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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of December 28, 2025***2017-00044**

RECEIVED: 02/06/17

FILED: 02/06/17

FINAL: 05/02/17

REOPENED:

SUSPENSION DATE:

CASE CODE

Transfer / Sale / Purchase / Merger

UTILITIES:

Southern Water & Sewer District

Prestonsburg City Utilities Commission

INDEX OF EVENTS:

07/23/18 POST CASE: Mary Ellen Wimberly Southern Water and Sewer District and Prestonsburg Citys Utility Commission Notice of Closing

06/30/17 POST CASE: Southern Water and Sewer District Notice of Delay in Closing Date

05/02/17 Final Order Entered: 1. The proposed transfer of ownership and control of the Southern District Wastewater System and portions of the Southern District Water System to Prestonsburg is approved. 2. Within ten days of the transfer of the Assets, Southern District and Prestonsburg shall notify the Commission in writing of the transfer's occurrence. 3. Any material revision to the proposed transaction must be approved by the Commission in order for the amendment to be effective. 4. No later than June 30, 2017, Southern District shall submit its Gross Report and Annual Report for its sewer system for the period January 1, 2017, through the date of the transfer. Southern District shall submit its Gross Report and Annual Report for its water system for the year ending December 31, 2017 in accordance with the Commission's regulations. 5. Any documents filed pursuant to ordering paragraphs 2 and 4 shall reference this case number and shall be retained in Southern District's general correspondence file. 6. The Executive Director is delegated authority to grant reasonable extensions of time for the filing of any documents required by this Order upon a showing of good cause for such extension. 7. A copy of this Order shall be served on the Kentucky Division of Water. 8. This case shall be closed and removed from the Commission's docket.

04/21/17 Mary Ellen Wimberly Joint Applicants Response of Joint Applicants to Commission Staffs Second Information Request

04/13/17 Mary Ellen Wimberly Prestonsburg Citys Utilities Commission and Southern Water Sewer District Motion for Extension of Time

04/06/17 Order Entered: 1. The time in which the Commission shall render its decision on the Joint Applicants' application is extended an additional 60 days pursuant to KRS 278.020(7). 2. a. Joint Applicants shall file with the Commission, on or before April 13, 2017, their responses to all requests for information listed in the Appendix to this Order, with an original in paper medium and an electronic version to the Commission. Responses to requests for information in paper medium shall be appropriately bound, tabbed and indexed. Each response shall include the name of the witness responsible for responding to the questions related to the information provided. b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

03/13/17 Mary Ellen Wimberly Southern Water and Sewer District, Prestonsburg Citys Utilities Commission, and the City of Prestonsburg, Kentucky Response of Joint Applicants to Commission Staffs First Request for Information

03/06/17 Commission Staff's Second Request for Information to Southern Water and Sewer District; Prestonsburg City's Utility Commission; and the City of Prestonsburg, Kentucky

02/20/17 Order Entered: 1. Requests for intervention shall be filed no later than February 27, 2017. 2. Requests to Joint Applicants for information shall be filed no later than March 6, 2017. 3. Joint Applicants' responses to requests for information shall be filed no later than March 13, 2017. 4. Any request for a formal hearing shall be filed no later than March 17, 2017.

02/07/17 No Deficiency Letter

02/06/17 Mary Ellen Wimberly Prestonsburg Citys Utilities Commission/Southern Water and Sewer District/City of Prestonsburg Application for Approval to Transfer Wastewater Assets and Certain Water Assets

01/23/17 Acknowledge Receipt of Filing

01/23/17 Southern Water and Sewer District, Prestonsburg City's Utilities Commission, and the City of Prestonsburg Notice of Intent to File Joint Application for an Order Approving the Transfer of Ownership of the Wastewater System and Certain Portions of the Water System of Southern Water

Regular Cases Before the Commission as of December 28, 2025

and Sewer District Using Electronic Filing Procedures

Total Number of Cases: 1