/20 Letcher County Water and Sewer District Notice of Withdrawal of Motion for Rehearing

02/28/20 Knott County Water and Sewer District Response to Motion for Rehearing

02/21/20 Letcher County Water and Sewer District Motion for Rehearing

02/19/20 Knott County Water and Sewer District Response to Commission Ordering Paragraph 8

02/07/20 Response E-mails to Multiple Comments

02/03/20 Notice of Filing Hearing Documents

01/31/20 Final Order Entered: 1. The findings contained in the Staff Report are adopted and incorporated by reference into this Order as if fully set out herein. 2. The general service rates proposed by Knott District are denied. 3. The Phase 1 rates set forth in the Appendix to this Order are approved for service rendered by Knott District on and after the date of this Order. 4. The Phase 2 rates set forth in the Appendix to this Order are approved for service rendered by Knott District effective on the first anniversary of the date of this Order. 5. Within 20 days of the date of entry of this Order, Knott District shall file with this Commission, using the Commission's electronic Tariff Filing System, new tariff sheets setting forth the rates and charges approved herein and their effective date and stating that the rates and charges were authorized by this Order. 6. One month prior to the effective date of the Phase 2 rates, Knott District shall publish notice with the rate schedule as set forth in the Appendix to this Order. 7. Knott District shall review and update its tariff to reflect the current costs to install new water taps.

8. Knott District shall immediately terminate any benefits beyond the \$6,000 salary to Commissioners on the Knott District Board of Commissioners. Knott District shall provide within 20 days of the date of entry of this Order documentary evidence showing that the provision of benefits to Commissioners has been terminated.

01/17/20 Letcher County Water and Sewer District Entry of Appearance

01/17/20 Knott County Water and Sewer District Supplemental Response to Attorney General Request No. 9

01/17/20 Knott County Water and Sewer District Supplemental Response to Commission Staff Second Request for Information, Item 3

01/17/20 Knott County Water and Sewer District Response to Commission Order

01/10/20 Order Entered: 1. A hearing in this matter shall be held on Wednesday, January 22, 2020, beginning at 9 a.m. Eastern Standard Time, at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky, for the purpose of taking and receiving evidence concerning the payment by the Knott County Water and Sewer District of premiums for health, vision, dental and life insurance for its employees and commissioners. Chairman David Smith, Commissioner Spencer D. Hamilton, Commissioner Ricky D. McDaniel, General Manager Jared Smith, and Chief Financial Officer Kyle Smith shall attend the hearing and be prepared to testify as well as produce all documents and records of the Knott County Water and Sewer District and the Knott County Fiscal Court which purport to authorize payment of health, vision, dental and life insurance premiums for and on behalf of the commissioners. 2. The documents required to be produced in numerical paragraph 1 above shall be filed in the record with copies to all counsel of record on or before 5:00 o'clock p.m. on Monday, January 20, 2020.

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of April 26, 2026*

01/07/20 Knott County Water and Sewer District Response to Commission Staff Second Request for Information  
01/07/20 Knott County Water and Sewer District Response to Commission Order  
01/03/20 Order Entered, Nunc Pro Tunc: 1. Ordering paragraph 1 of the Commission's December 9, 2019 Order is corrected and replaced with the following language: Knott District's motion for confidential treatment for its response to Letcher District's and Hindman's Request No. 15 is denied. 2. Ordering paragraph 2 of the Commission's December 9, 2019 Order is stricken and is replaced with the following language: The designated materials in Knott District's response to Letcher District's and Hindman's Request No. 15 is not exempt from public disclosure and shall be placed in the public record or made available for public inspection. 3. Ordering paragraph 3 of the Commission's December 9, 2019 Order is stricken and is replaced with the following language: If Knott District objects to the Commission's determination that the requested information not be granted confidential treatment, it must seek either rehearing pursuant to KRS 278.400 or judicial review of this Order to KRS 278.410. Failure to exercise either of these statutory rights will be deemed as agreement with the Commission's determination of which materials should be granted confidential treatment.

12/23/19 Knott County Water and Sewer District Response to Commission Order  
12/20/19 Commission Staff's Second Request for Information to Knott County Water and Sewer District  
12/09/19 Order Entered: 1. Knott District's motion for confidential treatment for its response to Letcher District's and Hindman's, Request No. 15 is granted. 2. The designated material in Knott District's response to Letcher District's and Hindman's, Request No. 15 shall not be placed in the public record or made available for public inspection for ten years, or until further Orders of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Knott District shall inform the Commission if the information in question becomes publicly available or no longer qualifies for confidential treatment. 5. If a non-party to this proceeding requests to inspect the material granted confidential treatment by this Order and the period during which the materials have been granted confidential treatment has not run, then Knott District shall have 30 days from receipt of written notice of request to demonstrate the materials still fall within exclusions from disclosure requirements established in KRS 61.878. If Knott District is unable to make such demonstration, the requested materials shall be made available for inspection. Otherwise, Commission shall deny request for inspection. 6. The Commission shall not make requested materials available for inspection for 30 days following Order finding the materials no longer qualify for confidential treatment in order to allow Knott District to seek a remedy afforded by law.

12/09/19 Order Entered: 1 . Knott District's motion for confidential treatment for its response to Commission Staff's, Request No. 3 is denied. 2. The designated materials in Knott District's response to Commission Staff's, Request No. 3 is not exempt from public disclosure and shall be placed in the public record or made available for public inspection. 3. If Knott District objects to the Commission's determination that the requested information not be granted confidential treatment, it must seek either rehearing pursuant to KRS 278.400 or judicial review of this Order pursuant to KRS 278.410. Failure to exercise either of these statutory rights will be deemed as agreement with the Commission's determination of which materials should be granted confidential treatment. 4. Within 20 days of the date of this Order, Knott District shall file a revised version of its response to Commission Staff's, Request No. 3 for which confidential treatment was denied, reflecting as unredacted the information that has been denied confidential treatment. 5. The material for which Knott District's request for confidential treatment has been denied shall neither be placed in the public record nor made available for inspection for 30 days from the date of this Order in order to allow Knott District to seek a remedy afforded by law.

12/09/19 Order Entered: 1 . Knott District's motion for confidential treatment for its responses to Letcher District's and Hindman's, Request No. 6 is granted. 2. Knott District's motion for confidential treatment for its responses to Letcher District's and Hindman's, Request Nos. 12, 14, 16, 18 and 27, and the Attorney General's, Request No. 10 is denied. 3. Knott District's Response Items 4 and 24 are not granted confidential treatment. 4. The designated material in Knott District's responses to Letcher District's and Hindman's, Request No. 6 shall not be placed in the public record or made available for public inspection in perpetuity, or until further Orders of this Commission. 5. The designated materials in Knott District's responses to Letcher District's and Hindman's, Request Nos. 12, 14, 16, 18 and 27, and the Attorney General's, Request No. 10, are not exempt from public disclosure and shall be placed in the public record or made available for public inspection. 6. If Knott District objects to the Commission's determination that certain

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of April 26, 2026*

requested information not be granted confidential treatment, it must seek either rehearing pursuant to KRS 278.400 or judicial review of this Order pursuant to KRS 278.410. Failure to exercise either of these statutory rights will be deemed as agreement with the Commission's determination of which materials should be granted confidential treatment.

12/05/19 City of Hindman Comments regarding Commission Staff Report  
 12/04/19 Knott County Water and Sewer District Comments on Commission Staff Report  
 12/04/19 Attorney General Comments on Commission Staff Report  
 12/04/19 Letcher County Water and Sewer District Comments regarding Commission Staff Report  
 11/20/19 Notice of Filing of Commission Staff Report  
 11/18/19 Attorney General's Notice of Withdrawal of Counsel  
 11/08/19 Attorney General Notice of Withdrawal of Counsel  
 11/05/19 Order Entered: 1. No later than November 20, 2019, Commission Staff shall file with the Commission and serve upon all parties of record a Staff Report containing its findings and recommendations regarding Knott District's requested rate adjustment. 2. All other provisions of the Commission's August 14, 2019 Order not in conflict with this Order shall remain in full force and effect.

11/05/19 Knott County Water and Sewer District Response to Commission Staff First Request for Information with Motion for Confidential Treatment

10/29/19 Commission Staff's First Request for Information to Knott County Water and Sewer District  
 10/18/19 Knott County Water and Sewer District Response to Attorney General Second Request for Information  
 10/18/19 Knott County Water and Sewer District Response to Letcher County Water and Sewer District and The City of Hindman Second Request for Information  
 10/18/19 Knott County Water and Sewer District Motion for Confidential Treatment  
 10/04/19 Letcher County Water and Sewer District Request for Information to Knott County Water and Sewer District

10/04/19 Attorney General Supplemental Data Requests to Knott County Water and Sewer District  
 09/20/19 Knott County Water and Sewer District's Motion for Confidential Treatment  
 09/20/19 Knott County Water and Sewer District's Response to the Attorney General's First Request for Information

09/20/19 Knott County Water and Sewer District's Response to Letcher County Water and Sewer District and the City of Hindman's First Request for Information

09/19/19 Order Entered: Hindman's request for an extension of time is granted.  
 09/13/19 City of Hindman Response to Commission Order and Request for Extension of Time  
 09/06/19 Attorney General Initial Data Request to Knott County Water and Sewer District  
 09/06/19 Letcher County Water and Sewer District Response to Commission Order  
 09/06/19 Letcher County Water and Sewer District First Request for Information to Knott County Water and Sewer District

09/05/19 Order Entered: 1. The Motion of Letcher District and the Request of Hindman are granted on a joint basis, and they shall be considered as one party. 2. Letcher District and Hindman, on a joint basis, shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order. 3. Letcher District and Hindman, on a joint basis, shall comply with all provisions of the Commission's regulations, 807 KAR 5:001, Section 7, related to the service and filing of documents. 4. Letcher District and Hindman, on a joint basis, shall adhere to the procedural schedule set forth in the Commission's August 14, 2019 Order and as amended by subsequent Orders. 5. Pursuant to 807 KAR 5:001, Section 8(9), within seven days of entry of this Order, Letcher District and Hindman, on a joint basis, shall file a written statement with the Commission that: a. Certifies that it, or its agent, possesses the facilities to receive electronic transmissions; and b. Sets forth the electronic mailing address which all electronic notices and messages related to this proceeding should be served.

09/03/19 Knott County Water and Sewer District Response to Letcher County Water and Sewer District Motion to Intervene

08/30/19 Knott County Water and Sewer District Entry of Appearance  
 08/23/19 City of Hindman Request to Intervene  
 08/22/19 Knott County Water and Sewer District Notice of Entry of Appearance  
 08/21/19 Order Entered: 1. The motion of the Attorney General to intervene is granted. 2. The Attorney General shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order. 3. The Attorney General shall comply with all provisions of the Commission's

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of April 26, 2026*

regulations, 807 KAR 5:001, Section 7, related to the service and filing of documents. 4. The Attorney General shall adhere to the procedural schedule set forth in the Commission's August 14, 2019 Order and as amended by subsequent Orders. 5. Pursuant to 807 KAR 5:001, Section 8(9), within seven days of entry of this Order, the Attorney General shall file a written statement with the Commission that: a. Certifies that it, or its agent, possesses the facilities to receive electronic transmissions; and b. Sets forth the electronic mail address to which all electronic notices and messages related to this proceeding should be served.

08/20/19

Letcher County Water and Sewer District Motion for Leave to Intervene

08/14/19

Order Entered: 1. The procedural schedule set forth in the Appendix to this Order, which is attached hereto and incorporated herein, shall be followed. 2. In addition to the procedural schedule set forth in the Appendix, no later than November 13, 2019, Commission Staff shall file with the Commission and serve upon all parties of record a written report (Staff Report) containing its findings and recommendations regarding Knott District's requested rate adjustment. 3. No later than 14 days after the date of the filing of the Staff Report, each party of record shall file with the Commission: a. Its written comments on and any objections to the findings and recommendations contained in the Staff Report; and b. Any additional evidence for the Commission to consider. 4. If Commission Staff finds that Knott District's financial condition supports a higher rate than Knott District proposes or the assessment of an additional rate or charge not proposed in Knott District's Application, Knott District in its response to the Staff Report shall also state its position in writing on whether the Commission should authorize the assessment of the higher rate or the additional rate or charge.

08/07/19

No deficiency letter

08/07/19

Attorney General Motion to Intervene

07/31/19

Acknowledge Receipt of Filing

07/31/19

Knott County Water and Sewer District Application for Alternative Rate Adjustment

---

 Total Number of Cases: 1